

Graduation research
Suzanne Elliott
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#### Motivation



Involving the user



Housing, Policy, Management and Sustainability



New forms of developing housing

#### Content

- Introduction
- Research aim
- Theoretical approach
- Research design
- Findings
- Conclusion & Discussion
- Recommendations



# Introduction



# Difficulties in the Dutch housing market





#### Meanwhile...

# Re-emergence of collaborative housing







# Collaborative housing for the middle segment?

## Collective Private Commissioning (CPC)

- Form of collaborative housing (Platform 31, n.d.)
- Form in which equal individuals act as a group, organised as a non-profit legal entity (Kievit, 2013)



# CPC for affordable private rental housing

- Logical form for middle segment;
- Housing cooperative is owner of the building and determines rents;
- CPC for private rental housing different than CPC for owner-occupied housing, as residents do not carry all the risks.

(Tijsseling, Brekelmans, Liebrand & Raatgever, 2014)



#### Relevance

Research into collaborations with CPC for middle segment private rental housing:

- Relevant for practice (Tijsseling et al., 2014)
- Contribute to the body of knowledge

"It is the long history of humankind (and animal kind, too) that those who learned to collaborate and improvise most effectively have prevailed." – Charles Darwin



## Research aim



#### Research aim

More insight in the collaboration of CPC groups and other stakeholders when realising affordable private rental housing.



What type of collaboration may characterize CPC initiatives?

What are the enablers and barriers in the course towards and during collaboration between and within CPC initiatives and other stakeholders realising affordable private rental housing?

What roles within the CPC collaboration contribute in the realisation of affordable private rental housing?

#### Concepts

The excluded middle incomes:

Gross incomes between €36.165 and €50.000



#### Concepts

Affordable housing for middle income households:

Private rental housing with rents from €710,68 to €1200 per month.







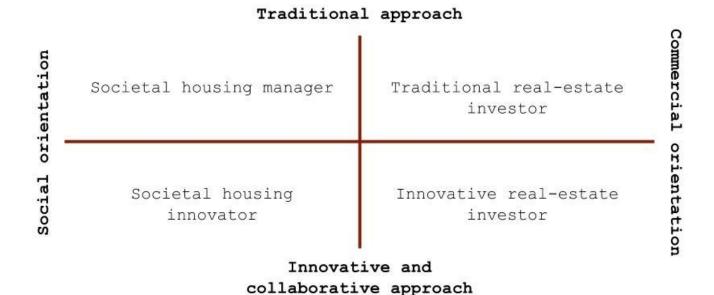
Stakeholders entering collaboration have their own interests and ambitions

(Bremekamp, Kaats, Opheij & Vermeulen, 2010)

#### Housing supply and social enterprise

(Van Bortel, 2016; Czischke, Gruis & Mullins, 2012)





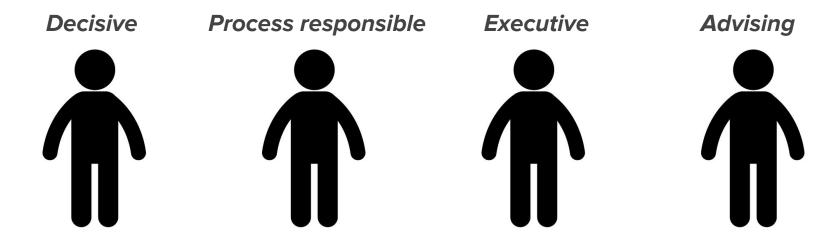
Based on Gruis (2008), Czischke et al. (2012), Arnstein (1969) and Bremekamp et al. (2010).

#### **Enablers and barriers in collaboration**

- Trust;
- Power difference;
- Difficulties;
- Leading;
- Win-win situation.

(Bremekamp et al., 2010)

**DPEA** roles



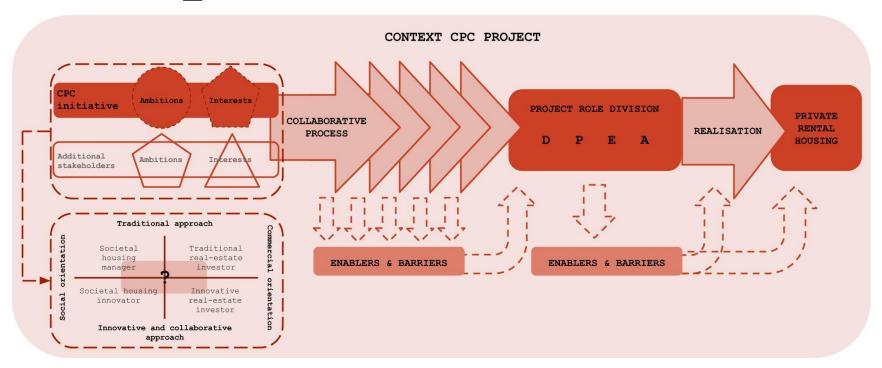
(Van der Kuij, 2013)

#### **Enablers and barriers in role division**

- Inconsistencies in formal position and tasks of a stakeholder;
- Different perceptions of responsibilities between stakeholders;
- Inconsistencies or overlap between identified roles of stakeholders.

(Van der Kuij, 2013)

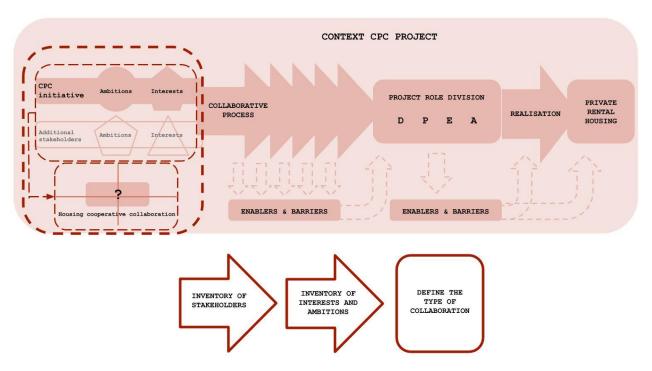
#### **Conceptual framework**

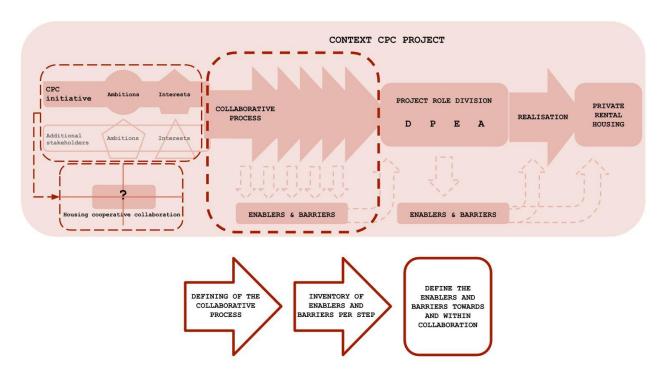


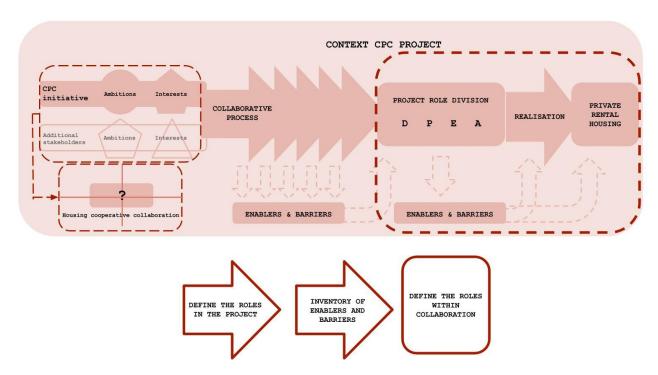


# Research design









#### **Case study**

#### Representative case with criteria:

- A CPC initiative with middle-income households;
- Realised affordable private rental housing in the middle-segment;
- Context of the Netherlands;
- Recently realised;
- Housing appropriate for rental destination.



#### Case study

#### Case selected:

- A CPC initiative with middle-income households;
- Context of the Netherlands: 'Groene Hart';
- Realised in 2014;
- Housing appropriate for rental destination.



#### **However:**

- Realised owner-occupied housing in the middle-segment.

#### Case study

#### Interviewees:

- Municipality;
- Advising party;
- CPC initiative;
- Urban planner;
- Architect;
- Contractor.



### Fill the research gap

#### **Cross-sectional study**

Additional interviewees to help fill the gap

#### Criteria

- At least 1 within the organisation of a CPC initiative wanting to realise affordable private rental housing;
- At least 1 professional involved in a CPC project wanting to realise affordable private rental housing with expertise in this field.



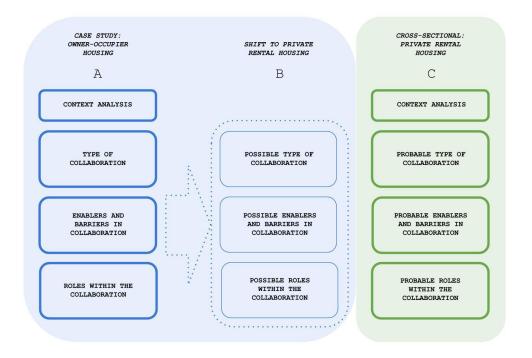
#### **Cross-sectional study**

#### Interviewees:

- An advisor currently involved in project in Rotterdam;
- An advisor currently involved in project in Amsterdam;
- The secretary and treasurer of a CPC initiative in Amsterdam.



### Analytical approach



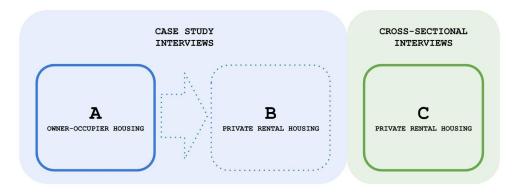


## Findings



## Organising findings

- 1. Context analysis;
- 2. Type of collaboration;
- 3. Enablers and barriers in collaboration;
- 4. Roles within collaboration.





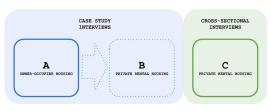




#### 2. Type of collaboration

Inventory of stakeholders

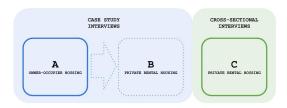
- (A) Interviewees, notary and constructor
- (B) Additional: project leader, investor/crowdfunding and financial expertise, housing association
- (C) Municipality, province, board, members/residents, investor, wealthy individuals, banks, architect, contractor, housing association and developer



#### 2. Type of collaboration

Inventory of interests and ambitions

- (A) Specific for parties, social interest from municipality, advising party and architect
- (B) No different, except for the residents
- (C) Social interest for this type of housing from interviewees, but commercial interests should be possible



# 3. Enablers and barriers in collaboration

**Collaborative process** 

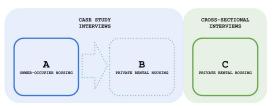
- (A) Involvement municipality, CPC group formed, construction, etc.
- (B) Less involvement residents, more involvement professional guidance and expertise
- (C) Involvement municipality, board initiates and guides, investments, construction, handing over the board to the housing cooperative.



# 3. Enablers and barriers in collaboration

**Enablers and barriers in collaboration** 

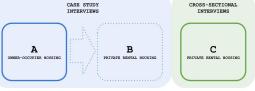
- (A) Deviant findings, but majority mentioned win-win situation and good trust
- (B) Power distribution is expected to be different
- (C) Board should be leading, dynamics within the group and clear shared vision



#### 4. Roles within collaboration

#### Roles within collaboration

- (A) Deviant findings, but majority mentioned CPC group had the decisive role; the process responsible role shifted during the process
- (B) Expected residents do not have decisive role; process responsible and executive expected more important
- (C) Board or party that takes the risks has the decisive role, process responsible role shifts during process, many parties with advising role



#### 4. Roles within collaboration

#### **Enablers and barriers**

- (A) No majority report on barriers, roles were clear and consistent
- (B) Expected that clear agreements are necessary
- (C) Important to define all the roles beforehand





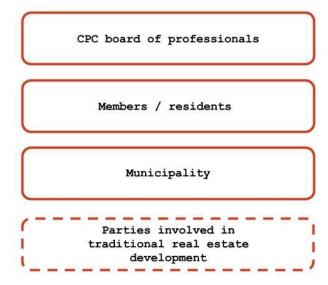
# Conclusion & Discussion Discussion



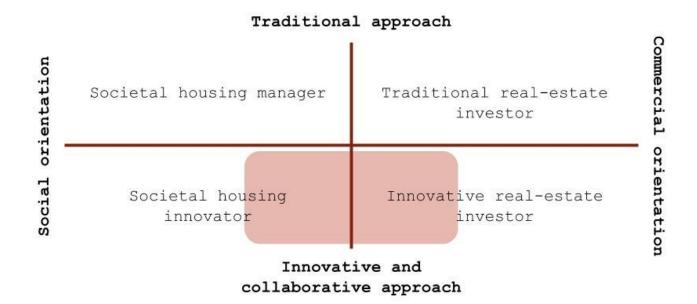
## **Key findings**

- 1. What type of collaboration may characterize CPC initiatives?
- 2. What are the enablers and barriers in the course towards and during collaboration between and within CPC initiatives and other stakeholders realising affordable private rental housing?
- 3. What roles within the CPC collaboration contribute in the realisation of affordable private rental housing?

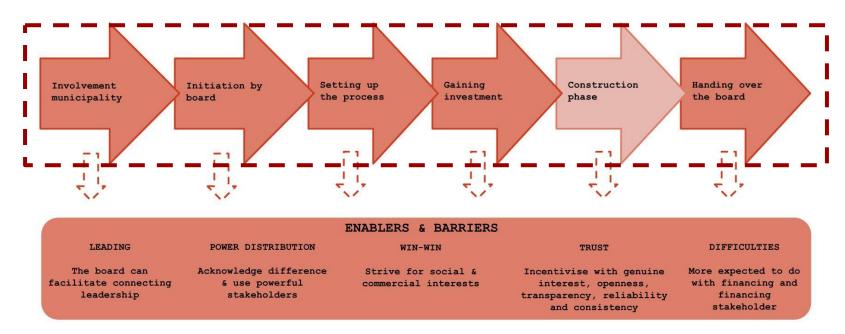
#### Type of collaboration



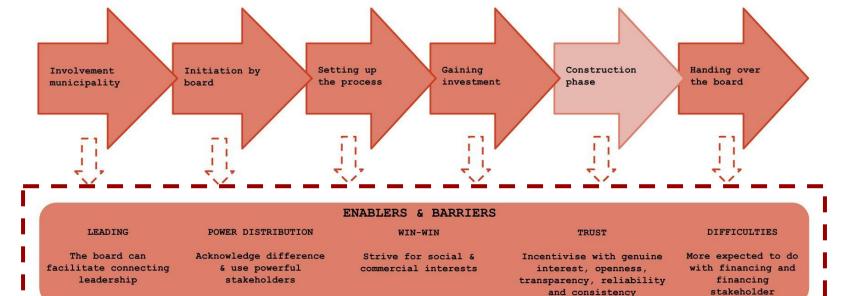
#### Type of collaboration



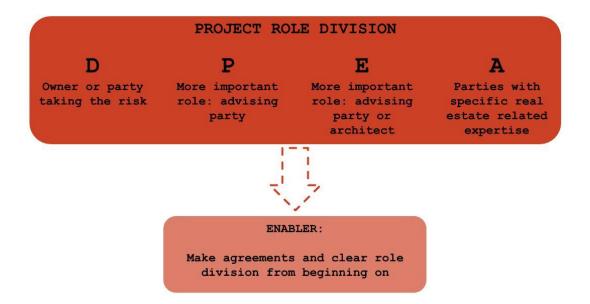
#### **Enablers and barriers towards and in collaboration**



#### **Enablers and barriers towards** and in collaboration



## Roles contributing to development



### Implications for practice

- It is an option for filling a gap;
- Knowledge is added for practice.

#### Guidelines

- Influence municipality;
- Board & expertise to initiate and guide;
- Feasibility & external investment;
- Clear agreements & clear shared vision;
- Acknowledge power differences, communication & strive for win-win;
- Innovative and collaborative approach.

# Discussion theoretical frameworks



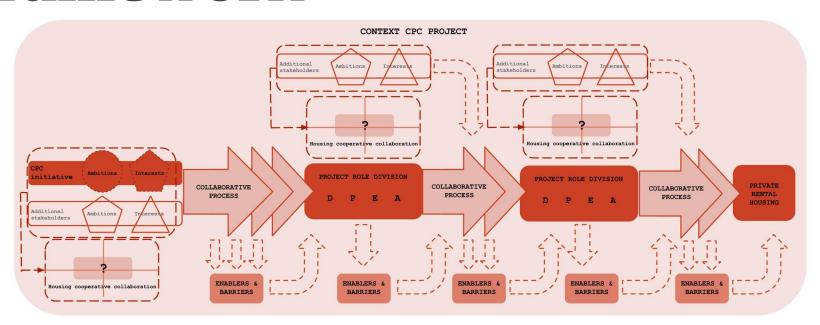
Frameworks combined for the type of housing cooperative collaboration



Enablers & barriers towards and during collaboration not all recognised;

DPEA roles seem fuzzy within collaboration and enablers & barriers not recognised.

# Discussion conceptual framework



#### Discussion methodology

- Missing criteria in the case study
- Diverse interviewed stakeholders
- Different contexts



## Recommendations



#### Further research

- More research when project is realised: new case study;
- Research into other aspects: the group of residents wanting to join a
  housing cooperative in this segment; the governmental and legal
  perspective of this type of development; investors involved in this type of
  development and their motives; other advantages of this type of
  development, etc.





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## Thank you!



#### Limitations

- Gaps in research due to constraints in case study;
- Insights more provided by involved experts;
- Sequence of interviews;
- Research conducted in different contexts.