

PERMEATING SOCIO -ECOLOGICAL WALLS

# Permeating

SOCIO-ECOLOGICAL

## Walls.

addressing the multi-dimensional inequality in the 'city of walls' through environmental justice

Larissa Muller 5465664 PROBLEM ANALYSIS

Multi-dimensional Inequality

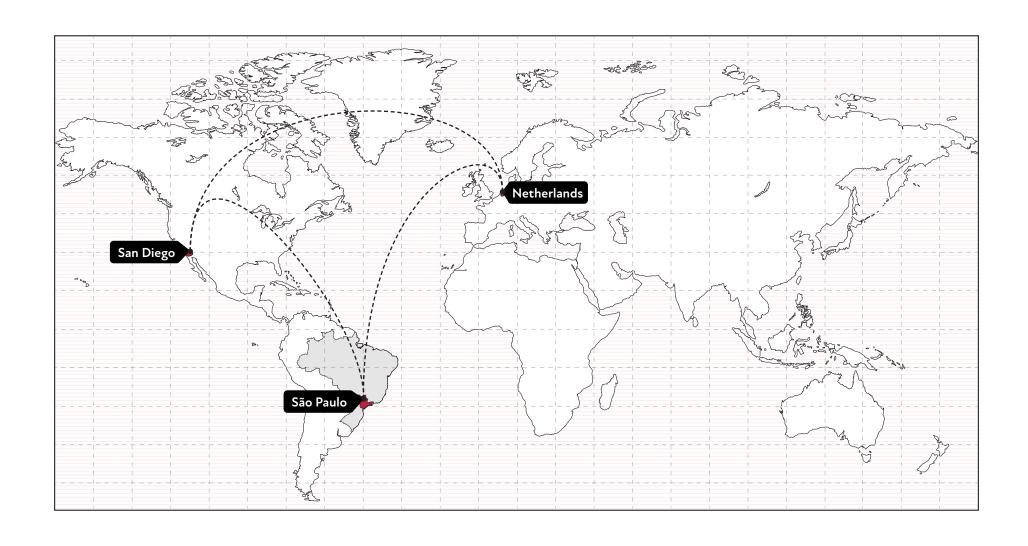
FRAMEWORK
Concepts and Theories

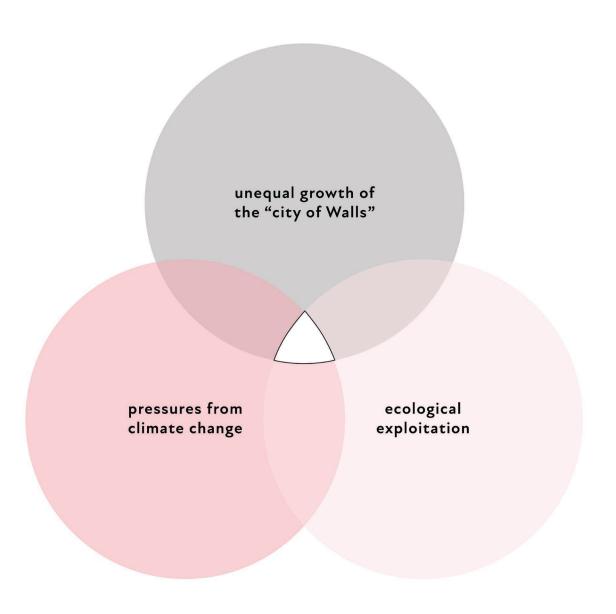
STRATEGIES

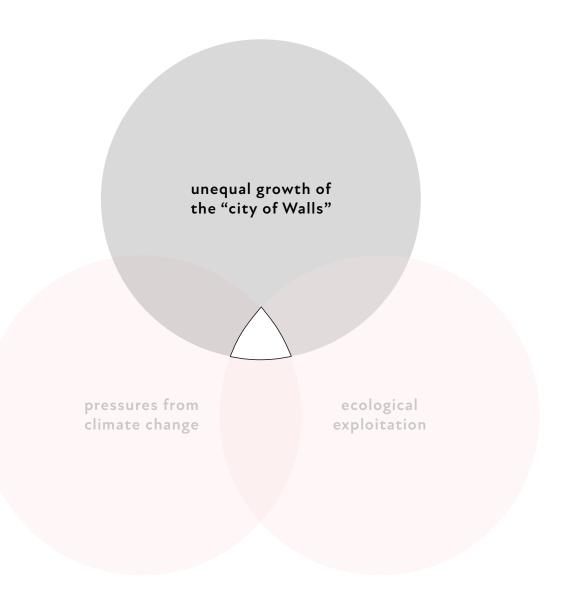
Inclusive Climate Adapto Inclusive Climate Adaptation

CONCLUSIONS

Local Implementation

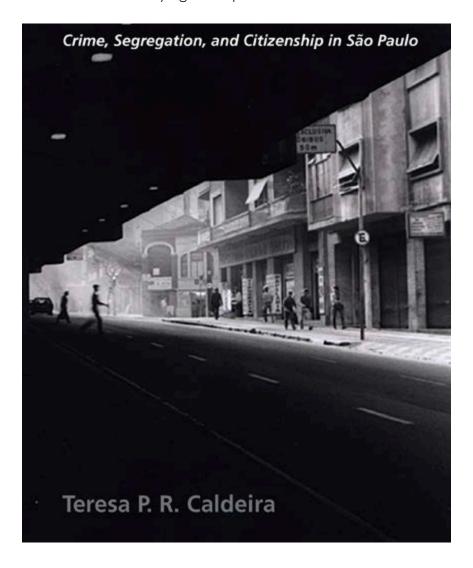






### "THE CITY OF WALLS"

patterns of segregation that were formed from underlying socio-political structures



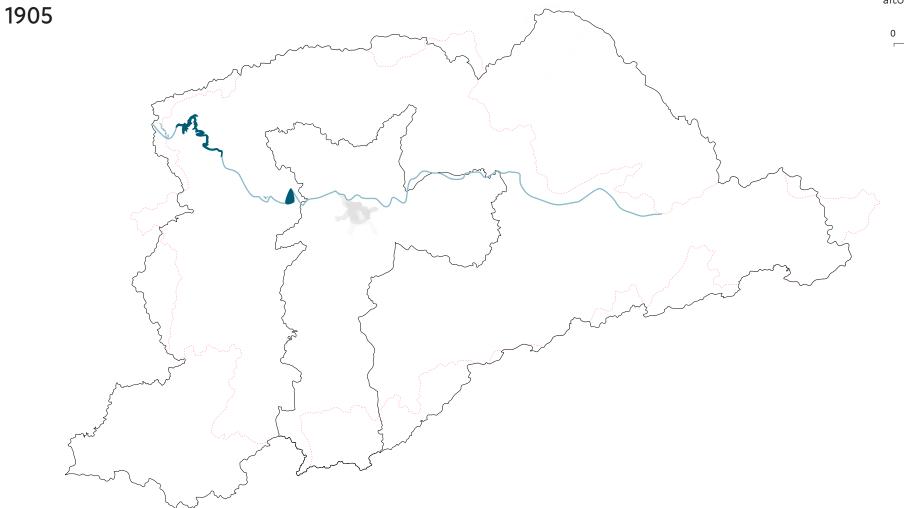
20 40 km



existing reservoir

reservoir under construction

alto tietê basin



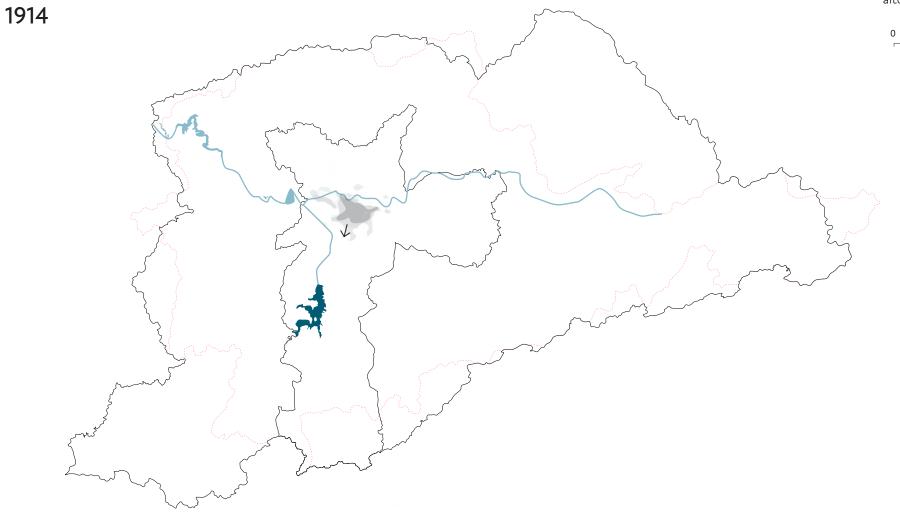
urban expansion

existing reservoir

reservoir under construction

alto tietê basin



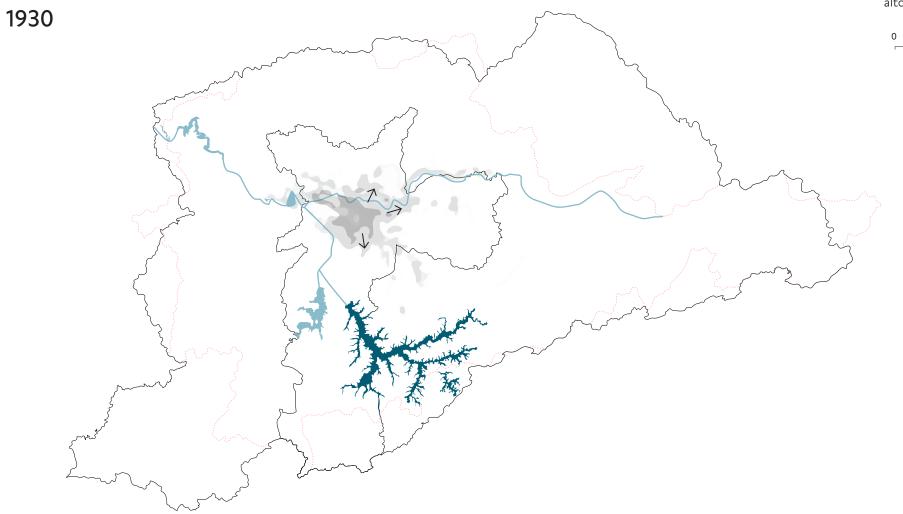


20 40 km



existing reservoir



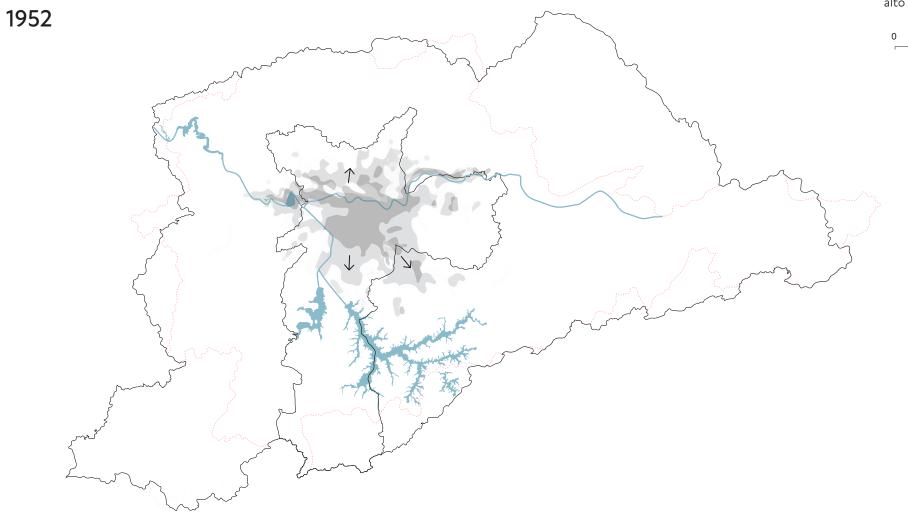


20 40 km



existing reservoir



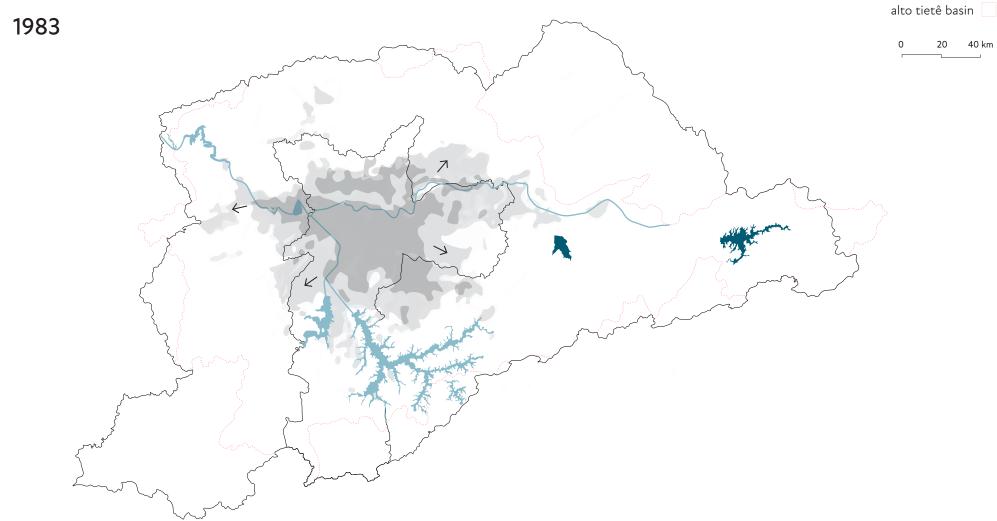


urban expansion

01 02 03 04

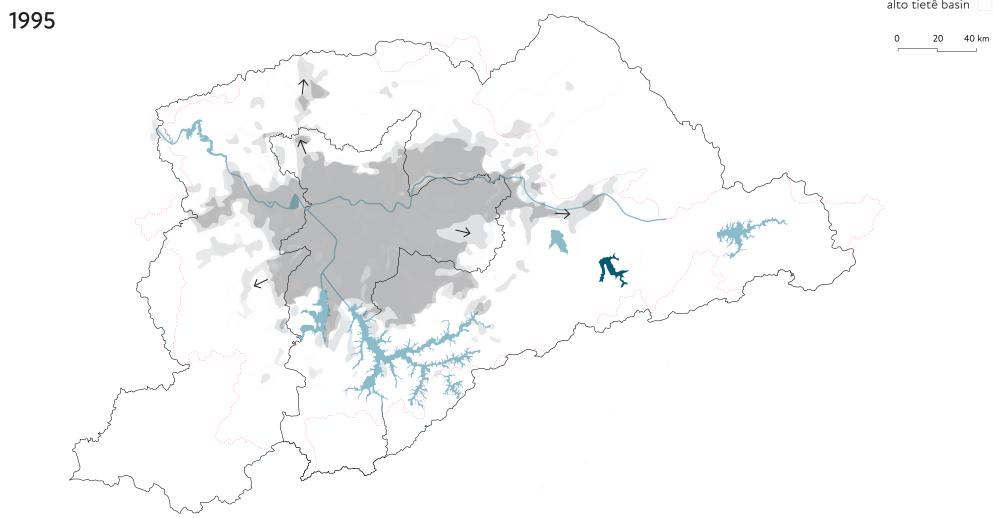
existing reservoir





existing reservoir





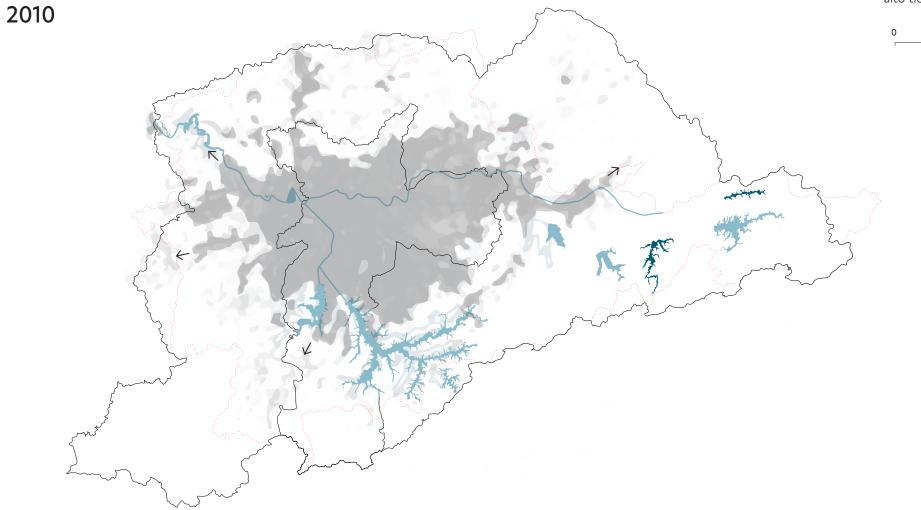
urban expansion

existing reservoir

reservoir under construction



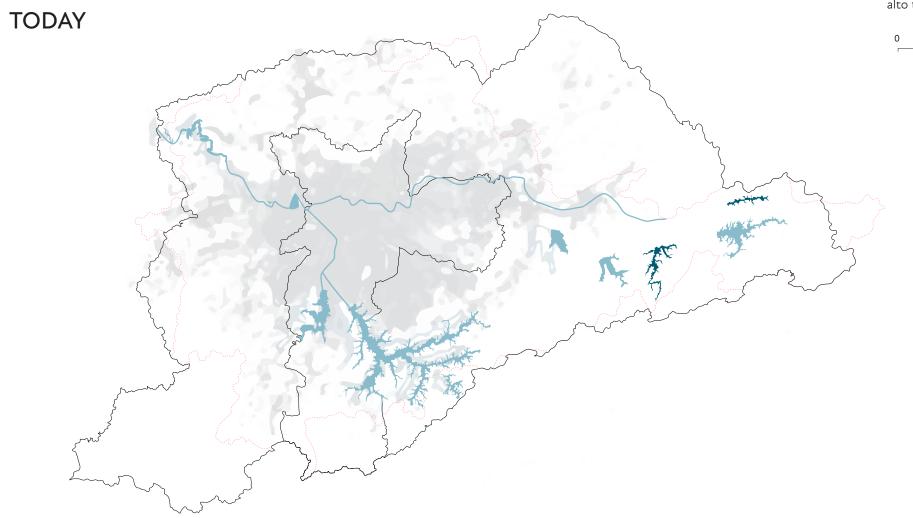
20 40 km



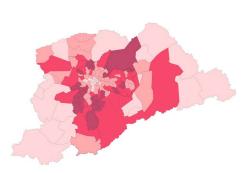
reservoir under construction



20 40 km



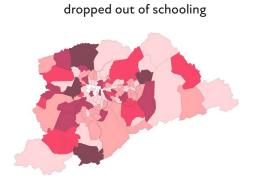
#### **SOCIO-ECONOMIC VULNERABILITY**



Households in Favelas

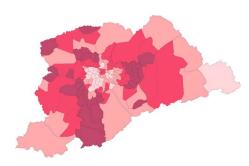


Population density

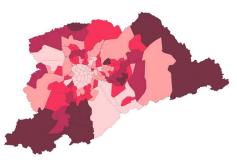


Rate of students that

Proportion of minorities preta e parda



Percentage of population in informal occupations



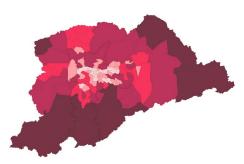
Unemployment rate over 18 years old



Proportion of people with low per capita income



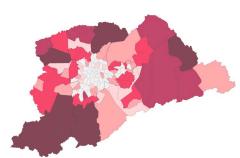
Access to mass transit



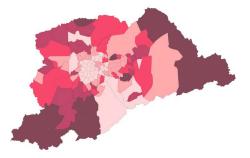
Percentage of low income households with long commutes



Households with inadequate water



Illiteracy rate over 15 years old

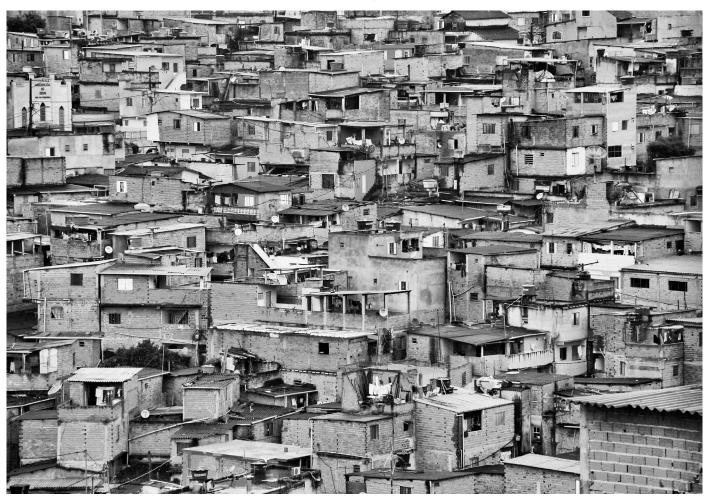


Favelas: an informal settlement typology characteristic of Brazilian cities

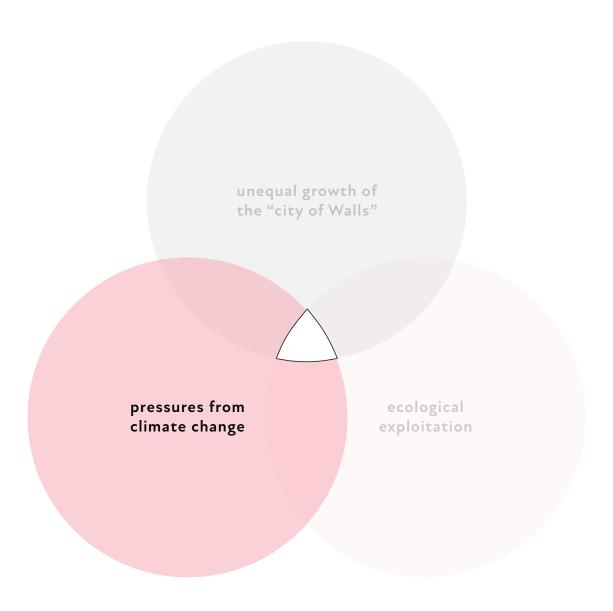
Source: Roberto Rocco

## "THE CITY OF WALLS"

- Caldeira, 1999



Source: Roberto Rocco







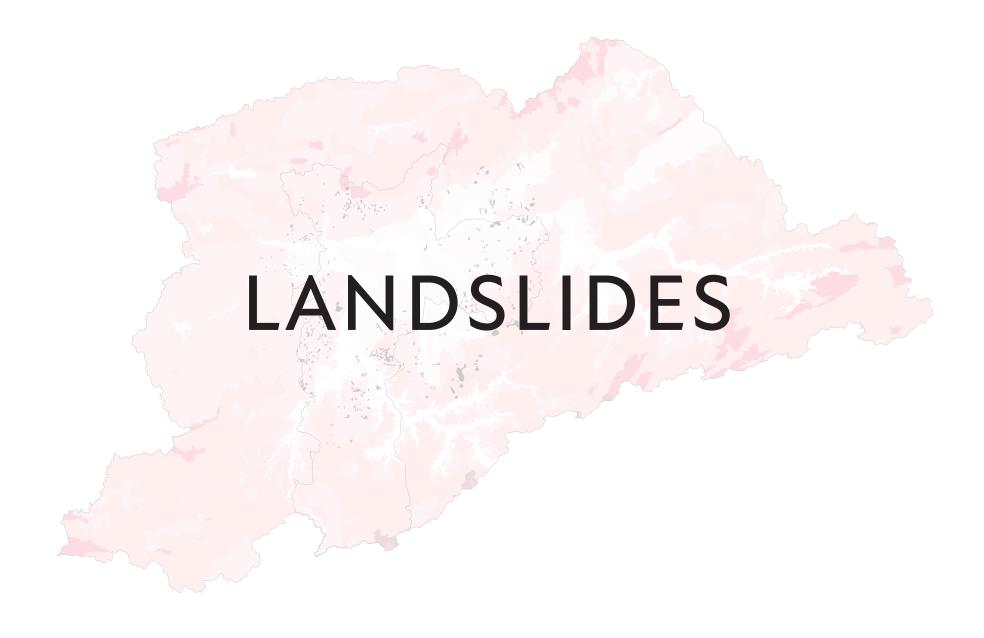
The combination of the effects of global warming as the problems of urban displacement

Source: Floodlist, 2019

Leading to areas of higher disaster-susceptibility

Source: ABC News 2019

ENVIRONMENTAL PRESSURES 01 02 03 04

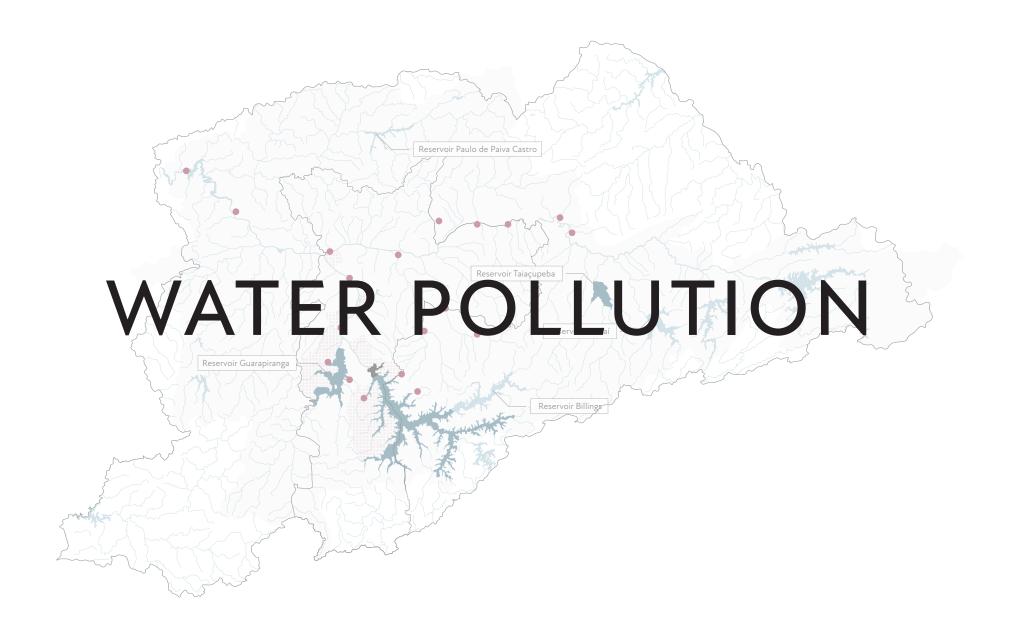


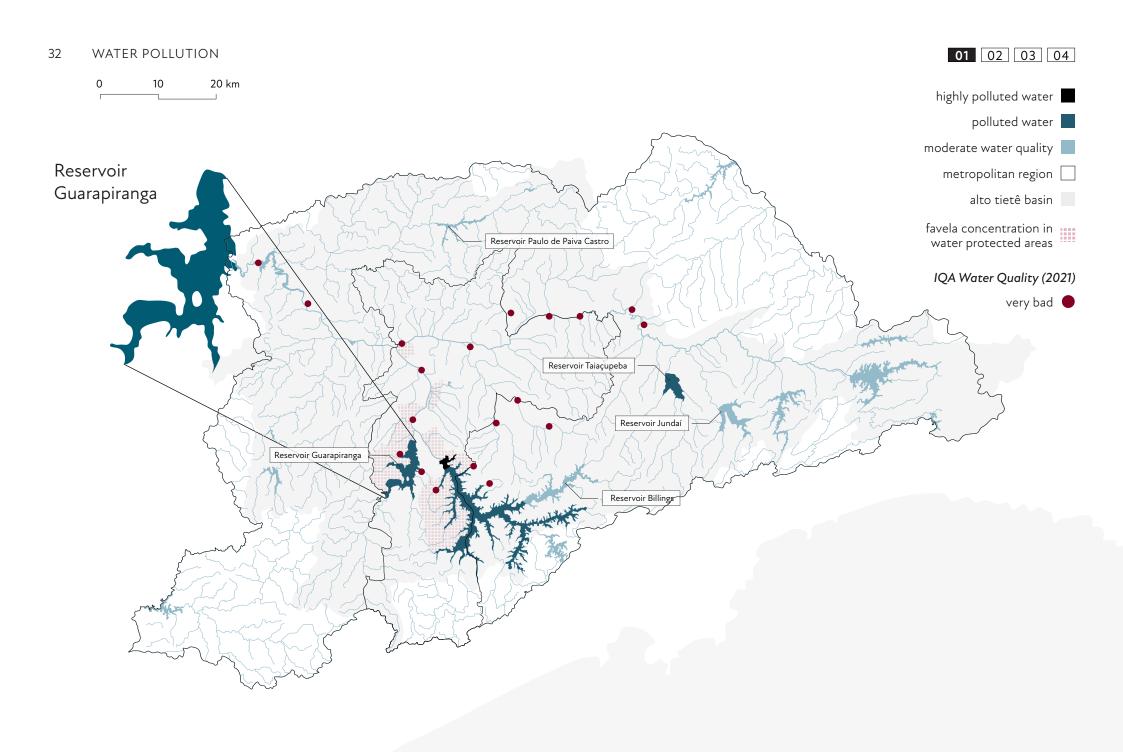


Source: ABS-CBN, 2023

ENVIRONMENTAL PRESSURES 01 02 03 04

31

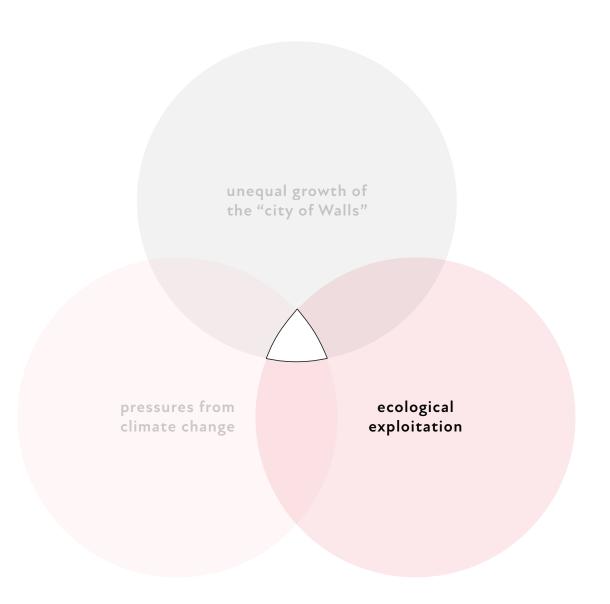




#### PRESSURES FROM CLIMATE CHANGE



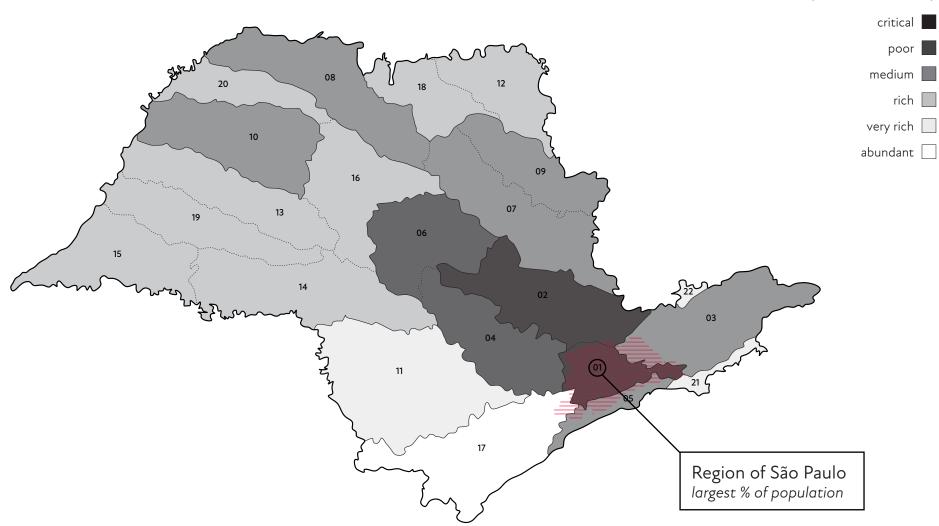
Source: Floodlist





state of são paulo

#### Per Capita Water Availability





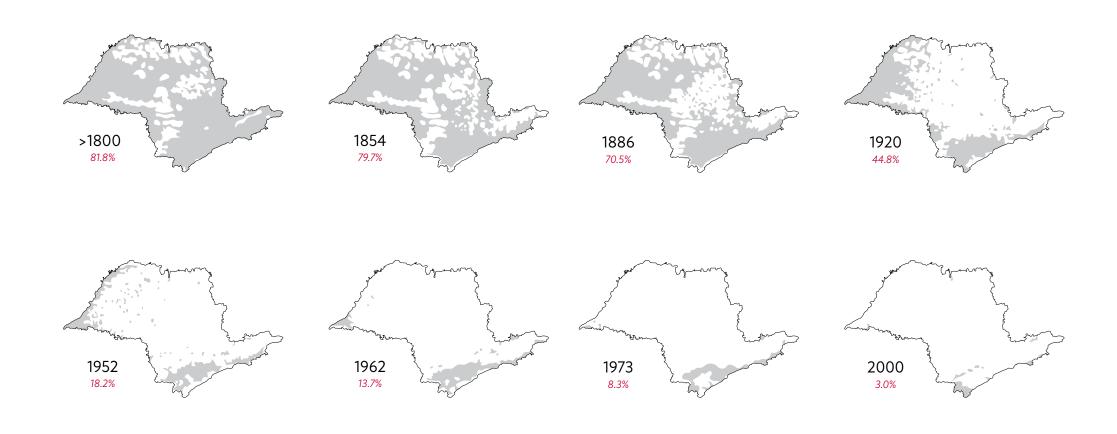
Effects of climate change, increased water consumption, and the mismanagement of water resources

Source: CNBC, 2015





## **DEFORESTATION**



### Source: AmazonConservation.org

## LEADING DRIVERS OF DEFORESTATION



unchecked agricultural expansion



illegal logging



lack of sufficient governance

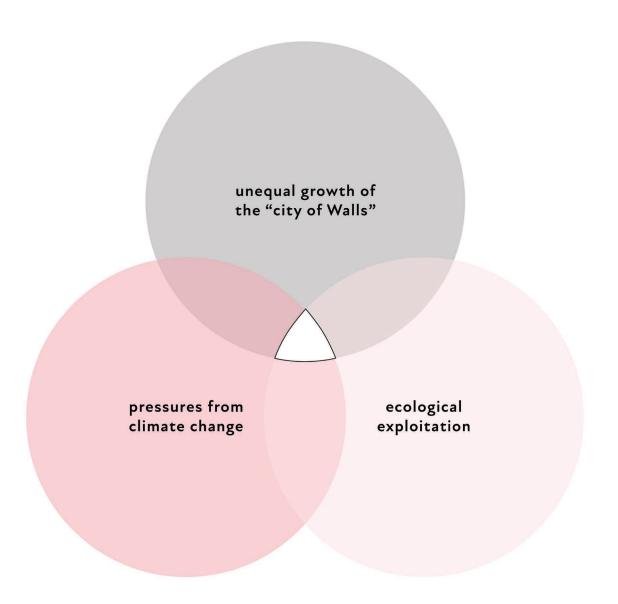


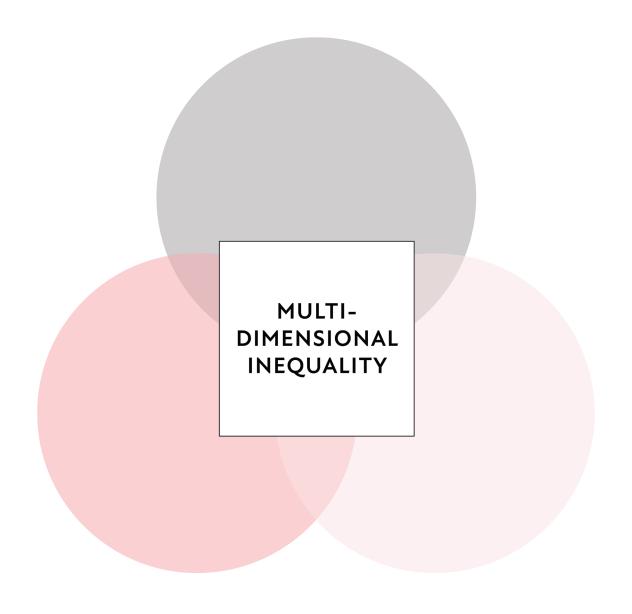
poorly-planned infrastructure

## **ECOLOGICAL EXPLOITATION**

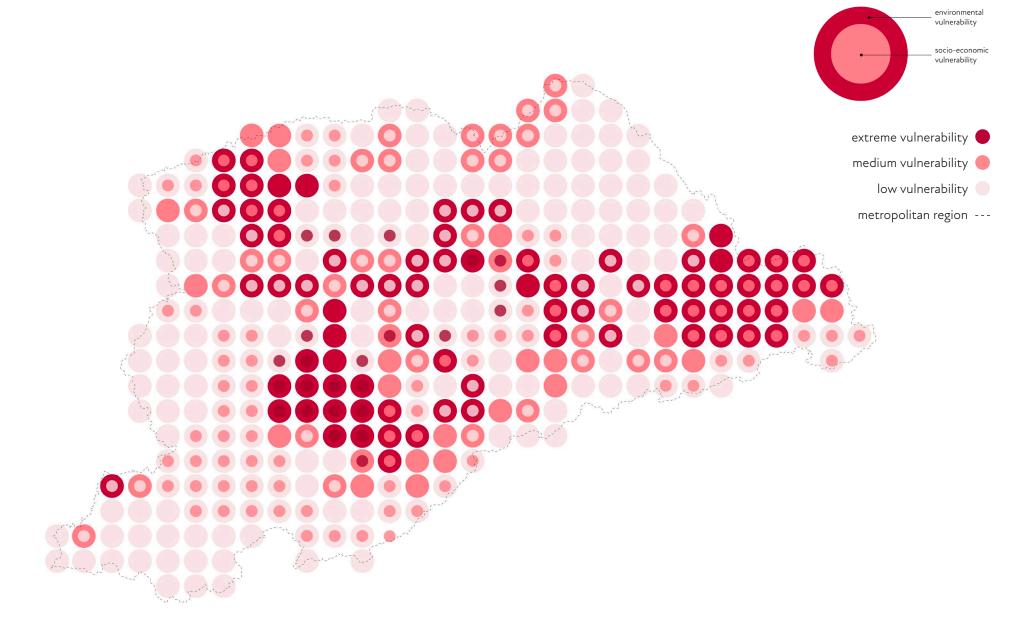


Source: The Times









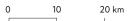
extreme vulnerability medium vulnerability

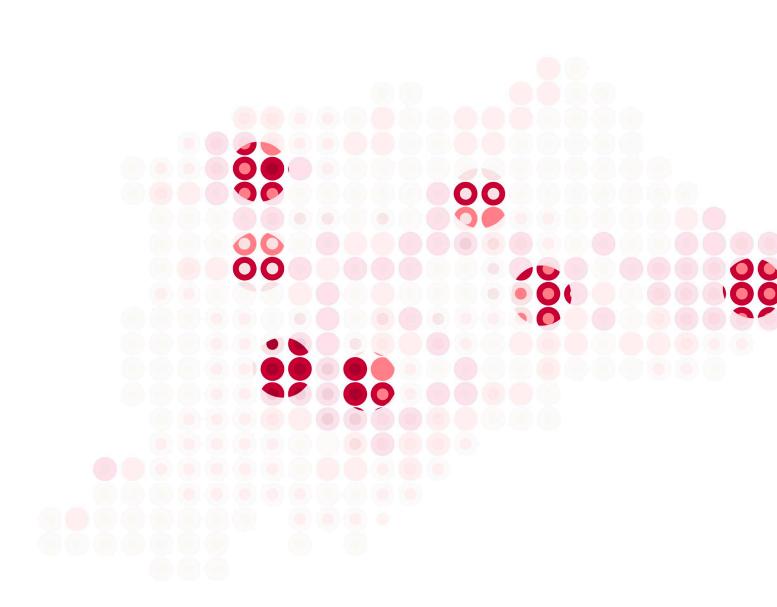
low vulnerability

metropolitan region ---

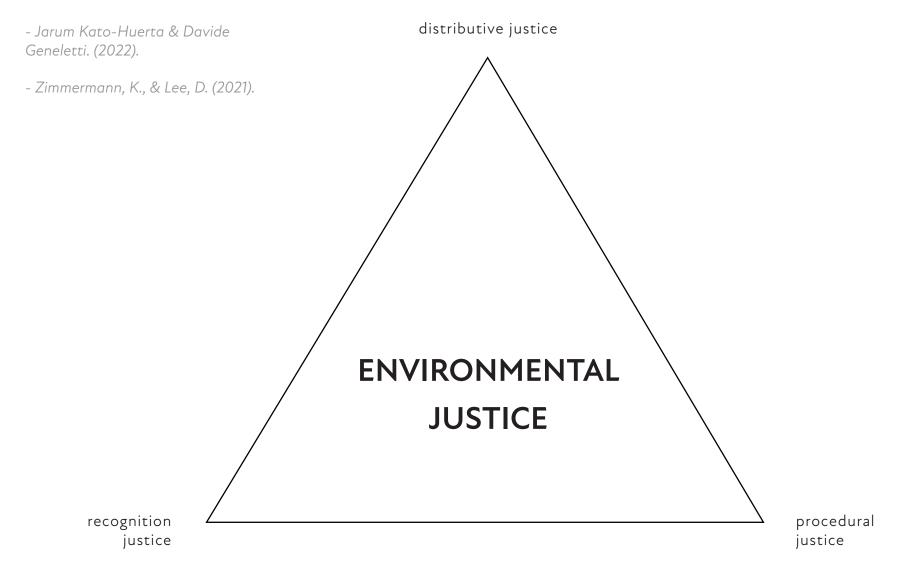
environmental vulnerability

socio-economic vulnerability





Academic Literature Source:



## **DISTRIBUTIVE JUSTICE**

acknowledges the notion of a fair and equal spatial distribution, more commonly known as the distribution of burdens and benefits

## RECOGNITION **JUSTICE**

## **PROCEDURAL JUSTICE**

## **DISTRIBUTIVE JUSTICE**

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## RECOGNITION **JUSTICE**

refers to discussions on who's interest is at stake and how to recognize interests that are typically not acknowledged and not taken into consideration

## **PROCEDURAL JUSTICE**

# DISTRIBUTIVE JUSTICE

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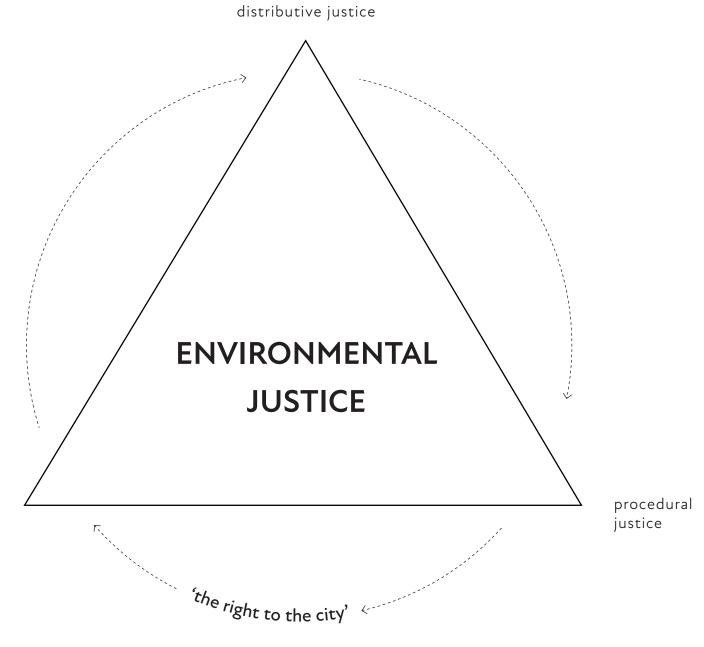
the process of decisionmaking; how do you come to these solutions and how fair are they

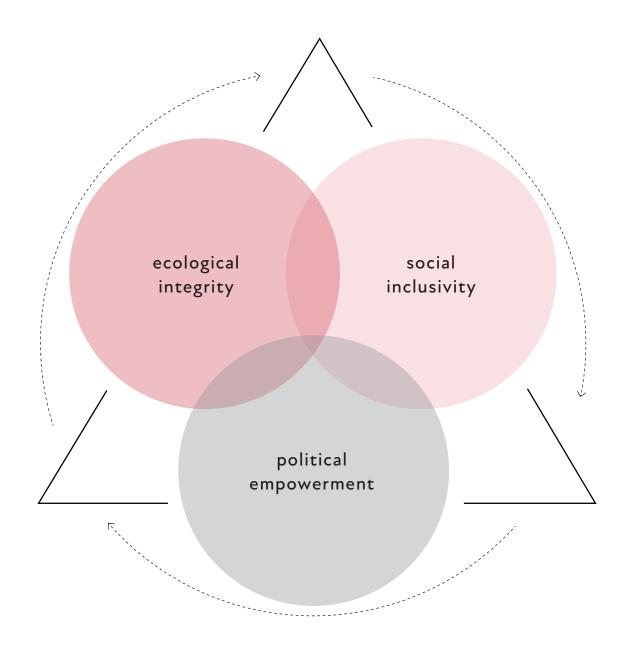
- Harvey, D. (2012).

- Henri Lefebvre. (1968).

recognition

justice





## MAIN RESEARCH QUESTION.

How can **environmental justice principles** help

boost **resilience** and bridge

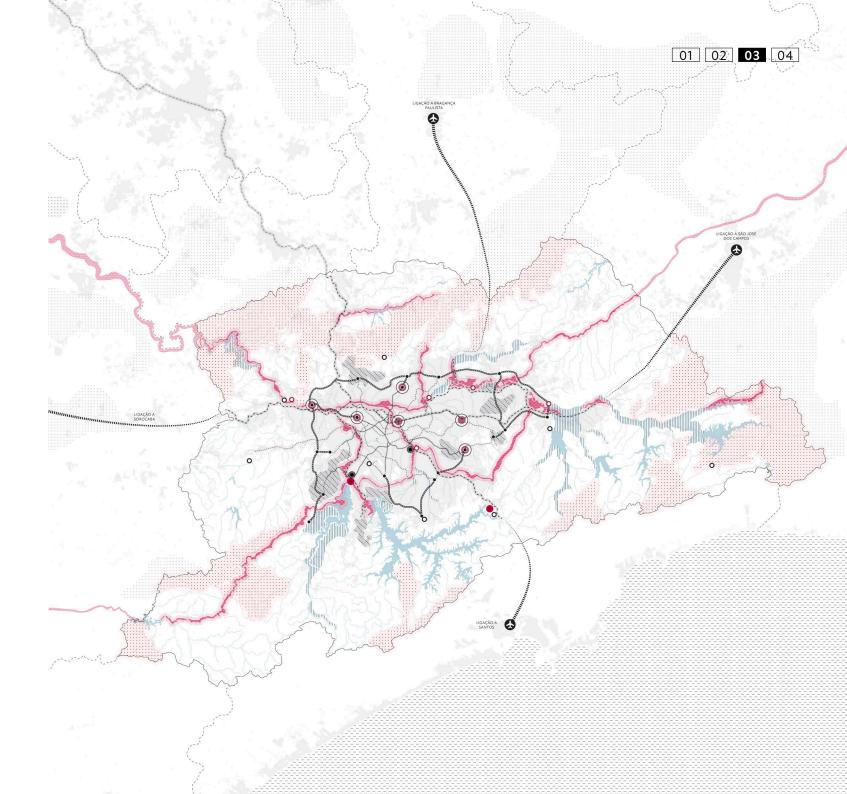
existing **socio-spatial walls**for **vulnerable communities**in São Paulo?

Climate change is the single greatest threat to a sustainable future but, at the same time, addressing the climate challenge presents a golden opportunity to promote prosperity, security and a brighter future for all.

Ban Ki-Moon

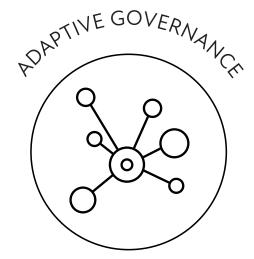
# STRATEGIC VISION FOR ENVIRONMENTAL JUSTICE

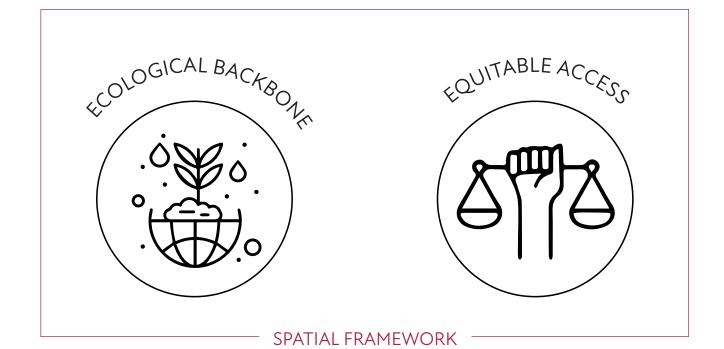








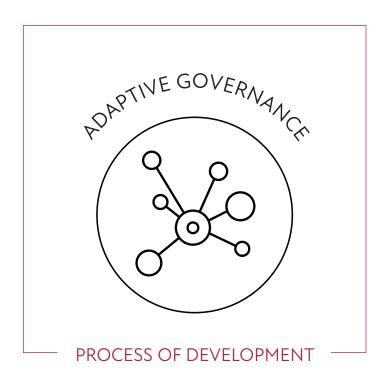












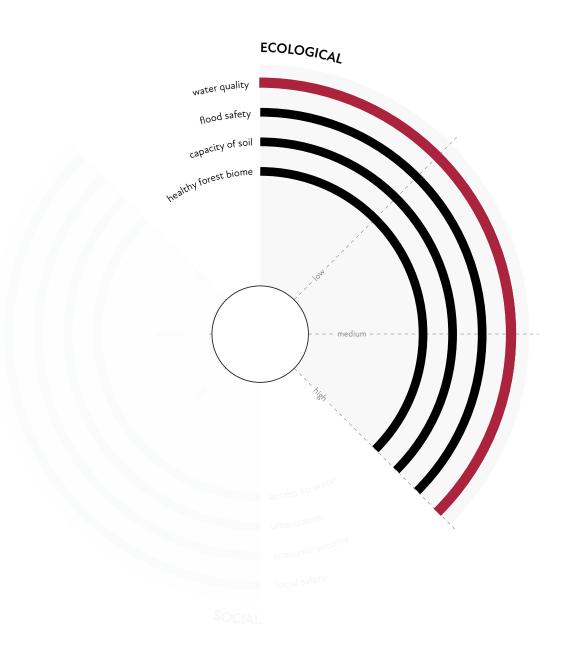
#### Ecological Category:

- water quality
- flood safety
- capacity of soil
- healthy forest biome

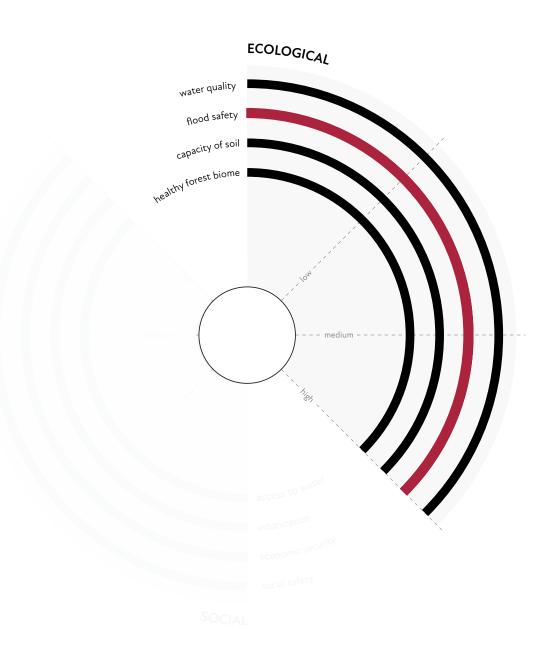
- access to water
- urbanization
- economic security
- social safety



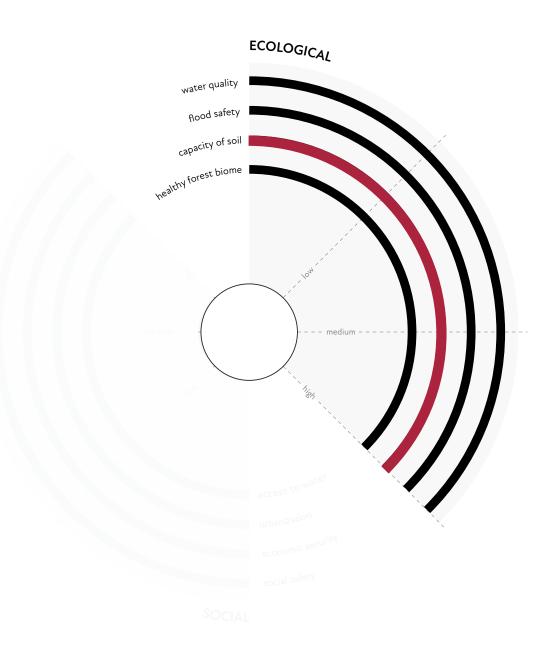
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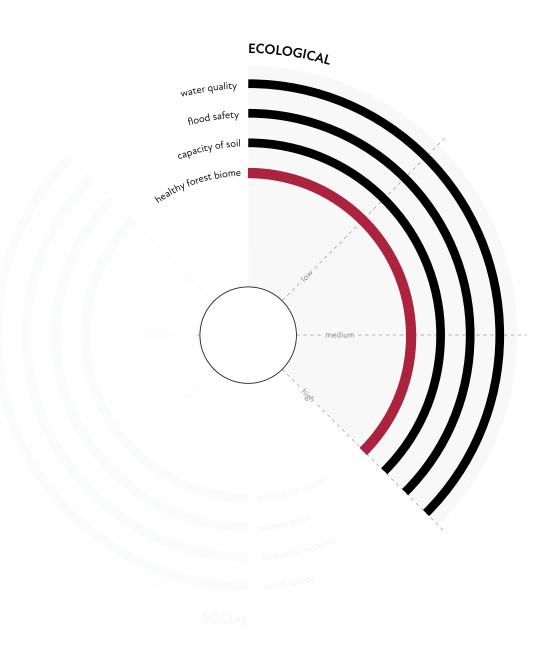
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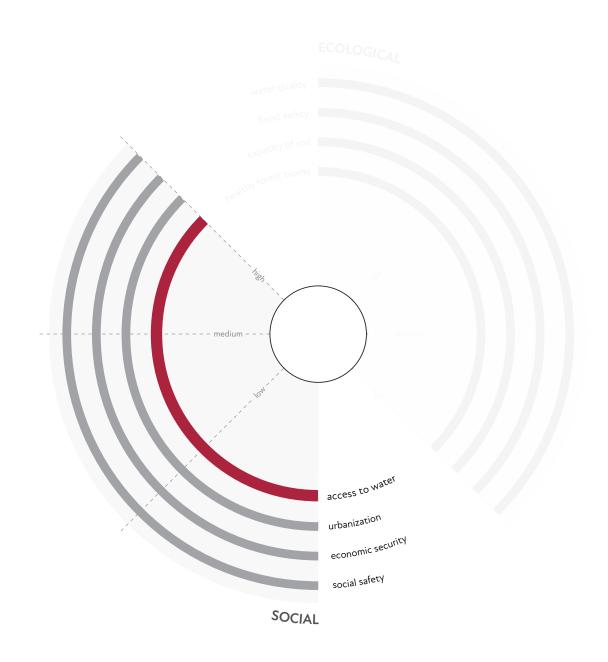
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#### Ecological Category:

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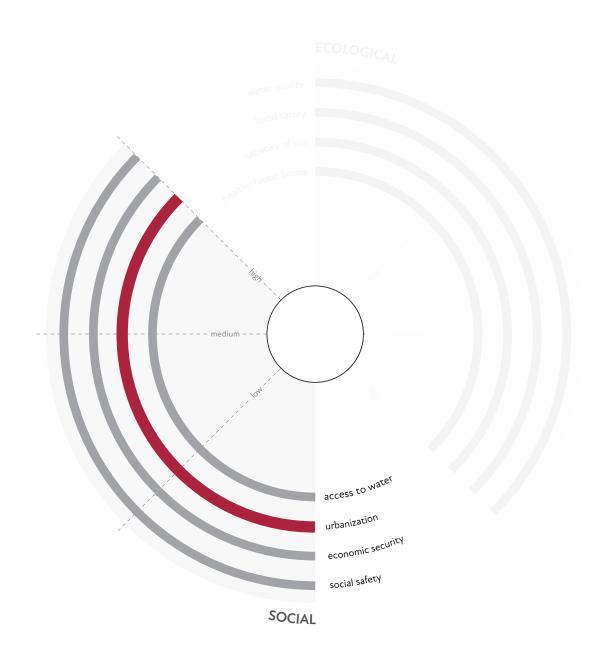


## **ASSESSMENT** TOOL

#### Ecological Category:

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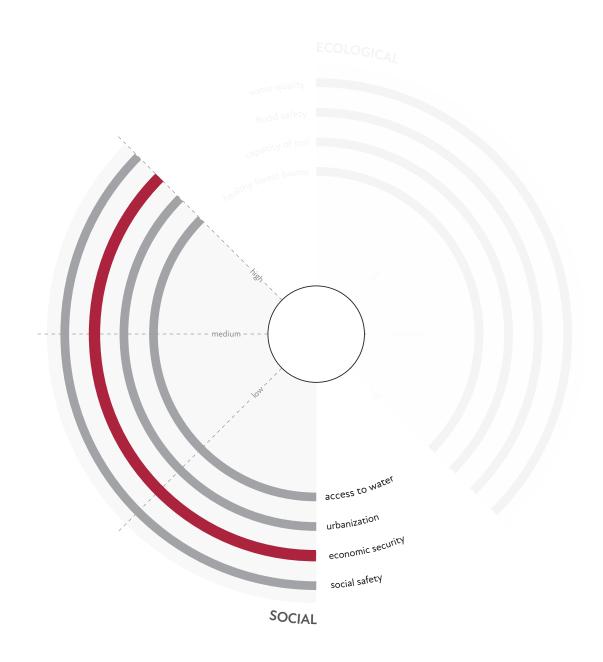
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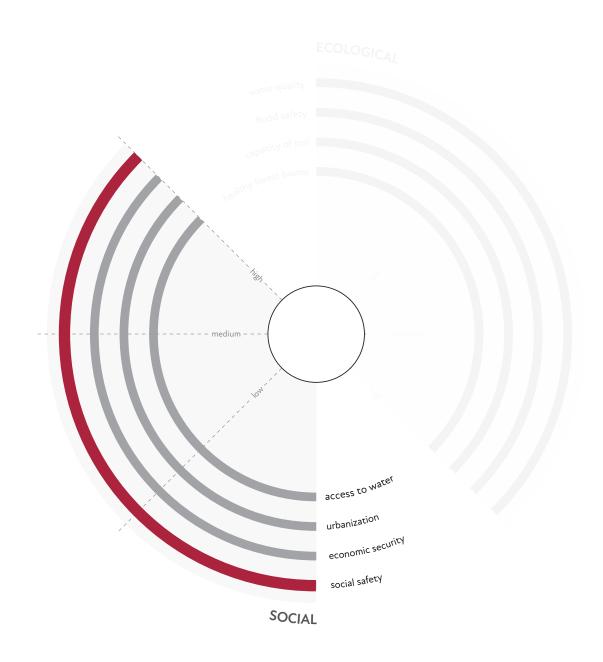
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#### Ecological Category:

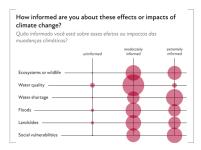
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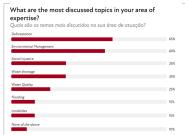
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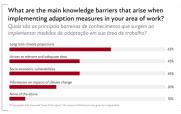


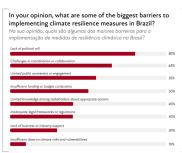
Online Survey Questionnaire.

of respondents believe that there is not enough awarness and understanding of the importance of climate resilience among the public in Sao Paulo.



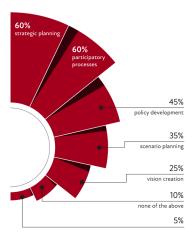






#### Main Techniques Used:

Principais Técnicas Utilizadas:



#### Most important solutions for environmental problems:

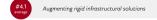
soluções mais importantes para os problemas ambientais:











#### Most helpful types of support to organizations for implementing inclusive adaptation measures:

tipos de apoio mais úteis para as organizações na implementação de medidas de adaptação inclusivas:



through policy support

campaigns to gain public

As of 26.06.2023: there have been 22 respondents

01.

São Paulo should be focused on supporting vulnerable communities as well as encouraging nature-based urbanism orgreen infrastructures.

02.

That providing more incentives and more training opportunities to build capacity and knowledge are the most helpful types of support.

03.

One of the key limitations was that there lacks adequate collaboration or coordination in the implementation of solutions.

04.

The public still lacks sufficient awareness and understanding on the importance of implementing climate resilience solutions.

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ONLINE SURVEY RESULTS

01 02 03 04

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# **ECOLOGICAL BACKBONE**

### APPLIED SDGS:







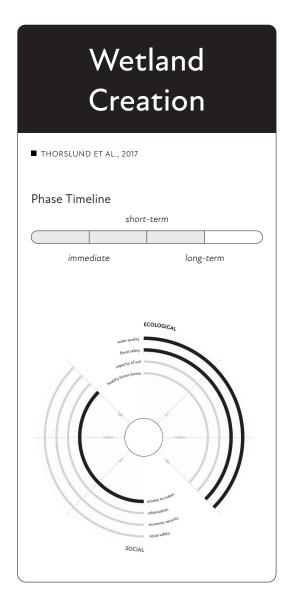
### **APPLIED THEORIES:**

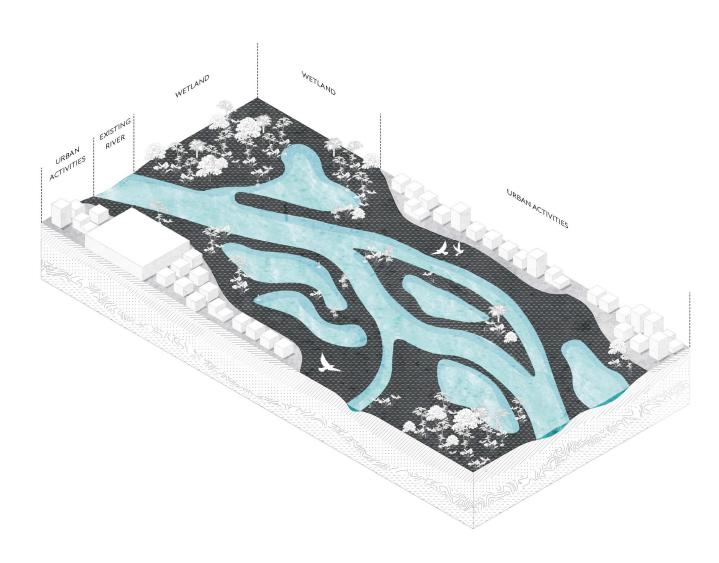
### SOCIO-ECOLOGICAL RESILIENCE

- DAKOS, V., & KEFI, S. (2022).
- DAVOUDI, S., BROOKS, E., & MEHMOOD, A. (2013).

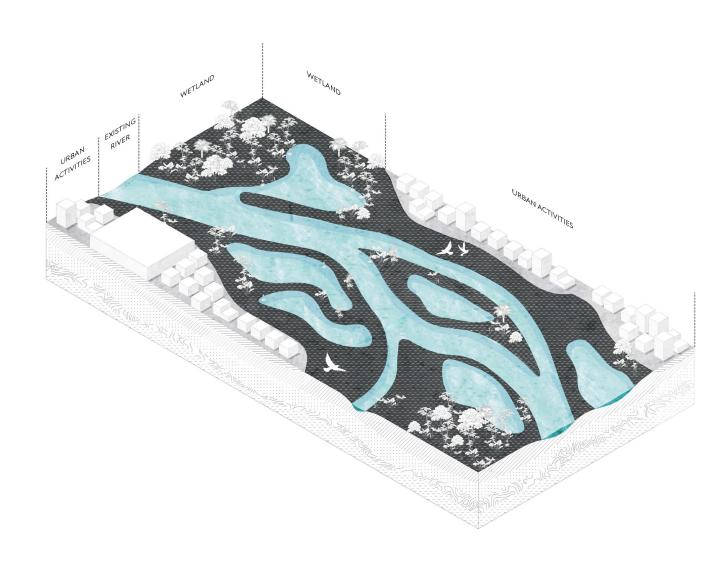
### **ECO-SYSTEM BASED ADAPTATION**

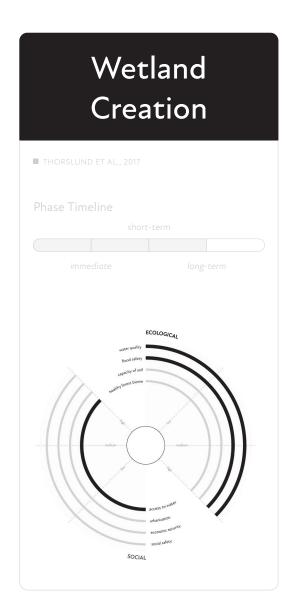
- JONES, H., HOLE, D., & ZAVALETA, E. (2012).
- MANES, S., ET AL. (2022).

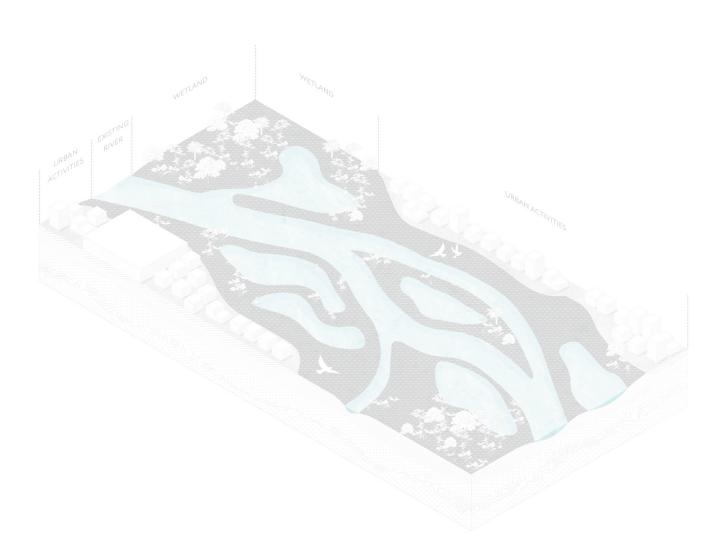




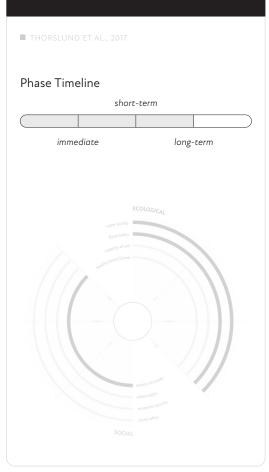
# Wetland Creation ■ THORSLUND ET AL., 2017

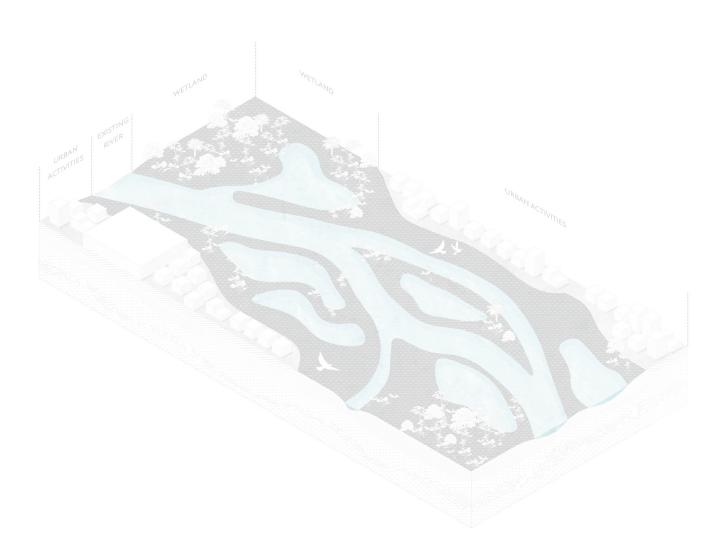




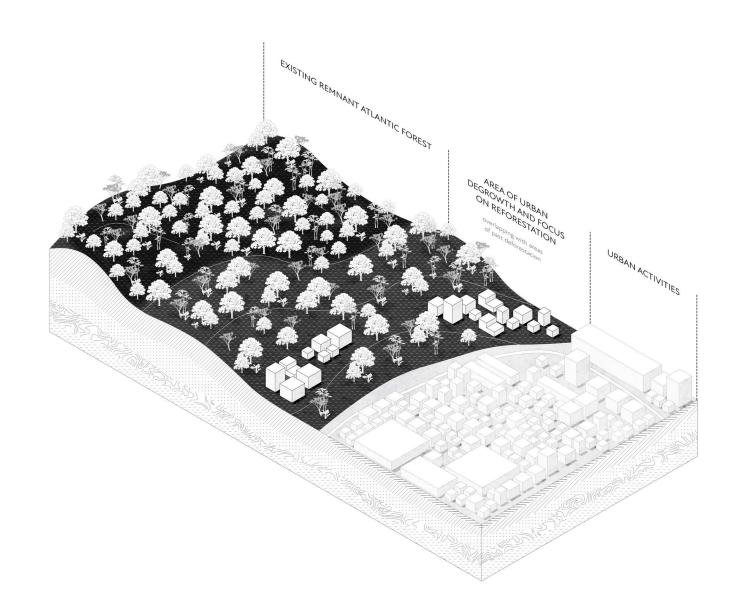


### Wetland Creation





# Rehabilitate Atlantic Forest ■ IPCC, 2022 AND GLOBAL FOREST WATCH, 2022 Phase Timeline short-term long-term immediate ECOLOGICAL



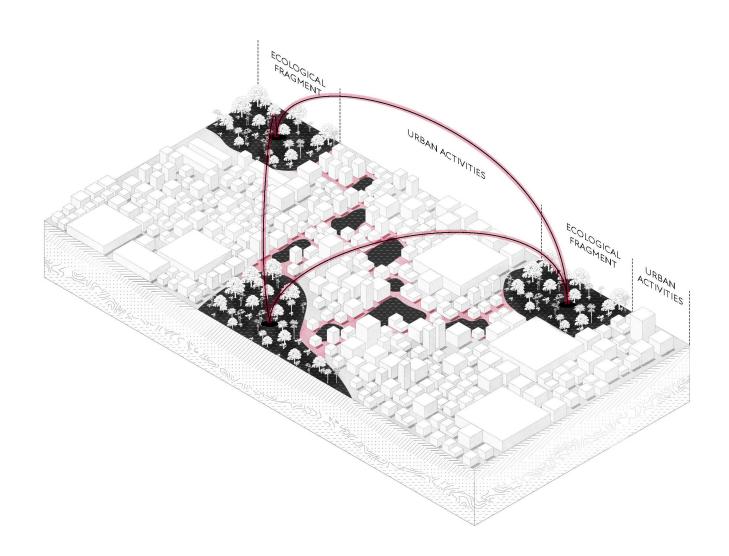
### Ecological Connectivity

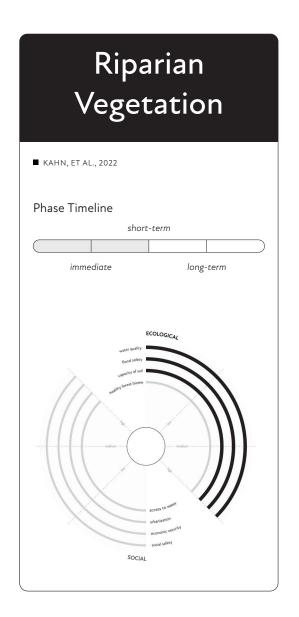
■ JODI HILTY, 2022 AND JAMES D. OLSON ET AL., 2013

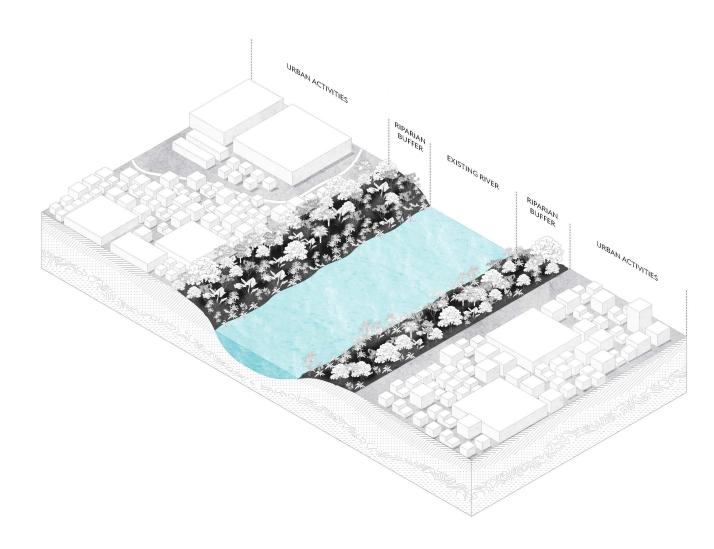
### Phase Timeline



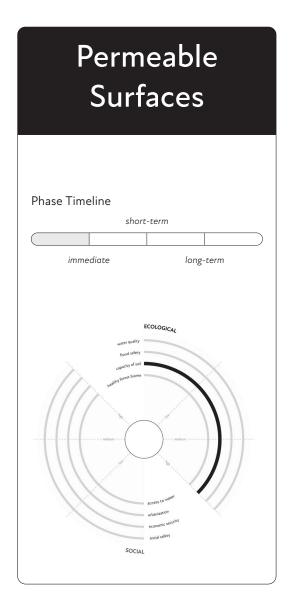


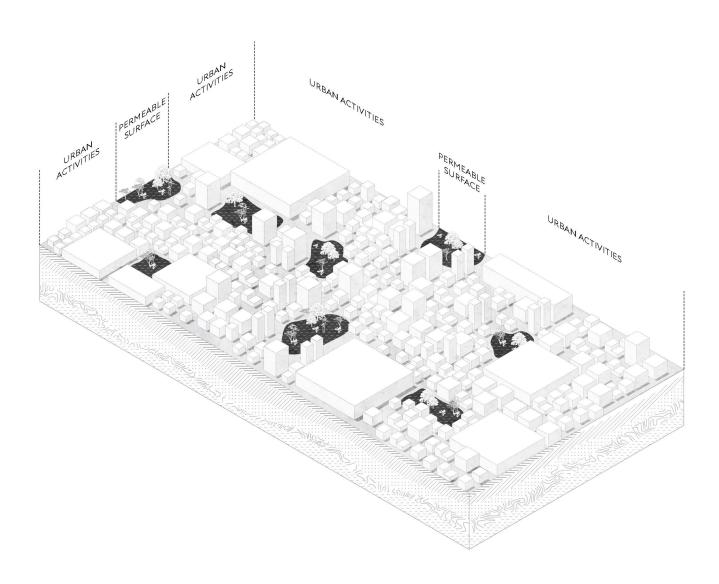




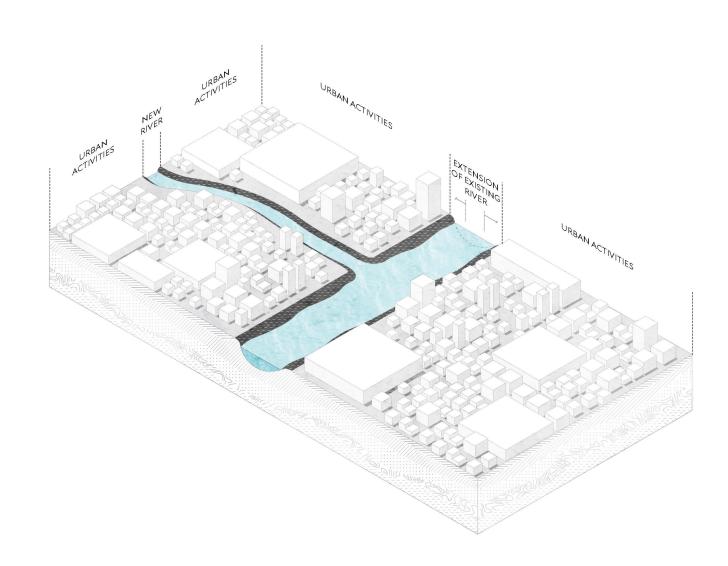


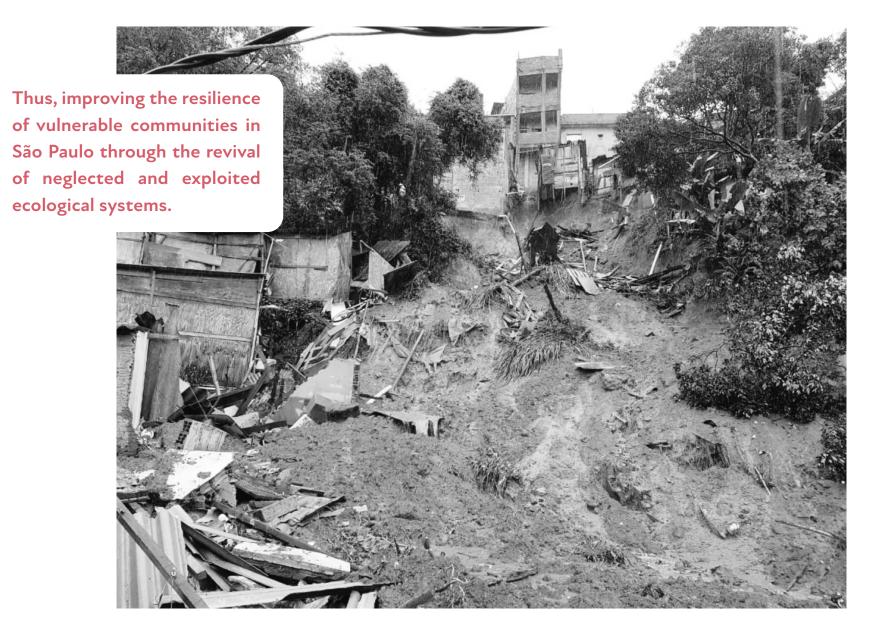
# ECOLOGICAL BACKBONE





# Expansion of Water Networks Phase Timeline short-term long-term immediate





Source: Floodlist







# **EQUITABLE ACCESS**

### APPLIED SDGS:







### **APPLIED THEORIES:**

### SOCIO-ECOLOGICAL RESILIENCE

- DAKOS, V., & KEFI, S. (2022).
- DAVOUDI, S., BROOKS, E., & MEHMOOD, A. (2013).

### **URBAN POLITICAL ECOLOGY**

- HEYNEN, N., KAIKA, M., & SWYNEDGOUW. (2006).
- NIK HEYNEN. (2014).

### Expansion of Transportation

■ A TALE OF TWO CITIES, UN-HABITAT, 2010

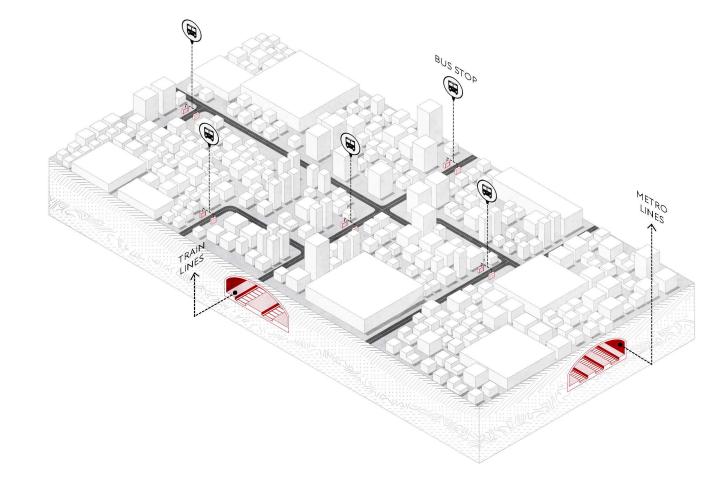
### Phase Timeline

short-term

immediate

long-term







# Reinforcement of Education

■ A TALE OF TWO CITIES, UN-HABITAT, 2010

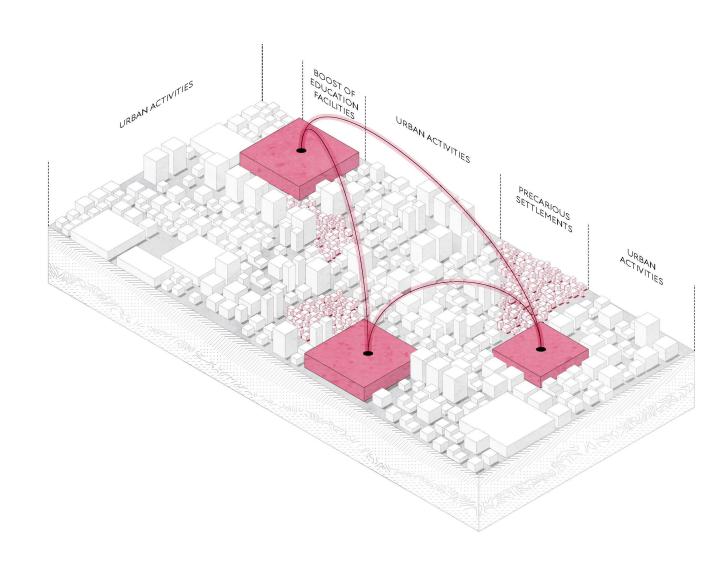
### Phase Timeline

short-term

immediate

long-term









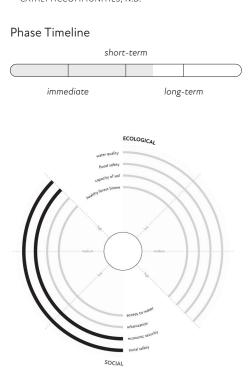


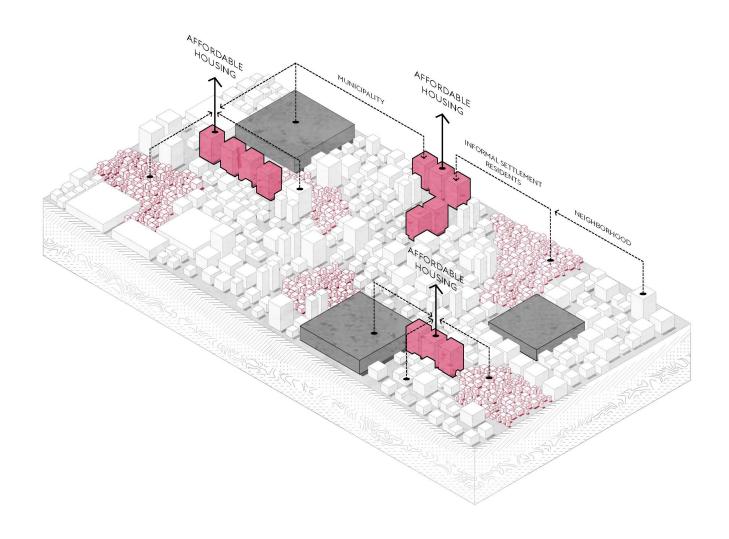


Source: ceappedreira.org.br

# Increase Access to Affordable Housing

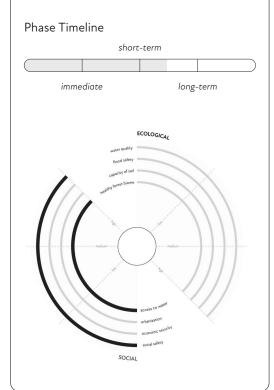
■ A TALE OF TWO CITIES, UN-HABITAT, 2010 AND CATALYTICCOMMUNITIES, N.D.

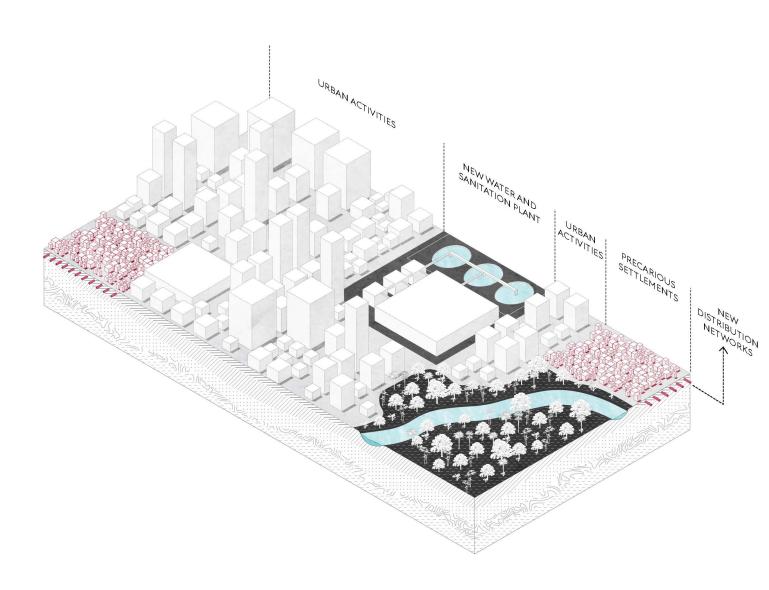




# Development of Water + Sanitation Infrastructure

■ A TALE OF TWO CITIES, UN-HABITAT, 2010

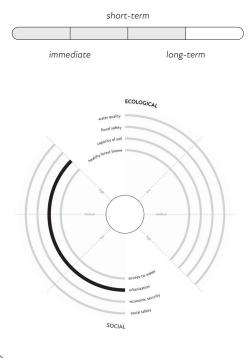


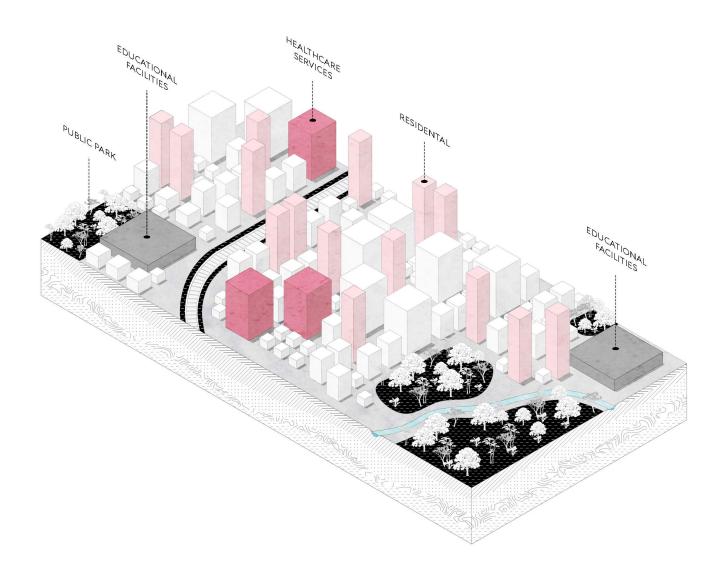


### Employ a Compact City Model

■ A TALE OF TWO CITIES, UN-HABITAT, 2010 AND OECD, 2012

### Phase Timeline







Source: Roberto Rocco





# **ADAPTIVE** GOVERNANCE

### **APPLIED SDGS:**





### **APPLIED THEORIES:**

### ADAPTIVE GOVERNANCE

- CHAFFIN, B.C., & GUNDERSON, L.H. (2016)
- PEDRO HENRIQUE CAMPELLO TORRES, ET AL. (2021).

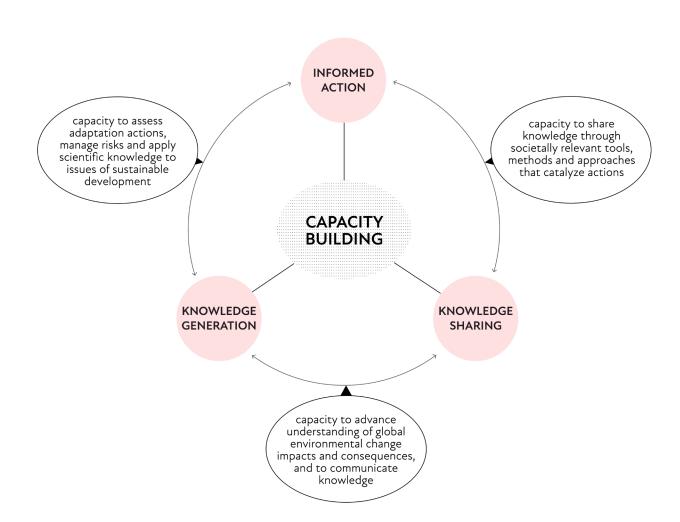
### CO-PRODUCTION OF KNOWLEDGE

- MILLER, C.A., & WYBORN, C. (2020)
- PEDRO HENRIQUE CAMPELLO TORRES, ET AL. (2021).

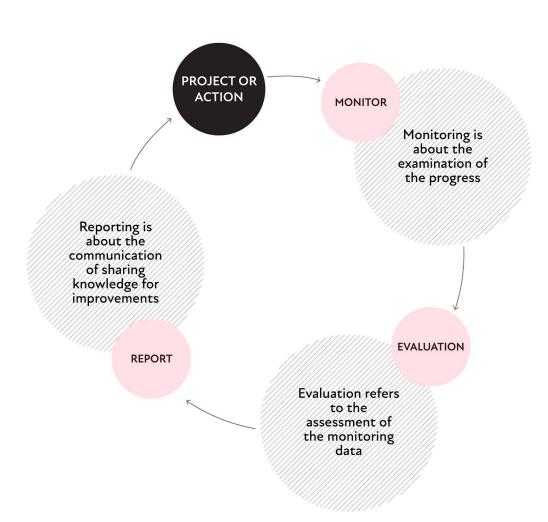
### **URBAN POLITICAL ECOLOGY**

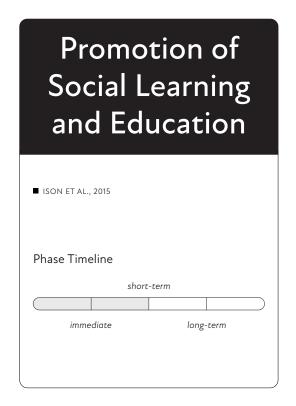
- HEYNEN, ET AL. (2006)
- NIK HEYNEN. (2014)

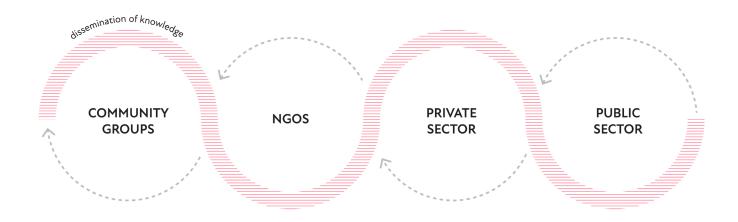
# Support Capacity Building Programs I VIRJI ET AL., 2012 Phase Timeline short-term immediate long-term



### Develop Monitor, Report, and Evaluation Systems ■ EUROPEAN ENVIRONMENT AGENCY, 2015 Phase Timeline short-term immediate long-term

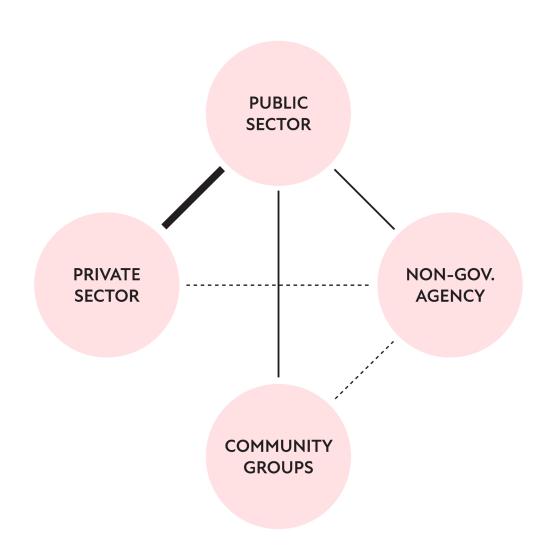


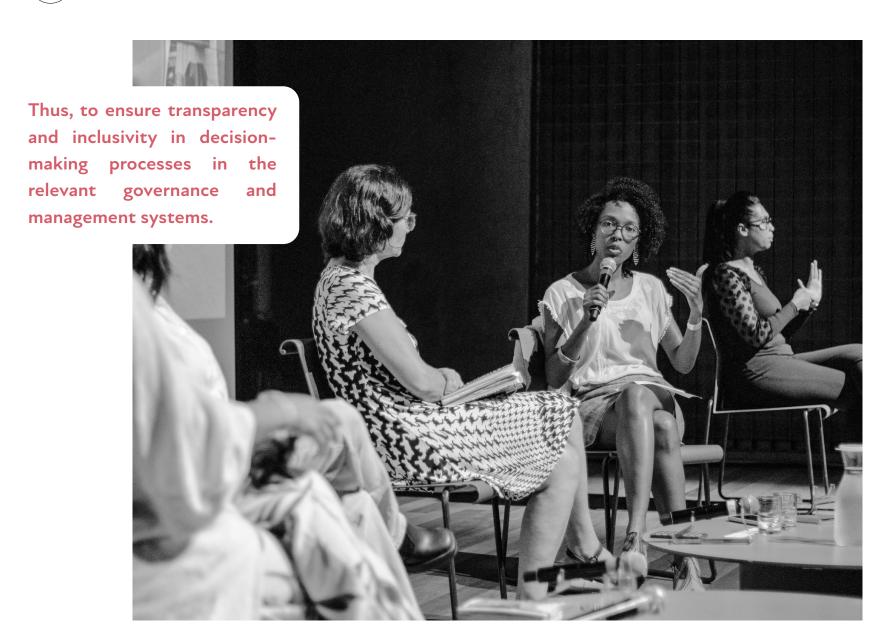




# ADAPTIVE GOVERNANCE

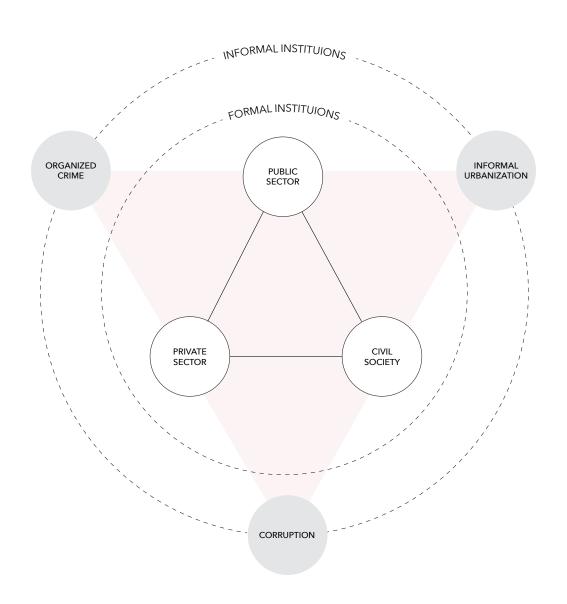
### Promotion of Collaboration and Partnerships ■ VIRAPONSGE ET AL., 2016 Phase Timeline short-term immediate long-term regulate + manage collaborate + execute ----- monitor + consultate



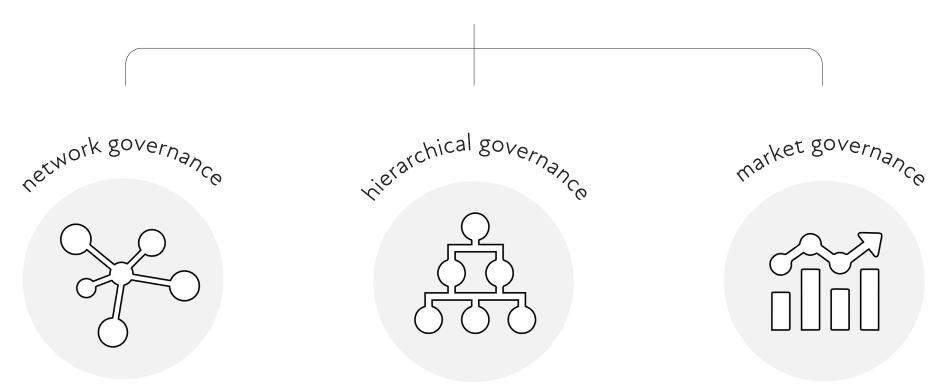


Source: Rede Nossa Sao Paulo, 2023

### INFORMAL INSTITUTIONS



### META - GOVERNANCE





### **NETWORK GOUERNANCE**

LEVELS OF GOVERNANCE	RESPONSIBILITIES	
NATIONAL	FINANCE	
STATE	FINANCE, COORDINATE, IMPLEMENT	
CITY	COORDINATE, IMPLEMENT, REGULATE, MONITOR	
MUNICIPAL	IMPLEMENT, REGULATE, MONITOR	
LOCAL (non-governmental)	MONITOR, CONSULT, IMPLEMENT	





### HIERARCHICAL **GOVERNANCE**

CITY STATUTE, 2001

(Estatuto da Cidade)

### Article 7

**Urban Land and Property Tax** (IPTU) Progressive over time

(Imposto Predial e Territorial Urbano (IPTU) progressivo no tempo)

### Article 25

Right of Preemption

(Direito de preempção)

### Article 5

Compulsory Parcelling, Building, or Utilization (PEUC)

> (Parcelamento, Edificação ou Utilização Compulsórios)

### Article 9

Special Urban Real Estate Possession

(Usucapião especial de imóvel urbano)

### Article 35

Transfer of the Right to Build (TDC)

(Transferência do Direito de Construir)



### MARKET GOVERNANCE

Public Infrastructure Subsidy

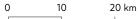
Forest Reforestation Grants

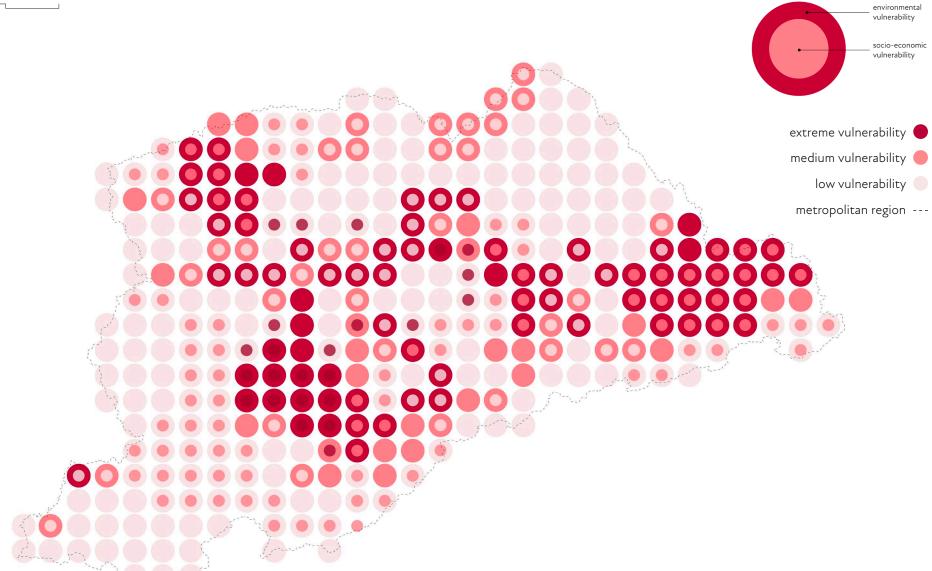
Affordable Housing Grants for CLT's

**Biodiversity Offsets** 

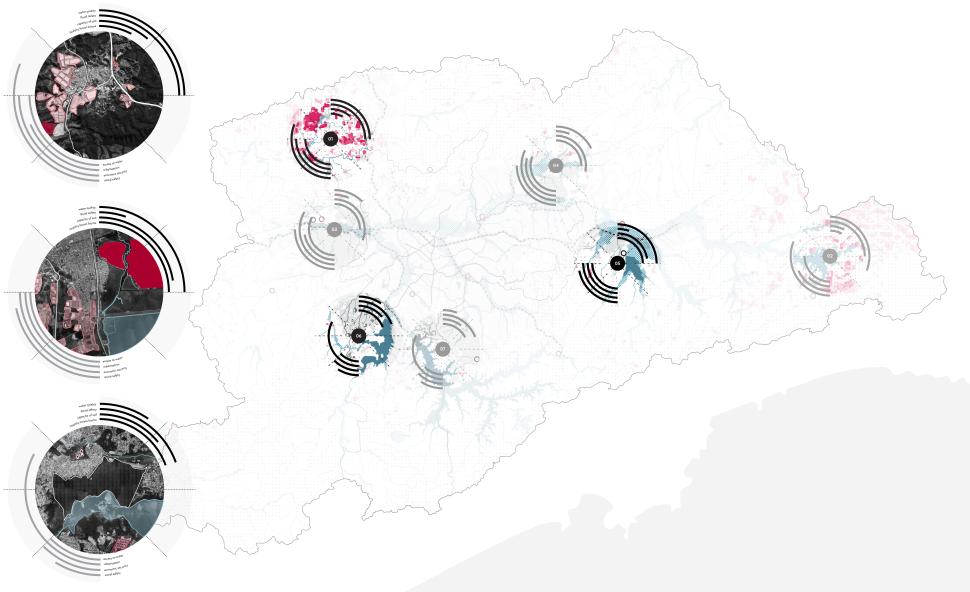
Construction Incentives

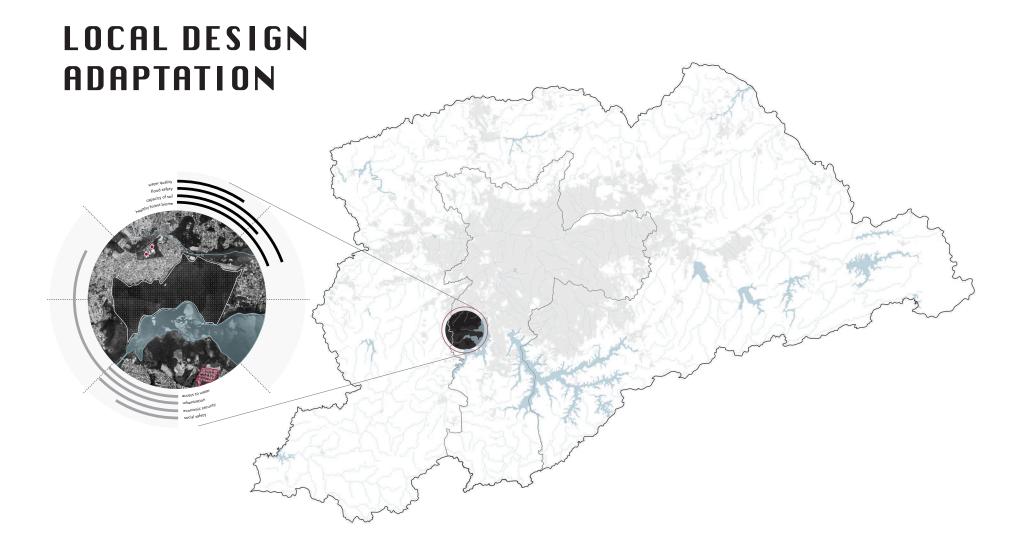
**Protection Incentives** 

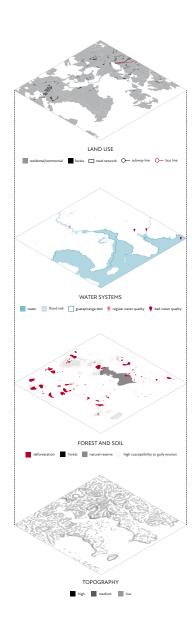


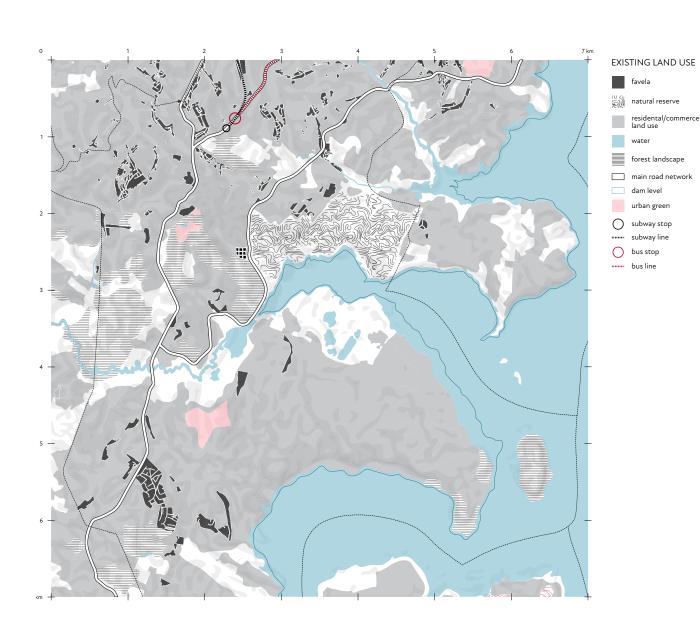


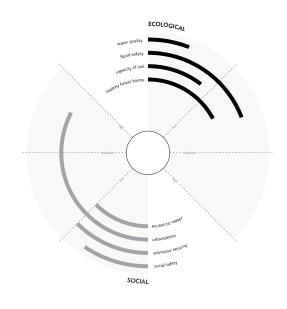


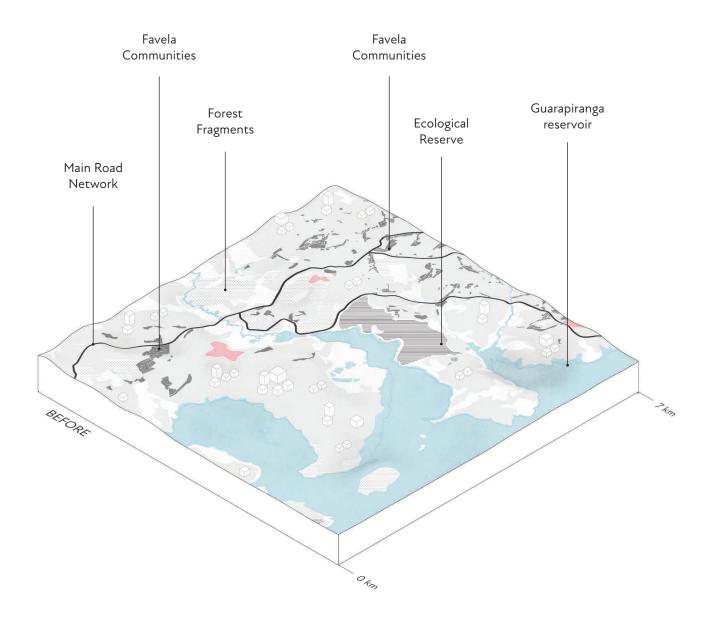




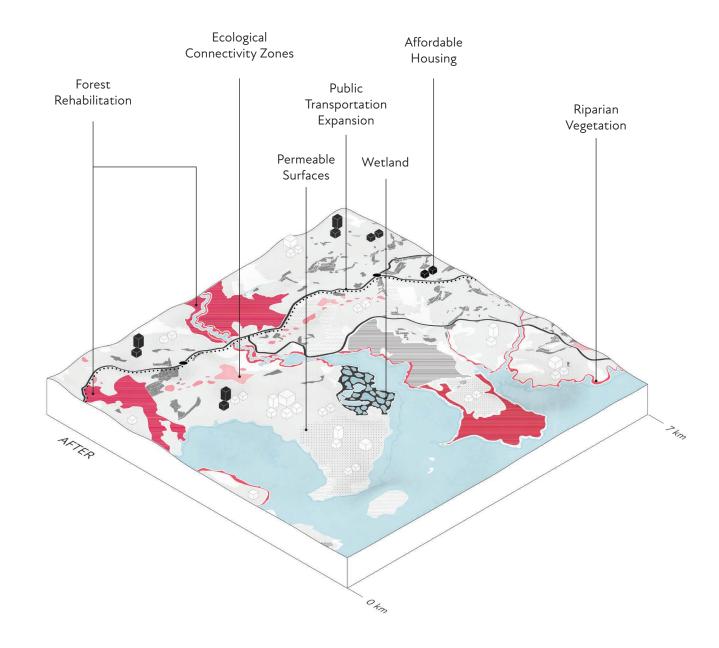




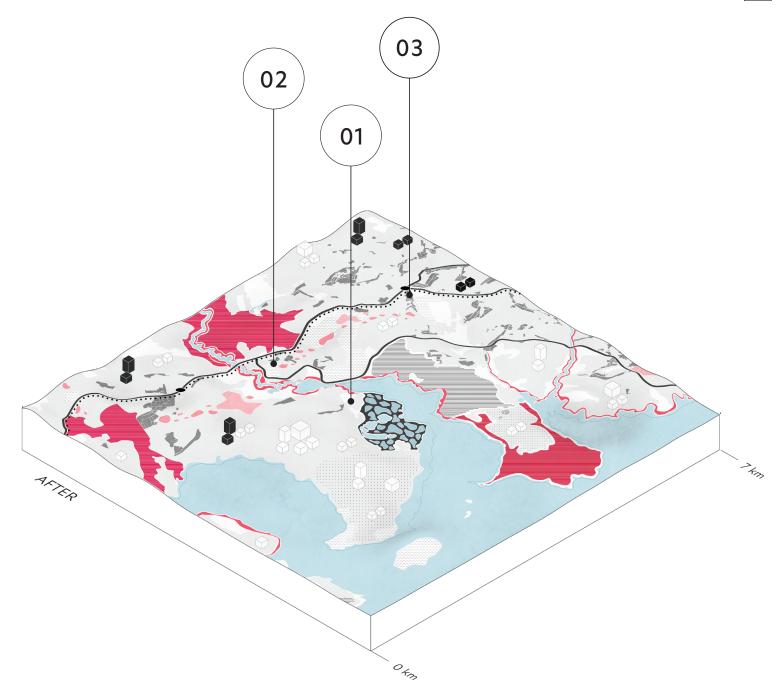








112 LOCAL DESIGN 01 02 03 04











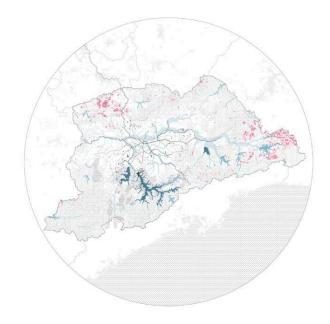
## Chapters of the Booklet

- o que está acontecendo agora? what is happening right now?
- O que pode ser feito? what can be done?
- Como você pode participar? how can you participate?

## Permeando os Muros.

SÓCIO-ECOLÓGICO

(PERMEATING SOCIO-ECOLOGICAL WALLS)



abordar a desigualdade multidimensional através da justiça ambiental

"cidade das muralhas" the 'city of walls' through

Larissa Müller

#### O QUE ESTÁ ACONTECENDO AGORA? / WHAT IS HAPPENING RIGHT NOW?

#### o que está acontecendo agora?

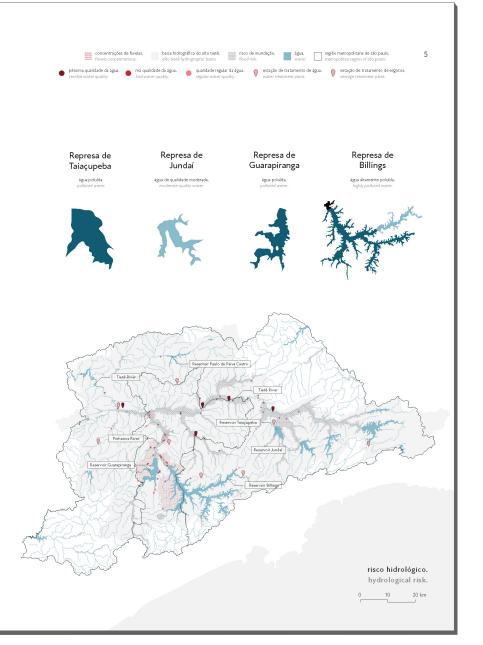
#### what is happening right now?

A cidade de São Paulo experimentou um dos mais rápidos crescimentos populacionais e económicos do mundo durante a década de 1900, tendo-se transformado numa megapolis com mais de 12 milhões de habitantes. No entanto, os impactos desse crescimento urbano também moldaram a paisagem e, consequentemente, o meio ambiente. À medida que a cidade se transformava, com disparidades crescentes entre as populações ricas e pobres, desastres ambientais frequentes começaram a ocorrer. Estas catástrofes ambientais, que afectam toda a vida urbana circundante, têm muitas vezes um impacto desproporcionado nas zonas vulneráveis existentes, frequentemente zonas de povoações informais e grupos historicamente marginalizados. Os padrões de desenvolvimento urbano insustentável, a degradação ecológica e as pressões das alterações climáticas continuam a afectar a paisagem natural, causando graves consequências como poluição e falta de água, inundações, deslizamentos de terras, e desflorestação.

POLUICÃO DAS ÁGUAS: Os reservatórios construídos na bacia hidrográfica fornecem água à grande maioria das pessoas que vivem na Região Metropolitana. No entanto, esses sistemas enfrentam riscos crescentes de poluição da água devido à expansão de assentamentos informais em áreas adjacentes a fontes de água e práticas insustentáveis de uso da terra. A poluição da água é um problema recorrente na Região Metropolitana desde a década de 1950, quando a expansão informal da cidade se estendeu para a periferia, em áreas que estavam dentro dos limites das zonas protegidas pela água. Como a maior parte dessas expansões urbanas descontroladas em áreas protegidas eram, em grande parte, assentamentos informais, o município não conseguiu dotar essas áreas de desenvolvimento de infraestruturas de saneamento devido a obstáculos políticos e económicos. Assim, com a falta de investimento em infraestruturas e gestão de serviços de saneamento, as fontes de água do município ficaram poluídas com grandes quantidades de resíduos

The city of São Paulo experienced one of the world's most rapid population and economic growths during the 1900's, transforming into a megapolis with currently over 12 million inhabitants. However, the impact of this urban growth also shaped the landscape and hence, the environment. As the city transformed, with increasing disparities between the wealthy and the poor populations, frequent environmental disasters began to occur. These environmental disasters, which affect all surrounding urban life, are often disproportionately impactful to existing vulnerable areas, typically areas of informal settlements and historically marginalized groups. Patterns of unsustainable urban development, ecological degradation, and pressures from climate change continue to berate the natural landscape, causing severe consequences, such as water pollution and shortages, flooding, landslides, and deforestation.

WATER POLLUTION: Existing reservoirs within the hydrographic basin supply water to most people living within the Metropolitan Region. However, these systems face an increasing risk of water pollution due to the expansion of informal settlements into areas adiacent to water sources and unsustainable land use practices. Water pollution was a recurring problem in the Metropolitan Region since the 1950s, when the informal expansion of the city spread to the periphery, in areas that were within the bounds of water-protected zones. As most of these uncontrolled urban expansions into protected areas were largely informal settlements, the municipality was unable to provide these areas with sanitation infrastructure due to political and economic hurdles. Thus, with a lack of investment in infrastructure and management of sanitation services, the water sources of the municipality became polluted with vast amounts of domestic



O QUE ESTÁ ACONTECENDO AGORA? / WHAT IS HAPPENING RIGHT NOW?

9

pressão climática devido à sua topografia (inclinação), falta de capacidade do solo, aumento da precipitação e urbanização. Como se espera que a mudança climática piore os riscos de deslizamentos de terra, o que já é um fardo ambiental pesado para as populações da periferia da cidade, a piora das condições socioeconômicas continua a forçar as populações mais pobres a irem para essas áreas de risco ambiental.

ESCASSEZ DE ÁGUA: Nos últimos anos, houve um aumento na escassez de água, o que levou a região metropolitana a ser listada como uma das áreas mais críticas do estado em termos de água. A demanda conflitante de recursos hídricos, a deterioração da qualidade da água, a extração excessiva de água e a demanda crescentes de setores industriais levaram a uma pressão acelerada sobre a infraestrutura hídrica. Em 2014, essas práticas levaram São Paulo à pior crise hídrica registrada na cidade. Durante esse período, os níveis dos reservatórios caíram para níveis historicamente baixos e a implementação de um racionamento secreto de fato do abastecimento de água ampliou ainda mais a natureza desigual do sistema de destribuição de água urbana da cidade. Devido às

increase in precipitation, and urbanization. As climate change is expected to worsen the risks of landslides, which is already a heavy environmental burden to populations on the periphery of the city, worsening socio-economic conditions continue to force poorer populations into these environmentally at-risk areas.

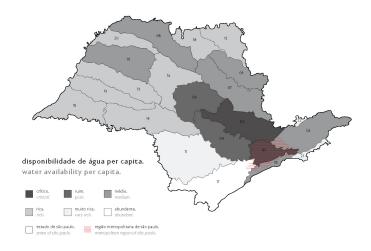
WATER SCARCITY: In recent years, there has been an increase in water shortages, leading to the metropolitan region being listed as one of the state's most water-critical areas. Conflicting demand on water resources, deterioration of water quality, excessive water extraction, and increasing demand from industrial sectors have led to an accelerating pressure on water infrastructure. In 2014, these practices brought São Paulo to the worst water crisis reported in the city. During this time, reservoir levels declined to historically lows and the implementation of a secretive de facto rationing of water supplies further amplified the unequal nature of the city's urban water distribution. Due to the existing inequalities embedded within the

desigualdades existentes na paisagem da cidade, as populações vulneráveis na periferia urbana e nas áreas urbanizadas informais sentiram desproporcionalmente o ônus, pois muitas vezes ficavam sem abastecimento de água por dias. Embora os reservatórios da cidade tenham se recuperado com o aumento das chuvas em 2015, essa crise hídrica crium uma gama de questões políticas na governança da água em São Paulo. Na época, essa crise aumentou a conscientização sobre a necessidade de agir de forma preventiva, dar prioridade às populações vulneráveis e implementar um sistema de gerenciamento de água mais transparente e responsável. No entanto, as promessas logo desapareceram da agenda da cidade. Agora, a cidade está começando a sentir os efeitos iniciais de sua crise hídrica de 2021 com novos alertas de emergência emitidos em resposnta a reservatórios que começaram a atingir status críticos mais uma vez

DESMATAMENTO: As florestas tropicais são ecossistemas vibrantes, desempenhando um papel fundamental na manutenção do clima, na estabilização das chuvas e na proteção de outras funções ecológicas. Apesar do conhecimento da importância das florestas para a estabilidade dos sistemas ecológicos, a Mata Atlântica que cobria o Estado de São Paulo sofreu um desmatamento macico no último século. De acordo com o Global Forest Watch, somente o município de São Paulo perdeu 138 ha de floresta natural em 2021. Hoje, os remanescentes da Mata Atlântica estão, em sua maioria, consolidados na periferia da região metropolitana e ainda permanecem sob ameaça significativa devido à expansão urbana descontrolada, práticas agrícolas insustentáveis, infraestrutura mal planeiada, falta de gestão e governança suficientes e mudanças climáticas. Como essa prática continua a degradar as florestas primárias, os ecossistema florestais de São Paulo não é mais capaz de filtrar a água, reduzir a erosão, regular as chuvas e agir como um amortecedor contra os riscos ambientais, levando ao aumento das vulnerabilidades de poluição da água, inundações, deslizamentos de terra e escassez de água. Assim, colocando os sistemas socioecológicos de São Paulo sob imensa pressão e levando a região metropolitana a um estado de vulnerabilidade mais elevado.

city's landscape, the vulnerable populations in the urban periphery and in informal urbanized areas disproportionately felt the burden as they were often left without water supply for days. Although the city's reservoirs recovered with the increase in rainfall in 2015, this water crisis created a range of political issues in São Paulo's water governance. At the time, this crisis raised awareness of the need to act preventively, give priority to the already vulnerable populations, and implement a more transparent and accountable water management system. However, promises soon faded from the city's agenda. Now, the city is starting to feel the early effects of its 2021 water crisis with newly issued water emergency alerts as reservoirs began to reach critical statuses once again.

DEFORESTATION: Rainforests are vibrant ecosystems, playing a fundamental role in maintaining the climate, stabilizing rainfall, and protecting other ecological functions. Despite knowledge of the importance of forests for the stability of ecological systems, the Atlantic Rainforest that covered the state of São Paulo underwent massive deforestation in the last century. According to the Global Forest Watch. the municipality of São Paulo alone lost 138ha of natural forest in the year 2021. Today, the remnants of the Atlantic forest are mostly consolidated to the periphery of the metropolitan region and still remain under significant threat from unchecked urban expansion, unsustainable agricultural practices, poorly planned infrastructure, lack of sufficient management and governance, and climate change. As these practices continue to degrade primary forests, the forest ecosystem of São Paulo is no longer able to filter water, reduce erosion, regulate rainfall, and act as a buffer against environmental risks, leading to the increasing vulnerabilities of water pollution, flooding, landslides, and water scarcity. Thus, placing the socio-ecological systems of São Paulo under immense pressure and leading the metropolitan region into a heightened state of vulnerability.



O QUE PODE SER FEITO? / WHAT CAN BE DONE?

#### O que pode ser feito?

#### what can be done?

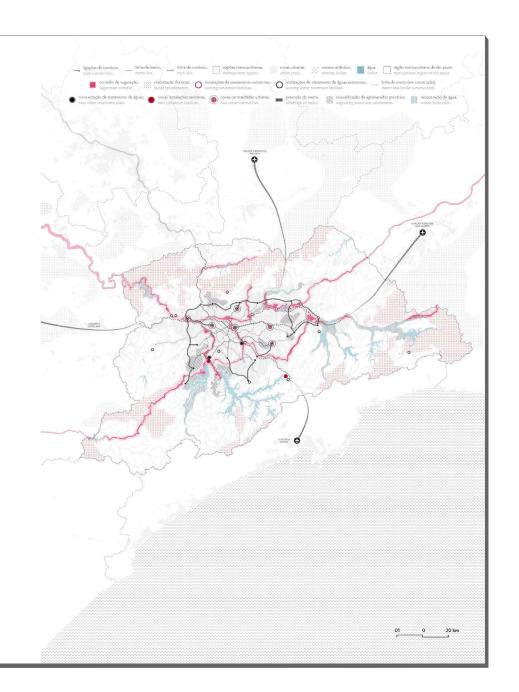






Com os riscos crescentes das mudanças climáticas e a crescente divisão da desigualdade na sociedade brasileira, os sistemas ecológicos de São Paulo e as comunidades marginalizadas se tornam cada vez mais exacerbados. Portanto, a ideia de criar um ambiente mais resiliente e inclusivo segue a teoria mais ampla da Justiça Ambiental, centrada nos princípios de integridade ecológica, inclusão social e capacitação política. Para concretizar essa visão para a Região Metropolitana de São Paulo, esses princípios são construídos por meio das estratégias de desenvolvimento de uma base ecológica, acesso equitativo e governança adaptativa. A compreensão dos sistemas naturais críticos está diretamente ligada ao uso de soluções baseadas na natureza por meio da espinha dorsal ecológica, com o objetivo de proteger e restaurar os sistemas ecológicos para restabelecer a integridade ecológica em toda a região metropolitana. Além disso, as ações urbanas buscam a promoção de políticas e desenvolvimentos urbanos socialmente inclusivos para garantir o acesso justo e igualitário aos serviços urbanos e ecossistêmicos para todos os membros da sociedade, principalmente para as comunidades marginalizadas da sociedade. Por fim, uma base de governança adaptativa prioriza o envolvimento de diversas partes interessadas e soluções co-produzidas para uma rede de conhecimento compartilhado por meio do processo de desenvolvimento. Assim, o resultado é uma Região Metropolitana de São Paulo resiliente, adaptável e inclusiva, capaz de atender com eficácia às necessidades e aos desafios em constante mudança de nosso ambiente e sociedade.

With the increasing risks of climate change and the increasing divide of inequality within Brazilian society, São Paulo's ecological systems and marginalized communities become increasingly exacerbated. Therefore, the idea of creating a more resilient and inclusive environment follows the larger theory of Environmental Justice, centered around the principles of ecological integrity, social inclusivity, and political empowerment. To realize this vision for the Metropolitan Region of São Paulo, these principles are built up through the strategies of developing an ecological backbone, equitable access, and adaptive governance. Understanding the critical natural systems directly connects to the use of nature-based solutions through the ecological backbone, aiming to protect and restore ecological systems to re-establish ecological integrity throughout the whole metropolitan region. In addition, urban actions strive for the promotion of socially inclusive urban developments and policies to ensure fair and equal access to urban and ecosystem services for all members of society, primarily for the marginalized communities of society. Lastly, a foundation of adaptive governance prioritizes the involvement of diverse stakeholders and co-produced solutions for a shared knowledge network through the process of development. Thus, resulting in a resilient, adaptive, and inclusive Metropolitan Region of São Paulo that is able to effectively address the changing needs and challenges of our environment and society.



O QUE PODE SER FEITO? / WHAT CAN BE DONE?

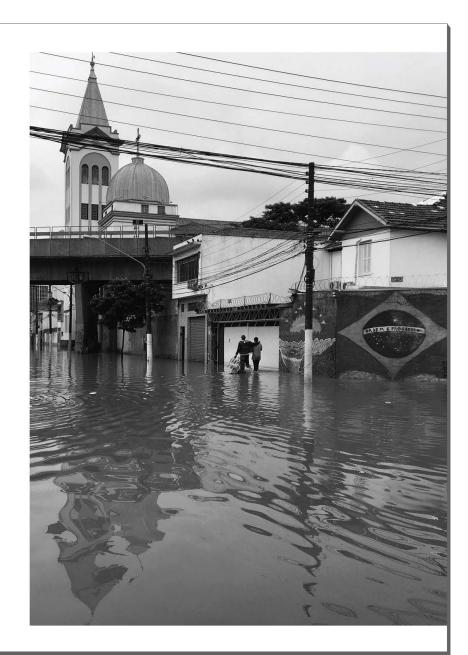


A estratégia de uma espinha dorsal ecológica pode servir como base para o desenvolvimento da Região Metropolitana para o restabelecimento da integridade ecológica. As ações elaboradas nessa estratégia concentram-se na preservação e restauração de sistemas naturais que abordam questões ambientais urgentes, como inundações, diminuição da qualidade da água, deslizamentos de terra, permeabilidade do solo, entre outros. Com o uso de soluções de adaptação climática, essa estratégia visa melhorar a resiliência das comunidades vulneráveis em São Paulo por meio da revitalização de sistemas ecológicos negligenciados e explorados. Assim, a criação de uma espinha dorsal ecológica para a Região Metropolitana de São Paulo está centrada em ações estratégicas de reabilitação da Mata Atlântica, criação de paisagens de áreas úmidas, construção de zonas de conectividade ecológica, criação de vegetação ciliar ao longo dos rios, promoção de superfícies permeáveis em áreas urbanas e expansão de redes de água.

Essa estratégia se opõe às ações brasileiras existentes, centradas em infraestrutura rígida, e defende soluções baseadas na natureza com metas de reabilitação da paisagem natural, expansão da rede verde e revitalização das redes de água estabelecidas nas planícies de inundação de São Paulo.

The strategy of an ecological backbone can serve as the foundation for the development of the Metropolitan Region for the re-establishment of ecological integrity. Actions elaborated in this strategy focus on the preservation and restoration of natural systems that address urgent environmental issues such as flooding, decreasing water quality, landslides, soil permeability, and more. Through the use of climate adaptation solutions, this strategy aims to improve the resilience of vulnerable communities in São Paulo through the revival of neglected and exploited ecological systems. Thus, creating an ecological backbone for the Metropolitan Region of São Paulo centered around strategic actions of rehabilitating the Atlantic forest, creating wetland landscapes, constructing ecological connectivity zones, creating riparian vegetation along rivers, promoting permeable surfaces in urban areas, and expanding water networks.

This strategy argues against the existing Brazilian actions centered around hard infrastructure and advocates for nature-based solutions with goals of rehabilitating the natural landscape, expanding the green network, and re-vitalizing water networks preset in the floodplains of São Paulo.



#### ESPINHA DORSAL ECOLÓGICA / ECOLOGICAL BACKBONE

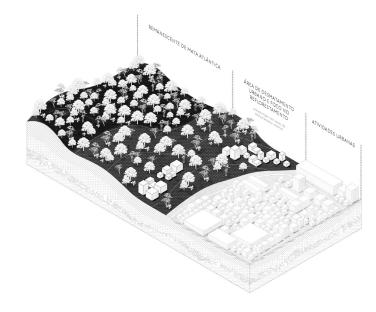
Calendário das Fases

#### Reabilitar a Mata Atlântica / Rehabilitate Atlantic Forest

A reabilitação de florestas representa uma solução crítica e negligenciada para a ampla variedade de riscos de mudanças climáticas em São Paulo. Especialmente porque a redução das taxas de desmatamento e degradação florestal é uma das principais e mais eficazes opções para mitigar as mudanças climáticas. Embora as soluções atuais para os riscos ambientais estejam alinhadas com soluções de infraestrutura rígidas, a reabilitação de florestas é uma "maneira natural e econômica" de proteger as populações contra uma variedade de desastres, como enchentes e secas, Portanto, essa ação estratégica refere-se ao processo de restauração das áreas remanescentes do bioma florestal que foram afetadas pelo desmatamento, pela urbanização e pela expansão agrícola para um estado mais saudável.

Rehabilitating forests represents itself as an overlooked and critical solution to São Paulo's wide variety of climate change risks. Especially as reducing rates of deforestation and forest degradation is one of the leading and most effective options for mitigating climate change. Although current solutions to environmental risks are aligned with hard infrastructural solutions, rehabilitating forests is a "natural, cost-effective way" to protect populations against a variety of disasters like floods and droughts. Thus, this strategic action refers to the process of restoring the remaining areas of the forest biome that has been impacted by deforestation, urbanization, and agricultural expansion to a healthier state.

longo prazo

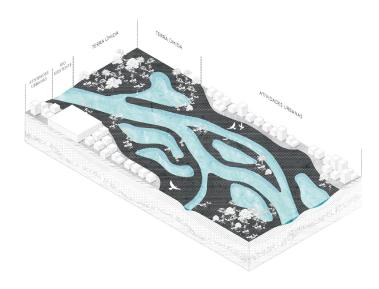


#### 02 Criação de Zonas Húmidas / Creation of Wetlands

Calendário das Fases

A criação de áreas úmidas é considerada uma solução baseada na natureza que se refere ao processo de construção ou restauração de ecossistemas de áreas úmidas caracterizadas por áreas de transição de ambientes aquáticos e terrestres. Essa ação, portanto, aborda desafios ambientais como a mudança climática e o gerenciamento de água, fornecendo uma abordagem eficaz para reduzir o risco de inundações, armazenando e absorvendo quantidades excessivas de água, aumentando o suporte à biodiversidade e apoiando os níveis de água subterrânea. Além disso, a construção de áreas úmidas é diretamente atribuída à melhoria da qualidade da água das fontes de água adjacentes por meio da filtragem de poluentes. De modo geral, a criação de ecossistemas de áreas úmidas em toda a região metropolitana é uma solução importante que representa um papel fundamental no desenvolvimento sustentável e na adaptação ambiental.

Wetland creation is considered a nature-based solution that refers to the process of construction or restoration of wetland ecosystems that are characterized by transitional areas of aquatic and terrestrial environments. This action thus addresses environmental challenges such as climate change and water management by providing an effective approach to reducing the risk of flooding by storing and absorbing excess amounts of water, increasing support for biodiversity, and supporting groundwater levels. Additionally, the construction of wetlands is directly attributed to improving the water quality of adjacent water sources by filtering pollutants. Overall, the creation of wetland ecosystems throughout the Metropolitan region is an important solution that represents itself as a critical role in sustainable development and environmental adaptation.



COMO VOCÊ PODE PARTICIPAR? / HOW CAN YOU PARTICIPATE?

37

#### como você pode participar

how can you participate?

### QUEM É VOCÊ? / WHO ARE YOU?



#### UMA EMPRESA PRIVADA

A PRIVATE COMPANY

Você é uma entidade com fins Are you a profit-driven entity that indivíduos ou por um grupo de shareholders? acionistas?

lucrativos que opera em vários operates in various industries and setores e é administrada por is run by individuals or a group of

Em seguida, vá até a página 38. Then go to page 38.



#### UMA AGÊNCIA GOVERNAMENTAL

A GOVERNMENTAL AGENCY

Você é uma entidade do setor Are you a public sector entity público responsável pela responsible for implementing implementação e aplicação de leis, and enforcing laws, regulations, regulamentos e políticas em nível and policies at either the local, local, regional ou nacional?

regional, or national level?

Em seguida, vá até a página 39. Then go to page 39.

#### UMA ORGANIZAÇÃO DA SOCIEDADE CIVIL

A CIVIL SOCIETY ORGANIZATION

Você é uma organização Are you an independent independente, sem fins lucrativos, organization, either a nonum grupo comunitário, um grupo profit organization, a community de defesa de direitos ou uma group, an advocacy group, or a associação profissional, orientada professional association, driven by por uma missão específica?

a specific mission?

Em seguida, vá até a página 40. Then go to page 40.



#### UMA INSTITUIÇÃO DE INVESTIGAÇÃO

A RESEARCH INSTITUTION

Você é uma instituição de Are you a research institution, conhecimento e à educação?

pesquisa, um centro de pesquisa research center, or think tank ou um think tank dedicado à that is dedicated to scientific pesquisa científica, à criação de research, knowledge creation, and education?

Em seguida, vá até a página 41. Then go to page 41.



#### UM INDIVÍDUO

AN INDIVIDUAL

Você é um membro individual da Are you an individual member of sociedade que vive no Brasil?

society living in Brazil?

Em seguida, vá até a página 42. Then go to page 42.



#### (PARTICIPANTE / STAKEHOLDER)

#### Uma Empresa Privada / A Private Company



(AÇÕES CONTRIBUTIVAS / CONTRIBUTING ACTIONS)



Fornecer suporte financeiro

Provide financial support



Colaborar com

organizações

Collaborate with

organizations

Aumentar a transparência

Enhance transparency

Defender políticas

Advocate for policies

As empresas privadas podem desempenhar um papel fundamental na implementação das soluções ilustradas, contribuindo com seus recursos, experiência e apoio. Elas podem fornecer apoio financeiro por meio de vários métodos de investimentos, subsídios ou fundos para iniciativas ou fundações dedicadas ao esforco de implementar essas acões inclusivas de adaptação climática. Além disso, as empresas podem colaborar com várias organizações ou agências da sociedade civil ou do setor público para desenvolver soluções em conjunto, fornecendo sua experiência, conhecimento e tecnologias de adaptação climática. As empresas privadas também podem apoiar a implementação de estratégias de adaptação climática usando sua influência e poder de defesa para promover mudanças nas políticas que apoiem esses esforços. Por fim, as empresas podem aumentar a transparência corporativa e, assim, permitir que as partes interessadas responsabilizem as entidades privadas por suas ações.

Private companies can play a crucial role in implementing the illustrated solutions by contributing their resources, expertise, and support. They can provide financial support through various methods of investments, grants, or funds for initiatives or foundations that are dedicated to the effort of implementing these inclusive climate adaptation actions. In addition, companies can collaborate with various organizations or agencies within civil society or the public sector to jointly develop solutions by providing their expertise, knowledge, and climate adaptation technologies. Private companies can also support the implementation of climate adaptation strategies through using their influence and advocacy power to push for policy changes that support these efforts. Lastly, companies can enhance corporate transparency and thus allow stakeholders to hold private entities accountable for their actions.

#### (PARTICIPANTE / STAKEHOLDER)

#### Uma Agência Governmental / A Governmental Agency













Monitorar e

avaliar projetos

Colaborar com

organizations

organizações Collaborate with

Monitor and Evaluate projects







Conduzir pesquisas

Conduct research

Desenvolver políticas

Develop policies

Os órgãos governamentais têm a autoridade, os recursos e a capacidade de desenvolver e executar a implementação de ações inclusivas de adaptação climática. Esses órgãos do setor público podem fornecer financiamento ou apoio financeiro para incentivar empresas privadas, proprietários de terras e comunidades a viabilizar a implementação de projetos. Além disso, você pode investir em pesquisas e esforcos de coleta de dados para entender melhor os impactos e as soluções relevantes. Os órgãos governamentais também desempenham um papel fundamental na coordenação dos esforços entre as partes interessadas e podem promover uma maior colaboração entre os diferentes setores. Além disso, um sistema de monitoramento e avaliação pode ser estabelecido pelo setor público para acompanhar o progresso, avaliar os resultados e ajustar as ações. Por fim, os órgãos governamentais têm autoridade para desenvolver políticas e normas que estabeleçam diretrizes e garantam a execução dessas ações.

Governmental agencies have the authority, resources, and capacity to develop and execute the implementation of inclusive climate adaptation actions. These agencies within the public sector can provide funding or financial support to incentivize private companies, landowners, and communities to enable the implementation of projects, As well as invest in research and data collection efforts to better understand the impacts and relevant solutions. Governmental agencies also play a crucial role in coordinating efforts among stakeholders and can foster further collaboration between different sectors. Additionally, a monitoring and evaluation system can be established by the public sector to track progress, evaluate outcomes, and adjust actions. Lastly, governmental agencies have the authority to develop policies and regulations that establish guidelines and ensure the execution of these actions.

Climate change is the single greatest threat to a sustainable future but, at the same time, addressing the climate challenge presents a golden opportunity to promote prosperity, security and a brighter future for all.

Ban Ki-Moon

#### MAIN RESEARCH OUESTION.

justice principles help
boost resilience and bridge
existing socio-spatial walls
for vulnerable communities
in São Paulo?

#### SUB-RESEARCH QUESTION.

- What are the conditions and challenges that have contributed to creating extremely vulnerable communities?
- What are the socio-ecological systems in São Paulo that are critical with increasing pressures from climate change?
- How can establishing ecological integrity provide conditions for distributing socio-ecological resilience?
- How can communities have a hand in guiding a proposed adaptive and equal model of development within their own communities?

ANALYTICAL FRAMEWORK

**DESIGN FRAMEWORK** 

### TRANSCALAR MAPPING







#### MAIN DATA SOURCES

- GeoSampa
- Open Street Map
- Rede Nossa Sao Paulo
- Dados.prefeitura.sp.gov
- DataGEO

# ONLINE SURUEY QUESTIONNAIRE





#### **KEY PLAYERS**

- NGOs
- Public Authorities
- Research Insitutions
- Private Companies
- Community Groups

# LITERATURE + POLICY REVIEW





#### **MAIN SOURCES**

- City Statue
- Research Gate
- Science Direct
- Google Scholar
- Gestão Urbana

## STAKEHOLDER ANALYSIS





#### **KEY PLAYERS**

- NGOs
- Public Authorities
- Research Insitutions
- Private Companies
- Community Groups

### UULNERABILITY FRAMEWORK



#### MAIN SOURCES

- IVS.IPEA.gov
- Rede Nossa São Paulo
- DataGEO

## DATA ANALYSIS

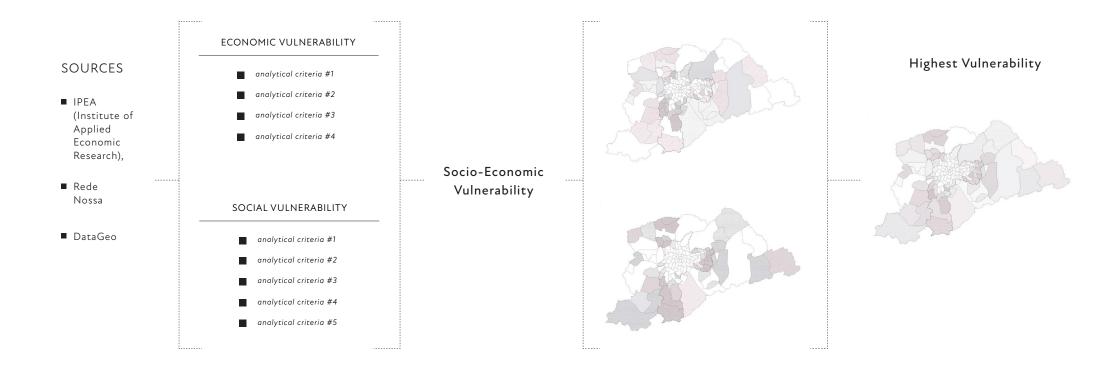






#### MAIN DATA SOURCES

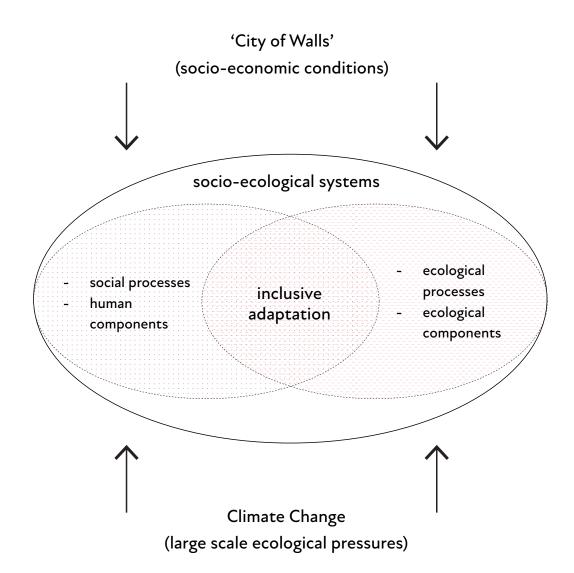
- World Bank
- BTI
- Rede Nossa São Paulo
- GeoSampa
- Statista



■ SOCIO-ECOLOGICAL SYSTEMS APPROACH.
MADE BY AUTHOR
SOURCED: VIRAPONGSE, ET AL., 2016

## SOCIO-ECOLOGICAL SYSTEMS

Frames the relationships between human and ecological components as part of a complex interdependent system.



#### **CO-PRODUCTION OF KNOWLEDGE**

- Miller, C. A., & Wyborn, C. (2020).
- Pedro Henrique Campello Torres, Pedro Roberto Jacobi, Sandra Momm, & Ana Lia Leonel. (2021).

#### ADAPTIVE GOVERNANCE

- Chaffin, B. C., & Gunderson, L. H. (2016).
- Pedro Henrique Campello Torres, Pedro Roberto Jacobi, Sandra Momm, & Ana Lia Leonel. (2021).

#### SOCIO-ECOLOGICAL RESILIENCE

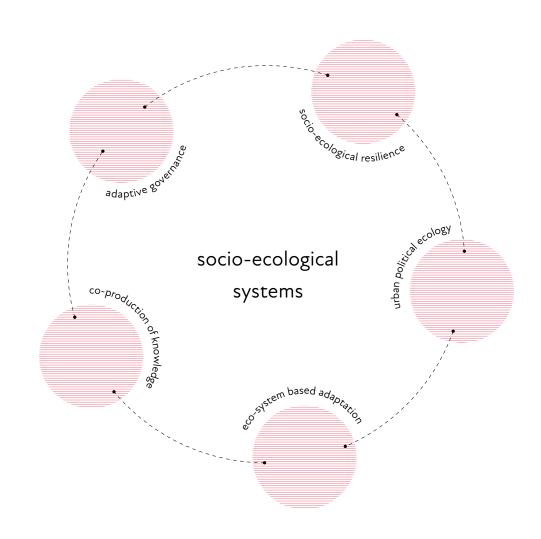
- Dakos, V., & Kéfi, S. (2022).
- Davoudi, S., Brooks, E., & Mehmood, A. (2013).

#### **URBAN POLITICAL ECOLOGY**

- Heynen, N., Kaika, M., & Swyngedouw, E. (2006).
- Nik Heynen. (2014).

#### **ECO-SYSTEM ADAPTATION**

- Jones, H., Hole, D., & Zavaleta, E. (2012).
- Manes, S., Vale, M. M., Malecha, A., & Pires, A. P. F. (2022).



#### **ECOLOGICAL CATEGORY**

#### WATER QUALITY:

This Indicator describes the condition of the adjacent water bodies. Taking into account the quality of water drinking and public usage as well as the area's proximity to a sewage and water treatment facility.

#### FLOOD SAFETY:

This Indicator describes the ability of the adjacent water bodies to adequately absorb excessive precipitations. Thus, preventing an overflow of these bodies and averting flood hazards.

#### CAPACITY OF SOIL:

This Indicator represents the soil's ability to absorb pressures from exterior sources, like abundant amounts of water due to increased precipitation. Thus, to prevent events of soil erosion such as landslides or gully erosions.

#### **HEALTHY FOREST BIOME:**

This Indicator reports on the area, density and biodiversity of any adjacent forest landscape within the area. As well, taking into account the area's past practices of deforestation due to human activity relatively within the last twenty years (2000-2020).

#### SOCIAL CATEGORY

#### SOCIAL SAFETY:

This Indicator reports on the population's ability to withstand societal impacts and stressors. Thus, measuring the quantity of educated individuals and the proportion of households living in adequate living conditions.

#### **ECONOMIC SECURITY:**

This Indicator refers to the condition of financial security and having resources to support a adequate standard of living, regardless of external stressors. Therefore, measuring factors related to formal employment opportunities, levels of household income, and access to public transportation services.

#### **URBANIZATION:**

This Indicator refers to the concentration of human occupation (residential, commercial, and industrial) within the area. Taking into account the area's population density and area of human occupation.

#### ACCESS TO WATER:

This Indicator describes the area's population ability to access clean and adequate quantities of water throughout the year for private usage.

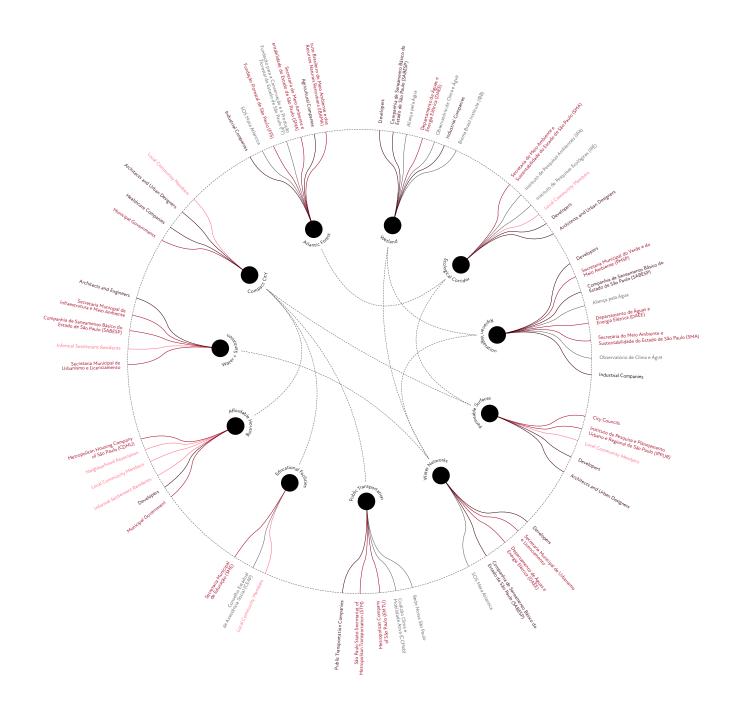


PUBLIC SECTOR

PRIVATE SECTOR

NON-GOVERNMENTAL AGENCY

COMMUNITY GROU



#### Article 5: Compulsory Parcelling, Building, or Utilization (PEUC)

(Parcelamento, Edificação ou Utilização Compulsórios)

Combats the agglomeration of underutilized or vacant lands in the urban environment and stipulates the compulsory use or building of urban land defined by the Municipal Master Plan (Estatuto da Cidade 2001).

Support for Strategic Actions: Employ a Compact City Model

# Article 7: Urban Land and Property Tax (IPTU) Progressive over time

(Imposto Predial e Territorial Urbano (IPTU) progressivo no tempo)

Provides for the increase of property tax for five consecutive years if this land is not fulfilling its social function (Estatuto da Cidade 2001).

Support Strategic Actions: Employ a Compact City Model, Access to Affordable Housing, Reinforcement of Educational Facilities

#### Article 25: Right of Preemption

(Direito de preempção)

Allows for the municipal government to purchase real estate property for purposes of housing policies, environmental preservation, or the protection of areas of cultural, historical, or landscape importance (Estatuto da Cidade 2001).

Support Strategic Actions: Increase Access to Affordable Housing, Expansion of Water Networks, Wetland Creation, Ecological Connectivity, Rehabilitate Atlantic Forest

## Article 9: Special Urban Real Estate Possession

(Usucapião especial de imóvel urbano)

Provides a legal instrument to regularize informal settlements and occupations by allowing a transfer of possession to the persons that occupy a building or dwelling for five uninterrupted years (Estatuto da Cidade 2001).

Support Strategic Action: Access to Affordable Housing, Development of Water and Sanitation Infrastructure

## Article 35: Transfer of the Right to Build (TDC)

(Transferência do Direito de Construir)

Allows for the municipality to authorize an owner of a property to exercise their right to build in an alternate location in cases when the property is considered to be an area of environmental, cultural, or historical interest (Estatuto da Cidade 2001).

Support Strategic Actions: Employ a Compact City Model, Wetland Creation, Rehabilitate Atlantic Forest, Ecological Connectivity