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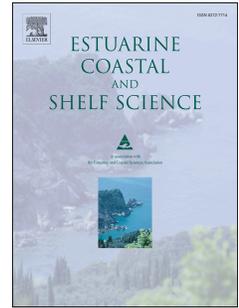
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1 Bed shear stress estimation on an open intertidal flat 2 using in situ measurements

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12 Abstract

13 Accurate estimations for the bed shear stress are essential to predict the erosion and
14 deposition processes in estuaries and coasts. This study used high-frequency in situ
15 measurements of water depths and near-bed velocities to estimate bed shear stress on an
16 open intertidal flat in the Yangtze Delta, China. To determine the current-induced bed
17 shear stress (τ_c) the in situ near-bed velocities were first decomposed from the turbulent
18 velocity into separate wave orbital velocities using two approaches: a moving average
19 (MA) and energy spectrum analysis (ESA). τ_c was then calculated and evaluated using
20 the log-profile (LP), turbulent kinetic energy (TKE), modified TKE (TKew), Reynolds
21 stress (RS), and inertial dissipation (ID) methods. Wave-induced bed shear stress (τ_w)
22 was estimated using classic linear wave theory. The total bed shear stress (τ_{cw}) was
23 determined based on the Grant–Madsen wave–current interaction model (WCI). The
24 results demonstrate that when the ratio of significant wave height to water depth (H_s/h) is
25 greater than 0.25, τ_{cw} is significantly overestimated because the vertical velocity
26 fluctuations are contaminated by the surface waves generated by high winds. In addition,
27 wind enhances the total bed shear stress as a result of the increases in both τ_w and τ_c
28 generated by the greater wave height and reinforcing of vertical turbulence, respectively.
29 From a comparison of these various methods, the TKew method associated with ESA
30 decomposition was found to be the best approach because: (1) this method generates the
31 highest mean index of agreement; (2) it uses vertical velocities that are less affected by
32 Doppler noise; and (3) it is less sensitive to the near-bed stratification structure and
33 uncertainty in bed location and roughness.

34

35 **Keywords:** bed shear stress; wave-turbulence decomposition; wind; combined wave-
36 current action; intertidal flat; Yangtze River Delta

37 1. Introduction

38 Intertidal flats are ubiquitous in estuarine and coastal areas worldwide. These
39 landforms have been, and still are, used as a source of land that can be reclaimed from the
40 sea. However, it is becoming increasingly apparent that healthy tidal flats provide many
41 other important benefits for both the local population and the natural environment. For
42 example, they protect coastal areas by forming a buffer between land and sea that can
43 attenuate wave energy. Furthermore, these areas provide essential environmental
44 functions, such as habitats and nursery grounds for a wide range of wildlife, and as
45 natural sewage purification systems. However, the impact of natural and human
46 interference, such as sea level rise and the damming of rivers, can result in a reduction in
47 the area covered by tidal flats, and the Yangtze tidal flats in China are one such example
48 (Yang et al., 2011). The precise processes responsible for the degeneration of tidal flats
49 are still not fully understood, and various aspects factors that affect such environments
50 make it difficult to predict the future of tidal flats. One of these key factors is the
51 definition of bed shear stress.

52 Bed shear stress is a critical parameter in sediment dynamics on tidal flats,
53 especially in the calculation of erosion rates (Friedrichs et al., 2000; Friedrichs and
54 Wright, 2004; Wang et al., 2013), and the total bed shear stress is the combined
55 contributions from waves and currents. Numerous studies have estimated the total bed
56 shear stress by means of a wave-current interaction model (Grant and Madsen, 1979;
57 Fredsøe, 1984; Christoffersen and Jonsson, 1985; O'Connor and Yoo, 1988; Huyng-
58 Thanh and Temperville, 1990; Myrhaug and Slaattelid, 1990; van Rijn, 1993; Davies and
59 Gerritsen, 1994; Shi et al., 2015), and an overview is given by Soulsby (2005). These
60 wave-current interaction models have been widely applied in numerical models of
61 estuarine and coastal areas (Villaret and Latteux, 1992; Lesser et al., 2004; Warner et al.,
62 2008; Shi et al., 2016). The waves and currents interact in a non-linear way, leading to a
63 total bed shear stress that is not a simple linear addition of wave-induced and current-
64 induced bed shear stress.

65 The wave-current interaction model (WCI) is an algebraic equation that combines
66 the pure wave-induced and pure current-induced bed shear stresses to obtain the total bed
67 shear stress that accounts for the direction of the waves and currents. The determination
68 of the wave-induced bed shear stress and the current-induced bed shear stress is based on
69 bulk parameters. The wave-induced bed shear stress is generally obtained by using a

70 linear wave theory (Green and Coco, 2007) for a given wave height, wave period, and
71 water depth. The bed shear stresses associated with currents are generally estimated
72 based on the assumption of stationary uniform flow and using the log law; however, this
73 assumption is often violated.

74 The direct measurement of bed shear stresses presents some difficulties (Grant and
75 Madsen, 1979; Soulsby, 2005). Further advances in acoustic instruments have allowed
76 systematic velocity measurements to be made over longer periods, at higher sampling
77 rates and with greater accuracy (Wang et al., 2006; 2012). The ADV (Acoustic Doppler
78 Velocimeter) makes high-frequency measurements of the 3D velocities at a single point,
79 whereas the ADCP (Acoustic Doppler Current Profiler) measures velocities over a profile.
80 Despite these improvements, the difficulty remains of selecting the most appropriate
81 theory to obtain the current-induced bed shear stress. The most widely used theories are:
82 (1) the LP (log-profile) method; (2) the TKE (turbulent kinetic energy) method; (3) the
83 TKEw (modified TKE) method; (4) the Reynolds stress (RS) method; and (5) the ID
84 (inertial dissipation) method. The LP method uses the mean component of a velocity
85 profile series, whereas the other methods use the turbulent velocity. Kim et al. (2000)
86 systematically compared the current-induced bed shear stresses obtained using the LP,
87 TKE, RS, and ID methods, and found differences of up to 19% between the TKE and LP
88 methods. No significant wave events were recorded. They suggested that all methods
89 should be applied simultaneously to help better estimate bed shear stress. On many tidal
90 flats, the conditions are generally more complex than in their study. As the water depth
91 changes significantly, the relative locations of the fixed measurement positions change.
92 Due to the shallow water depth, wind-driven flow may have a significant influence and
93 disturb the logarithmic flow profile.

94 Having recognised this inaccuracy, several studies have been conducted to compare
95 some of the above methods of obtaining the bed shear stress (Kim et al., 2000; Andersen
96 et al., 2007). One of the assumptions is that the vertical component of velocity is not
97 contaminated by waves (see also Stapleton and Huntley, 1995). Wave motion is expected
98 to have a great impact on the velocity distribution near the bed on tidal flats, especially in
99 wavy conditions; e.g., during storms or typhoons.

100 In this paper, we compare the methods used to determine the bed shear stress on
101 intertidal flats. In such areas, the assumptions on which the methods used to determine
102 current-induced bed shear stress are based, are possibly violated. We conducted high-
103 frequency, in situ measurements of water depth and near-bed velocities, as well as near-
104 bed current profiles, on an intertidal flat in the Yangtze Estuary, China. Our specific
105 goals were to: (1) investigate how, and by how much, the wind influences the near-bed
106 velocity distribution; (2) compare and summarize the calculation methods used to

107 determine the total bed shear stress; and (3) develop an optimum solution for estimating
108 the total bed shear stress in intertidal areas.

109 **2. Study area and instrumentation**

110 In situ observations were conducted on an exposed tidal flat on the Eastern
111 Chongming mudflat, located on the Yangtze River Delta (Figure 1A). The tides in the
112 Yangtze Estuary are mixed semidiurnal, and the average tidal range, based on records
113 from the Sheshan gauging station, which is 20 km east of the study site, is 2.5 m,
114 reaching 3.5–4.0 m during spring tides. The monsoon-driven winds are southeasterly in
115 summer and northwesterly in winter. The wind speed in this area is highly variable, with
116 multi-year averages of 3.5–4.5 m/s, and a maximum value of 36 m/s recorded at the
117 Sheshan gauging stations (GSCI, 1988; Yang et al., 2008).

118 *Figure 1*

119 The southern part of the Eastern Chongming tidal flat is interrupted by a secondary
120 channel that runs in an east–northeast direction. The observation site, which is close to
121 mean sea level, is 1.65 km seaward of the sea wall. The bed sediment on the present
122 mudflat is mainly silt (median grain size $< 63 \mu\text{m}$), with a coarse silt (32–64 μm) fraction
123 that exceeds 50% (Yang et al., 2008).

124 Our observations were carried out from July 23 to August 3, 2011. Wave heights,
125 wave periods, and water depths were measured using a self-logging sensor, the SBE-
126 26plus Seagauge (Sea-Bird Electronics, Washington, USA), which was developed for
127 wave monitoring using a data collection system comprising a 45-psia Paroscientific
128 Digiquartz connected to an oil-filled tube via the pressure port (Sea-Bird Electronics,
129 2007). The instrument was horizontally placed on the sediment surface with the pressure
130 probe located 8 cm above the sediment surface (Figure 1C). The measuring burst interval
131 was 10 minutes. Pressure data were collected at a frequency of 4 Hz over a duration of
132 256 seconds, yielding 1024 measurements per burst.

133 An ADCP (1.0 MHz high-resolution profiler, Nortek AS, Norway) was used to
134 measure 3D current velocity profiles. The burst interval was 5 minutes. Each velocity
135 profile is the mean value collected at a frequency of 1 Hz over a duration of 60 seconds.
136 The ADCP was attached to the tripod with the transmitters facing downwards and located
137 85 cm above the sediment surface. The blanking distance was 40 cm, and the cell size
138 was set to 2 cm.

139 An ADV (6.0 MHz vector current meter, Nortek AS, Norway) was used to measure
140 the 3D velocity at a high sampling frequency in a small measurement volume (2.65 cm³).
141 The sampling volume was located 9.3 cm above the bed. The ADV recorded velocities

142 and pressure with a burst interval of 5 minutes, and for a period of 90 seconds at a
 143 frequency of 8 Hz. The water pressure in a high sampling rate, measured by a silicone
 144 piezoresistive pressure sensor (Nortek AS, 2005), was also used to analyse wave
 145 characteristics. Finally, wind data at 122.25°E, 31.5°N were obtained from the European
 146 Centre for Medium-Range Forecasts (ECMWF) at an interval of three hours.

147 3. Bed shear stress formulations

148 3.1 Bed shear stress caused by combined wave–current action

149 To determine the bed shear stress caused by combined wave–current action
 150 (referred to as total bed shear stress hereafter) (τ_{cw} , Pa), we used the method of Grant and
 151 Madsen (1979), which introduces a combined wave–current friction factor and is
 152 expressed as

$$153 \quad \tau_{cw} = \sqrt{(\tau_w + \tau_c |\cos \phi_{cw}|)^2 + (\tau_c |\sin \phi_{cw}|)^2} \quad (1)$$

154 where τ_w (Pa) and τ_c (Pa) are the wave- and current-induced bed shear stress, respectively,
 155 and ϕ_{cw} (°) is the angle between the current direction ϕ_c (°) and the wave propagation
 156 direction ϕ_w (°). In this equation, four parameters are required to calculate the total bed
 157 shear stress: τ_w , τ_c , ϕ_c , and ϕ_w .

158 3.2 Wave-induced bed shear stress

159 Wave-induced bed shear stress (τ_w) is usually obtained from the significant/peak
 160 bottom orbital velocity U_δ (m/s) and wave friction coefficient f_w (van Rijn, 1993):

$$161 \quad \tau_w = \frac{1}{4} \rho_w f_w U_\delta^2 \quad (2)$$

162 where ρ_w is the water density (kg/m³). At the edge of the wave boundary layer, the peak
 163 orbital excursion (A_δ) and peak orbital velocity (U_δ) can be respectively expressed as

$$164 \quad A_\delta = \frac{H}{2 \sinh(kh)} \quad (3)$$

$$165 \quad U_\delta = \omega A_\delta = \frac{\pi H}{T \sinh(kh)} \quad (4)$$

166 where H is the wave height (m), k ($= 2\pi/L$ where $L = (gT^2/2\pi)\tanh(kh)$ and is the wave
 167 length) is the wave number (m⁻¹), h is water depth (m), ω is angular velocity (s⁻¹), and T

168 is the wave period (s). The wave friction coefficient f_w depends on the hydraulic regime
 169 (Soulsby, 1997):

$$170 \quad f_w = \begin{cases} 2 \text{Re}_w^{-0.5} & , \text{Re}_w \leq 10^5 \text{ (laminar)} \\ 0.0521 \text{Re}_w^{-0.187} & , \text{Re}_w > 10^5 \text{ (smooth turbulent)} \\ 0.237 r^{-0.52} & , \text{(rough turbulent)} \end{cases} \quad (5)$$

171 where $\text{Re}_w = \frac{U_\delta A_\delta}{\nu}$ and $r = \frac{A_\delta}{k_s}$ are the wave Reynolds number and relative roughness,
 172 respectively. k_s is the Nikuradse roughness given by $k_s = 2.5d_{50}$ where d_{50} is the median
 173 grain size of the bed sediment, and ν is the kinematic viscosity of water (m^2/s).

174 In practice, the significant wave height H_s and significant wave period T_s are used
 175 in the equations mentioned above. The wave parameters were obtained using the
 176 ‘SEASOFT for Waves’ software package for the SBE-26plus, and by analysing high-
 177 frequency water level elevation data obtained by ADV via zero-crossing and spectral
 178 estimates (Table A.1).

179 3.3 Current-induced bed shear stress

180 The instantaneous velocity in a 3D orthogonal coordinate system can be expressed
 181 as $\mathbf{U} = u\hat{i} + v\hat{j} + w\hat{k}$, where u , v , and w are the instantaneous magnitudes in the three
 182 orthogonal directions \hat{i} , \hat{j} , and \hat{k} , respectively. The flow across tidal flats is subject to
 183 bed friction, resulting in a turbulent boundary layer that can extend to the water surface in
 184 the typically shallow conditions (Whitehouse et al., 2000). In this layer, current velocity
 185 is composed of a mean component (u_m) and a fluctuating component. The fluctuating
 186 component can be further decomposed into two parts: the wave orbital motion (u_w) and
 187 turbulence (u_t), resulting in the following:

$$188 \quad \begin{aligned} u &= u_m + u_w + u_t \\ v &= u_m + v_w + v_t \\ w &= u_m + v_w + w_t \end{aligned} \quad (6)$$

189 For the present study, each measurement period lasted for 90 s. Over such a short
 190 sampling duration, we assumed that the velocity series follows a linear
 191 increasing/decreasing trend. Five methods were applied to estimate the current-induced
 192 bed shear stress in this paper: the LP method uses mean velocities, whereas the TKE,
 193 TKEw, RS, and ID methods use fluctuating velocities.

194 The LP method is based on the assumption that the burst-mean horizontal current
195 speed ($U_c = \sqrt{u_m^2 + v_m^2}$) profile in the boundary layer follows a logarithmic distribution:

$$196 \quad U_c(z) = \frac{U_{*c}}{\kappa} \ln\left(\frac{z}{z_0}\right) \quad (7)$$

197 where $U_c(z)$ (m/s) is the current speed at the height above the bed, z (m); U_{*c} (m/s) is
198 the friction velocity; κ is Von Kármán's dimensionless constant (= 0.4); and z_0 (m) is the
199 roughness length, which is the distance from the bed at which the flow reaches zero if the
200 flow profile strictly follows the logarithmic law. By regressing the current speed $U_c(z)$
201 against $\ln(z)$ using the least-squares method, U_{*c} and z_0 can be calculated from the
202 gradient A and intercept B as follows:

$$203 \quad \begin{aligned} U_{*c} &= \kappa A \\ z_0 &= e^{-\frac{B}{A}} \end{aligned} \quad (8)$$

204 We used internal consistency analysis (Collins et al., 1998) to examine whether the
205 results derived from the $U_c(z) - \ln(z)$ relationship can be used to characterize boundary
206 layer conditions. A linear relationship must exist between the shear velocity U_{*c} derived
207 from Equation (7), and the current speed within the boundary layer ($U_{z'}$, here taken as
208 U_{40} , which is the current speed at 40 cm above the bed), written as:

$$209 \quad U_{*c} = aU_{z'} + b \quad (9)$$

210 To pass the internal consistency analysis, four requirements must be met: 1) the
211 linear correlation between U_{*c} and $U_{z'}$ must exceed the appropriate significance level; 2)
212 the regressed intercept should be small ($b \approx 0$); 3) z_0 obtained from the slope of the
213 regression line should be similar to that derived using the $U_c(z) - \ln(z)$ regression:

$$214 \quad a = \frac{\kappa}{\ln\left(\frac{z}{z_0}\right)} \quad (10)$$

215 and 4) the value of $C_D(z')$, which is the drag coefficient at a height of z' (40 cm in the
216 present study), derived on the basis of slope a , should be consistent with

$$217 \quad C_D(z') = \frac{U_{*c}^2}{U_{z'}^2} \quad (11)$$

218 The current-induced bed shear stress (τ_c , Pa) is subsequently calculated according
 219 as follows:

$$220 \quad \tau_{c,LP} = \rho_w C_D(z') U_z^2 \quad (12)$$

221 The second momentum methods are listed in Table A.2. The TKE method and the
 222 TKEw method are based on the assumption that the bed shear stress scales linearly with
 223 the intensity of velocity fluctuations. To minimize the noise from the orbital motion of
 224 waves in the horizontal components, only the vertical fluctuations are used in the TKEw
 225 method. Minimizing the effects of waves has also been achieved using band-pass filtering
 226 (see below). In the RS method, it is assumed that the measured covariance between
 227 horizontal and vertical fluctuations is close to the value near the bed. Soulsby and
 228 Humphery (1990) argued that it is not necessary to separate out the wave orbital
 229 velocities, as the vertical wave-induced velocity is both small and in quadrature with the
 230 horizontal component.

231 In the ID method, the friction velocity is derived by assuming a first-order balance
 232 between shear production and energy dissipation with deployment of the 1D spectrum
 233 applicable to the inertial dissipation range (Huntley, 1988; Kim et al., 2000), giving

$$234 \quad U_* = (\kappa z)^{1/3} \left(\frac{S_i(k) k^{5/3}}{\alpha_i} \right)^{1/2} \quad (13)$$

235 where $\alpha_i (i=1,2,3)$ are 1D Kolmogorov constants, with $i=1$ and $i=2$ denoting directions
 236 parallel and transverse to the main flow, respectively, and $i=3$ denoting the vertical
 237 direction. In locally isotropic turbulence, $\alpha_1 = 0.51$, and $\alpha_2 = \alpha_3 = 4/3\alpha_1 = 0.68$ (Green,
 238 1992). The frozen turbulence hypothesis, which assumes $S(k)k = S(f)f$ with
 239 $k = 2\pi f / U_c$, is then applied to transfer Equation (13) from the wave number (k) domain
 240 to the frequency (f) domain (Huntley, 1988). In the inertial subrange, $S_w(f)f^{5/3}$ is
 241 constant. In practice, the average value around the maximum value is used to represent
 242 $S_w(f)f^{5/3}$.

243 3.4 Current and wave directions

244 The current direction φ_c is derived from the mean value of the two horizontal
 245 velocity components u_m and v_m :

$$246 \quad \varphi_c = \arctan \left(\frac{v_m}{u_m} \right) \quad (14)$$

247 The wave direction φ_w is defined similarly, but based on the wave-induced orbital
 248 velocities u_w and v_w . Given a series of φ_w in a measurement burst, the wave direction is
 249 defined as the direction with the maximum number of counts. Two peaks in the direction
 250 counts, which are theoretically in a difference of 180° , can be found (Figure 2). As
 251 $|\cos \varphi_{cw}|$ and $|\sin \varphi_{cw}|$ are used in the WCI model, only one of the two peak directions is
 252 required. Accordingly, the counts between 180° and 360° are superimposed on those
 253 between 0° and 180° . A count every 5° was used in the present study.

254 *Figure 2*

255 3.5 Wave–turbulence decomposition

256 The second momentum methods (TKE, TKE_w, and ID) use the turbulent velocities
 257 only, so turbulent velocities are separated from the mixed wave–turbulent velocities. Two
 258 approaches were used to decompose the wave velocities from the turbulent velocities: a
 259 moving average (MA) (Williams et al., 2003) and energy spectrum analysis (ESA)
 260 (Soulsby and Humphery, 1990). The band-pass filter method of Meirelles et al. (2015) is
 261 similar to the ESA method and gives similar results.

262 Williams et al. (2003) applied a simple MA filter that uses the mean of the previous
 263 N values to forecast the value at time t , as follows:

$$264 \quad F_t = \frac{1}{N} \sum_{i=1}^N A_{t-i+1} \quad (15)$$

265 where F_t is the forecast value at time t , N is the number of previous data points to be
 266 included in the MA, and A_t is the actual value at time t . Williams et al. (2003) used a 1-
 267 second MA to resample the original signal and extract the bulk wave-induced velocities.

268 The ESA technique was developed by Soulsby and Humphery (1990) to split the
 269 variance without separating the instantaneous time series (Figure 3). The burst velocity
 270 series is first detrended to get combined wave–turbulent velocities $u_w + u_t$. The area under
 271 the energy spectrum $S_u(f)$ equals the total variance $\overline{(u_w + u_t)^2}$. A log–log plot (Figure 3C)
 272 reveals that the spectrum is a wave velocity spectrum, with a peak near 0.3 Hz and a
 273 characteristic f^{-5} power law decaying at higher wave frequencies, superimposed on a
 274 conventional turbulence spectrum, with a characteristic $f^{-5/3}$ slope in the inertial subrange.
 275 This spectrum is further separated by a straight red dotted line in Figure 3C, and the area
 276 above this line contributes to the wave variance $\overline{u_w^2}$, whereas the area below the line
 277 contributes to the turbulence variance $\overline{u_t^2}$.

278 *Figure 3*

279 In the separated turbulent velocity spectrum, power densities of low frequency
 280 indicate the turbulent kinetic energy contributed by turbulence in energy containing range,
 281 while power densities in high frequency domain is in inertial range.

282 3.6 Index of agreement

283 Intercomparisons among the bed shear stresses estimated using these approaches
 284 was carried out using the index of agreement I . This index is introduced to quantitatively
 285 determine the similarity between two methods of estimating the same variable (Willmott,
 286 1981):

$$287 \quad I = 1 - \frac{\sum (x - y)^2}{\sum (|x - \bar{y}| + |y - \bar{y}|)^2} \quad (16)$$

288 where x and y are the two datasets being compared; $0 < I \leq 1$. The larger the value I is, the
 289 higher level of similarity the two datasets x and y is. $I = 1$ indicates perfect agreement.

290 4. Results

291 4.1 Spectra and Decomposition

292 Water level and velocity spectra were determined for each burst interval, and these
 293 spectral results are combined with contour plots in Figure 4B–E. As the measurement
 294 location falls dry at low water, no data were collected during these periods. Over the neap
 295 tides in the first part of the sampling period, some tides did not inundate the monitoring
 296 site. Three periods can be identified based on the wind conditions (Figure 4A): Period I
 297 (July 23–July 31); Period II (July 31–August 02), and Period III (August 02–August 03).

298 Figure 4B shows the contours of the energy density spectra of the water level
 299 fluctuations above the datum of the original bed level. In Period I, before July 31, a single
 300 peak is evident at a frequency around 0.32 Hz. No clear peaks are seen over Period II,
 301 indicating that wave heights were low. During Period III, two peaks occur around a
 302 frequency at 0.32 and at 0.1 Hz, and this indicates that the wave regime was dominated
 303 by locally generated waves over Period I, whereas offshore winds and swell dominated
 304 wave activity during Period III.

305 *Figure 4*

306 Figure 4C–E shows the energy spectra derived from the velocity fluctuations in the
 307 three orthogonal directions. Similar to the water level spectra, a double-peaked spectrum
 308 is seen in Period III for the northward velocities (v), and this is more clearly highlighted
 309 in the averaged spectra (Figure 5C). The velocity spectra for the northward direction have
 310 a similar shape to the spectra of the water level fluctuations, which implies that the near-

311 bed velocities in the wave propagation direction are significantly affected by wave
312 motion. Based on the ‘wind wave’ and ‘wind wave + swell’ conditions, two
313 corresponding pass-bands were applied to the ESA wave–turbulence decomposition
314 methods (Figure 5).

315

Figure 5

316 For the spectrum of the vertical velocity component, a peak occurs in the velocity
317 spectrum at the same frequency as for the water level spectrum during Period I (Figure
318 5A). No clear peak is seen in the vertical velocity spectrum for the calmer Period II
319 (Figure 5B). Furthermore, on average the waves contribute 64% to the spectral density of
320 the vertical wave-turbulence energy spectrum, with a maximum contribution of 95%.

321 Our results suggest that the near-bed velocity fluctuations were caused in part by
322 waves. Even the vertical fluctuations, which are often assumed to be free of wave
323 influence, are highly contaminated by the waves. Consequently, a decomposition of the
324 velocity fluctuations is needed to separate waves and turbulent motion. Figure 4B shows
325 the velocity spectrum smoothed using an MA with a window of one second and the
326 spectrum obtained after BP filtering with the pass-bands indicated in Figure 5.

327 A peak in the wave frequency band remains in the energy spectra of turbulent
328 velocities obtained using the MA method. This indicates an incomplete separation of
329 wave–turbulence decomposition. Moreover, in the low-frequency domain, the MA
330 provides a low estimation of the turbulence spectral density.

331 4.2 Bed shear stresses

332 4.2.1 Wave-induced bed shear stress

333 The wave-induced bed shear stresses obtained using the different approaches were
334 in good agreement with each other. The index of agreement (I) of each comparison was
335 above 0.94 (Table 1). The ‘SEASOFT for Waves’ software package for the SBE-26plus
336 obtained wave characteristics H_s and T_s using zero-crossing method (Sea-Bird
337 Electronics, 2007). Theoretically, wave parameters obtained using pressure dataset from
338 ADV and SBE-26plus using the zero-crossing method should be in accordance with each
339 other. The instrument-dependent differences were probably caused by differences in the
340 probe type and deployment settings (sampling frequency and duration). For the same
341 ADV pressure dataset, zero-crossing and spectral estimation provide close τ_w values, with
342 I reaching 0.98. Zero-crossing counts the water level going to equilibrium positions,
343 while spectral estimation uses Fourier transform. They are expected to gain the similar
344 values of wave parameters by signal processing approach. As the three approaches
345 provide similar estimates of τ_w , the results obtained from the spectral estimations based
346 on the ADV pressure data are used in the following analysis.

347

Table 1

348 During windy conditions, the orbital velocity distribution indicates that waves
 349 propagate in a north-westnorth direction, which is the same direction as the prevailing
 350 wind. During the calm conditions around August 1st, the wave direction over one tidal
 351 cycle became divergent when the wind direction moved offshore (Figure 2G).

352 *4.2.2 Current-induced bed shear stress*

353 The average value of the current-induced bed shear stress, τ_c , was 2.3 times larger
 354 than the wave-induced bed shear stress τ_w , indicating that currents and waves acted in
 355 competition in the present study area, whereas current-induced forces have a greater
 356 effect on the bed than do waves (Figure 2I).

357

Figure 6

358 Figure 6 demonstrates that the time series of τ_c obtained using different methods
 359 show similar variation patterns during a tidal cycle. However, agreement in the
 360 magnitude of τ_c is weaker under windy conditions than under calm weather. According to
 361 our intercomparison analysis, indices of agreement vary around 0.86 (from 0.5 to 1)
 362 during calm conditions, but around 0.58 (from 0.25 to 0.86) during windy conditions
 363 (Table 2).

364

*Table 2*365 *4.2.3 Total bed shear stress*

366 The total bed shear stress under combined wave–current action obtained using the
 367 methods outlined above, varied from 0 to 3 Pa with an average of 0.65 Pa. The largest
 368 estimated value of averaged τ_{cw} was two times higher than the lowest estimate (Table 2).
 369 As with τ_c , values of τ_{cw} calculated using the different methods show more consistency
 370 under calm conditions (Figure 6B and 6D). Regarding the wave–turbulence
 371 decomposition methods, the MA method provides the higher estimates, and the ESA
 372 method provides the lower estimates.

373

374 The TKEw method, which uses ESA decomposition (TKEw-ESA), provided a
 375 moderate mean τ_{cw} value and generated the highest mean index of agreement, which is
 376 the average of the agreement level (*I*) values of this method with all the other methods.
 377 The mean value of τ_{cw} obtained from the TKEw-ESA method was 2.5 times greater under
 378 windy conditions than under calm conditions. Over a calm tidal cycle, τ_{cw} values
 379 decreased to the minimum value around high tide when current speeds are at their lowest
 380 (Figure 6D); however, τ_{cw} remained high over the course of a windy tidal cycle, even
 during slack water (Figure 6C).

381 **5. Discussion**

382 Several studies have compared the various approaches to estimating bed shear
383 stress and have pointed out that each method has its advantages and disadvantages (Kim
384 et al., 2000; Verney et al., 2006; Andersen et al., 2007; Salehi and Strom, 2012). They
385 also concluded that in current-dominated environments, the different methods all tend to
386 provide similar estimates, although the often-used LP method is better than other
387 methods because it requires less data-set filtration (Andersen et al., 2007) and produces
388 less scatter (Salehi and Strom, 2012). However, in the presence of waves it is difficult to
389 identify the best method without knowing the true value of the bed shear stress. By
390 focusing on the trends in time series, the magnitude of the estimation, degree of scatter,
391 and the correlation with the SNR (signal-noise ratio), the TKE, TKEw, and RS methods
392 have been identified as the most appropriate estimators of bed shear stress (Kim et al.,
393 2000; Salehi and Strom, 2012). In the following discussion, we focus mainly on the
394 limitations of each method in an attempt to identify the most appropriate method of
395 estimating bed shear stress in combined wave–current environments.

396 We used internal consistency analysis to examine whether the results derived from
397 the $U_c(z) - \ln(z)$ relationship can be used to characterize boundary layer conditions.
398 Estimations of τ_c by different approaches bring uncertainty in the definition of τ_{cw} . The
399 LP method is a first moment method. This method requires a logarithmic velocity
400 distribution, which may not be the case in reality. The present study shows a pass rate of
401 90% after internal consistency analysis, and most of the unpassed profiles were recorded
402 at slack water, when the tidal current starts to rotate; this is in agreement with previous
403 studies (Collins et al., 1998; Wang et al., 2013; Zhu et al., 2014; Liu and Wu, 2015).
404 Another limitation of the LP method is that it requires a fixed bed level that cannot vary
405 with time. In reality, however, the bed level varies in the intertidal area. In energetic
406 regions, where bed level change might be on the order of centimetres over a single tidal
407 cycle, the error is caused by a vertical shift in the current velocity profile. For longer-
408 duration measurements that incorporate extreme events, the error could be even larger
409 when bed level variations may be on the order of decimetres.

410 Other mechanisms that violated the assumption of a logarithmic velocity
411 distribution in shallow water include: unsteady flow (e.g., acceleration/deceleration of
412 flow), stratification in the water column and transport of material as bed load, wind
413 influencing the velocity profile by adding wave effects and producing variable velocity
414 close to the water surface, and topography-induced secondary flows (Wilkinson, 1985;
415 Gross et al., 1992; Friedrichs and Wright, 1997; Collins et al., 1998). For these reasons,
416 the pass rate of internal consistency analysis may be lower, even reaching 0% at some
417 locations (Collins et al., 1998). Note that a logarithmic velocity profile only guarantees

418 the estimation of tidal-induced bed shear stress. The LP method would not detect the bed
419 shear stress caused by wind-induced turbulent currents.

420 All estimates made using second momentum (TKE, TKE_w, ID, and RS) are
421 sensitive to probe height. These techniques require the ADV sampling volume to be
422 within the log layer, but high enough to avoid damping effects and near-bed stratification.
423 Andersen et al. (2007) pointed out that placing the sampling volume at 1–4 cm above the
424 bed might be too close to the bed and that fluctuations in vertical velocities would be
425 dampened. This might be the reason why LP method was preferred rather than the second
426 momentum methods. Among the second momentum methods, the ID method assumes
427 that shear production and energy dissipation are equivalent. This means that an
428 incomplete separation may lead to errors in the estimation of τ_c . Following the correction
429 expression proposed by Huntley (1988), Kim et al. (2000) proposed a critical height of 35
430 cm, below which the full production–dissipation separation may not be ensured. In the
431 present study, the ADV sampling volume height of 9.3 cm seems to be too low for ID
432 estimation.

433 One of the key assumptions in the second momentum methods is that the
434 fluctuating velocities measured in the vertical dimension are not contaminated by wave
435 orbital motion, and this assumption has been used in many other studies (Kim et al., 2000;
436 Andersen et al., 2007; Wang, 2007). This assumption has the largest impact on the TKE_w
437 method, which only uses the vertical turbulent velocities. Without wave-turbulence
438 decomposition, τ_{cw} could be overestimated by a factor of four (Figure 7A). The
439 overestimation increases with enhanced wave strength, which is indicated by the H_s/h
440 ratio, and 50% of the τ_{cw} values are overestimated by 1.6 times. When $H_s/h > 0.25$, the
441 possibility of τ_{cw} being overestimated by 1.6 times is greater than 50%; when $H_s/h > 0.5$,
442 the possibility increases to 90% (Figure 7B). This indicates that under low-energy wave
443 conditions, when H_s/h is low, the assumption is still valid. The TKE_w method offers the
444 easiest approach to estimating τ_c by applying $w_t = w - w_m$. It also implies that it is
445 reasonable for Kim et al. (2000) using the assumption as their measurement were carried
446 out in the deeper site where H_s/h ratio is very small.

447

Figure 7

448 Studies of the wind effect on bed shear stress help to improve our understanding of
449 sedimentary processes in intertidal areas. It has been widely observed and accepted that
450 high winds increase wave heights significantly and result in an increase in wave orbital
451 velocity, and thus τ_w (Gross et al., 1992; Janssen-Stelder, 2000; Dalyander et al., 2013).
452 In a field study on a mudflat in the Dutch Wadden Sea, Janssen-Stelder (2000) found that
453 high bed shear stress occurs around high water, when current velocity is low, and this
454 enhanced bed shear stress is dominated by the wave-induced component. Our study

455 shows that the mean τ_w contributed 40% to the mean τ_{cw} in windy conditions, while 15%
456 in calm condition.

457 In shallow-water environments, breaking wave is an important cause of bed erosion.
458 However, τ_w is estimated under non-breaking conditions. Field and laboratory studies
459 have shown that when depth-limit wave-breaking occurs, wave-breaking generates
460 turbulence and setup flow that can agitate substantial amounts of bed sediment, which
461 leads to increase in suspended sediment concentration (Levoy et al., 2000; de Vries et al.,
462 2008; Callaghan and Wainwright, 2013). However, it remains difficult to quantify the
463 effect of breaking waves on bed erosion. In our case, the ratio of the height of largest
464 10% of waves to the water depth varies from 0.04 to 0.65; i.e., less than 0.73, and so
465 indicates local non-breaking conditions (Battjes and Stive, 1985).

466 However, in addition to increasing the wave orbital motion, wind may affect the
467 bed shear stress in another way because high values of τ_c were also found to occur around
468 high water, when current velocities are low (Figure 6A). Using a regional-scale numerical
469 modelling study of the Middle Atlantic Bight (USA), Dalyander et al. (2013) examined
470 wind-driven currents by considering the correlation between non-tidal-induced stress and
471 wind stress. This non-tidal term is usually caused by wind-driven flow. In our study,
472 current speeds are still scaled with the water pressure gradient (Figure 2F and 2G).
473 Therefore, in this case the wind-driven flow refers to turbulent flow rather than mean
474 flow. The wind-driven turbulence cannot be captured by the LP method, which uses
475 mean velocities, but it can be detected using the second momentum methods (TKE,
476 TKEw, ID, and RS). These methods use the bulk turbulent velocities without separating
477 the tidal-current-driven turbulence from the wind-driven turbulence. Therefore: (a) during
478 slack water, the LP method results in low τ_c estimation, whereas the second momentum
479 methods provide a τ_c of around 1 Pa (Figure 2I); and (b) under low-energy wave
480 conditions, all methods are consistent, as the wind-driven motion is absent. This
481 inference is supported by a recent wind-flume experiment, in which Su et al. (2015)
482 pointed out that wind enhances the total bed shear stress by increasing the original
483 vertical turbulence.

484 According to the acoustic principle, ADV measurements suffer from Doppler noise
485 (Lohrmann et al., 1995). The Doppler noise level increases as the height closer to the bed
486 because of the random scatter and velocity shear in the sampling volume (Voulgaris and
487 Trowbridge, 1998). Moreover, the noise error with respect to vertical velocity variance is
488 smaller than that for the horizontal velocity variance, by at least an order of magnitude
489 (Kim et al., 2000). From this point of view, the TKEw method is less sensitive to errors
490 caused by Doppler noise.

491 Finally, attention should be given to sampling duration and frequency, regardless of
 492 which second momentum method is selected. High- and low-frequency losses are caused
 493 by inappropriate sampling rates and sampling durations, respectively (Soulsby, 1980). A
 494 sampling duration of 90 s provides about 30 waves. The spectrum analysed here (Figures
 495 3B and 4) is complete in the low-frequency domain. A low limit of 5 Hz was estimated
 496 for the sampling rate by Kim et al. (2000). In the present study, a sampling rate of 8 Hz
 497 was sufficient to avoid high-frequency losses.

498 By considering the intercomparison results and limitations mentioned above, we
 499 suggest a protocol to estimate the total bed shear stress, τ_{cw} , from ADV measurement data
 500 following Figure 8. Although the TKEw method associated with the ESA wave-
 501 turbulence decomposition technique is ideal for estimating τ_{cw} , we still suggest that
 502 several approaches should be applied to obtain the most reliable estimate of bed shear
 503 stress.

504 *Figure 8*

505 6. Conclusions

506 This paper presents a multi-approach method of estimating the total bed shear stress
 507 under combined wave and current action (τ_{cw}) based on in situ measurements of wave
 508 and current data. Using velocity spectrum analysis, we found that:

- 509 - The frequently used assumption that near-bed velocities in the vertical direction
 510 are not contaminated by waves is invalid during windy conditions. Our results
 511 demonstrate that when the ratio of significant wave height to water depth (H_s/h) is
 512 greater than 0.25, τ_{cw} is likely to be overestimated unless decomposition is used.
- 513 - During windy/stormy weather, winds enhance the total bed shear stress by: (1)
 514 levelling up τ_w by increasing the wave height; and (2) increasing τ_c by
 515 superimposing wind-driven turbulent velocities onto the original vertical
 516 velocities. This has significant effects on the models used to estimate total bed
 517 shear under combined wave–current action.
- 518 - ESA wave–turbulence decomposition method performs better than the MA
 519 method in terms of predicting turbulence energy spectrum which is used to obtain
 520 current-induced bed shear stress. On the other hand, the MA method can separate
 521 wave orbital velocities and turbulent velocities, so that it can provide the wave
 522 directions.
- 523 - The determination of the wave-induced bed shear stress (τ_w), which is dependent
 524 on probe type and deployment settings, is less arbitrary. In comparison, estimation

525 of the current-induced bed shear stress (τ_c) is dependent on the approach. All
526 methods require measurements in the turbulent boundary layer. The LP method is
527 the only approach that uses the mean components, but it is dependent on the
528 velocity profile obeying a logarithmic law. Among the second momentum
529 approaches, the ID method may give biased estimates because of the incomplete
530 separation of shear production and energy dissipation, as well as the near-bed
531 sediment concentration stratification. The TKE and ID methods are affected by
532 Doppler noise in the horizontal direction, but we consider them to be the most
533 consistent methods. The results of our intercomparison study, based on the index
534 of agreement, indicate that the TKEw method, which incorporates the ESA
535 decomposition technique, provides the best estimates of τ_{cw} .

536 We believe that the protocol proposed in the present study demonstrates that the
537 ADV-approach has considerable potential to obtain reliable estimates of the total bed
538 shear stress under combined wave–current action (τ_{cw}) in regions with complex
539 hydrodynamics, such as intertidal flats. However, the probe height, sampling duration,
540 and sampling frequency should be carefully chosen.

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- 688

Table 1. Statistics and inter-comparison (index of agreement, I) of wave-induced bed shear stress, τ_w .

		SBE	ADV: 0-crossing	ADV: spectral
τ_{cw} (Pa)	Mean	0.28	0.25	0.22
	Std.	0.16	0.22	0.18
I	SBE	1	0.94	0.94
	ADV: 0-crossing	0.94	1	0.98
	ADV: spectral	0.94	0.98	1

Table 2. Inter-comparison (index of agreement, I) of τ_c in calm and windy conditions, and τ_{cw} in the whole measurement duration. In the WCI model, τ_w was obtained from spectral estimation using ADV measured pressure data. The following methods were used to estimate τ_c : TKE: turbulent kinetic energy; TKEw: modified TKE using vertical turbulent velocity only; ID: Inertial dissipation; and RS: Reynolds shear stress. The wave–turbulence decomposition methods used were moving averages (MA) and energy spectrum analysis (ESA).

	Method	LP	TKE		TKEw		ID	RS	Mean	
			MA	ESA	MA	ESA	MA			
$I-\tau_c$ Calm	LP	1	0.50	0.85	0.86	0.97	0.88	0.89	0.85	
	TKE	MA	0.57	1	0.82	0.83	0.64	0.78	0.62	0.75
		ESA	0.85	0.82	1	0.95	0.80	0.94	0.90	0.89
	TKEw	MA	0.86	0.82	0.95	1	0.89	0.98	0.81	0.90
		ESA	0.97	0.58	0.80	0.89	1	0.88	0.73	0.84
	ID	MA	0.88	0.77	0.94	0.98	0.88	1	0.81	0.89
		RS	0.89	0.61	0.90	0.81	0.74	0.80	1	0.82
$I-\tau_c$ Windy	LP	1	0.51	0.36	0.54	0.54	0.33	0.61	0.56	
	TKE	MA	0.51	1	0.67	0.71	0.58	0.66	0.38	0.64
		ESA	0.38	0.68	1	0.81	0.82	0.72	0.36	0.68
	TKEw	MA	0.55	0.72	0.81	1	0.86	0.79	0.39	0.73
		ESA	0.56	0.58	0.82	0.86	1	0.77	0.49	0.73
	ID	MA	0.36	0.65	0.72	0.79	0.77	1	0.37	0.67
		RS	0.62	0.35	0.34	0.37	0.46	0.35	1	0.50
$I-\tau_{cw}$ All	LP	1	0.72	0.64	0.73	0.75	0.58	0.76	0.74	
	TKE	MA	0.72	1	0.85	0.87	0.71	0.83	0.70	0.81
		ESA	0.65	0.86	1	0.91	0.87	0.85	0.65	0.83
	TKEw	MA	0.74	0.87	0.91	1	0.90	0.89	0.65	0.85
		ESA	0.76	0.73	0.87	0.90	1	0.84	0.63	0.82
	ID	MA	0.60	0.83	0.85	0.89	0.83	1	0.60	0.80
		RS	0.76	0.70	0.64	0.64	0.62	0.59	1	0.71
τ_{cw} (Pa)	Mean	0.63	0.90	0.68	0.69	0.65	0.72	0.79	0.74 ^a	
	Std.	0.50	0.56	0.41	0.41	0.39	0.49	0.59	0.48 ^a	

a. Results from the LP method are excluded as the related instrument ADCP covered less time measurement periods than ADV.

Appendix A: Tables

Table A.1. Approaches employed to obtain the wave parameters used in Equations (3)–(5).

		ADV
	SBE-26plus	Spectral method (Wiberg and Sherwood, 2008)
		Zero-crossing method (Tucker and Pitt, 2001)
Wave height, H		$H_s = 4 \sqrt{\sum_i S_h(f_i) \Delta f_i}$
Wave period, T	SEASOFT for Waves (Sea-Bird Electronics Inc.)	$1/T_{br} = f_{br} = \frac{\sum_i \left[f_i \frac{4\pi^2}{T_i^2 \sinh^2(k_i h)} S_{h,i} \Delta f_i \right]}{\sum_i \left[\frac{4\pi^2}{T_i^2 \sinh^2(k_i h)} S_{h,i} \Delta f_i \right]}$
Bottom orbital velocity, U_δ	U_δ : Equation (4)	$U_\delta = 2 \sqrt{\sum_i \left[\frac{4\pi^2}{T_i^2 \sinh^2(k_i h)} S_{h,i} \Delta f_i \right]}$

Table A.2. Second momentum methods used to estimate the current-induced bed shear stress, τ_c

Method	Formula	Parameters and coefficients
TKE Turbulent kinetic energy	$TKE = \frac{1}{2} \rho_w (\overline{u_t^2} + \overline{v_t^2} + \overline{w_t^2})$ $\tau_{c,TKE} = C_1 \cdot TKE$	$C_1=0.19$ (Stapleton and Huntley, 1995)
TKEw Vertical turbulent kinetic energy	$\tau_{c,TKEw} = C_2 \rho_w \overline{w_t^2}$	$C_2=0.9$ (Kim et al., 2000)
RS Reynolds stress	$\tau_{c,RS} = \rho_w \sqrt{\overline{u_f w_f^2} + \overline{v_f w_f^2}}$	u_f, v_f, w_f : total fluctuating velocities, i.e., $u_f = u_w + u_t$
ID Inertial dissipation	$U_* = \left(\frac{2\pi\kappa z}{U_c} \right)^{1/3} \left(\frac{S_{w'}(f) f^{5/3}}{\alpha_3} \right)^{1/2}$ $\tau_{c,ID} = \rho_w U_*^2$	$\kappa=0.4$ $\alpha_3 = 0.68$ (Green, 1992)

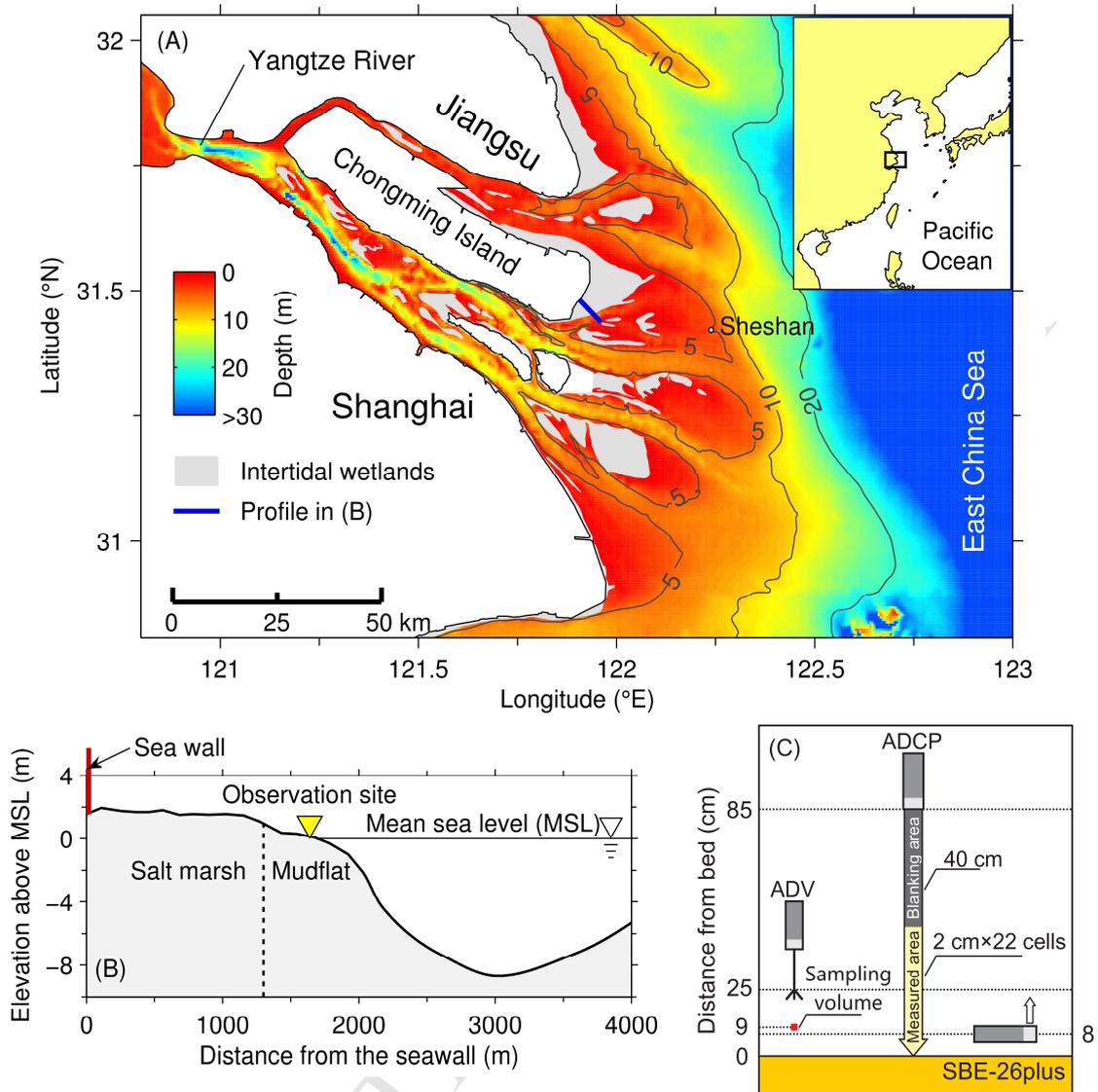


Figure 1. (A) Map of the Yangtze River Delta showing the observation site. (B) Cross-shore bathymetric profile of the observation site. The elevation datum is the lowest astronomic tide (LAT). (C) Schematic representation of instrument deployment and location with respect to the seabed. The SBE-26plus was used to obtain water depth and wave parameters, the ADCP to measure velocity profiles, and the ADV for the high-frequency sampling of both pressure and velocity data at 8 Hz. Lighter parts of the rectangles, which represent the locations of the instruments, indicate the locations of sensors. Arrows indicate the direction each sensor faced.

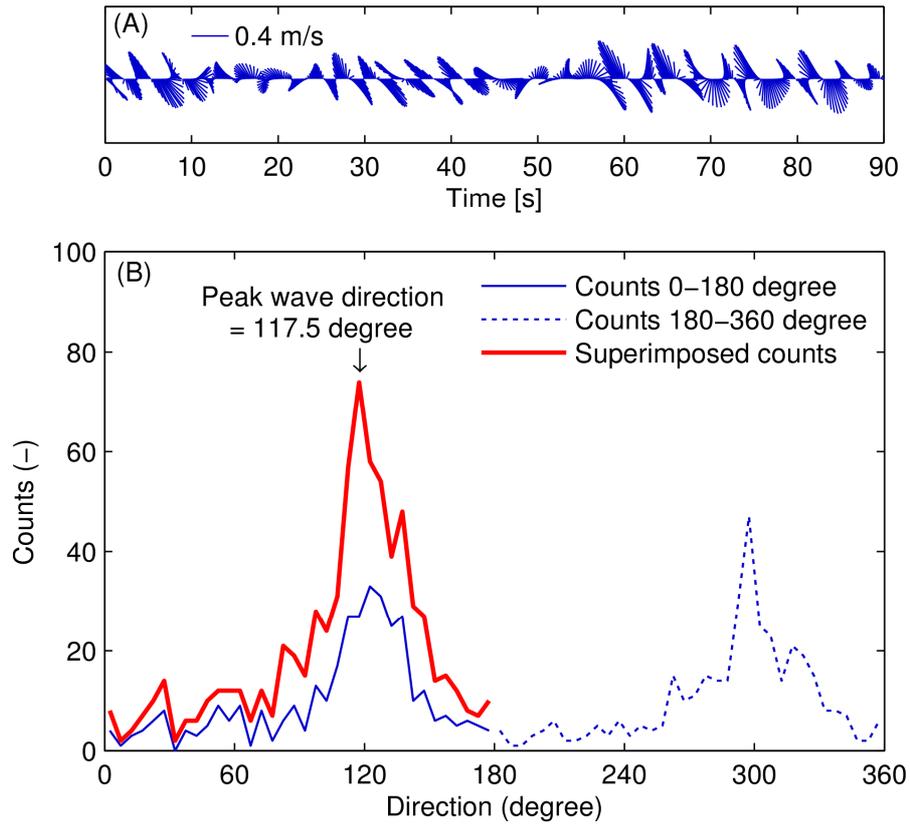


Figure 2. (A) Vector series of near-bottom wave orbital velocity filtered from the ADV in a burst, and (B) its direction (in Cartesian coordinates) count.

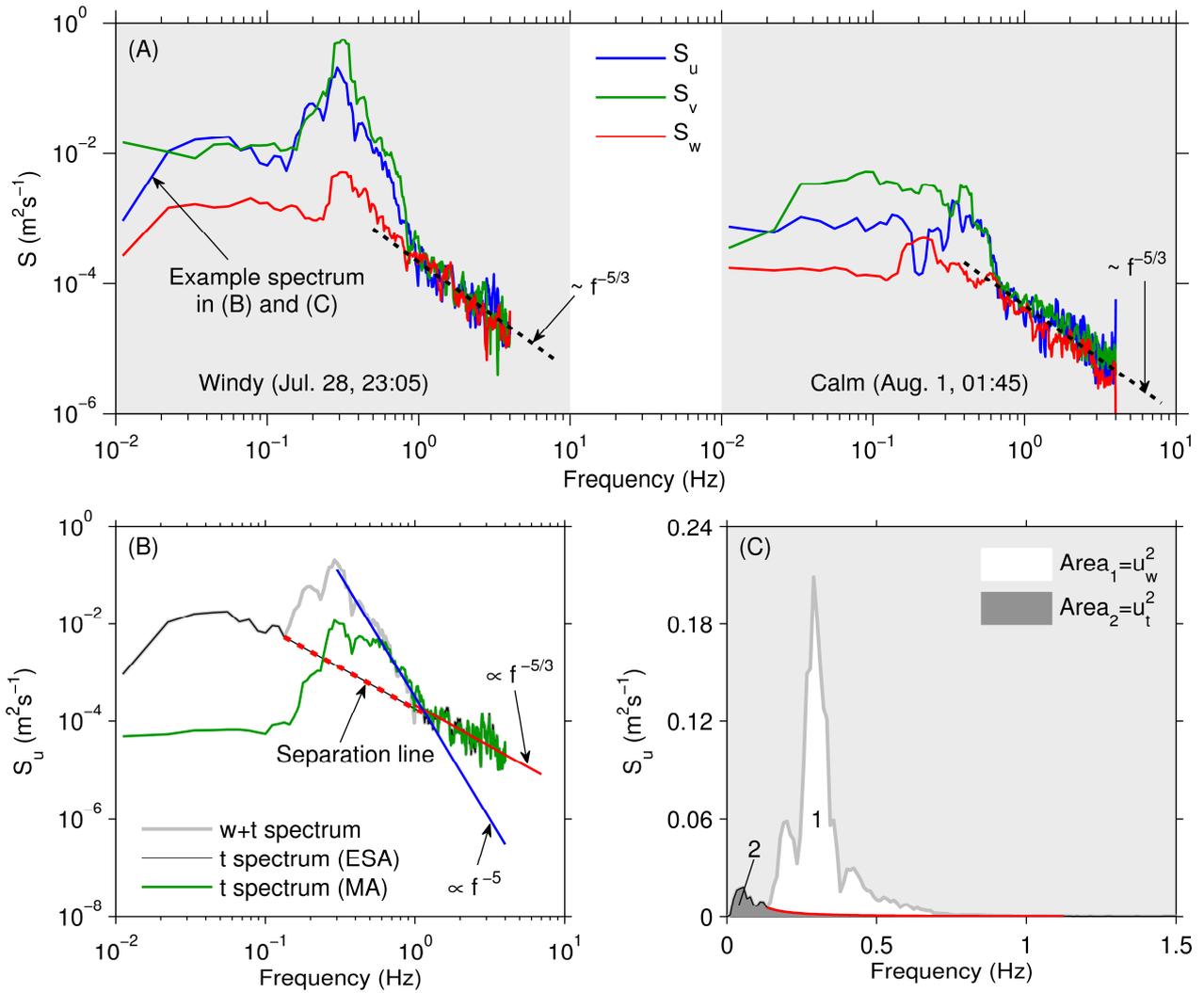


Figure 3. (A) Examples of energy spectra of combined wave orbital and turbulent velocities of three directions in windy and calm conditions, respectively. (B) An example of ESA and MA methods decomposed turbulent velocity spectra. The energy spectrum of combined wave orbital and turbulent velocities comprises a conventional turbulence spectrum (with a $f^{-5/3}$ power law behaviour in the inertial subrange) and a wave velocity spectrum (with a f^{-5} power law behaviour at higher wave bands). (C) The same spectra as in (B) plotted on linear axes. The wave variance $\overline{u_w^2}$ is given by the area between the dividing line, the red dotted line in (B), and the spectrum, with the remaining area being the turbulent variance $\overline{u_t^2}$.

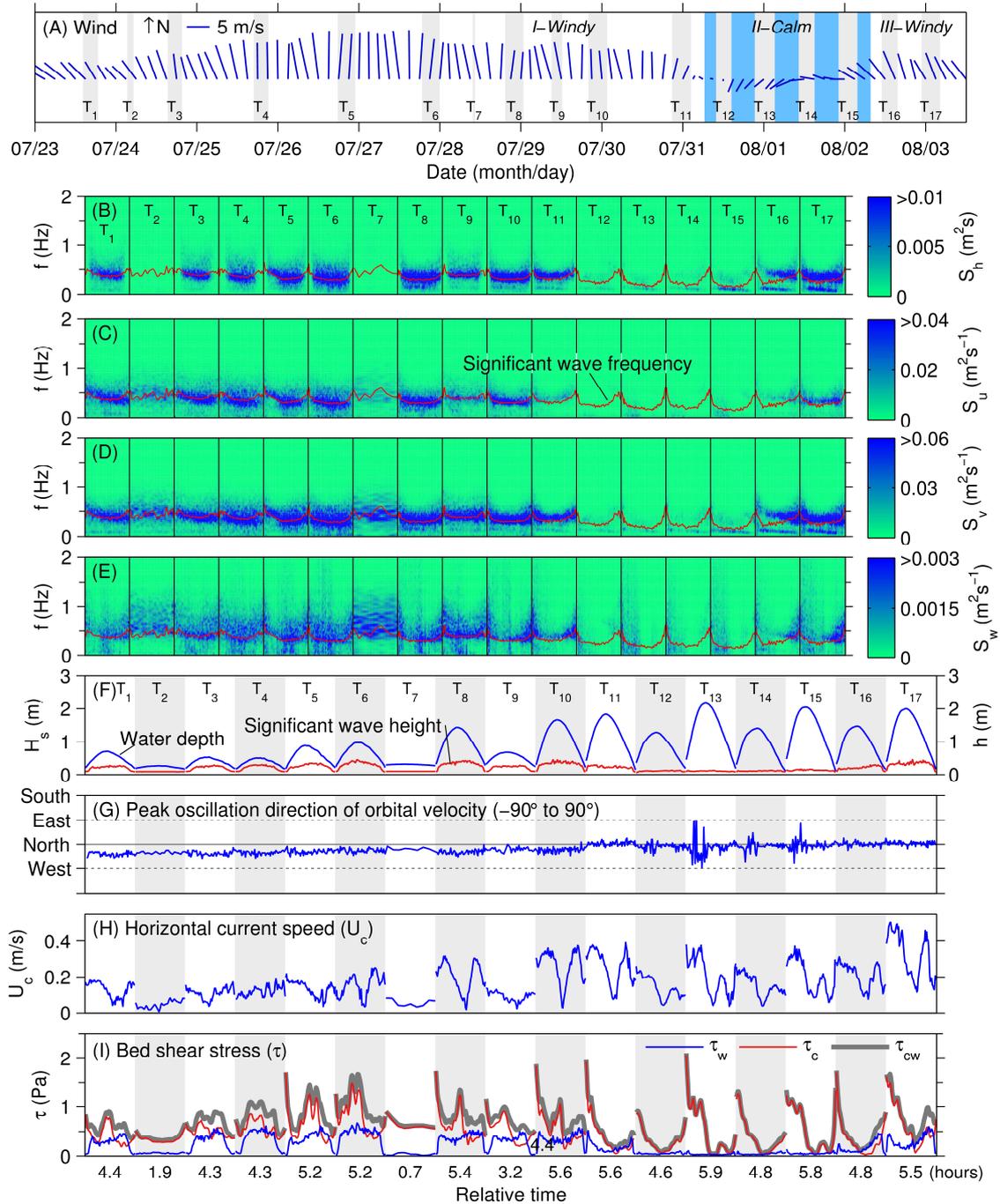


Figure 4. Time series of (A) wind vectors, (B) the energy spectrum of water depth, and (C–E) energy spectra of combined wave orbital and turbulent velocities in the east, north, and vertical up directions, (F) water depth (h) and significant wave height (H_s), (G) horizontal current speed (U_c), (H) wave oscillation directions, and (I) wave/current-induced bed shear stresses (τ_w and τ_c , respectively) and total bed shear stress (τ_{cw}). Each time series section covers the period of a single tidal inundation.

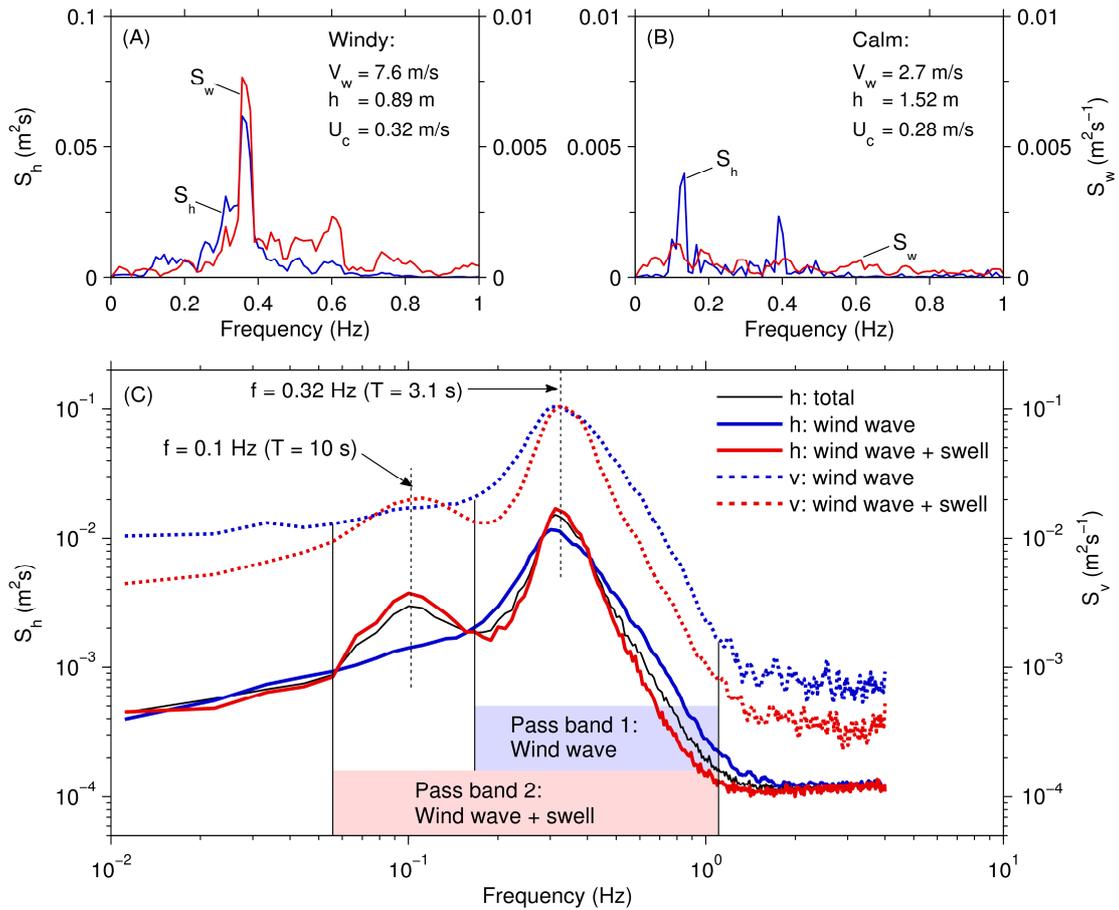


Figure 5. (A) and (B) show water depth and vertical velocity spectra in typical bursts of windy conditions and calm conditions, respectively. V_w , h , and U_c are wind speed, water depth, and horizontal current speed, respectively. (C) shows mean wave spectra showing that local waves are driven by wind during windy weathers (before July 31), but by both wind and swells during calm weathers (after July 31). Two corresponding pass-bands were used to carry out velocity spectra filtration.

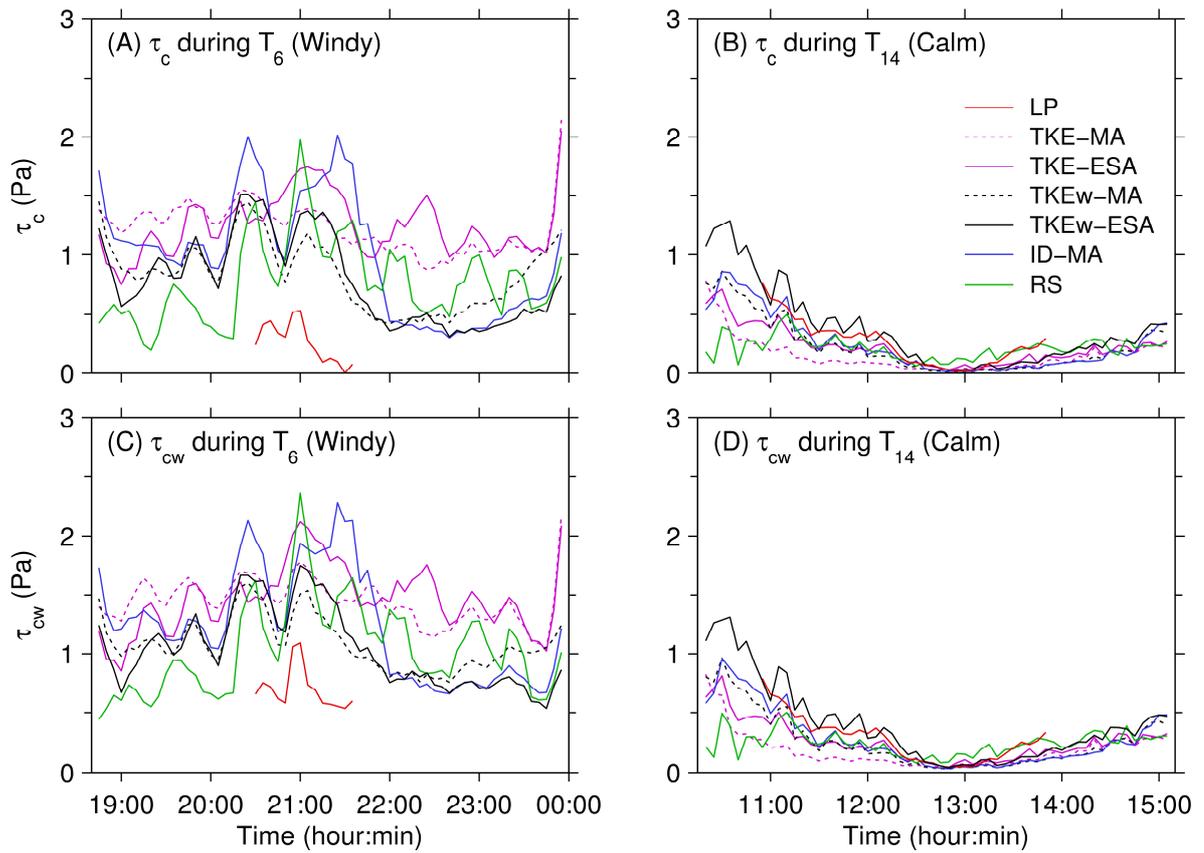


Figure 6. Expanded sections of the time series of τ_c and τ_{cw} obtained using the various approaches. The two tidal cycles are representative because tidal-average τ_{cw} in the cycle in July 28th and August 1st reaches maximum in windy period and minimum in calm period, respectively. Legends in panels (A), (C) and (D) are the same as that in panel (B).

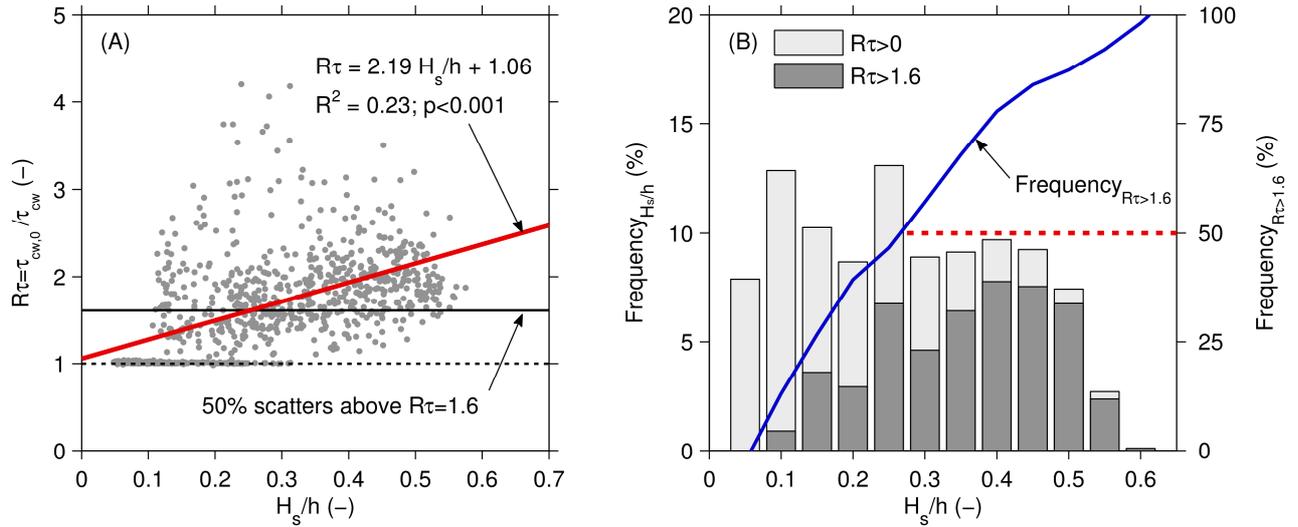


Figure 7. (A) The ratio of total bed shear stress without and with wave-turbulence decomposition ($R\tau = \tau_{cw,0}/\tau_{cw}$) increases with the ratio of significant wave height to water depth (H_s/h). Fifty percent of the τ_{cw} values are overestimated by 1.6 times. (B) Frequency diagram of H_s/h shows that $R\tau > 1.6$ probably occurs in the higher H_s/h domain. When $H_s/h > 0.25$, the possibility of the τ_{cw} value being overestimated by 1.6 times is greater than 50%.

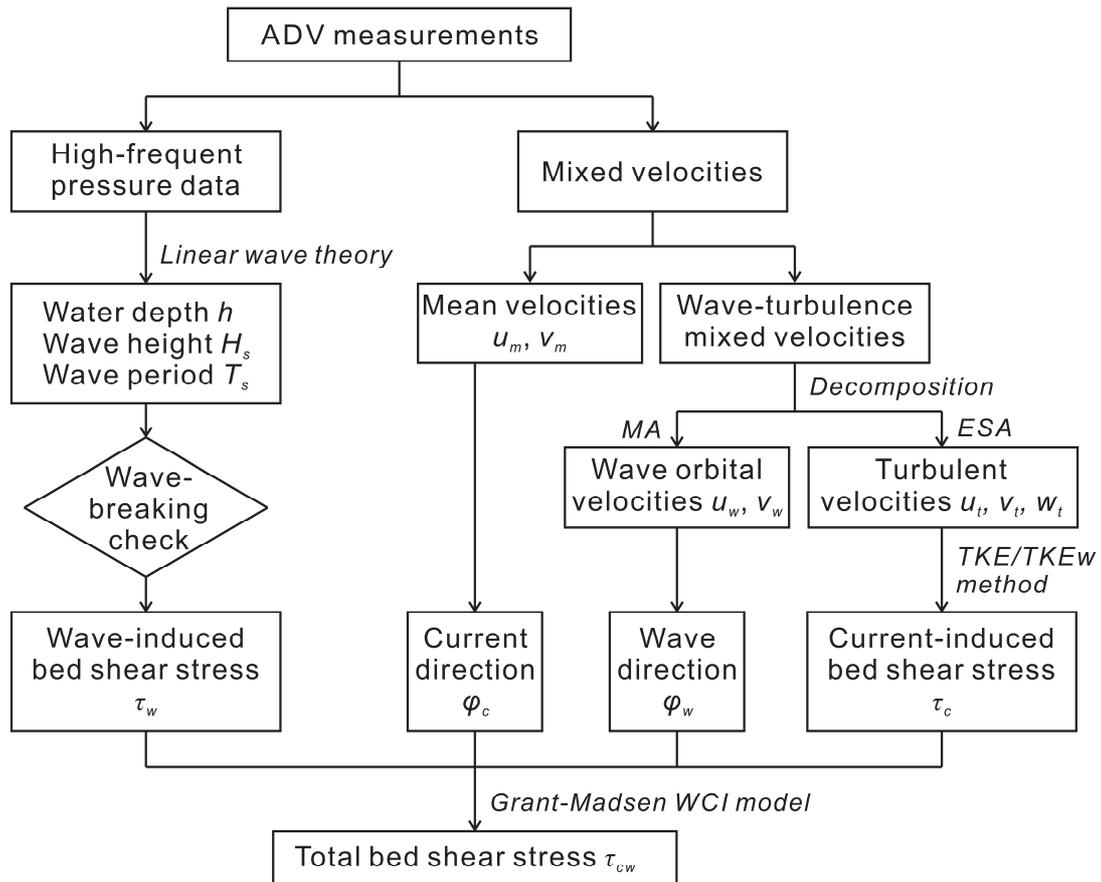


Figure 8. Protocols for estimating total bed shear stress under combined wave-current action, τ_{cw} , using in situ ADV data.

Highlights

1. The most widely used theories are tested to obtain total bed shear stresses.
2. Wind enhances both the wave and current induced bed shear stresses.
3. Near-bed vertical velocity fluctuations are contaminated by surface waves.
4. A solution is proposed to obtain current bed shear stress from in situ ADV data.