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# Exploring the oxidative mechanisms of bitumen after laboratory short- and long-term ageing

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## 1 Abstract

2 Understanding the fundamental mechanisms of oxidative ageing in bitumen is considered of paramount importance in  
3 order to take steps towards durable binders able to tackle distresses related to this phenomenon which deteriorates the  
4 asphalt performance. This paper focuses on the identification of the intermediate and final oxygenated products after  
5 short- and long-term laboratory ageing simulated with rolling thin-film oven testing (RTFOT) and pressurised ageing  
6 vessel (PAV) respectively. Three binders were investigated in this study, two originated from the same wax-free crude  
7 source, while the third was obtained from a different source, containing natural wax, and followed a different  
8 manufacturing process. Fourier-Transform Infrared (FTIR) spectroscopy demonstrated a clear increase of the  
9 sulfoxide and carbonyl functional groups upon ageing for all the binders independently of origin, manufacturing or  
10 performance. Electron Paramagnetic Resonance (EPR) spectroscopy showed an increase of the organic carbon-centred  
11 radicals after short-term ageing (RTFOT), whereas after PAV these radicals remained constant in the two wax-free  
12 binders originating from the same crude source, and even decreased for the third, waxy binder. Proton Nuclear  
13 Magnetic Resonance (<sup>1</sup>H-NMR) spectroscopy reported differences in the relative distribution of protons between the  
14 binders in the unaged state, and similar minor changes after both ageing steps regardless of the binder's crude source  
15 and distillation. The results of Time-of-Flight Secondary Ion Mass Spectrometry (TOF-SIMS) revealed that SO<sub>x</sub>- and  
16 (OH)<sub>x</sub>-containing compounds are produced after the sequentially occurring short- and long-term ageing in both wax-  
17 free bitumens, whereas an almost constant behaviour of aliphatics after PAV ageing can be seen for the same bitumens.  
18 Finally, the strengths and weaknesses of each of these experimental techniques were reviewed and compared versus  
19 the obtained results and possible ageing mechanisms.

20 **Keywords:** bitumen; EPR; <sup>1</sup>H-NMR; TOF-SIMS; FTIR; ageing; oxidative mechanisms

## 21 **1. Introduction**

22 Bitumen is one of the main components of asphalt pavements and consists of a vast number of organic molecules [1].  
23 Due to its organic constituents bitumen is prone to oxidation during exposure to mixing and environmental conditions  
24 [2]. Albeit other irreversible physicochemical processes take place in bitumen (volatilisation and condensation), oxidative  
25 ageing is considered of utmost importance since it generally deteriorates the pavement performance [3,4]. To date, a lot  
26 of studies have already demonstrated this negative impact of oxidative ageing resulting in the brittleness of bitumen  
27 responsible for several distresses in asphalt scale such as cracking and ravelling [5–8]. However, it should be noted that  
28 moderated ageing may indicate also positive effects in pavement if one is able to control it, *i.e.* by reducing severe  
29 deformations and rutting.

30 Since the chemical structure of bitumen is complex and also changes as a result of oxidative ageing, it has become  
31 apparent how crucial the understanding of bitumen chemistry is [9]. The bitumen's exact composition depends on the  
32 refinery process and the origin of crude oil, a fact that makes the determination of bitumen chemistry even more  
33 challenging [10,11]. Despite these difficulties, it has been proposed that the reaction mechanisms in each bitumen follow  
34 two-rate determining oxidation phases: the chemically distinct fast and slow phases [6,12,13]. Initiated by Petersen and  
35 his coworkers, recent literature confirmed experimentally the formation of organic carbon-centred radicals, which would  
36 be expected in a dual-sequential oxidation scheme [12,14]. The existence of free radicals will most likely provoke the  
37 chemical reactions resulting eventually in the formation of polar sulfoxides from non-polar sulfides and polar ketones (as  
38 well as anhydrides and carboxylic acids in smaller amounts) from benzylic carbon moieties [9].

39 Hitherto, isothermal reaction kinetic studies revealed also differences in the reaction rate and the formation of the two  
40 major oxidation products, namely carbonyls and sulfoxides [15]. Moreover, high temperatures, above 120 °C may cause  
41 thermal decomposition of the sulfoxides [13,16] and as such better insights into the sequential two-phase mechanism are  
42 needed since, in reality, the temperature varies between the different stages of the service life. It is important to note that  
43 during service life extreme temperatures greater than 80 °C are never reached, while the temperatures during the paving  
44 stages depend on the asphalt mixture application (hot, warm, cold).

45 It has long been speculated that certain oxidation products correlate well with the viscosity of bitumen [6,17]. Previous  
46 research highlighted additionally that the effect of temperature during the production stage, responsible for the short-term  
47 ageing, is more crucial for the intensity of the main oxidation products than the temperature during service life, associated  
48 with the long-term ageing of bitumen [18,19]. The effect of ageing time of each stage is considered also crucial for the  
49 end products and the bitumen's rheological behaviour. Moreover, to realistically capture the main products formed upon

50 ageing as well as the role of intermediate products and organic radicals, one should go beyond isothermal kinetics. Ideally,  
51 taking also into account the effect of mineral fillers in the composition has been considered to be a more precise  
52 approximation of field ageing [20], although the interactions between the components yet remain to be understood.

53 In order to mimic in-situ changes of bitumen due to oxidation, a common practice is to utilise routine tests simulating  
54 the short- and long-term ageing. More specifically, the rolling thin film oven test (RTFOT) [21] followed by the  
55 pressurised ageing vessel (PAV) [22] are most commonly used to simulate the elevated temperature during production  
56 and paving and the weather conditions during use-life respectively. According to literature conditioning in PAV of 20  
57 hours at a pressure of 2.1 MPa corresponds to 7-10 years of field ageing for base layers [23–25], where the exact  
58 equivalence depends on the bitumen and type of the asphalt mixture (dense, porous, etc.). However, controversy exists  
59 whether the high temperatures and pressure employed in artificial ageing can adequately capture the changes from a  
60 chemical perspective as the chemical mechanisms, in reality, may be somewhat different [9,26]. For example, the  
61 exposure to ultraviolet radiation or the incorporation of reactive oxygen species in the atmosphere can alter considerably  
62 the overall chemical routes or concentration of oxygenated products during ageing [27,28].

63 Of pragmatic importance is to examine whether the artificial ageing simulations, widely used in the asphalt sector,  
64 account for a fair correspondence with the ageing mechanisms reported previously in kinetic studies [12,15,29]. A  
65 fundamental understanding of bitumen oxidation is considered crucial for the implementation of the appropriate modifiers  
66 or rejuvenators when recycling and the reviewing of the protocols for artificial ageing, in order to adapt them in a  
67 controlled manner. Last but not least, taking steps towards the partial or complete prevention of oxidation in asphalt will  
68 bridge the gap between the depletion of an organic, non-renewable material, like bitumen, and a universal policy  
69 promoting long-lasting pavements and sustainability.

70 Given that the molecular interactions and chemical composition affect primarily the ability of molecules to flow and  
71 consequently the rheological behaviour of bitumen [4,30–32], an in-depth inspection of the underlying mechanisms after  
72 standardised simulations is thus considered more than crucial. This study aims primarily to identify the basic ageing  
73 compounds formed after artificial ageing. Specifically, the proposed approach employs advanced spectroscopy (FTIR,  
74 EPR, <sup>1</sup>H-NMR and TOF-SIMS) in an attempt to capture the structural and chemical changes upon ageing with routine  
75 laboratory tests. This work aims finally at accounting for the effect of both the crude source, the binder's penetration  
76 grade and the distillation process on the mechanisms upon standardised ageing.

## 77 2. Materials and methods

### 78 2.1. Materials

79 The bituminous binders selected for this work vary with regard to the penetration grade, origin of the crude oil and  
 80 refinery process. Bitumen A and B are straight-run binders, originating from the same acidic, wax-free crude with a  
 81 penetration grade of 10/20 and 160/200 respectively. A third binder C of a different crude source with similar penetration  
 82 to binder B was also examined, however, this binder was the result of a thermal cracking process (visbreaking) and it  
 83 contained natural wax (crystallisable compounds). The wax presence was identified via Differential Scanning Calorimetry  
 84 measurements and revealed a melting enthalpy of 9.6 J/g. The empirical properties of all binders are summarised in Table  
 85 1.

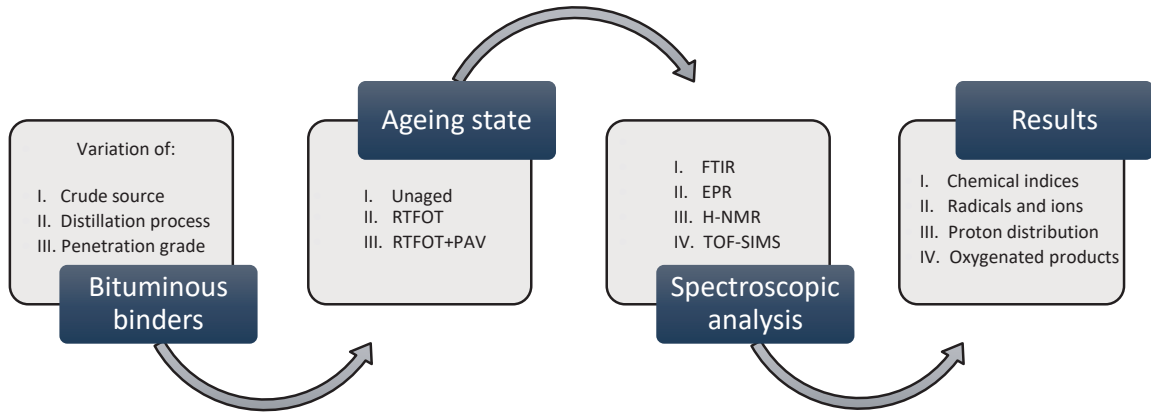
86 Table 1: Empirical properties of the bituminous binders in the unaged state.

Material	Property	Binder			Test Method
		A	B	C	
Bitumen					
	Penetration 25 °C (0.1 mm)	16	189	190	EN1426
	Softening point (°C)	61.1	37.5	39.2	EN1427
	Penetration index, Ip	-1.06	-1.46	-0.63	EN12591
	Performance grade	76-16	52-28	52-22	AASHTO MP 1

### 87 2.2. Ageing simulations

88 The oxidative ageing in the lab was simulated for the short term-ageing by the rolling thin film oven test (RTFOT)  
 89 according to EN 12607-1 [21] and for the long-term ageing with the pressurised ageing vessel (PAV) according to EN  
 90 14769 [22]. Typically for RTFOT, 35 grams of unaged virgin bitumen are placed in each bottle inside a rotating carousel  
 91 for 75 minutes, where temperature and fresh air flow are set at 163 °C and 4 L/min. This test simulates the high  
 92 temperatures occurring during the production and is linked with the initial fast-rate oxidation phase of a dual-sequential  
 93 oxidation scheme. The PAV protocol, on the other hand, was followed for all the short-term aged samples after RTFOT,  
 94 in an attempt to reproduce in a short period of time the effect of many years in the field. The PAV procedure requires 50  
 95 grams of bitumen to be placed in pans inside a pressure chamber, which results in a thin film of bitumen able to be  
 96 sufficiently aged. In the current study, the temperature was set at 100 °C with an air pressure of 2.1 MPa for a total duration  
 97 of 20 hours.

98 In order to simplify the nomenclature for each ageing state of the three binders, the following code ‘X-ageing state’  
 99 indicates the type of binder X (A, B or C) and the ageing state (Unaged, RTFOT or PAV). After applying the ageing  
 100 conditions, appropriate samples were prepared for each spectroscopic technique described in the following subsection.  
 101 For the ease of the reader, a graphical summary with the expected outcomes by each analysis is provided in Figure 1.



102

Figure 1: Research methodology

103 **2.3. Spectroscopic techniques**104 **2.3.1. Attenuated Total Reflectance-Fourier Transform Infrared (ATR-FTIR)**

105 For all the ageing states of each bitumen at least three individual samples were analysed using a Thermo Scientific  
 106 Nicolet iS10 FTIR spectrometer equipped with an Attenuated Total Reflectance (ATR) fixture (diamond crystal) and a  
 107 Smart Orbit Sampling Accessory. For the sample preparation, metal cans containing the bituminous materials were heated  
 108 in an oven at 155 °C for 20 minutes. This time and temperature were found to be sufficient for all the used bitumens and  
 109 ageing states to obtain a liquid sample which was afterwards stirred for 1 minute and a single droplet was placed on the  
 110 diamond FTIR crystal, after a background spectrum acquisition. The droplet was allowed to cool down for 5 minutes.  
 111 The spectra were recorded as the average of 32 repetitive scans, at a resolution of 4 cm<sup>-1</sup>, in a wavenumber band ranging  
 112 from 400 cm<sup>-1</sup> to 4000 cm<sup>-1</sup>. The analysis of the spectrum involves the calculation of band-areas in certain peaks from  
 113 which chemical indices with regard to ageing of bitumen and its structural fingerprint can be derived. The reader is  
 114 referred to the protocol given in [33] and to the calculation of the areas with their wavelength limits and corresponding  
 115 bond vibrations in [12]. The indices that can be extracted by making use of these areas are given in Equations 1-4. Example  
 116 spectra of bitumen A in the three ageing states are given in Figure 2.

$$\text{Sulfoxide index} = \frac{A_{1030}}{\sum^n A_n} \quad (1)$$

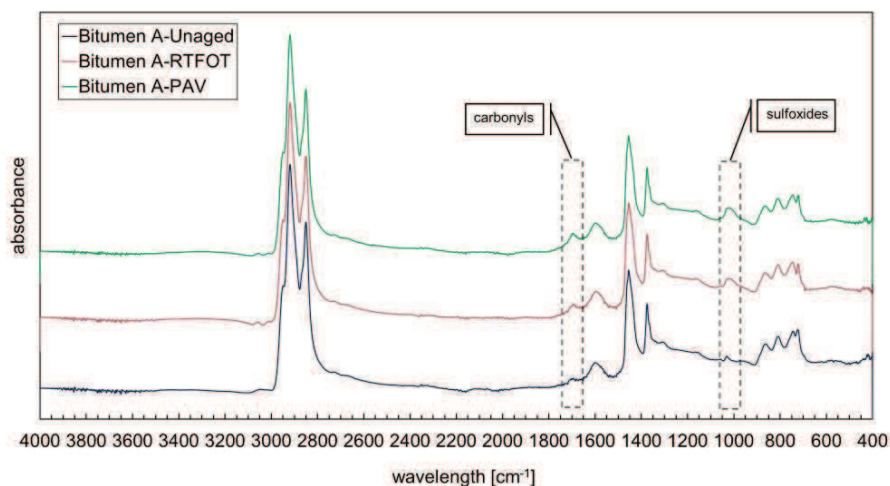
$$\text{Carbonyl index} = \frac{A_{1700}}{\sum^n A_n} \quad (2)$$

$$\text{Branched aliphatic index} = \frac{A_{1376}}{A_{1460} + A_{1376}} \quad (3)$$

$$\text{Aromaticity index} = \frac{A_{1600}}{\sum^n A_n} \quad (4)$$

117

where  $n = 724, 743, 814, 864, 1030, 1376, 1460, 1600, 1700, 2862, 2953$



118

119

Figure 2: Example of FTIR spectra in all the ageing states of bitumen A with the main oxygenated functional groups.

### 120 2.3.2. Electron Paramagnetic Resonance (EPR)

121 For the identification of the organic radicals and metal ions, continuous-wave (CW) EPR spectra of the three binders  
 122 in all the ageing states were acquired with a Bruker Elexsys E680 (X/W) spectrometer equipped with an ER 4102ST  
 123 TE102 mode resonator at 9.75 GHz (X-band). Three replicates for each ageing state and each binder were placed inside  
 124 propylene Eppendorf tubes and the exact masses were measured priorly in order to normalise the number of spins per  
 125 gram for each detected paramagnetic component. It was found that all the recorded spectra include contributions of a  
 126 vanadyl centre ( $\text{VO}^{2+}$ ,  $S = 1/2$ ) and an organic carbon-centred radical. The protocol described previously in [12] was  
 127 followed here. Briefly, in a preliminary study the effects of power saturation were assessed and for all the subsequent  
 128 measurements a microwave power of 0.5 mW, a centre magnetic field at 341 mT and sweep width of 20 mT, resolution  
 129 of 2048 points, a modulation amplitude of 0.1 mT and a modulation frequency of 100 kHz over 2 scans, was selected.  
 130 The spectra were next simulated with the EasySpin-6.0 module [34] in Matlab2018b from which the EPR parameters  
 131 (given in [12]) of the two species and the relative amount of spins between them can be derived. The  $\text{VO}^{2+}$  centres were  
 132 attributed to  $\text{VO}^{2+}$  porphyrin centres found in heavy crude oils [35,36] while the second signal was assigned to carbon-  
 133 based organic radicals [37]. Quantification of the corresponding number of spins was obtained by comparison of the  
 134 double integral of the spectra with standard curve derived using different concentrations of TEMPO (2,2,6,6-tetramethyl-  
 135 1-piperidinyloxy) in toluene.

### 136 2.3.3. Proton Nuclear Magnetic Resonance ( $^1\text{H-NMR}$ )

137  $^1\text{H-NMR}$  is a superior spectroscopic technique able to characterise the molecular structure of a material, even for a  
 138 complex one, such as bitumen. It can assist with the characterisation of the relative amount of different types of aliphatic  
 139 olefinic and aromatic protons in bitumen [38,39] and therefore can also be applied to capture the proton distribution upon

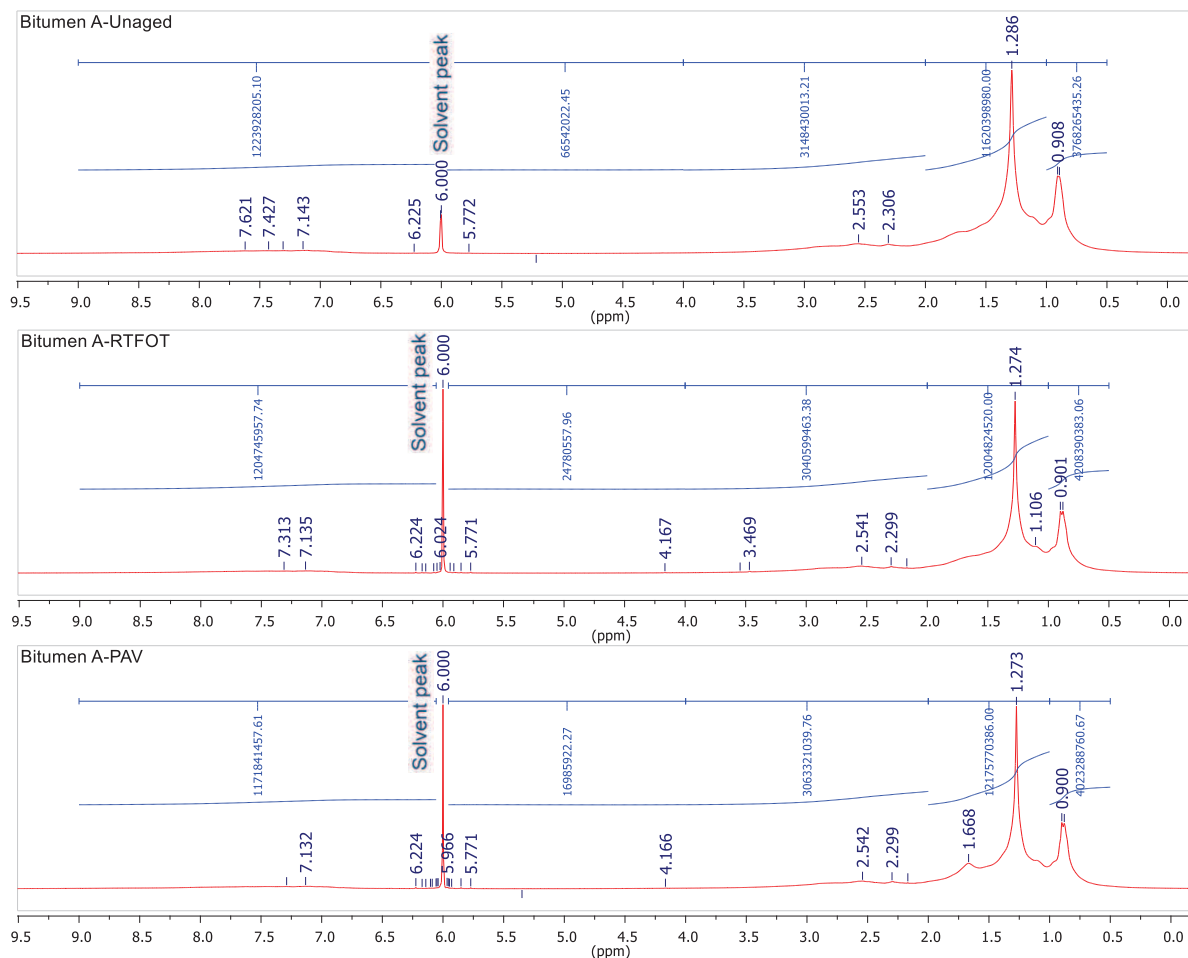
140 ageing in bitumen. Data collection of all the bituminous binders at room temperature was conducted with a high-resolution  
 141 liquid-state Bruker Avance III HD 400 MHz smart probe spectrometer (32 scans). Preliminary measurements with  $\text{CDCl}_3$   
 142 (deuterated chloroform) as solvent showed interference with the aromatic region signal of bitumen, while different  
 143 concentrations of dissolution with 5 and 40 mg of bitumen showed a poor and an overloaded  $^1\text{H-NMR}$  signal respectively.  
 144 Therefore, about 20 mg of bitumen were dissolved in 650  $\mu\text{l}$  of deuterated tetrachloroethane ( $\text{C}_2\text{D}_2\text{Cl}_4$ ) inside borosilicate  
 145 NMR tubes (ASTM Type 1 Class B glass) of diameter 5 mm and a wall thickness of 0.4 mm. To ensure an adequate  
 146 dissolution of the solvent with the specimen all vials containing the dissolved samples were additionally placed inside an  
 147 ultrasonic water bath before the  $^1\text{H-NMR}$  analysis. The repeatability was provided by means of standard deviations. After  
 148 the analysis, steps were first taken to accurately calibrate the starting chemical shift based on the difference of the residue  
 149 solvent peak (6.00 ppm) with respect to TMS (tetramethylsilane) (0.00 ppm) [40]. The analysis of the results was  
 150 performed in the MestreNova spectral analysing software following the integration of the typical proton chemical shift  
 151 regions reported in [41], neglecting each time the protons associated to heteroatoms and the residue solvent at 6.00 ppm.  
 152 The latter study classifies a typical  $^1\text{H-NMR}$  spectrum of bitumen in five main groups given in Table 2. Normalisation of  
 153 all the integrated areas with the exact sample mass allows for a fair comparison of the relative percentage distribution of  
 154 the different type of protons identified in bituminous samples. The normalised per mass areas were also inspected and  
 155 same trends with the relative percentage distribution were followed, therefore the latter was chosen for the analysis of  
 156 this study. Figure 3 illustrates examples of spectra of bitumen A in all ageing states with the normalised per sample mass  
 157 area integrations.

158

Table 2: Typical groups of protons in bitumen [41].

Designation	Chemical shift range	Type of protons	Major proton peak in this region
$\text{H}_{\text{methyl}}$	0.5-1.0	Aliphatic hydrogen on $\text{C}_\gamma$ and the $\text{CH}_3$ beyond the $\text{C}_\gamma$ to aromatic rings	Methyl
$\text{H}_{\text{methylene}}$	1.0-2.0	Aliphatic hydrogen on $\text{C}_\beta$ and the $\text{CH}_2$ beyond the $\text{C}_\beta$ to aromatic rings	Methylene
$\text{H}_{\alpha\text{-alkyl}}$	2.0-4.0	Aliphatic hydrogen on $\text{C}_\alpha$ to aromatic rings	-
$\text{H}_{\text{olefinic}}$	4.0-6.0	Olefinic hydrogen	-
$\text{H}_{\text{aromatic}}$	6.0-9.0	Aromatic hydrogen	-





159

Figure 3: Example of  $^1\text{H-NMR}$  spectra in all the ageing states of bitumen A with their main proton peaks.

160

### 2.3.4. Time-of-Flight Secondary Ion Mass Spectrometry (TOF-SIMS)

161

A TOF-SIMS IV spectrometer (ION-TOF GmbH) was utilised in this study, with the ultimate goal to unwrap the molecular structure of the products formed upon complete oxidation at the surface of bituminous samples. A bismuth liquid metal ion gun was used for bombarding the surface of bituminous samples with 25 keV  $\text{Bi}_3^+$  primary ions. The secondary ions emitted from the surface were separated based on the mass-to-charge ratio ( $m/z$ ) by the TOF analyser [42]. Mass spectra were recorded up to  $m/z$  1270. Charging of the sample was compensated using the electron flood gun. The instrument was controlled and data acquired by the IONVAC software (IonTOF). The data were processed using Surfaccelab 7 (IonTOF).

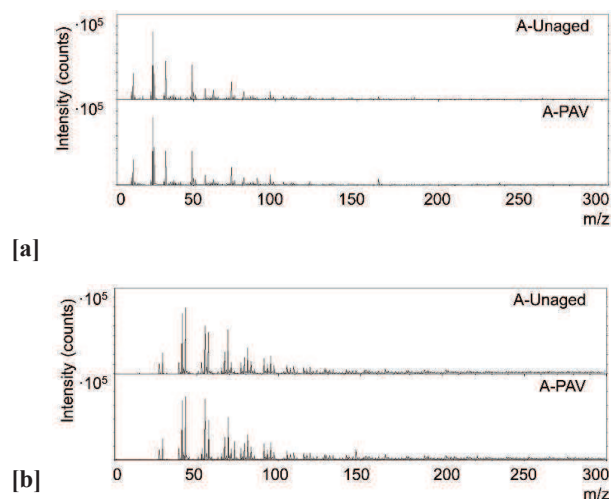
168

Following the procedure for conditioning of the samples described in [12,43] the temperature of the sample in the ion source was maintained between  $-50$  and  $-80$  °C using liquid nitrogen, in order to avoid diffusion/segregation in the vacuum system. Silicon wafers of dimensions 10 mm x 10 mm were used as substrates for the three bituminous binders in both

170

171 the unaged and PAV ageing condition. Spectra of positive and negative ions were recorded at three different spots per  
 172 sample in analysis areas of 500  $\mu\text{m}$  x 500  $\mu\text{m}$  with a high mass resolution of 2000  $\text{m}/\Delta\text{m}$ . All the samples were treated  
 173 prior to analysis with exactly the same protocol described elsewhere in [43] to obtain flat bituminous films of  
 174 approximately 1 mm. The spectra for all the samples were analysed based on the intensity of the areas of selective mass-  
 175 to-charge-ratios, assigned to specific ions (Table 3), normalised by the total recorded ions' intensity (counts). Example  
 176 spectra of bitumen A of positive and negative ions are given in Figure 4.

177



178 Figure 4: Example of negative [a] and positive [b] TOF-SIMS ion spectra  
 179 of bitumen A in the unaged state and after PAV.

Table 3: Utilised negative and positive oxygenated ion fragments.

Ion	Observed mass (m/z)	Assignment of molecular structure
OH <sup>-</sup>	17.003	(OH) <sub>x</sub> -containing
C <sub>2</sub> OH <sup>-</sup>	41.005	(OH) <sub>x</sub> -containing
CHSO <sup>-</sup>	60.974	SO <sub>x</sub> -containing
C <sub>2</sub> H <sub>3</sub> SO <sup>-</sup>	74.989	SO <sub>x</sub> -containing
C <sub>6</sub> HO <sup>-</sup>	89.001	(OH) <sub>x</sub> -containing
C <sub>3</sub> H <sub>7</sub> <sup>+</sup>	43.055	Aliphatic
C <sub>4</sub> H <sub>7</sub> <sup>+</sup>	55.054	Aliphatic
C <sub>4</sub> H <sub>9</sub> <sup>+</sup>	57.070	Aliphatic
C <sub>5</sub> H <sub>7</sub> <sup>+</sup>	67.049	Aliphatic
C <sub>5</sub> H <sub>9</sub> <sup>+</sup>	69.068	Aliphatic
C <sub>5</sub> H <sub>11</sub> <sup>+</sup>	71.088	Aliphatic
C <sub>6</sub> H <sub>9</sub> <sup>+</sup>	81.065	Aliphatic
C <sub>6</sub> H <sub>11</sub> <sup>+</sup>	83.085	Aliphatic
C <sub>6</sub> H <sub>13</sub> <sup>+</sup>	85.104	Aliphatic
C <sub>7</sub> H <sub>11</sub> <sup>+</sup>	95.079	Aliphatic
C <sub>7</sub> H <sub>13</sub> <sup>+</sup>	97.102	Aliphatic
C <sub>9</sub> H <sub>7</sub> <sup>+</sup>	115.033	Aromatic
C <sub>10</sub> H <sub>8</sub> <sup>+</sup>	128.036	Aromatic
C <sub>13</sub> H <sub>9</sub> <sup>+</sup>	165.035	Aromatic

### 180 2.3.5. Experimental challenges

181 Although the techniques used in this study are robust enough, limitations may still exist, especially when investigating  
 182 a multi-component mixture like bitumen. This needs to be acknowledged when reviewing and comparing the findings of  
 183 each of the techniques as the results may be contradictory.

184 First of all, FTIR-ATR and TOF-SIMS are in fact both surface analysis methods with different penetration depths, of  
 185 a maximum of 2-3 micrometres for FTIR-ATR [44], and only a few nanometres for TOF-SIMS [42]. In TOF-SIMS an  
 186 air-cooled surface is investigated, while in FTIR-ATR, the interface as formed against the ATR diamond crystal is  
 187 investigated. In literature, it has been demonstrated that bitumen may exhibit a different composition depending on the  
 188 substrate and the environment [43,45,46]. Especially, the reproducibility of TOF-SIMS results is an issue that needs  
 189 special care as the exact film thickness and flatness may affect the obtained spectra. In the current study the preparation  
 190 procedure, the thermal history of the binders and the control of film thickness was kept constant, with the latter calculated  
 191 by the exact amount of binder used on the substrate.

192 Secondly, sample preparation and sample thermal history are different for both the FTIR and TOF-SIMS tests: in FTIR  
193 a small hot drop is placed directly onto the crystal and tested. In TOF-SIMS, hot bitumen drops are first placed on a  
194 substrate, which is then shortly reheated and air-cooled, to achieve a flat surface. The analysis temperature is much lower  
195 in TOF-SIMS compared to the other techniques, which could for example influence the extent of crystallisation of the  
196 waxy bitumen compounds. For the <sup>1</sup>H-NMR tests, the bitumen is dissolved in deuterated tetrachloroethane, and although  
197 several steps were taken to obtain dissolved samples (*i.e.* a solvent with appropriate solubility, ultrasonic bath and visual  
198 inspection), there is still a risk of some undissolved species.

199 Moreover, the detection sensitivity for various chemical compounds is dependent on the respective technique, *i.e.*  
200 FTIR is very sensitive to capture basic oxygenated products (carbonyls and sulfoxides) while it is rather insensitive to  
201 changes such as a further aromatisation process [47]. Additionally, in TOF-SIMS only the charged species formed (and  
202 not the neutral ones) after a chemical bombardment on the surface are detected. The <sup>1</sup>H-NMR by definition will only  
203 capture hydrogenated compounds, while CW EPR shows both bulk and surface paramagnetic centres. The spectral  
204 intensity will depend on the time after generation of the radicals and as such centres that are too short living will not be  
205 detected in the EPR experiment.

206 Finally, the ageing tests, which are the standard ageing protocols when investigating bitumen, are not conducted in a  
207 closed system; so there is a possibility that volatiles, present or formed during ageing may leave the sample. This effect  
208 would be the same for all the analyses performed on the sample after the ageing tests.

### 209 **3. Results and discussion**

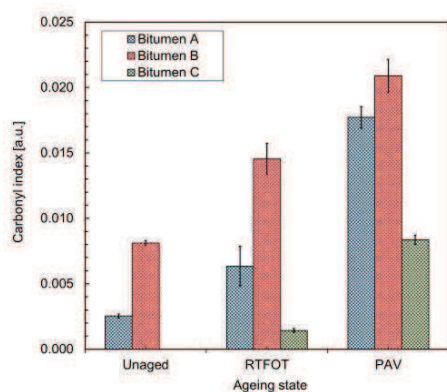
#### 210 **3.1.1. FTIR analyses**

211 The indices presented in Equations 1-4 were determined for the three bitumens under investigation before and after  
212 laboratory short and long-term ageing. The results are consistent with the view that an increase with ageing severity is  
213 observed after standardised ageing for the main oxidative indices, namely the sulfoxide and the carbonyl index [48,49].  
214 Figures 5 and 6 depict the evolution of the two indices and the effect of each ageing state is discussed herein in percentage  
215 increase of the virgin state.

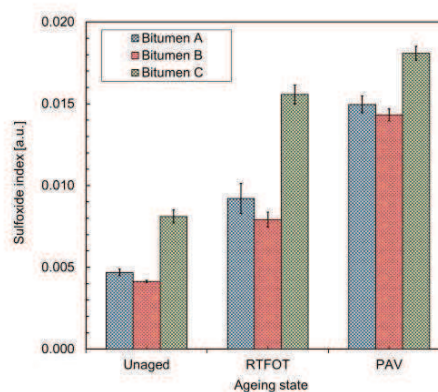
216 A relative comparison of the formation rates is performed in terms of percentage differences. The results of bitumen  
217 A for the carbonyl index show an increase of 150.7% and 600.1% after RTFOT and PAV respectively, which indicates a  
218 more rapid and steep increase compared to bitumen B (79.2% and 157.5%) which differed only in penetration grade  
219 (Figure 5). The presence of carbonyl groups for these two bitumens in the unaged state can be explained by the acidic  
220 nature of the crude oil from which they originated. On the contrary, for bitumen C-Unaged, a negligible initial carbonyl  
221 index was obtained based on the same analysis procedure. As such percentage differences are meaningless for bitumen C

222 after RTFOT (with an initial unaged index equal to zero), but an increase in carbonyls after RTFOT ageing is clear. The  
 223 significant increase of carbonyls is apparent in bitumen C after PAV which increased (484.7%) compared in this case  
 224 with the index in RTFOT. Therefore, it can be speculated that the cumulative carbonyl formation rate was even faster for  
 225 bitumen C than A, although a numerical straight-forward comparison of the percentage increase cannot be performed.

226 A detailed inspection of the initial sulfoxide index for C-Unaged implies that this is significantly higher than for  
 227 bitumen A and B. Concerning the sulfoxide index the three bitumens show similar percentage increase after RTFOT (A-  
 228 95.3%, B-91.4% and C-91.9%). The effect of the bitumen type seems to be very small on the sulfoxide formation rate  
 229 after short-term ageing but after PAV ageing the sulfoxide indices increase more for bitumens A and B (A-218.9%, B-  
 230 246.0%) compared to the increase of the sulfoxide index of bitumen C (123.1%).



231 Figure 5: Evolution of carbonyl index with ageing.

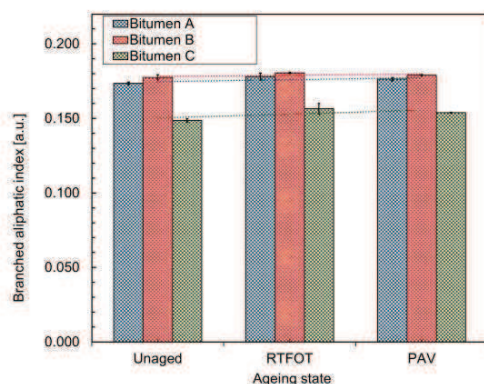


232 Figure 6: Evolution of sulfoxide index with ageing.

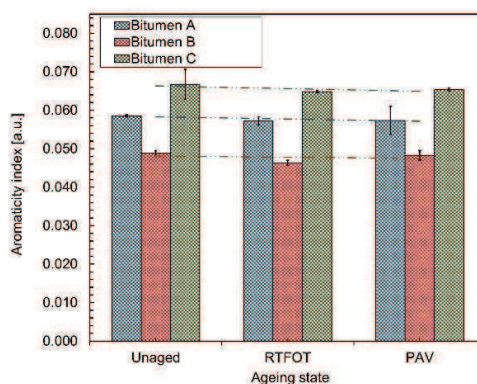
233 Overall, the bitumen type has little effect on the sulfoxide formation rate but seems to affect the carbonyl formation  
 234 differently. On the other hand, straight-run bitumens from the same crude show a similar increase of sulfoxide upon  
 235 ageing in the lab, with hard bitumen A exhibiting a more rapid carbonyl increase compared to bitumen B.

236 The representative indices for the aromatisation of bitumen were also investigated in this work. In the past, it has been  
 237 assumed that aromatisation increases primarily the planarity of perhydroaromatic rings in the fast-rate reaction but it can  
 238 also cause the aromatisation of alkyl-substituted naphthenic rings during the slow-rate phase [9,50]. Moreover, an  
 239 interplay between the formation of aromatic structures and a reduction of aliphatics (occurring in a lesser amount) can be  
 240 hypothesised, which would result in a mutual change of the aromaticity and the branched aliphatic index. Surprisingly in  
 241 this study, the FTIR indices related to these compounds were constant for both the aromaticity and branched aliphatic  
 242 index in all the ageing states (Figures 7 and 8). It was postulated that FTIR was not sensitive enough to capture changes  
 related to aromatisation. Moreover, alcohol regions around 3200-3500  $\text{cm}^{-1}$ , seem not to be affected by ageing for all the

243 bitumens, whereas an overlap in the C-O stretch of possible alcohol formation could exist with the sulfoxide increase in  
 244 the FTIR spectra. For that reason, other tests were applied as shown in the next sections.



245 Figure 7: Branched aliphatic index in all the ageing states.

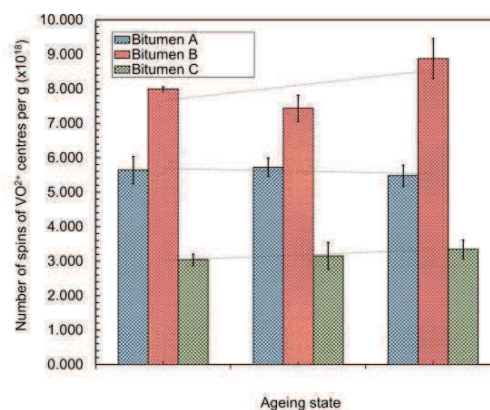
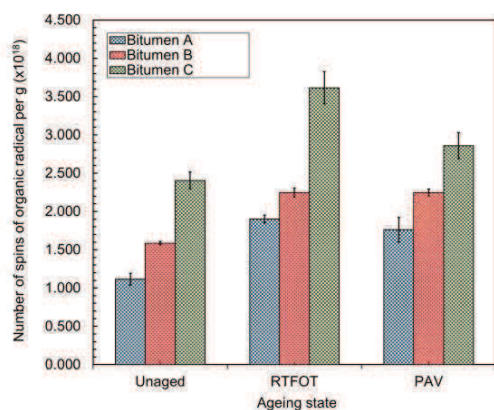


246 Figure 8: Aromaticity index in all the ageing states.

### 246 3.1.2. EPR analyses

247 The evolution of the organic carbon-centred radicals and the  $VO^{2+}$  species after routine laboratory ageing simulations  
 248 is given in Figures 9 and 10 respectively. Overall, the carbon-centred organic radicals showed an increase after short  
 249 term-ageing with RTFOT for all the three bitumens of this work with a significant drop in the number of spins for bitumen  
 250 C observed upon PAV. Bitumen A and B kept a constant number of spins of carbon-centred organic radicals between  
 251 short- and long-term ageing. A previous kinetics' study suggested that the oxygen-centred radicals, such as  $\cdot OH$ , may  
 252 abstract protons attached to benzyl rings and could yield to carbon-centred radicals [12]. The point at which the plateau  
 253 of this type of radicals appears is considered as the onset of the slow-rate phase. Hence, the differences of bitumen A, B  
 254 and C suggest that the intermediate products, such as the organic carbon-based radicals, are affected differently by ageing.  
 255 Furthermore, the different initial higher number of organic radical spins in bitumen C is possibly related to the visbreaking  
 256 process of this bitumen.

257 When it comes to the  $VO^{2+}$  centres, identified with the EPR analyses, the present study for these metal ions confirms  
 258 earlier studies [12], namely that these centres remain in general unaffected by the oxidation process, as it is evidenced by  
 259 the stabilisation of the number of spins in all the ageing states (Figure 10). The present article raises a significant point  
 260 about a possible correlation of the vanadyl species and the vanadium content, present in bitumen's composition since it  
 261 can be exploited as an indicator and marker of the origin following [51].



262 Figure 9: Evolution of carbon-centred radicals in all the ageing states. Figure 10: Evolution of VO<sup>2+</sup> centres in all the ageing states.

263 Up to this point, the differences between bitumen C and bitumens A and B may affect the initial number of spins of  
 264 organic radicals in the unaged state. The different penetration grade between bitumen A and B implies also that the  
 265 distillation grade of the same crude oil may have an effect on the VO<sup>2+</sup> centres with the softer bitumen B presenting the  
 266 higher number of VO<sup>2+</sup> spins.

### 267 3.1.3. <sup>1</sup>H-NMR analyses and proton relative distribution

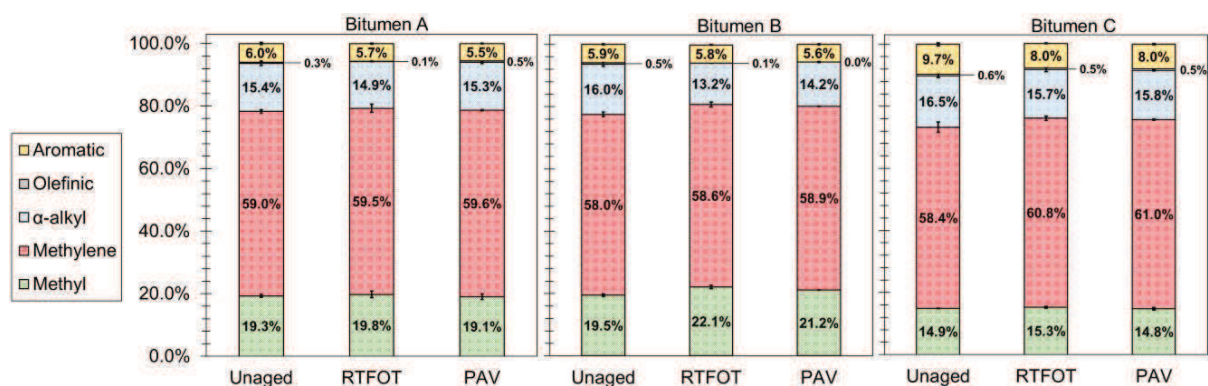
268 Spectroscopic <sup>1</sup>H-NMR analyses were evaluated to gain insights into possible changes of proton distribution upon  
 269 ageing and thus the chemical composition of bitumens. An inspection of the spectra in all the ageing states for bitumen  
 270 A (Figure 3) revealed a large peak at 0.90 ppm assigned to alkyl (methyl) protons and a peak at 1.28 ppm related to alkyl  
 271 (methylene) protons, something which was observed also for binders B and C. After long term-ageing with PAV, bitumen  
 272 A showed a peak around 1.67 ppm ascribed to alkyl (methine) protons. The same peak was observed already for B-  
 273 RTFOT and C-RTFOT with the intensity of the peak increasing after PAV. The broader peak between 2.00-2.30 ppm can  
 274 be assigned to protons on C<sub>α</sub> next to carbonyl and the peak at 2.30 ppm can be assigned to a benzylic proton. No significant  
 275 peaks were observed in the olefinic proton region (4.00-6.00 ppm) in any of the ageing states. The broader signal from  
 276 6.50 to 8.50 ppm is related to the aromatic protons.

277 Although spectral peaks appear somewhat similar apart from the prominent peak at 1.67 ppm upon ageing, integration  
 278 of specific regions given in Table 2 (for which relative percentage distribution was determined) allows for the discussion  
 279 of the chemical alterations upon standardised ageing. The relative occurrence of protons in different chemical  
 280 environments/ageing states is given in Figure 11. All the analysed samples present a negligible presence of olefinic  
 281 protons independent of the bitumen's ageing state. For clarity the standard deviations of this specific region are not  
 282 presented, whereas standard deviations of all the other regions are included in Figure 11. In bitumens A and B, the  
 283 governing region seems to be the methylene, followed by methyl, α-alkyl and aromatic proton regions, while for bitumen

284 C the methyl and  $\alpha$ -alkyl region appeared in different decreasing percentage order. The main differences between bitumen  
 285 A and B are the lower methyl region and the higher methylene region for bitumen A. Since methylene is the region that  
 286 contributes predominantly in the total spectral region of the aliphatic protons ( $=H_{\text{methylene}}+H_{\text{methyl}}+H_{\alpha\text{-alkyl}}$ ) its lower  
 287 percentage for bitumen B is reasonable based on the different distillation grade of the two bitumens. As mentioned  
 288 previously in the limitations of each spectroscopic technique,  $^1\text{H-NMR}$  can capture only molecules containing protons,  
 289 and this should always be taken into account when fractionation is considered as with this technique the total bitumen  
 290 composition is missing. In addition, bitumens A and B differ from bitumen C mainly by the lower fraction in the aromatic  
 291 and the higher fraction in the methyl regions.

292 The effect of the ageing state with regard to the relative percentage of protons was also assessed and presented in  
 293 Figure 11. More specifically, in the hard bitumen A, the methylene proton region appears to increase slightly from 59.0%  
 294 in A-Unaged to 59.5% in A-RTFOT and remains constant in A-PAV (59.6%). The aromatic protons follow the opposite  
 295 trend (6.0% for A-Unaged to 5.5% for A-PAV). The region linked with the methyl protons fluctuated for bitumen A  
 296 (19.3% - A-Unaged, 19.8% - A-RTFOT, 19.1% - A-PAV).

297



298

299 Figure 11: Percentage distribution of protons in different ageing states of bitumen A, B and C as determined by  $^1\text{H-NMR}$ .

300

301 Bitumen B originating from the same crude oil presents a similar relative percentage proton distribution after  
 302 laboratory short- and long-term ageing. It is interesting that the  $\alpha$ -alkyl proton zone from 16.0% in B-Unaged decreased  
 303 in B-RTFOT (13.2%) and PAV (14.2%) compared to the unaged state. A fluctuation for the methyl protons was observed  
 304 for bitumen B (increased percentage after RTFOT and decreased percentage values upon PAV), a trend which was  
 305 observed also for bitumen A. Changes in the methylene proton region showed also an upward relative percentage trend  
 306 with ageing.

307 Bitumen C, which gave the most apparent difference in the FTIR and the EPR results, showed a different initial proton  
308 relative percentage distribution compared to A and B-Unaged (higher aromatic and  $\alpha$ -alkyl proton percentages, lower  
309 methyl proton percentages) but demonstrated, in general, the same trends with ageing. More specifically, bitumen C  
310 showed a decreasing trend after short-term ageing with RTFOT for the  $\alpha$ -alkyl proton region shifting respectively from  
311 16.5% in the C-Unaged to 15.7% in C-RTFOT and in 15.8% in the C-PAV, something which was observed also for  
312 bitumen B. Similar to bitumens A and B, increasing trends in the methylene and methyl proton regions of bitumen C was  
313 also observed, with the methyl proton region increasing with RTFOT ageing. The aromatic region appeared to decrease  
314 with ageing for bitumen C.

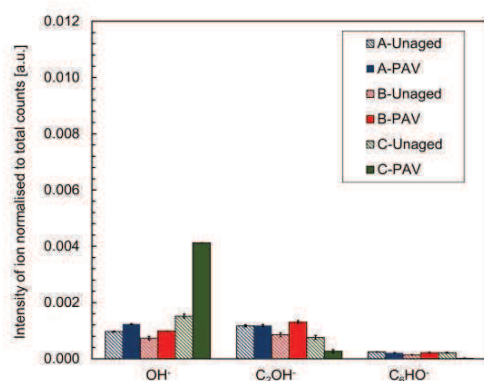
315 Obstacles of exploratory studies to identify chemical differences upon ageing were overcome by grouping the main  
316 proton categories, acknowledging always the limitations that may exist for the application of this technique to bitumen.  
317 Therefore, bitumen A and B exhibited in general similar relative percentage distribution of protons as expected for the  
318 same crude oil in the unaged state but also upon laboratory ageing where the percentage distribution of protons was quite  
319 similar. In addition, the formation of polycyclic aromatics due to the aromatisation process is not expected to be captured  
320 with  $^1\text{H-NMR}$  since they do not contain a hydrogen in the middle and the relative decrease of this region for bitumen A  
321 and C can be possibly attributed to the risk of precipitation due to aromatics condensation with ageing.

#### 322 **3.1.4. TOF-SIMS oxygenated fragments**

323 Molecular investigation of the oxygenated products after the combined effect of short- and long-term ageing was  
324 conducted with TOF-SIMS on the surface of the three bituminous samples. Ageing may affect the compatibility of the  
325 wax present in bitumen C to appear more pronounced upon ageing in the surface of the films. Although clear differences  
326 cannot be seen between the different ageing states *i.e.* in the spectra of bitumen A (Figure 4), a thorough inspection of  
327 certain fragment ions assisted to form a more clear view for the products.  $M/z$  values in the negative ion spectra of all the  
328 bituminous tested samples were classified in groups of ion fragments with generic formulas  $\text{RSO}_x$  and  $\text{RHO}_x$  given in  
329 Table 3. Selected  $m/z$  values in the positive ion spectra were assigned to aromatic and aliphatic ion fragments (Table 3).

330 The findings of the  $(\text{OH})_x$ -containing fragments of bitumen A and B and partially for bitumen C point out that other  
331 products such as alcohols/ethers or carboxylic acids can be formed. This is evident by the higher intensity of most of the  
332  $(\text{OH})_x$ -containing fragments (attributed possibly to alcohols) after PAV compared to the unaged state which was not  
333 observed for specific ion fragments ( $\text{C}_2\text{OH}^-$ ,  $\text{C}_6\text{OH}^-$ ) of bitumen C (Figure 12), most probably as a result of the prominent  
334 presence of wax in its surface.





335 Figure 12: Intensity of (OH)<sub>x</sub>-containing compounds in all the ageing states.  
336

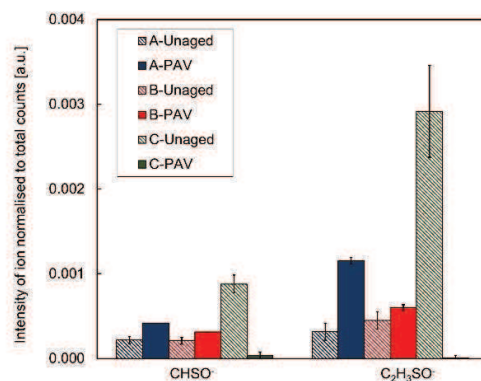
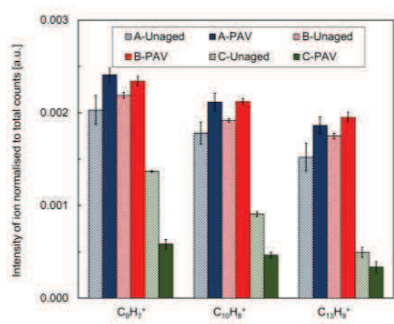


Figure 13: Intensity of SO<sub>x</sub>-containing compounds in all the ageing states.

337 Similar trends follow for the increase of the ion fragments with generic formula RSO<sub>x</sub> given in Figure 13. The results  
338 of bitumen A and B support the formation of considerable amounts of sulfoxide-containing compounds after the  
339 sequential short and long-term ageing as the intensities of A-PAV and B-PAV remain higher than the unaged state.  
340 Caution should always be taken concerning the specific sulfoxide-containing fragments observed with TOF-SIMS which  
341 give a partial view of the total sulfoxides present in bitumen which FTIR might capture in a greater penetration depth;  
342 this means that limitations should be again acknowledged when comparing the different techniques. Potentially, the results  
343 of the two surface techniques could be used to exploit the surface effects of oxidation in bituminous films. Bitumen C  
344 seems to reduce the sulfoxide-containing ion fragments with ageing something which does not agree with the FTIR  
345 results. This may be explained by the segregation of wax, present in this bitumen, on the surface of the bituminous film,  
346 resulting in aliphatic compounds which cover most of the surface [12,52].



347 Figure 14: Intensity of PAH fragments in all the ageing states.

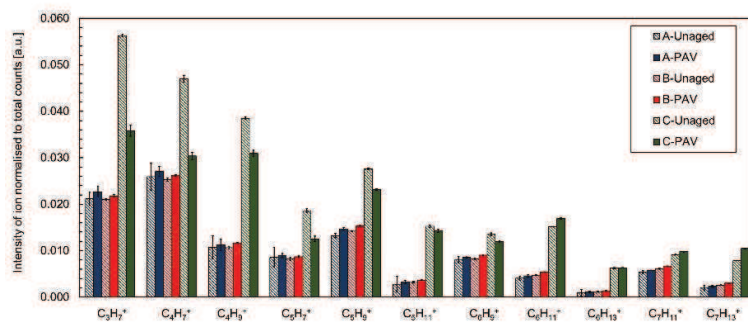


Figure 15: Intensity of aliphatic fragments in all the ageing states.

348 The analysis of selected ions in the positive ion spectra (Figure 14) assigned to polycyclic aromatics hydrocarbons  
349 (PAH) is also discussed. The intensity of PAHs of B-Unaged and A-Unaged increased slightly after PAV, whereas a  
350 significant drop of PAHs with ageing for bitumen C was captured, probably due to the increase of the waxy particles on

351 the surface upon ageing. Finally, bitumens A and B showed an almost constant behaviour (or a slight increase) of aliphatic  
352 ion fragments upon PAV in contrary to bitumen C which showed a decrease for specific aliphatic ion fragments (Figure  
353 15). The intensity of the aliphatics for bitumen C appeared the highest a fact that can be explained by the wax present in  
354 this binder and the association of wax-related particles with aliphatics on the surface of this bitumen.

#### 355 4. Conclusions

356 This study addressed the main chemical changes that take place in bitumen with standardised laboratory short- and  
357 long-term ageing based on advanced spectroscopic techniques. Three binders were investigated, bitumen A and B  
358 originating from the same crude source, while bitumen C was obtained from a different source, by a different  
359 manufacturing process, and contained natural wax.

360 FTIR supported, in agreement with past literature, the increase of the oxygenated indices of sulfoxide and carbonyl  
361 for all binders, independently of bitumen source, distillation process and performance for all the ageing steps. Differences  
362 in the extent of carbonyl and sulfoxide formation were observed between the various binders. In FTIR, no clear changes  
363 appeared for the aromaticity and branched aliphatic indices with the applied FTIR analysis method. To understand further  
364 the formation of the final products, EPR analyses explored the role of organic carbon-centred radicals. These were  
365 increased for all three bitumens of different crude source, distillation and empirical properties with short-term ageing and  
366 stabilised onwards for the wax-free bitumen A and B, while they slightly decreased for the waxy, visbroken bitumen C.  
367 The EPR  $VO^{2+}$  centres remained relatively constant and were almost unsusceptible to ageing for all three bitumens,  
368 regardless of their crude source or distillation. Additionally,  $^1H$ -NMR results showed that the relative distribution of  
369 protons is rather unaffected by the ageing steps. Only slight changes were observed, such as an increase in the relative  
370 amount of methyl and methylene protons, and a decrease in  $\alpha$ -alkyl and aromatic protons. TOF-SIMS managed to reveal  
371 a more detailed view of the increase of sulfoxide-containing compounds which were increased significantly after the  
372 sequentially short-and long-term ageing for bitumens A and B originating from the same crude source and varying only  
373 in empirical properties. For the waxy, visbroken bitumen C originating from a different crude source, the presence of wax  
374 affected the surface molecular characterisation reporting a decrease for most of the  $SO_x^-$  and  $(OH)_x$ -containing fragments.  
375 The  $(OH)_x$ -containing fragments suggested that apart from carbonyls, other products such as alcohols and carboxylic  
376 acids can be produced.

377 Since each technique has its specific limitations, the results from different techniques may sometimes seem conflicting  
378 and should be interpreted carefully. Moreover, some of the test methods are typical surface/interface techniques with  
379 different penetration depths, such as TOF-SIMS and FTIR, while for others, *i.e.* liquid  $^1H$ -NMR, the sample needs to be  
380 dissolved in a solvent. These different parameters may have an effect on the results.

381 In summary, this work reported the oxygenated intermediate and final products in bitumen and provided some  
 382 experimental insights in order to understand better the oxidative mechanisms that could occur in bitumen besides the  
 383 well-reported sulfoxide and carbonyl formation. In the future, a comparison between the ageing mechanisms of bitumen  
 384 after laboratory ageing with the mechanisms of field aged bitumen is proposed to be performed in order to understand  
 385 whether similar changes in bitumen chemistry take place in field conditions.

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