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## Article

# Rotordynamic and Friction Loss Measurements on a High Speed Laval Rotor Supported by Floating Ring Bearings

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**Abstract:** Floating ring bearings are the commonly used type of bearing for automotive turbochargers. The automotive industry continuously investigates how to reduce the bearing friction losses and how to create silent turbochargers. Many of these studies involve creating a numerical model of the rotor-bearing system and performing validation on a test bench on which a turbocharger is driven by hot gases. This approach, however, involves many uncertainties which diminish the validity of the measurement results. In this study, we present a test setup in which these uncertainties are minimized. The measurement results show the behavior of the floating ring bearing as a function of oil feed pressure, oil feed temperature, rotor unbalance and bearing clearances. Next to an increased validity, the test setup provides measurement data with good repeatability and can therefore represent a case study which can be used for validation of rotor-bearing models.

**Keywords:** floating ring bearings; turbocharger; friction losses; rotordynamic; hydrodynamic bearing; laval rotor; oil whirl; sub-synchronous vibration; unbalance

## 1. Introduction

Floating ring bearings are used in turbochargers to support the rotor, which typically operates at speeds well over 100 krpm. Floating ring bearings are a type of hydrodynamic bearing consisting of a cylindrical sleeve between the rotor and the housing, so that an inner and an outer oil film are created, as depicted in Figure 1. Besides being relatively low cost and having a low wear rate, these bearings are well known for their ability to support rotors at extreme rotation speeds. As the ring rotates at a fraction of the rotor speed, typically ten to forty percent of the rotor speed, the fluid velocities in each of the films are also a fraction of the shaft speed. In case one of the oil films of a floating ring bearing becomes unstable, the other oil film still damps the rotor oscillations and, therefore, eccentricities remain acceptably low [1]. Another reason why floating ring bearings are used to support rotors at extreme rotation speeds is that the double oil film has a larger oil flow compared to a single oil film and consequently more heat can be carried away from the bearing [2].

The dynamic behavior of rotors supported by floating ring bearings has been extensively studied (see, for example, Refs. [1–12]), particularly because the complex interaction between the oil films and the rotor can lead to self-excited vibrations, resulting in excessive audible noise, wear and possibly even failure of the bearings [3]. Many recent studies on the dynamics of rotors supported by floating ring bearings have focused on automotive turbocharger applications, often with the goal to replace

expensive experimental testing by numerical simulation tools [1,4–7]. The numerical results of these studies are commonly validated by measurements conducted on a turbocharger driven by hot gases.

Many uncertainties are present, however, when using a turbocharger as a test object. First of all, some residual unbalance on the rotor is inevitable: even when two-plane balancing is applied, the exact amount, phase and location of the residual unbalance vector is difficult to control accurately as the rotor traverses multiple eigenfrequencies. It is well-known that unbalance plays an important role in determining both the synchronous as well as the sub-synchronous response [6,8,13]. Furthermore, when using hot gas to drive the rotor, a considerable amount of heat is conducted from the turbine to the bearing system [10], which changes the oil viscosity and thus the bearing properties [5,9]. Moreover, the non-axisymmetric air pressure distribution around the compressor wheel and the turbine wheel can cause significant radial rotor loads [14]. Furthermore, turbocharger rotor-bearing systems contain thrust bearings and seals, which can influence the rotordynamics [7]. In addition, when using a turbocharger for experimental validation of rotor-bearing models, the effect of the structural dynamics of the bearing supports is an uncertainty. Especially when the turbocharger is attached to a combustion engine, engine vibrations might influence the rotordynamics of the turbocharger [11,15]. Lastly, turbochargers contain oil seals, which add damping to the rotor [12]. All these effects can influence the dynamic behavior of the rotor-bearing system and therefore render a validation of a floating ring bearing model with measurements obtained on a hot gas driven turbocharger disputable.

In an attempt to minimize all aforementioned uncertainties in the experimental validation of the dynamics of a flexible high speed rotor supported by floating ring bearings, we have developed a test setup consisting of an externally driven Laval rotor. Housing temperatures, rotor unbalance and oil feed pressures can be accurately controlled. The bearing housings are designed to be rigid in the frequency range of interest so that the influence of the support dynamics can be neglected. Furthermore, there are no seals or thrust bearings present in the system, nor are there considerable aerodynamic loads present. Measurement results in a range up to 180 krpm will be presented, which can serve as validation data for rotor-bearing models. The experimental variables considered in this study are the oil feed temperature and the oil feed pressure, the rotor unbalance and the bearing clearances. The measurement results will focus on the synchronous and sub-synchronous rotor displacements as well as the bearing friction losses.

## 2. Test Setup

The test setup consists of a Laval rotor driven by an electric motor and supported by floating ring bearings. Figure 1 provides a schematic representation of the Laval rotor and a floating ring bearing. The Laval rotor is coupled to the motor by a compliant coupling, which minimizes the transfer of energy between both parts and minimizes the influence of misalignment. The coupling is made from a polyurethane tube section, so that its contribution to the mass and stiffness of the rotor can conveniently be neglected. An overview of the test setup is displayed in Figure 2.

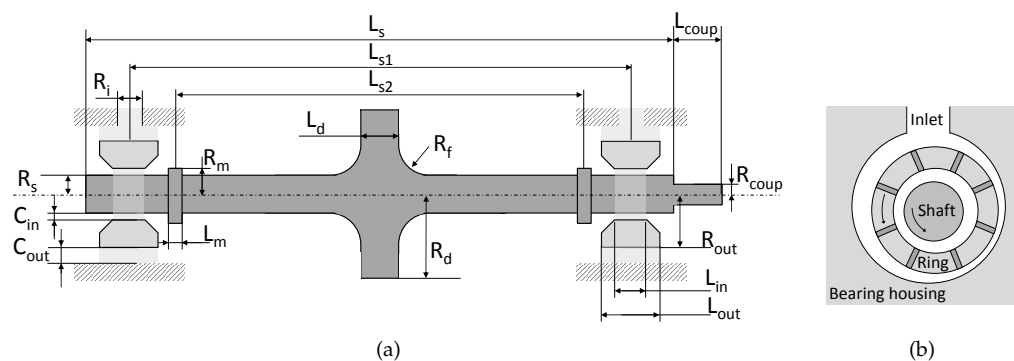
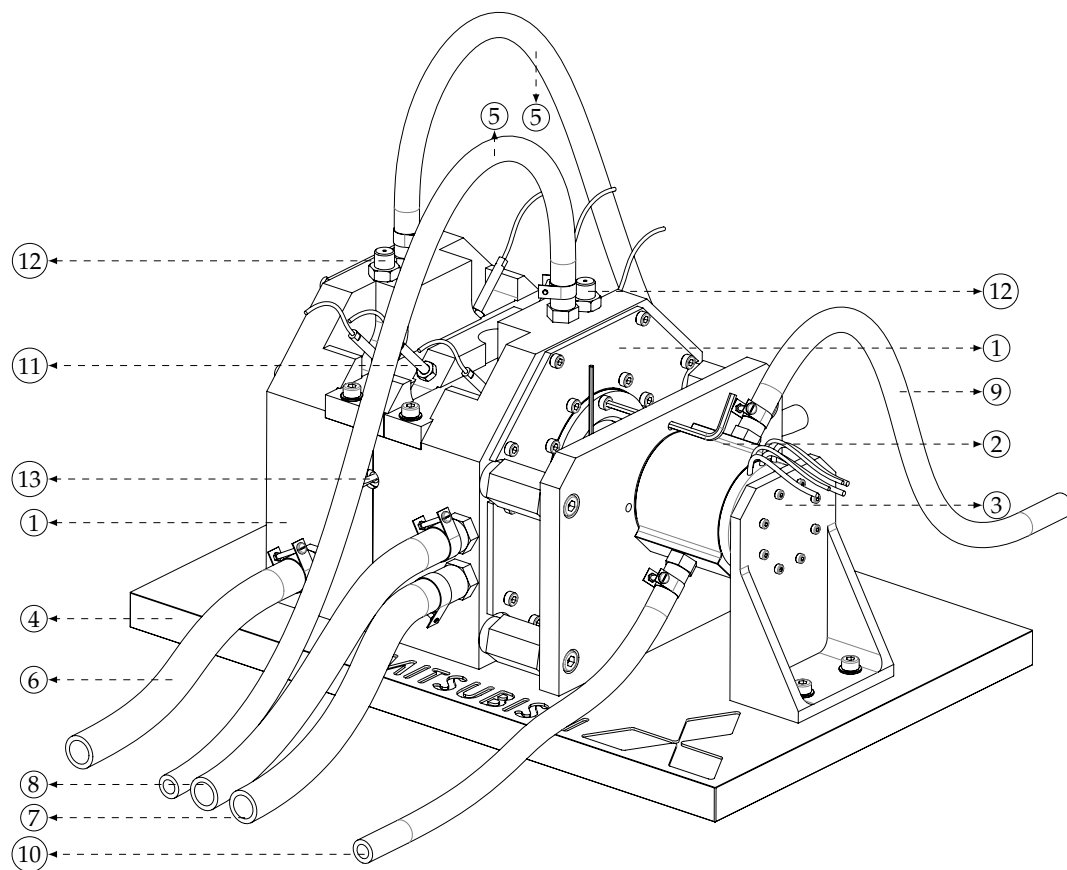


Figure 1. Laval rotor (a) and schematic representation of a floating ring bearing (b).



**Figure 2.** Schematic overview of the experimental setup. Table 1 provides descriptions of the numbered items.

**Table 1.** Descriptions for the parts labeled with encircled numbers in Figure 2.

Number	Description	Number	Description
1	Bearing housing	8	Liquid cooling/heating drain
2	Motor	9	Motor cooling supply
3	Motor support bracket	10	Motor cooling drain
4	Baseplate	11	Shaft displacement sensor
5	Oil supply line	12	Thermocouple
6	Oil drain line	13	Optical sensor
7	Liquid cooling/heating supply		

### 2.1. Rotor

The Laval rotor, as depicted in Figure 1, was constructed from a solid piece of tool steel and is produced by lathing followed by precision grinding, resulting in a rotor with a concentricity error smaller than one micrometer over the entire rotor length. The main disk features four radial holes at ninety degrees circumferential spacing where grub screws can be inserted to set the amount of unbalance on the rotor (see Figure 3). Adjacent to each bearing surface, small disk features are present, which were necessary to minimize the cross-talk between the eddy current sensors for shaft orbit measurements, as shown in Figure 3. By hammer impact measurements, we determined the first two bending frequencies of the rotor—when suspended in compliant rubber bands—to be at 1601 Hz and 3925 Hz.

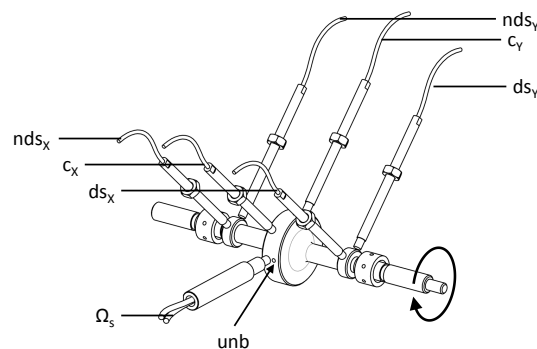
**Table 2.** Parameters for the Laval rotor supported by floating ring bearings.

Rotor Parameters				Ring Parameters			
Name	Value	Unit	Description	Name	Value	Unit	Description
$R_s$	3.75	mm	Radius of shaft	$C_{in}$	8.5	$\mu\text{m}$	Inner clearance
$R_d$	15	mm	Radius of center disk	$C_{out}$	30	$\mu\text{m}$	Outer clearance
$R_m$	6	mm	Radius measurement disk	$L_{in}$	5	mm	Length inner film
$R_f$	5	mm	Radius of fillet	$L_{out}$	8	mm	Length outer film
$L_s$	137	mm	Shaft length	$R_{out}$	6.465	mm	Outer ring radius
$L_d$	9	mm	Length of center disk	$I_{ring}$	$1.5 \times 10^{-7}$	$\text{kg}\cdot\text{m}^2$	Ring rotation inertia
$L_m$	5	mm	Length measurement disk	$\rho_{ring}$	8750	$\text{kg}\cdot\text{m}^{-3}$	Material density
$CT_s$	$1.2 \times 10^{-5}$	$\text{K}^{-1}$	Coeff. thermal expansion	$E_{ring}$	110	GPa	Material E-modulus
$L_{s1}$	80	mm	Length of bearing span	$m_{ring}$	5.39	g	Ring mass
$L_{s2}$	58	mm	Measurement disk span	$CT_r$	$1.4 \times 10^{-5}$	$\text{K}^{-1}$	Coeff. th. expansion
$L_{coup}$	8	mm	Length of coupling section	Oil Parameters			
$R_{coup}$	2.25	mm	Radius of coupling section	$\rho_{oil}$	855	$\text{kg}\cdot\text{m}^{-3}$	Oil density
$\rho_s$	7700	$\text{kg}\cdot\text{m}^{-3}$	Material density	$c_{poil}$	2.1	$\text{kJ}/\text{kg}\cdot\text{K}$	Heat capacity
$E_s$	210	GPa	Material E-modulus	$k_{oil}$	0.145	$\text{W}/\text{m}\cdot\text{K}$	Thermal conductance
$I_{rot}$	$9.2 \times 10^{-6}$	$\text{kg}\cdot\text{m}^2$	Rotor rotation inertia	$A_{oil}$	0.44	$\text{mPa}\cdot\text{s}$	Temperature coeff.
$m_{rot}$	101	g	Rotor mass	$B_{oil}$	633	$^{\circ}\text{C}$	Temperature coeff.
				$C_{oil}$	88.6	$^{\circ}\text{C}$	Temperature coeff.
				$r_{oil}$	0.5	-	Shear rate coefficient
				$m_{oil}$	0.8	-	Shear rate coefficient
				$K_{oil}$	$7.2 \times 10^{-7}$	$\text{s}^{-1}$	Shear rate coefficient

## 2.2. Sensor Configuration

The test setup contains sensors to measure rotor displacements, friction losses and oil temperatures. The temperatures of the oil in the inlet channel, of the bearing housing and of the oil just downstream of the bearings were measured by thermocouples. The dissipated friction losses in the bearings were found by subtracting the internal losses of the motor from the total motor power, where the internal losses were measured by running the motor without the Laval rotor. The power necessary to accelerate the rotor,  $P_{acc} = \Omega_s I_{rot} \dot{\Omega}_s$ , was also subtracted from the total motor power.

The rotation speed of the shaft and the rings were measured by optical sensors. The displacements of the rotor were measured by eddy current displacement sensors with a resolution smaller than 0.1 micrometers and were sampled at a frequency of 51.2 kHz. Figure 3 shows the rotor with the displacement sensors and the shaft rotation speed sensor. We deliberately chose to measure the shaft displacement adjacent to the bearings instead of at the centerline of the bearings because we wanted to avoid distorting the cylindrical shape of the bearing bore by sensor protrusion. It is known that non-cylindrical features in floating ring bearings as small as a few micrometers already strongly affect the sub-synchronous behavior [16].



**Figure 3.** Rotor with a rotation speed sensor ( $\Omega_s$ ) and six orthogonally placed displacement sensors.  $ds$ ,  $nds$  and  $c$  denote drive, non-drive side, and center, respectively. Unbalance can be configured by inserting grub screws in the threaded hole indicated by  $unb$ .

### 2.3. Lubrication System and Temperature Control

Oil was fed to the floating ring bearings at a controlled temperature and pressure. Since there is only a relatively small flow of oil through the bearings, bypass channels were included just upstream of the bearing housing to ensure that the oil is circulating thoroughly from the temperature-controlled oil sump to control the oil inlet temperature as well as possible. Temperature control was also applied to the bearing housing: it is constructed from aluminium and features strategically positioned chambers to allow temperature-controlled liquid to flow through. The bearing oil and the bearing housing liquids were kept in two separate circuits, so that combinations of warm oil in cold housing can be studied and vice versa. In this study, the temperatures of the bearing housing and the oil which is supplied to the bearings were always equal.

### 2.4. Oil

For all measurements in this study, multigrade automotive engine oil SAE 5W30 (Mobil 1 ESP Formula, Notre Dame de Gravenchon, France) was used. The friction losses due to the shearing of oil causes an increase in temperature in the bearings, so the viscosity will change. Furthermore, the shear rates in the bearing are considerable, so the oil behaves as a non-Newtonian, shear thinning fluid already at the minimum rotation speeds of 20 krpm. Therefore, the viscosity is also a function of shear rate  $\dot{\gamma}$ . The following combination of the Vogel and the Cross equation is a suitable description of the oil viscosity function in this case [17]:

$$\mu_{\text{oil}}(T, \dot{\gamma}) = A_{\text{oil}} e^{\frac{B_{\text{oil}}}{(T_{\text{film}} + C_{\text{oil}})}} \left( r_{\text{oil}} + \frac{1 - r_{\text{oil}}}{1 + (K_{\text{oil}} \dot{\gamma})^{m_{\text{oil}}}} \right). \quad (1)$$

The viscosity parameters in Equation (1), as can be found in Table 2, were obtained by measurements of oil samples on a cone-on-plate viscometer. As the pressures in floating ring bearings are typically moderate [16], the pressure dependency of the viscosity can be neglected.

### 2.5. Measurement Sequence

For all run-ups, the rotor was accelerated from 20 to 180 krpm in 100 s at a constant acceleration rate. We ascertained that the rotordynamic response in 100 s is identical to the response in 1000 s, demonstrating that quasi-steady conditions can be assumed. Furthermore, we made sure that the rings were already rotating at the start of each measurement, as the rings tended to stick to the bearing housing at low rotation speeds. This was done by running the rotor to 50 krpm prior to starting each measurement and monitoring the bearing rotation speed.

It was observed that a run-up differs from a run-down: hysteresis occurs in the sub-synchronous response. This means that, in a run-up, the onset speed of a certain whirl is higher than the speed at which this whirl vanishes again during a run-down. This was also observed in the simulations of Tian [18] and the measurements of San Andres [13]. In this study, we present only run-up measurements.

Prior to the measurements, the rotor was balanced in situ to minimize the unbalance caused by mounting the coupling between the motor and the Laval rotor. The balancing was done in one plane, on the center disk of the rotor. In this study, the balanced condition is referred to as 0 mg·mm, although strictly speaking, some residual out-of-plane unbalance is present. The 0 mg·mm balanced condition was achieved by adjusting the grub screws by iteration until a minimum response over the operating range was observed.

The repeatability of the test setup was checked by repetitive measurements using the standard operating conditions. This was done throughout the measurement campaign, i.e., after performing various run-ups with different oil supply temperatures, rotor unbalance and oil supply pressures, the test setup was operated again at the standard operating conditions. For all measured values, these repetitive runs showed maximum deviations of less than two percent between runs, demonstrating the reliability of the measurement results. Furthermore, the temperature of the bearing housing

was monitored during the measurements, showing fluctuations of maximum 3 K during a run-up. This relatively stable temperature is a result of the liquid cooling/heating channels in the aluminium bearing housing.

### 3. Results: Rotordynamic Response

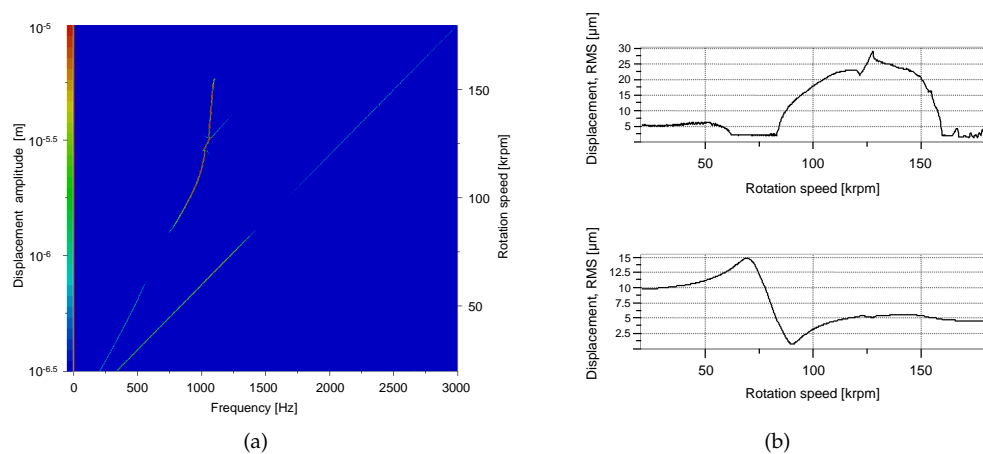
In this section, the results of a run-up at standard operating conditions are extensively analyzed. Subsequently, the effects of varying the oil feed pressure, oil feed temperature and rotor unbalance are analyzed. Lastly, the effect of changing the bearing clearances is evaluated.

#### 3.1. Rotordynamic Response at Standard Operating Conditions

The standard operating conditions were as follows:

- The oil inlet pressure  $p_i$  is set at 2 bar.
- The oil inlet temperature  $T_i$  is set at 310 K.
- The bearing housing temperature  $T_{bh}$  is set at 310 K.
- The rotor unbalance is set at 100 mg·mm.

Under these conditions, the response depicted in Figure 4 has been measured. The waterfall plot shows the displacement of the center disk as a function of the rotation speed. When bandpass filters  $\frac{0.05\Omega_s}{2\pi} < f < \frac{0.95\Omega_s}{2\pi}$  and  $\frac{0.95\Omega_s}{2\pi} < f < \frac{1.05\Omega_s}{2\pi}$  are applied to this data, the sub-synchronous and synchronous content of the shaft displacement is found. Figure 4b gives the root mean square (RMS) value of the sub-synchronous and synchronous displacement of the disk as a function of the rotation speed.



**Figure 4.** Displacement amplitude of the center disk under standard operating conditions ( $P_{in} = 2$  bar,  $T_{in} = 310$  K,  $unb = 100$  mg·mm): Waterfall plot (a) and synchronous (**below**) and sub-synchronous (**above**) intensities expressed in RMS values of the band-pass filtered amplitudes (b).

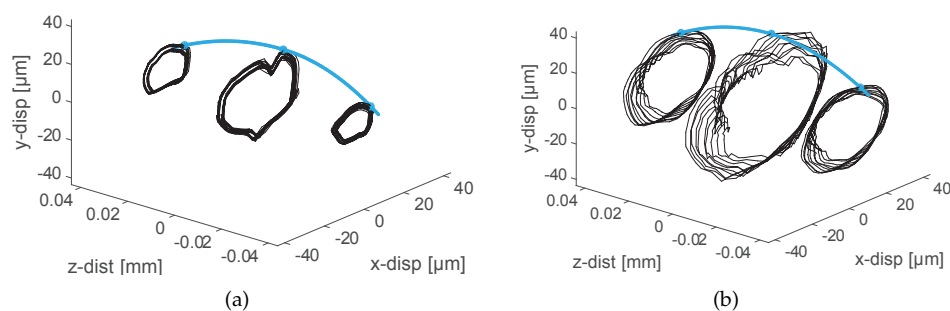
During the run-up at standard operating conditions, the following phenomena have been observed:

- From 20 to 62 krpm, some mild sub-synchronous oil whirl occurred at a frequency of half the sum of the shaft speed and the ring speed:  $f = \frac{(\Omega_s + \Omega_r)}{2} \frac{1}{2\pi}$ . The ring speeds will be presented in Figure 7.
- The synchronous response peaked at 68 krpm: the critical speed of this rotor-bearing system. After passing this critical speed, the synchronous response decayed again.
- At 83 krpm, an oil whirl starts, this time at a frequency somewhat below  $f = \frac{(\Omega_s + \Omega_r)}{2} \frac{1}{2\pi}$ .
- At 115 krpm, the oil whirl interfered with half of the shaft rotation speed and jumped to a frequency of  $f = \frac{\Omega_s}{2} \frac{1}{2\pi}$ .



- At 130 krpm, the oil whirl locked into the first bending mode of the rotor-bearing system (which is the same mode that is triggered at 83 krpm by the synchronous excitation) and formed a whip.
- At 158 krpm, the oil whip vanished.

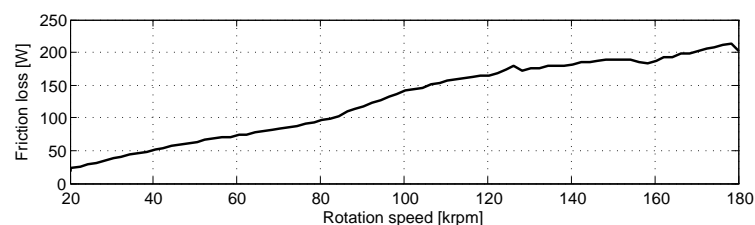
By combining the data of all six displacement sensors, it is observed that the rotor always whirls in a forward, cylindrical mode: the whirl is in the same direction as the shaft rotation speed and the orbits of the three locations along the shaft are all in phase. Contrary to the typical response of a turbocharger [19] that has two overhung disks instead of one center disk, no conical modes were observed during the measurements. Figure 5 shows the orbits of the shaft measured at the locations presented in Figure 3.



**Figure 5.** Rotor orbits at (a) 90 krpm and (b) 120 krpm.

Another aspect of interest of this study pertains to the bearing friction loss, which can be a significant part of the power losses in a turbocharger, particularly in low engine load conditions [20]. Figure 6 presents the friction losses of one bearing as a function of rotation speed, at standard operating conditions. In case the friction losses would be simply related to Couette flow, Petroff's equation of the friction losses would be stated in the form of  $P_{\text{friction}} \sim \Omega^2 \mu_{\text{oil}}$  [21]. Clearly, the response in Figure 6 does not increase quadratically with rotation speed, so the viscosity of the oil films appears to decrease significantly as the rotation speed increases. Hoepke [22] provides simple formulas that can be rewritten to identify the effective viscosity of the inner and the outer oil film based on the ring speed and the total power loss, which is out of the scope of the present study.

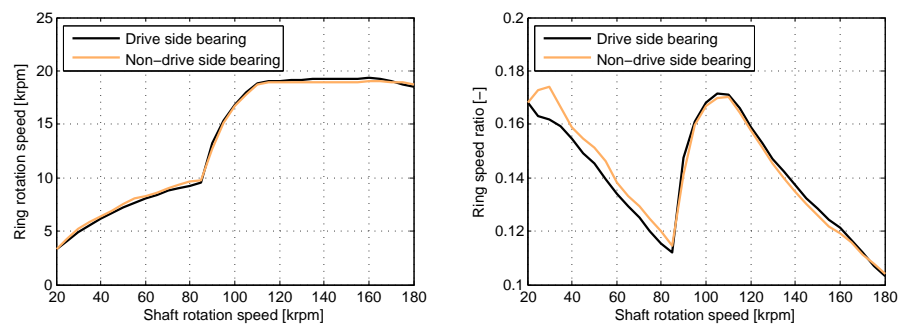
The friction losses, however, are not only a function of the Couette shear losses. As can be observed in Figure 6, the friction losses of the floating ring bearing also strongly depend on squeeze motion as reflected by the increase of friction losses at 83 krpm once the oil whirl occurs. The same conclusion was also drawn by Tian [23], who discovered by simulations that oil whirl significantly contributes to the friction losses of a floating ring bearing.



**Figure 6.** Friction losses of one floating ring bearing as a function of the shaft rotation speed under standard operating conditions ( $P_{\text{in}} = 2$  bar,  $T_{\text{in}} = 310$  K,  $u_{\text{nb}} = 100$  mg·mm). The friction losses of both bearings were measured by subtracting the internal motor losses from the total power delivered by the motor.



The rotation speeds of the rings of the floating ring bearings were measured by optical sensors perpendicular to the axis of the ring and are presented in Figure 7.

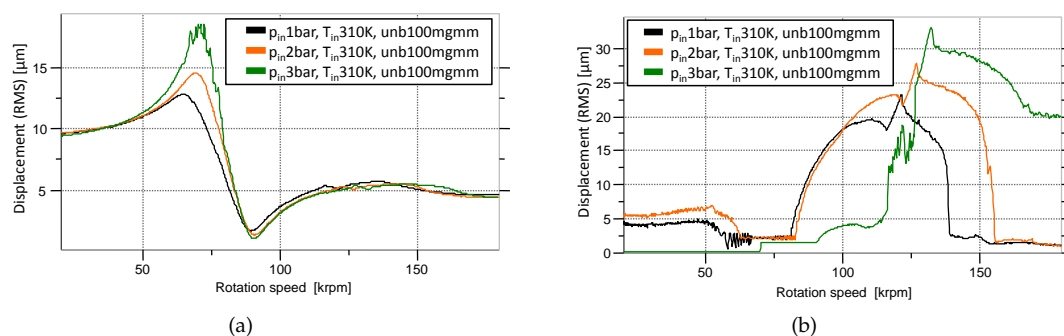


**Figure 7.** Ring rotation speed and ring speed ratio  $\Omega_r/\Omega_s$  under standard operating conditions.

From the start at 20 krpm, the ring speed gradually increases as the shaft speed increases. At 83 krpm, the oil whirl starts (see Figure 4a) and the ring speed suddenly increases. At speeds above 115 krpm, the ring speed becomes constant. Trippett and Li [24] also observed this and reasoned that this is due to the increase of the temperature in the inner oil film, which has a smaller clearance and therefore greater friction losses than the outer fluid film [22]. As the inner oil film becomes warmer than the outer oil film, the drag torque from the inner oil film becomes lower and therefore the ring speed ratio ( $\Omega_r/\Omega_s$ ) reduces. This, however, seems only part of the explanation. As the ring speed ratio quite abruptly becomes constant at the same moment that oil whirl occurs, it seems that the constant ring speed is imposed by the oil whip conditions. At speeds above 160 krpm, the oil whirl vanishes and, subsequently, the ring speed even decreases with increasing shaft speed. The ring speed profile of Figure 7 is similar to the profile measured by Köhl [25]. We found that the ring speed ratio mostly depends on the ratio of inner-to-outer bearing clearance; oil feed pressure and rotor unbalance have a relatively small influence on the ring speed ratio.

### 3.2. Response as a Function of Oil Feed Pressure

The feed pressure of the floating ring bearings was varied between 1 bar and 3 bar (see Figure 8). It is noteworthy that at feed pressures above 3 bar, the hydrostatic pressure prevented the ring from rotating at speeds below 50 krpm.



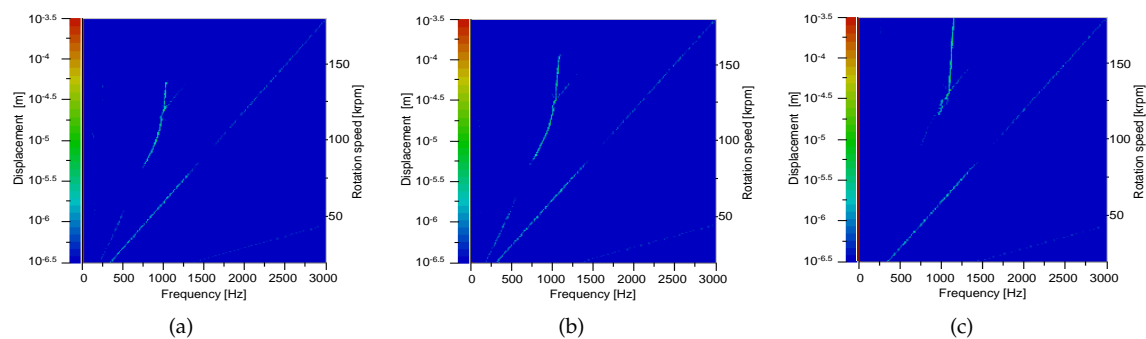
**Figure 8.** Influence of oil feed pressure on the rotordynamic response. (a) Synchronous response; (b) Sub-synchronous response.

The synchronous amplitude at the center disk and at the bearings increase with feed pressure. Brouwer [26] performed shaft motion measurements on a turbocharger with floating ring bearings and

found a similar relation. This relation is counter-intuitive, as an increase in feed pressure is expected to result in a higher bearing stiffness and therefore also a smaller response at the bearings. We currently have no plausible explanation for this interesting phenomenon.

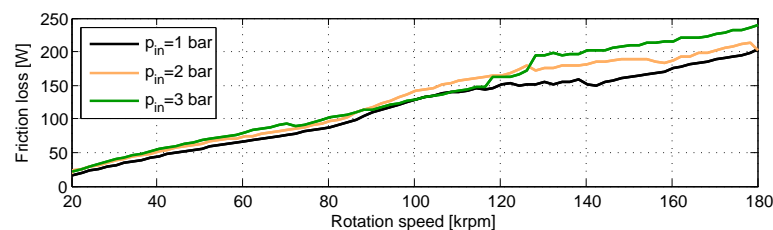
The subsynchronous amplitude at the center disk also increases with increasing feed pressure, as shown in Figure 8b. Our understanding of this relation is as follows: the higher the feed pressure, the smaller the extent of the cavitated domain and thus the more unstable the bearing will be. Furthermore, the peak in the subsynchronous response, caused by the critical speed equalling the whirl frequency, increases with feed pressure because the critical speed increases with feed pressure, as depicted in Figure 8a.

Furthermore, an increase of feed pressure delays the onset speed of oil whirl, but eventually the amplitudes are higher at increased feed pressures and the oil whirl remains over a longer rotation speed range, as can be observed in Figure 8b and in the waterfall plots in Figure 9. Schweizer [19] also observed that an increase of pressure can delay the onset speed of oil whirl.



**Figure 9.** Influence of oil feed pressure on the rotordynamic response. (a) Oil supply pressure  $P_{in} = 1$  bar; (b)  $P_{in} = 2$  bar; (c)  $P_{in} = 3$  bar;  $T_{in} = 310$  K,  $unb = 100$  mg·mm.

We observed that the friction losses of the floating ring bearing increase with an increase of oil feed pressure, depicted in Figure 10. As can be observed in the waterfall plots in Figure 9, this is partly due to oil whirl: at high oil feed pressure, oil whirl occurs more severely over a wide operating range, resulting in an increase of friction losses as more energy is dissipated due to the oil whirl motion. Furthermore, at higher oil feed pressures, a smaller fraction of the films is cavitated and, conversely, a larger fraction is full film. As the friction losses originate from the full film areas, the total friction losses increase. Lastly, an increase in oil feed pressure also increases the oil flow rate through the bearing and, therefore, more heat is carried away from the bearing, which, in turn, results in a higher average viscosity in the bearing, and thus more friction losses [27].



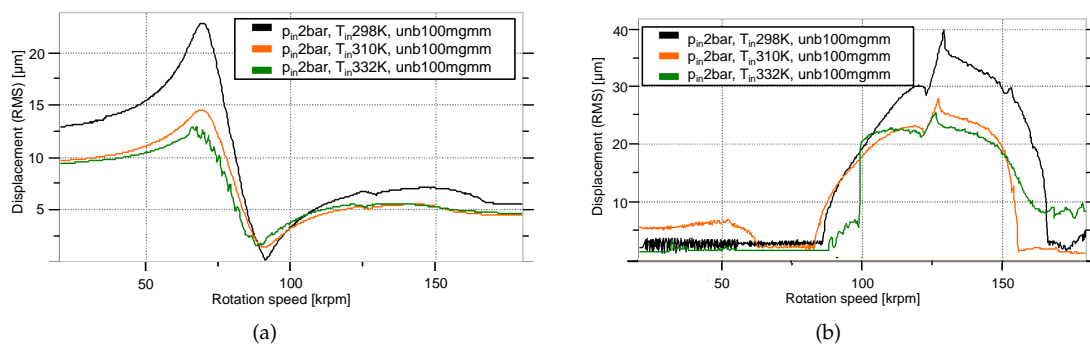
**Figure 10.** Friction losses of a floating ring bearing as a function of oil feed pressure;  $T_{in} = 310$  K,  $unb = 100$  mg·mm.

Deligant [27] obtained similar results in his measurements: a 10% increase of friction losses over a 2 bar pressure increase. Deligant, however, did not relate this explicitly to the oil whirl but instead related it to cooler oil films due to the increased oil flow at higher feed pressures.

### 3.3. Response as a Function of Oil Feed Temperature

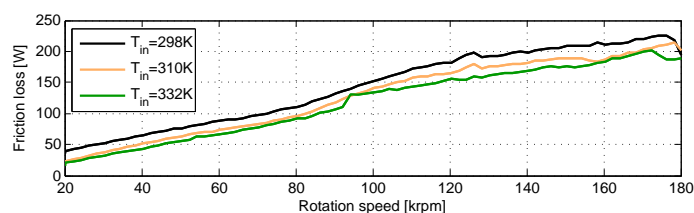
An increase of oil feed temperature generally leads to a decrease of rotor displacement amplitude, as can be observed in Figure 11. This is in contradiction to the measurements of Brouwer [26], who observed that the displacement amplitudes of the rotor are generally largest at high oil feed temperatures. This shows that different rotor-bearing geometries will react differently to an increase of oil feed temperature.

The onset speed of oil whirl is slightly increased by increasing the temperature as depicted in Figure 11b. We observed that the ring speeds are generally higher in case the oil feed temperature increases, as was also measured by Porzig [9]. We expect that an increase in oil feed temperature causes the temperature and thus the viscosity of the outer oil film to be closer to the temperature of the inner oil film. The temperature of the bearing housing is the same as the temperature of the oil supply channel so increasing this temperature directly affects the outer fluid film viscosity.



**Figure 11.** Influence of oil feed temperature on the rotordynamic response. (a) Synchronous response; (b) Sub-synchronous response.

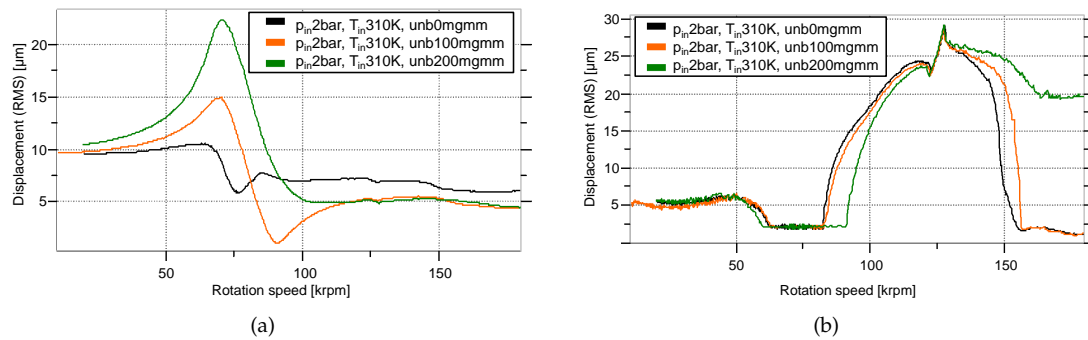
As can be seen in Figure 12, the friction losses decrease by increasing the oil feed temperature. However, the reduction is typically only 10% to 20%, which is considerably less than the reduction of the oil feed viscosity, which is more than 50% in the case of increasing the oil temperature from 298 to 332 K. Similar results were also measured by Deligant [27], who observed a 10% to 20% decrease in friction losses at an oil feed temperature increase of 20 K. It is considered that friction heating causes the temperature of the inner film to be considerably higher than the oil feed temperature at low oil feed temperatures, which reduces the difference between friction losses at low and high oil feed temperatures.



**Figure 12.** Friction losses of a floating ring bearing as a function of oil feed temperature;  $P_{in} = 2\text{ bar}$ ,  $unb = 100\text{ mg}\cdot\text{mm}$ .

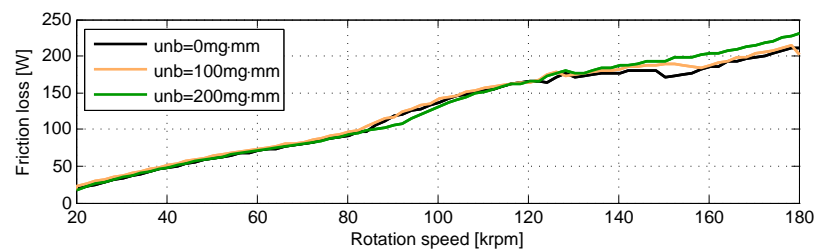
### 3.4. Response as a Function of Rotor Unbalance

As can be expected, adding unbalance to the rotor will increase the synchronous amplitude at the critical speed, as can be seen in Figure 13a. Moreover, we observed that rotor unbalance can suppress the onset speed of whirl, but can also cause it to remain in an oil whirl over a larger speed range, as depicted in Figure 13b.



**Figure 13.** Influence of rotor unbalance on the rotordynamic response. Synchronous response (a) and Sub-synchronous response (b) of the center disk as a function of rotor unbalance.

As the rotor with a high unbalance level remains in an oil whirl at speeds above 140 krpm, its friction losses are also larger in this range, as can be seen in Figure 14. For the rest of the speed range, the unbalance amount does not significantly affect the friction losses.



**Figure 14.** Friction losses of a floating ring bearing as a function of rotor unbalance.  $P_{in} = 2\text{ bar}$ ,  $T_{in} = 310\text{ K}$ .

### 3.5. Response as a Function of Bearing Clearances

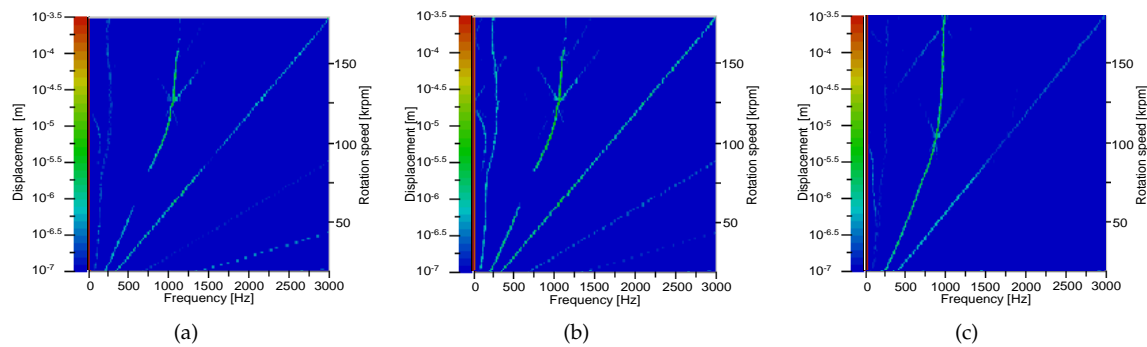
Three sets of bearing clearances have been evaluated, as can be seen in Table 3. It should be noted that these bearings have clearances which were especially chosen for these measurements and as such, are not strictly representative for bearings found in commercially available turbochargers. The bearing clearances were measured before and after testing.

**Table 3.** Bearing clearances evaluated in this study.

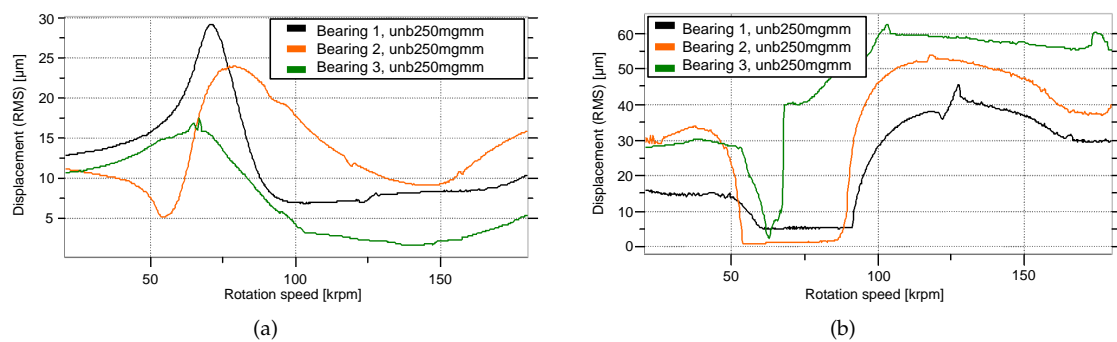
	Inner Clearance ( $\mu\text{m}$ )	Outer Clearance ( $\mu\text{m}$ )
Bearing clearance configuration 1	8.5	30
Bearing clearance configuration 2	13	35
Bearing clearance configuration 3	16.5	41

Figures 15 and 16 demonstrate that the sub-synchronous response generally increases with increasing the clearances. The opposite is observed for the synchronous response, which decreases

with increasing bearing clearances. The same trend was also observed for the case of a plain journal bearing [28]. The larger bearing clearance allows for more energy to be dissipated by squeeze motion in the bearing and thus results in a lower amplitude at the center disk in the critical speed range.

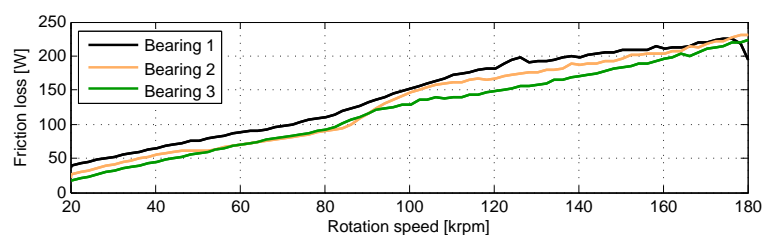


**Figure 15.** Influence of bearing clearances on the rotordynamic response,  $P_{in} = 2$  bar,  $T_{in} = 310$  K, unb = 100 mg·mm. (a) Bearing clearance configuration 1; (b) Bearing clearance configuration 2; (c) Bearing clearance configuration 3.



**Figure 16.** Influence of rotor unbalance on the rotordynamic response. (a) Synchronous response; (b) Sub-synchronous response.

Remarkably, almost no differences have been observed between the friction losses of the three different bearing configurations. Although Bearing 1 has clearances which are 1.5 to 2 times smaller than those of Bearing 3, the differences in friction losses are typically no more than 10%, as can be seen in Figure 17. According to the simple Petroff equation, the friction power should be inversely proportional to the clearance  $C$ :  $P_{friction} \sim \Omega^2 \mu_{oil} / C$ . If we assume that the minimum film thickness depends on the rotor (unbalance) load, and as this load is constant between the different bearings, we can assume that the minimal film height is independent of the bearing clearance. At high eccentricities, the minimal film height largely determines the friction losses [21]; therefore, the friction losses are similar for different clearances.



**Figure 17.** Friction losses of a floating ring bearing with various clearance combinations, as indicated in Table 3;  $P_{in} = 2$  bar,  $T_{in} = 332$  K, unb = 250 mg·mm.

#### 4. Conclusions

This study presents experimental results of the dynamic behavior and the friction losses of a Laval rotor supported by floating ring bearings. The interaction of the flexible shaft with the floating ring bearings causes sub-synchronous oil whirl, which locks into an oil whip and eventually vanishes again. We observed that friction losses do not depend quadratically on the rotation speed, but instead appear to be highly dependent on the whirling amplitudes. The ring rotation speed remains constant in the range where severe oil whirl/whip occurs, which indicates that the ring speed in this condition is a consequence of the oil whip conditions rather than a cause. Furthermore, the influence of oil feed pressure, oil feed temperature, rotor unbalance and bearing clearances have been studied. Most phenomena observed in the measurements have been explained; however, the increased amplitude at an increase of oil feed pressure is still not fully understood.

The measurement data presented here showed good repeatability under well controlled thermal and unbalance conditions and can serve to validate numerical models that predict the rotordynamic behavior of turbocharger rotors supported by floating ring bearings. As a next step, adding a heat source to the currently presented test setup can bring insight into the effect of shaft temperature as the shaft temperatures in turbochargers are known to vary considerably.

**Author Contributions:** Rob Eling and Mathys te Wierik constructed the test setup and performed the experiments. Mathys te Wierik designed the test setup. Rob Eling analyzed the data and wrote the paper. Ron van Ostayen and Daniel Rixen added useful information and discussions relevant for this study.

**Conflicts of Interest:** The authors declare no conflict of interest.

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