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NO_x emission estimates during the 2014 Youth Olympic Games in Nanjing

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Abstract

The Nanjing Government has taken temporary environmental regulations to guarantee good air quality during the Youth Olympic Games (YOG) in 2014. We study the effect of those regulations by applying the emission estimate algorithm DECSO (Daily Emission estimates Constrained by Satellite Observations) to measurements of the Ozone Monitoring Instrument (OMI). We improved DECSO by updating the chemical transport model CHIMERE from v2006 to v2013 and by adding an Observation minus Forecast (OmF) criterion to filter outlying satellite retrievals due to high aerosol concentrations. The comparison of model results with both ground and satellite observations indicates that CHIMERE v2013 is better performing than CHIMERE v2006. After filtering the satellite observations with high aerosol loads that were leading to large OmF values, unrealistic jumps in the emission estimates are removed. Despite the cloudy conditions during the YOG we could still see a decrease of tropospheric NO₂ column concentrations of about 32 % in the OMI observations as compared to the average NO₂ concentrations from 2005 to 2012. The results of the improved DECSO algorithm for NO_x emissions show a reduction of at least 25 % during the YOG period. This indicates that air quality regulations taken by the local government were successful. The algorithm is also able to detect an emission reduction of 10 % during the Chinese Spring Festival. This study demonstrates the capacity of the DECSO algorithm to capture the change of NO_x emissions on a monthly scale. We also show that the observed concentrations and the derived emissions show different patterns that provide complimentary information. For example, the Nanjing smog episode in December 2013 led to a strong increase in NO₂ concentrations without an increase in NO_x emissions. Furthermore, DECSO gives us important information of the non-trivial seasonal relation between NO_x emissions and NO₂ concentrations on a local scale.

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1 Introduction

Reducing air pollution is one of the biggest environmental challenges currently in China. Nearly 75 % of urban areas are regularly polluted in a way that is considered unsuitable for their inhabitants in 2004 (Shao et al., 2006). In mega cities and their immediate vicinities, air pollutants exceed the Chinese Grade-II standard ($80 \mu\text{g m}^{-3}$ for daily NO_2) on 10–30 % of the days (Chan and Yao, 2008). Air pollution is directly related to the economic growth in China and its accompanying increase of energy consumption. In the last two decades, air pollutants persistently increased in China. For instance, satellite measurements showed that NO_2 concentrations increased about $20 \text{ \% year}^{-1}$ from 1996 to 2005 (van der A et al., 2006). By combining satellite observations with air quality models, Itahashi et al. (2014) showed that the strong increase of NO_2 concentrations over East China is caused by a doubling of NO_x ($\text{NO}_x = \text{NO} + \text{NO}_2$) emissions during 2000 to 2010. Zhang et al. (2007) found that NO_x emissions increased with 70 % between 1995 and 2006 and Lamsal et al. (2011) found that anthropogenic NO_x emissions increased with 18.8 % during the period 2006 to 2009.

Nanjing, the capital of Jiangsu Province, is a highly urbanized and industrialized city located in East China, in the northwest part of the Yangtze River Delta (YRD). By 2012, the area of Nanjing had a population of 8.2 million (Nanjing statistical Bureau, 2013). The YRD is one of the largest economic and most polluted regions in China. Tu et al. (2007) found that the largest fraction of air pollution by NO_x and SO_2 can be attributed to local sources in Nanjing. Li et al. (2011) concluded that air pollutant concentrations and visibility demanded urgent air pollution regulations in the YRD region. From 16 to 29 August 2014, the Youth Olympic Games (YOG) was held in Nanjing. To guarantee good air quality during the Games, the city government carried out temporary strict environmental regulations with 35 directives from May to August. Other cities in the YRD cooperated with Nanjing to ensure good air quality during the Games. The periods with the main regulations are shown in Table 1.

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Also for previous major international events in China, local authorities have tried to comply the air quality standards of the World Health Organization (WHO), which has a limit of $200 \mu\text{g m}^{-3}$ for hourly NO₂ concentrations. For each event, the local government imposed restrictions on heavy industry, construction and traffic. In 2008 the Beijing Municipal Government implemented a series of air pollution control measures for Beijing and surrounding cities to guarantee good air quality for the 29th Olympic Games. These control measures significantly reduced the emissions and concentrations of pollutants. Satellite data show the NO₂ column concentrations decreased with at least 40 % compared to previous years (Mijling et al., 2009; Witte et al., 2009). Both bottom-up and top-down emission estimates show a decrease of about 40 % in NO_x emissions (Wang et al., 2009, 2010; Mijling et al., 2013). During the 2010 World Expo in Shanghai the NO₂ concentration was reduced by 8 % from May to August according to an analysis of Hao et al. (2011) of space-based measurements compared to previous years. In November 2010 emission reduction measures introduced by the Guangzhou authorities also successfully improved air quality for the Asian Games. Wu et al. (2013) claimed a NO_x emission reduction of 43.5 % based on mobile DOAS measurements. The emission reduction of NO_x based on model simulations was estimated to be about 40 % (Liu et al., 2013).

However, to study the effectiveness of the air quality measures, it is not enough to look at the concentration measurements alone, as the reduction of air pollutants can also be affected by favorable meteorological conditions. Emissions need to be derived to better show the effect of temporary air quality regulations carried out for the Games. Up-to-date emission data is difficult to obtain, as most emission inventories are developed by a bottom-up approach based on statistics on source sector, land-use and sector specific emission factors.

The bottom-up approach introduces large uncertainties in the emission inventories. To improve emission inventories, a top-down approach can be used by estimating emissions from satellite observations (Streets et al., 2013). For constraining emissions of short lived species, Martin et al. (2003) used the ratio of the simulated to the observed

concentration to scale a priori emissions. They used optimal estimation to weigh the a priori emission inventory with the top-down estimates, resulting in an a posteriori inventory with error estimates. This method assumes that the relationship between emissions and concentrations is not affected by transport. Non-linear and non-local relations between emission and concentration can be indirectly solved by applying the method iteratively (e.g. Zhao and Wang, 2009), although a posteriori error estimates are lost in this way. Kurokawa et al. (2009) and Stavrou et al. (2008) used 4DVAR techniques to estimate emissions by applying an adjoint model of the chemistry transport model to calculate the sensitivities. Another popular data assimilation method is the Ensemble Kalman Filter (Evensen, 2003), which does not require an adjoint model and is relatively easy to implement. As an extension of the Kalman filter, it employs a Monte Carlo approach to represent the uncertainty of the model system with a large stochastic ensemble. Whenever the filter requires statistics such as mean and covariance, these are obtained from the sample statistics of the ensemble (Miyazaki et al., 2012).

To get fast updates for short lived air pollutants, Mijling and van der A (2012) designed a Daily Emission estimates Constrained by Satellite Observation (DECISO) algorithm. DECISO is an inversion method based on an extended Kalman filter. The algorithm only needs one forward model run of a chemical transport model (CTM) to calculate all local and non-local emission/concentration relations. It updates emissions by addition instead of scaling, enabling the detection of unaccounted emission sources.

In this study, we use the latest version of DECISO with OMI satellite data to study how the environmental regulations affect the NO_x emissions in Nanjing during the 2014 YOG. Detecting emission changes for Nanjing is challenging, as it is a smaller city than e.g. Beijing. In addition, Nanjing is in one of the most populated areas of China close to Shanghai with a population of about 24 million. Therefore we have introduced a few improvements in the DECISO algorithm to better resolve small scale emission changes in time and location. The improvements consist of an updated CTM and better filtering of erroneous satellite observations. The emission estimates will be based on the

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satellite observations of OMI, taking advantage of its high spatial resolution needed to resolve the changes in the Nanjing area. With this improved algorithm we will compare the NO_x emissions during the YOG with NO_x emissions of the previous year in Yangtze Delta River.

2 Methods

2.1 Emission estimates

For the emission estimates of NO_x over China we use the DECSO algorithm (Mijling and van der A, 2012). It uses a CTM to simulate the NO₂ concentrations and daily satellite observations of NO₂ column concentrations to constrain NO_x emissions. The algorithm is based on an extended Kalman filter to get new emission estimates by optimizing NO₂ column concentrations of model and satellite observations. The inclusion of sensitivities of NO₂ column concentrations on the NO_x emissions in other locations is an essential part of DECSO. A terrain-following trajectory analysis is used in this calculation to describe the transport of NO₂ over the model domain for a time interval between two overpasses of the satellite instrument. This approach results in a fast algorithm suitable for daily estimates of NO_x emissions. A detailed description of DECSO v1 can be found in Mijling and van der A (2012).

The CTM used in DECSO is CHIMERE (Schmidt, 2001; Bessagnet et al., 2004; Menut et al., 2013). CHIMERE is implemented on a 0.25° × 0.25° spatial grid over East Asia from 18 to 50° N and 102 to 132° E. It contains 8 atmospheric layers up to 500 hPa. The meteorological input for CHIMERE is the operational meteorological forecast of the European Centre for Medium-Range Weather Forecasts (ECMWF) with a horizontal resolution of approximately 25 km × 25 km. The Multi-resolution Emission Inventory for China (MEIC) (He, 2012) for 2010 gridded to a resolution of 0.25° × 0.25°, is used for the initial emissions in DECSO. Outside China, where no MEIC emissions are defined, the emission inventory of INTEX-B (Q. Zhang et al., 2009) is used. As the emission sector

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definition used in MEIC and INTEx-B does not match the 11 activity sectors according to the SNAP (Selected Nomenclature for Air Pollution) 97, which are internally used in the CHIMERE model, we redistribute the emissions over the sectors according to Table 2.

To compare CHIMERE simulations with satellite observations, we extend the modelled vertical profiles from 500 hPa to the tropopause by adding a climatological partial column. The simulated NO₂ column concentrations on the model grid are redistributed to the satellite footprints. To enable direct comparison between simulated and observed tropospheric vertical column, the averaging kernel from the satellite retrieval is then applied to the modelled vertical profile.

In this study, we used an updated version of DECSO, which is referred to as DECSO v3. Especially the calculation speed has been improved in this update. Furthermore, the emission injection height has been made sector-dependent and the forward trajectory calculation is changed to a backward calculation. In DECSO v3, the error E_{obs} of a satellite observation is recalculated according to:

$$E_{\text{obs}} = f \cdot E_{\text{sat}} + (1 - f) \cdot (0.5 \cdot E_{\text{sat}}), \quad \text{with } f = e^{\left(-\frac{c_{\text{sat}}}{2}\right)} \quad (1)$$

where E_{sat} is the observation error from the retrieval method and c_{sat} is the retrieved NO₂ column of the satellite observation. The modified errors give more weight to satellite observations with high values during the assimilation by reducing their relative error while maintaining the dominating absolute error for low values (typically around 0.5×10^{15} molecules cm⁻²).

2.2 Satellite observations

In this study, satellite observations from the Dutch-Finnish Ozone Monitoring Instrument (OMI) on NASA's (National Aeronautics and Space Administration) Aura satellite (Levelt et al., 2006) are used in DECSO. The satellite was launched on 15 July 2004 into a sun-synchronous polar orbit at 705 km altitude. OMI is a nadir-viewing spec-

5 trometer measuring the atmosphere-backscattered solar light in the ultraviolet-visible (UV/VIS) range from 270 to 500 nm with a spectral resolution of about 0.5 nm. The 114° wide view of OMI results in swath width of 2600 km providing daily global coverage in about 14 orbits. The local overpass time is around 13:30 LT. The pixel size of OMI is 24 km × 13 km at nadir and increases to about 150 km × 28 km at the end of the swath.

10 We use the tropospheric NO₂ vertical column concentrations retrieved with the Dutch OMI NO₂ retrieval (DOMINO) algorithm version 2 (Boersma et al., 2011). The dataset is available on the Tropospheric Emissions Monitoring Internet Service (TEMIS) portal (<http://www.temis.nl>). The DOMINO algorithm first obtains NO₂ slant columns from the OMI reflectance spectra by using Differential Optical Absorption Spectroscopy (DOAS). After separating the stratospheric and tropospheric contribution to the slant column, DOMINO converts the tropospheric slant column to a vertical column with the tropospheric air mass factor (AMF) (Boersma et al., 2007, 2011). DOMINO v2.0 mainly improves the NO₂ air mass factor by improved radiative transfer, surface albedo, terrain height, clouds and a priori vertical NO₂ profiles. The bias between DOMINO v2.0 and Multi-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS) ground observations at 5 locations is only $-10 \pm 14\%$ over China and Japan (Irie et al., 2012). The DOMINO algorithm does not explicitly account for the effect of aerosols on the solar radiation. Rather it is indirectly accounted for by the higher cloud fraction in aerosol contaminated scenes. However, Lin et al. (2014) concluded that especially in China the effects of aerosols and surface reflectance anisotropy have implications for retrievals of NO₂ from OMI and suggested that exclusion of high aerosol scenes supports better emission estimates at fine spatial and temporal scales.

25 Since 25 June 2007, OMI data has been affected by the so-called row anomaly, which deteriorates the spectral observations for particular viewing directions of OMI (Boersma et al., 2011; Kroon et al., 2011). 29 out of the 60 rows are affected by the row anomalies and no longer used after 1 January 2011. We also filter out the 4 pixels at either side of the swath. This makes the largest footprint about 75 km × 21 km. To reduce the influence of cloudy and bright surface scenes on the quality of the retrieval product, we use

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only observations having a surface albedo lower than 20 %. The observations with clouds below 800 hPa are also filtered out as these retrievals are very sensitive to small differences in the NO₂ profile shape and the retrieved cloud height. Mijling and van der A (2012) filter out the observations with a cloud fraction higher than 20 %. Based on this filtering, there are no tropospheric NO₂ satellite observations over Nanjing during the YOG due to the cloudy conditions at the overpass time of the satellite. Thus, to obtain more NO₂ satellite observations, we use observations with a cloud radiance fraction lower than 70 % (comparable with a cloud fraction of about 30–35 %) instead of the cloud fraction lower than 20 %. After checking the monthly averages and the distribution of the satellite data, we find that the selected data is still of sufficient quality while the number of observations increases with about 37 %.

2.3 Ground observations

To validate the model results in Nanjing, we use available independent measurements from the national in-situ observation network, which are collected and maintained by the China National Environmental Monitoring Center (CNEMC). The aqicn.org team publishes the hourly Air Quality Index (AQI) of different air pollutants, such as NO₂, SO₂, and particulate matter, on their website based on the measurements from CNEMC. The AQI is calculated by the conversion table from the Technical Regulation on Ambient Air Quality Index in China published by the Ministry of Environmental Protection. We use the same table to convert the AQI back to the surface concentration unit of $\mu\text{g m}^{-3}$. For this study, the NO₂ hourly ground measurements of Nanjing for the period of April 2013 to December 2014 are used. The location of these measurements is the Nanjing People's Government building, which is located in the center of Nanjing. Interpretation of the validation results is troubled by the absence of peripheral information of the ground measurements. For instance, the type of instrument is unknown and the exact location of the measurement such as the height or the distance to a local traffic road is unclear.

3 Improvements of DECSO

3.1 Model improvement

The performance of the CTM is important for the DECSO results. CHIMERE v2006 is an outdated model version which has been used in DECSO algorithm versions up to v3a. To improve the emission estimation results, we updated the CTM to CHIMERE v2013 (DECSO v3b).

The new model adds biogenic emissions of six species: isoprene, α -pinene, β -pinene, limonene, ocimene and NO. These biogenic emissions are calculated by the model preprocessor using the MEGAN model and land use data (Menut et al., 2013). The added biogenic emissions can affect the emissions estimated for rural areas as biogenic NO emissions in rural areas cannot be negligible in summertime. Compared to the old version of CHIMERE, the new model version includes a more advanced scheme for secondary organic aerosol chemistry. In addition, the chemical reaction rates are updated and a new transport scheme is used in the new CHIMERE model. For CHIMERE v2013 we use the same input data except for the land use data. We use land use data from the GlobCover Land Cover (GCLC version 2.3) database, which is updated for the year 2009, while the land use database included in CHIMERE v2006 is the Global Land Cover Facility (GLFC) giving the land use of 1994. As China is a fast developing country, the land use may have large differences in 15 years due to urbanization. Thus, the updated land use database will positively affect the model simulations over China.

To assess the effect of the new CTM, we run DECSO v3a and DECSO v3b for the period January 2013 to August 2014. Figure 1 shows the comparison of the average diurnal cycle of surface NO₂ concentrations from the two CHIMERE models with in-situ observations in Nanjing averaged for January to August 2014. We select the 0.25° × 0.25° model grid cell that contains the in-situ measurement location. According to GCLC database, 70 % of the grid cell is urban area. We see that the surface NO₂ concentration of CHIMERE v2013 during nighttime is closer to the observations than

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for CHIMERE v2006. Our earlier model evaluations of CHIMERE showed that the nocturnal surface NO₂ concentrations simulated by CHIMERE v2006 are usually too high in urban areas caused by unrealistically low boundary layer heights and too little vertical diffusion. In CHIMERE v2013, the boundary layer heights over urban areas are limited by a minimum boundary layer height. As expected, v2013 improves the surface concentration simulation at nighttime, while improvements during daytime are rather small. We calculate the bias and Root Mean Square Error (RMSE) between the model results and in-situ observations. The bias of CHIMERE v2013 is 3.7 μg m⁻³ which is 10 μg m⁻³ smaller than for CHIMERE v2006. The difference of RMSE between the two models is very small, the RMSE of CHIMERE v2013 is 28 μg m⁻³ and of CHIMERE v2006 is 31 μg m⁻³. For the satellite overpass time, the bias improves from 4.4 to 1.8 μg m⁻³ while the RMSE remains the same. However, in urban areas the local sources have transient influences on in-situ observations. Blond et al. (2007) concluded that urban in-situ observations of NO₂ cannot be used for the validation of a CTM model with low spatial resolution because the representativeness of the in-situ measurement for the grid cell is very low. In spite of this, we still see some improvements for averaged NO₂ concentrations in CHIMERE v2013.

In order to get a more comprehensive validation of the model results, we compare the two CHIMERE models with OMI satellite observations. During the data assimilation of DECSO the daily “Observation minus Forecasts” (OmF) values have been stored. The OmF is a common measure for the forecasting capabilities of the model in the data assimilation. We compare the absolute OmF of both models for the summer (June to August) of 2014 in Fig. 2. In the Figure a linear regression is fitted through the data points that shows the absolute OmF of CHIMERE v2013 is lower than that of CHIMERE v2006 indicating a better performance of CHIMERE v2013 in summertime. However, the absolute OmF of two models is similar in wintertime. Since biogenic emissions are negligible in wintertime, this may point to an effect of the missing biogenic emissions in the older version of CHIMERE. Based on these comparisons we selected CHIMERE v2013 in DECSO v3b for NO_x emission estimates in this study.

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3.2 Improvement of the satellite data

Earlier studies showed that the DOMINO v2 retrievals do not account enough for the effect of high aerosol concentrations on NO_2 columns (see Sect. 2.2) and at the same time we know that high aerosol concentrations are a significant problem in most mega cities in China. When checking the time series of NO_x emissions over Nanjing for 2013 by DECSO v3b, we find some suspicious fluctuations at particular days. At these dates the NO_x emissions are almost entirely removed in one day and then slowly increase again. These unrealistic emission updates are related to extreme OmF values (lower than -5 or higher than $10 \times 10^{15} \text{ molecules cm}^{-2}$) with relative small OmF variances, which are calculate as the quadratic sum of model and observation errors (Fig. 3). In the time period of our study there are 20 days with these extreme OmF values, 6 are positive and 14 are negative. All are having a significant impact on the NO_x emissions. For most of those 20 days, the in-situ observations of PM_{10} show high aerosol concentrations, which are above $100 \mu\text{g m}^{-3}$ in Nanjing. We also see a strong haze above Nanjing for all the 20 days from visual inspection of the MODIS RGB images. In addition, we noticed that the MODIS images show higher cloud fractions than the fractions retrieved from OMI observations. The underestimation of cloud fraction information from the OMI satellite retrieval is probably due to the aerosol conditions, which are not taken into account in the cloud retrieval algorithm. High aerosol concentrations can not only complexly affect the cloud fraction and cloud pressure retrieval but also directly affect the NO_2 retrieval and results in either over- or underestimated NO_2 concentrations (Lin et al., 2014).

Figure 4 shows an example of such an extreme case for East China on 6 May 2013 with high (positive) OmF values in combination with low observational uncertainties. In the image we identify two areas with satellite observations that are at least $10 \times 10^{15} \text{ molecules cm}^{-2}$ higher than the model forecast. One is over the Hulunbuir sand land at the border of China and Mongolia, the other one is around the Bohai Bay. We compared the observations with the MODIS RGB and Aerosol Optical Depth (AOD)

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images on that day (Fig. 5). The MODIS AOD image shows high aerosol values around the Bohai Bay and over the Hulunbuir sand land. The RGB image of MODIS shows haze around the Bohai Bay, which indicates that the high aerosol concentrations are near the surface. However, the aerosol information is not used in the retrieval of the DOMINO NO₂ product leading to NO₂ observations that are strongly deviating from the model forecast.

In the data assimilation it is assumed that the OmF distribution is Gaussian and OmF can be used to filter outliers from the data. So far, no OmF outlier criterion has been used in DECSO. Our previous analysis, however, shows the need for the detection of outliers. A filter has to be implemented with care, to avoid that the algorithm becomes insensitive to new emission sources such as new power plants. We select a OmF filter criterion in the range of $[-5, 10] \times 10^{15}$ molecules cm⁻².

The distribution of OmF of all pixels over our domain from January 2013 to September 2014 is Gaussian and 97 % of the OmF is in the interval of $[-5, 10] \times 10^{15}$ molecules cm⁻². However, over highly polluted areas both satellite observations and model results have larger errors resulting in higher OmF values. In addition, the lifetime of NO₂ is much longer in winter than in summer which may lead to large OmF values in winter time. We choose 15 high polluted cities in China based on AQI and study the distribution of the OmF for the summer period (April to September 2013) and the winter period (October 2013 to March 2014) (Fig. 6). As expected, the distribution of OmF is wider in winter than in summer. In summer 70 % of the OmF values are in the interval of $[-5, 10] \times 10^{15}$ molecules cm⁻², while in winter 50 % of the OmF values are within $[-5, 10] \times 10^{15}$ molecules cm⁻². We select an asymmetric interval because the assimilation is especially sensitive to very negative outliers in OmF caused by low observations (having small observational errors associated), as opposed to very positive outliers caused by high observations, which are associated with large observational errors.

To figure out the effect of a large OmF on NO₂ emission estimates, we compare a free run of CHIMERE v2013 with the MEIC inventory with a run with the DECSO

v3b assimilation. During the summertime, the mean difference in NO_2 column concentrations between these two runs is $4.8 \times 10^{15} \text{ molecules cm}^{-2}$ in the Nanjing area (six grid cells). This column difference is caused by the NO_x emission difference of $9.2 \times 10^{15} \text{ molecules cm}^{-2} \text{ h}^{-1}$. From a simple back-of-the-envelope calculation we derive that a negative $5 \times 10^{15} \text{ molecules cm}^{-2}$ difference in NO_2 columns requires a $9.6 \times 10^{15} \text{ molecules cm}^{-2} \text{ h}^{-1}$ emission change, which would mean that all NO_x emissions in Nanjing would be removed in a single day. This change in emission is comparable to the total emissions of 2 large-sized coal-fired power plants. In addition, when the pixel size of the satellite is twice that of the model grid cell, the updates of emissions in that grid will even be doubled. This shows that a change in OmF of $5 \times 10^{15} \text{ molecules cm}^{-2}$ is very unrealistic even in the most extreme cases. Therefore, this limit will be used as a criterion to filter outliers, which are in general caused by wrong NO_2 retrievals. To avoid the influence of the extreme OmF on emission estimates and still be able to monitor real emission changes, we filter out negative OmF values lower than $5 \times 10^{15} \text{ molecules cm}^{-2}$ and positive OmF values more than $10 \times 10^{15} \text{ molecules cm}^{-2}$ to be conservative. After applying the OmF filter criteria, we filter out 16 % of the extreme OmF in the polluted cities and less than 3 % in the whole domain. The large unrealistic jumps in emission disappear from the time series.

4 Emission analysis for the Nanjing Youth Olympic Games

First, we compare NO₂ monthly average concentrations in 2014 with previous years using in-situ and satellite observations. For the in-situ observations we select the monthly mean at 13:00 LT to be able to compare the results with the satellite observations whose overpass time is about 13:30 LT (see Fig. 7). Compared to the year 2013 the in-situ measurements show no significant improvement in the surface NO₂ concentration at 13:00 LT for the period (May to August 2014) when the government took air quality regulations for the YOG. However, we see a high variability in the monthly averaged data, indicating that the data are strongly affected by highly variable local sources (e.g.

local traffic) and weather. We also calculate the monthly average using all measurements and we still see no improvements of the NO₂ concentration for the YOG period. Therefore, we assume that the in-situ measurements are not representative for the whole city of Nanjing.

Figure 8 shows the land-use over Jiangsu Province. The rectangle referred to as the Nanjing area, covers the whole of Nanjing including all industrial areas along Yangtze River. According to the MEIC sector distribution, the power plants in the selected area are dominating the NO_x emissions. To study the effects of the air quality regulations for the YOG on tropospheric NO₂ concentrations, we compare the monthly averages of satellite observations over the Nanjing area for each year from 2005 to 2014 by regriding the observational data on the model grid over the area.

The satellite observations show that on average the NO₂ concentrations are rather similar from year to year (Fig. 9). Although a small trend is visible in the satellite data, we include this in the SD. It is clear that the NO₂ concentrations have a seasonal cycle that is lower in summer than in winter due to the seasonal change of the NO₂ lifetime (van der A et al., 2006). Note that the small decrease in concentrations in February might be caused by the reduced emissions during the Spring Festival (Q. Zhang et al., 2009). We see that the NO₂ concentration during the YOG period (August 2014) is on average only 6.6 molecules cm⁻², which is the lowest value among the last 10 years and more than 3 SD from the mean. Consequently, due to the effect of the air quality regulations for the YOG, the NO₂ concentrations of the following months are also lower than for previous years. In November, the local government took similar air quality regulations for the first National Memorial ceremony held on 13 December 2014. That might explain the lower NO₂ concentrations of the last two months of 2014 compared to those of 2013 and compared to the average of the last 8 years. However, it is still within the range of the SD of NO₂ concentrations for the last 8 years. Differences from year to year can also be attributed to the meteorological conditions. Particularly in December 2013, NO₂ concentrations are very high. This episode is well known as a heavy smog period in Nanjing because stagnant air in the region accumulated anthropogenic

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pollution. Compared to the averaged NO_2 concentration in August from 2005 to 2012, the NO_2 concentration of August in 2014 is decreased with 32 % in Nanjing. However, this significant decrease can be caused by the rainy weather during that month. Thus, NO_2 emission estimates are needed to show if the air quality regulations were really effective.

To compare the NO_x emissions in Nanjing in 2014, especially during the YOG, with the same period of the year 2013, we run DECSO v3b with the OmF criterion as described in Sect. 3.2 from October 2012 to December 2014, where the first three months are used as spin-up period. Figure 10 shows the monthly NO_x emissions in Nanjing for the year 2013 and 2014 estimated by this version of DECSO. For comparison the initial MEIC inventory is also plotted in the Figure. The NO_2 emissions have a different seasonal cycle compared to the NO_2 concentrations of satellite observations in Nanjing. The months with high emissions are June and July while the highest NO_2 concentrations of the satellite observations appear in January and December. According to the sector distribution in the MEIC inventory, the emissions of power plants and industrial activities are the main sources in Nanjing. At least 50 % of the total NO_x emissions are from power plants and 40 % are from the industrial activities. H. Zhang et al. (2009) showed that the seasonal cycle of the electricity consumption in Nanjing for the 6 years from 2000 to 2006 peaks in the summertime, because the electricity consumption and power load are highly correlated with temperature in summer. The seasonality of electricity consumption is caused by the increasing usage of air conditioning in the hot season, while there is no heating system used in winter time in Nanjing. The opposite cycles of concentrations (Fig. 9) and emissions (Fig. 10) show that the high NO_2 concentrations in winter in Nanjing are mainly affected by the long lifetime of NO_x , while the seasonal cycle of NO_x emissions is reversed as a result of the increased electricity consumption in summertime.

We see a drop in NO_x emissions in February for both years calculated with DECSO, which is also visible in the MEIC inventory of 2010 (Fig. 10). This jump is consistent with the decrease of NO_2 concentrations of the satellite observations in February compared

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to the neighboring months. Compared to the neighboring months, the NO_x emission reduction in February is about 10 % in 2013 and 2014. This NO_x emission decrease was also noticed by Q. Zhang et al. (2009) in the INTEX-B inventory and likely to be caused by the reduced industrial activities during the Spring Festival. Interestingly, we do not see an increase of NO_x emissions in the December 2013 smog period. This shows that the smog is caused by the meteorological conditions rather than increased emissions.

Figure 10 shows a large reduction of NO_x emissions in September 2014. This reduction is caused by the air quality regulations taken by the local government to reduce air pollutants during the YOG period. The total NO_x emissions in September in Nanjing are 4.5 GgN. Compared to the same time of the year 2013, the reduction is about 25 %. However, the emission reduction in this case seems to have a delay of one month. This is a consequence of the lack of satellite observations due to the rainy (and therefore cloudy) weather in the second half of August 2014 when the YOG took place. For this kind of conditions, DECSO only detects the full extent of the emission reduction in September. We also see a NO_x emission reduction of 10 % in August 2013, compared to the neighboring months. One likely reason for this reduction is that the Asian Youth Games were held during that time. The local government also took measures to ensure good air quality for that event but not as strict as for the YOG in 2014. We conclude that the NO_x emission reduction detected by DECSO for the YOG period was at least 25 %, showing that the air quality regulations taken by the local government were effective.

5 Discussion and conclusions

In this study the effect of the air quality regulations of the local government during the YOG in Nanjing in 2014 has been quantified by analyzing observations on the ground and from the satellite. The focus in this study was on the reduced NO_2 concentrations and NO_x emissions. We compared NO_2 during the YOG period with previous years using the in-situ and the OMI satellite observations. The in-situ observations are very

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covers on average a larger area than a single grid cell. To achieve emission estimates in a smaller area, either satellite observations with a higher spatial resolution are required, or longer time periods should be considered.

The quality of our emission estimates is highly related to the quality of the model and the satellite observations. We improved the DECSO algorithm by using a new version of the CTM: CHIMERE v2013 instead of CHIMERE v2006. The comparison of OmF between two models showed that CHIMERE v2013 has a better performance in summer-time. Good quality of satellite observations is also essential for emission estimates. The DOMINO retrieval algorithm does not properly account for the effects of high aerosol concentrations, which are common in China, on the retrieved NO₂ columns. In case of high aerosol concentrations, the difference of the model simulations and the retrievals is very large, which leads to wrong updates of NO_x emission in DECSO. To improve the satellite observations we have set an OmF criterion to filter out erroneous observations and to avoid unrealistic NO_x emission updates. We set the limitation to the range -5 to 10 × 10¹⁵ molecules cm⁻² for the OmF. With this filter criterion, the unrealistic updates of NO_x emissions are mostly prevented. We will further analysis the impact of high aerosol concentrations on the retrieved NO₂ columns in future research.

Furthermore, we observed an opposite seasonal cycle of NO_x emissions compared to the NO₂ concentrations observed by OMI satellite. The seasonal cycle of NO_x emissions is not the same for the whole China domain since the different climate in the North and the South of China leads to a different variability of energy consumption during the year. In Nanjing, as in most parts of Southern China, people use air conditioning in summer and do not use heating systems in winter. This leads to larger electricity consumptions of power plants in summer causing higher NO_x emissions. Tu et al. (2007) studied the air pollutants in Nanjing and also found high NO₂ concentrations in winter but concluded that the high NO₂ concentrations were caused by high NO_x emissions in winter, while our emission estimates show the opposite. Wang et al. (2007) analyzed the seasonality of NO_x emissions based on GOME satellite observations for the regions north and south of Yangtze River, defined as north and south China. Their re-

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sults of south China showed the same seasonal cycle of NO₂ columns but a very weak seasonality of NO_x emissions and they also concluded that the NO_x lifetime mainly determines the NO₂ columns.

In conclusion, in the emission estimates we not only found a reversed seasonal cycle peaking in summertime, but also indications for reduced emissions during the Spring Festival, the Asian Youth Games in 2013 and the YOG 2014. Based on our emission estimates the air quality regulation during the YOG 2014 reduced the NO_x emissions with at least 25 %. This, together with favorable meteorological conditions, was responsible for the decrease of 32 % in NO₂ column concentrations observed from space. For the case of the YOG, our results can help the local government to identify the impact of their air quality regulations on reducing NO_x emissions.

Acknowledgements. The research was part of the GlobEmission Project funded and supported by the European Space Agency. We acknowledge Tsinghua University for providing the MEIC inventory and the ESA GlobCover 2009 Project for the land use dataset. The MODIS images used in this study were acquired as part of the NASA's Earth-Sun System Division and archived and distributed by the MODIS Adaptive Processing System (MODAPS). The OMI is part of the NASA Earth Observing System (EOS) Aura satellite payload. The OMI project is managed by the Netherlands Space Office (NSO) and the Royal Netherlands Meteorological Institute (KNMI).

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Table 1. Air quality regulations taken by the Nanjing authorities in the year of YOG2014.

Period	Regulations
1 May–30 Jun	The local government started to shut down the coal-burning factories.
1 Jul–15 Jul	All coal-burning factories have been shut down.
16–31 Jul	The work on one third of construction sites was stopped. The parking fees in downtown increased sevenfold.
1–15 Aug	The work on 2000 construction sites was stopped. Heavy-industry factories reduced manufacturing by 20 %. Vehicles with high emissions were banned from the city. Open space barbecue restaurants were closed. 900 electric buses and 500 taxis have been put into operation.
16–31 Aug	The work at all construction sites was put on hold.

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Table 2. Redistribution of MEIC sectors over SNAP 97 sectors.

SNAP 97 sectors	MEIC sectors	Power	Industry	Transport	Residential	Agriculture
Combustion in energy and transformation industries	1	–	–	–	–	–
Non-industrial combustion plants	–	–	–	–	1	–
Combustion in manufacturing industry	–	0.3	–	–	–	–
Production process	–	0.3	–	–	–	–
Extraction and distribution of fossil fuels and geothermal energy	–	0.4	–	–	–	–
Solvent and other product use	–	–	–	–	–	–
Road transport	–	–	–	1	–	–
Other mobile sources and machinery	–	–	–	–	–	–
Waste treatment and disposal	–	–	–	–	–	–
Agriculture	–	–	–	–	–	1
Other source and sinks	–	–	–	–	–	–

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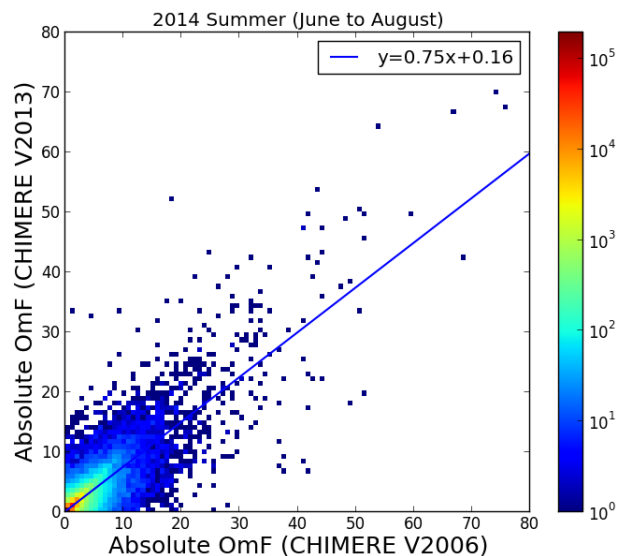


Figure 2. The comparison of the absolute OmF (10^{15} molecules cm^{-2}) of CHIMERE v2006 and CHIMERE v2013.

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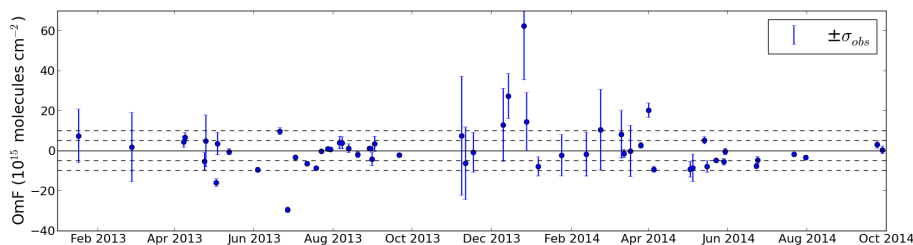


Figure 3. The time series of the OmF from January 2013 to September 2014. The error bar is the root mean square error of observations (σ_{obs}).

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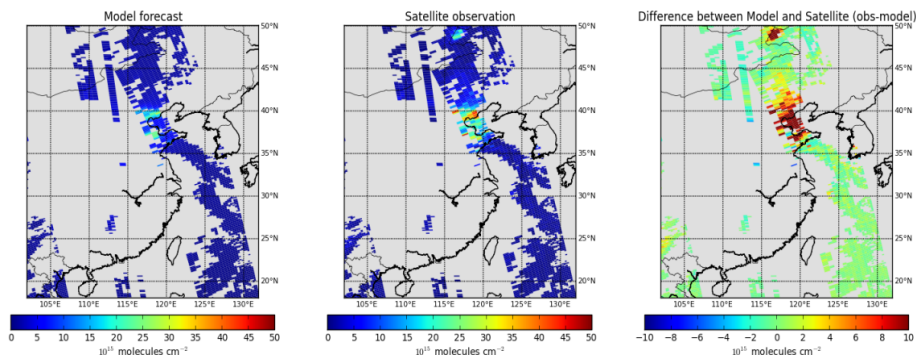


Figure 4. The comparison of the CHIMERE v2013 forecast (left) with OMI satellite observations (middle) on 6 May 2013. The right plot shows the difference between observations and forecast (OmF).

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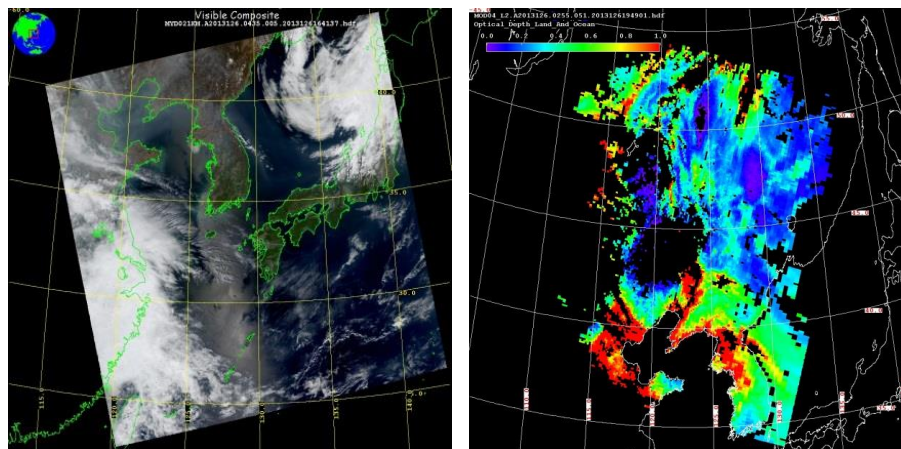


Figure 5. The RGB image (left) and Aerosol Optical Depth (right) from MODIS on 6 May 2013.

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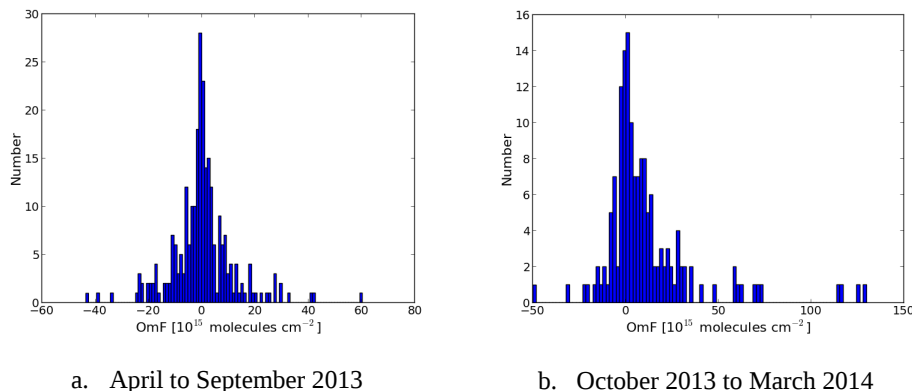


Figure 6. The distribution of the OmF values over 15 polluted cities in summer **(a)** and in winter **(b)**. The 15 polluted cities are Baoding, Beijing, Chengdu, Harbin, Hohhot, Guangzhou, Jinan, Shanghai, Shenyang, Shijiazhuang, Tianjin, Wuhan, Xi'an, Xingtai and Zhengzhou.

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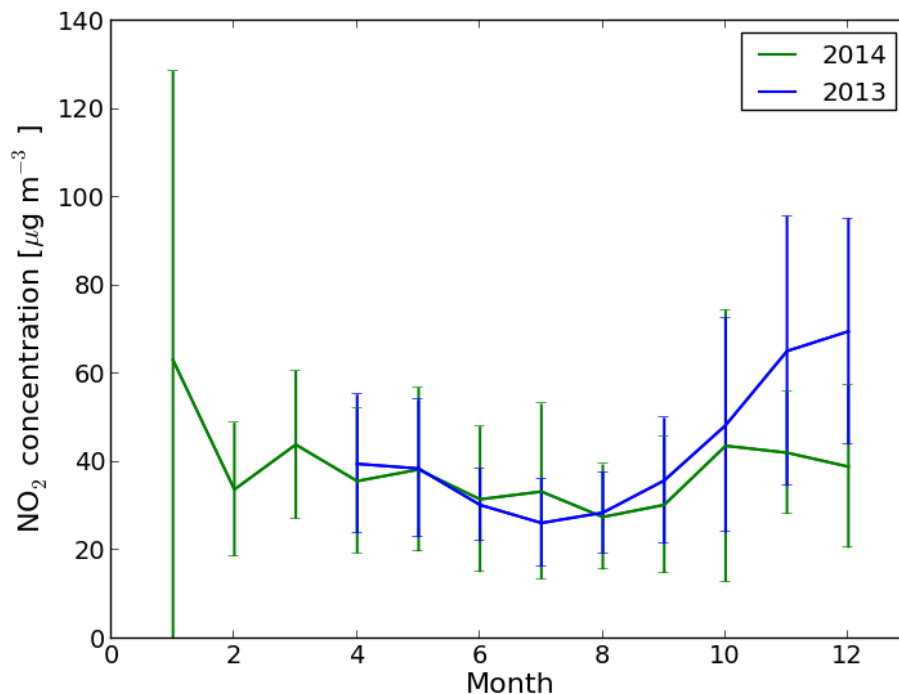


Figure 7. The monthly averaged in-situ NO₂ concentration at 13 local time in Nanjing for 2013 and 2014. The error bar is the SD (natural variability) of the observations for each month (derived from the daily data on www.aqicn.org).

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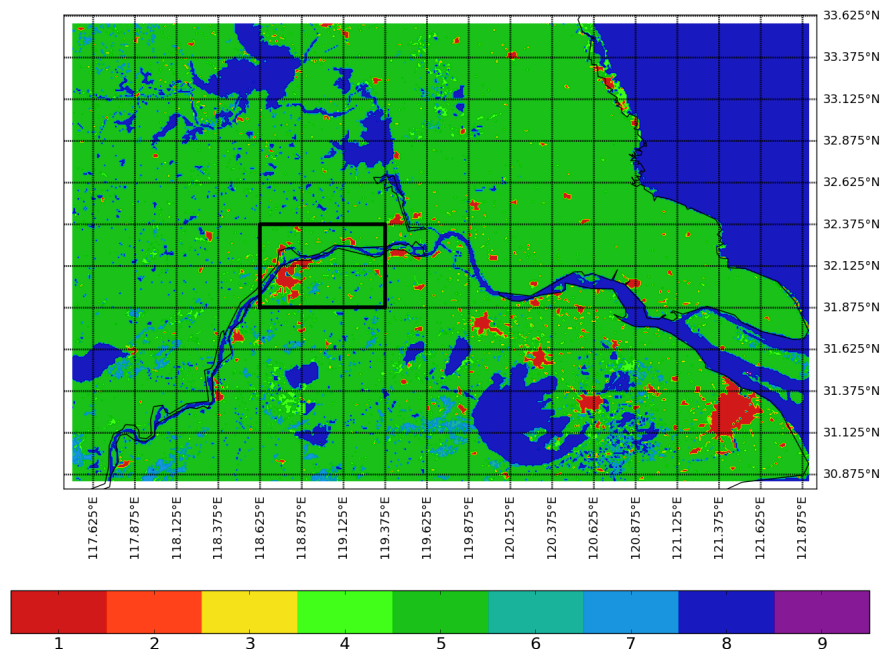


Figure 8. Land use over the Jiangsu Province as used in CHIMERE v2013. The 9 categories are: 1. Urban, 2. Barren land, 3. Grassland, 4. Agricultural land, 5. Shrubs, 6. Needleleaf forest, 7. Broadleaf forest, 8. Ocean, 9. Inland water. The solid rectangle indicates the 6 grid cells that cover the Nanjing area.

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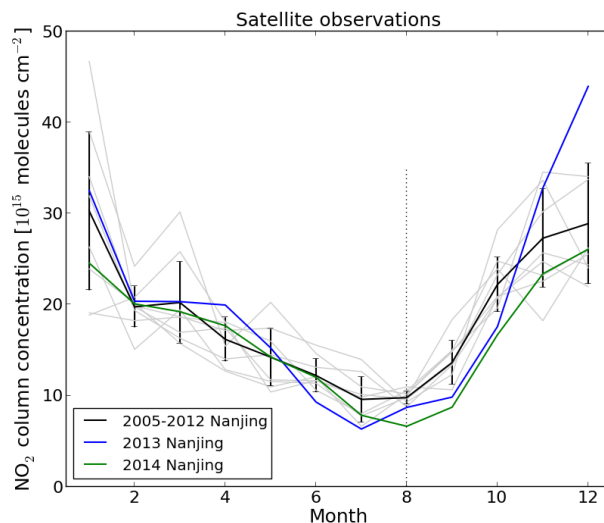


Figure 9. The monthly averages of OMI satellite observations of tropospheric NO₂ concentrations. The solid lines are the measurements over the Nanjing area. The grey lines are the monthly averages for each year from 2005 to 2012 to indicate the annual variability. The black lines show the average value for the years from 2005 to 2012. The errorbars are the SD of monthly NO₂ observations from 2005 to 2012.

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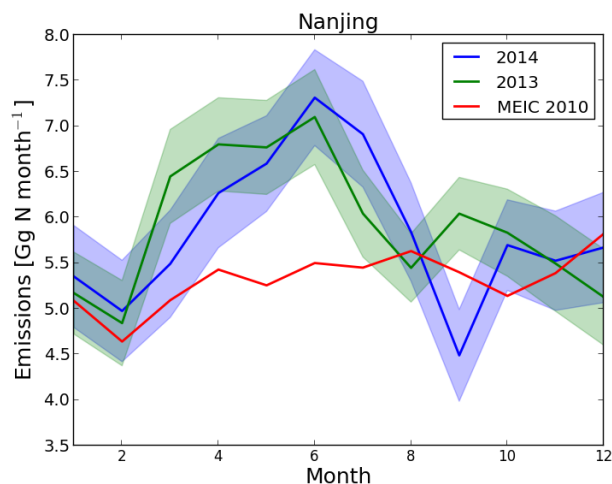


Figure 10. The monthly NO_x emission estimates by DECSO in Nanjing for 2013 (green line) and 2014 (blue line) and the monthly NO_x emission of the MEIC inventory of 2010 (red line). The shade areas show the error of the mean NO_x emission estimates from DECSO.

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