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## New data analysis methods for instrumented medium-scale monopile field tests

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The PISA Joint Industry Research Project was concerned with the development of improved design methods for monopile foundations in offshore wind applications. PISA involved large-scale pile tests in overconsolidated glacial till at Cowden, north-east England, and in dense, normally consolidated marine sand at Dunkirk, northern France. This paper describes the experimental set-up for pile testing, with unique features of load-application mechanisms and built-in fibre optic strain gauges. New procedures are described for the interpretation of pile loading data, and specifically for providing precise interpretation of pile displacements.

**KEYWORDS:** design; field instrumentation; model tests; offshore engineering; piles & piling; soil/structure interaction

### INTRODUCTION

A field testing campaign has been completed, as part of the PISA (Pile–Soil Analysis) project, to investigate the response of monopile foundations subjected to monotonic and cyclic lateral loads (Byrne *et al.*, 2015, 2017; Zdravković *et al.*, 2019a). The test conditions and pile dimensions were intended to be representative (albeit at reduced scale) of design conditions for monopile foundations for offshore wind turbines in the North Sea. Tests were conducted at two onshore sites: an overconsolidated clay till site at Cowden in the UK (Byrne *et al.*, 2019) and a dense/medium dense sand site at Dunkirk in France (McAdam *et al.*, 2019).

The test piles were instrumented with inclinometers, strain gauges, displacement transducers and a load cell. This paper describes new procedures developed to process the raw data (a) accounting for measurement errors, and (b) exploiting redundancy in the measurements. Some details are also given here of further aspects of pile performance (e.g. distribution of bending moment) used in later papers (Byrne *et al.*, 2019; McAdam *et al.*, 2019) for further analysis. The analysis procedures are demonstrated for one of the piles tested at Cowden: a pile with diameter  $D = 0.762$  m and embedded length-to-diameter ratio,  $L/D$ , of 10.

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### PILE TESTING SET-UP

#### Test specification

In specifying the test programme to investigate the lateral response of stiff piles, a key parameter for investigation of monopile–soil interaction was the pile length-to-diameter ratio ( $L/D$ ). This was selected to vary between about 3 and 10, as typically employed for offshore wind turbine foundations. Three pile diameters were selected, designated as small ( $D = 0.273$  m), medium ( $D = 0.762$  m) and large ( $D = 2.0$  m). At Cowden, as elaborated in the paper by Zdravković *et al.* (2019a), the presence of a sand layer at a depth of approximately 12 m imposed a constraint on the pile length, and a maximum pile length,  $L$ , of about 10.5 m was therefore adopted at both sites. The selection of pile wall thickness,  $t$ , was an important aspect of the pile design process. The pile wall thickness needed to be small, to maximise the resolution from the strain gauges, but sufficiently large to ensure that yield of the pile wall did not occur – that is, that failure would occur in the soil before yielding in the pile. This ensured that, in subsequent analysis of the test data, it could be assumed that the pile remained elastic. The appropriate wall thicknesses were determined from three-dimensional (3D) finite-element analyses of each test pile (Zdravković *et al.*, 2015).

The programme of tests was designed so that the same pile geometries, loading systems, instrumentation and data collection protocols could be employed at both sites. The medium- and large-diameter piles were installed in a two-stage process, with an initial vibration stage used to embed piles to a stable depth (1.0 m to 1.5 m), followed by pile driving with a hydraulic hammer until the target embedment was reached. In contrast, the small-diameter piles were simply vibrated to the target embedment.

In total, 14 steel, open-ended test piles were installed at each site, in the layouts shown in Fig. 1 and with geometric characteristics summarised in Table 1. A key issue in the design of the test programme was the appropriate spacing between the test piles. This was particularly important for the large-diameter piles, which were loaded by reacting the two piles against each other; if the spacing was too small then interaction effects may have become significant. Increasing the spacing, however, would increase the cost and complexity of the loading frame required. To address this issue, initial 3D finite-element analyses were conducted to determine the

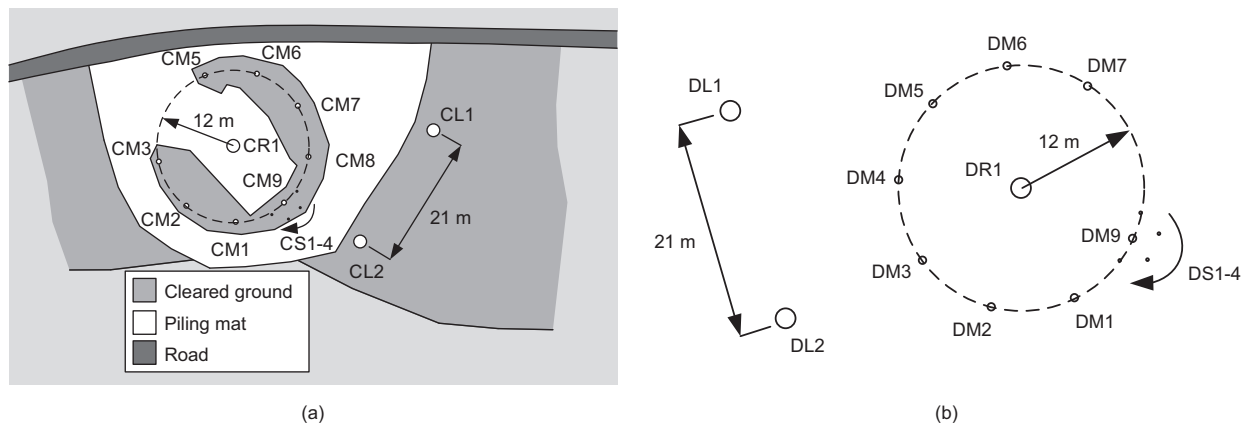


Fig. 1. Pile layout: (a) Cowden; (b) Dunkirk

Table 1. Test pile geometries and loading

Test	Type	$D$ : m	$L$ : m	$L/D$	$t$ : mm	$h$ : m	$h/D$	Below-ground instruments	Piezometers
CS1	rate	0.273	1.43	5.25	7	5.0	18.32		
CS2	mon	0.273	1.43	5.25	7	5.0	18.32		
CS3	mon	0.273	2.18	8	7	5.0	18.32		
CS4	mon	0.273	2.73	10	7	5.0	18.32		
CM8	mon	0.762	2.27	2.98	10	9.92	13.02		
CM2	mon	0.762	2.24	2.93	10	10.06	13.20	*	
CM9	mon	0.762	3.98	5.23	11	9.98	13.10		
CM6	mon/cyc	0.762	4.01	5.26	11	9.98	13.10	*	*
CM5	1w-cyc	0.762	4.00	5.25	11	10.00	13.12	*	*
CM7	2w-cyc	0.762	3.98	5.23	11	10.00	13.12	*	
CM1	mon/rate	0.762	3.98	5.23	15	9.98	13.10	*	
CM3	mon	0.762	7.59	9.96	25	10.01	13.14	*	
CL1	mon/cyc	2	10.35	5.17	25	10.10	5.05	*	*
CL2	mon/cyc	2	10.60	5.30	25	10.10	5.05	*	*
DS1	mon	0.273	1.43	5.25	7	5.0	18.32		
DS2	rate	0.273	1.43	5.25	7	5.0	18.32		
DS3	mon	0.273	2.18	8	7	5.0	18.32		
DS4	mon	0.273	2.73	10	7	5.0	18.32		
DM5	mon	0.762	2.27	2.98	10	9.99	13.11		
DM7	mon	0.762	2.24	2.94	10	10.00	13.12	*	
DM9	mon	0.762	3.96	5.20	14	10.00	13.12		
DM4	mon	0.762	3.98	5.23	14	9.98	13.10	*	
DM2	1w-cyc/mon	0.762	3.99	5.24	14	10.00	13.12	*	
DM1	2w-cyc	0.762	3.97	5.21	14	10.02	13.15	*	
DM6	mon/rate	0.762	3.99	5.23	19	10.00	13.12	*	
DM3	mon	0.762	6.02	7.90	25	10.06	13.20	*	
DL1	mon/cyc	2	10.61	5.30	38	9.90	4.95	*	
DL2	mon/cyc	2	10.57	5.29	38	9.89	4.95	*	

Notes: C, Cowden; D, Dunkirk; S, small diameter (0.273 m); M, medium diameter (0.762 m); L, large diameter (2.0 m); 1w-cyc, one-way cyclic loading; 2w-cyc, symmetric two-way cyclic loading; mon, monotonic loading. The symbol \* in the table indicates the incorporation of instruments/piezometers in the test pile.

likely ground movements developed during the tests (Zdravković *et al.*, 2015). These results indicated that predicted lateral ground movements reduce significantly with radial distance from the pile, and that they become negligibly small beyond a distance of about five pile diameters. A standard minimum spacing of  $10D$  for all of the test piles was therefore adopted as sufficient to minimise any pile/pile interaction effects. This approach is consistent with, for example, the model pile tests in clay described by Chandrasekaran *et al.* (2009), in which pile/pile interactions in a two-pile group in terms of ultimate load were only observed for pile spacings of  $5D$  and less.

After the piles were installed, a vertical extension (referred to as a 'transition piece') was fixed to the pile head (Figs 2(b) and 2(c)), except for the small-diameter piles, which were a continuous tube, as shown in Fig. 2(a). The transition piece allowed the horizontal load,  $H$ , to be applied at an

elevation,  $h$ , above the ground surface, representing the typical wind or wave loading conditions on an offshore wind turbine structure. The load eccentricity,  $h$ , was 5 m for small-diameter piles and 10 m for the remaining piles. Although it introduced a significant complication to the testing, it was regarded as important that the load was applied above ground, reproducing realistically both horizontal force and moment loading on an offshore monopile. Lateral monotonic loading was applied by a hydraulic actuator connected to a reaction pile, as indicated in Fig. 1; similar configurations were employed for the cyclic tests.

Four small-diameter piles (CS and DS at Cowden and Dunkirk, respectively) were principally intended to provide a check on the instrumentation, loading system and test procedure, although they also provided information on scale effects. They were subjected only to monotonic lateral loading, with a medium-diameter pile (CM9 and DM9) acting as a

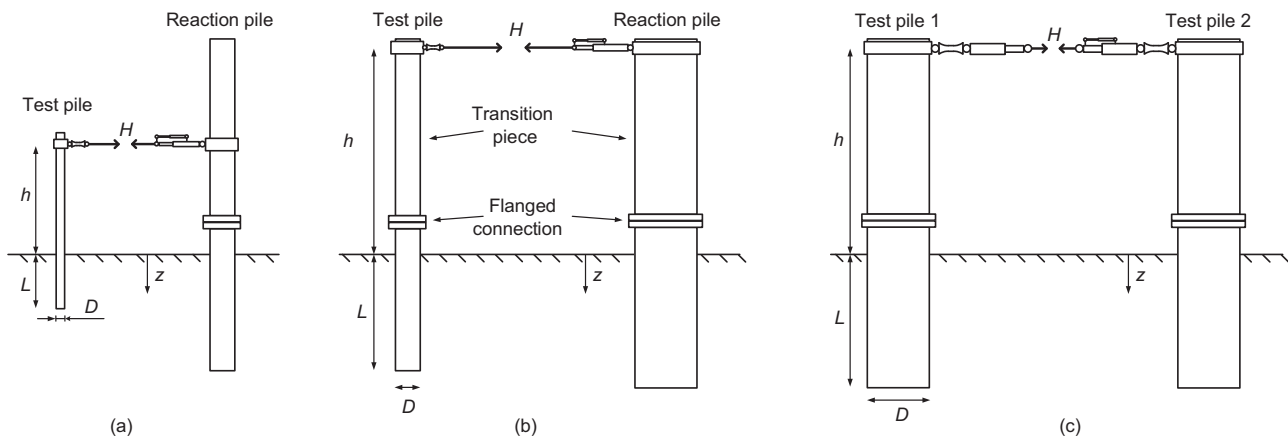


Fig. 2. Testing configuration for monotonic loading of the three pile diameters: (a)  $D = 0.273$  m; (b)  $D = 0.762$  m; (c)  $D = 2.0$  m

reaction pile, Fig. 2(a). Eight medium-diameter piles, arranged in a circular pattern and tested against the central 2 m diameter reaction pile (CR1 and DR1, Fig. 2(b)), were used to investigate a range of geometric parameters ( $L/D$ ;  $h/D$ ;  $t$ ) under monotonic lateral loading. Additionally, a small number of tests involved loading rate variation, unidirectional cyclic loading and bidirectional cyclic loading. Two large-diameter piles were reacted against each other, Fig. 2(c), and subjected to both monotonic and cyclic loading tests.

#### Instrumentation

Separate specifications were developed for the above- and the below-ground instrumentation, as indicated in Fig. 3 and Table 2. The above-ground instrumentation consisted of

- a full-bridge aluminium body load cell to record the applied lateral load  $H$
- microelectromechanical sensor (MEMS) inclinometers fixed to the transition piece or pile (indicated as ‘point inclinometer (PI)’ in Fig. 3)
- potentiometer displacement transducers (indicated as ‘DT’ on Fig. 3) attached to the ‘active’ and ‘passive’ pile faces, and fixed at the other end to an isolated reference frame system
- a displacement transducer mounted on the loading ram (not illustrated) to record the ram movement for the purpose of controlling the loading system.

The capacity and range of the load cells and the displacement transducers were selected individually for each pile test, based on 3D finite-element predictions of the pile response (Zdravković *et al.*, 2015, 2019b; Byrne *et al.*, 2017; Taborda *et al.*, 2019).

Most of the medium-diameter piles at each site, and all of the large-diameter piles, were additionally installed with below-ground instrumentation. Two medium-diameter piles at each site (CM8, CM9, DM5 and DM9, used to prove the load control system prior to the main test programme) were installed only with above-ground instruments. Below-ground instrumentation was required to measure the strains developed in the embedded pile wall and also the pile inclination, as follows.

- Fibre Bragg grating sensors were used to measure the strains in the pile wall. Continuous fibre optic sensing systems were considered, but these would not provide sufficient sampling rates for the planned testing. In

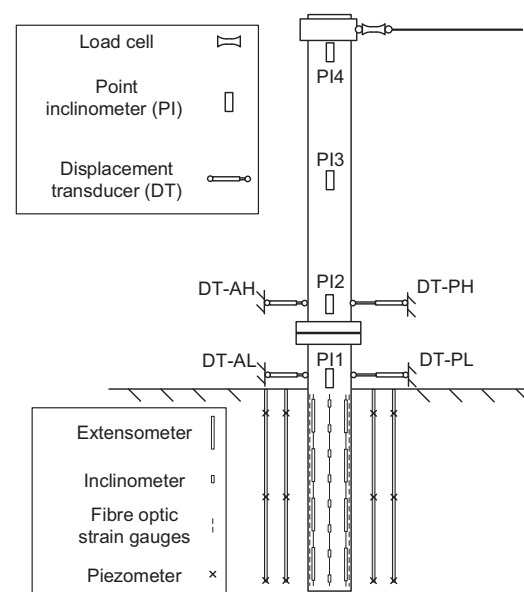


Fig. 3. Fully instrumented medium-diameter pile. Labels for displacement transducers correspond to passive low (PL), passive high (PH), active low (AL), active high (AH) and point inclinometers (PI1–PI4). Note that for some tests the upper displacement transducers were mounted below the flanged connection rather than as shown in figure

particular, the cyclic tests conducted during the PISA test programme required a sampling rate of 10 Hz, which was higher than the available sampling rates for continuous systems. Prior to pile installation, optical fibres containing the sensors were bonded into 5 mm square channels cut on the external face along the active and passive sides of the pile. The fibres were initially ‘pinned’ into the abraded and degreased channel with cyanoacrylate, to achieve a tensile pre-strain of 1500–2000  $\mu\epsilon$ , before being potted-in using an epoxy resin. A superficial covering of hot glue was faired to the pile outer circumference to prevent catching of the epoxy during driving.

- A separate strain measurement system, based on retrievable MEMS extensometers, was also employed to provide some redundancy in case of failure of the optical fibres. The MEMS extensometers were deployed by way of internal tubes welded to the passive and active faces of the pile, and were also used to deduce the below-ground pile inclination.

The general arrangement of the below-ground instrumentation is illustrated in Fig. 4. Steel 100 mm square, 5 mm wall thickness, C-channels were seam welded to the inside of the instrumented piles for fixing of the retrievable extensometer and inclinometer systems. The inclinometer casing was fixed within the channels using a standard grout. The extensometer casing was fixed within the channels using an epoxy-based Epicon Grout M, to provide sufficient structural fixity for strain transfer. The stiffness contributions of the steel channels were relatively small, but were taken into account in calculations of the pile section second moment of area for subsequent data-processing purposes. The reduction

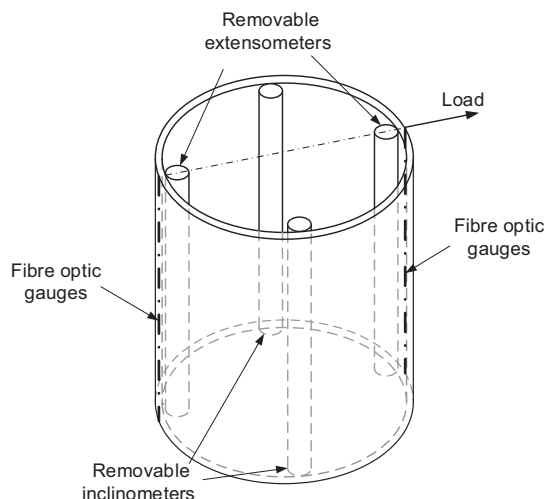


Fig. 4. Pile section featuring below-ground instrumentation

in section second moment of area due to the 5 mm square-cut fibre optic channels was considered to be negligible.

The load and displacement measurements were logged with 16-bit analogue-to-digital resolution using a Tiab controller, which provided the load and displacement feedback for the test control. The inclinometer and extensometer sensor measurements were logged using Campbell Scientific CR1000 data loggers with 13-bit analogue-to-digital resolution. The fibre Bragg grating strain measurements were logged using a Micron Optics SM130 interrogator, with a calculated repeatable stable strain measurement accuracy of 1.7  $\mu\epsilon$ . Further specification of the instrumentation is provided in Table 3.

Concerns initially existed as to whether the optical fibres would be damaged during the pile driving process. Previous testing (Doherty *et al.*, 2015) had indicated the possibility of successfully employing fibre Bragg grating sensors in driven piles. Nevertheless, the MEMS extensometers added system redundancy by providing additional strain data in case the optical fibres were damaged during pile installation. In the event, it was found that 31 of the 32 fibre optic strain gauge strings installed in the test piles survived the driving process with no significant loss of performance.

#### DATA LOGGING PROCEDURES

Measurement synchronisation was achieved by periodic pulse generation and a network time protocol, shared between the various data loggers. Several measures were taken to ensure that the risk of sensor drift was minimised. Tarpaulin covers were used to protect the displacement transducers and reference frame system from solar heating. Zero offset values for each instrument were taken immediately prior to the start of loading. The ambient temperature was monitored during the monotonic test period, which typically lasted 6–8 h. Temperature variations in all tests were

Table 2. Specification of instruments used on each pile

Pile	Date installed	Date tested	Load cell range: kN	Displacement transducer ranges: mm*	Average sensor spacing: m		
					Fibre optics	Inclinometer	Extensometer
CS1	06/12/14	16/01/15	125	75 (L)–100 (H)	—	—	—
CS2	06/12/14	22/01/15	125	75 (L)–100 (H)	—	—	—
CS3	06/12/14	28/01/15	125	75 (L)–100 (H)	—	—	—
CS4	06/12/14	20/01/15	125	75 (L)–100 (H)	—	—	—
CM8	14/10/14	16/02/15	1750	150 (L)–225 (H)	—	—	—
CM2	17/10/14	07/04/15	1750	150 (L)–225 (H)	0.50	0.44	0.92
CM9	17/10/14	12/02/15	1750	150 (L)–225 (H)	—	—	—
CM6	08/12/14	10/03/15	1750	150 (L)–225 (H)	0.50	0.45	0.87
CM5	17/10/14	05/03/15	125	50 (L)–225 (H)	0.50	0.45	0.87
CM7	05/12/14	02/03/15	125	10 (L)–75 (H)	0.50	0.45	0.87
CM1	09/12/14	21/07/15	1750	150 (L)–225 (H)	0.50	0.45	0.87
CM3	08/12/14	21/07/15	1750	150 (L)–225 (H)	0.50	0.60	0.89
CL1	06/12/14	16/01/15	4 × 1750	300 (L)–500 (H)	0.75	1.26	1.24
CL2	06/12/14	22/01/15	4 × 1750	300 (L)–500 (H)	0.75	1.26	1.24
DS1	08/11/14	22/04/15	125	75 (L)–100 (H)	—	—	—
DS2	08/11/14	25/04/15	125	75 (L)–100 (H)	—	—	—
DS3	08/11/14	23/04/15	125	75 (L)–100 (H)	—	—	—
DS4	08/11/14	24/04/15	125	75 (L)–100 (H)	—	—	—
DM5	10/11/14	08/05/15	1750	150 (L)–225 (H)	—	—	—
DM7	10/11/14	14/05/15	1750	150 (L)–225 (H)	0.50	0.44	0.92
DM9	10/11/14	30/04/15	1750	150 (L)–225 (H)	—	—	—
DM4	10/11/14	12/05/15	1750	150 (L)–225 (H)	0.50	0.45	0.87
DM2	10/11/14	05/06/15	125	50 (L)–225 (H)	0.50	0.45	0.87
DM1	06/11/14	03/06/15	125	10 (L)–75 (H)	0.50	0.45	0.87
DM6	14/11/14	20/06/15	1750	150 (L)–225 (H)	0.50	0.45	0.87
DM3	13/11/14	20/06/15	1750	150 (L)–225 (H)	0.50	0.47	0.92
DL1	08/11/14	22/04/15	4 × 1750	300 (L)–500 (H)	0.75	1.26	1.24
DL2	08/11/14	25/04/15	4 × 1750	300 (L)–500 (H)	0.75	1.26	1.24

\*L signifies lower transducers and H signifies higher transducers.

**Table 3. Specification of instruments**

Sensor type	Manufacturer	Model	Range	Logger
Load cell	Applied Measurements Ltd	Load Link ETW	125 and 1750 kN	Tiab
Displacement transducer	Penny & Giles	MLS130	150 mm	Tiab
Displacement transducer	Novotechnik	LWG	10, 50, 75, 100, 225, 300 and 500 mm	Tiab
Inclinometer	Geokon	6150	$\pm 15^\circ$	CR1000
Extensometer	Geokon	A-9	$\pm 12.5$ mm	CR1000
Fibre Bragg grating	Micron Optics	—	—	Micron Optics SM130

assessed to be small, and therefore no temperature compensation was applied. Although a small number of temperature-sensitive fibre Bragg gratings were included in the fibre optic system for possible temperature compensation purposes, some strain sensitivity was observed in these sensors. They could not, therefore, be reliably used for temperature compensation. However, data taken from these temperature-sensitive fibre Bragg gratings, logged during inactive periods, indicated, as expected, that temperature variations in the embedded pile were negligible.

### RAW DATA PROCESSING PROCEDURES

#### Ground-level displacement and rotation

It is necessary to select the location of a well-defined reference point on the pile to facilitate plotting the data and comparison with results obtained from numerical analyses. Consistent with other authors (e.g. Parker & Reese, 1970; Reese *et al.*, 1975), a ground-level reference point is adopted in the current work. However, direct measurements of ground-level displacement  $v_G$  and ground-level rotation  $\theta_G$  were not made during the tests (because the instrumentation could not be located precisely at ground level). A process was therefore developed to infer  $v_G$  and  $\theta_G$  from the field measurements, in a consistent manner for all tests, exploiting redundancy in the data when available. This process is described below, and illustrated using monotonic loading data from one of the Cowden medium-diameter test piles (CM3,  $L/D = 10$ ,  $t = 25$  mm) as an example (Byrne *et al.*, 2019).

Data from the displacement transducers, load cell and inclinometer P11 for a monotonic test on pile CM3 are shown in Fig. 5. These data present a broadly consistent picture (typical of all of the pile test data) with both the lower pair of transducers (DT-AL and DT-PL) and the upper pair (DT-AH and DT-PH) providing consistent measurements.

Three approaches were investigated to infer  $v_G$  and  $\theta_G$  from these data, as follows.

- Approach A – simplistically assume that  $v_G = v_{DT-PL}$  (where  $v_{DT-PL}$  is the displacement recorded by DT-PL) and  $\theta_G = \theta_{P11}$  (where  $\theta_{P11}$  is the rotation recorded by inclinometer P11).
- Approach B – assume that  $v_G$  and  $\theta_G$  are determined from the displacement transducer readings, assuming that the portion of the pile between the ground and the upper transducer is rigid.
- Approach C – adopt a more detailed approach in which data from the above-ground instrumentation are used to determine a structural model for the above-ground structure. This model is then used to infer  $v_G$  and  $\theta_G$ .

Approaches A and B are implemented, straightforwardly, using the measured data. In approach C, the above-ground structure (pile, flanged connection and transition piece) is modelled as three separate Timoshenko beam sections, see

Fig. 6. The bending moment,  $M$  (a positive moment is associated with tension on the left side of the structure), is

$$M = -EI \frac{d\psi}{dz} \quad (1)$$

where  $EI$  is the local flexural stiffness and  $\psi$  is the (clockwise) rotation of the column cross-section. The (clockwise positive) rotation of the neutral axis of the structure,  $\theta = -(dv/dz)$  where  $v$  is the lateral displacement, is

$$\theta = \psi + \gamma_{xz} \quad (2)$$

where  $\gamma_{xz}$  is the shear strain, assumed in Timoshenko theory to be uniform across the cross-section and given by

$$\gamma_{xz} = \frac{S}{\kappa AG} \quad (3)$$

where  $S$  is the shear force and  $\kappa AG$  is the local shear stiffness (where  $\kappa$  is a shear factor taken to be 0.3). It is assumed that  $E = 210$  GPa and  $G = 80.77$  GPa. The flexural stiffness and cross-sectional area of the pile and transition piece ( $EI_P$ ,  $A_P$ ,  $EI_{TP}$  and  $A_{TP}$ , respectively) were determined from the section properties. To acknowledge the complex behaviour of the flanged connection, values of flexural stiffness and cross-sectional area for the flanged connection ( $EI_F$  and  $A_F$  respectively) were determined from the measured data using a best-fit process, such that common values of  $EI_F$  and  $A_F$  were used for the flanged connections employed for all of the medium- and large-diameter pile tests.

The bending moment,  $M$ , and shear force,  $S$ , induced in the above-ground structure are

$$M = H(h + z) \quad (4)$$

$$S = \frac{dM}{dz} = H \quad (5)$$

For a uniform section, these equations are integrated to give

$$\theta = -\frac{H}{EI} \left( hz + \frac{z^2}{2} \right) + \frac{H}{\kappa AG} + a \quad (6)$$

$$v = \frac{H}{EI} \left( \frac{hz^2}{2} + \frac{z^3}{6} \right) - \left( \frac{H}{\kappa AG} + a \right) z + b \quad (7)$$

where  $a$  and  $b$  are parameters to be determined.

Since the above-ground structure is modelled as three separate beams, in total six parameters need to be found. These parameters are determined as follows.

- The lateral displacement and cross-section rotation at the flanged connection/pile and flanged connection/transition piece junctions are assumed to be continuous.
- The measured inclination at location P11 and lateral displacement (average of DT-AH and DT-PH, and



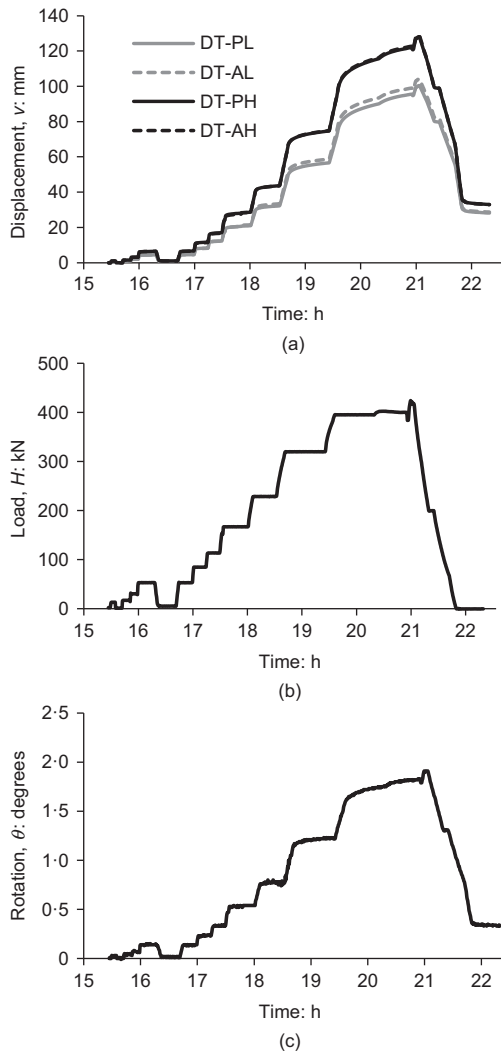


Fig. 5. Time series of measured quantities for pile CM3: (a) displacement transducers; (b) load cell; (c) PI1 inclinometer

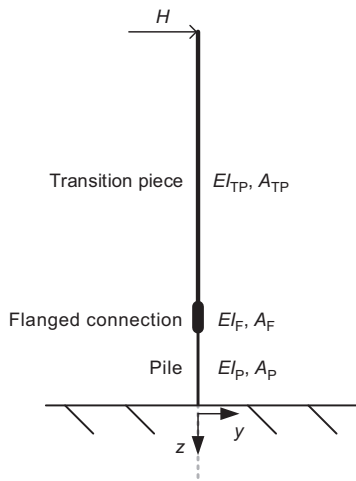


Fig. 6. Timoshenko beam model for the above-ground structure

average of DT-AL and DT-PL) at the two displacement transducer elevations are equated to the corresponding values from the structural model.

The above equations form an over-determined set; they are solved to determine the six model parameters in a weighted

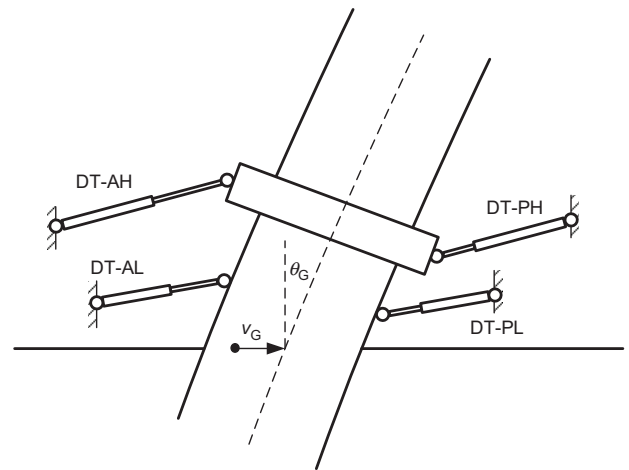


Fig. 7. Pile and transducer rotation (shown exaggerated)

least-squares sense, taking  $H$  to be the value of lateral load indicated by the load cell.

It should be noted that data from the other above-ground inclinometers PI2, PI3 and PI4 were not used to determine the structural model; instead these data were used to check its predictive capabilities.

Since the displacement transducers are pinned to both the pile and the isolated reference frame, the instruments will rotate during loading (Fig. 7). To account for this, an iterative approach was adopted in which the lengths of the displacement transducers, accounting for the rotation of the pile, are computed from the current least-squares solution. The solution was iterated until the computed displacement transducer lengths converged to their measured values (within an appropriate tolerance). The ground-level displacement  $v_G$  and rotation  $\theta_G$  are determined from this converged solution.

To implement this process, it was necessary to smooth the inclinometer data. This was achieved using a zero phase digital filter, applying a Gaussian window of 41 samples width in both the forward and reverse filtering directions.

In formulating the least-squares problem, weighting factors need to be applied to the errors associated with the displacement transducer and inclinometer measurements, to ensure that each data set has an appropriate influence on the solution. It was decided that the weight given to the inclinometer data should be broadly comparable to that of the displacement transducer data at each level. Furthermore, the weighting factors are used to normalise the data, by assuming characteristic values of displacement and rotation of  $v_G = 0.1D$  and  $\theta_G = 2^\circ$ . The weighting factors applied to the inclinometer data ( $w_{PI}$ ) and displacement transducer data ( $w_{DTj}$ ,  $j = 1, \dots, 4$ ) using this approach are

$$w_{PI} = \frac{1}{2^\circ} \tag{8}$$

$$w_{DTj} = \frac{1}{2(0.1D - z_{DTj} \tan 2^\circ)} \tag{9}$$

where  $z_{DTj}$  is the vertical coordinate of the transducer location. Note that the factor of 2 in the denominator of equation (9) reflects the fact that the pair of displacement transducers at each height is weighted equally with the inclinometer data.

Data obtained using approaches A, B and C are shown in Fig. 8. The three methods give similar results for  $v_G$ . The calculated values of  $\theta_G$ , however (Fig. 8(b)), indicate a dependency on the processing method. Method C is regarded as providing the most robust approach, since it includes redundant above-ground measurements. Also, in cases where the

data are incomplete (e.g. due to instrument breakdown) the interpretation approach can be modified to allow values of  $v_G$  and  $\theta_G$  to be systematically determined, albeit with reduced certainty. Method C was therefore preferred over the other two, simpler, methods to process the data from all of the PISA field tests. Example load–displacement and load–rotation responses (determined using approach C to compute  $v_G$  and  $\theta_G$ ) for pile CM3 are shown in Fig. 9.

A comparison between the above-ground displacements and rotations implied by approach C, at four values of  $H$ , are shown in Fig. 10. The good agreement between the structural model and the inclinometer data (not used to calibrate the model) provides further confidence in the consistency of the measured data and the robustness of approach C. A similar degree of consistency was observed in all of the other tests.

The tests were all conducted with the external loading applied in a single plane. Out-of-plane pile deformations

were not expected, or measured. Any out-of-plane deformations that did occur may have affected the inclinometer measurements. However, the excellent consistency between the above-ground displacements and rotations inferred from approach C and the measured data suggests an absence of significant errors due to possible out-of-plane pile deformations during the tests.

*Embedded instrument consistency*

Figure 11 shows measured pile rotation data from the embedded inclinometers and the above-ground inclinometers at selected instants during the monotonic test on pile CM3. The results indicate a consistent measurement of inclination between the above-ground and embedded instruments.

Measurements of strain in the embedded section of the pile from the extensometers and fibre Bragg grating strain gauges

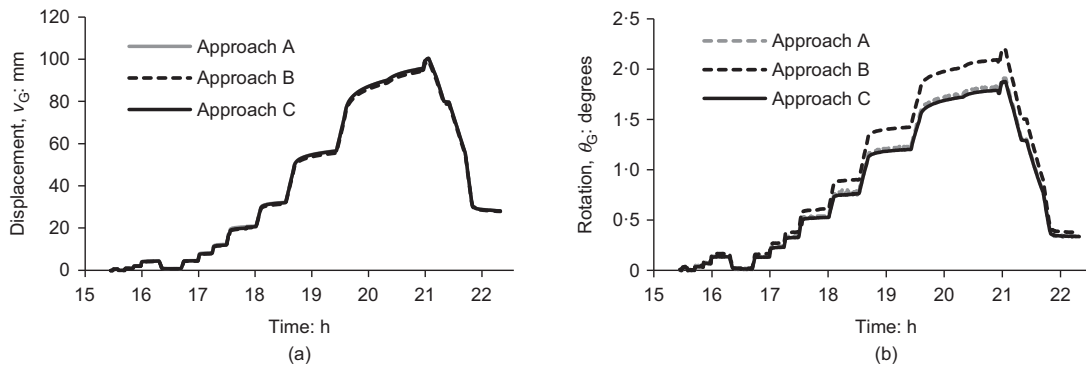


Fig. 8. Computed data on ground-level displacement and rotation for pile CM3 using approaches A, B and C: (a) displacement,  $v_G$ ; (b) rotation,  $\theta_G$

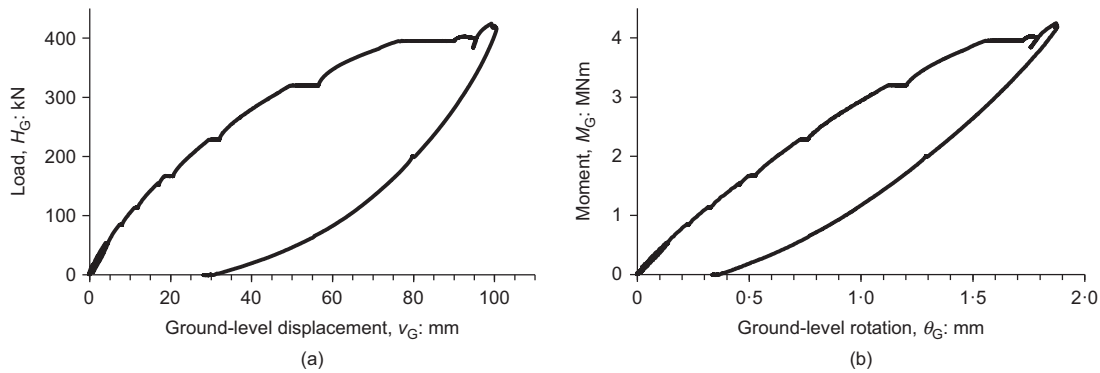


Fig. 9. Load–displacement and moment–displacement response for pile CM3 where  $H_G$  is the ground-level shear force ( $H_G = H$ ) and  $M_G$  is the ground-level bending moment ( $M_G = Hh$ ): (a) load–displacement; (b) moment–rotation

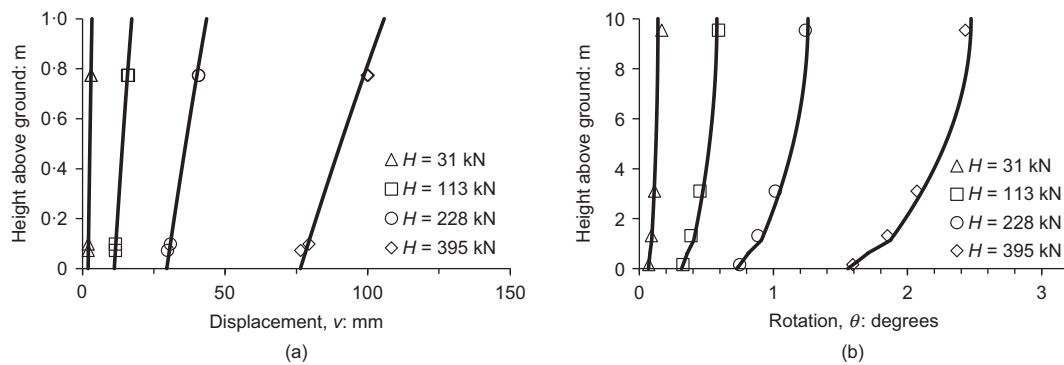


Fig. 10. Comparison between the optimised structural model (approach C) and the measured displacements and rotations for pile CM3: (a) displacement; (b) rotation



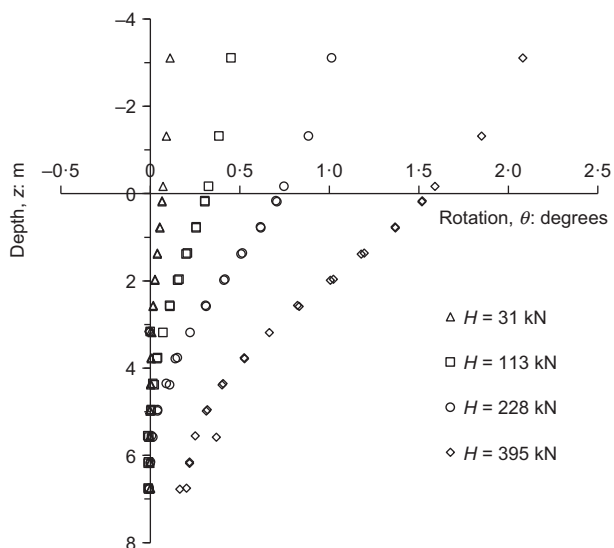


Fig. 11. Rotation profiles obtained from inclinometer measurements on pile CM3

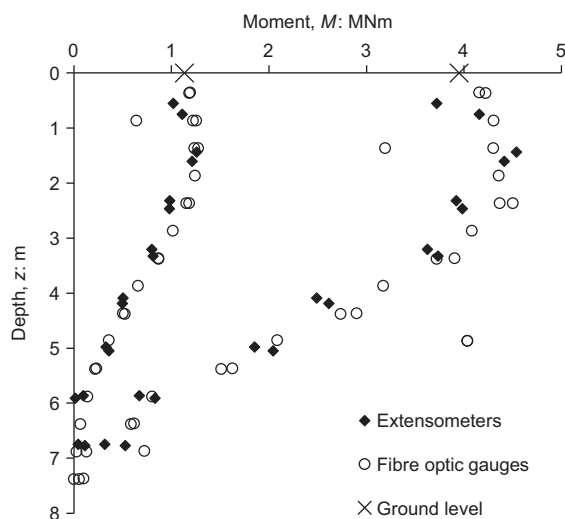


Fig. 12. Bending moments, deduced from the pile instrumentation, for pile CM3 at  $H=113$  kN and 395 kN

were used to compute the local bending moment. Fig. 12 shows these inferred bending moments for two selected values of  $H$ . The fibre Bragg grating strain gauges provide a high-resolution bending moment profile, consistent with the ground-level moment determined from  $M_G = Hh$ , where  $H$  is the load cell measurement. Experience gained from the tests suggests that the fibre Bragg grating strain gauge data were more reliable than the extensometer measurements. Although the extensometer data add some value (e.g. in providing redundancy), they were not systematically used in any subsequent data processing.

## CONCLUSIONS

Key novel features in the PISA project testing set-up include the following.

- An elevated lateral loading mechanism: this allows a realistic application of combined horizontal load and overturning moment to the pile.
- Built-in fibre optic sensors along the pile wall: these mark a step-change in this type of field testing in terms

of the quantity and quality of data from which to interpret more completely the mechanisms of pile–soil interaction. The successful use of fibre Bragg grating strain gauges installed in the pile wall prior to installation has been demonstrated. Despite initial fears that the optical fibres would become damaged during pile installation, the gauges provided a robust and successful means of recording the below-ground strains in the pile.

A new procedure for the determination of ground-level displacement and rotation from instrumented laterally loaded pile tests has been outlined and demonstrated. Procedures were developed to confirm the consistency of data from the instruments employed in the PISA test piles.

The methods outlined here were employed to process all of the field data obtained during the PISA project (Byrne *et al.*, 2019; McAdam *et al.*, 2019).

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## NOTATION

$A$	cross-sectional area
$a, b$	coefficients in solution for lateral displacement
$D$	pile diameter
$EI$	pile flexural stiffness
$H$	applied lateral load
$H_G$	shear force in pile at ground level
$h$	height of point of application of lateral load above ground level
$L$	pile embedded length
$M$	bending moment in pile
$M_G$	moment at ground level, $M_G = Hh$
$S$	shear force
$t$	pile wall thickness
$v$	horizontal displacement of pile
$v_G$	horizontal displacement of pile at ground level
$w$	weighting factors
$z$	depth below ground surface ( $-z$ represents elevation above ground)
$\gamma_{xz}$	shear strain in pile
$\theta$	rotation of pile neutral axis
$\theta_G$	rotation of pile neutral axis at ground level
$\kappa AG$	shear stiffness
$\psi$	rotation of pile cross-section

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