

# 2D Skeleton-Based Medical Temporal Segmentation

The effect of limited supervision approaches in  
2D skeleton based temporal segmentation of  
medical procedures

by

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# Summary

Temporal segmentation of medical procedures holds the potential to improve patient safety, provide decision support to clinicians, and serve as the basis for context-aware robotic assistance systems. However, clinical adoption remains hindered by two key challenges: the scarcity of annotated data and limited generalizability across diverse surgical settings. This thesis therefore explores 2D skeleton-based temporal segmentation as a privacy-preserving and data-efficient alternative to conventional RGB-based methods. Using the CAG-skeleton dataset, which consists of pose sequences extracted from external cardiac angiography (CAG) recordings, the study investigates various model architectures and limited supervision strategies for identifying 14 procedural phases.

A two-stage framework, combining a skeleton-based feature extractor with a temporal model, was adopted. A review and comparison of proven models revealed combinations of PR-GCN or MS-G3D feature extractors with LSTM or TCN temporal models to hold the most promise in the low-data medical domain. After training all combinations on low-data subsets of the CAG-skeleton dataset, it was found that all models outperformed a non-learning baseline model, which always predicts the mean procedure. Between the learning models, clip-wise segmentation accuracy differences held no statistical significance, but LSTM-based models showed a statistically significant superior understanding of sequential order. Considering both sequential metrics and computational efficiency, the PR-GCN + LSTM combination was selected for extensive evaluation, achieving a clip-wise segmentation accuracy of 83.95% when trained on 146 CAG procedures.

To further address the data scarcity challenge, two limited supervision approaches were explored. Transfer learning using the Kinetics-skeleton dataset showed no statistically significant performance gains, suggesting that the knowledge learned from Kinetics-skeleton does not effectively transfer to the surgical domain, and/or that the information transferred is relatively easy for the model to learn from scratch during training. In contrast, pseudo-labeling via class-balanced self-training showed great potential for reducing annotation requirements as it provided consistent improvements to the models' clip-wise segmentation accuracy in the low data regime.

Overall, this study introduces skeleton-based representation as a modality holding large potential for medical temporal segmentation and highlights pseudo-labeling as an effective strategy for reducing annotation requirements.

# Contents

<b>Summary</b>	<b>i</b>
<b>1 Introduction</b>	<b>1</b>
1.1 The challenge of generalizability	1
1.2 The challenge of limited annotated data	3
1.3 Data modalities for temporal segmentation	3
1.4 Limited supervision learning	3
1.5 Scope of this study	4
1.6 Report structure	4
<b>2 Background &amp; Related Work</b>	<b>6</b>
2.1 CAG-skeleton dataset	6
2.1.1 Pose estimation	6
2.1.2 Phase segmentation	7
2.1.3 Final dataset	7
2.2 Transfer learning dataset	8
2.2.1 Data acquisition	10
2.2.2 Skeletons	10
2.2.3 Final dataset selection	10
2.3 Learning methods	11
2.3.1 Feature extractor selection	12
2.3.2 Temporal model selection	14
2.3.3 Limited supervision learning	15
2.4 Contributions	15
<b>3 Methodology</b>	<b>17</b>
3.1 Baseline model	17
3.2 Dataset preprocessing	17
3.2.1 Frame Rate Adjustment	17
3.2.2 Neck joint addition	18
3.2.3 Normalize, reorder, filter, and segment	18
3.2.4 Remaining discrepancies	18
3.3 Feature extractor training	19
3.4 Temporal model training	20
3.5 Determining best model combination	21
3.6 Transfer learning training	22
3.7 Pseudo-labeling	22
3.8 Determining limited supervision effectiveness	22
3.9 Performance metrics	23
3.9.1 Clip-wise accuracy	23
3.9.2 Normalized Levenshtein edit distance	23
<b>4 Experiments</b>	<b>24</b>
4.1 Dataset splitting	24
4.2 Supervised learning results & model architecture selection	25
4.3 Extensive training & Limited supervision results	28
4.3.1 Extensive training	28
4.3.2 Transfer learning	31
4.3.3 Pseudo-labeling	31

<b>5 Discussion &amp; Conclusion</b>	<b>33</b>
5.1 Promising model architecture	33
5.2 Limited supervision	34
5.2.1 Transfer learning	34
5.2.2 Pseudo-labeling	34
5.3 Recommendations and future work	34
5.3.1 Short phases	34
5.3.2 Sequential understanding	34
5.3.3 Annotation	35
5.3.4 Model insights and clinical readiness	35
5.4 Conclusion	36
<b>A Temporal Models</b>	<b>47</b>
A.1 Hidden Markov Model	47
A.2 Recurrent Neural Network	47
A.3 Long Short-Term Memory networks	48
A.4 Three-Dimensional Convolutional Neural Network	49
A.5 Temporal Convolutional Network	49
A.6 Transformer based	50
A.6.1 Vision Transformer	50
A.6.2 Video Transformer Network	51
<b>B Limited Supervision Learning</b>	<b>52</b>
B.1 Weakly supervised methods	52
B.1.1 Action transcript-based methods	52
B.1.2 Action set-based methods	53
B.1.3 Weak supervision in surgical activity recognition	53
B.2 Self-supervised methods	54
B.3 Unsupervised methods	54
B.4 Semi-supervised methods	55
B.4.1 Generative methods	55
B.4.2 Consistency regularization methods	55
B.4.3 Pseudo-labeling or self-training methods	56
B.4.4 Hybrid methods	56
B.4.5 Semi-supervised learning in video-based medical phase segmentation	56
B.5 Conclusion	56
<b>C Data subset details</b>	<b>58</b>
<b>D Results</b>	<b>62</b>
<b>E Statistical comparison of models using the Friedman test</b>	<b>64</b>
E.1 Methodology	64
E.2 Implementation	64



# 1

## Introduction

The increasing integration of machine learning into medical settings may transform how we understand, analyze, and support operative workflows.<sup>[1]</sup> One promising application is the temporal segmentation of medical videos, where every frame of a procedure video is automatically assigned to a specific procedural segment. A procedural segment represents a defined part of the surgical workflow, which can be modeled at different levels of granularity, ranging from coarse phases that describe major stages of the procedure, to intermediate steps and fine-grained actions such as grasping, cutting, or suturing.

To illustrate this concept in a real-world clinical context, Figure 1.1 presents an overview of a cardiac angiography (CAG) procedure recorded with an external camera. The figure demonstrates how a procedure can be divided into distinct phases, each corresponding to a specific stage in the workflow.

If reliable temporal segmentation systems were deployed in clinical practice, they could directly improve patient outcomes and healthcare quality. For example, automatic action recognition could enable real-time detection of complications, ensure adherence to safety protocols, provide immediate decision support to clinicians, and enhance medical training by offering detailed feedback on performance.<sup>[1;2;3]</sup> Moreover, by providing continuous contextual information about the current procedural phase, temporal segmentation systems can serve as the basis for context-aware robotic assistance systems, enabling robots to anticipate upcoming steps and support surgeons in a timely and task-specific manner.<sup>[4;5]</sup> In a broader societal context, these systems hold the potential to increase patient safety, shorten operative times, and reduce hospital costs through improved efficiency and workflow optimization.<sup>[6;4]</sup>

Despite this promise, temporal segmentation methods remain absent in clinical practice. The primary obstacles are twofold: a lack of generalizability across diverse real-world medical environments and the scarcity of annotated medical video data.<sup>[7;8]</sup> Addressing these limitations is essential if temporal segmentation is to transition from experimental settings to robust, real-world clinical deployment.

### 1.1. The challenge of generalizability

A core requirement for medical temporal segmentation systems is the ability to generalize across diverse clinical contexts. Models that succeed only in highly specific clinical contexts offer limited practical value. To be clinically viable, generalization must be achieved on multiple levels:

- *Patients:* Models must work for individuals of different body types, ages, genders, and skin tones. A system that only functions on data from fit or light-skinned patients risks introducing inequities in healthcare delivery.
- *Surgeons:* Surgeons may follow different habits when executing the same procedure. For instance, one surgeon may consistently perform a specific movement before transferring to a new phase, while another may not. Models that overfit to these individual patterns risk failing in broader clinical use.
- *Operating room environments:* Hospitals differ in the layout of operating rooms, the positioning of cameras, and the equipment used. Variations in patient attire, surgical instruments, or imaging

systems can further challenge model robustness. Even subtle differences, such as wall colors, lighting conditions, or clothing styles, can degrade the performance of vision-based models.

- *Geographical and regulatory contexts:* Medical practices differ across countries due to cultural or regulatory variations. For instance, adherence to safety checklists may be stricter in some regions than others, requiring models to adapt to localized practices.

Failure to generalize across these levels can compromise both the safety and effectiveness of temporal segmentation systems. Ensuring robust generalization is therefore essential for their reliable and ethical deployment in clinical practice.



Figure 1.1: Overview of procedural phases in a cardiac angiography procedure recorded with an external camera. Each image is an anonymized frame of the video recording corresponding to a distinct phase in the workflow: A) Preparation before patient entry, B) Patient entry, C–D) Patient on table, E) First contact with cardiologist, F) First catheter insertion, G) First X-ray after catheter insertion, H–I) Catheter switch: H) first catheter removal, I) second catheter insertion, J–K) First (J) and later (K) X-rays after second catheter insertion, L) Second catheter removal, M) Wound closure, N) Patient off table, and O) Patient exit and start of cleaning. The colored bar below illustrates the temporal sequence of the phases and the position of each frame within the overall procedure. The vertical lines beneath the bar indicate one-minute intervals.

## 1.2. The challenge of limited annotated data

Alongside generalizability, the limited availability of annotated surgical data remains a critical bottleneck. Annotating medical videos requires expert-level clinical knowledge and is both time-intensive and costly.<sup>[9]</sup> In addition, privacy regulations restrict data sharing, resulting in small datasets collected from only a few hospitals, which limits model performance and hinders robust model training and evaluation.<sup>[10]</sup> Consequently, temporal segmentation models are prone to overfitting to specific institutions and often fail to generalize in broader clinical settings.

## 1.3. Data modalities for temporal segmentation

The choice of data modality is a key factor in the generalizability of temporal segmentation systems. Most existing approaches rely on RGB video, which captures rich visual detail but is highly sensitive to variations in background, lighting, viewpoint, and clothing.<sup>[11]</sup> In low-data environments, models trained on a single operating room often overfit to specific visual features, such as wall colors or surgical gowns, resulting in poor generalization to new clinical settings. Similarly, methods based on object or instrument detection are vulnerable to differences in equipment brands or visual appearances across hospitals.

Other explored modalities include binary instrument usage signals<sup>[12]</sup>, RFID tags and sensors<sup>[13]</sup>, surgical staff or instrument tracking<sup>[14;15]</sup>, eye-gaze tracking<sup>[16]</sup>, and contextual signals such as table inclination and lighting state<sup>[17]</sup>. While these approaches can provide useful information, their real-world viability is limited, as they often require workflow modifications, additional equipment, or extensive co-operation with industry stakeholders to access machine data.

In contrast, this work explores the skeleton modality, which, despite its advantages, has not yet been explored for medical temporal segmentation. The skeleton modality represents human motion as a sequence of body joint positions. Advances in depth sensors<sup>[18]</sup> and human pose estimation algorithms<sup>[19]</sup> have made it feasible to extract skeleton sequence data reliably, even from standard RGB video inputs. Skeleton representations abstract away appearance, background, and lighting conditions, thereby reducing noise and capturing the essence of movement in a way that is both compact and largely invariant to environmental conditions.<sup>[20;21]</sup> Three-dimensional skeletons are particularly useful as they offer robustness to viewpoint changes, while both 2D and 3D skeletons mitigate privacy concerns since identifiable visual information is discarded. This makes skeleton-based approaches a strong candidate for robust and ethically responsible temporal segmentation of medical procedures.

However, skeleton-based data may not solve all challenges. While skeleton representations abstract away appearance, background, and lighting, which reduces noise and improves generalizability, they also discard information that could be informative for temporal segmentation, potentially limiting model performance. Furthermore, surgeon-specific patterns, hospital room layout, and geographical differences remain sources of variability that cannot be fully abstracted away by motion alone. Ensuring robustness against these factors requires access to sufficiently large and diverse datasets.

Importantly, because skeleton representations do not contain identifiable patient information, they enable the creation of larger, more diverse, and international datasets. This property could improve generalizability across all levels.

## 1.4. Limited supervision learning

A promising solution to the limited annotated data challenge lies in limited supervision learning, where learning strategies reduce dependence on complete annotations while leveraging unlabeled or coarsely labeled data. Approaches range from weak supervision, where only coarse information, such as action order, is available, to semi-supervised methods that combine small labeled sets with larger unlabeled ones, and self-supervised approaches that learn meaningful representations by solving pretext tasks (e.g., predicting the order of shuffled frames or reconstructing masked inputs). The features learned in this way can then be transferred to temporal segmentation, improving performance even when annotated data is scarce.<sup>[22;23]</sup>

This work specifically investigates two limited supervision strategies. The first is transfer learning, which leverages large-scale non-medical datasets to compensate for the limited availability of medical data.

The core idea is that abundant non-medical motion data can be used during pretraining to capture the generic spatio-temporal structure of skeleton sequences. Subsequently, the pretrained model is fine-tuned on the surgical phase segmentation task. This approach maximizes the efficient use of scarce surgical annotations while reducing the overall annotation burden.

The second strategy is pseudo-labeling, a semi-supervised technique designed to reduce the number of procedures that require costly frame-level annotations. In this approach, a model is first trained on the small annotated subset and then used to assign provisional labels (pseudo-labels) to the unlabeled procedures. These pseudo-labels are subsequently used for further training, which enables the model to generalize beyond the limited labeled set. However, incorrect pseudo-labels can introduce noise and degrade performance. To address this, confidence thresholds or ensemble methods are typically used to filter unreliable predictions<sup>[24;25]</sup>.

## 1.5. Scope of this study

This study addresses two key challenges that limit the clinical adoption of temporal segmentation in the medical domain: the scarcity of annotated data and the difficulty of achieving robust generalization across different surgical settings. To tackle these challenges, it investigates two limited supervision techniques that are applied to data based on the human skeleton modality: transfer learning through pretraining on a large-scale non-medical dataset, and pseudo-labeling using unlabeled, domain-specific medical data. Notably, the skeleton modality has not previously been applied in the medical context, allowing this study to provide novel insights into its potential effectiveness and limitations for temporal segmentation of surgical procedures.

In addition to evaluating the effect of limited supervision techniques, this study also compares different learning architectures for skeleton-based temporal segmentation. Specifically, multiple combinations of feature extractor and temporal modeling architectures are explored to assess their respective performance and data efficiency. This comparison may provide insight into which model architecture characteristics are best suited for skeleton-based medical temporal segmentation under limited data conditions.

The central research question guiding this work is:

*What is the effect of introducing limited supervision techniques, specifically pretraining and pseudo-labeling, on the segmentation accuracy of 2D skeleton-based temporal phase segmentation of medical procedures?*

To answer this question, the study also investigates the following sub-question:

*Which model architectures, specifically combinations of feature extractors and temporal models, show great promise for high segmentation accuracy in skeleton-based medical temporal segmentation?*

By addressing these questions, this study contributes to the development of temporal segmentation systems that are both robust and capable of generalizing across diverse clinical environments under low-data conditions.

## 1.6. Report structure

The remainder of this thesis is structured as follows.

Chapter 2 introduces the background and related work. It begins by presenting the 2D human skeleton dataset (CAG-skeleton) used to evaluate the models and learning strategies in this study (Section 2.1). A second dataset is required for pretraining, but since no comparable medical dataset exists, a dataset from the human action recognition domain is selected in Section 2.2.

Next, this chapter reviews prior work on suitable architectural design choices in Section 2.3. Skeleton-based feature extractor models trained on the transfer learning dataset are reviewed and compared in Subsection 2.3.1. Models offering the best trade-off between reported performance and parameter efficiency, and for which pretrained weights are publicly available, are selected for further use. To overcome differences between the human action recognition and medical temporal phase segmenta-

tion domains, a second learning model is required that models longer-range temporal relations. These temporal models are reviewed and compared in Subsection 2.3.2, and the most suitable ones, based on ability to capture longer-range temporal relations and data efficiency are selected. Various limited supervision approaches are introduced in Subsection 2.3.3, and the selected transfer learning and pseudo-labeling methods are introduced in more detail. The chapter concludes with an overview of the contributions of this work (Section 2.4).

Chapter 3, methodology, first introduces a non-learning baseline model which will be used as a reference point for evaluating the effectiveness of the proposed learning methods. The proposed transfer learning approach requires alignment between the CAG-skeleton dataset and the Kinetics-skeleton dataset. Preprocessing steps to align the CAG-skeleton dataset in terms of structure, temporal resolution, and joint configuration are described in Section 3.2. The training pipeline, optimized hyperparameters, and learning methods of the feature extractor, temporal model, transfer learning, and pseudo-labeling approaches are presented in Sections 3.3, 3.4, 3.6, and 3.7, respectively.

To reduce computational requirements, the limited supervision methods are evaluated using only the best-performing combination of feature extractor and temporal model, as identified in Section 3.5. The effectiveness of the limited supervision methods is determined using the paired t-test, introduced in Section 3.8. Finally, the performance metrics used to evaluate and compare model performance are presented in Section 3.9.

Chapter 4 presents the experiments and results. To analyze performance under varying amounts of data, 39 distinct training subsets were created, with their division and training, validation, and test splits described in Section 4.1. Quantitative and qualitative findings are reported, and compared against the non-learning baseline model. The effectiveness of the studied model architectures, and limited supervision strategies are analyzed in Sections 4.2 and 4.3 respectively.

Chapter 5 discusses the findings in the context of the challenges identified in the introduction. It reflects on the effect of limited supervision for medical phase segmentation, highlights remaining challenges, and proposes directions for future work. The chapter concludes with the overall conclusions of the study.

## Background & Related Work

This chapter provides the background and related work that form the foundation for the experiments conducted in this study. Section 2.1 introduces CAG-skeleton, the 2D human skeleton dataset used to evaluate the models and learning strategies in this study. Next, Section 2.2 gives the requirements for the dataset which will be used for the transfer learning limited supervision strategy. It compares various datasets from the human action recognition domain and selects the best one based on size and similarity to the CAG-skeleton dataset.

The learning methods explored in this study are introduced in Section 2.3. Feature extractor models trained on the transfer learning dataset are compared in Subsection 2.3.1. Models offering the best trade-off between performance and parameter efficiency, and for which pretrained weights are publicly available, are selected for further use. To overcome differences between the human action recognition and medical temporal phase segmentation domains, a second learning model is required that models longer-range temporal relations. These temporal models are investigated in Subsection 2.3.2, and the most suitable ones, based on ability to capture longer-range temporal relations and data efficiency are selected. Various limited supervision approaches are introduced in Subsection 2.3.3, and the selected transfer learning and pseudo-labeling methods are presented in more detail. The chapter concludes with an overview of the contributions of this work (Section 2.4).

### 2.1. CAG-skeleton dataset

To compare the performance of models and learning strategies analysed in this study, this work uses the CAG-skeleton dataset. The CAG-skeleton dataset is introduced by Butler et al.<sup>[26]</sup>, and contains recordings of 290 coronary angiography (CAG) procedures performed at the Reinier de Graaf Gasthuis hospital in Delft, the Netherlands. It provides 2D pose sequences derived from video recordings of actual procedures, and includes frame-level phase annotations for a subset of cases. Data collection was approved by the Medical Ethics Committee Leiden The Hague Delft (protocol number Z19.057, dated 30-10-2019), and informed consent was obtained from all participating patients and clinical staff.

Each procedure was recorded using four Axis M1125 cameras, capturing different viewpoints at a resolution of 1920×1088 pixels and a frame rate of 25 frames per second. A cardiologist, scrub nurse, up to two lab assistants, and the patient were present during each procedure.

#### 2.1.1. Pose estimation

To acquire ground truth pose annotations, ten procedures were selected in collaboration with local clinical experts to represent team diversity and capture rare procedural deviations. For each procedure, 51 frames were uniformly sampled across a 30-second interval and annotated with 2D keypoints across all four viewpoints (see figure 2.1<sup>[27]</sup>), resulting in 2040 annotated frames in total. Annotations excluded fully occluded individuals and keypoint reflections (e.g., those appearing on monitors), but included visible staff in adjacent rooms, such as the control room or hallway.

Among the four viewpoints, the south (S) wall camera was identified as optimal in terms of viewpoint

quality and occlusion levels, and was therefore used for further analysis. Full-length recordings from this viewpoint were processed with PoseBYTE<sup>[26]</sup>, producing 290 skeleton sequences in COCO format (Figure 2.2). Each keypoint is defined by an  $(x, y)$  coordinate and a confidence score.

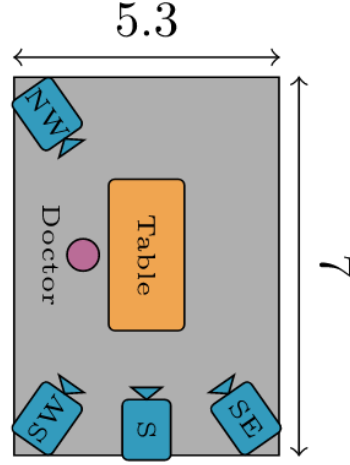


Figure 2.1: Cathlab dimensions (in meters) and camera viewpoints.<sup>[27]</sup>

### 2.1.2. Phase segmentation

All 290 procedures were segmented into 14 predefined clinical phases. Annotations were performed by a medical student under the supervision of an expert. Figure 1.1 presents anonymized frames from a representative procedure alongside their corresponding phase labels. Table 2.1 provides an overview of the phase definitions, as well as the mean and interquartile range (IQR) of their durations and the number of procedures in which each phase occurred. It should be noted that the dataset exhibits substantial class imbalance in phase durations. In addition, some phases, such as *Additional catheter change* (13) and *First X-ray acquisition after additional catheter change* (14), do not occur in every procedure. Furthermore, a subset of procedure recordings start late or end early, resulting in missing phases.

Several phases also feature (nearly) identical activity patterns, complicating classification based solely on observable motions. For example, *First X-ray acquisition* (5) and *First X-ray acquisition after catheter switch* (7) involve (nearly) identical movements.

Improper data storage resulted in temporal misalignment between the phase labels, video recordings, and pose data. Realignment was performed manually by the authors of this paper. For each procedure, the time interval between two visually distinctive phases was used to resynchronize videos and annotations. Pose sequences were separately aligned by matching the interval from the first staff entry to the last staff exit with the corresponding video segment. All realignments were manually verified by overlaying pose data and annotations onto the video recordings.

### 2.1.3. Final dataset

The resulting CAG-skeleton dataset after realignment contains:

- 189 procedures with both pose data and 14 annotated clinical phases
- 101 procedures with pose data only (no phase labels)
- 2D keypoints with confidence scores in COCO format (see figure 2.2<sup>[28]</sup>)
- Up to five persons per frame
- Frame rate of 25 frames per second



Table 2.1: Clinical phase definitions in the CAG-skeleton dataset with mean duration [min], interquartile range [min], and the number of procedures in which each phase occurs.

Clinical Phase	Description	Mean duration	Interquartile range	Procedures containing phase
0	Start Preparation	10.02	3.88 - 13.29	250
1	Patient entry	0.60	0.3 - 0.55	254
2	Patient transfer to table	10.66	8.17 - 12.62	271
3	First contact cardiologist with patient	6.29	3.33 - 7.27	271
4	Endovascular access (catheter insertion)	0.22	0.07 - 0.23	271
5	First X-ray acquisition	5.10	2.35 - 5.93	290
6	Removal of right catheter & insertion of left catheter	0.99	0.72 - 1.05	290
7	First X-ray acquisition after catheter switch	5.62	3.08 - 6.79	290
8	Removal of second (left) catheter	1.76	1.19 - 2.05	289
9	Wound closure	3.44	2.43 - 4.1	287
10	Patient off table	0.66	0.32 - 0.8	282
11	Patient exit & cleaning	1.47	0.42 - 1.92	278
12	Additional catheter change	6.67	4.49 - 7.55	77
13	First X-ray after additional catheter change	5.21	3.33 - 6.33	78
All procedures		47.03	34.93 - 55.77	290

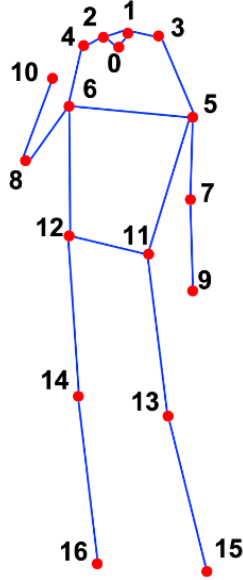


Figure 2.2: COCO skeleton format<sup>[28]</sup>. Keypoints locations include: nose, left eye, right eye, left ear, right ear, left shoulder, right shoulder, left elbow, right elbow, left wrist, right wrist, left hip, right hip, left knee, right knee, left ankle, right ankle.

## 2.2. Transfer learning dataset

Since one of the limited supervision techniques explored in this work is transfer learning, a second dataset is required for pretraining. Ideally, this dataset should resemble the CAG-skeleton dataset in terms of skeleton representation, activity complexity, and viewpoint characteristics, thereby ensuring that the pretrained model learns features relevant to the downstream task of phase segmentation in CAG procedures.

Moreover, the pretraining dataset should be sufficiently large. A larger dataset enables the model to acquire a more diverse and representative set of motion patterns, which in turn enhances its generalization capability.



As no other skeleton-based medical temporal segmentation datasets are available to the author, a dataset from a different research domain must be used. As research on skeleton sequences has predominantly been explored within the field of human action recognition (HAR), this domain is selected.

Within HAR, numerous datasets have been published, each developed for different application domains. These datasets vary substantially in size, recording method, skeleton structure, and actions analyzed, depending on their intended use cases. For example, Kishore et al.'s *Indian Sign Language dataset*<sup>[29]</sup> was designed for sign language recognition and includes 18 keypoints per hand to capture fine-grained finger movements. Yun et al.'s *Two-person Interaction Detection dataset*<sup>[30]</sup>, on the other hand, focuses specifically on human–human interactions and excludes single-person activities. Jang et al.'s *ETRI-Activity3D dataset*<sup>[31]</sup> records daily living activities of elderly individuals to support the development of future human-care robots. Similarly, Martin et al.'s *Drive&Act dataset*<sup>[32]</sup> targets driver monitoring, with a focus on detecting secondary in-vehicle activities.

A quantitative comparison and summary of the reviewed datasets is presented in Table 2.2. The following subsections discuss data acquisition techniques (Section 2.2.1), skeleton structures (Section 2.2.2), and the final selection of the dataset which will be used for transfer learning (Section 2.2.3).

Table 2.2: Datasets used within the skeleton-based human action recognition domain. A dash (“–”) indicates that the corresponding information was either not reported by the dataset creators or could not be found despite an extensive search by the author of this thesis.

Dataset	Year	Modality	Dimensionality	# Joints	# Sequences	# Actions	Multi-person
HDM05 <sup>[33]</sup>	2007	MOCAP platform	3D	31	1457	70	<i>x</i>
DailyActivity3D <sup>[34]</sup>	2012	MS Kinect V1	3D	20	320	16	<i>x</i>
HOJ3D <sup>[35]</sup>	2012	MS Kinect V1	3D	20	200	10	<i>x</i>
Two-person Interaction Detection <sup>[30]</sup>	2012	MS Kinect V1	3D	15	300	8	✓
UCFKinect <sup>[36]</sup>	2013	MS Kinect V1	3D	15	1280	16	<i>x</i>
MSR Action 3D <sup>[37]</sup>	2013	MS Kinetics	3D	20	600	20	<i>x</i>
J-HMDB <sup>[38]</sup>	2013	RGB camera with Amazon Mechanical Turk	2D	13	928	21	<i>x</i>
IAS-lab action <sup>[39]</sup>	2013	NITE middleware	3D	-	540	15	<i>x</i>
Berkeley MHAD <sup>[40]</sup>	2013	Impulse MOCAP system	3D	21	660	11	<i>x</i>
CMU <sup>[41]</sup>	2014	MOCAP platform	3D	22	44	9	✓
Northwestern UCLA Multiview 3D <sup>[42]</sup>	2014	MS Kinect V1	3D	21	100	10	<i>x</i>
UTD-MHAD <sup>[43]</sup>	2015	MS Kinect V1	3D	20	861	27	<i>x</i>
MV-TJU <sup>[44]</sup>	2015	MS Kinect V1	3D	20	7040	22	<i>x</i>
NTU RGB+D <sup>[45]</sup>	2016	MS Kinect V2	3D	25	56,880	60	✓
PKU-MMD <sup>[46]</sup>	2017	MS Kinect V2	3D	25	21,545	51	✓
RGB-D Varying-view <sup>[47]</sup>	2018	MS Kinect V2	3D	25	25,600	40	<i>x</i>
Kinetics-skeleton <sup>[48,49]</sup>	2018	RGB camera with OpenPose <sup>[50]</sup>	2D+c	18	260,230	400	✓
Indian Sign Language <sup>[29]</sup>	2018	Vicon MOCAP system	3D	57	2500	500	<i>x</i>
DHP19 <sup>[51]</sup>	2019	Vicon MOCAP system	3D	13	5610	33	<i>x</i>
MMAct <sup>[52]</sup>	2019	-	-	-	36764	37	<i>x</i>
Drive&Act <sup>[32]</sup>	2019	Near-infrared cameras with OpenPose <sup>[50]</sup> , OpenFace <sup>[53]</sup> & triangulation	3D	13	-	83	<i>x</i>
ETRI-Activity3D <sup>[31]</sup>	2020	MS Kinect V2	3D	25	112,620	55	<i>x</i>
EV-Action <sup>[54]</sup>	2020	MS Kinect V2 & Vicon-T40	3D	39	7000	20	<i>x</i>
NTU RGB+D 120 <sup>[55]</sup>	2020	MS Kinect V2	3D	25	114,480	120	✓
IKEA ASM <sup>[56]</sup>	2021	RGB video with 3D VIBE <sup>[57]</sup>	3D	17	16,764	31	<i>x</i>

Dataset	Year	Modality	Dim	# J	# S	# A	MP
KLHA3D102 <sup>[58]</sup>	2021	Vicon MOCAP system	3D	39	10,200	102	$\times$
UAV-Human <sup>[59]</sup>	2021	Azure Kinect DK with RMPE <sup>[60]</sup>	2D	17	67,428	155	$\checkmark$
KLYOGA3D <sup>[58]</sup>	2021	Vicon MOCAP system	3D	39	2,100	42	$\times$

### 2.2.1. Data acquisition

The datasets summarized in Table 2.2 differ in how skeleton data is obtained. Broadly, acquisition relies on RGB-D sensors, motion capture systems, or pose estimation from RGB or near-infrared video.

RGB-D sensors, such as the Microsoft Kinect, capture synchronized RGB and depth images. Combined with toolkits such as OpenNI<sup>[36]</sup> or the Kinect SDK<sup>[43]</sup>, these devices enable real-time tracking of human skeleton joints and their approximate 3D positions.

Marker-based motion capture systems, such as the Vicon platform, provide more precise 3D skeleton tracking by using optical cameras to record reflective markers placed on the body<sup>[54]</sup>.

In contrast, the CAG-skeleton dataset relies on a monocular RGB setup, where 2D skeletons are estimated directly from video recordings. While several other datasets provide full 3D skeleton representations, this does not make them unsuitable for transfer learning, as 3D sequences can be projected into 2D space.

### 2.2.2. Skeletons

A critical factor in selecting a suitable dataset for transfer learning is the structural compatibility of the skeleton representations. For transfer learning to be effective, the pretrained model should have already learned meaningful spatial and temporal relationships between keypoints that are transferable to the target data. Such transfer is more likely when the source and target datasets employ comparable skeleton structures.

The datasets reviewed vary considerably in this regard, differing in both the number of keypoints and their anatomical placement. Figure 2.3<sup>[58]</sup> illustrates several examples of these variations.

Among the analyzed datasets, only three exhibit skeleton structures that closely resemble that of the CAG-skeleton dataset: the IKEA ASM dataset<sup>[56]</sup>, the UAV-Human dataset<sup>[59]</sup>, and the Kinetics-skeleton dataset<sup>[48;49]</sup>. Of these, the Kinetics-skeleton dataset is structurally almost identical, differing only in the addition of a single neck keypoint.

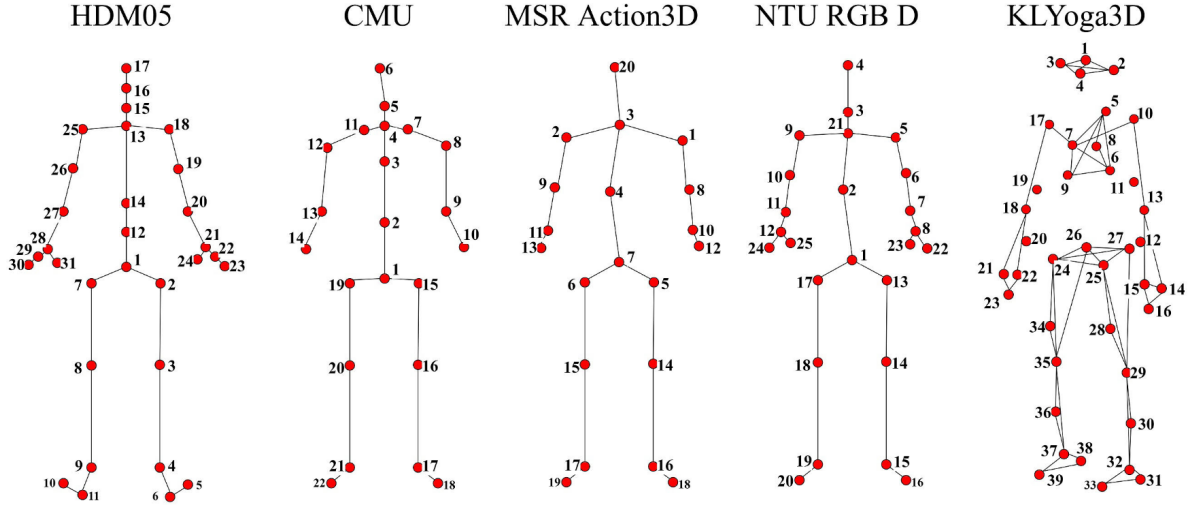
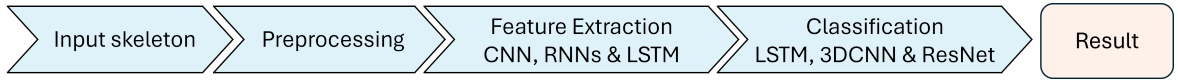
### 2.2.3. Final dataset selection

The choice of pretraining dataset was guided by four criteria:

1. Structural similarity of the skeleton representation
2. Viewpoint similarity (for 2D skeletons)
3. Dataset size
4. Ability to handle multi-person scenes

Applying the first criterion narrowed the candidates to three datasets: Kinetics-skeleton, IKEA ASM, and UAV-Human. The UAV-Human dataset was excluded because its 2D drone-based recordings introduce steep top-down viewpoints that differ substantially from those in the CAG-skeleton dataset.

Between the remaining two options, the Kinetics-skeleton dataset offers clear advantages: it is considerably larger than the IKEA ASM dataset and includes multi-person interactions. Consequently, the Kinetics-skeleton dataset was selected as the most suitable source for pretraining.

Figure 2.3: Subset of skeletons structures used throughout the datasets. <sup>[58]</sup>Figure 2.4: Framework of skeleton-based action recognition approaches. Image adapted from Shin et al. (2024)<sup>[21]</sup>.

## 2.3. Learning methods

Once skeleton data is collected, the recognition or segmentation process begins. The general pipeline of skeleton-based methods, shown in Figure 2.4, typically consists of preprocessing, feature extraction, and classification<sup>[21]</sup>.

Early approaches relied on handcrafted features such as joint angles, velocities, and relative distances, followed by classical sequence methods such as Hidden Markov Models (HMMs). While interpretable, these approaches lacked the representational power to capture complex spatiotemporal dynamics in real-world data<sup>[21]</sup>.

With the rise of deep learning, Recurrent Neural Networks (RNNs) and their variants such as Long Short-Term Memory (LSTM) networks and Gated Recurrent Units (GRUs) became dominant for modeling temporal dependencies in skeleton sequences. Although effective for short-term actions, they suffer from vanishing gradients and difficulties in modeling long-range dependencies<sup>[61]</sup>. Temporal Convolutional Networks (TCNs) emerged as an alternative, offering stable receptive fields, parallelizability, and improved performance in longer sequences<sup>[62]</sup>.

A major breakthrough came with Graph Convolutional Networks (GCNs), which directly exploit the graph structure of skeletons. The Spatial-Temporal GCN (ST-GCN)<sup>[49]</sup> extends standard convolution to spatiotemporal skeleton graphs, enabling simultaneous modeling of spatial relationships (joints within a frame) and temporal dynamics (joints across frames). Subsequent research found several limitations of the original ST-GCN framework:

1. The graph structure in ST-GCN is predefined based on the physical structure of the human skeleton. While this captures anatomical relations effectively, it ignores semantic dependencies between joints that are not directly connected. For instance, in actions such as "touching the face", the interaction between the hand and the head, although not anatomically linked, is crucial for accurate recognition. <sup>[63;20]</sup>
2. While manually predefined graph structures are intuitive, they lack flexibility and often require dataset-specific customizations. This increases the manual effort required to adapt the model to different skeleton formats/datasets.

3. As ST-GCN extract spatial features, and models temporal dynamics in two distinct steps, it struggles to capture joint co-occurrences across space and time simultaneously. Identifying actions like "running", where the model needs to focus on the swing of the hands and the movements of the legs simultaneously, becomes challenging as the co-occurring movements of the arms and legs parts across both space and time cannot be identified well.<sup>[64]</sup>
4. ST-GCN architectures tend to be highly complex and over-parameterized, resulting in inefficient model training and inference.<sup>[65,66]</sup> Recent work by Xie et al.<sup>[65]</sup> demonstrated that sparse variants of ST-GCN, using up to 95% fewer parameters, can achieve comparable performance compared to their dense counterparts (degradation of less than 1% in top-1 accuracy across four benchmark datasets, including NTU-RGB+D 60/120, Kinetics-400, and FineGYM).

To address these challenges, extensions of ST-GCN have been proposed that incorporate learnable adjacency matrices (ST-GCN++<sup>[67]</sup>, DG-STGCN<sup>[68]</sup>), reduce model complexity (PR-GCN<sup>[69]</sup>), and introduce the attention mechanisms. Parallel to these developments, transformer-based architectures have been introduced, leveraging self-attention to model long-range dependencies. For example, Plizzari et al.<sup>[70]</sup> proposed the Spatio-Temporal Transformer network (ST-TR), which applies spatial self-attention to capture correlations between joints within a frame and temporal self-attention to capture dynamics across frames.

While these models achieve strong performance in the field of human action recognition (HAR), it is important to distinguish HAR from temporal phase segmentation. In HAR, the task is to assign a single action label (e.g., walking, sitting, throwing) to a short skeleton sequence, typically lasting only a few seconds. In contrast, temporal phase segmentation extends this to much longer sequences and requires identifying multiple consecutive actions or phases with frame- or segment-level precision. This introduces additional challenges, including modeling long-range temporal dependencies, detecting action boundaries, and handling large variations in action duration.

Therefore, in this study, a standard HAR model is employed as a feature extractor to capture short-term spatio-temporal dynamics, and is combined with a dedicated temporal model designed to efficiently learn longer-range temporal dependencies.

### 2.3.1. Feature extractor selection

The choice of feature extractors was guided by two factors: (1) the availability of a pretrained model on the Kinetics-skeleton dataset, and (2) practical considerations of model scale and trainability. Pretraining on Kinetics-skeleton is essential, as it is the only dataset structurally compatible with CAG-skeleton and of sufficient size to support effective transfer learning (see Section 2.2). Training models from scratch and performing extensive hyperparameter searches on the Kinetics-skeleton dataset would be computationally infeasible given the resources and timeframe of this project. Consequently, only models with publicly available pretrained weights on the Kinetics-skeleton dataset were considered.

Although state-of-the-art methods such as ProtoGCN<sup>[71]</sup> or two-stream architectures (incorporating both joint and bone inputs) achieve strong performance on Kinetics-skeleton, their large parameter counts make them impractical for this study. Larger models not only require substantially more computational resources but also tend to overfit rapidly on the comparatively small datasets common in the medical domain. For this reason, parameter efficiency was treated as an important consideration: models with moderate size are more feasible to train and generally require less target data for training and effective fine-tuning. This consideration also motivated a focus on joint-only configurations, as the bone modality, while offering marginal gains in some benchmarks, approximately doubles model size without introducing fundamentally new information.

After filtering and ranking the candidates identified in an extensive literature review (Table 2.3), two models arose: MS-G3D<sup>[72]</sup> and PR-GCN<sup>[69]</sup>. MS-G3D demonstrated stronger performance on Kinetics-skeleton, while PR-GCN offered a highly compact design with just 580 thousand parameters. These complementary characteristics motivated their joint selection as feature extractors for subsequent experiments.

Table 2.3: Overview of evaluated models. ✓ in *Bone* indicates usage of both joint and bone inputs, *Top-1/Top-5* are classification accuracies (%). *Params* marked with <sup>†</sup> are sourced from a alternative studies. *Params* marked with <sup>‡</sup> are manually sourced using pretrained models. ✓ in *Code* and *Pretrained* show public availability and are hyperlinks.

Module	Year	Bone	Top-1	Top-5	Params (M)	Code	Pretrained
ST-GCN <sup>[49]</sup>	2018		30.7	52.8	3.1 <sup>†</sup>	✓	
AR-GCN <sup>[73]</sup>	2019		33.5	55.1			
SLnL-rFA <sup>[74]</sup>	2019		36.6	59.1			
STGR-GCN <sup>[75]</sup>	2019		33.6	56.1			
AS-GCN <sup>[76]</sup>	2019		34.8	56.5	6.9 <sup>†</sup>	✓	
DGNN <sup>[77]</sup>	2019	✓	36.9	59.6	8.2 <sup>†</sup>	✓	
2s-AGCN <sup>[78]</sup>	2019	✓	36.1	58.7	7.1 <sup>†</sup>	✓	
Ours-Conv <sup>[79]</sup>	2019		30.8	52.6			
Ours-Conv-Chiral <sup>[79]</sup>	2019		30.9	53.0			
MS-G3D <sup>[72]</sup>	2020		35.8	58.6	3.14	✓	✓
	2020	✓	38.0	60.9	6.29	✓	✓
GCN-NAS <sup>[80]</sup>	2020		35.5	57.9		✓	
	2020	✓	37.1	60.1		✓	
MS-AAGCN <sup>[81]</sup>	2020		36.0	58.4		✓	
	2020	✓	37.8	61.0	3.8 <sup>†</sup>	✓	
Dynamic GCN <sup>[82]</sup>	2020	✓	37.9	61.3	3.6		
A-CA-GCN <sup>[83]</sup>	2020		34.1	56.6	5.38		
PeGCN <sup>[84]</sup>	2020		34.8	57.2		✓	
SS-GCN <sup>[85]</sup>	2021		35.2	57.5	6.9		
DualHead-Net <sup>[86]</sup>	2021		36.6	59.5	3.0	✓	
	2021	✓	38.3	61.1		✓	
PR-GCN <sup>[69]</sup>	2020		33.6	56.1	0.58	✓	✓
2s-AAGCN+TEM <sup>[87]</sup>	2021	✓	38.6	61.6			
S-TR <sup>[70]</sup>	2020		32.4	55.3	3.19 <sup>‡</sup>	✓	✓
	2020	✓	35.4	57.9	12.35 <sup>‡</sup>	✓	✓
T-TR <sup>[70]</sup>	2020		32.4	55.2	1.76	✓	✓
	2020	✓	33.1	55.9	6.58 <sup>‡</sup>	✓	✓
ST-TR <sup>[70]</sup>	2020		34.5	57.6	4.95 <sup>‡</sup>	✓	✓
	2020	✓	37.0	59.7	18.93 <sup>‡</sup>	✓	✓
T-TR-agcn <sup>[70]</sup>	2020		34.4	57.1	2.22 <sup>‡</sup>	✓	
	2020	✓	34.7	56.4	8.06 <sup>‡</sup>	✓	✓
ST-TR-agcn <sup>[70]</sup>	2020		36.1	58.7	5.41 <sup>‡</sup>	✓	
	2020	✓	38.0	60.5	12.41 <sup>‡</sup>	✓	✓
AAM-GCN <sup>[88]</sup>	2021	✓	37.5	60.5			
PoseConv3D <sup>[89]</sup>	2022		46.0		2.0	✓	
	2022	✓	47.7		2.0	✓	
STF <sup>[90]</sup>	2022		38.2				
	2022	✓	39.9				
Sybio-GNN <sup>[91]</sup>	2022	✓	37.2	58.1	14.85		
UNFGEF <sup>[92]</sup>	2022	✓	37.6	60.5			
SKP <sup>[93]</sup>	2023	✓	43.1				
LKA-GCN <sup>[94]</sup>	2023		37.8	60.9	3.47		
	2023	✓	37.8	60.9	3.78		
2s-GATCN <sup>[95]</sup>	2023	✓	36.7	59.8			
HAR-ViT <sup>[96]</sup>	2023		38.1	60.9			
ProtoGCN <sup>[71]</sup>	2024	✓	51.9	75.6	25.85 <sup>‡</sup>	✓	✓
DS-GCN <sup>[97]</sup>	2024	✓	50.6			✓	
Gnet <sup>[98]</sup>	2025	✓	38.2		7.36		
Tnet <sup>[98]</sup>	2025	✓	30.7		4.33		
SA-TDGFormer <sup>[98]</sup>	2025	✓	39.0		7.36		
LMSTGCN <sup>[99]</sup>	2025	✓	37.7	60.5	5.4		

### 2.3.2. Temporal model selection

The kinetics-skeleton dataset consists of short segments with a temporal length of ten seconds. Consequently, the pretrained MS-G3D and PR-GCN feature extractors are limited to modeling short-range temporal dependencies. While this may be sufficient for recognizing distinct actions, it is inadequate for medical phase segmentation, where different phases may exhibit (nearly) identical short-term motion patterns (e.g. *First X-ray acquisition (F)* and *First X-ray acquisition after catheter switch (H)*).

Accurately distinguishing between phases requires modeling longer-term contextual information, understanding not only what action is being performed but also where it occurs within the broader temporal structure of the surgical workflow. A common solution used in medical phase segmentation is to combine short-term models with a dedicated temporal module capable of learning long-range temporal dependencies.

In the medical phase segmentation literature, a wide range of temporal modeling approaches have been explored (see Appendix A for details). These include:

- *Hidden Markov Models (HMMs)*: Early works often leveraged HMMs to model temporal dependencies between surgical phases. HMMs capture the sequential nature of procedures using probabilistic state transitions and have the advantage of interpretability and low data requirements.<sup>[100;101;102]</sup>
- *Recurrent Neural Networks (RNNs) and Long Short-Term Memory networks (LSTMs)*: These models extend standard neural networks to sequential data. RNNs can capture short-term dependencies but are prone to vanishing gradients in long sequences, while LSTMs incorporate gating mechanisms to retain relevant long-term information and reduce phase flickering.<sup>[103;104;105]</sup>
- *Three-Dimensional Convolutional Neural Networks (3D-CNNs)*: By extending 2D convolutions into the temporal dimension, 3D-CNNs learn spatiotemporal motion patterns, such as hand or tool movements. However, they are computationally intensive and typically limited to short-range temporal dependencies.<sup>[106;107;108]</sup>
- *Temporal Convolutional Networks (TCNs)*: TCNs address the temporal limitations of 3D-CNNs using causal and dilated convolutions to capture both short- and long-range dependencies efficiently. Residual connections allow deep TCNs to be trained without suffering from the vanishing gradient problem.<sup>[109;110;111]</sup>
- *Transformer-based architectures*: Transformers leverage self-attention to model extremely long-range dependencies. Vision Transformers (ViTs) process frames as sequences of patches to encode spatial features, while Video Transformer Networks (VTNs) extend this mechanism to capture both spatial and temporal dependencies across frames. Although powerful, transformers require substantial annotated data and computational resources, often necessitating pretraining on large video datasets.<sup>[112;113;114]</sup>

The temporal module selected for this study must capture long-range dependencies while remaining data-efficient, given the limited size of the CAG-skeleton dataset. Hidden Markov Models (HMMs), while lightweight and interpretable, have limited modeling capacity due to their simplified probabilistic structure, which makes it difficult to capture complex temporal patterns and subtle variations in high-dimensional skeleton features. RNNs and 3D-CNNs face practical limitations: RNNs struggle with vanishing gradients over long sequences, while 3D-CNNs require substantial computational resources and large amounts of training data. Transformer-based models, including Vision Transformers and Video Transformer Networks, are capable of modeling extremely long-range dependencies but are generally infeasible in the medical domain due to their high data and pretraining requirements.

To balance temporal modeling range and data efficiency, this study therefore focuses on two widely adopted architectures in medical phase segmentation: LSTMs and TCNs, which can efficiently learn both short- and long-term dependencies.

### 2.3.3. Limited supervision learning

Over 95% of medical temporal segmentation studies rely on fully supervised learning methods, which require dense frame-level annotations that are costly, time-consuming, and dependent on expert surgical knowledge. Consequently, most available datasets remain relatively small, limiting the ability of supervised models to generalize across hospitals, surgeons, and patients. To address these limitations, various limited supervision strategies have been developed depending on the availability of annotations<sup>[22]</sup>:

- *Fully supervised TAS*: Each frame of every training video is annotated with an action label.
- *Point-level supervised TAS*: For each action instance in a video, a single frame (a "point") within its temporal duration is labeled.
- *Weakly supervised TAS*: Only coarse-grained labels are available for training. These can be an ordered list of occurring labels<sup>[115;116]</sup>, or a set of all possible labels without information about order or occurrence<sup>[117]</sup>.
- *Semi-supervised TAS*: The training set is divided into a small set of fully annotated videos and a (typically larger) set of unlabeled or weakly labeled videos.
- *Self-supervised TAS*: Models are pretrained on a pretext task (such as ordering shuffled frames) such that they learn meaningful features from unlabeled data. The learned features are then leveraged for a downstream task, i.e. supervised, semi-supervised, or unsupervised TAS.
- *Unsupervised TAS*: No labels are available for training.

Appendix B provides a detailed overview of these strategies. This work specifically explores transfer learning and pseudo-labeling.

The transfer learning approach leverages large-scale datasets from the human action recognition (HAR) domain to reduce reliance on medical data. The underlying idea is that abundant HAR data can be used during pretraining to capture the generic spatio-temporal structure of skeleton sequences, without depleting the limited medical annotations. After pretraining, the model is fine-tuned on the surgical phase segmentation task, allowing it to adapt to the domain-specific characteristics. This strategy maximizes the efficiency of the available surgical training data thereby reducing the quantity of medical annotations required.

Pseudo-labeling combines a small set of annotated data with a larger set of unlabeled data. A model trained on the labeled subset assigns provisional labels (pseudo-labels) to the unlabeled videos, which are then used for further training. This iterative process enables generalization beyond the labeled data. However, incorrect pseudo-labels can introduce noise and degrade performance. To address this, confidence thresholds or ensemble methods are typically used to filter unreliable predictions<sup>[24;25]</sup>.

A common issue in pseudo-labeling is bias toward easy-to-classify actions: when applying a fixed confidence threshold, the majority of confident predictions often consist of simple or abundant classes. To mitigate this selection bias, Zou et al. (2018)<sup>[118]</sup> proposed a class-balanced self-training (CBST) framework, where different confidence scores are used per class for pseudo-label selection. CBST will also be explored in this study.

## 2.4. Contributions

In summary, this work addresses the challenges of limited data availability and poor generalizability in medical temporal segmentation by investigating the use of skeleton-based representations in combination with limited supervision techniques. Specifically, it evaluates the performance of two feature extractors, MS-G3D and PR-GCN, paired with two temporal models, LSTM and TCN, trained under three supervision regimes: full supervision, transfer learning using the Kinetics-skeleton dataset, and pseudo-labeling via class-balanced self-training on the CAG-skeleton dataset.

The main contributions of this work are as follows:

1. To the best of the authors' knowledge, this is the first study to investigate skeleton-based medical temporal segmentation, introducing a modality to the medical domain that is well-established in

human action recognition and highly suitable for generalization across unseen clinical environments.

2. A general training framework is proposed for medical temporal segmentation of any human-performed procedure where skeleton sequences can be extracted or recorded.
3. This work explores the use of transfer learning and class-balanced self-training pseudo-labeling for skeleton-based medical phase segmentation, assessing their potential to reduce the need for costly annotated data while maintaining competitive segmentation performance.



# 3

## Methodology

This chapter presents the proposed methodology used in this study. To establish a reference point for evaluating the effectiveness of the proposed methods, a simple non-learning baseline model is proposed in Section 3.1 that solely relies on the average duration of each surgical phase, computed across all procedures in the training set.

The proposed transfer learning approach requires alignment between the CAG-skeleton dataset and the Kinetics-skeleton dataset. Preprocessing steps to align the CAG-skeleton dataset in terms of structure, temporal resolution, and joint configuration are described in Section 3.2. The training procedures for the feature extractor and temporal models are presented in Sections 3.3 and 3.4, respectively. To reduce computational requirements, only the best-performing feature extractor temporal model combination is used for limited supervision analysis. The model combination selection, using statistical tests, is discussed in Section 3.5.

The limited supervision strategies, transfer learning using the Kinetics-skeleton dataset, and pseudo-labeling via class-balanced self-training, are discussed in Sections 3.6 and 3.7. To determine their effectiveness, the paired t-test is used, which is described in Section 3.8. Finally, the chapter concludes with Section 3.9, which presents the performance metrics used to evaluate and compare models across different training configurations and data subsets.

### 3.1. Baseline model

To establish a reference point for evaluating the effectiveness of the proposed methods, a simple non-learning baseline model was implemented. This baseline relies solely on the average duration of each surgical phase, computed across all procedures in the training set. For phases that occur at multiple points within the temporal sequence (e.g., Additional catheter change and X-ray after additional catheter change), the mean duration of each occurrence was calculated separately. The resulting sequence of average phase durations defines a mean procedure. During evaluation, this mean procedure is compared against all procedures in the test set to determine the baseline model's performance.

### 3.2. Dataset preprocessing

To enable efficient transfer learning from models pretrained on the Kinetics-skeleton dataset, the CAG-skeleton dataset was adapted to match its structure and characteristics. These modifications ensure that pretrained models can generalize to the CAG-skeleton dataset with minimal fine-tuning, thereby reducing the amount of CAG-specific data required for training.

#### 3.2.1. Frame Rate Adjustment

The kinetics-skeleton dataset was recorded at 30 frames per second (fps), whereas the CAG-skeleton dataset was originally captured at 25 fps. To align the temporal resolutions, the CAG-skeleton dataset was upsampled to 30 fps by inserting an additional frame after every five original frames. For individuals

with matching *person\_id* values across adjacent frames, joint coordinates in the interpolated frame were estimated via linear interpolation.

### 3.2.2. Neck joint addition

Unlike the Kinetics-skeleton dataset, the CAG-skeleton dataset does not include a neck joint. To address this, two approaches were evaluated. First, a lightweight neural network with one hidden layer was trained on Kinetics-skeleton data to predict the neck position per frame from the remaining joints. Second, a simple approximation was tested, where the neck joint was placed at the midpoint between the left and right shoulder. The neural network offered insignificant gains over the midpoint method, which was not only computationally more efficient but also provided an approximation sufficiently close to the ground truth (Figure 3.1). Consequently, the midpoint approximation was chosen over the more complex solution.

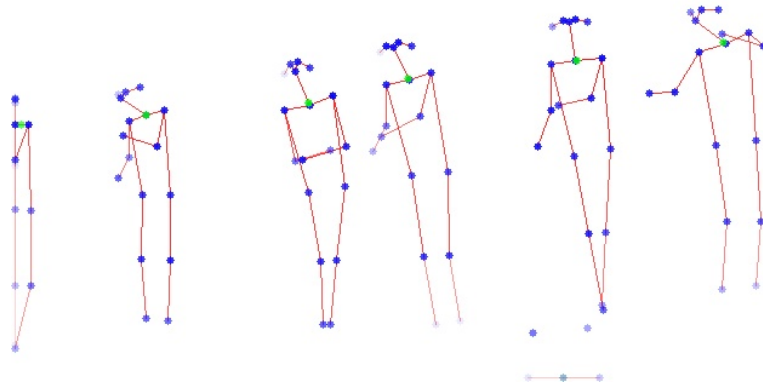


Figure 3.1: Frame 100 of kinetics-skeleton segment `__PYrzYbzKE` with label *jumpstyle dancing*. Green dot indicates the midpoint between the left and right shoulder. Blue dots indicate ground truth joint locations.

### 3.2.3. Normalize, reorder, filter, and segment

To further align with the Kinetics-skeleton format, the CAG-skeleton data was normalized, keypoints were reordered, and sequences were segmented into 10-second clips. During inspection, it was observed that the pose estimation occasionally produced clearly misplaced keypoints for a single frame, despite high confidence scores. To correct such anomalies, a median filter with a kernel size of 3 was applied independently to each person’s pose sequence. This filter replaces each keypoint’s coordinates with the median over a short temporal window, effectively smoothing abrupt noise without creating large motion blur. Figure 3.2 illustrates an example of this “keypoint jumping” before and after filtering.

### 3.2.4. Remaining discrepancies

Despite these preprocessing steps, several discrepancies remain. One issue is keypoint drift, where a joint gradually deviates from its true position over time. Unlike keypoint jumping, keypoint drift moves slowly, making it more difficult to detect and correct. A second limitation arises from the ground truth phase annotations, which are accurate only to within approximately five seconds. As a result, predictions at phase boundaries may be correct, yet appear misaligned with the ground truth annotated labels, as the label still reflects the preceding or subsequent phase. However, because phase boundaries only occur near a small fraction of the total number of clips, their local misalignments are unlikely to meaningfully affect the reported performance.

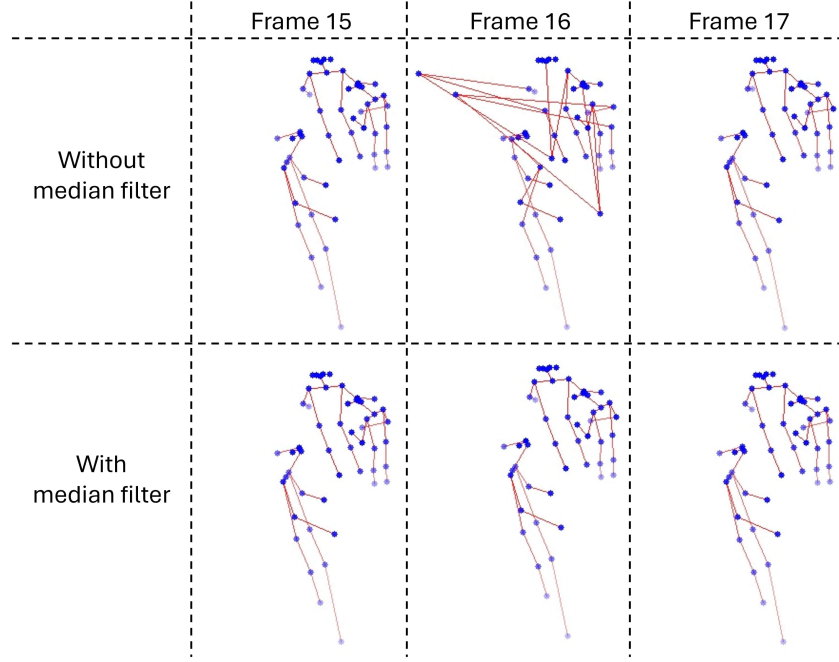


Figure 3.2: Keypoint jumping in frame 88126 of CAG-procedure with procedure\_id 100 before and after median filter application.

### 3.3. Feature extractor training

Feature extractor models are trained on 10-second skeleton sequences (300 frames) to predict the medical phase of the final frame of the sequence. To ensure that the learned representations are maximally discriminative, training employs a cross-entropy loss, weighted inversely to phase occurrence of the training set. This weighting scheme prioritizes separability across all phases, preventing overfitting to common phases and promoting discriminative embeddings, which in turn enables downstream temporal models to more effectively distinguish between phases.

The model architectures are kept identical to their pretrained configurations to enable initialization with weights from the Kinetics-Skeleton dataset. Training was performed with a maximum of 300 epochs, early stopping with a patience of 15 epochs, and learning rate decay with a patience of 5 epochs and a decay factor of 0.5. All other hyperparameters, such as the base learning rate and batch size, were aligned with those used during pretraining on Kinetics-Skeleton.

A graphical overview of the feature extractor training pipeline is provided in Figure 3.3.

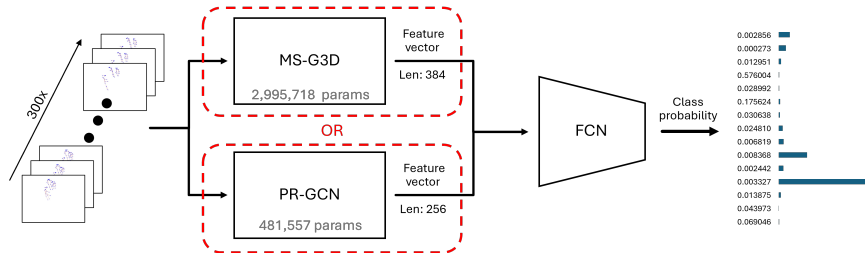


Figure 3.3: Overview of the training pipeline for the feature extractor. A 300-frame-long skeleton sequence is used as input for either the MS-G3D or PR-GCN model. The resulting feature vectors are used as input for a fully connected neural network (FCN) to predict the medical phase of the final frame in the sequence.

### 3.4. Temporal model training

The temporal models are trained on sequences of feature vectors obtained by passing consecutive 10-second clips through the feature extractor models. Each model is trained to predict the clinical phase of the final frame of the sequence.

Training is performed using non-weighted cross-entropy loss and the Adam optimizer. Similar to the feature extractor models, a learning rate scheduler with a decay factor of 0.5 and a patience of 5 epochs is used, in combination with early stopping using patience 15 and a maximum of 300 epochs. Each model is trained with a maximum temporal lookback of 250 consecutive 10-second clips (41 minutes and 40 seconds). For missing clips at the start of a procedure (e.g., up to 249 clips when predicting the phase of the first recorded clip of a procedure), zero feature vectors are inserted. These vectors were generated by passing an empty clip (without skeleton sequences) through the feature extractor.

A grid search over the hyperparameters listed in Table 3.1 is performed for each dataset and temporal model architecture. The LSTM and TCN training pipelines are graphically presented in figures 3.4 and 3.5, respectively.

Table 3.1: Hyperparameters searched during training of temporal models.

	LSTM	TCN
Learning rate	1e-3, 5e-4, 1e-4	1e-2, 1e-3, 1e-4
Batch size	16, 32, 64	16, 32, 64
Number of layers	1, 2, 3	4, 5, 6, 7, 8
Hidden layer size	64, 128, 256	32, 64, 128
Kernel size	N/A	3
Dropout	0.1, 0.2, 0.3	0.1, 0.2, 0.3

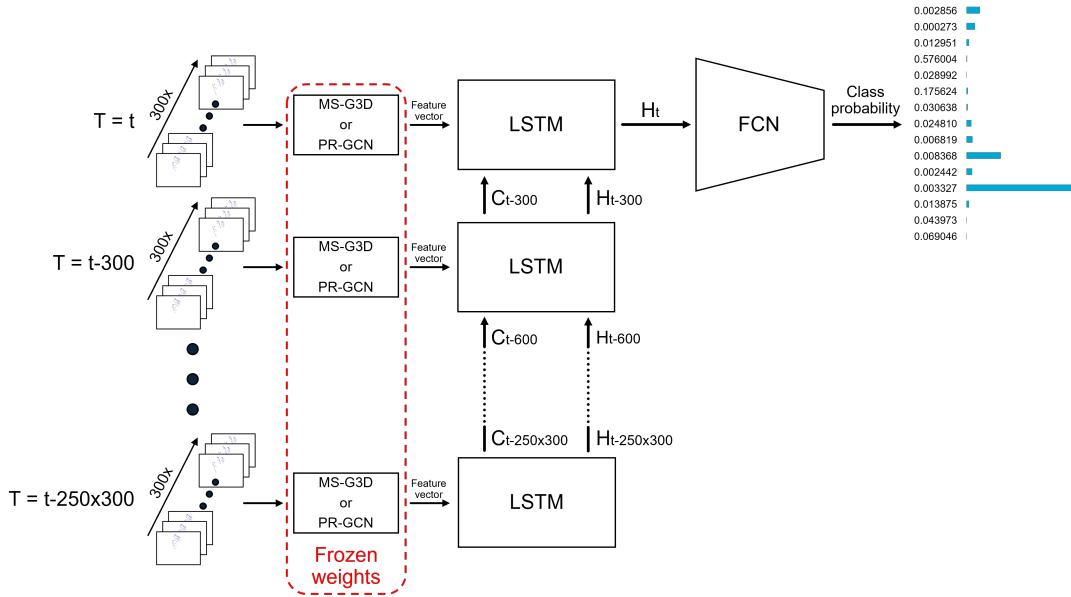


Figure 3.4: Training pipeline of the LSTM model. 250 feature vectors, obtained by passing 10-second clips through the feature extractor models, are used as input to the LSTM model. The final output of the LSTM model is used as input for a fully connected neural network (FCN) to predict the medical phase of the final frame of the sequence.

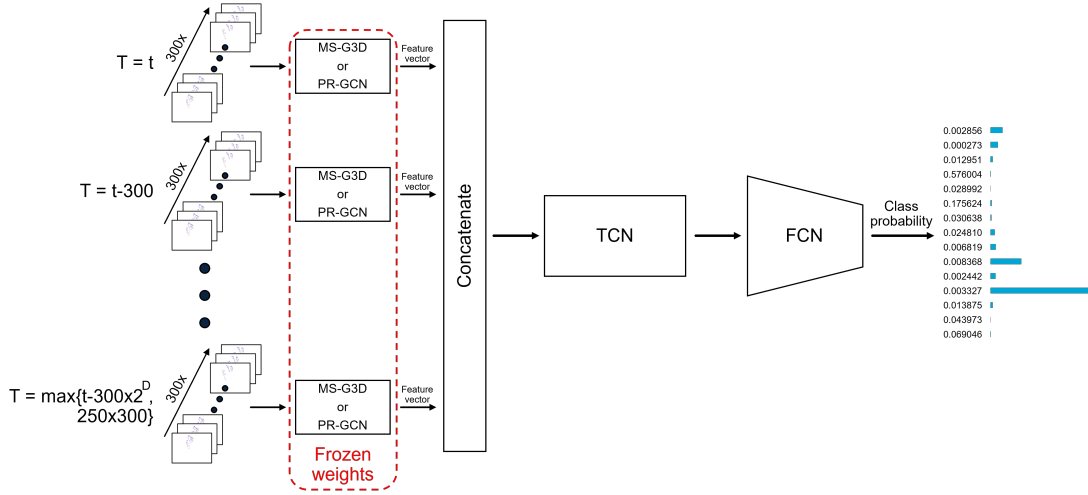


Figure 3.5: Training pipeline of the TCN model.  $2^D$  (with  $D$  equal to the number of layers, or depth, of the TCN model) or up to 250 feature vectors, obtained by passing 10-second clips through the feature extractor models, are concatenated and used as input to the TCN model. The output of the TCN is passed to a fully connected neural network (FCN) to predict the medical phase of the final frame of the sequence.

### 3.5. Determining best model combination

To reduce computational requirements, only the best-performing model combination in the low data regime (up to 40 procedures) is used for limited supervision analysis. Model selection is based on the clip-wise and segmental performance metrics, which will be introduced in Section 3.9.

When comparing multiple machine learning models across different experimental conditions, it is important to determine whether observed performance differences are statistically significant. To do so, this study employs the Friedman test, a non-parametric test that is commonly used to detect differences among several related groups.<sup>[119]</sup>

In the Friedman test, the algorithms are ranked separately for each dataset, where the best-performing algorithm gets rank 1, the second-best rank 2, etc. The average rank of each algorithm is then computed by  $R_j = \frac{1}{N} \sum_i r_i^j$ , where  $r_i^j$  is the rank of the  $j$ -th out of  $k$  algorithms on the  $i$ -th out of  $N$  datasets.

The null hypothesis  $H_0$  is that all models perform equivalently, i.e., they have equal expected ranks  $R_j$ . The alternative hypothesis is that at least one model differs significantly from the others.

$$\chi_F^2 = \frac{12N}{k(k+1)} \left[ \sum_j R_j^2 - \frac{k(k+1)^2}{4} \right] \quad (3.1)$$

If the Friedman statistic, computed using equation 3.1, is greater than a specified threshold (dependent on the value of  $k$  and  $N$ ), the null hypothesis is rejected. When this is the case, a post-hoc test is required to identify which specific model has a statistically significantly different performance. In this work, the Nemenyi post-hoc test is used, which compares all classifiers pairwise. If the difference in average ranks between two models exceeds the critical difference, their performances are considered significantly different.

The best-performing model combination is selected for the limited supervision analysis. If no model shows statistically better performance, the most computationally efficient model is chosen.

### 3.6. Transfer learning training

As indicated in Section 2.3.3 and 2.2, the goal is to leverage the large-scale Kinetics-Skeleton dataset from the human action recognition domain to reduce reliance on the costly annotated medical data. Training occurs as described in sections 3.3 and 3.4, with the only change being that the feature extractor models are initialized with weights fully trained on the Kinetics-Skeleton dataset. This way, the feature extractor models transfer the knowledge of the generic spatio-temporal structure of skeleton sequences, learned on the Kinetics-Skeleton dataset, and only require fine-tuning on the limited medical data. As such, the limited medically annotated data is used efficiently, and not depleted early on in the training process to learn the generic spatio-temporal structure of skeleton sequences.

### 3.7. Pseudo-labeling

This study employs the class-balanced self-training (CBST) framework introduced by Zou et al. (2018)<sup>[118]</sup> (see Section 2.3.3). The selected model combination first generates predictions on the section of the training data not included in the training set used for training.

In the CBST framework, as described by Zou et al., the predictions are sorted class-wise based on their confidence scores. A parameter  $k_c$  is defined for each class  $c$ , such that  $\exp(-k_c)$  equals to the probability ranked at  $\text{round}(p \times N_c)$  for that specific class. Here  $N_c$  denotes the number of predictions belonging to class  $c$  and  $p$  is the proportion of predictions selected as pseudo-labels (starting at 20%). A prediction is retained as a pseudo-label if the ratio between its confidence score and  $\exp(-k_c)$  for the corresponding class is greater than one.

In practice, this procedure is equivalent to simply selecting the top 20% most confident predictions within each class as pseudo-labels.

After pseudo-label selection, the entire training pipeline is retrained, as described in Sections 3.3 and 3.4, this time using both the original labeled dataset and the generated pseudo-labels.

Following retraining, Zou et al. again make predictions on the portion of the training data excluded from the initial training dataset (including the data previously used for pseudo-label generation). Next, the top 25% most confident predictions are selected as pseudo-labels for a second training iteration. This process is repeated in 5% increments, up to a maximum of 50%, or until the validation loss stops improving.

However, given the limited computational resources available, and the diminishing returns of pseudo-labeling when training with a greater labeled training set (and thus proportionally less unlabeled data available to generate pseudo-labels), this work explores pseudo-labeling up to 40 labeled training procedures and using 20% of unlabeled data as pseudo-labels only.

### 3.8. Determining limited supervision effectiveness

To evaluate the effectiveness of the limited supervision methods, the paired t-test is used. The paired t-test determines whether the observed differences in performance of two models evaluated on the same datasets are statistically significant. Unlike the Friedman or Nemenyi tests, which are designed for comparisons involving multiple models, the paired t-test is specifically suited for pairwise comparisons.

The test is based on the differences between paired performances, where each pair corresponds to the results of two models (with and without a limited supervision method) trained on the same dataset. The null hypothesis assumes that the mean difference  $\mu_d$  between the models is zero, indicating no significant performance difference. The test statistic is computed as:

$$t = \frac{\mu_d}{\sigma_d / \sqrt{N}} \quad (3.2)$$

where  $\mu_d$  is the mean of the performance differences,  $\sigma_d$  is their standard deviation, and  $N$  is the number of datasets. The resulting test statistic  $t$  is compared to the t-distribution to determine if the observed difference between the models is statistically significant.

### 3.9. Performance metrics

In this work, two complementary metrics are used to quantify the performance of the models: clip-wise accuracy, which quantifies frame-level correctness, and the normalized Levenshtein edit distance, which assesses the structural similarity between predicted and ground-truth phase sequences.

#### 3.9.1. Clip-wise accuracy

Clip-wise accuracy measures the proportion of correctly classified clips relative to the total number of clips in a sequence. This metric provides a straightforward measure of overall classification performance and is intuitive to interpret. However, because each clip is evaluated independently, this metric does not account for the temporal or sequential structure of the predictions. Consequently, clip-wise accuracy may remain high even when a model fails to capture the correct procedural order or produces temporally inconsistent predictions (see predictions A and B in Figure 3.6).

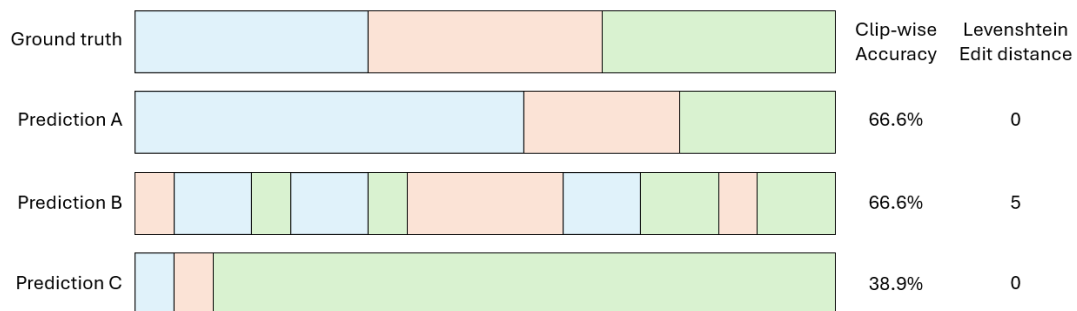


Figure 3.6: Clip-wise accuracy and Levenshtein edit distance score of three fictitious predictions, A, B, and C. The blue, red, and green colors represent three distinct phases.

#### 3.9.2. Normalized Levenshtein edit distance

To account for temporal ordering and segmentation quality, the normalized Levenshtein edit distance is used. This metric evaluates the minimum number of operations (insertions, deletions, and substitutions) required to transform the predicted sequence of phase labels into the ground-truth sequence. The resulting distance is normalized by the length of the ground-truth sequence, enabling fair comparison across procedures of different durations.

Unlike clip-wise accuracy, the edit score measures how well the model has learned the sequential order of the procedure. It penalizes over- and under-segmentation as well as phase ordering errors. Lower values indicate better alignment between the predicted and actual procedural sequences.

Figure 3.6 illustrates the difference of clip-wise accuracy and edit distance using three fictitious predictions: A, B, and C. Although both predictions A and B achieve identical clip-wise accuracy, prediction B exhibits fragmented and temporally inconsistent outputs, leading to a substantially higher (worse) Levenshtein edit distance. Similarly, both predictions A and C achieve a perfect Levenshtein edit distance (zero) while prediction C shows a much lower clip-wise accuracy. This example demonstrates the complementary nature of both metrics: accuracy reflects the model's precision on a local clip-level, while the Levenshtein edit distance captures its performance at a global sequence-level scale.

# 4

## Experiments

The dataset splitting strategy, following a leave-one-user-out (LOUO) protocol to prevent overfitting to individual surgeons, is outlined in Section 4.1. Sections 4.2 and 4.3 discuss the performed experiments, report the achieved performance, and analyze the strengths and weaknesses of the model.

### 4.1. Dataset splitting

Consistent with prior work<sup>[120;121;109]</sup>, this study adopts a leave-one-user-out (LOUO) protocol, ensuring that no surgeon appears in both the training and test sets. This approach prevents potential overfitting to surgeon-specific behaviors or characteristics from artificially inflating test performance.

Since distinguishing individual surgeons from the video recordings is challenging, given recording quality and the standardized clothing, masks, and caps, a practical approximation was applied by splitting the dataset by surgeon gender. This produced 146 procedures performed by male surgeons for training and 43 procedures performed by female surgeons (22.8% of the labeled data) for testing.

To analyze performance across varying amounts of training data, 39 subsets were generated from the training set, containing 5 ( $\times 10$  subsets), 10 ( $\times 10$  subsets), 20 ( $\times 7$  subsets), 30 ( $\times 4$  subsets), 40 ( $\times 3$  subsets), 50, 65, 80, 100, and 146 procedures, respectively. Each subset was further divided into training and validation splits using an 80/20 ratio. For smaller training sizes ( $\leq 40$  procedures), up to ten subsets (indicated as A, B, C, etc.) were created, while ensuring mutual exclusivity between subsets with the same procedure count (e.g.,  $5A \cap 5B = \emptyset$ ,  $5B \cap 5C = \emptyset$ ,  $5A \cap 5C = \emptyset$ , etc.). Averaging performance across these subsets reduces the influence of outliers, which are especially common in low-data environments.

The construction of subsets A, B, and C followed a nested structure to allow for better comparison between the procedure counts. For example:

- $5A \subset 10A \subset 20A \subset 30A \subset 40A \subset 50 \subset 65 \subset 80 \subset 100 \subset 146$
- $5B \subset 10B \subset 20B \subset 30B \subset 40B \subset 80 \subset 100 \subset 146$
- $5C \subset 10C \subset 20C \subset 30C \subset 40C \subset 146$

A detailed overview of the first three subsets per procedure count, including the specific procedures they contain and their corresponding class occurrence rates, is provided in Appendix C. The training datasets are generally distributed similarly to the test set, while the validation set shows a class distribution that differs more substantially from both the training and test sets. Notably, the B-validation subsets completely lack clinical phases 12 and 13. Furthermore, because procedure lengths vary, datasets with the same number of procedures contain different numbers of clips.



## 4.2. Supervised learning results & model architecture selection

To identify the feature extractor–temporal model combination that performs best in a low-data setting, such as in medical phase segmentation, the models were trained on the first three datasets (A, B, and C) containing up to 40 procedures, and evaluated on the test set. Table 4.1 reports the average clip-wise segmentation accuracy across procedure counts. For comparison, the table also includes results from: (i) the non-learning baseline model which makes predictions for all test procedures using the average training procedure in the training set, and (ii) using a feature extractor alone without a temporal model. More detailed results, including clip-wise segmentation accuracy per individual dataset and per-phase accuracy, are provided in Appendix D.

Table 4.1: Mean clip-wise segmentation accuracy (%)  $\pm$  std (%) evaluated on the test set of (i) non-learning baseline model; predicting all test procedures using the average training procedure in the training set, (ii) using a feature extractor alone without a temporal model, and (iii) using a feature extractor–temporal model combinations. All models are trained on all the first three subsets containing up to 40 procedures.

Model	Number of training procedures:				
	5	10	20	30	40
Training set average	34.02 $\pm$ 0.59	33.77 $\pm$ 0.94	32.89 $\pm$ 1.79	33.35 $\pm$ 1.98	33.73 $\pm$ 1.20
MS-G3D	24.12 $\pm$ 7.16	31.16 $\pm$ 4.05	38.20 $\pm$ 1.39	37.15 $\pm$ 5.43	41.11 $\pm$ 0.76
MS-G3D + LSTM	60.86 $\pm$ 0.94	66.44 $\pm$ 4.13	69.63 $\pm$ 3.46	71.76 $\pm$ 3.48	73.66 $\pm$ 1.10
MS-G3D + TCN	62.89 $\pm$ 3.06	66.12 $\pm$ 0.55	70.72 $\pm$ 0.31	<b>73.13 <math>\pm</math> 1.94</b>	73.06 $\pm$ 0.77
PR-GCN	30.98 $\pm$ 4.55	35.61 $\pm$ 7.77	36.99 $\pm$ 5.06	41.81 $\pm$ 2.50	38.77 $\pm$ 5.97
PR-GCN + LSTM	61.63 $\pm$ 5.11	70.02 $\pm$ 2.01	69.85 $\pm$ 3.07	72.26 $\pm$ 4.18	74.35 $\pm$ 3.08
PR-GCN + TCN	<b>64.21 <math>\pm</math> 3.44</b>	<b>72.12 <math>\pm</math> 1.53</b>	<b>71.01 <math>\pm</math> 2.58</b>	72.40 $\pm$ 3.10	<b>74.56 <math>\pm</math> 2.48</b>

Comparing clip-wise segmentation accuracy across varying training set sizes (Table 4.1) shows that feature extractors alone achieve limited clip-wise segmentation accuracy (24–42%). Moreover, when training on small datasets it often performs worse than the non-learning baseline which simply predicts the average procedure sequence (32–34%). By contrast, feature extractor–temporal model combinations substantially improve performance, reaching accuracies above 70% with as few as 20 training procedures, highlighting the importance of temporal context.

Among the temporal models, both LSTM and TCN architectures yield comparable performance improvements, with TCN models showing a marginal advantage over LSTM-based counterparts in terms of clip-wise accuracy. In addition, PR-GCN–based models achieve a slightly higher mean accuracy compared to MS-G3D models, indicating a potential benefit of their small architectures. Nonetheless, a Friedman test, conducted using each individual dataset as a data point, revealed no statistically significant differences in clip-wise accuracy among any of the four evaluated model combinations. (see Appendix E).

To assess how well models learned the sequential order of a procedure, the normalized Levenshtein edit distance was computed (Table 4.2), which measures alignment between predicted and ground truth phase sequences. Here LSTM-based models clearly outperform TCN based architectures. To ensure this difference is statistically relevant, a Friedman test was conducted.

Table 4.2: Mean normalized Levenshtein edit distance score  $\pm$  std of feature extractor–temporal model combinations trained on the first three subsets containing up to 40 procedures (a score of zero indicates a perfect match between predicted phase sequence and ground truth phase sequence).

Model	Number of training procedures:				
	5	10	20	30	40
MS-G3D + LSTM	0.606 $\pm$ 0.041	0.556 $\pm$ 0.013	0.548 $\pm$ 0.046	<b>0.538 <math>\pm</math> 0.026</b>	0.532 $\pm$ 0.008
MS-G3D + TCN	0.679 $\pm$ 0.067	0.730 $\pm$ 0.047	0.695 $\pm$ 0.025	0.672 $\pm$ 0.009	0.676 $\pm$ 0.055
PR-GCN + LSTM	<b>0.562 <math>\pm</math> 0.043</b>	<b>0.533 <math>\pm</math> 0.024</b>	<b>0.476 <math>\pm</math> 0.032</b>	0.564 $\pm$ 0.020	<b>0.472 <math>\pm</math> 0.038</b>
PR-GCN + TCN	0.692 $\pm$ 0.022	0.696 $\pm$ 0.034	0.627 $\pm$ 0.029	0.616 $\pm$ 0.064	0.619 $\pm$ 0.009

The Friedman test yielded a statistic of 34.28 ( $p \ll 0.001$ ), indicating statistically significant differences among the evaluated models. A subsequent post-hoc Nemenyi test confirmed that LSTM-based models achieve significantly lower edit distances than TCN-based models. In contrast, no statistically significant differences were observed between MS-G3D- and PR-GCN-based models (see Appendix E).

Figure 4.1 provides a visual overview of the model predictions across two procedures. The models consistently underperform in recognizing uncommon phases (12 and 13) or short phases (1, 4, 6, 10, and 12), with the exception of phase 8 (Removal of second catheter), which is generally well identified. Additionally, all models tend to predict phase 0 at the beginning of each recording, even when the true initial phase occurs later in the procedure (which occurs when recordings begin after the actual start of the intervention). This can likely be attributed to a lack of temporal context.

Furthermore, predictions from TCN-based models contain phase flickering, characterized by rapid transitions between phases, indicating that these models have not learned the normal sequential progression of a procedure. This issue is exemplified by the PR-GCN + TCN predictions on procedure 234 (Figure 4.1), where the model incorrectly predicts phase 0 as the final phase. LSTM-based models show this behavior to a lesser extent. The differences in how well the models capture the inherent sequential structure of procedures directly correlates to the observed variations in Levenshtein edit distances (Table 4.2).

Figure 4.2 presents the confusion matrices for all six configurations trained on dataset 40A. Without explicit temporal modeling, feature extractor models (MS-G3D, PR-GCN) regularly confuse visually similar but temporally distant phases. For example, phase 11 (Patient exit & cleaning) is often misclassified as the visually similar phase 0 (Preparation before patient entry). This confusion is eliminated once temporal models (LSTM or TCN) are added and longer-range temporal context is taken into account, highlighting its importance.

Despite the use of a weighted loss function during training, the stand-alone feature extractor models never predict phases 4 (Endovascular access) or 12 (Additional catheter change). This suggests that these relatively short phases are context-dependent and visually similar to other phases. This problem persists and is extended to other short phases when temporal models are introduced. The usage of an unweighted loss likely contributes to this problem, as the model is incentivized to prioritize frequent, long-duration phases at the expense of rarer or shorter ones.

To reduce computational requirements, only the best-performing model combination in the low data regime is used for limited supervision analysis. Taken together, these results suggest that while the models do not statistically significantly differ in clip-wise segmentation accuracy, LSTM-based models showed superior sequential understanding compared to TCNs. Given their lower computational cost relative to MS-G3D, PR-GCN-based models are particularly attractive. For this reason, the PR-GCN + LSTM configuration was selected for further analysis under limited supervision.

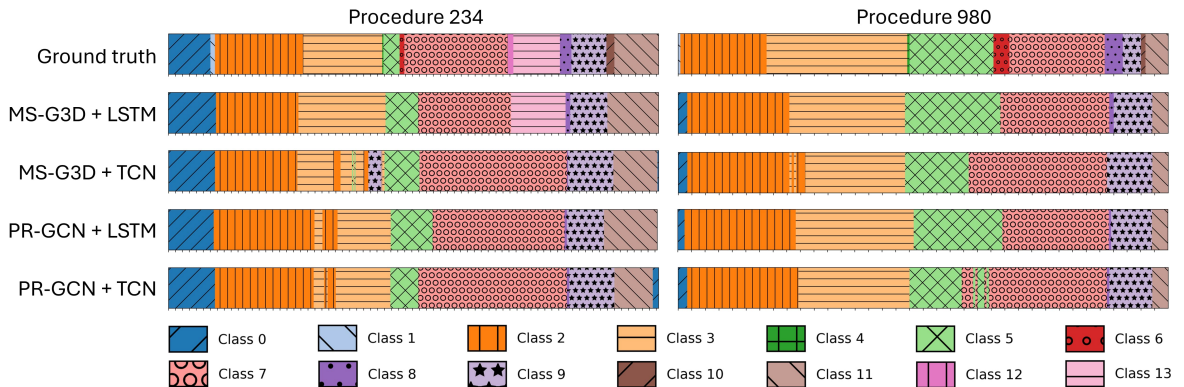


Figure 4.1: Predictions for all feature extractor-temporal model combinations trained on dataset 40A. Each tick on the horizontal axis presents one minute in the procedure (i.e. six clips).

## Confusion matrices – Dataset 40A

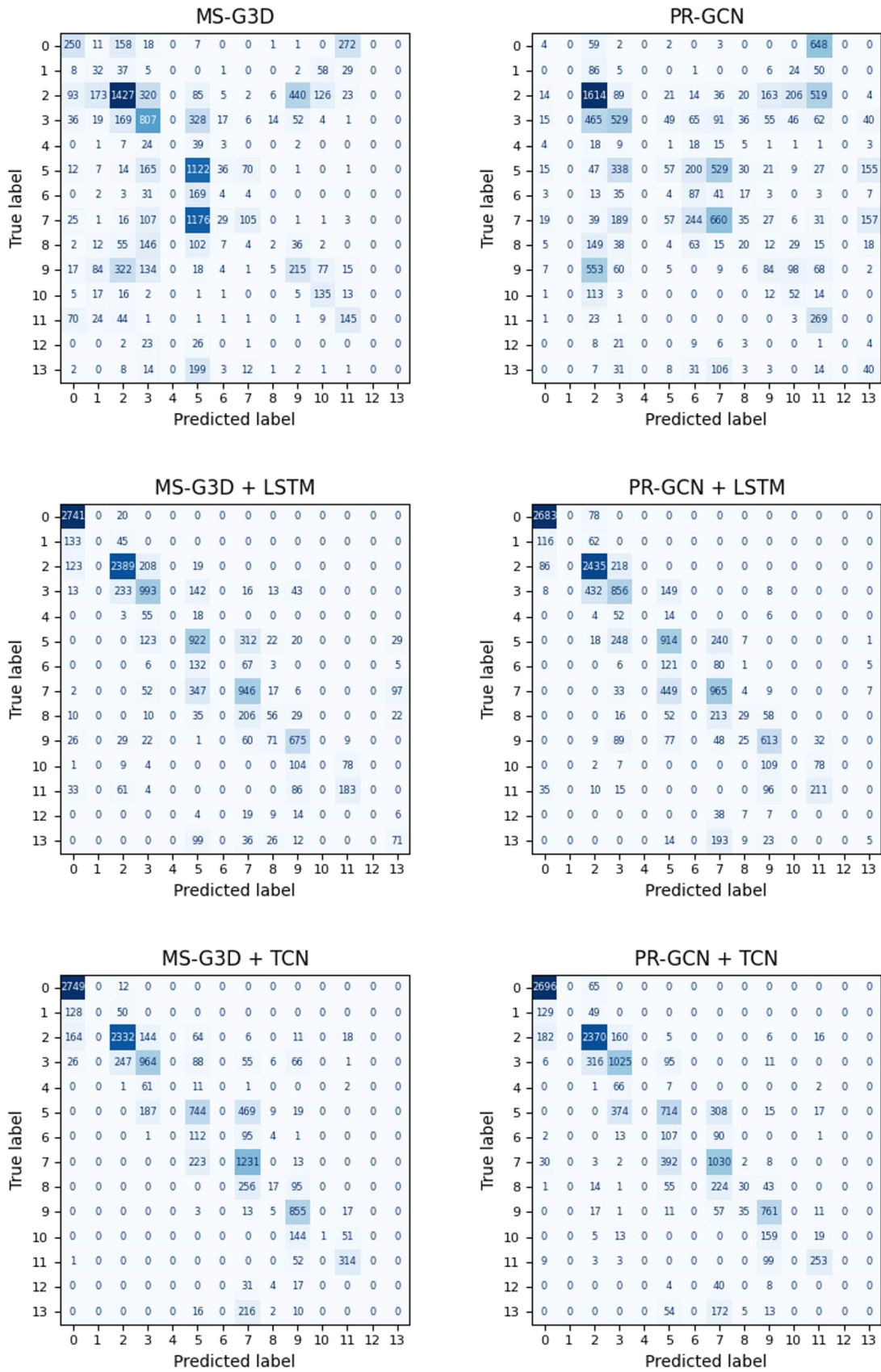


Figure 4.2: Confusion matrices for all six models trained on dataset 40A.

### 4.3. Extensive training & Limited supervision results

To allow for more confident comparisons, the PR-GCN, PR-GCN + LSTM, pretrained PR-GCN (prePR-GCN), and prePR-GCN + LSTM models were trained using all 39 datasets. As the pseudo-labeling strategy requires adequate amounts of unlabeled data to function well, it was trained on all datasets containing up to 40 procedures. For training on each individual training set, all training data not included in that specific set can be used to generate pseudo-labels.

#### 4.3.1. Extensive training

Training the PR-GCN + LSTM model on all labeled training data resulted in a class-wise accuracy of 83.85%, with a normalized Levenshtein distance of 0.3837, significantly outperforming models trained on fewer data samples (see Tables 4.3 and 4.4).

Table 4.3: Mean clip-wise segmentation accuracy (%) of PR-GCN-based, pretrained PR-GCN-based (prePR-GCN), and PR-GCN + LSTM trained with pseudo-labeling (pseudo(PR-GCN + LSTM)) for all labeled dataset sizes.

Model	Number of training procedures:									
	5	10	20	30	40	50	65	80	100	146
PR-GCN	28.65	34.12	36.60	42.64	38.77	41.90	45.08	43.22	42.39	51.32
prePR-GCN	28.17	32.83	33.96	40.71	40.02	46.50	49.78	40.16	51.12	53.37
PR-GCN + LSTM	<u>62.49</u>	65.49	<u>69.69</u>	<u>74.52</u>	<u>74.35</u>	<u>77.35</u>	<u>77.87</u>	<b>77.68</b>	<u>77.39</u>	<b>83.95</b>
prePR-GCN + LSTM	60.05	<u>67.85</u>	69.18	72.47	74.00	<b>77.86</b>	<b>79.86</b>	<u>75.95</u>	<b>83.19</b>	<u>83.85</u>
pseudo(PR-GCN + LSTM)	<b>66.14</b>	<b>71.78</b>	<b>72.49</b>	<b>75.89</b>	<b>78.29</b>	N/A	N/A	N/A	N/A	N/A

Table 4.4: Mean normalized Levenshtein distances of PR-GCN + LSTM model trained with and without pretraining on Kinetics-skeleton (prePR-GCN + LSTM) and using pseudo-labeling (pseudo(PR-GCN + LSTM)) for all labeled dataset sizes.

Model	Number of training procedures:									
	5	10	20	30	40	50	65	80	100	146
PR-GCN + LSTM	.5616	<b>.5333</b>	<b>.4760</b>	.5641	<b>.4716</b>	<b>.3992</b>	.4951	.5201	.4663	<b>.3837</b>
prePR-GCN + LSTM	<b>.5578</b>	.5364	.4911	.5795	.5085	.4462	<b>.4907</b>	<b>.4379</b>	<b>.4085</b>	<u>.4639</u>
pseudo(PR-GCN + LSTM)	.5802	.5377	<u>.4888</u>	<b>.5254</b>	<u>.4823</u>	N/A	N/A	N/A	N/A	N/A

When comparing the confusion matrix of the PR-GCN + LSTM model trained on 40 versus 146 procedures (Figures 4.2 and 4.3), it can be seen that training on more data not only reduces the number of incorrect predictions, but also the severity of the errors is reduced, i.e., misclassifications tend to be temporally closer to the correct phase. Nevertheless, certain errors remain. These become apparent when analyzing the confusion matrices (Figure 4.3), temporally plotted predictions (Figure 4.4), and the original video data.

For example, the confusion matrix indicates that phase 1 (Patient entry) is frequently confused with phase 0 (Preparation before patient entry). The prediction plots suggest that this confusion arises both from small accumulated boundary offsets and a large misclassification in procedure X. Upon reviewing the video footage of procedure X, it becomes clear that the patient entered the room while preparations were still ongoing. The patient subsequently leaves, preparations resume, and the patient enters again three minutes later. In this segment of the procedure, the model's prediction actually reflects the true sequence of events more accurately than the ground truth labels, and is more informative.

Phases 2 (Patient transfer to table) and 3 (First contact of cardiologist with patient) are frequently confused. The prediction plots reveal two main causes: (i) the model occasionally predicts the first contact too early, and (ii) the boundary between these phases is often highly uncertain, leading the model to oscillate between them. Video inspection of the first cause shows that the model sometimes interprets

actions performed close to the patient by nurses as the cardiologist's first contact. For instance, in procedure H, approximately five minutes into the recording, a nurse moves an object located below the patient's right wrist, the same location typically used by the cardiologist to administer local anesthetics, causing the model to mistakenly identify this as the start of phase 3.

Further video analysis addressing the second cause revealed inconsistencies within the annotated data itself. In procedure G, for example, the ground truth transition to phase 3 (First contact cardiologist) is incorrectly placed at the second rather than the first contact. As the model is trained on this mislabeled data, its ability to learn accurate phase boundaries is hindered. Additionally, in procedure J, vascular access is established via the patient's left arm. Here, the cardiologist's first contact occurs while standing on the opposite side of the hospital bed, a situation that is uncommon and thus underrepresented in the training data. Finally, several remaining confusions between phases 2 and 3 could not be attributed to the aforementioned causes, and no additional plausible explanations were identified in the other procedures.

Short phases remain particularly challenging. While phase 4 (Catheter insertion) is now occasionally detected, unlike in models trained on Dataset 40A, it is still frequently misclassified. This difficulty arises partly because phase 4 is not always present in the ground truth sequence. When the phase lasts less than 10 seconds, it may fall entirely within the middle of a clip where the start and end frames belong to phases 3 and 5. Furthermore, even slight temporal misalignments in the prediction can cause the model to miss the ground truth phase label altogether. Similarly, phase 6 (Catheter switch) is detected inconsistently. Video analysis indicates that its movements closely resemble other procedural actions, such as reinsertion or extraction of the guiding wire, or moving of the manifold or contrast tubing, making reliable discrimination of this phase difficult.

Phase 8 (Catheter removal), by contrast, is recognized more reliably, with only minor deviations in phase boundary placement. Nonetheless, two recurring types of errors are observed. First, similar to phase 6, it is occasionally confused with other movements that exhibit similar motion patterns. Second, phase 12 (Additional catheter change) is frequently misclassified as phase 8, as both begin with catheter removal and only the subsequent catheter insertion distinguishes both phases.

The confusion matrix reveals clear confusion among the X-ray phases (5, 7, and 13). The prediction plots show that this can be partly attributed to the model's inconsistent recognition of the catheter switch phases (6, 8, and 12). However, the model occasionally predicts phase 5 (X-ray before catheter switch) after the catheter switch has already occurred, indicating that it has not yet fully captured the correct temporal sequence of this segment of the procedure.

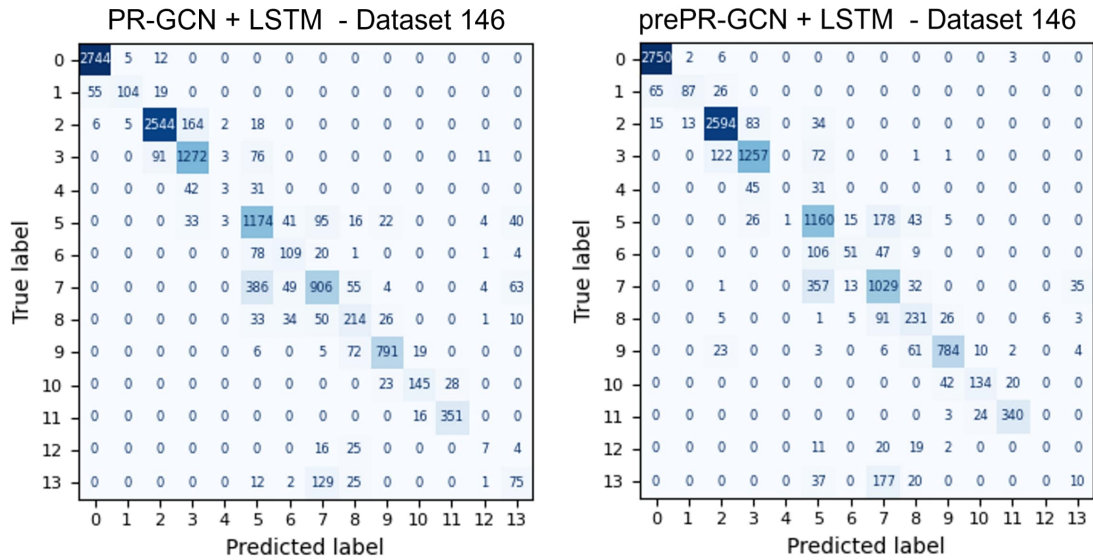


Figure 4.3: Confusion matrix of PR-GCN + LSTM model trained using 146 procedures, both with and without pretraining on the Kinetic-skeletons dataset.



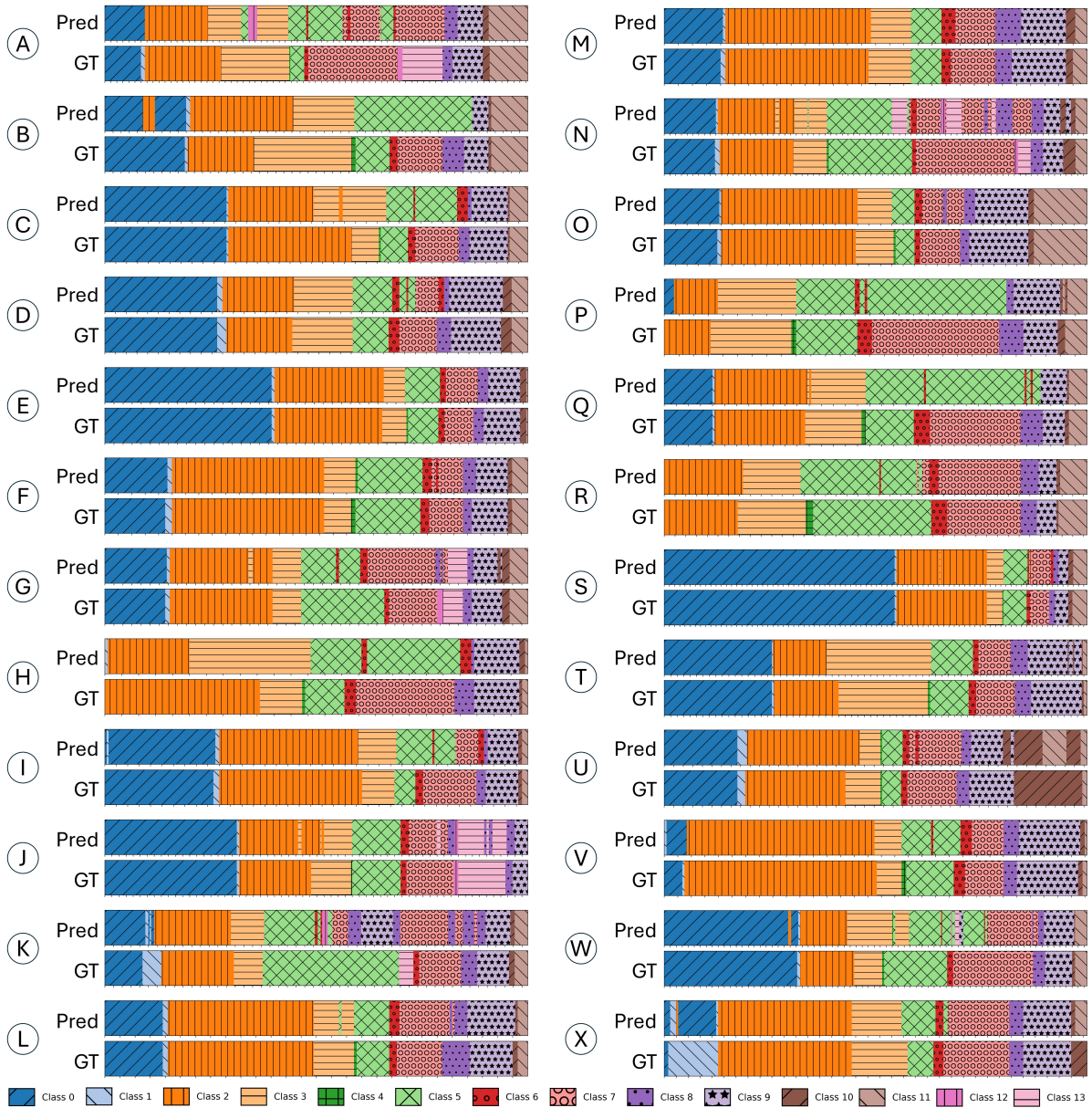


Figure 4.4: First 24 test set predictions (Pred) and ground truth labels (GT) of the PR-GCN + LSTM model trained on 146 procedures.

In contrast, later phases such as Wound closure (9), Patient off table (10), and Patient exit & cleaning (11) are recognized more consistently, and with relatively accurate phase boundaries. One noteworthy example is procedure U, in which the patient is transferred out of the room using a hospital bed. After wound closure, the patient remains briefly on the operating table before walking towards the hospital bed. The ground truth labels transition to phase 11 only when the hospital bed is rolled out of the room, whereas the model briefly predicts phase 11 during the transfer itself.

Other noteworthy findings from the video analysis include:

- Procedure I: Between 0 and 1 minutes, a nurse enters the room and is mistaken by the model for the patient, resulting in a one-clip misclassification as phase 1 (Patient entry).
- Procedure J: Near the end of the recording, the cardiologist walks away toward the screen to point something out to the patient or a nurse. This movement is misclassified as phase 8 (Catheter removal), likely because the cardiologist often performs a similar motion immediately after catheter

removal to allow the nurses to proceed with wound closure.

- Procedure K: The cardiologist first removes and reinserts a guiding wire, predicted by the model as phases 6 and 12, respectively, before deciding to switch vascular access from the right wrist to the groin. The model identifies the subsequent catheter removal as phase 8 (Catheter removal). The nurses then close the wound at the right wrist, which the model also identifies, although both steps are not included in the ground truth labels. Finally, the catheter insertion at the groin area is again predicted as phase 8 by the model.
- Procedure N: At 39 minutes, the model incorrectly predicts phase 13. However, additional catheter changes occurring at 48 and 52 minutes are visible in the video and model predictions, but absent from the ground truth annotations. The procedure concludes with the nurses assisting the patient off the table, noticing residual blood on the patient's wrist, helping the patient lie back down, cleaning the area, and then assisting the patient off the table once more. This sequence of actions is captured quite accurately by the model, yet it is not reflected in the annotated ground truth labels.

Overall, the predictions, confusion matrices, and video analyses demonstrate that the model has not yet fully learned the sequential order of the complete procedure. Temporally short phases that require near-perfect temporal alignment, as well as visually similar phases that differ only by subtle motion cues (e.g., catheter changes and X-ray phases), remain the primary sources of error. Nonetheless, the model exhibits notable robustness in handling rare or atypical procedural scenarios, often producing contextually reasonable predictions even when these deviate from the annotated ground truth.

The analysis also underscores the limitations of the ground truth labels themselves. Several annotations contain temporal inaccuracies, skip relevant actions, or impose a rigid phase sequence that does not always reflect real procedural variability. These inconsistencies partly explain some of the remaining misclassifications and indicate that model performance is not only restricted by the model quality but also by imperfect annotation quality. In this regard, the model's predictions occasionally provide a more faithful representation of the true procedural flow than the available ground truth labels.

#### 4.3.2. Transfer learning

The performance of the PR-GCN models initialized with weights pretrained on the Kinetics-Skeleton dataset (pre-PR-GCN) is reported in Tables 4.3 and 4.4. Both the clip-wise accuracies and normalized Levenshtein distances show that pretrained initialization has no clear advantage over random initialization. This observation is supported statistically by a paired t-test, which yielded p-values of 0.936 for accuracy and 0.272 for Levenshtein distance, indicating that the observed performance differences are not significant. Moreover, a comparison of the confusion matrices for the randomly initialized and pretrained models (Figure 4.3) reveals no phase-specific performance improvements, implying that pretraining does not enhance recognition of specific procedural phases.

#### 4.3.3. Pseudo-labeling

For each training dataset, the corresponding trained PR-GCN + LSTM model is used to generate predictions on the training samples that were not part of the dataset. The class-balanced self-training (CBST) framework, described in Section 3.7, is then applied to select per class the most confident 20% of these predictions as pseudo-labels. The clip-wise accuracies of both the generated predictions and the selected pseudo-labels are presented in Table 4.5. On average, the selected pseudo-labels are approximately 15% more accurate than the overall prediction set, confirming a strong positive correlation between model confidence and prediction accuracy.

Table 4.5: Mean prediction accuracy of the unlabeled data and pseudo-label accuracy per labeled training set size.

Model	Number of training procedures:				
	5	10	20	30	40
Unlabeled train data	54.75	63.46	64.83	66.36	69.00
Pseudo-labels	69.06	78.31	79.30	81.18	82.76

## Confusion matrices – Dataset 40A

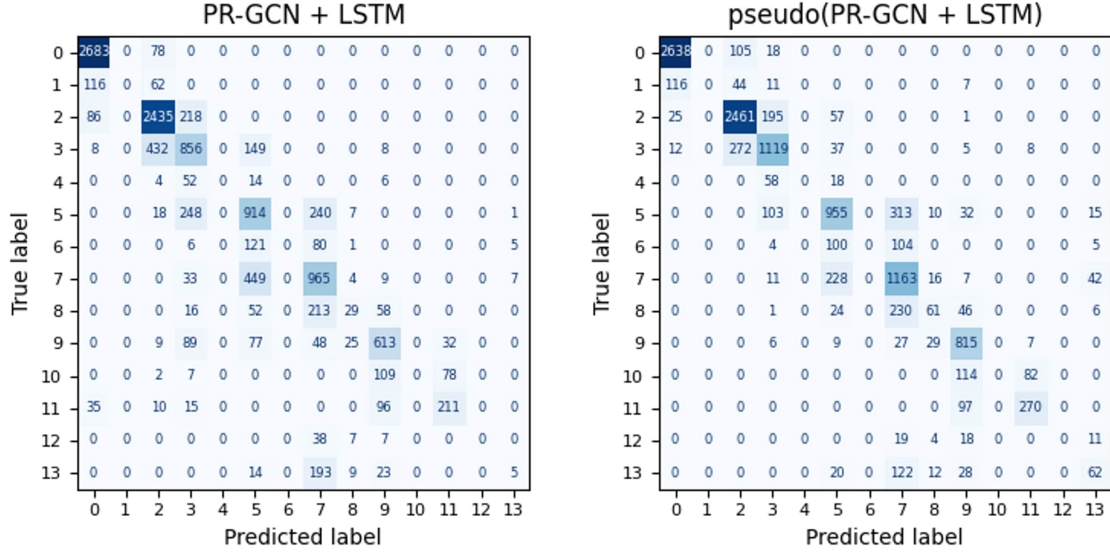


Figure 4.5: Confusion matrix of PR-GCN + LSTM model trained using dataset 40A, both with and without pseudolabeling.

The models were then retrained using both the original labeled dataset and the generated pseudo-labels. For all datasets, the validation scores of the retrained models improved compared to those of the original models. The clip-wise accuracies and normalized Levenshtein distances of models trained with and without pseudo-labeling are reported in Tables 4.3 and 4.4. While a clear improvement in clip-wise segmentation accuracy is observed, the normalized Levenshtein distances show no clear improvements. This observation is supported by a paired t-test, which yielded a p-value  $\ll 0.001$  for accuracy and 0.237 for Levenshtein distance.

Comparing the confusion matrices of models trained with and without pseudo-labeling (Figure 4.5), along with video analysis of major errors, reveals that pseudo-labeling does not eliminate any specific type of error. Instead, it reduces the frequency of all error types.



## Discussion & Conclusion

This thesis aimed to tackle two key barriers to the clinical adoption of temporal segmentation in the medical domain: (1) the scarcity of annotated data and (2) the difficulty of achieving robust generalization across different surgical settings. In order to achieve this, it explored 2D skeleton-based temporal phase segmentation for cardiac angiography (CAG) procedures using the CAG-skeleton dataset, with the goal of identifying 14 procedural phases based solely on human skeleton sequences extracted from external video.

### 5.1. Promising model architecture

Consistent with prior work in temporal segmentation, a two-stage architecture was adopted, consisting of (i) a feature extractor that encodes short-term spatio-temporal patterns in the skeleton data, and (ii) a temporal model that captures long-range dependencies. A variety of skeleton-based feature extractors, used in the neighboring human action recognition domain, and temporal models, commonly used in medical temporal segmentation, were reviewed and compared.

Among these architectures, PR-GCN and MS-G3D feature extractors, and LSTM and TCN temporal models showed the greatest promise in terms of data efficiency and potential performance. Combinations of all four models were experimentally evaluated on a series of small subsets of the CAG-skeleton dataset, which revealed no statistically significant difference in frame-level classification accuracy. However, architectures incorporating LSTM models demonstrated a superior understanding of procedural order and temporal continuity, likely owing to the LSTM’s internal memory mechanism.

Considering both architecture performance and computational efficiency, the PR-GCN + LSTM combination was selected for further investigation. The resulting model, consisting of a PR-GCN feature extractor, an LSTM-based temporal model, and a fully connected classification head, was trained on 146 CAG procedures and achieved a clip-wise segmentation accuracy of 83.95%, highlighting the potential of the underexplored skeleton modality for medical workflow analysis.

Despite these promising results, several challenges remain. The sequential order of the entire procedure is still not perfectly learned. Especially temporally short phases, requiring near-perfect alignment, and phases where movements closely resemble other procedural actions, continue to cause misclassifications. Moreover, detailed inspection revealed limitations in the ground truth annotations, which sometimes exhibit temporal inaccuracies, skip relevant actions, or enforce a rigid procedural order that does not always align with real-world surgical variability. Nonetheless, the model exhibits notable robustness, often generating plausible predictions in atypical or noisy scenarios that deviated from the annotated ground truth.

The persistent bottleneck of limited annotated data motivated the subsequent exploration of limited supervision strategies, aiming to further enhance model generalization and clinical applicability.

## 5.2. Limited supervision

To address the scarcity of annotated training data, this study investigated two strategies for leveraging additional information: transfer learning and pseudo-labeling.

### 5.2.1. Transfer learning

Pretraining the PR-GCN feature extractor on the large-scale Kinetics-skeleton dataset (i.e. transfer learning) yielded no statistically significant improvement in segmentation accuracy or normalized Levenshtein distance. This outcome suggests that either the knowledge learned from Kinetics-skeleton does not effectively transfer to the surgical domain, or that the information transferred is relatively easy for the model to learn from scratch during training. A combination of both explanations is most plausible.

The domain gap between the Kinetics-skeleton and surgical datasets is substantial. Most actions in Kinetics-skeleton involve large-scale, full-body human activities such as sports or daily motions, whereas surgical workflows are characterized by fine-grained hand and arm movements captured from a fixed and relatively distant camera viewpoint. Consequently, the knowledge transferred from pretraining may be limited to low-level spatiotemporal relationships between joints, such as basic motion continuity, which can be rapidly relearned during task-specific training. In contrast, the higher-level temporal dependencies and contextual relations required to differentiate surgical phases are largely absent from the source dataset, reducing the effectiveness of the pretrained initialization.

Consequently, the pretrained weights may transfer only marginally useful knowledge to the surgical domain, providing no meaningful advantage over random initialization. Utilizing more domain-specific pretraining or self-supervised representation learning on unlabeled surgical videos could be used to obtain spatiotemporal features that are both relevant and transferable to medical temporal segmentation tasks.

### 5.2.2. Pseudo-labeling

Pseudo-labeling, in contrast, showed clear potential for exploiting unlabeled data. Even with a single pseudo-labeling iteration, it improved segmentation accuracy by approximately 15 percentage points in low-data regimes. This indicates that incorporating confidently predicted labels into the training process can substantially enhance data efficiency and generalization. Since this work applied only one pseudo-labeling cycle, following the complete learning schedule proposed by Zou et al.<sup>[25]</sup> may further boost performance.

## 5.3. Recommendations and future work

Despite achieving a strong performance of 83.95% clip-wise accuracy, several systematic errors remain. The next subsections examine these errors and potential remedies, while the final subsection highlights future research needed to better understand the proposed approach and to advance its clinical readiness for adoption.

### 5.3.1. Short phases

Rare or short phases, particularly catheter insertion, remained under-recognized. This can likely be attributed to models prioritizing longer and more frequent phases. Potential solutions include the use of weighted loss functions, which encourage the model to pay more attention to underrepresented classes. Weights should be carefully selected to avoid overemphasizing short phases, which could reduce clinical relevance of the achieved predictions. Alternatively, integrating object detections (e.g. of the catheters, guiding wires or patient) could provide highly informative cues for rare events.

### 5.3.2. Sequential understanding

Although the LSTM-based models showed statistically significantly better sequential understanding compared to TCN-based models, errors remained. These errors were most prominent in visually similar phases, such as catheter switches and X-ray acquisitions, but also showed a limited understanding of overall procedural order. For example, the model occasionally predicted phase 5 (X-ray before catheter switch) after phase 6 (catheter switch), which is clinically impossible.

To enhance temporal modeling capabilities and reduce such errors, several strategies are recom-

mended:

1. A penalty term could be introduced to the loss function that increases with the temporal distance between the predicted and true phase. For instance, if the ground truth is phase 5, misclassifying it as phase 7 should result in a stronger penalty than misclassifying it as phase 6.
2. Post-hoc sequence filtering, where models with inherent sequential constraints, such as Hidden Markov Models, are used after the LSTM or fully connected network, could eliminate impossible phase transitions.
3. Sequence masking, where phases that have already occurred cannot reappear after a defined threshold, may reduce sequential order errors, as it enforces procedural order.

In addition, more advanced sequence models such as transformers may offer further improvements by modeling even longer-range temporal dependencies. However, these architectures typically require large datasets for training, thus their advantages are unlikely to be fully realized within the low-data setting of medical phase segmentation.

### 5.3.3. Annotation

Video analysis revealed that several procedural annotations contain temporal inaccuracies, omit relevant actions, or impose a rigid phase sequence that does not always reflect real procedural variability. These annotation errors could be mitigated by employing a second annotator to label all videos, with discrepancies resolved through discussion and mutual agreement. However, this approach would effectively double the labeling cost. The same effort might be more efficiently spent on expanding the overall size of the annotated dataset.

Uncommon occurrences during procedures are inevitable in real-world clinical settings. For example, a patient entering the procedural room during preparation or the need to repeat wound closure after the patient begins to move off the table are uncommon scenarios but still occur in procedures X and U (Figure 4.4), respectively. The current phase labels may impose a sequence that is too rigid to capture such real-world variability. Since each procedural phase consists of multiple distinct actions (e.g., the X-ray phases involve actions such as manipulating and rotating the catheter, injecting contrast fluids, etc.), action segmentation could offer a more flexible, fine-grained, and procedurally accurate alternative. Therefore, future work could investigate the segmentation of individual actions rather than rigid phases. If required, these recognized actions could then serve as inputs for phase segmentation or be used directly in robotic assistance systems, providing more actionable insights than phase labels alone.

### 5.3.4. Model insights and clinical readiness

To better understand the proposed approach and advance its clinical readiness for adoption, future work should explore, in addition to the aforementioned aspects, the following areas:

- Ablation of feature extractor: While adding a temporal model to the PR-GCN feature extractor substantially improved clip-wise segmentation accuracy, the independent contribution of the feature extractor remains unexplored. Ablation studies using skeleton data directly with a temporal model (e.g., LSTM or TCN) could clarify its relative importance.
- Video-modality comparison: This study relied exclusively on skeleton data. Future work could use the raw video data to assess whether skeletons provide a significant performance gain or if video-based features offer additional benefits. Such studies would clarify the trade-off between low-dimensional, highly informative skeleton representations and richer, but noisy visual modalities.
- Generalizability across clinical settings: Although the model generalized well across patients and surgeons within the dataset, its robustness to variations in hospital layout, procedural protocols, equipment, camera setups, or skeleton detection algorithms remains unexplored. Evaluating performance across different geographical locations and institutions is essential before clinical adoption.
- 3D skeleton usage: Employing 3D skeletons could improve clinical adaptability by reducing sensitivity to camera viewpoint and orientation. This would make the approach more practical to use across diverse hospital environments.

## 5.4. Conclusion

In conclusion, this thesis demonstrates the feasibility and possibilities of skeleton-based medical temporal segmentation using cardiac angiography procedures. It reviewed several model architectures, and the proposed PR-GCN + LSTM model showed strong potential in low-data settings. Transfer learning using the Kinetics-skeleton dataset showed no statistically significant performance gains, suggesting that the knowledge learned from Kinetics-skeleton does not effectively transfer to the surgical domain, and/or that the information transferred is relatively easy for the model to learn from scratch during training. In contrast, pseudo-labeling via class-balanced self-training showed great potential for reducing annotation requirements as it provided consistent improvements to the models' clip-wise segmentation accuracy in the low data regime. Nevertheless, challenges remain in accurately modeling rare or short phases, improving sequential understanding, and ensuring generalizability across medical contexts. Addressing these limitations through loss function engineering, 3D skeleton usage, post-hoc filtering and more advanced sequence modeling will be crucial steps toward reliable, scalable, and clinically useful workflow analysis systems.

# Declaration of AI Assistance

OpenAI's Chat-GPT has been used for this paper to provide new perspectives and improve the report writing. Although Chat-GPT provides substantial assistance, there is a large possibility of encountering inaccuracies and incorrect information. Therefore, individual research must always be conducted to validate Chat-GPT's answers. In the end, the author's own perspectives were broadened with those provided by Chat-GPT.

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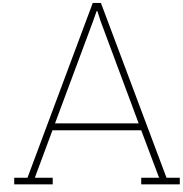


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# Temporal Models

Within the field of video based temporal segmentation of medical procedures, various temporal models have been explored, which are listed below and will be discussed in the following sections.

- Hidden Markov Models<sup>[100;101;122;102]</sup>
- Recurrent Neural Networks<sup>[103]</sup>
- Long Short-Term Memory networks<sup>[123;124;125;126;127;128;104;105;129;130;131;132;133;134]</sup>
- Three-Dimensional Convolutional Neural Networks<sup>[106;107;108]</sup>
- Temporal Convolutional Networks<sup>[109;110;111;5;135;136;137;138]</sup>
- Transformer based<sup>[112;139;140;141;85;142]</sup>
  - Vision Transformer<sup>[114;143;144;145]</sup>
  - Video Transformer Network<sup>[123;113]</sup>

Much of the information presented in this chapter is based on *Hands-On Machine Learning with Scikit-Learn, Keras, and TensorFlow* by Aurélien Géron<sup>[146]</sup>.

## A.1. Hidden Markov Model

Hidden Markov Models (HMMs) are among the earliest temporal models applied to surgical phase segmentation. In an HMM, the observed features (either handcrafted or learned) are assumed to be generated by an underlying sequence of unobserved states, which in this context are the surgical phases. HMMs are based on the Markov property: the probability of being in a particular state (phase) at time  $t$  depends only on the state at time  $t - 1$ , not on any earlier states.

An HMM consists of two key components:

1. Transition probabilities, which describe the likelihood of moving from one hidden state (phase) to another. These ensure the final sequence follows a logical order.
2. Emission probabilities, which describe the likelihood of observing a particular feature vector given the current hidden state.

When training a HMM, it learns both the transition and emission probabilities.

HMMs have a simple and lightweight probabilistic structure, that explicitly incorporates the sequential phase order. However, they struggle to capture long-range temporal patterns due to the Markov assumption, which limits temporal dependencies to only the immediately preceding state (phase).

## A.2. Recurrent Neural Network

Recurrent Neural Networks (RNNs) are a special type of neural network developed to handle sequential data. At each time step, an RNN takes an input vector and combines it with a hidden state from the

previous step. This allows the network to learn short-term temporal dependencies without significantly increasing model complexity or increasing input size (Figure A.1).

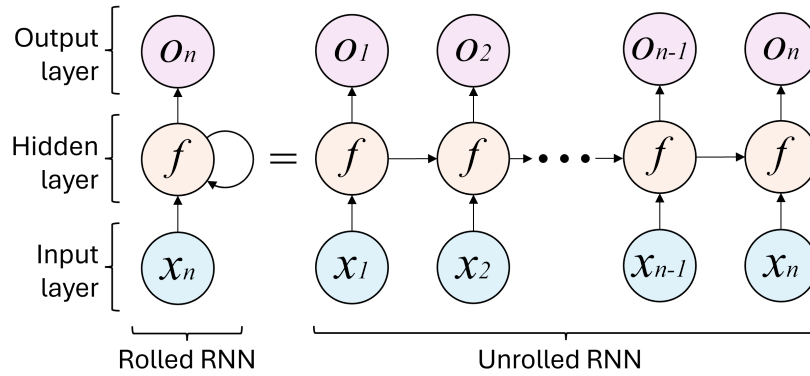


Figure A.1: Graphical representation of a simple recurrent neural network with one hidden layer.  $x_t$  represents an input feature at time  $t$ ,  $f$  represents an activation function,  $O_t$  represents an output at time  $t$ . Due to recursion, the model retains information from previous frames.

Although standard RNNs can handle short-term dependencies while keeping the parameter count manageable, thus reducing the need for excessive training data, they struggle with long-term dependencies due to the vanishing gradient problem. During backpropagation, gradients either shrink too much (vanishing gradients) or explode, making it difficult to learn relationships between frames that are temporally separated far apart.

### A.3. Long Short-Term Memory networks

Long Short-Term Memory networks (LSTM) are a type of RNN designed to address the vanishing gradient problem and effectively model long-term dependencies.

Each LSTM unit consists of several key components that regulate information flow over time (see figure A.2). The forget gate ( $f_t$ ) determines which information is retained, and which information is discarded from the previous memory cell. The input gate ( $i_t$ ) controls how much new information is stored in the memory cell, allowing the model to update its internal memory based on the incoming data. The cell state ( $C_t$ ) acts as long-term memory. It maintains long-term dependencies by retaining relevant information across frames. Finally, the output gate ( $o_t$ ) determines which information from the current memory cell is used for the final hidden state. The final hidden state serves both as the output of the model, and as short-term memory.



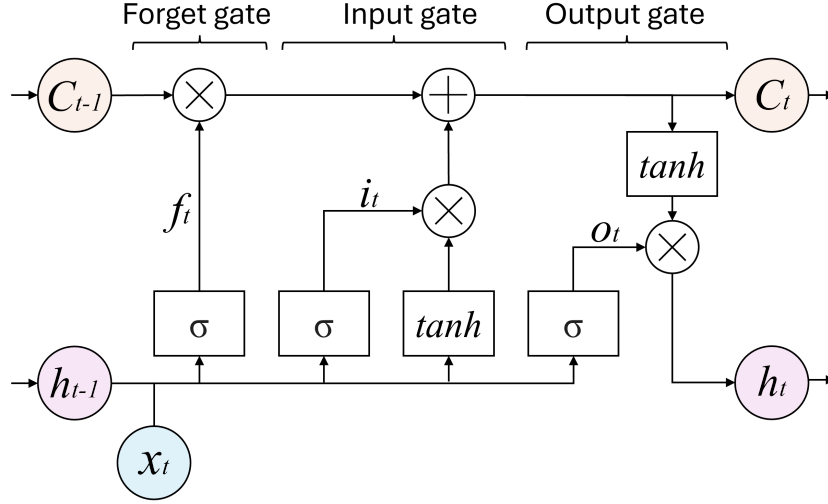


Figure A.2: Graphical representation of a long short-term memory network.  $C_t$  represents the cell state (or long term memory) at time  $t$ ,  $h_t$  the output of the model, and the sort term memory at time  $t$ ,  $f_t$  the forget gate,  $i_t$  the input gate,  $o_t$  the output gate,  $\sigma$  the sigmoid activation function, and  $\tanh$  the tanh activation function.

At each time step  $t$ , the LSTM updates its memory and hidden state by selectively forgetting, updating, and outputting information. This enables LSTMs to retain important historical information while discarding irrelevant past details, learn both short-term and long-term temporal dependencies between surgical phases, and reduce phase flickering by considering both the past and current context. Despite this, LSTMs still struggle with very long-range temporal dependencies.<sup>[61]</sup>

## A.4. Three-Dimensional Convolutional Neural Network

Three-Dimensional Convolutional Neural Networks (3D CNNs) extend traditional 2D CNNs by adding a temporal dimension to the kernel. This enables them to perform spatiotemporal convolutions, allowing the model to learn motion patterns. Although 3D CNNs are able to understand for example hand or tool movements, the kernel expansion to the temporal dimension results in a significant increase in model parameters. Thus both computational cost, and required training data is significantly increased compared to their 2D CNNs. Furthermore, 3D CNNs by themselves can only model short-range temporal dependencies, still requiring models such as LSTMs to model long-range dependencies.

## A.5. Temporal Convolutional Network

Temporal Convolutional Networks (TCNs) address the short temporal range limitation of 3D CNNs. They apply 1D convolutions over time to model temporal dependencies, using dilated causal convolutions to capture both short- and long-range relationships. The use of dilation allows the model to incorporate previous information of a much longer time span without requiring a proportional increase in the number of layers (see Figure A.3).

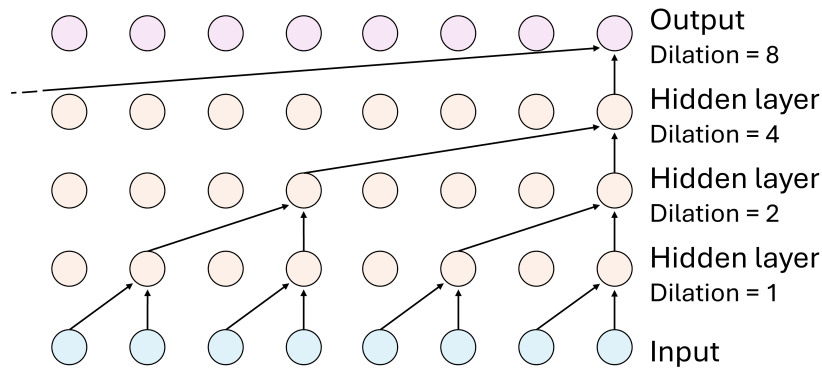


Figure A.3: Graphical representation of dilated causal convolutions. Temporal receptive field increases exponentially with increased network depth.

To prevent vanishing gradients in deep networks, TCNs incorporate residual connections, similar to ResNets. This allows them to be trained even with many layers. However, despite their advantages, TCNs still have limitations. The maximum receptive field is determined by the number of layers and dilation rates. If sequences are extremely long, TCNs may still struggle to capture dependencies between far away time steps.

TCNs can be designed with varying numbers of stages. While Ramesh et al. (2021)<sup>[138]</sup> found that a multi-stage TCN did not provide a significant improvement over the single-stage variant for both step and phase recognition, the majority of TCNs in the included literature still contain multiple stages<sup>[109;147]</sup> [135;136;110;111].

## A.6. Transformer based

Recent advances in deep learning have introduced transformers, originally developed for natural language processing (the T in GPT stands for Transformer), into the field of TAS. Transformers utilize the self-attention mechanism to process the sequential data and capture long-range dependencies.

The self-attention mechanism determines the relevance of different input tokens when producing an output. Transformers specifically utilize the multi-head attention mechanism, which allows the model to process information through multiple self-attention layers simultaneously. This gives the model the ability to focus on different aspects of the input, capturing a richer representation of it. By dividing the attention process into multiple heads, the mechanism can attend to different parts of the input sequence independently. Multi-head attention improves the expressiveness of attention layers without significantly increasing the number of parameters. It achieves this by running several attention computations in parallel and then merging their results.

In contrast to other methods, transformers are able to model extremely long-range dependencies. The self-attention mechanism computes the relevance of all parts of the input sequence simultaneously. However, given that the self-attention mechanism scales quadratically with sequence length, it is computationally expensive for long videos. Furthermore, transformers require large amounts of annotated training data. For this reason, transformers are often pretrained on large video datasets before being fine-tuned on surgical videos. For this reason, transformers are often pretrained on large video datasets before being fine-tuned on surgical videos.

Two noteworthy transformer architectures used in TAS are Vision Transformers and Video Transformer Networks.

### A.6.1. Vision Transformer

The Vision Transformer (ViT) treats each video frame as a sequence of small patches. These patches are projected into feature vectors, and a positional embedding is added to retain spatial information. The resulting embeddings are then used as tokens in the self-attention mechanism, modeling spatial de-

dependencies. In TAS, ViTs often process frames independently and primarily serve as feature extractors. They encode spatial information that can then be passed to temporal models for action segmentation.

### A.6.2. Video Transformer Network

Video Transformer Networks (VTNs)<sup>[148]</sup> extend ViTs by integrating temporal self-attention across frames. Instead of treating each frame individually, VTNs model relationships between frames, capturing both spatial and temporal dependencies.

A typical VTN consists of a feature extractor (often a 2D CNN or ViT) that extracts spatial features from each frame. This is followed by a transformer encoder that applies self-attention across frames, allowing the model to capture long-range dependencies. Finally, the sequence is passed through a classifier which predict the phase of the current frame.

While transformers have proven to be effective in TAS, their high computational cost, and training data requirements remains a challenge.

# B

## Limited Supervision Learning

Within the broader domain of action segmentation or recognition, several levels of supervision are used depending on annotation availability:<sup>[22]</sup>

- *Fully supervised*: Each frame of every training video is annotated with an action label that is available for training.
- *Point-level supervised*: For each action instance in a video, a single frame (a "point") within its temporal duration is labeled.
- *Weakly supervised*: Only coarse-grained labels are available for training. These can be an ordered list of occurring labels<sup>[115,116]</sup>, or a set of all possible labels without information about order or occurrence<sup>[117]</sup>.
- *Semi-supervised*: The training set is divided into a small set of fully annotated videos and a (typically larger) set of unlabeled or weakly labeled videos.
- *Self-supervised*: Models are pretrained on a pretext task (such as ordering shuffled frames) such that they learn meaningful features from unlabeled data. The learned features are then leveraged for a downstream task, i.e. supervised, semi-supervised, or unsupervised TAS.
- *Unsupervised*: No labels are available for training.

Although the temporal segmentation of medical videos literature uses a large variety of models, most (~97%) are fully supervised learning methods, training only on fully annotated data. Obtaining large amounts of fully annotated surgical data is time-consuming and requires specific domain expertise. Therefore, limited supervised learning approaches (weakly supervised, semi-supervised, self-supervised and unsupervised learning) provide promising alternatives. By leveraging both (coarse-grained) labeled and unlabeled data, they can achieve on-par performance with supervised alternatives whilst reducing the amount of time-intensive and costly labels used.

In the following sections, various limited supervision methods, and their implementation in TAS are discussed.

### B.1. Weakly supervised methods

Weakly supervised techniques aim to reduce the annotation burden by minimizing the reliance on dense frame-level supervision. Among the studied forms of weak supervision in temporal action segmentation, action transcript-based and action set-based methods are popular, each providing different levels of supervision.<sup>[23]</sup>

#### B.1.1. Action transcript-based methods

Action transcript based methods rely on a sequential list of actions that occur in a video. They do not require frame-level annotations or temporal boundary information, thereby reducing the annotation

burden. Action transcript based methods can broadly be categorized into iterative two-stage and single-stage methods.<sup>[149]</sup>

Iterative two-stage solutions begin with an initial estimate of frame-wise labels based on the provided transcript label and progressively improve the previous predictions and re-estimate the model parameters iteratively.<sup>[150;151]</sup> Supporters of single-stage solutions argue, however, that the two-stage solutions are initialization-sensitive and may not always converge.<sup>[23]</sup>

Early works by Kuehne et al.<sup>[152]</sup> provide an example of a two-stage solution using the video modality on medical data. They model each action class using a Hidden Markov Model (HMM). The models are first initialized by an initial segmentation, generated by uniformly distributing all actions across the video timeline. The HMM parameters are optimized to maximize the likelihood that the observed video sequence is generated by the HMMs, given the action order from the transcript. Afterward, new action boundaries are inferred based on the updated model, and these boundaries are used to re-estimate the HMM parameters. Each HMM state's observation probabilities are modeled with Gaussian Mixture Models (GMMs). These steps are repeated until model convergence.

### B.1.2. Action set-based methods

Action sets are a unique unordered set of the actions that may occur in a video. They are a weaker form of supervision than action transcripts, as they lack the action ordering and frequency (how often an action occurs).<sup>[153]</sup>

Unlike transcript-based annotation, which still requires the annotator to watch the entire procedure to determine the sequence and catch rare or anomalous actions, action sets can be annotated rapidly. Since action sets do not guarantee that every listed action occurs in the video (i.e., actions can have zero occurrence),<sup>[154]</sup> and the order of actions does not need to be correct, a single universal set of possible and anomalous actions can be reused across all videos within the same domain, such as surgery. As a result, the annotation cost is extremely low and remains constant regardless of dataset size.

A 2023 study by Ding et al.<sup>[23]</sup> compared fully supervised, transcript-based, and action set-based methods on the Breakfast dataset. Fully supervised models achieved an average Mean over Frames (MoF), defined as the proportion of correctly classified frames across the video, of approximately 67%, with the top model reaching 77.5%.<sup>[155]</sup> In contrast, the best iterative two-stage transcript-based methods reached 49.9%<sup>[156]</sup>, single-stage transcript methods reached 50.8%<sup>[157]</sup>, and action set-based methods achieved 42.4%<sup>[158]</sup>. These results show that the reduction in annotation burden comes at a large cost to model performance.

### B.1.3. Weak supervision in surgical activity recognition

Ramesh et al.<sup>[116]</sup> present the only study within video based medical phase segmentation research that applies weak supervision. Their approach tries to segment the surgical videos into fine-grained surgical steps using coarse phase annotations as weak supervision. The proposed model, trained on the Bypass40<sup>[138]</sup> and CATARACTS<sup>[159]</sup> datasets, utilizes a coarse-to-fine method in which a subset of videos is annotated with detailed surgical steps, while the remainder are annotated only with higher granularity surgical phases (11 phases vs 44 steps for Bypass40<sup>[138]</sup> and 5 phases vs 19 steps for CATARACTS<sup>[159]</sup>).

To exploit both annotation types, they use a Single-Stage Temporal Convolutional Network (SS-TCN) with a ResNet-50 backbone. When step-level labels are available, the model is supervised via cross-entropy loss. For phase-only videos, they use a step-phase mapping matrix that maps step predictions to phases and apply a step-phase dependency loss. This allows the network to learn from both coarse and fine annotations without retraining. Their results show a 10–13% increase in accuracy, precision, recall, and F1 score when using both 3 step-labeled videos and 21 phase-labeled videos compared to only 3 step-labeled videos on the Bypass40 dataset. This increased to 13–22% when training on the CATARACTS dataset with 3 step-labeled videos and 22 phase-labeled videos. The study demonstrates that introducing weak supervision through coarse annotations can substantially enhance model performance in endoscopic settings.

## B.2. Self-supervised methods

In the context of temporal action segmentation (TAS), self-supervised methods aim to learn temporally and semantically meaningful video representations from unlabeled videos. These learned representations can then be transferred to downstream tasks such as surgical phase or step recognition using limited annotated data. This approach reduces reliance on expensive manual annotations, as the model no longer needs supervision to learn the structure and meaning of video sequences, but only to perform a downstream task.

Unlike semi-supervised learning, which relies on a mix of labeled and unlabeled data during task-specific training, self-supervised learning involves a two-stage process: (1) pretraining on a pretext task defined on unlabeled data, and (2) fine-tuning on the downstream TAS task. These pretext tasks are designed to encourage the model to learn temporal structure and semantic meaning in the videos, without access to ground-truth labels.

The core idea is to use automatically generated pseudo-labels in the pretext task to learn temporal and semantic features without requiring human annotation.<sup>[22]</sup> Several pretext tasks have been proposed for self-supervised TAS, which include but are not limited to:

- *Clip/frame order prediction*: The model is trained to identify the correct temporal order of shuffled frames or clips. By learning to sort sequences, the model leverages the chronological order of video frames/clips to learn discriminative temporal representations.<sup>[160]</sup>
- *Video Jigsaw*: Multiple video frames are divided into grids of patches. The model is trained to solve jigsaw puzzles on these patches from multiple frames. This trains the network to correctly identify the position of a patch within a video frame as well as the position of a patch over time.<sup>[161]</sup>
- *Rotation prediction*: A set of rotations are applied to all videos, and a pretext task is defined as prediction of these rotations.<sup>[162]</sup>
- *Video speed*: The model is trained to detect if a video is sped up, or playing at normal rate, thus learning a space-time representation.<sup>[163]</sup>
- *Contrastive learning*: Contrastive methods learn feature embeddings by bringing similar samples closer together and pushing dissimilar samples apart in latent space. In videos, this often means grouping pairs from different augmentations of the same clip or temporally nearby frames, while pushing frames from other videos further apart.<sup>[164]</sup>
- *Masked Autoencoders (MAE)*: Random portions of the data are masked and the model is trained to reconstruct the missing parts using the visible information. This helps the model to learn the context and structure of the data, including sequence dynamics and time-dependent events.<sup>[165;160]</sup> In the TAS domain, masked modeling has shown advantages over contrastive learning, particularly in efficiency and robustness. Unlike contrastive learning, MAE does not require carefully curated pairs or augmentations, reducing computational cost in many scenarios.<sup>[166]</sup>
- *Joint-Embedding Predictive Architectures (JEPA)*: JEPA extends on MAE by predicting a latent representation of the missing content instead of reconstructing pixels. This not only promotes learning of context, but also forces learning of high-level abstractions in latent space rather than detailed pixel reconstructions.<sup>[167;166]</sup>

Once the pretraining phase is complete, the learned representations are fine-tuned using a small set of annotated examples. Depending on the task and available supervision, this fine-tuning may follow fully supervised, weakly supervised, or semi-supervised methods.

A 2022 benchmark study by Thoker et al.<sup>[168]</sup> evaluated nine video-based self-supervised models on multiple datasets. They observed that while many self-supervised learning models perform well on standard datasets, performance often varies significantly depending on the downstream task and domain. Therefore, careful consideration must be given to method selection.

## B.3. Unsupervised methods

Unsupervised learning eliminates the need for any human-annotated labels during training, making it the most annotation-efficient learning method. Instead of relying on external supervision, these meth-

ods exploit intrinsic patterns in the data, such as visual similarity, motion dynamics, and temporal regularities, to learn meaningful segmentations.

In the context of Temporal Action Segmentation (TAS), unsupervised approaches generally fall into three categories: (1) two-step iterative methods, which alternate between representation learning and frame-wise clustering, (2) joint methods, which perform representation learning and clustering simultaneously, and (3) boundary detection methods, which identify action transitions within videos.<sup>[23]</sup>

The first method to perform temporal action segmentation using solely visual inputs was introduced by Sener and Yao<sup>[169]</sup>. They used a two-stage approach to segment complex activities into sub-activities that alternate between a discriminative appearance model and a generative temporal model. In each iteration, the model learns a visual representation of sub-activities (discriminative model) and their temporal ordering across videos (generative model).

The appearance model maps frame-level features into a low-dimensional embedding space using a linear transformation, optimized such that visual features from the same sub-activity are pushed together, while different sub-activities are pulled apart. This enables the model to discover visual groupings that correspond to sub-activities. To capture the structure and variation in the temporal ordering of sub-activities, they employ a Generalized Mallows Model (GMM), a probabilistic distribution over permutations. This model allows for variability in action sequence orderings across different videos and can handle missing steps.

Although unsupervised learning has a clear annotation cost advantage, it remains largely absent from surgical phase segmentation literature.<sup>[170]</sup> Several factors may contribute to this. First, unsupervised models may produce clusters that lack clinical meaning. Second, even fully supervised models struggle with the fine-grained nature of surgical activity recognition due to the high visual similarity between steps and the subtlety of transitions. Therefore, it is unlikely that unsupervised methods will produce sufficient results for clinical applications. Finally, weakly, self-, and semi-supervised methods offer a more practical compromise, requiring less annotation than full supervision while still providing clinically relevant clusters. Nevertheless, these factors remain speculative, as only a handful of studies have tested the potential of unsupervised learning in surgical phase segmentation.

## B.4. Semi-supervised methods

Semi-supervised methods only use a small number of videos that are fully annotated and many videos that are either unlabeled or include only weak labels. The techniques used in semi-supervised learning on videos can broadly be categorized into four categories discussed below.<sup>[171]</sup>

### B.4.1. Generative methods

Generative methods aim to model the underlying structure of video data by learning to generate new, realistic-looking frames or features. The core idea is that if a model can recreate what videos look like, it must have learned meaningful representations. A common approach involves Generative Adversarial Networks (GANs), where a generator network produces synthetic frames or features, and a discriminator network tries to distinguish them from real ones, encouraging the generator to improve.<sup>[172;173]</sup>

In the context of TAS, generative methods remain uncommon, due to the difficulty of producing synthetic images that are sufficiently realistic.<sup>[173]</sup> However, with recent advancements in image and video generation models, generative approaches may warrant renewed exploration in the TAS domain.

### B.4.2. Consistency regularization methods

Models are trained to maintain stable predictions under various perturbations, such as spatial or temporal augmentation<sup>[174]</sup>, noise<sup>[174;175]</sup>, time warping<sup>[175;176]</sup>, time masking<sup>[175;176]</sup>, etc. The perturbation method is crucial to the success of consistency regularization. A small perturbation would be insufficient to learn a robust model, while a larger perturbation may destroy the semantic information of original data.<sup>[174]</sup>

### B.4.3. Pseudo-labeling or self-training methods

These methods assign provisional labels (pseudo-labels) for unlabeled videos using a model trained on the labeled subset. These pseudo-labels act as supervision for further training, enabling the model to generalize beyond the labeled subset. This approach assumes that the model can generalize well enough to assign reasonably accurate labels. However, incorrect pseudo-labels can introduce noise, and degrade performance. Therefore, confidence thresholds or ensemble models are often employed to filter out low-quality pseudo-labels and mitigate error propagation.<sup>[24;25]</sup>

### B.4.4. Hybrid methods

Hybrid methods combine elements from multiple semi-supervised strategies, such as integrating consistency regularization with pseudo-labeling<sup>[177]</sup>, to achieve optimal outcomes.

### B.4.5. Semi-supervised learning in video-based medical phase segmentation

Shi et al.<sup>[132]</sup> is the only study within the video based medical phase segmentation domain that uses semi-supervised learning. Their novel SurgSSL framework is a hybrid approach combining what they call a self-supervised Visual and Temporal Dynamic Consistency (VTDC) method with pseudo-labeling.

Given a video clip, VTDC creates sub-clips by downsampling the clip in the time dimension using flexible stride and using conventional visual-level data augmentation such as flipping, rotation and mirroring, on every frame. Given two subsequences from the same video clip, they train the model to give consistent predictions, similar to consistency regularization. The second stage of SurgSSL performs pseudo-labeling on the VTDC-subclips.

SurgSSL<sup>[132]</sup> is tested on the Cholec80<sup>[100]</sup> and M2CAI16<sup>[100]</sup> datasets, and shows 4-8% accuracy increase for various labeled/unlabeled data ratios compared to fully supervised models trained only on the labeled data. The authors also show that using only 50% of labeled videos yields results nearly equivalent to full-supervision on the Cholec80<sup>[100]</sup> dataset, demonstrating the method's potential for reducing annotation burden in surgical phase segmentation.

## B.5. Conclusion

The choice of learning method for surgical phase segmentation represents a fundamental trade-off between annotation cost and model performance. Each level of supervision offers advantages and limitations that influence its applicability (to the clinical domain).

Fully supervised methods offer the highest accuracy, as they learn directly from frame-level annotations. However, this accuracy comes at an very high annotation cost, requiring surgical domain experts to annotate each frame, which is both time consuming and impractical for large datasets.

Point-level supervision offers a slight reduction in annotation burden by requiring only a single labeled frame per action instance, rather than all frames including temporal boundaries. While this reduces labeling time, it still remains relatively annotation-intensive, and requires domain expert.

Weakly supervised methods significantly reduce the annotation effort. Transcript-based approaches rely on an ordered list of actions occurring in the video, which removes the need for frame-level annotations, but still requires a domain expert to watch the entire video. In contrast, action set-based methods offer a more scalable solution by only requiring an unordered set of possible actions. These sets can be reused across videos, drastically reducing annotation burden. However, this simplicity comes at the cost of reduced model performance.

Self-supervised learning eliminates the need for any labels during pretraining by using pretext tasks to learn temporal and semantic video representations. The pretrained models are then fine-tuned with a small amount of labeled. Although computationally intensive, self-supervised learning can drastically reduce annotation requirements, especially when combined with limited supervision in downstream tasks.

Unsupervised learning, while theoretically the most annotation-efficient, remains largely unexplored in surgical phase segmentation. Its limited adoption is likely due to possible challenges in producing clinically meaningful segmentations in the absence of any supervision.



Semi-supervised learning offers a compelling middle ground, combining a small amount of labeled data with a larger pool of unlabeled or weakly labeled videos. Techniques such as pseudo-labeling, consistency regularization, and hybrid training strategies have demonstrated significant performance gains in surgical datasets like Cholec80<sup>[100]</sup>, in some cases approaching the performance of fully supervised models using only half the annotations.

In conclusion, while fully supervised methods remain the gold standard in surgical phase segmentation performance, they are rarely feasible in practice due to the cost of annotation. Among the alternative methods, combining self-supervised representation learning with hybrid semi-supervised learning, holds the most potential for surgical phase segmentation. It effectively reduces annotation burden while maintaining clinical relevance and segmentation quality.

## C

## Data subset details

The distribution of procedures across datasets, and the phase occurrence rates in each dataset are presented in tables C.1 and C.2 respectively.

Table C.1: Distribution of procedures across datasets. 'ID' refers to the internally used procedure identifier. 'T' indicates the procedure is used for training, 'V' for validation, and 'E' for testing.

ID	5A	5B	5C	10A	10B	10C	20A	20B	20C	30A	30B	30C	40A	40B	40C	50	65	80	100	146	Test
100										T			T			T	T	T	T	T	
101													T			T	T	T	T	T	
102									T			T			T	T	T	T	T	T	
105									T			T			T			T	T	T	
112									T			T			T			T	T	T	
119																					E
121												V			V				V	V	
122																					
127									T			T			T		T	T	T	T	
134	T			T			T			T			T			T	T	T	T	T	
140																					
142											V			V				V	V	V	
143													T			T	T	T	T	T	
148																					
167																					E
168																				V	
182																					E
183												T			T					T	
184																				T	
189																				T	
195																					E
197													T			T	T	T	T	T	
199															T		T	T	T	T	
221														V			V	V	V	V	
228																					E
234																					E
240																					E
243												T			T					T	
246																				T	
252	T			T				T			T			T					T	T	
253																				T	
256		T				T			T			T			T				T	T	
259							T			T			T			T	T	T	T	T	
266																					E
273										T			T			T	T	T	T	T	
282								T			T			T				T	T	T	
290															V					V	
292																					E
302								T			T			T						T	
307																					E
310																					E
312															T					T	
314											T			T					T	T	
316											T			T						T	
320								T			T			T					T	T	
324															T	T	T	T	T	T	
327									V			V			V					V	
334	V			V			V			V	V		V					V	V	V	

ID	5A	5B	5C	10A	10B	10C	20A	20B	20C	30A	30B	30C	40A	40B	40C	50	65	80	100	146	Test
335																				T	
346																					E
350					T			T			T			T			T	T	T	T	
360																				V	
362											T			T		T	T	T	T	T	
364									T			T			T				T	T	
365										T			T			T	T	T	T	T	
369							T			T			T			T	T	T	T	T	
371								T			T			T					T	T	
378																					E
383							V			V			V			V	V	V	V	V	
388																				T	
395																					E
399									T			T			T			T	T	T	
400															T					T	
404									T			T			T					T	
411				T			T			T			T			T	T	T	T	T	
416			V			V			V			V			V				V	V	
421		T			T						T								T	T	
422								V			V						V	V	V	V	
435	V			V			V			V			V			V	V	V	V	V	
438							T			T			T			T	T	T	T	T	
446																					E
449									T			T			T		T	T	T	T	
453							T			T			T			T	T	T	T	T	
458						T			T			T			T					T	
461																					E
462																					E
467											T			T				T	T	T	
474																					E
476										T			T			T	T	T	T	T	
478																					E
485										V			V			V	V	V	V	V	
488																					E
491										V			V			V	V	V	V	V	
495																					E
501	T			T			T			T			T			T	T	T	T	T	
502	T			T			T			T			T			T	T	T	T	T	
503	T			T			T			T			T			T	T	T	T	T	
508																				T	
509																					E
510														T					T	T	
515																				T	
526			T			T			T			T			T			T	T	T	
537																					E
540																					E
542						T			T			T			T					T	
545													T			T	T	T	T	T	
548												T			T			T	T	T	
550											T									T	
555														T		T	T	T	T	T	
569							T			T			T			T	T	T	T	T	
574							T			T			T			T	T	T	T	T	
576																				T	
579				T			T			T			T			T	T	T	T	T	
581						V			V			V			V				V	V	
585																				T	
586					T				T					T						T	
591															T					T	
592									V			V			V				V	V	
595																					E
597																					E
600							V			V			V			V	V	V	V	V	
605															T		T	T	T	T	
620																					E
624																				T	
625																					E
626																				T	
627										T			T			T	T	T	T	T	
638														T					T	T	
643																					E
645						T			T			T			T		T	T	T	T	
646								V			V			V		V	V	V	V	V	
648								T			T			T						T	
650																					E
652												T			T			T	T	T	
661																				T	
662					V			V			V			V				V	V	V	
666														T					T	T	
670																					E
678																					E
679								T			T			T					T	T	

ID	5A	5B	5C	10A	10B	10C	20A	20B	20C	30A	30B	30C	40A	40B	40C	50	65	80	100	146	Test
691					T			T			T			T		T	T	T	T	T	
693													T			T	T	T	T	T	
695												T				T	T	T	T	T	
712																					T
732		T			T			T			T			T							T
736																					
738				T			T			T			T				T	T	T	T	
742											V			V		V	V	V	V	V	
745											T			T					T	T	
751			T			T			T			T			T		T	T	T	T	
752																				V	
753														T				T	T	T	
756																				T	
757													V			V	V	V	V	V	
760																					
767														T				T	T	T	
768																					
771												V				V				V	
772			T			T			T			T				T	T	T	T	T	
781																				V	
783													T				T	T	T	T	
791																				T	
792						T			T			T				T		T	T	T	
805												T				T	T	T	T	T	
810																T	T	T	T	T	
812		T			T			T			T			T		T	T	T	T	T	
814							T			T			T				T	T	T	T	
815								T			T			T			T	T	T	T	
816																					
824													T				T	T	T	T	
827																					
829													V			V	V	V	V	V	
836																				T	
839														T				T	T	T	
845																					
854															V					V	
856														V			V	V	V	V	
858										T			T			T	T	T	T	T	
869														T						T	
871								T			T			T						T	
879												T			T			T	T	T	
881										T			T				T	T	T	T	
889					T			T			T			T			T	T	T	T	
892																					
914									T			T							T	T	
917																				T	
928																					
933																				T	
936							T			T			T				T	T	T	T	
942												T			T				T	T	
944				V			V			V			V			V	V	V	V	V	
946													T			T	T	T	T	T	
966											T			T					T	T	
968				T			T			T			T			T	T	T	T	T	
973																					
980																					
986										T			T								
993											T			T		T	T	T	T	T	
999											T			T			T	T	T	T	

Table C.2: Class occurrence rates (%) and number of segments per train, test, and validation dataset

Training sets & testing set																					
Clinical Phase	5A	5B	5C	10A	10B	10C	20A	20B	20C	30A	30B	30C	40A	40B	40C	50	65	80	100	146	Test
0	9.1	19.0	14.2	6.1	17.6	15.9	6.6	25.3	14.1	9.5	23.9	14.1	14.5	20.8	13.3	13.7	13.6	16.0	16.4	15.9	22.1
1	1.0	1.1	0.7	0.7	1.6	1.0	1.0	1.2	1.1	1.0	1.4	1.2	0.9	1.3	1.1	0.9	0.9	0.9	1.0	1.1	1.4
2	20.6	25.3	21.9	21.4	20.7	24.3	20.2	20.3	25.6	21.0	21.2	24.6	20.0	22.2	25.2	20.5	21.4	21.3	21.6	22.4	21.9
3	16.8	10.2	11.5	15.2	11.0	11.2	15.7	8.7	11.5	14.7	8.7	12.2	14.5	10.8	11.5	14.5	14.0	13.2	13.2	13.2	11.6
4	1.0	0.8	1.8	0.8	0.7	1.3	0.6	0.5	0.9	0.7	0.9	0.9	0.8	0.9	0.8	0.7	0.7	0.8	0.7	0.8	0.6
5	12.0	12.6	9.3	16.2	14.3	10.4	16.2	14.8	9.2	15.1	13.6	9.1	12.9	12.6	10.9	12.0	11.6	10.8	11.2	11.2	11.4
6	1.8	2.2	1.8	2.4	2.0	1.8	2.2	1.6	2.0	2.1	1.8	2.1	2.0	1.9	2.1	2.0	2.0	2.0	2.0	2.0	1.7
7	12.4	11.3	11.2	10.4	10.3	11.3	10.9	8.4	11.8	10.7	8.7	11.3	10.6	9.1	10.7	11.1	11.2	10.9	10.8	10.8	11.7
8	3.1	4.8	4.4	3.4	4.7	4.4	3.3	4.2	4.8	3.7	3.9	4.3	3.6	4.0	3.8	3.8	4.1	4.1	3.9	3.9	2.9
9	7.5	7.9	8.2	7.7	7.0	7.6	9.2	6.6	7.1	8.9	6.9	7.8	8.2	7.1	7.9	8.2	8.1	7.9	7.8	7.7	7.1
10	1.3	1.1	1.3	1.3	1.8	1.3	1.5	1.5	1.9	1.5	1.4	2.2	1.4	1.3	1.9	1.5	1.7	1.6	1.5	1.5	1.6
11	3.3	3.7	5.2	3.1	4.4	4.2	5.5	4.0	4.1	4.9	4.5	5.7	4.9	4.8	5.1	5.2	5.1	4.9	4.9	5.0	3.6
12	1.8	0.0	5.7	1.9	0.8	3.2	1.3	0.6	2.4	1.0	0.6	1.7	1.0	0.6	1.5	1.0	0.9	1.2	1.1	1.0	0.4
13	8.3	0.0	2.8	9.5	2.9	2.0	5.8	2.3	3.4	5.2	2.5	2.7	4.7	2.6	4.3	4.7	4.7	4.3	3.9	3.5	1.9
n-segments	1148	980	1236	2094	2214	2346	4540	5057	4140	7094	7068	6267	9443	9162	8730	11303	14582	17426	22510	33537	12514

Validation sets																					
Clinical Phase	5A	5B	5C	10A	10B	10C	20A	20B	20C	30A	30B	30C	40A	40B	40C	50	65	80	100	146	
0.0	15.2	53.5	9.9	11.7	49.7	14.0	8.5	39.4	16.2	15.9	31.9	21.0	13.3	33.5	19.1	15.7	18.8	23.5	22.8	22.7	
1.0	0.8	1.3	1.4	0.8	1.0	1.0	0.7	1.6	1.5	0.9	1.7	1.2	0.9	1.9	1.0	1.0	1.4	1.4	1.3	1.2	
2.0	29.6	9.6	28.2	27.9	13.2	28.0	19.6	17.2	29.5	24.5	20.5	26.9	24.8	20.2	23.7	23.9	23.3	22.5	23.5	22.6	
3.0	7.8	7.5	12.0	10.5	7.9	16.2	9.4	7.6	12.6	10.0	11.6	11.3	11.5	10.9	9.8	13.0	12.2	11.2	11.1	11.1	
4.0	0.8	0.3	0.7	0.6	0.4	0.7	0.9	0.8	0.7	0.7	0.8	0.6	0.8	0.7	0.6	0.8	0.7	0.7	0.7	0.7	
5.0	9.7	3.1	14.1	8.4	3.8	6.7	6.8	4.8	7.4	7.2	4.6	7.3	7.9	6.6	9.4	7.3	8.0	7.2	7.3	8.4	
6.0	1.6	1.8	3.5	2.5	1.7	2.4	2.1	1.8	2.2	1.9	1.7	2.1	1.8	1.7	1.8	1.7	1.8	1.8	1.8	1.9	
7.0	18.7	6.8	12.7	13.8	6.7	7.6	26.0	6.9	9.5	18.1	7.1	8.1	17.2	8.0	14.0	15.1	14.0	12.5	11.9	12.6	
8.0	3.5	1.3	7.7	5.2	1.4	4.5	4.8	2.1	4.6	3.9	2.7	3.7	3.6	2.6	3.5	3.6	3.3	3.1	3.2	3.2	
9.0	10.9	5.7	9.2	11.7	5.3	7.4	8.8	5.8	8.8	6.1	7.8	7.7	6.5	6.9	7.5	7.6	6.9	6.7	6.9	6.5	
10.0	1.2	1.8	0.7	1.0	1.4	1.0	1.2	1.2	1.2	0.9	1.3	1.2	1.1	1.1	1.1	1.1	1.0	1.1	1.1	1.1	
11.0	0.4	7.3	0.0	5.7	7.6	1.0	9.1	10.9	0.9	8.5	8.1	1.0	8.0	6.1	1.2	7.0	7.1	7.0	6.0	4.9	
12.0	0.0	0.0	0.0	0.0	0.0	1.2	0.5	0.0	0.6	0.3	0.0	1.4	0.5	0.0	1.1	0.4	0.3	0.3	0.4	0.7	
13.0	0.0	0.0	0.0	0.0	0.0	8.6	1.7	0.0	4.2	1.0	0.0	6.6	2.1	0.0	6.4	1.7	1.3	1.0	2.0	2.4	
n-segments	257	385	142	477	720	421	1070	1174	851	1727	1748	1463	2361	2433	2171	2987	3868	4794	5835	8424	

# D

## Results

A summary of class-wise segmentation accuracies and Levenshtein edit distance scores of all analyzed models can be found in Table D.1 and D.2, respectively. Detailed per-dataset and per-phase results, including visual representations of predictions made on the test set, can be found using the following link:

[https://tud365-my.sharepoint.com/:f:/r/personal/gdebakker\\_tudelft\\_nl/Documents/Master%20thesis%20-%20G.%20de%20Bakker?csf=1&web=1&e=eNpcAw](https://tud365-my.sharepoint.com/:f:/r/personal/gdebakker_tudelft_nl/Documents/Master%20thesis%20-%20G.%20de%20Bakker?csf=1&web=1&e=eNpcAw)

Access can be requested by sending an email to: [g.debakker@student.tudelft.nl](mailto:g.debakker@student.tudelft.nl)

Table D.1: Class-wise segmentation accuracy (%  $\pm$  std) of analysed models.

Phase	Number of procedures									
	5	10	20	30	40	50	65	80	100	146
MS-G3D	24.12 $\pm$ 7.16	31.16 $\pm$ 4.05	38.20 $\pm$ 1.39	37.15 $\pm$ 5.43	41.11 $\pm$ 0.79	N/A	N/A	N/A	N/A	N/A
MS-G3D + LSTM	60.86 $\pm$ 0.94	66.44 $\pm$ 4.13	69.63 $\pm$ 3.46	71.76 $\pm$ 3.48	73.66 $\pm$ 1.10	N/A	N/A	N/A	N/A	N/A
MS-G3D + TCN	62.89 $\pm$ 3.06	66.12 $\pm$ 0.55	70.72 $\pm$ 0.31	73.13 $\pm$ 1.94	73.06 $\pm$ 0.77	N/A	N/A	N/A	N/A	N/A
PR-GCN	28.65 $\pm$ 4.23	34.12 $\pm$ 5.23	36.60 $\pm$ 4.67	42.64 $\pm$ 2.59	38.77 $\pm$ 5.97	41.90	45.08	43.22	43.39	51.32
PR-GCN + LSTM	61.49 $\pm$ 3.89	65.49 $\pm$ 5.31	69.69 $\pm$ 3.80	74.52 $\pm$ 5.33	74.35 $\pm$ 3.08	77.35	77.87	77.68	77.39	83.95
PR-GCN + TCN	64.21 $\pm$ 3.44	72.12 $\pm$ 1.53	71.01 $\pm$ 2.58	72.40 $\pm$ 3.10	74.56 $\pm$ 2.48	N/A	N/A	N/A	N/A	N/A
prePR-GCN	28.17 $\pm$ 6.27	32.83 $\pm$ 4.55	33.96 $\pm$ 8.39	40.71 $\pm$ 3.32	40.02 $\pm$ 1.66	46.50	49.78	40.16	51.12	53.37
prePR-GCN + LSTM	60.05 $\pm$ 8.31	67.85 $\pm$ 4.57	69.18 $\pm$ 7.39	72.47 $\pm$ 4.15	74.00 $\pm$ 1.96	77.86	79.86	75.95	83.19	83.85
pseudo(PR-GCN + LSTM)	66.14 $\pm$ 3.39	71.78 $\pm$ 4.53	72.49 $\pm$ 4.79	75.89 $\pm$ 3.40	78.29 $\pm$ 1.36	N/A	N/A	N/A	N/A	N/A

Table D.2: Levenshtein edit distance (%  $\pm$  std) of analysed models.

Phase	Number of procedures									
	5	10	20	30	40	50	65	80	100	146
MS-G3D + LSTM	.6057 $\pm$ .0411	.5558 $\pm$ .0133	.5476 $\pm$ .0462	.5380 $\pm$ .0262	.5321 $\pm$ .0077	N/A	N/A	N/A	N/A	N/A
MS-G3D + TCN	.6788 $\pm$ .0672	.7299 $\pm$ .0468	.6952 $\pm$ .0252	.6716 $\pm$ .0094	.6763 $\pm$ .0547	N/A	N/A	N/A	N/A	N/A
PR-GCN + LSTM	.5616 $\pm$ .0432	.5333 $\pm$ .0239	.4760 $\pm$ .0320	.56.41 $\pm$ .0196	.4716 $\pm$ .0379	.3992	.4951	.5201	.4663	.3837
PR-GCN + TCN	.6921 $\pm$ .0216	.6959 $\pm$ .0337	.6267 $\pm$ .0293	.6161 $\pm$ .0635	.6192 $\pm$ .0091	N/A	N/A	N/A	N/A	N/A
prePR-GCN + LSTM	.5578 $\pm$ .0533	.5364 $\pm$ .0237	.4911 $\pm$ .0374	.5795 $\pm$ .0555	.5085 $\pm$ .0199	.4462	.4907	.4379	.4085	.4639
pseudo(PR-GCN + LSTM)	.5802 $\pm$ .0069	.5364 $\pm$ .0237	.4888 $\pm$ .0281	.5254 $\pm$ .0669	.4823 $\pm$ .0328	N/A	N/A	N/A	N/A	N/A

# Statistical comparison of models using the Friedman test

## E.1. Methodology

When comparing multiple machine learning models across different experimental conditions, it is important to determine whether observed performance differences are statistically significant. This study employs the Friedman test, a non-parametric test that is commonly used to detect differences among several related groups.<sup>[119]</sup>

In the Friedman test, the algorithms are ranked separately for each data, where the best performing algorithm gets rank 1, the second best rank 2, etc. The average ranks of each algorithm is then computed by  $R_j = \frac{1}{N} \sum_i r_i^j$ , where  $r_i^j$  is the rank of the  $j$ -th out of  $k$  algorithms on the  $i$ -th out of  $N$  data sets.

The null hypothesis  $H_0$  is that all models perform equivalently, i.e., they have equal expected ranks  $R_j$ . The alternative hypothesis is that at least one model differs significantly from the others. The Friedman statistic is computed as:

$$\chi_F^2 = \frac{12N}{k(k+1)} \left[ \sum_j R_j^2 - \frac{k(k+1)^2}{4} \right] \quad (\text{E.1})$$

If the null hypothesis is rejected, post-hoc tests are required to identify which specific model have statistically different performance. In this work, the Nemenyi test is used, which compares all classifiers pairwise. If the difference in average ranks between two models exceeds the critical difference, their performances are considered significantly different.

## E.2. Implementation

In this study, each feature extractor temporal model combination was initially trained 15 times, three times per training set size (5, 10, 20, 30, 40). Accuracy-based rankings are presented in table E.1, where columns represent the different models, and rows represent datasets. The average ranks for the models are as follows:

- MS-G3D + LSTM: 2.73
- MS-G3D + TCN: 2.73
- PR-GCN + LSTM: 2.47
- PR-GCN + TCN: 2.07

Using equations E.1 gives a Friedman statistic  $\chi_F^2$  equal to 2.68, corresponding to a p-value of 0.44. This indicates that the obtained results do not provide sufficient statistical evidence to conclude with



Table E.1: Clip-wise segmentation accuracy-based model rankings per training set.

Dataset	MS-G3D + LSTM	MS-G3D + TCN	PR-GCN + LSTM	PR-GCN + TCN
5A	1	3	4	2
5B	4	3	1	2
5C	3	2	4	1
10A	1	4	2	3
10B	4	3	2	1
10C	4	3	2	1
20A	3	4	1	2
20B	4	1	3	2
20C	1	3	4	2
30A	2	1	3	4
30B	2	3	1	4
30C	4	2	3	1
40A	2	1	4	3
40B	3	4	1	2
40C	3	4	2	1
<b>Average rank</b>	2.73	2.73	2.47	2.07

Table E.2: Levenshtein edit distance score-based model rankings per training set.

Dataset	MS-G3D + LSTM	MS-G3D + TCN	PR-GCN + LSTM	PR-GCN + TCN
5A	2	3	1	4
5B	2	4	1	3
5C	1	4	2	3
10A	2	3	1	4
10B	2	4	1	3
10C	1	4	2	3
20A	2	4	1	3
20B	2	4	1	3
20C	2	4	1	3
30A	1	3	2	4
30B	1	4	2	3
30C	2	4	3	1
40A	2	4	1	3
40B	2	4	1	3
40C	2	4	1	3
<b>Average rank</b>	1.73	3.80	1.40	3.07

confidence that any model consistently outperforms the others in terms of clip-wise segmentation accuracy

Performing a statistical test comparing Levenshtein edit distance scores gives the average ranks listed in table E.2. The corresponding Friedman statistic  $\chi_F^2$  is 34.3 corresponding to a p-value  $\ll 0.001$  indicating that there is a model that is statistically different from the others.

To determine which model(s) differ significantly, a Nemenyi post-hoc test was performed using the *scikit\_posthocs* python library. The resulting pairwise p-values are shown in Table E.3.

The post-hoc analysis reveals several statistically significant differences between TCN-based and LSTM-based models, but no statistically significant difference between MS-G3D-based and PR-GCN based models. This suggests that LSTM-based models provide more accurate temporal sequences than TCN-based models in the context of skeleton-based surgical phase segmentation.

It is important to note that the Friedman test assumes that each “experimental condition” is independent of the others. However, because of the nested dataset structure ( $5A \subset 10A \subset 20A$ , etc.), subsets are not independent. This violates Friedman’s assumption and artificially inflates the sample size, potentially resulting in overly optimistic p-values and an increased risk of false positives. However, given the

Table E.3: Nemenyi post-hoc test results (p-values) for pairwise model comparisons based on edit distance.

	<b>MS-G3D + LSTM</b>	<b>MS-G3D + TCN</b>	<b>PR-GCN + LSTM</b>	<b>PR-GCN + TCN</b>
<b>MS-G3D + LSTM</b>	1.000	«0.001	0.894	0.024
<b>MS-G3D + TCN</b>	«0.001	1.000	«0.001	0.404
<b>PR-GCN + LSTM</b>	0.894	«0.001	1.000	0.002
<b>PR-GCN + TCN</b>	0.024	0.404	0.002	1.000

size of the achieved p-values and observing the achieved results rationally, it is expected that this does not influence the conclusion. Nevertheless, given the magnitude of the observed differences in p-values and the observed ranking patterns, it is unlikely that this changes the overall interpretation of the results.