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## A historical review of sediment export-import shift in the North Branch of Changjiang Estuary

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1 A historical review of sediment export-import shift in the North Branch

2 of Changjiang Estuary

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## 24 Abstract

Net sediment transport is predominantly seaward in fluvial-dominated 25 estuaries worldwide. However, a distributary branch in the Changjiang Estuary, 26 the North Branch, undergoes net landward sediment transport, which leads to 27 severe channel aggradation. Its controlling mechanism and the role of human 28 activities remain insufficiently understood, although such knowledge is 29 necessary for better management and restoration opportunities. In this study 30 31 we revisit the centennial hydro-morphodynamic evolution of the North Branch based on historical maps, field data, and satellite images and provide a 32 synthesis of the regime change from ebb to flood dominance. The North 33 Branch was once a major river and ebb-dominant distributary channel. within 34 which alternative meandering channels and sand bars developed. Deposition 35 of river-borne sediment leads to infilling of the branch, while tidal flat 36 embankment reduces the bankfull width and modifies the channel 37 configuration, resulting in a profound decline in the sub-tidal flow partition rate. 38 39 The North Branch then becomes tide-dominant with an occurrence of tidal bores and elongated sand ridges. Once tidal dominance is established, 40 extensive tidal flat reclamation enhances the funnel-shaped planform, 41 amplifying the incoming tides and initiating a positive feedback process that 42 43 links tidal flat loss, sediment import, and channel aggradation. Overall, the shift in branch dominance is a combined result of a natural south-eastward 44 realignment of the deltaic distributary channels and extensive reclamation. 45 One management option to mitigate channel aggradation is to stop the 46 47 aggressive reclamation and allow tidal flats to build up, which might reduce the sediment import and eventually lead to a morphodynamic equilibrium in the 48 longer term. Understanding the impact of tidal flat reclamation is informative for 49 the management of similar tidal systems under strong human interference. 50

Key words: Changjiang; Morphodynamics; Flood dominance; Reclamation;
Regime shift

## 53 **1. Introduction**

Tidal estuaries and basins can be flood- or ebb-dominant depending on 54 the basin geometry, tidal properties, the amount of inter-tidal flats, and river 55 discharge magnitude (Friedrichs and Aubrey, 1988; Ridderinkhof et al., 2004; 56 de Swart and Zimmerman, 2009). In general, short tidal basins without 57 significant tidal flats and no river discharge are more likely to be 58 flood-dominant because tidal wave deformation in shallow waters leads to 59 60 shorter rising tides and stronger flood currents (Friedrichs and Aubrey, 1988; Lanzoni and Seminara, 2002). The presence of a significant number of 61 inter-tidal flats tends to enhance ebb currents owing to the hydraulic storage 62 effect of inter-tidal flats (Speer and Aubrey, 1985). A (seaward) Stokes' return 63 flow in long basins may also benefit ebb dominance (van der Wegen and 64 Roelvink, 2008; Guo et al., 2014). River flow enhances tidal wave deformation 65 by prolonging the falling tide and intensifying ebb currents, which reinforces 66 ebb dominance (Guo et al., 2014). Ebb or flood dominance is defined herein as 67 68 the seaward or landward tide-averaged sediment transport, respectively. Flood-dominant estuaries import sediment from the sea, leading to basin 69 infilling and accretion of tidal flats. In contrast, ebb-dominant systems export 70 sediment to the sea, leading to basin emptying and enlarged channel volumes. 71 The nature of tidal asymmetry plays a dominant role in controlling the 72 large-scale estuarine morphology in the longer term. Thus, it is of practical 73 importance to understand the dynamic behaviour and controlling processes of 74 75 tidal asymmetry.

While tidal asymmetry and net dominance have been extensively studied in single-channel environments with minimal river discharge influence (Dronkers, 1986; Ridderinkhof et al., 2004), the variability of branch dominance is insufficiently studied in branched estuaries where multiple bifurcated branches exhibit different dynamics. The Changjiang Estuary is such a case: four branches connect to the coastal ocean, of which the South Branch and its seaward channels and passages are the main conduits of river-borne freshwater and sediment. In contrast, the North Branch is currently a tide-dominant branch with limited river influence. Accordingly, the South Branch is ebb-dominant and the North Branch is flood-dominant, where sediment import leads to continued net deposition and channel aggradation (Dai et al., 2016). This has raised management concerns regarding the fate of the North Branch if it is expected to be continuously infilled.

Research on the North Branch has been limited compared with that on the 89 90 other parts of the Changjiang Estuary. Few studies have examined the tidal bores (Chen, 2003), reverse flow and salt intrusion (Wu et al., 2006; Zhang et 91 al., 2019, 2020), and sedimentation and aggradation of the North Branch (Yun, 92 2004; Dai et al., 2016; Li et al., 2020; Obodoefuna et al., 2020). The North 93 94 Branch was once one of the main branches discharging riverine water and sediment to the sea, implying a regime of ebb dominance, but became 95 flood-dominant since the 1950s (Yun, 2004). However, it remains poorly 96 understood how the hydrodynamic regime in the North Branch has changed 97 98 over time and what caused the regime shift from ebb to flood dominance. Such 99 knowledge is a prerequisite for sustainable management and restoration opportunities in the North Branch and can also inform management of tidal 100 basins and estuaries elsewhere that are undergoing similar human 101 102 interventions and changes. For instance, land reclamation across the Pearl River Delta has substantially extended the shoreline towards the sea and 103 induced shrinkage of the channel volume (Liu et al., 2019). In the Western 104 Scheldt Estuary, reclamation and dredging have similarly caused channel 105 shrinkage and tidal amplification (de Vriend et al., 2011). In this study, we 106 provide a synthesis of the centennial hydro-morphodynamic evolution of the 107 North Branch based on field data to clarify historical changes and the impact of 108 human activities. Further exploration of the governing mechanisms by using a 109 numerical hydro-morphodynamic model will be presented in a future paper. 110

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## 112 **2. Physical settings and data**

The Changiang Estuary is one of the world's largest tidal estuaries in 113 terms of the magnitude of river discharge, strength of the tide, and spatial 114 scale of the tidally influenced reach. It is forced by a river discharge of 115 10,000–60,000 m<sup>3</sup>/s seasonally at the tidal wave limit and semi-diurnal tides 116 with a spring tidal range up to 5.9 m. Wind and wave effects and alongshore 117 currents are also significant but of secondary importance compared with rivers 118 and tides. The Changjiang Estuary is dynamically divided into a tidal river 119 120 upstream of Jiangyin where river forcing dominates, and a seaward tidal estuary, where both the river and tides are important (Guo et al., 2015). The 121 tidal estuary has a funnel-shaped planform, and morphologically, it features by 122 three bifurcations into four main branches entering the East China Sea (Figure 123 124 1a).

The division between the North Branch and South Branch formed as a 125 result of the first bifurcation, and the latter is presently the major conduit of 126 river-borne freshwater and sediment. The South Branch and its seaward 127 128 channels have been scientifically examined in much more detail than the North Branch owing to the importance of the former for navigation and water supply. 129 However, saltwater intrusion in the North Branch could reach the South Branch 130 and threatens the freshwater intake and supply for the reservoir surrounding 131 the South Branch (Wu et al., 2006). The strong saltwater intrusion is explained 132 by sub-tidal sea water accumulation in the upper part of the North Branch 133 because of converged Stokes' transport in response to channel narrowing 134 (Zhang et al., 2020). To mitigate saltwater intrusion, there is a plan to construct 135 136 a barrier (with gates) at the mouth of the North Branch, but the impact on the ecosystem and the fate of the North Branch remains open questions. 137

The present North Branch is a convergent tide-dominant branch with minor river influence (Figure 1b). Its upper part, from the inflow section to the bend around Qinglong, has a length of 20 km, which is relatively narrow in width, i.e., a mean bankfull width of ~2.0 km. The middle and lower parts, downward the bend until the mouth area, have a combined length of ~60 km, creating a

funnel-shaped channel with a high convergence rate. The branch width 143 increases to ~12 km at the mouth section around Lianxing. The North Branch 144 is shallower than other branches in the Changjiang Estuary, with a mean depth 145 of 2-4 m (Dai et al., 2016), as a result of sediment import and intensive 146 sedimentation over the past century. The mean tidal range at the mouth is 3.2 147 m, and it increases up to 3.8 m in the middle segment and then decreases to 148 2.6 m in the inflow section. Tidal bores are observed in the upper part of the 149 150 North Branch owing to strong wave amplification, and the maximum tidal range is 5.0 m (Chen, 2003). Elongated tidal sand ridges developed in the lower part 151 of the branch, and a mouth bar formed in the region seaward of the mouth. 152



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Figure 1. (a) The tidal estuary part of the Changjiang Estuary with its bathymetry in 2016; (b) the North Branch with its bathymetry in 1998. XLJ is the abbreviation of Xuliujing. The water depth and elevations reference to thelowest tides.

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We collected data in the form of historical maps showing the large-scale 159 topography of the delta, instrumental bathymetry data of the North Branch 160 detailing the underwater morphology, and satellite images. Historical 161 maps published since the 17th century were collected to illustrate the planform 162 163 changes throughout the estuary and in the North Branch (see sections 3.1 and 3.2). The majority of the historical maps were collected from the University of 164 library Texas (http://legacy.lib.utexas.edu/maps/historical/), the Virtual 165 Shanghai website (https://www.virtualshanghai.net), the David Rumsey Map 166 Collections (https://www.davidrumsey.com), and the United States Library of 167 (https://www.loc.gov), unless otherwise specified. Digitized 168 Congress bathymetric data from 1958, 1978, 1998, and 2019 were geo-referenced and 169 analysed in-depth using GIS tools (see section 3.3). Satellite images captured 170 171 since 1974 were collected from Landsat (https://earthshots.usgs.gov), and historical coastline changes were identified based on the dikes and levees. 172

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## **3. Hydro-morphodynamic evolution**

## 175 **3.1 Initial branch bifurcation**

The initial formation of the North Branch is part of the development story of 176 the entire Changjiang delta. The development of the present sub-aerial delta 177 started from the infilling of an incised valley seaward of Yangzhou formed 178 during the low sea-level conditions (Figure 2; Chen et al., 1985). The present 179 delta began to prograde eastward when rising sea levels reached a height 180 close to present levels, which was around 6000-7,500 aBP (Wang et al., 2018). 181 The tides play a role in enhancing sediment deposition (Uehera et al., 2002). 182 Several sand bars and shoals successively developed in the river valley 183 184 (Figure 2; Chen et al., 1979; Li et al., 2002), which later developed into large shoals and/or merged into the northern delta plain (Li et al., 2000, 2002; Zhang 185

and Meng, 2009; Jiang et al., 2020). The distributary channels over the delta
then moved south-eastward step-by-step with an infilled valley and delta
build-up (Figure 2).



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Figure 2. A sketch of the historical development of the Changjiang River delta
over the past 2,000 years, with identified historical coastlines and sand bars
Adopted from Chen et al. (1985).

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The sand bars scattered throughout the estuary changed profoundly in 194 size and location owing to channel migration, and no stabilized channels were 195 identified prior to the 7<sup>th</sup> century owing to alternating erosion and deposition 196 processes. Thereafter, several small mid-channel sand bars were combined to 197 produce one large sand bar, which formed the base of the present Chongming 198 Island. Starting from the 7<sup>th</sup> century, human settlements on the sand bars and 199 other human activities helped to stabilize the coastlines of this island (Chen et 200 al., 1979, 1985). The stabilized Chongming Island then led to a stable 201 bifurcation between the South Branch and the North Branch. 202

203

## **3.2 Stabilized development**

Field data of the North Branch are rare prior to the 1950s, and the

morphological evolution of this branch is interpreted mainly based on historical 206 geography maps and geological studies. The North Branch was a main 207 distributary channel flushing a major portion of fluvial water and sediment to 208 the sea prior to the 1860s (Yun, 2004), with a sub-tidal flow partition rate (i.e., 209 the ratio of the tide-averaged flow towards the North Branch compared to the 210 total of North and South branches) exceeding 50%. Deposition of 211 river-supplied sediment within the North Branch caused rapid development of 212 213 the northern delta plain and an overall south-eastward realignment of the entire delta (Figure 3). As a result, the majority of the fluvial water and 214 sediment has been diverted into the South Branch since the 19th century, and 215 the North Branch has since become a secondary distributary channel (Chen 216 217 and Li, 2002).

Historical maps reveal the planform changes in a straightforward manner, 218 although they lack details of the underwater bathymetry (Figures 3 and S1–S2). 219 The initial North Branch was fairly wide and appeared to be a sub-basin rather 220 221 than a branch; it was initially called the North Entrance following its formation. Continued sedimentation led to the development of a series of sand bars and 222 shoals inside the North Branch. In the late 19<sup>th</sup> century, the sand bars that 223 formed around the mouth section merged into the northern bank, resulting in a 224 profound south-eastward advance of the northern delta plain (i.e., eastward by 225 ~27 km and southward by ~15 km) between 1842 and 1912 (Figure 3; Yun, 226 2004). Since then, the changes along the northern coastline of the North 227 Branch became limited owing to shoreline protection activities. The inflow 228 section of North Branch had a width of 15 km, and the mouth section was 36 229 km in width in 1842 (Yun, 2004). However, the inflow segment narrowed 230 significantly after the 1860s due to the formation and merging of sand bars into 231 the northern bank, leading to a reduction in width to 5.8 km in 1915 (see Figure 232 233 4a).



234

Figure 3. Planform changes of the Changjiang Delta and the North Branch in the past 300 years: (a) 1670, (b) 1842, (c) 1860, (d) 1880, (e) 1890, and (f) 1915. Adopted from Huang (1986) and Yun (2004) and historical maps (see Figures S1 and S2).

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More details regarding the underwater bathymetry are available in maps 240 241 published in 1915–1917 (see Figure S2). The North Branch was nearly uniform in width at that time, although it had a curved planform (Figure 4a). The 242 sub-tidal flow partition ratio reduced to approximately ~25% in 1915, which 243 implies a significant fluvial influence (Zou, 1981; Chen et al., 1988). 244 245 Meandering channels and sand bars developed inside the North Branch, and the overall channel-shoal configuration was consistent with the curved 246 planform (Figure 4a). Deeper ebb channels developed toward the outer bends 247 of the meanders while flood channels flanked the sand bars. In the mouth zone, 248 sedimentation produced a mouth bar, and the ebb tidal delta grew larger over 249 time. This channel-shoal pattern is typical of that in long tidal basins and 250 estuaries (van Veen et al., 1950). 251

Beginning in 1958, the North Branch became much narrower, predominantly owing to strong sedimentation along the southern bank (Figure 4b); however, the northern bank had also retreated by 2–3 km on average

between 1907 and 1958 (Zou, 1987; Yun, 2004). The width of the Qinglong 255 section decreased from 6 km in 1917 to 2 km in 1958, while that of the Sanhe 256 section decreased from 8.5 km in 1917 to 4.0 km in 1978 (Chen et al., 1985). 257 Convergence in planform started to emerge owing to more width reduction in 258 the upper regions of the branch. Moreover, the North Branch also became 259 shallower and the meandering channel-shoal structure vanished. Its sub-tidal 260 flow partition rate declined to ~7.6% in 1958 (Zou, 1987; Yun, 2004), implying a 261 262 decreased river influence and a change towards tide dominance. Tidal bores began forming in the 1940s (Chen and Shen, 1988). In addition, the partition 263 rate of the sub-tidal flow was negative during the spring tides in the dry season 264 as early as 1959, suggesting a reversed flow and the occurrence of flood 265 dominance. Sediment import led to a net deposition of 1.45 km<sup>3</sup> between 1915 266 and 1958 within the North Branch (Zou, 1987). Therefore, the previously 267 present meandering channel-shoal structure was replaced by disconnected 268 shallow tidal channels and elongated sand ridges (Figure 4). 269



270

Figure 4. Sketches of the topography changes of the North Branch in (a) 1915,
(b) 1958, (c) 1978, (d) 1991, and (e) 2019. Historical data prior to 1991 are
acquired from Zou (1987) and Yun (2004).

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## 275 **3.3 Human-forced evolution**

The hydro-morphodynamic evolution has accelerated since 1958 owing to 276 increased human intervention. The Xuliujing section, the river reach that 277 controls the division between the South and North branches, was narrowed 278 due to the merging and diking of the sand bars along the northern bank during 279 the 1970s–1990s. As a result, the inflow section of the North Branch was 280 further narrowed as well, which substantially altered the inflow conditions and 281 the tidal regime (Figures 4 and 5). Severe sedimentation occurred in the inflow 282 segment of the North Branch due to tide-induced sediment trapping. Both 283 changes reduced the cross-sectional area of the inflow section. The channel 284

alignment of the inflow segment developed nearly normal to the main branch 285 stretching from Xuliujing to the South Branch; this deteriorated inflow further 286 reduced the partition rate and fluvial influence on the North Branch. For 287 example, the flood current duration in the Qinglong section decreased from 288 ~4.5 hours in 1958 to ~3.6 hours in 1985 (Chen, 1994; Yun, 2004). The 289 sub-tidal flow partition ratio declined to 1–2% after the 1950s (e.g., it was 1.5% 290 in 1984) (Huang, 1986). The North Branch eventually became predominantly 291 tide-dominant with very limited river influence. The tides were strongly 292 amplified in the upper part of the North Branch, leading to the formation of tidal 293 bores. The mean tidal range increased by 0.25 m in 1978 compared with that 294 in 1958. The energetic flow conditions enhanced the suspended sediment 295 296 concentrations in the upper reaches (Yang et al., 2020), where the bottom sediments were much sandier than those in the lower reaches. 297



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**Figure 5.** Changes in the (a) channel width at the mean water level, (b) cross-sectional averaged depth (referencing to the lowest tide), and (c) channel volume below the mean water level in the North Branch. Historical data of the channel volume in panel (c) are acquired from Zhang and Cao (1998) and Yun (2004, 2010).

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The infill of the North Branch continued in the 1980s, as depicted in the satellite images available since 1974 (Figure 6). The narrowing trend continued in the upper and middle reaches, as the shoals formed close to the southern bank were reclaimed, merging into Chongming Island. The length of the North Branch increased slightly because of the seaward advance of the Qidong spit and southern Chongming Island (see Figure 5a). The surface planform area of the North Branch declined by 51% over ~60 years, i.e., from ~ $6.9 \times 10^7$  m<sup>2</sup> in late 1958 to ~ $5.5 \times 10^7$  m<sup>2</sup> in 1984 and ~ $3.4 \times 10^7$  m<sup>2</sup> in 2019. As of 2019, the narrowest inflow section was <2 km in width and that of the mouth section was 7.5 km.



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Figure 6. Satellite images of the North Branch in (a) 1974, (b) 1998, and (c) 2020 (during high tide), together with the identified coastlines. The shorelines in 1920 and 1958 were obtained from historical maps (see Figure S2).

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More readily available bathymetric data since 1958 enabled the quantification of changes in the tidal flat area and channel volume (Figure 7).

The northern bank of the North Branch underwent erosion and a shoreline 322 retreat of 1.7-2.6 km on average between the 1950s and 1980s (Chen et al., 323 1985). Thereafter, the northern shorelines were protected with dikes, and the 324 shoreline retreat ceased. The southern bank of the North Branch advanced by 325 ~4.5 km on average between 1900 and 1998. The length of the branch was 326 increased by approximately 10 km in response to the advanced northern delta 327 plain and the expansion of Chongming Island during 1958-2019 (Figure 6). 328 However, the surface area of the North Branch decreased from 6.9×10<sup>7</sup> m<sup>2</sup> in 329 1958 to 3.4×10<sup>7</sup> m<sup>2</sup> in 2019. The channel width at the inflow section decreased 330 from ~5.1 km in 1958 to 2.5 km in 2019, while the width of the mouth section 331 decreased from ~15 km to ~7.5 km accordingly (Figure 5a). In addition, the 332 maximum depth decreased from 11 m in 1907 to 7 m in 1958 and 5.8 m in 333 1991 (Zhang and Cao, 1998), while the mean depth of the branch decreased 334 from 5.3 m in 1958 to 3.5 m in 2019. This indicates a significant shoaling trend 335 (Figure 5b). The channel volume below the 0 m contour (referencing to the 336 337 lowest tide, which indicates the sub-tidal channel volume) decreased from 2.90×10<sup>9</sup> m<sup>3</sup> in 1958 to 1.54×10<sup>9</sup> m<sup>3</sup> in 1978 and 0.67×10<sup>9</sup> m<sup>3</sup> in 2019 (see 338 Figure 5c), as a result of the combined influence of channel infilling and width 339 reduction. Compared with the situation in 1915, the majority of the channel 340 volume reduction occurred prior to 1958. Accordingly, the mean sedimentation 341 rate within the North Branch was 33.8×10<sup>6</sup> m<sup>3</sup> yr<sup>-1</sup> between 1915 and 1958 342 (Huang, 1986; Zou, 1987); it increased to 46.0×10<sup>6</sup> m<sup>3</sup> yr<sup>-1</sup> during 1958–1978, 343  $73.8 \times 10^6 \text{ m}^3 \text{ yr}^{-1}$  during 1978–1998, and to  $83.0 \times 10^6 \text{ m}^3 \text{ yr}^{-1}$  during 1998–2019. 344 This suggests an increased sedimentation rate over time. 345



346

Figure 7. Bathymetry of the North Branch in (a) 1958, (b) 1978, (c) 1998, and
(d) 2019. The depth is relative to the lowest tide.

349

# 350 4. Discussion

# **4.1 Impact of human activities**

Human activities have played an important role in modulating the 352 hydro-morphodynamics in the North Branch, particularly since the 1950s. The 353 river-supplied sediment to the estuary has declined since the mid-1980s owing 354 to hydropower dams and reforestation measures in the watershed (Guo et al., 355 2019), but its impact on the North Branch is thus far limited given its 356 tide-dominant nature. Engineering projects in other parts of the estuary, e.g., 357 the navigation channel regulation plans in the North Passage (see Figure 1a), 358 359 are not expected to have direct influence on the North Branch either. The main type of human intervention is diking and reclamation of the sand bars and tidal 360

flats surrounding the North Branch; activities such as dredging and barrier 361 construction are rare (Figure 8). Human settlement on Chongming Island since 362 the 7<sup>th</sup> century has enhanced the bifurcation between the North and South 363 branches. Shoreline protection by dikes along the northern bank has 364 prevented its erosion since the 1970s. Additionally, reclamation of the sand 365 bars and shoals around the Xuliujing section between 1958 and 1972 366 narrowed the inflow segment (Chen and Li, 2002), resulting in a significant 367 368 decline of its sub-tidal flow partition ratio.

In addition, the embankment of sand bars and tidal flats along the southern 369 bank has played a substantial role in altering channel convergence. Since 370 1954, the northern bank close to the Qinglong section has been protected from 371 erosion by dikes. A series of sand bars that formed in the middle segment of 372 the North Branch, e.g., the Yonglong, Xinglong, and Huanggua shoals, have 373 been reclaimed successively since the 1970s and have merged into the 374 southern bank. The upper and middle segments significantly narrowed 375 between 1915 and 1970, and the narrowing mainly occurred in the middle and 376 lower parts of the branch since the 1970s. In the past 50 years, the cumulative 377 reclamation area along the bank of the North Branch has been about  $\sim$ 940 km<sup>2</sup>, 378 which explains the significant reduction in the surface area (Figure 8). 379

Overall, extensive tidal flat embankment has profoundly reduced the 380 bankfull channel width and surface area of the North Branch. The width 381 reduction was much more significant in the upper and middle reaches, which 382 enhances the width convergence. Subsequently, the incoming tidal waves 383 were more amplified, and the associated tidal asymmetry led to flood 384 dominance and sediment import. Human activities have likely played a 385 substantial role in accelerating the aggradation of the North Branch since the 386 1950s, when it became tide-dominant. 387



## 388

Figure 8. Illustrated coastline changes of the North Branch between 1958 and
2020 based on a satellite image obtained during a low tide in May 2020.

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## **4.2 Causes of the regime shift**

The centennial morphodynamic evolution of the North Branch features 393 channel shrinkage and a regime shift. In the period prior to 1915, the fluvial 394 395 influence was still significant in supplying sediment and flushing sediment seaward in the wide North Branch. Between 1915 and 1958, the sand bars 396 around the inflow and outflow segments merged into the northern delta plain 397 and the configuration of the North Branch became more funnel-shaped with a 398 profoundly reduced river influence and partition rate compared with that of the 399 400 South Branch. Tides became strongly amplified (see Figure S4) and became the primary forcing condition initiating sediment trapping. Since 1958, 401 intensified human interferences in terms of tidal flat reclamation has further 402 403 enhanced the channel convergence, which has possibly resulted in accelerated sedimentation. Because of the deteriorated inflow configuration, a 404 405 shift from a river-tide mixed influence towards tide and flood dominance occurred when the sub-tidal flow partition ratio decreased to <5% since the 406 1950s. 407

The regime shift from ebb to flood dominance is the combined result of the natural morphodynamic adaptation of the delta and human interference. Under

the combined strong river and tidal forcing, the distributary channels migrated 410 southeastward, while the sand bars and shoals moved north-westward. The 411 sand bars also underwent erosion to the south-east side and sedimentation to 412 the northwest side (Chen et al., 1985). This large-scale behaviour is ascribed 413 to the influence of incoming oceanic tidal waves from the south-east, the 414 Coriolis force (which favours channel realignment to the right-hand side in the 415 northern hemisphere), and the southward alongshore currents. This natural 416 417 trend is in line with the observed accretion along the southern bank and erosion of the northern bank within the North Branch. In addition, this pattern is 418 also believed to facilitate the development of the distributary branches to the 419 south of the delta and the degeneration of the branch to the north. This 420 421 large-scale pattern of deltaic channel adjustment may explain the sedimentation and fate of the North Branch on the longer term. 422

As the inflow section continued to narrow and the sub-tidal flow partition 423 rate decreased, the amplified tides continued to dominate over the fluvial 424 425 influence. The tide-dominant environment leads to a shorter rising tide duration. stronger flood currents and associated sediment import and channel infilling 426 (see Figure S5). The rising tide duration was 5.4 hours at the mouth and 427 decreased to 3.1 hours at Qinglong based on data between 1988 and 2001 428 429 (Yun, 2004). It benefits larger flood currents and the development of flood dominance and sediment import (Figure S5). Other than the seaward residual 430 sediment transport in the utmost reaches landward of the Qinglong section 431 owing to the remaining river influence, landward residual sediment transport 432 dominated in the middle and lower parts of the branch owing to strong tidal 433 asymmetry (Yang and Liu, 2002). The convergence of residual sediment 434 transport explains strong sedimentation and shoaling in the upper reaches. 435 Such shoaling persists until today, as indicated by the development of tidal 436 flats that are not submerged even during high tide (see Figure 6c). The 437 438 formation of the tidal flats in the inflow section tends to reduce the channel width to <1 km therein. In the lower reaches, although the sediments imported 439

into the North Branch are derived from the sea side, these sediments mainly
originate from the Changjiang River that are flushed to the nearshore region
through the North Channel, which are then pumped into the North Branch by
the tides (Shi et al., 1985; Chen and Li, 2002).

Human activities play a role in accelerating the regime shift by reducing the 444 inflow section width and inter-tidal hydraulic storage over inter-tidal areas, 445 enhancing the channel convergence, and stabilizing the channel configuration 446 447 (see section 4.1). Moreover, progressive tidal flat reclamation following the establishment of tide dominance may initiate a positive feedback process 448 (Figure 9). Inter-tidal flats favour the development of ebb dominance owing to 449 their hydraulic storage effect; in contrast, tidal flat reclamation and the 450 subsequent loss of tidal flat areas and storage volumes is to the advantage of 451 flood dominance and sediment import. Sediment import stimulates tidal flat 452 accretion and development, which attracts more reclamation and in turn 453 enhances sediment import. This positive feedback explains the progressive 454 455 and persisting sedimentation and infilling of the North Branch in the most recent half century (Figure 9). 456



457

458 Figure 9. A conceptual diagram of the positive feedback between

human-initiated tidal flat embankments and sediment import in the NorthBranch, and a possible negative feedback when tidal flat reclamation ceases.

461

It is worthwhile to note that ebb dominance and net sediment export may 462 occur in tide-dominant estuaries. For instance, van der Wegen et al. (2008) 463 have modelled ebb dominance in a schematized tidal basin with a similar size 464 to that of the North Branch; the ebb dominance was ascribed to the impact of a 465 466 seaward Stokes' return flow and inter-tidal flats. We believe that the occurrence of flood dominance and sediment import in the North Branch is the 467 result of a limited fluvial influence owing to a small partition rate and the 468 development of a highly convergent planform which substantially amplifies the 469 470 tides. The tides are dominant over the river influence and hydraulic impact of little inter-tidal flats. High sediment availability from the Changjiang River and 471 the nearshore regions may also contribute to the persistent sediment import. 472 Further in-depth examination of the mechanisms governing the flood 473 474 dominance and its spatio-temporal variations using a numerical model will be performed in a future study. 475

476

## 477 **4.3 Water resource and ecological management perspectives**

Flood dominance and the associated sediment import have been detected 478 in many tidal basins and estuaries worldwide, which is considered to be 479 beneficial for water resource and ecological management given the worldwide 480 sediment deficiency and sea-level rise. For instance, sediment budget analysis 481 has indicated a net sediment import in the Humber Estuary in the U.K., 482 predominantly owing to a low river flow and strong tidal asymmetry that pumps 483 mud into the estuary (Townend and Whitehead, 2003). In the Dutch Wadden 484 Sea, persistent sediment import through multiple tidal inlets helps to restore 485 tidal flats, which counteracts the inundation impact of sea-level rise (Wang et 486 487 al., 2018). The flood dominance and sediment import in the North Branch in this case, however, necessitate mitigation, because too strong a sediment 488

import process results in fast channel aggradation and loss of channel volume,
which raises concerns on its disconnection from the remaining parts of the
estuary.

There are multiple objectives to be considered in the integrated 492 management of the North Branch. The shallow water and tidal flats provide 493 important habits for birds and fish and associated valuable ecosystem services. 494 The saltwater intrusion into the South Branch threatens the function of the 495 496 reservoirs that supply freshwater resources for >10 million people in Shanghai. The North Branch also provides a waterway for small boat shipping and 497 accommodates sewage from the factories and industries surrounding the 498 branch. A big concern is that continued sediment import may lead to severe 499 aggradation of the North Branch in the medium to long term. Such changes 500 would mitigate saltwater intrusion but indicate a loss of a connected branch 501 with significant ecosystem value and as a drainage channel for the 502 surrounding cities. There is a plan to build a barrier with gates at the mouth of 503 504 the North Branch to regulate tidal intrusion and sediment flushing, but no consensus has been achieved thus far regarding its potential impact on the 505 ecosystem or the estuary as a whole. 506

Authorities much protect the branch from continuous aggradations while 507 simultaneously alleviating the impact of saltwater intrusion and preserving the 508 wetlands. Considering the positive feedback impact of tidal flat reclamation, 509 one management option is to cease tidal flat embankment and allow the tidal 510 flats to build up naturally inside the branch. Once the inter-tidal flat area and 511 512 storage volume are restored, the flood dominance and sediment import may decline, and a morphodynamic equilibrium may be established. One remaining 513 question is at what time scale the branch is likely to be restored to equilibrium, 514 considering no additional anthropogenic interference. A decline in sediment 515 availability from the Changjiang River is likely to prolong this adaptation time 516 517 scale.

518 The embankment of tidal flats and the construction of dikes have long

been used as effective measures in protecting coasts from erosion and 519 flooding. However, because of high maintenance costs and the low but 520 possible risk of infrastructure failure under episodic catastrophic events, it 521 becomes increasingly clear that sustaining waterfront tidal flats and salt 522 marshes is beneficial for both coastal and ecosystem protection (Temmerman 523 et al., 2013). While delta land has increased globally (Nienhuis et al., 2020), 524 global tidal flats have decreased as a result of human-initiated reclamation 525 526 (Murray et al., 2019). A mindset change from hard engineering projects (e.g., dikes and groins) to soft eco-engineering (e.g., salt-marshes and similar 527 ecological measures) is occurring in the coastal management community. 528 Management that allows for nature to adjust and adapt to external changes 529 such as managed retreat also increase the system's resilience to floods and 530 sea-level rise (Townend and Pethick, 2002; Temmerman et al., 2003). 531 Abandoning tidal flat embankment would likely be of similar value for long-term 532 stability and resilience in the North Branch, particularly when considering a 533 534 decline in suspended sediment concentrations and sediment availability on the marine side (Yang et al., 2020). 535

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## 537 **5. Conclusions**

In this study, we revisited the centennial hydro-morphodynamic evolution 538 of the North Branch, a bifurcated branch in the fluvio-deltaic Changjiang 539 Estuary, based on a literature review and reanalysis of historical maps, satellite 540 images and bathymetric data. We see that the North Branch was once a major 541 distributary branch (sub-tidal flow partition >50%) and then became a 542 secondary branch with a sub-tidal flow partition rate reduced to ~25% in 1915, 543 when it was still ebb-dominant and developed alternative meandering 544 channels and sand bars that are typical of tidal estuaries. Continuous 545 deposition of river-borne sediment leads to a narrowing of the branch, 546 547 particularly in the outflow regions where the northern delta plain advanced quickly. In the inflow regions, human-initiated reclamation of tidal flats reduced 548

the bankfull width and modified the channel configuration. Its sub-tidal partition rate has reduced to <5% since the late 1950s, and since then the North Branch became funnel-shaped in planform and tide- and flood-dominant under forcing conditions, in which tidal bores and sand ridges developed.

We argue that the regime shift and aggradation of the North Branch are a 553 combined result of natural evolution and human activities. The south-eastward 554 realignment of the entire delta leads to abandonment of the distributary 555 556 channels to the north, e.g., the North Branch. As the sub-tidal flow partition rate decreases below a threshold, the strongly amplified tides dominate over the 557 fluvial influence, leading to the establishment of tide dominance. Subsequent 558 tidal asymmetry then induces flood dominance and sediment import, while 559 subsequent human activities in terms of extensive tidal flat reclamation 560 accelerate the changes by reducing the channel width and increasing channel 561 positive feedback is identified 562 convergence. А process between human-initiated flat embankment and enhanced sediment import, which 563 564 explains the persisting sediment import in the past century. Management of the North Branch must be performed considering the interactions among different 565 branches within the delta as a whole. We believe that abandoning aggressive 566 tidal flat reclamation may help to restore tidal flats which would mitigate 567 sediment import and channel aggradation on the longer term. Further 568 exploration of the regime changes and governing mechanisms will be 569 presented in an accompanying paper when using a numerical modelling tool. 570

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#### 572

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# 735 Supplementary Information

## 736



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**Figure S1**. Development of the Changjiang Delta and the North Branch illustrated in historical maps: (a) 1670, (b) 1705, (c) 1751, (d) 1824, (e) 1840, (f) 1872, (g) 1900, (h) 1909, and (i) 1917. The big island in the river mouth is the Chongming Island, with the North Branch (NB) to the north of it. The space scales of the historical maps were not consistent and the maps are included here to display the topography changes but not for reference of detailed morphology.



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Figure S2. Illustrated topography changes of the North Branch in historical
maps in (a) 1915, (b) 1920, (c) 1927, and (d) 1940s. These maps are from
Virtual Shanghai website.



Figure S3. Sketches of the topography and depth contours of the
Jiangyin-Wusong reaches and inflow section of the North Branch in (a) 1860,
(b) 1915, and (c) 1958. Adopted from Yu and Lu (2005) and Yun (2004).



**Figure S4.** Tidal wave amplification and deformation inside the North Branch.





**Figure S5.** Tidal currents and water level at a station close to Lianxing, which

shows stronger flood currents than ebb currents. Date measured in Nov. 2003and adopted from Meng and Cheng (2005).