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## Catchment precipitation partitioning in the Budyko framework is controlled by root zone storage capacity

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## LETTER

## Catchment precipitation partitioning in the Budyko framework is controlled by root zone storage capacity

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E-mail: [m.ibrahim@tudelft.nl](mailto:m.ibrahim@tudelft.nl)**Keywords:** root zone storage capacity, Budyko framework, precipitation partitioning, Fu's equationSupplementary material for this article is available [online](#)

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**Abstract**

Quantification of long-term partitioning of precipitation into evaporation and runoff is a fundamental pursuit in catchment hydrology. The Budyko framework provides a theoretical basis for this and estimates the evaporative fraction based on the aridity index. However, deviations from the global-average Budyko curve point to additional controls on precipitation partitioning beyond the aridity index. We hypothesized that root zone storage capacity ( $S_{r,max}$ ), defined as maximum subsurface water volume accessible to vegetation roots, is a key driver of these deviations. The relationship between  $S_{r,max}$  and precipitation partitioning in the Budyko space was investigated globally across >5000 catchments.  $S_{r,max}$  was calculated using the memory method based on runoff observations and the water balance. The  $\omega$ -parameter from Fu's equation, which was used here to construct parametric Budyko curves, reflects deviations from the global-average Budyko curve and hence precipitation partitioning. Results revealed a globally stronger correlation (Spearman's  $\rho = 0.68$ ) of  $\omega$  with  $S_{r,max}$ , than with other potential controls, indicating  $S_{r,max}$  as a dominant driver of precipitation partitioning. Further analysis based on Köppen–Geiger climatic zone classification revealed variations in the  $S_{r,max}$ – $\omega$  relationship, with the strongest correlations observed in cold ( $\rho = 0.87$ ) and Mediterranean ( $\rho = 0.83$ ) climates, followed by temperate ( $\rho = 0.76$ ), tropical ( $\rho = 0.64$ ) and arid climates ( $\rho = 0.61$ ). Regional differences in  $S_{r,max}$  indicate that, at a given aridity,  $E_A/P$  largely reflects vegetation adaptation to the seasonal interplay between water supply and atmospheric water demand. This study provides strong empirical evidence on a global scale for  $S_{r,max}$  as a governing factor in modulating catchment precipitation partitioning, as evident in the Budyko space. As a major implication our results provide a theoretical basis for the maximum values of  $S_{r,max}$  found in nature, as constrained by the water and energy limits of the Budyko framework.

**1. Introduction**

The partitioning of precipitation ( $P$ ) into evaporation ( $E_A$ ) and runoff ( $Q$ ) is a fundamental characteristic of terrestrial hydrological systems, representing the exchange of water and energy fluxes between the subsurface and atmosphere. As such, robust quantification of this long-term average partitioning at the catchment scale is crucial for many hydrological

applications. Over the past century, several non-parametric empirical–analytical models, commonly referred to as Budyko curves, have been developed to describe this partitioning (Schreiber 1904, Oldekop 1911, Budyko 1948, Turc 1954). These models relate long-term average potential evaporation ( $\bar{E}_P$ ) and precipitation ( $\bar{P}$ ), via the aridity index  $\bar{I}_A = \bar{E}_P/\bar{P}$ , to the evaporative index  $\bar{I}_E = \bar{E}_A/\bar{P}$  of a catchment. Since then, the Budyko framework has been demonstrated

in a vast number of studies to broadly describe hydrological partitioning pattern across a wide and diverse range of climatic conditions (Milly 1994, Zhang *et al* 2001, Gentine *et al* 2012, Shao *et al* 2012, Xu *et al* 2013, Berghuijs *et al* 2014).

While non-parametric Budyko curves capture global average partitioning patterns, individual catchments often deviate from these averages (Donohue *et al* 2007, Jaramillo *et al* 2022, Reaver *et al* 2022, Ibrahim *et al* 2025a). To account for these deviations, several functionally equivalent parametric reformulations of the Budyko curves have been developed (Turc 1954, Mezentsev 1955, Tixeront 1964, Fu 1981) by introducing a catchment-specific parameter, hereafter referred to as  $\omega$  [-]. The  $\omega$ -parameter encapsulates integrated catchment characteristics that influence water storage and release, beyond what is explained by  $I_A$  (Milly 1994, Zhang *et al* 2004, Donohue *et al* 2012, Shao *et al* 2012). While the  $\omega$ -parameter has enhanced our ability to describe hydrological behaviour of catchments, its physical interpretation remains challenging. As a process-agnostic parameter, statistically derived to fit observations,  $\omega$  lacks a clear physical meaning, and the factors controlling it and thus precipitation partitioning, are not well understood. Multiple factors that may influence  $\omega$  and thus precipitation partitioning have previously been proposed, including rainfall seasonality (Milly 1994, Porporato *et al* 2004, Potter *et al* 2005, Padrón *et al* 2017), fraction of snow (Berghuijs *et al* 2014), vegetation (Zhang *et al* 2001, Porporato *et al* 2004, Donohue *et al* 2012, Gentine *et al* 2012, Sterling *et al* 2012, Williams *et al* 2012, Li *et al* 2013, Xu *et al* 2013, Zhou *et al* 2015, Daly *et al* 2019), reservoirs and irrigation practices (Wang and Hejazi 2011, Jaramillo and Destouni 2015) as well as groundwater import/export (Bouaziz *et al* 2018).

Among the potential factors controlling the  $\omega$ -parameter, the role of vegetation and specifically, the role of vegetation accessible soil water storage in modulating precipitation partitioning has received particular attention. Milly (1993) introduced a stochastic soil moisture model linking soil water storage dynamics to evaporation and runoff within the Budyko framework, emphasizing the role of subsurface water in modulating the catchment hydrological responses. Building on the work of Laio *et al* (2001), Porporato *et al* (2004) incorporated vegetation dynamics by explicitly considering rooting depth and soil moisture feedbacks into their eco-hydrological model, detailing how variations in soil water storage and precipitation influence partitioning. Their theoretical-stochastic model suggests that increased vegetation accessible soil water storage increases  $\omega$  and consequently  $I_E$ . These findings have since been complemented by empirical studies. For example, Gentine *et al* (2012),

using data of  $\sim 400$  US catchments, inverted the Budyko curve to show how rooting depths adapt to  $I_A$  and rainfall seasonality, thereby regulating the surface water balance. Similarly, Donohue *et al* (2012) investigated the role of rooting depth, storm depth and soil water storage for Australian catchments by combining the models of Porporato *et al* (2004) and Choudhury (1999), identifying rooting depth as a key factor in modulating catchment responses, and improving runoff predictions in humid catchments. Yet, Daly *et al* (2019), emphasized challenges in applying such models to more heterogeneous catchments.

However, Padrón *et al* (2017) offered a contrasting perspective suggesting that several vegetation related indices, including vegetation accessible soil water storage, play only a minor role in controlling  $\omega$ . These studies identifying vegetation accessible soil water storage as a dominant control on  $\omega$ , and thus on precipitation partitioning, are either theoretical or based on rather small empirical samples limited to the US and Australia. It therefore remains unclear whether and where the overall findings of these studies can be assumed to hold across a wider spectrum of catchments with more diverse climatic and landscape characteristics and why Padrón *et al* (2017) observed a limited vegetation influence.

The objective of this study is therefore to systematically evaluate the role of vegetation accessible soil water storage in controlling  $\omega$  and associated precipitation partitioning, using a large global sample of catchments spanning diverse climates and landscapes. We defined vegetation accessible soil water storage as the maximum water volume that can be held in the pore spaces of subsurface between field capacity and permanent wilting point within the reach of plant roots. As such, it represents the water reservoir available to vegetation to meet evaporation demands during dry periods, thereby controlling  $P$  partitioning.

Building on the foundational work of Porporato *et al* (2004) and Gentine *et al* (2012), we estimate catchment-scale vegetation accessible soil water storage, hereafter referred to as root zone storage capacity ( $S_{r,max}$ ), based on the memory method (van Oorschot *et al* 2021). As a functionally similar, simplified version of the method used by Gentine *et al* (2012), it has been demonstrated to provide robust estimates of  $S_{r,max}$  based on water balance data in a wide range of environments (Gao *et al* 2014, de Boer-euser *et al* 2016, Nijzink *et al* 2016, Bouaziz *et al* 2020, Hrachowitz *et al* 2021, Wang *et al* 2024, van Oorschot *et al* 2024a, 2024b). More specifically, relating these  $S_{r,max}$  estimates to  $\omega$  for  $>5000$  river catchments worldwide, we test the hypothesis that  $S_{r,max}$  is globally a dominant control on  $\omega$  and, by extension, on catchment precipitation partitioning.

## 2. Datasets and methods

### 2.1. Hydrological and meteorological data

Mean annual observed streamflow data were obtained from GSIM (Do *et al* 2018, Gudmundsson *et al* 2018), Caravan (Kratzert *et al* 2023), ADHI (Tramblay *et al* 2021) and the mountainous rivers data of Central Asia (Marti *et al* 2023). Daily precipitation  $P$  and temperature  $T$  were obtained from the bias-corrected GSWP-3 reanalysis dataset ( $0.5 \times 0.5$ ; 1981–2010) (Dirmeyer *et al* 2006). Although not direct observations, GSWP-3 is widely used in global hydrological studies (Ghiggi *et al* 2019, Stacked and Hagemann 2021, Hou *et al* 2022) and provides a harmonized dataset essential for analysing >5000 catchments across diverse climates. Potential evaporation  $E_p$  was estimated using the Hargreaves and Samani (1982) method, which assumes a well-watered reference surface without vegetation type adjustment. While simplified, it provides consistent input across all catchments. Bi-weekly NDVI ( $0.083 \times 0.083$ ; 1982–2010) and annual land use were obtained from NASA ESDIS (Pinzon *et al* 2023) and HILDA + (Winkler *et al* 2021), respectively. Catchment-average  $P$ ,  $T$ , NDVI, and LU were extracted using weighted area means and bilinear interpolation. The precipitation seasonality index ( $I_S$ ) of effective precipitation ( $P_e$ ) i.e. liquid precipitation plus snowmelt, was determined following Gao *et al* (2014). High  $I_S$  values suggest large fractions of annual precipitation falling in short periods; low  $I_S$  indicates a more uniform yearly distribution. Catchments were classified into five climate zones using the Köppen–Geiger climate zones (Beck *et al* 2018): cold, Mediterranean, temperate, tropical, and arid. Details of all catchment-related variables are provided in table 1.

From the available catchments with streamflow data, 5717 were selected based on four criteria: (1)  $\geq 20$  years of data between 1981–2010; (2) no ‘Caution’ data quality flag; (3) catchment area  $< 10\,000$  km<sup>2</sup> to limit heterogeneity; (4) no water balance deficit, i.e.  $\bar{P} - \bar{Q} < 0$ , indicating major data errors. The selected catchments represent diverse topographic and hydro-climatic conditions (figure 1), with areas from 0.1 to 9975 km<sup>2</sup> (median  $\sim 630$  km<sup>2</sup>; figure 1(f)), mean elevations from 7 to 4789 m (median  $\sim 485$  m; figure 1(g)), long-term average aridity indices ( $\bar{I}_A$ ) from 0.15 to 11 (median: 1.01; figure 1(c)) and evaporative indices ( $\bar{I}_E$ ) from 0.09 to 1 (median: 0.66; figure 1(b)). High  $I_S$  values are observed in central and western Canada, northern India, and northeastern Brazil (figure 1(d)). The study catchments are scattered across climatic zones (figure 1(h)), with most located in cold ( $\sim 33\%$ ) and temperate regions ( $\sim 36\%$ ).

### 2.2. Methods

#### 2.2.1. Estimation of $\omega$ -parameter

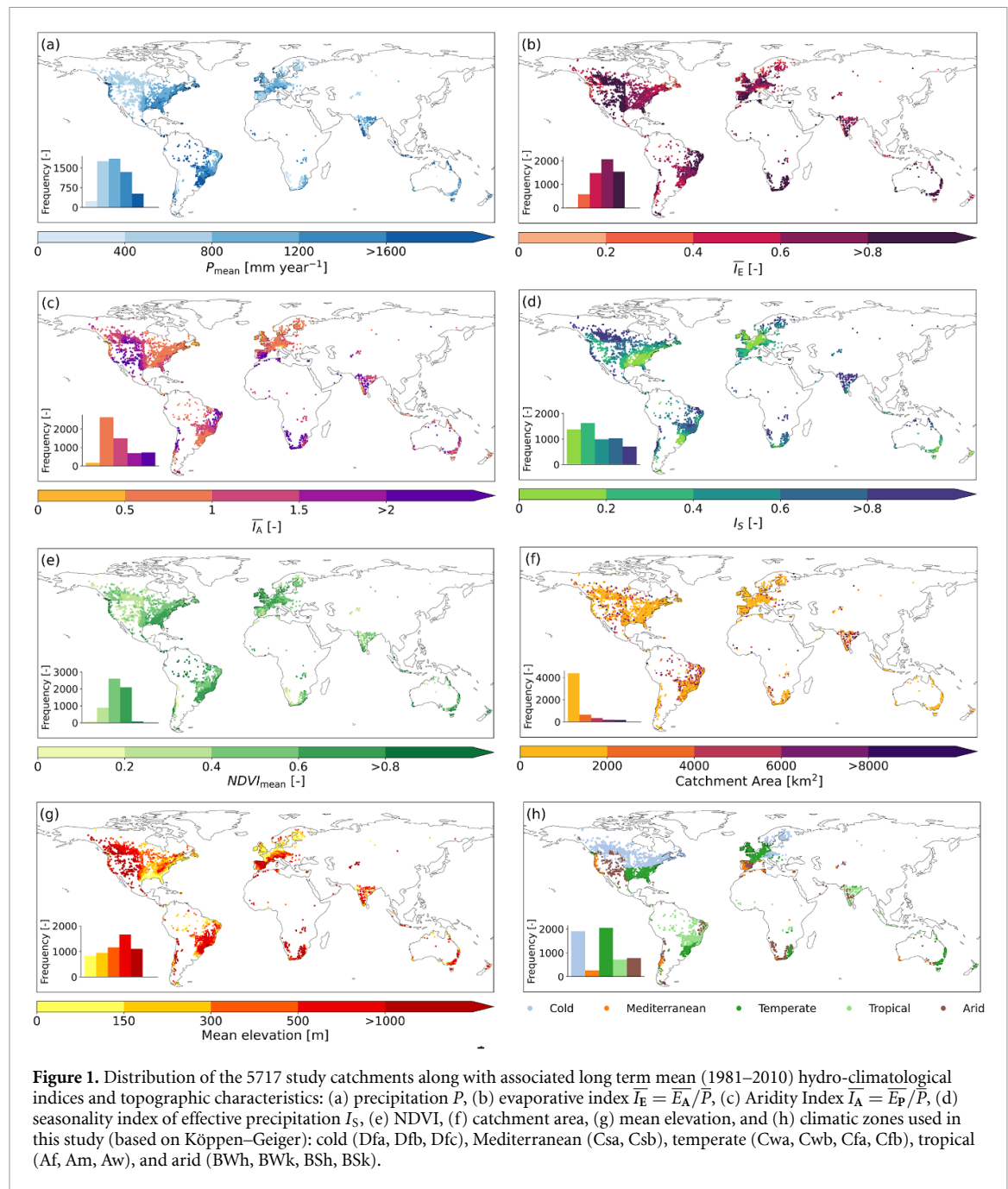
To investigate the relationship between the  $\omega$ -parameter and root zone storage capacity  $S_{r,max}$  as well as other variables listed in table 1, we calculated  $\omega$  for each catchment using the Tixeront–Fu reformulation of the Budyko hypotheses (Tixeront 1964, Fu 1981, Zhang *et al* 2004). Higher  $\omega$  indicates a higher  $\bar{E}_A/\bar{P}$  for a given  $\bar{E}_p/\bar{P}$ , although the relationship is non-linear, with sensitivity decreasing as  $\omega$  increases (Zhang *et al* 2004). Greve *et al* (2015) report a global average  $\omega$  of  $\sim 2.6$

$$\frac{\bar{E}_A}{\bar{P}} = 1 + \frac{\bar{E}_p}{\bar{P}} - \left[ 1 + \left( \frac{\bar{E}_p}{\bar{P}} \right)^\omega \right]^{\frac{1}{\omega}} \quad (1)$$

where  $\bar{E}_A$  is actual evaporation calculated from the long-term mean water balance  $\bar{E}_A = \bar{P} - \bar{Q} - dS/dt$ , assuming negligible long-term storage change ( $dS/dt = 0$ ) over  $> 20$  years. This assumption is reasonable for large sample studies as Han *et al* (2020) demonstrated that  $\sim 80\%$  of catchments reach steady state within 20 years and only 6% within  $> 30$  years. Although it may not hold in catchments with significant inter-basin groundwater flow, glacier influence or long-term (aquifer) depletion, it is widely applied in large-sample studies (e.g. Fu (1981), Zhang *et al* (2004), Greve *et al* (2015), Mianabadi *et al* (2020), Li and Quiring (2022)) as errors are likely to cancel across large spatial samples. A study by Ibrahim *et al* (2025a) supports this assumption. They found that systematic shifts in the long-term water balance, indicative of  $dS/dt \neq 0$ , are rare as only  $\sim 4\%$  of 2387 global catchments show trend-like behaviour over multiple decades.

#### 2.2.2. Estimation of root zone storage capacity $S_{r,max}$

Direct observations of  $S_{r,max}$  and rooting systems are scarce and generally unavailable at the catchment scale, and integrating them at this scale is challenging due to landscapes heterogeneity (de Boer-euser *et al* 2016, Hrachowitz *et al* 2021). Here, we estimate  $S_{r,max}$  using the memory method, which robustly estimates catchment-scale root zone storage capacity by relying on annual maximum water storage deficits ( $S_d$ ) per year, estimated as daily cumulative deficit from daily observed hydro-climatic data, i.e. effective precipitation  $P_e$  (liquid precipitation input plus snowmelt), potential evaporation and runoff (Gao *et al* 2014, Hrachowitz *et al* 2021, van Oorschot *et al* 2024a, 2024b). The  $P_e$  that contributes to  $S_d$  is derived from a simple water balance model that accounts for interception ( $S_i$ ) and snow storages ( $S_{snow}$ ), which regulate partitioning of total  $P$  into throughfall and snowmelt ( $M_{snow}$ ), and thus liquid water infiltrating into



the soil. Interception evaporation ( $E_i$ ) is subtracted from  $S_i$  and remaining water, together with snowmelt infiltrates the root zone, from where  $E_A$  is calculated (figure 2(a)). The resulting annual maximum storage deficits ( $S_d$ ) represent the volume of water that has in the past been available and accessible to the roots of vegetation to fulfil transpiration needs during dry periods.

$S_{r,max}$  was estimated by fitting a Gumbel distribution to the annual maximum  $S_d$  series, assuming vegetation develop root systems to cope with dry spells with a  $\sim 20$  year return period (Gao *et al* 2014, Nijzink *et al* 2016, Bouaziz *et al* 2020, Hrachowitz *et al* 2021). This method assumes that vegetation

has adapted its  $S_{r,max}$  to buffer hydroclimatic seasonality by creating sufficient storage to sustain itself during dry periods (Donohue *et al* 2012, Gentine *et al* 2012, Gao *et al* 2014, de Boer-euser *et al* 2016, Nijzink *et al* 2016, Wang-Erlandsson *et al* 2016, Bouaziz *et al* 2020, Dralle *et al* 2020, Hrachowitz *et al* 2021).

Potential evaporation ( $E_P$ ) from the Hargreaves–Samani method, lacking vegetation-specific adjustment, is merely used as seasonal scaling for the long-term mean  $E_A$  from the water balance, and thus brings in the seasonal aspect of atmospheric demand rather than its absolute magnitude. Doubling  $E_P$  would not change the  $S_{r,max}$  estimate, since the

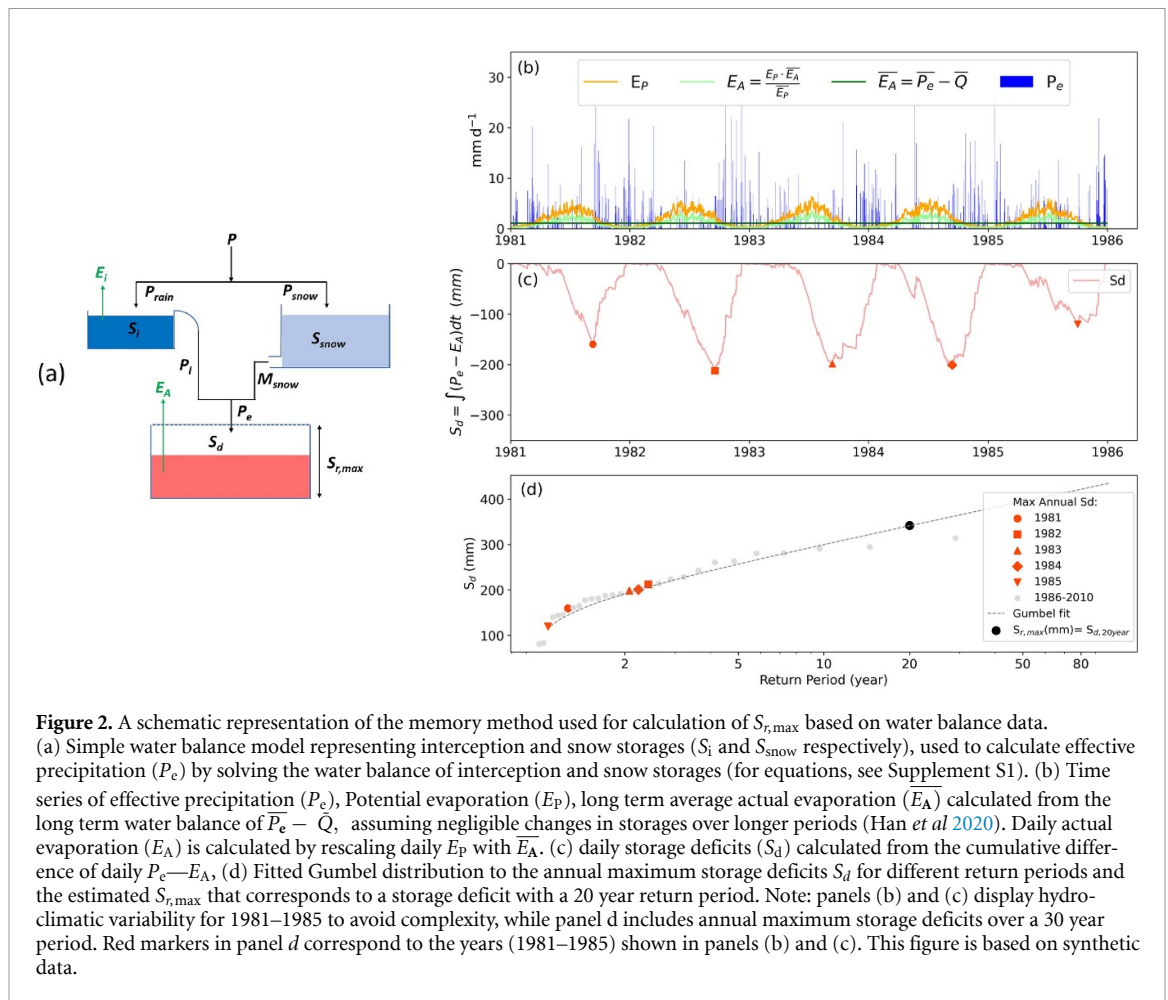
**Table 1.** List of the variables used in the study in addition to  $S_{r,max}$  to explore relationships with  $\omega$  along with their symbols, units and associated data sources or methodological references. Methodological references are shown in italics to distinguish them from data sources.

Variable name	Symbol	Units	Data source or <i>methodological reference</i>
Hydro-meteorological variables			
Precipitation	$P$	mm d <sup>-1</sup>	Global soil wetness project phase-3 (GSWP-3) (Dirmeyer <i>et al</i> 2006)
Streamflow	$\bar{Q}$	mm d <sup>-1</sup>	Global Streamflow indices and metadata (GSIM) archive (Do <i>et al</i> 2018, Gudmundsson <i>et al</i> 2018) Caravan (Kratzert <i>et al</i> 2023) African database of hydrometric indices (ADHI) (Tramblay <i>et al</i> 2021) Central Asia -Discharge (Marti <i>et al</i> 2023)
Temperature	$T$	°C	Global soil wetness project phase-3 (GSWP-3) (Dirmeyer <i>et al</i> 2006)
Potential evaporation	$E_p$	mm d <sup>-1</sup>	Hargreaves and Samani (1982)
Seasonality index of effective precipitation (Liquid precipitation input + snowmelt)	$I_s$	[-]	Gao <i>et al</i> (2014)
Fraction of snow (as part of total precipitation)	$F_{Snow}$	%	<i>Based on threshold temperature</i> $T_r = 0$ °C (van Oorschot <i>et al</i> 2024b)
Vegetation-related variables			
Long-term mean normalized difference vegetation index	NDVI	[-]	Pinzon <i>et al</i> (2023)
Long-term mean fraction of forest cover	$F_{Forest}$	%	HILDA + (Winkler <i>et al</i> 2021)
Long-term mean fraction of sparse vegetation cover	$F_{Sparse}$	%	HILDA + (Winkler <i>et al</i> 2021)
Long-term mean fraction of mixed (urban + cropland + pasture + shrubland) landcovers	$F_{UCPS}$	%	HILDA + (Winkler <i>et al</i> 2021)
Fraction of irrigation area	$F_{Irrigation}$	%	Siebert <i>et al</i> (2015)
Soil-related variables			
Plant extractable soil water content	PESWC	mm	Dunne and Willmott (2000)
Potential storage of water derived from soil texture	PSWST	mm	Webb <i>et al</i> (2000)
Fraction of clay	$F_{Clay}$	%	Hengl <i>et al</i> (2017)
Fraction of sand	$F_{Sand}$	%	Hengl <i>et al</i> (2017)

storage requirement is constrained by precipitation and runoff. Vegetation effects on  $E_A$  are indirectly captured via observed precipitation and streamflow, which together constrain the root zone storage estimates. Consequently,  $S_{r,max}$  reflects the integrated water available to vegetation at the catchment scale, with limited sensitivity to absolute  $E_p$  magnitude. Importantly, this also means that in heterogeneous catchments,  $S_{r,max}$  represents a catchment-scale property averaged over different vegetation types and land covers, which is sufficient for the catchment-scale focus of this study. A schematic is shown in figure 2; equations in supplementary section S1 and

a detailed description can be found in van Oorschot *et al* (2024b).

Given the expected monotonic but potentially non-linear relationships between  $\omega$  and  $S_{r,max}$  as well as other potential descriptor variables (table 1), we employ the Spearman rank correlation coefficient ( $\rho$ ). This dimensionless measure is robust to nonlinear associations and allows comparison of variables with different units without specifying a functional relationship, as commonly applied in hydrological studies (e.g. Padrón *et al* (2017), Jehn *et al* (2020)). The aim here is to assess statistical associations rather than to develop predictive equations for  $\omega$ .

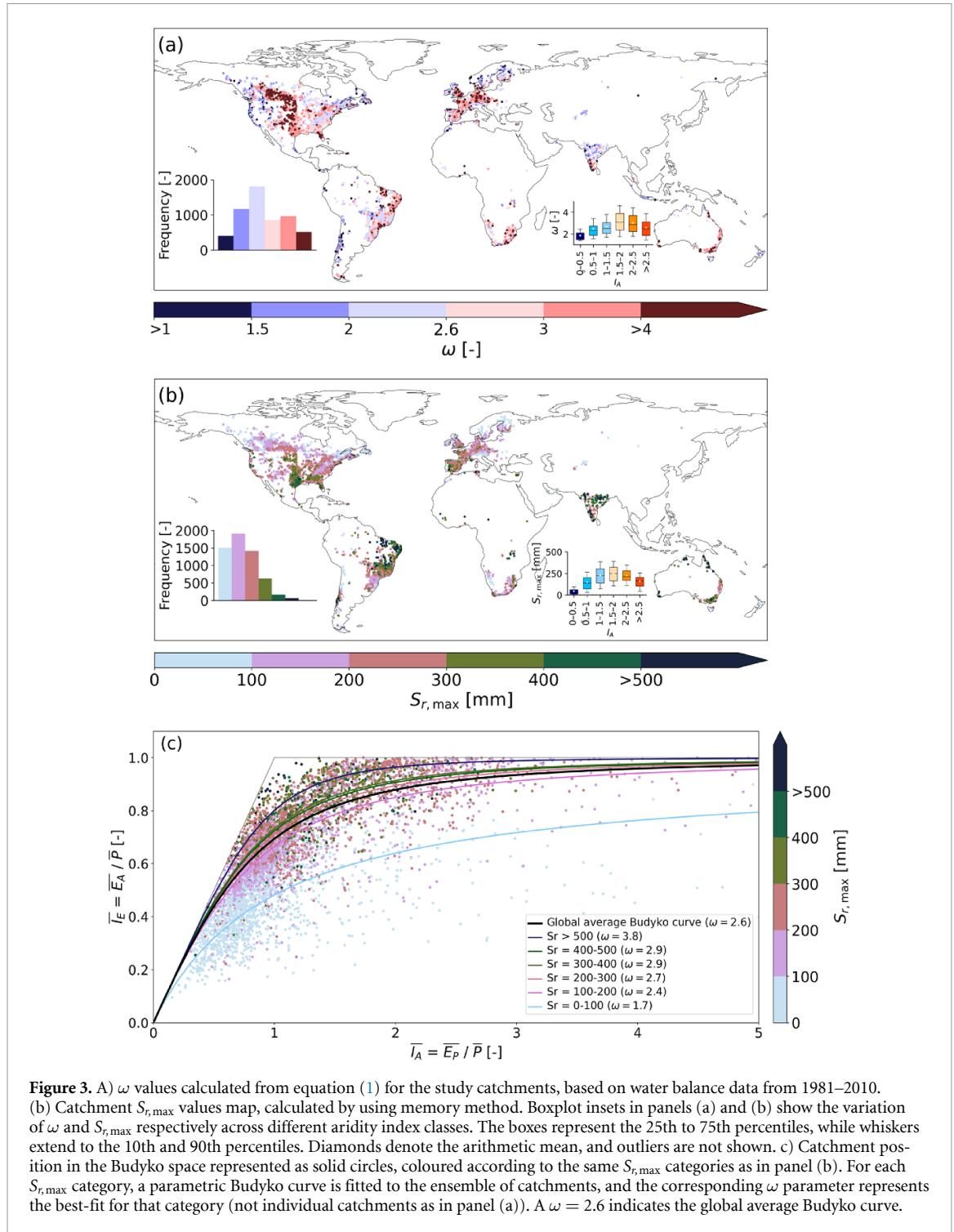


### 3. Results

For the study catchments,  $\omega$  ranges from  $\sim 1.1$  to  $\sim 14.6$ , with a median of  $\sim 2.4$  (figure 3(a)). Overall, 46% of catchments have  $\omega$  between 2 and 3, suggesting minor deviations from the original non-parametric Budyko curve (Budyko 1974), associated with  $\omega \sim 2.6$  (Greve *et al* 2015). The global distribution is skewed towards lower  $\omega$  values (inset figure 3(a)), with most catchments ( $\sim 62\%$ ) below the non-parametric Budyko curve, leading to lower fractional evaporation. Spatially,  $\omega$  exhibits distinct geographic patterns. In north America, particularly in eastern US,  $\omega$  increases from  $\sim 1.5$  in the north to  $\sim 3$  in the south likely reflect the transition from cold to temperate climates. The central US is characterized by a north–south cluster of high  $\omega$  values of  $>3$ , implying consistently high  $E_A/P$  across prairie grass- and croplands, while the western US is predominantly characterized by  $\omega < 2.6$ . Along Brazil’s coastline (north to south), high  $\omega$  values of  $>3$  and a distinct transition to  $\omega$  as low as  $\sim 1.5$  is observed. Southern Africa and Australia are dominated by high  $\omega$  clusters ( $>4$ ) with median values of  $\sim 2.6$  and  $\sim 3$  respectively. In contrast, western south America exhibits  $\omega \sim 1.2$ – $2.0$ . In Europe,  $\omega$  varies between  $\sim 1.5$  and  $\sim 4$  except in northern Europe, where most catchments have

$\omega < 2$ . Similarly, most Indian catchments show lower  $\omega$  values, with a few exceptions along the western and southern Coastline. Across aridity classes (inset figure 3(a)), median  $\omega$  increases from humid to semi-arid regions and declines in arid regions.

Globally,  $S_{r,max}$  values varied from  $<10$  mm in polar and cold climates to up to  $\sim 900$  mm in tropical climates with a median of 172 mm across 5717 catchments (figure 3(b)). The spatial distribution of  $S_{r,max}$  values is broadly consistent with previous regional and global studies as shown Stocker *et al* (2023) or van Oorschot *et al* (2024a). Higher  $S_{r,max}$  values between  $\sim 300$ – $500$  mm and locally up to  $\sim 900$  mm are found in semi-humid to semi-arid regions (inset figure 3(b)) where liquid water supply is highly seasonal. For example, wide parts of the great plains region of the US are characterized by  $S_{r,max}$  values between  $\sim 300$ – $450$  mm (median  $\sim 350$  mm). Similarly elevated  $S_{r,max}$  was found in the eastern parts of Brazil (median  $\sim 380$  mm), central Africa (albeit in a limited number of catchments), northern and western India (median  $\sim 430$  mm) and northern and western Australia (median  $\sim 380$  mm). In contrast, lower  $S_{r,max}$  values  $<200$  mm, were observed in humid and cool-temperate regions such as northern and western Europe, eastern Canada and parts of northeastern US. Overall, 60% of catchments have



**Figure 3.** A)  $\omega$  values calculated from equation (1) for the study catchments, based on water balance data from 1981–2010. (b) Catchment  $S_{r,max}$  values map, calculated by using memory method. Boxplot insets in panels (a) and (b) show the variation of  $\omega$  and  $S_{r,max}$  respectively across different aridity index classes. The boxes represent the 25th to 75th percentiles, while whiskers extend to the 10th and 90th percentiles. Diamonds denote the arithmetic mean, and outliers are not shown. c) Catchment position in the Budyko space represented as solid circles, coloured according to the same  $S_{r,max}$  categories as in panel (b). For each  $S_{r,max}$  category, a parametric Budyko curve is fitted to the ensemble of catchments, and the corresponding  $\omega$  parameter represents the best-fit for that category (not individual catchments as in panel (a)). A  $\omega = 2.6$  indicates the global average Budyko curve.

$S_{r,max} < 200$  mm indicating relatively limited root zone storage capacity.

A visual analysis shows that, overall, catchments with higher  $\omega$  are associated with higher  $S_{r,max}$  values (figure 3(c)). Catchments with  $S_{r,max} \leq 100$  mm have  $\omega \sim 1.7$ , whereas catchments with  $S_{r,max} > 500$  mm, which are mostly found in sub-humid to semi-arid regions with  $I_A \sim 1-2$  (inset figure 3(b)), are characterized with the highest  $\omega$  values of  $\sim 3.8$ . The similarity between the global spatial patterns of  $\omega$

(figure 3(a)) and  $S_{r,max}$  (figures 3(b) and (c)) indicates that regions with high  $S_{r,max}$  exhibit higher  $\omega$  values. This pattern highlights that an increase in  $S_{r,max}$  (figure 3(c)) tends to move catchments higher in the Budyko space reflecting a greater capacity of catchments in a given climate to partition precipitation into evaporation. This is because a higher  $S_{r,max}$  allows catchment scale vegetation to maintain root water uptake and sustain transpiration needs during periods of strong seasonal or interannual water supply

variability, thereby increasing evaporation and moving catchment higher in the Budyko space. The robust relationship between best fit  $\omega$  values and  $S_{r,\max}$  is quantified by a Spearman correlation with  $\rho = 0.68$  ( $p < 0.001$ ; figure 4(a)), supporting the hypothesis that  $S_{r,\max}$  is a dominant driver modulating  $P$  partitioning and thus the position of individual catchments in the Budyko framework as controlled by  $\omega$ .

To better explore the spatial variability of the  $S_{r,\max}$ - $\omega$  relationship, the global analysis was stratified into Köppen-Geiger climate zones. Unique patterns emerged in different climatic regions. In cold regions, a strong correlation with  $\rho = 0.87$  ( $p < 0.001$ ) is observed between  $S_{r,\max}$  and  $\omega$  (figure 4(b)), suggesting that  $S_{r,\max}$  significantly influences catchment positions in the Budyko framework in these energy limited regions. Lower  $S_{r,\max}$  values with a median of  $\sim 130$  mm, indicate that vegetation does not require large subsurface water volumes, consistent with low root zone storage due to limited evaporative demand and sufficient year-round water supply, aligning with generally low  $\omega$  values with a median of  $\sim 2.4$  (figure 5(b)).

Catchments in the Mediterranean climate zone also exhibit a strong positive relationship between  $S_{r,\max}$  and  $\omega$  with  $\rho = 0.83$  ( $p < 0.001$ ; figure 4(c)) highlighting the role of  $S_{r,\max}$  in buffering extended summer dry periods and modulating  $\omega$ . The intermediate to high  $S_{r,\max}$  values, with a median of  $\sim 240$  mm (figure 5(c)), correspond to a median  $\omega$  value of  $\sim 1.9$ . The less pronounced slope in the  $S_{r,\max}$ - $\omega$  relationship (figure 4(c)) indicates that vegetation in Mediterranean climates adapts to seasonal water availability by developing more extensive root systems to access sufficient subsurface water during dry spells to reach the same  $\omega$  and thus evaporative ratios than in the cold climate zone. The strong coupling between  $\omega$  and  $S_{r,\max}$  further underlines that in Mediterranean climates, vegetation strongly relies on soil water storage to sustain evaporation demand during dry periods particularly in catchments with high  $\omega$  values, where vegetation strongly influences precipitation partitioning through sustained access to subsurface water.

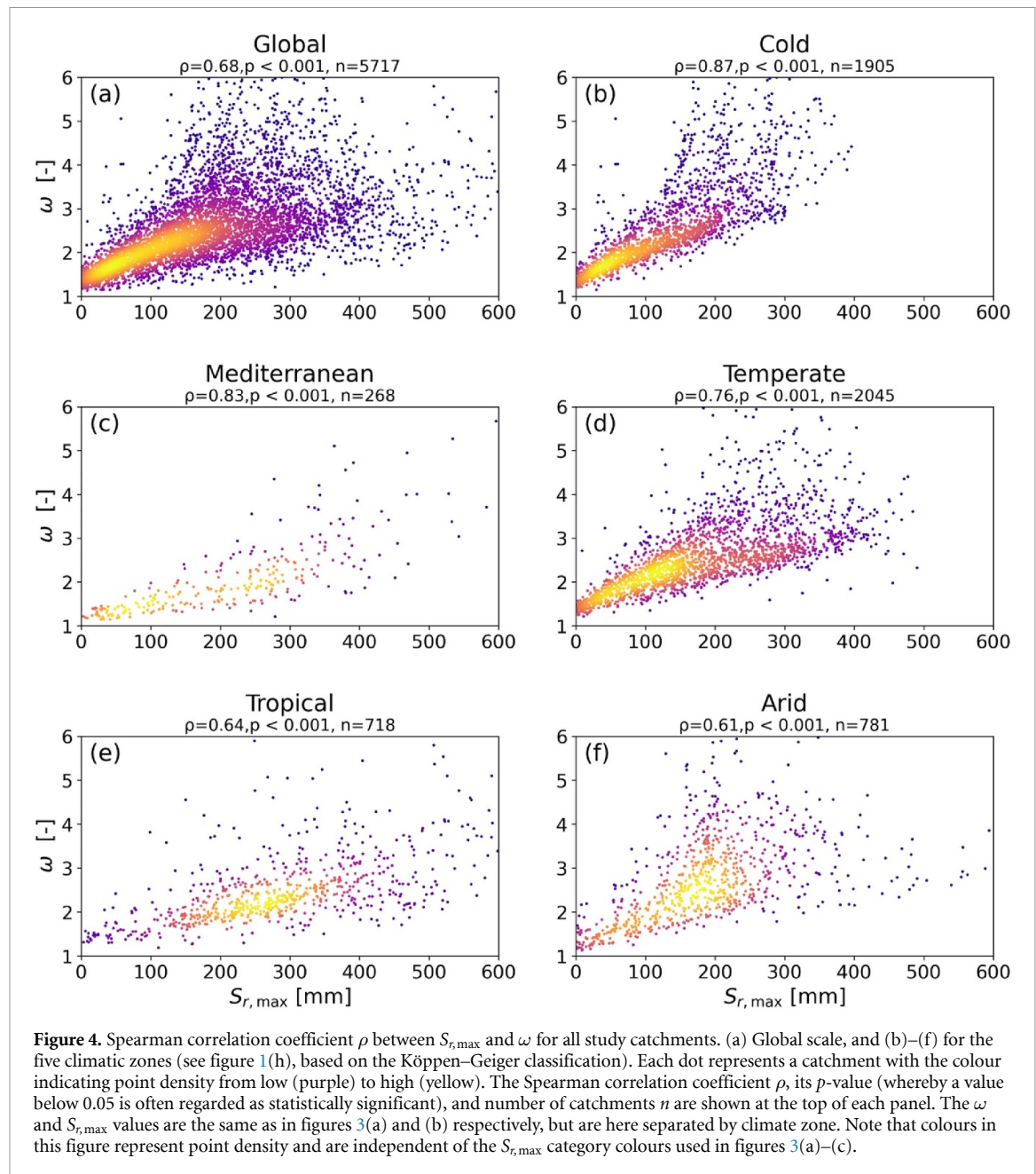
In temperate regions, a moderately strong relationship ( $\rho = 0.76$ ;  $p < 0.001$ ) between  $S_{r,\max}$  and  $\omega$  was observed (figure 4(d)). Although  $S_{r,\max}$  ranging between  $< 10$  mm and  $\sim 660$  mm, its influence on  $\omega$  is less pronounced than in cold and Mediterranean climates. In tropical and arid regions, the coupling between  $S_{r,\max}$  and  $\omega$  was significant but with  $\rho = 0.64$  and  $0.61$ , respectively, weaker compared to other regions (figures 4(e) and (f)). A weaker correlation in tropical climates, reflects that high precipitation volumes reduce the relative importance of  $S_{r,\max}$  as water availability is rarely a limiting factor for evaporation. In arid regions, the weaker correlation ( $\rho = 0.61$ ) suggests that  $S_{r,\max}$  contributes to precipitation partitioning, but its influence is limited.

Its globally strong coupling with  $\omega$  suggests that  $S_{r,\max}$  acts as a major control on  $\omega$ . To place the relative importance of this control into context of other factors that may potentially affect  $\omega$  and thus the precipitation partitioning, we also analysed its relationship with 14 additional climate and landscape descriptors (table 1). Many tested variables exhibit significant, yet weaker correlations with  $\omega$  (figure 6). For example, the fractions of cropland, pasture and shrubland ( $F_{UCPS}$ ;  $\rho = 0.33$ ) and forest cover ( $F_{Forest}$ ;  $\rho = -0.28$ ) exhibit only moderate correlations, while correlations with all other potential descriptors are weaker ( $\rho \leq |0.20|$ ). These findings align with Padrón *et al* (2017), who reported weak correlations between  $\omega$  and vegetation related variables such as forest cover, NDVI, PESWC. Among all tested variables,  $S_{r,\max}$  clearly emerges as the dominant global control on  $\omega$  ( $\rho = 0.68$ ), regulating the precipitation partitioning, with similar hierarchies were found when stratified by five climate zones (supplementary figure S2).

#### 4. Discussion

Although the  $\omega$ -parameter reflects the integrated effects of all catchment characteristics next to  $I_A$  on partitioning (Zhang *et al* 2001), our results provide evidence for a strong global coupling between  $S_{r,\max}$  and  $\omega$ , underlining the dominant role of  $S_{r,\max}$  in controlling the precipitation partitioning into evaporation and runoff. These findings correspond with previous studies that have demonstrated the critical role of  $S_{r,\max}$  in sustaining evaporative demand across climates (Gao *et al* 2014) and ultimately controlling  $P$  partitioning within the Budyko framework. In particular, our analysis of  $> 5000$  catchments worldwide provide strong empirical support for the theoretical analysis by Porporato *et al* (2004), extending previous regional studies in Australia and the US (Donohue *et al* 2011, Gentine *et al* 2012, Daly *et al* 2019) to the global scale across a much wider spectrum of climates and landscapes.

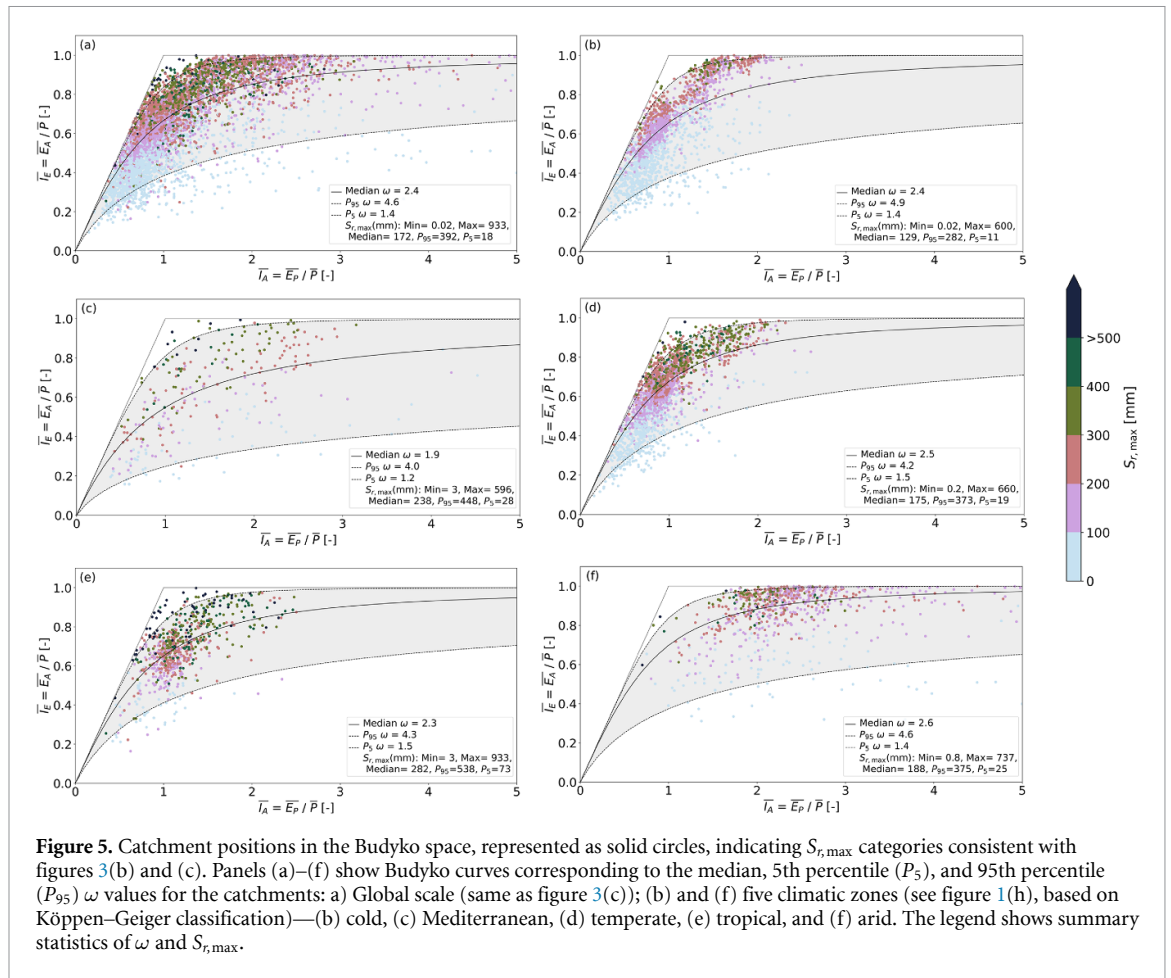
In this study, although  $S_{r,\max}$  and  $\omega$  are derived from the same hydroclimatic inputs ( $P$ ,  $Q$ , and  $E_p$ ), which may introduce some non-independence, the two parameters capture distinct hydrological dynamics.  $S_{r,\max}$  is based on daily storage dynamics aggregated to annual maximum deficits, whereas  $\omega$  reflects the long-term (20–30 year) water balance within the Budyko framework. In other words,  $S_{r,\max}$  is sensitive to intraseasonal variations in the water balance emerging from the daily interplay between water supply and demand, while  $\omega$  is based exclusively on the long-term mean water balance. The robustness of the  $S_{r,\max}$ - $\omega$  relationship was further tested using alternative precipitation and potential evaporation datasets and multiple dataset combinations, which showed consistent relationships and preserved



climate-dependent behaviour, with strongest coupling in cold regions and weakest in arid regions (figure S3). Similar results could be expected if  $S_{r,\max}$  was derived from root zone observations as the robustness of memory method-based  $S_{r,\max}$  estimates is supported by van Oorschot *et al* (2024a), who showed consistent correlations with root-depth and root-accessible water estimates from studies that used alternative, independent data-sources (e.g. Kleidon (2004), Schenk and Jackson (2009), Wang-Erlandsson *et al* (2016), Yang *et al* (2016b), Fan *et al* (2017), Stocker *et al* (2023)). These comparisons include the global gridded root depth dataset of Schenk and Jackson (2009), a regression-based regionalisation of rooting depth observations compiled by Schenk and Jackson (2002). While  $S_{r,\max}$  is not a direct proxy for rooting depth, it represents

the maximum subsurface water volume accessible to vegetation roots (van Oorschot *et al* 2024a, 2024b). The memory method is well-established and widely applied to reflect vegetation's root zone dynamics, albeit with slight differences in implementation (e.g. Donohue *et al* (2012), Gentile *et al* (2012), de Boer-euser *et al* (2016), Bouaziz *et al* (2020), McCormick *et al* (2021), Stocker *et al* (2023)). Together, these points suggest that the observed  $S_{r,\max}$ – $\omega$  coupling reflects a meaningful hydrological behaviour.

Similar to Padrón *et al* (2017), we find a weak global correlation between  $\omega$  and PESWC ( $\rho = 0.19$ ; figure 6) and across different climates ( $\rho = -0.02$ – $0.38$ ; figures S2(a)–(e)) despite its similar interpretation to  $S_{r,\max}$ . This weak relationship arises from the PESWC estimation method (Dunne and Willmott 2000), which uses site-specific soil water capacity



integrated over average plant-specific root depths. Although theoretically correct, this approach has considerable weaknesses at catchment and global scales due to sparse root depth observations which are limited to a few thousand plants globally (e.g. Schenk and Jackson (2002)), representing the largest source of uncertainty (Dunne and Willmott 2000) and limiting the method's ability to sufficiently capture local root adaptations. Increasing evidence shows that vegetation adjusts above- and below-ground allocation to maintain water access during dry periods while sustaining competitive growth (e.g. Guswa (2008), Schymanski *et al* (2008)). In contrast,  $S_{r,max}$  is derived from observed water balance data which explicitly reflect the seasonal water–energy interplay and climatic regulation of root systems (Savenije and Hrachowitz 2017, Stocker *et al* 2023, Gao *et al* 2024). Thus  $S_{r,max}$  reflects vegetation adaptation to past climates, thereby making it more meaningful and robust descriptor of plant accessible subsurface water storage than PESWC. Although catchments may include heterogeneous land covers, memory method-based  $S_{r,max}$  represents the integrated vegetation–water interactions at the catchment scale and is therefore an appropriate functional trait for assessing controls on precipitation partitioning.

Another noteworthy result is the absence of correlation ( $\rho = 0.01$ , figure 6) between the Seasonality Index ( $I_S$ ) of effective precipitation and  $\omega$ . The  $S_{r,max}$ – $\omega$  relationship versus  $I_S$  (figure 7(a)) revealed two clusters: A low  $I_S$  cluster ( $<0.6$ ) indicating uniform precipitation and strong  $S_{r,max}$ – $\omega$  correlation ( $\rho = 0.79$ ), and a high  $I_S$  cluster ( $\geq 0.6$ ) showing a bimodal pattern and weaker, more variable correlation ( $\rho = 0.48$ ), suggesting that  $I_S$  modulates the  $S_{r,max}$ – $\omega$  relationship. The bimodality reflects distinct hydrological processes: in cold regions (figure 7(b)) high  $I_S$  is driven by spring and early summer snowmelt aligning water supply with higher energy availability, producing proportionally higher evaporation and thus  $\omega$  than regions with comparable  $S_{r,max}$ , but lower  $I_S$ . In tropical regions (figure 7(e)), high  $I_S$  has an inverse effect: intense monsoon rainfall generates substantial (near-)surface runoff due to limited soil infiltration and storage, making water unavailable for vegetation (Dos Santos *et al* 2016, Yang *et al* 2016a) and leading to lower evaporation and thus  $\omega$  than in regions with comparable  $S_{r,max}$  but lower  $I_S$ . Vegetation–soil interactions and interannual water storage variations further weaken the  $S_{r,max}$ – $\omega$  relationship. This aligns with previous studies (Milly 1994, Porporato *et al* 2004, Hickel and Zhang 2006, Raz-Yaseef *et al* 2012,

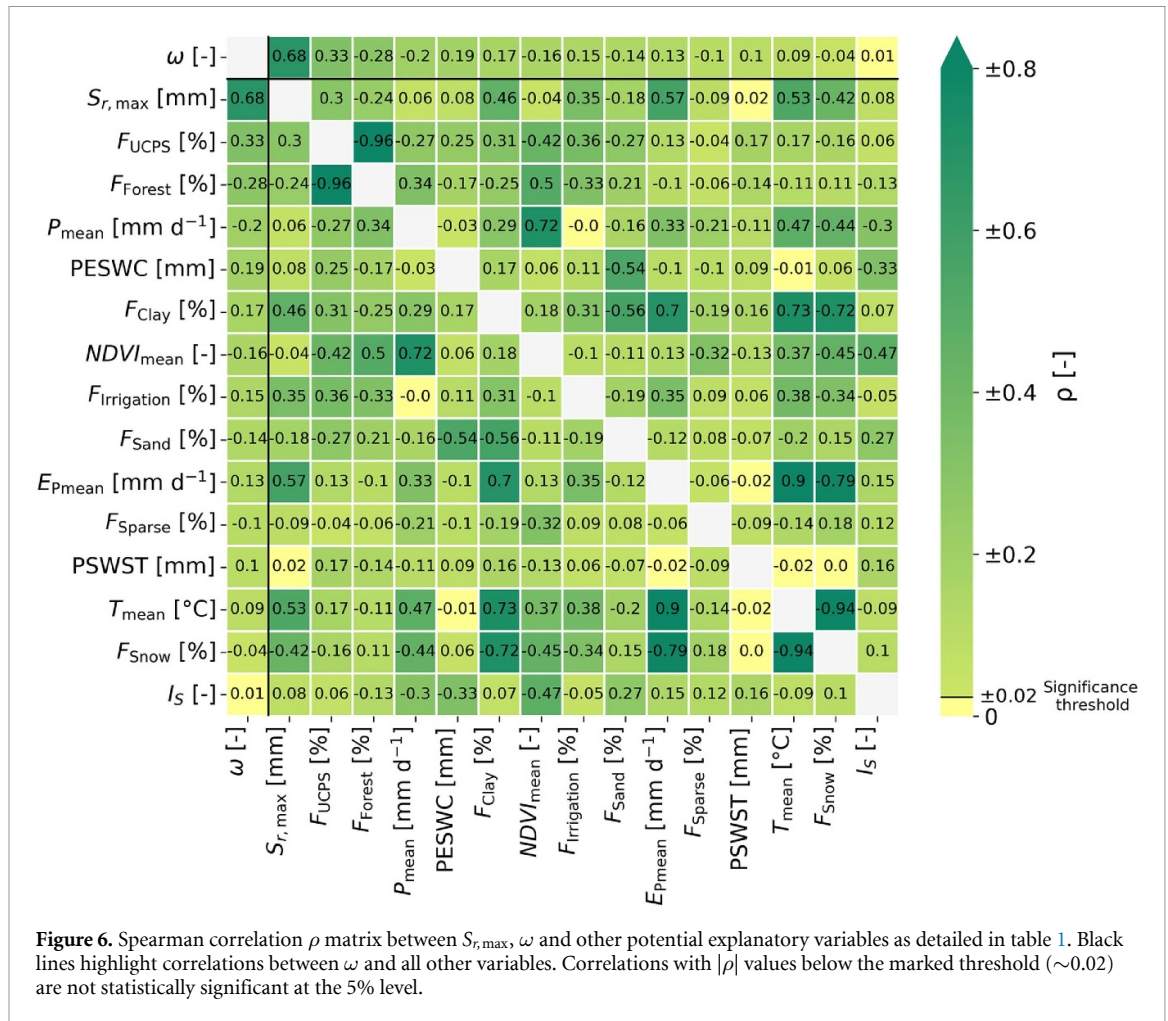


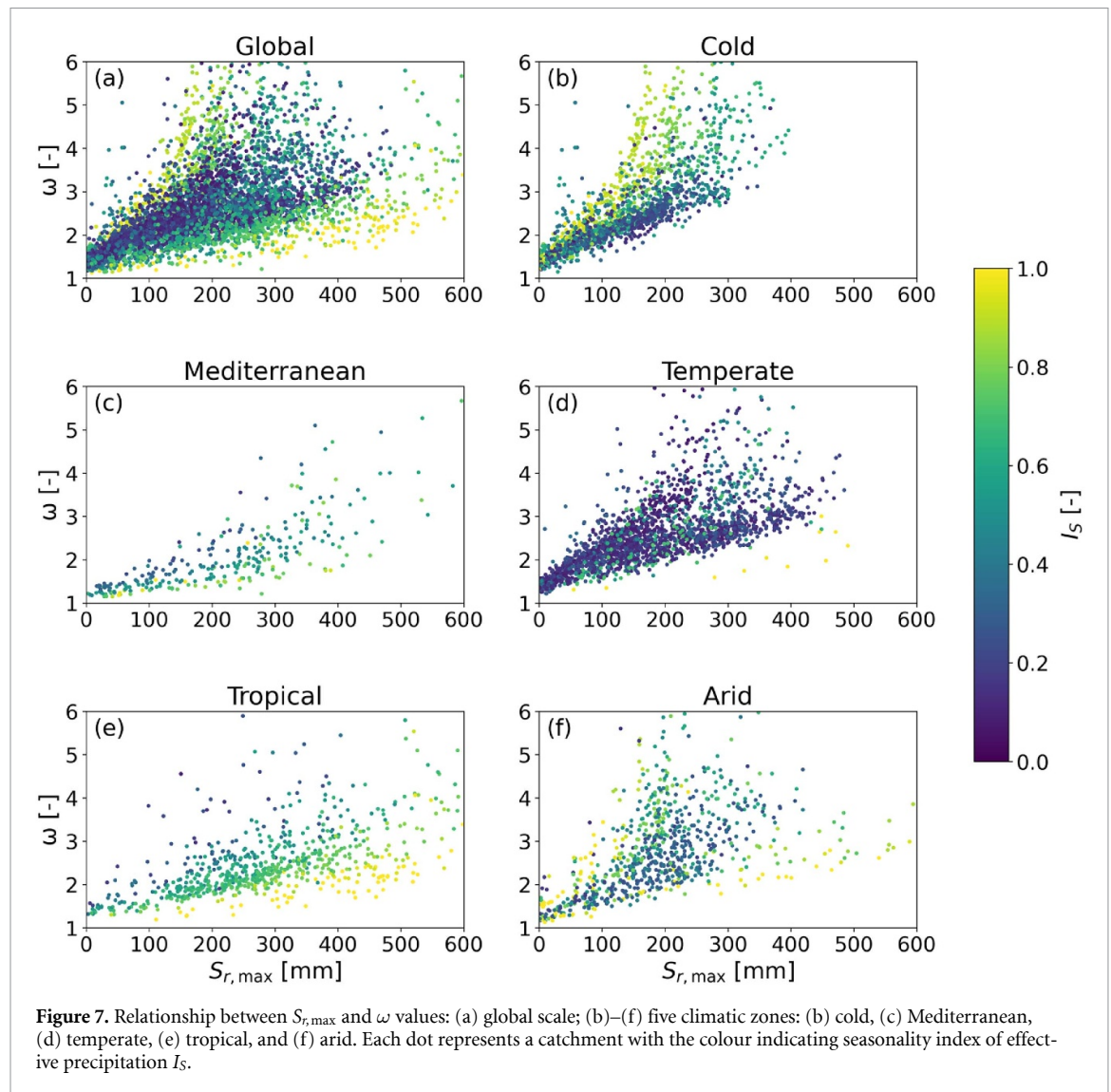
Figure 6. Spearman correlation  $\rho$  matrix between  $S_{r,max}$ ,  $\omega$  and other potential explanatory variables as detailed in table 1. Black lines highlight correlations between  $\omega$  and all other variables. Correlations with  $|\rho|$  values below the marked threshold ( $\sim 0.02$ ) are not statistically significant at the 5% level.

Berghuijs *et al* 2014) suggesting that precipitation seasonality can modulate  $P$  partitioning differently across timescales.

The reduced  $S_{r,max}-\omega$  coupling in arid climates (figure 4(f)) is linked to patchy, low-density vegetation in deserts (e.g. Northern Chile, Southwestern US) and differences in vegetation composition and water management strategies. For example, prairie and steppe grasses in the central US and Central Asia (Ke *et al* 2012) go dormant during dry periods, reducing water use and resulting in  $S_{r,max} < 200$  mm (figures 3(b) and S4) and low  $\omega$  values (figure 3(a)). In contrast, Caatinga shrublands in North-Eastern Brazil with succulent species (Goldstein and DellaSala 2020), and deep-rooted Eucalyptus in Australia (Booth and Muir 2020) have higher  $S_{r,max}$  (figures 3(b) and S4). This vegetation adaptation variability is reflected in two divergent patterns (i.e. lower and upper envelopes) in the  $S_{r,max}-\omega$  relationship within arid zones (figures 4(f) and S4), representing hot-arid and cool-arid regions, respectively. Lower-envelope (hot-arid) catchments require higher  $S_{r,max}$  for the same  $\omega$  due to higher evaporative demand, whereas upper-envelope (cool-arid) catchments can proportionally evaporate at different levels with

much lower  $S_{r,max}$ . These contrasting behaviours align with temperature and rainfall intensity gradients (figures S5(a) and (b)), suggesting two distinct vegetation water-use strategies within arid regions.

Additionally, weaker  $S_{r,max}-\omega$  correlations in arid and tropical regions ( $\rho = 0.61-0.64$ ; figures 4(e) and (f)) may result from uncertainties in  $P$ ,  $Q$ , and  $E_p$  estimates, which are higher in these regions due to sparse gauge coverage and challenges in estimating  $E_p$  (Sheffield *et al* 2006, Mueller *et al* 2011), thereby increasing noise in forcing data and reducing correlation strength. However, given the ecological variability discussed above, these patterns are unlikely to arise exclusively from data noise. Instead, our results suggest ecological adaptations are the main driver: in many arid catchments—such as in India and north-eastern Brazil—woody and shrub vegetation develop deeper or lateral opportunistic roots to survive seasonal or multi-year droughts (Canadell *et al* 1996, Schenk and Jackson 2002, Fan *et al* 2017), resulting in  $S_{r,max}$  values comparable to other climatic zones (figures 3(b) and S4). Thus, weaker correlations reflect a combination of data uncertainty and ecological variability, rather than solely ecological or noise-related effects.



The results align with a broader resurgence of top-down models in environmental science that capture and describe emergent hydrological-ecological behaviour in tractable ways. In this context, an advantage of the Budyko framework is its simplicity and robustness, which allows for mechanistically plausible interpretation of the  $\omega$ -parameter and its coupling with dominant controls such as  $S_{r,max}$ . As a defining feature, emergent behaviour does not only aggregate smaller-scale behaviour, but, critically, also implicitly account for feedbacks between individual smaller-scale system component. Such a representation of feedback is unlikely to be achieved with more complex bottom-up models which would require thus far unknown feedbacks to be hardcoded into individual components. This approach enables deeper insights, which are ultimately instrumental for a better process representation in more complex hydrological, land surface models and eventually Earth system models (ESMs). Recent studies show that climate-controlled root zone parameters improve water flux simulations

in land surface (van Oorschot *et al* 2021) and hydrological models (de Boer-euser *et al* 2016), particularly for systems under change (e.g. Bouaziz *et al* (2022), Tempel *et al* (2024), Ponds *et al* (2025)). These findings highlight the potential for incorporating such aggregated metrics into model frameworks. It is therefore to be expected that the observed  $S_{r,max}$ - $\omega$  relationship may similarly serve as an emergent constraint (Hall *et al* 2019) for ESMs, where considerable uncertainty remains in representing root-zone processes. Thus, Budyko-type approaches not only advance conceptual understanding but also provide potential new pathways towards more robustly constraining land components of ESMs.

A further insight from our results is that the maximum  $S_{r,max}$  values ( $\sim 450$ – $800$  mm) (Gao *et al* 2014, Singh *et al* 2020, van Oorschot *et al* 2024b) are not arbitrary, but governed by physical limits of water and energy partitioning. Within Budyko space, catchments with high  $S_{r,max}$  also exhibit high  $\omega$ , operating close to these limits. Thus, the Budyko

space and its limits (figure 3(c)) provide a theoretical basis for the upper limits of  $S_{r,max}$ . Higher  $S_{r,max}$  values were observed in semi-humid ( $I_A = 1.0$ – $1.5$ ; median  $\sim 230$  mm) to semi-arid regions ( $I_A = 1.5$ – $2.0$ ; median  $\sim 250$ ) rather than in arid regions ( $I_A > 2$ ; median  $\sim 165$  mm) (inset of figure 3(b)), aligning with previous studies linking these values to vegetation adaptations for maximizing subsurface water access. Vegetation in semi-humid to semi-arid regions, which show the highest  $S_{r,max}$ , tends to develop more extensive root systems vertically, laterally and/or density-wise to access subsurface water to compensate for seasonal fluctuations (Gentine et al 2012, Fan et al 2017, Singh et al 2020).

## 5. Conclusions

In this study, we tested the hypothesis that root zone storage capacity ( $S_{r,max}$ ) controls precipitation partitioning through its coupling with the  $\omega$ -parameter.  $S_{r,max}$ , the maximum subsurface water accessible to vegetation roots, was estimated using the Memory Method for 5717 river catchments across diverse climatic regions. Globally, a significant correlation ( $\rho = 0.68$ ) was found between  $S_{r,max}$  and  $\omega$ . Stratified analysis by climatic zones further revealed that  $S_{r,max}$  has strongest influence on  $P$  partitioning in cold ( $\rho = 0.87$ ) and Mediterranean ( $\rho = 0.83$ ) regions followed by temperate ( $\rho = 0.76$ ), tropical ( $\rho = 0.64$ ) and arid climates ( $\rho = 0.61$ ). Analysing other variables that may explain spatial variations in  $\omega$ ,  $S_{r,max}$  exhibited the strongest and most consistent correlation with  $\omega$ , providing empirical support that it is the dominant global control on precipitation partitioning. As a measure that explicitly integrates the combined influences of the seasonal signals of water supply and atmospheric water demand, regional differences in  $S_{r,max}$  indicate that, at a given aridity, precipitation partitioning  $E_A/P$  is largely a manifestation of vegetation adaptation to the interplay between these seasonal signals. Our results further suggest that the Budyko framework provides a theoretical basis for the maximum values of  $S_{r,max}$  observed in nature, constrained by the water and energy limits. The  $S_{r,max}$ – $\omega$  relationship may therefore serve as a simple, aggregated constraint for ESMs. This highlights that  $S_{r,max}$  is not only a key factor for precipitation partitioning but also fundamentally limited by the same principles that govern the Budyko curve.

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## Data availability statement

Daily precipitation and temperature data were obtained from the GSWP-3 dataset, accessible at <https://doi.org/10.48364/ISIMIP.886955> (Dirmeyer et al 2006, Lange and Büchner 2020). Streamflow data was obtained from multiple sources: GSIM data from <https://doi.org/10.1594/PANGAEA.887477> (Do et al 2018) and <https://doi.org/10.1594/PANGAEA.887470> (Gudmundsson et al 2018); Caravan data from <https://zenodo.org/records/14673536> (Kratzert et al 2023); African catchments data from <https://doi.org/10.23708/LXGXQ9> (Tramblay et al 2021); and Central Asian catchments data from <https://zenodo.org/records/8147591> (Marti et al 2023).

Supplementary data consisting of climatic and topographic characteristics of 5717 study catchments is available at <https://doi.org/10.5281/zenodo.15783320> (Ibrahim et al 2025b).

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