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1 An assessment of transport timescales and return coefficient in adjacent tropical estuaries

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3

15 Abstract –

16 Transport timescales (TTS), namely residence time and exposure time, were computed for adjacent 17 shallow meso-tidal tropical estuarines system using the Lagrangian model D-Waq Part coupled with the hydrodynamic model Delft3D-Flow, and the Constituent-oriented Age and Residence time 18 19 Theory, CART. The main results are threefold: (a) The TTS differs more between releases at high or low tide than between those at spring and neap tides. The exposure time was also calculated and 20 21 found to be larger than the residence time by a few days. (b) The exposure and residence times were 22 used to evaluate the return coefficient (r) for different scenarios. As with residence and exposure 23 times, the return coefficient was found to differ more between releases at high or low tide than between those at spring and neap tides. (c) For the Caravelas Estuary, where the river inflow was 24 low (~4 $m^3 s^{-1}$), the residence time was found to be much larger than for the Peruípe Estuary, where 25 the river discharge was greater and nearly constant during the sampling period (~20 m³ s⁻¹). These 26 results shows the importance of advection in decreasing TTS in the Peruípe Estuary compared to 27 28 the Caravelas Estuary. The influence of the advection and dispersion agrees with previous simple estimates obtained using the newly modified Land Ocean Interaction Coastal Zone (LOICZ) model 29 30 by Andutta et al. (2014).

31

Keywords: tropical estuary; residence time; exposure time; return coefficient; numerical model;
hydrodynamics.

34

35 **1. Introduction**

36 Since the dynamics of most estuarine systems is relatively complex, studies of transport 37 timescales (TTS) provide valuable insight into estuarine behaviour. Transport timescales represent a 38 more holistic way of interpreting the flow in complex systems (e.g. Monsen et al. 2002), and allow 39 us to understand how advective and dispersive mechanisms transport water.

40 Transport timescales are driven by the water currents, which in turn are influenced by sea 41 level oscillation, bathymetry and the temperature and salinity fields. It is therefore necessary to have 42 an accurate representation of these quantities in order to satisfactorily estimate transport timescales.

43 This article has the following tasks:

44 (1) to demonstrate, using a 3D hydrodynamic model combined with particle simulations,
45 how release times (e.g. slack waters of high and low tides, neap and spring tides) affect the
46 exposure time and residence time in a shallow meso-tidal tropical estuary.

47 (2) to compare TTS results from numerical modelling with estimates using the simple
48 newly modified Land Ocean Interaction Coastal Zone (LOICZ) model by Andutta et al. (2014).

49 (3) to calculate and evaluate the return coefficient (*r*) numerically and analytically using
50 CART. This is a measure of the propensity of a water parcel to return into the domain of interest
51 after leaving it.

52

53 a. Overview of Transport Timescales

54 Since the pioneering work by Ketchum (1951) and Bolin and Rodhe (1973), the theory of 55 TTS has evolved (e.g. CART, www.climate.be/cart), and other TTS definitions have been 56 introduced in order to fill scientific gaps. Therefore, there are many different transport timescale 57 definitions, e.g. flushing time (Ketchum, 1951; Fischer et al., 1979; Monsen et al., 2002), residence time (Bolin and Rodhe, 1973; Monsen et al., 2002; Delhez et al. 2004; Deleersnijder et al., 2006), exposure time (Monsen et al., 2002), transit time (Holzer and Hall 2000), influence time (Delhez et al., 2014), age (Bolin and Rodhe, 1973; Monsen et al., 2002), e-folding flushing time (Monsen et al., 2002), turnover time (Sheldon and Alber, 2006) and renewal time (Andutta et al., 2014) – all of which have their own interpretation.

Two timescales, residence time and exposure time, are used to provide an indication of 63 increase or decrease of non-reactive and reactive substances in estuaries, bays, lagoons, and atolls 64 (Andutta et al., 2014). The residence time (Θ) is the time needed for a particle constituent to reach 65 for the first time an open boundary of the domain of interest (e.g. Delhez et al., 2004). The exposure 66 time (φ) is the time the particle will stay in the domain (e.g. Monsen et al., 2002) (Figure 2). 67 68 Therefore, at a given time and location, the exposure time is always larger than or equal to the 69 residence time. The larger the difference between the two timescales, the more often the particles 70 tend to re-enter the domain of interest after leaving it for the first time. To evaluate the exposure 71 time, the computational domain must be larger than the domain of interest (de Brauwere et al., 72 2011, de Brye et al., 2012). Estimates of these timescales may be obtained in an Eulerian or a 73 Lagrangian framework. The latter often requires sufficiently large number of numerical particles in 74 order to provide a result that statistically approaches the real condition.

A dimensionless return coefficient, *r*, represents the propensity of particles to return into the estuary after reaching an open boundary for the first time, as illustrated in Figure 1A (de Brauwere et al., 2011). It is defined as the relative difference between \mathscr{P} and $\mathfrak{\Theta}$, i.e.

78
$$r = \frac{(\Theta - \varphi)}{\Theta}$$
 (1)

79 Clearly, this coefficient lies in the interval [0,1].

80 The larger the *r* the more likely it is that particles will re-enter the estuary after crossing one 81 of its open boundaries for the first time. Accordingly, particles that never return into the estuary 82 have r = 0, while particles returning often or for long periods of time have *r* close to unity.

85

Preferred position for figure 1

86

87 b. Chosen estuary and coastal area

88 The domain of interest is the estuarine System of the Caravelas and Peruípe Rivers (ESCP), 89 in southern Bahia state, Brazil (see Figure 2); more details may be found in Appendix 1. It is 90 located at the approximate latitude of $17^{\circ}50$ 'S, nearly 60 km from the National Maritime Park of 91 Abrolhos, which is one of the largest reef structures of the Atlantic ocean, providing habitat for innumerous marine species. The ESCP has two main mouths: the Caravelas Estuary in the north 92 93 (17°45'S), with two small channels named Barra Velha (~1 km wide) and Tomba's Mouth (~600 m 94 wide), and the Peruípe Estuary in the south (17°54'S) with a funnel shape ranging in width from 95 \sim 3500 m to \sim 700 m in the first few hundred meters. These two mouths are separated by a distance 96 of ~25 km alongshore, and are internally connected by shallow and narrow channels around 97 Cassurubá or Cassumba Island. Our simulations consider the domain shown in Figure 1C, for which 98 results were computed according to the number of particles in the control domain with boundaries 99 ω_1 and ω_2 .

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- 101
- 102 103

Preferred position for figure 2

- 104 2. Methods
- 105
- a. Numerical model 106

107 The ESCP comprises a number of channels varying significantly in width, from 60 m 108 upstream to 1000 m near the mouth, and thus a high resolution mesh is necessary to resolve the 109 many small channels in the domain. The numerical model used is the curvilinear-mesh, three-110 dimensional Delft3D-Flow from Deltares (www.deltares.nl). This model is hydrostatic, and its

111 equations are solved by the method of finite differences (Delft Hydraulics, 2008). A curvilinear mesh is appropriate for the domain, although there are some disadvantages in the horizontal 112 113 resolution distribution compared to unstructured meshes. Delft3D's curvilinear mesh is efficient in 114 minimizing noise due to the steps in the horizontal plane, and allows the mesh cells to follow the 115 channels more easily compared to non-curvilinear quadrangular meshes. The degree of nonorthogonality between mesh elements is always smaller than 0.02 thus satisfying the criterea ($\cos \theta$ 116 117 < 0.02), which helps to preserve numerical stability of the simulations (Delft Hydraulics, 2008). 118 The diagonal horizontal resolution ranges from ~20 m to ~300 m. The number of quadrangular 119 mesh cells on the horizontal plane is 22,928. A lower resolution is applied in the coastal region ~[130-300] m, but this is increased toward the coast and the estuary ~[20-100] m (Figure 1B). The 120 121 refined mesh within the estuary combined with high water speeds requires the time-step to be 122 relatively small (around 1 second), to satisfy the Courant-Friedrichs-Lewy condition. The mesh used in the simulations of the ESCP (Figure 1B) is relatively complex, covering a small part of the 123 124 Peruípe River, near the city of Nova Viçosa. This river is the main channel connecting the northern 125 and southern mouths. The main tributaries of the Caravelas River, namely the Cupído and Jaburuna 126 Rivers, are covered by the mesh. With 10 equally spaced sigma vertical layers, this mesh also 127 covers a few kilometers of the adjacent coastal region.

128 The bathymetry in the estuarine channels was obtained using an Echo sounder and Global 129 Position System. Two tide gauges were installed in Caravelas and Nova Viçosa (see locations A and 130 C in Figure 2), meant to remove the tides from the Echo sounder data. For the Peruípe River estuary, the bathymetry was measured only in the first 6 km, near anchor station D. Thus an 131 132 extrapolation was applied, considering the depth to be 4 meters for the next 14 km along the Peruípe 133 River. The bathymetry was combined from these sources, and the triangular interpolation application in Delft3D-Flow was used. The bottom topography has depths ranging from ~ 0.2 m to a 134 maximum of ~18 m (Tomba's Mouth), whilst in the coastal region do not exceed ~10 m. 135

137

A more detailed description of the field work carried out to obtain mersurements of thermohaline properties and other parameters is provided in Appendix 2.

138

139 b. Model Boundary conditions, initial conditions and physical parameters

Rainfall and river discharge measurements in the Peruípe River are shown in Figure 3B. The river discharge data, obtained from the National Agency of Waters ANA (http://www.ana.gov.br/), was measured at a gauge station upstream of the river, at station Helvécia n^o 55510000 (code 1739006). This station covers a large part of the drainage basin of the river. During rainy conditions the total drainage basin of the river may be used to estimate the total river flow to be applied at the upstream inflow boundary of the river. The factor to account for the missing drainage basin area is $\alpha = \frac{A_1 + A_2}{A_1} = 1.6$, in which station Helvécia $A_1 \sim 2,840$ km², and the downstream area not covered

by this gauge station is $A_2 \approx 1,760 \text{ km}^2$. The area values were obtained from the ANA (<u>http://hidroweb.ana.gov.br/</u>).

149 Data from the gauge station were also used to estimate the river discharge range for the Cupído and Jaburuna rivers. This was done by comparing their watershed areas with the watershed 150 151 of the Peruípe river, and assuming homogeneous rainfall and evapotranspiration distributions over these areas (Andutta, 2011; Pereira et al., 2010). The total river flow into Caravelas Estuary was 152 then roughly estimated using $Q = Q_P \beta$, where $\beta = 600/4600$ is the ratio between the catchment 153 areas of the Caravelas (600 km²) and the Peruípe ($A_1 + A_2 = 4600$ km²) rivers, and Q_P is the 154 average discharge for the Peruípe). This estimation was adjusted by comparing observed flow 155 156 velocities at locations A and B with model predictions.

157 The monthly estimate of fresh water inflow for the Peruípe River reveals small inflow for 158 the dry season, often between June and September (see Figure 3C). The combined freshwater input 159 from the Jaburuna and Cupído rivers estimated using the factor β is less than 10% of the river 160 discharge into the Peruípe River. Because the field work was conducted during a relatively dry wet 161 season, when rainfall was negligle prior to and during measurements obtained in January 2008 162 (Figure 3A), it is logical not to consider the application of the factor α at the Helvécia gauge 163 station. Although this approach of river flow estimation is not required, the technique described 164 above would be required under homogeneous rainfall conditions over the drainage basin of the 165 Peruípe, Jaburuna and Cupído rivers.

The best fit between observations and model results was obtained using the mean river discharge shown in Table 1 for the Cupído and Jaburuna rivers, and the daily measurements shown in Figure 3B for the Peruípe River. In other words, the value measured at Helvécia gauge station was used in the simulation with additional tuning to extrapolate results for the other two smaller rivers.

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Preferred position for Table 1

Preferred position for figure 3

177

The measurements from this tide gauge were compared with the simulation results during neap and spring tides using the "Skill" method described below. In addition, a qualitative comparison was carried out between the axial salinity distribution found in the simulations, and the observed distribution presented in Schettini and Miranda (2010).

We used the initial condition of a homogeneous thermohaline distribution for the salinity (30 practical salinity unit - psu) and temperature (27 °C). The spin up simulation was made for about two months to obtain a dynamic equilibrium condition. Since the temperature has previously been found to be nearly homogeneous in this estuary (Andutta, 2011), its mean value was used for all simulations. The first flow field and salinity distribution, obtained from the equilibrium condition, 187 was used to provide a varied initial field for simulations starting at slack waters in both spring and188 neap tidal conditions.

Computational modellers often assume that vertical eddy diffusion and viscosity coefficients vary in time, by using turbulent closure models, e.g. algebraic, *k-L*, *k-Epsilon* schemes. On the other hand, the horizontal eddy diffusivity, K_h , and horizontal viscosity coefficients, K_v , are often estimated according to the mesh element size (Okubo, 1971). Therefore, modellers need to choose a parameterisation scheme that provides the right amount of mixing in the estuary. We have considered the parameterisation of horizontal eddy viscosity by Uittenbogaard et al. (1992), which is available in Delft3D-Flow and reproduces well the turbulent fluxes of momentum.

The best fit between results and simulations was obtained assuming the horizontal eddy diffusivity, K_h , to be in the range of ~[2-30] m² s⁻¹ with small and large values applied respectively to small and large mesh cells. The sensitivity analysis for K_h , was conducted following Okubo (1971). Because Okubo's formula applies for open-water, it was observed that it was not properly simulating the true dispersion in the estuary, thus a factor *f* was used to increase and decrease mixing at the sub-grid scale (See Equation 2). Varying *f* allowed us to achieve the best fit between measurements and model results.

203
$$K_h = f[2.05 \times 10^{-4} \times d^{1.15}]$$
 (2)

204

where *d* is the mesh cell size (from ~20 to ~300 metres), and *f* is the factor set to different values but only shown for 2, 100, 150, 200, 250, 400 and 2000 in the sensitivity analyses (see Table 3).

The *k-Epsilon* turbulent closure scheme was used to compute values for the vertical viscosity and diffusivity. We assumed the typical Manning roughness coefficient of $(0.02 \text{ m}^{-1/3} \text{ s})$, which characterises the higher percentage of local sediment (Souza et al., 2013). This resulted in a Chézy coefficient of ~40 m^{-1/2}/s. Wind speed and directions, assumed to be **constant** over this small region, were obtained at the Caravelas station from the Instituto Nacional de Meteorologia INMET (code INMET 86764), at (source: <u>http://www.inmet.gov.br/portal/</u>), see Figure 4.

Preferred position for figure 4

215 The wind was assumed to only affect mesh cells in coastal areas. In other words, the wind stress did not affect mesh cells inside the estuarine channels. Moreover, Andutta et al. (2013) 216 217 applied Hansen and Rattray's analytical equation of the velocity and salinity components, and 218 demonstrated that the wind effect in January 2008 was negligible at station C (near Nova Vicosa 219 estuarine mouth), which is the closest to the coast. Hansen and Rattray's analytical solution required 220 an adjustment of no more than 0.02 Pascal for the wind stress, which correspond to wind speeds of \sim 3 m s⁻¹ (Andutta et al., 2013). South-southwestward alongshore currents occur between October 221 222 and January, while north-northeastward alongshore currents are observed during the fall and winter 223 months Lessa and Cirano (2006).

Sea level data from TOPEX were used to force tides at the open boundary nodes. A time 224 225 series of water surface elevation from May to July 2007 was recorded at Terminal Aracruz (TA in Figure 2), which is a few kilometers away from the coastal open boundary. At TA a total of 226 227 16,264 tidal measurements were recorded at five minute intervals, and were processed using the tidal component extraction program PACMARÉ (Franco, 2000). These tidal measurements were 228 229 used to obtain the amplitude and phase of the main tidal components, shown in Table 2. Additionally, sea-level data were recorded at stations A and C from 14th to 19th of January 2008, 230 231 and these data were used to validate modelled sea-level oscillation (comparison shown in Results 232 and Discussion section). Sea surface elevation observations from sites A and C showed the same 233 phase, strongly suggesting that tides propagate across the shelf, because tides propagating along the 234 coast would results in a phase shift between sea level observations at sites A and C (see Figure 2). 235 The measurements of tidal heights of ~1 m and ~3 m during neap and spring tides, respectively. 236 This ranks as meso-tidal, according to the criteria of Davies (1964) for tidal classification. From the tidal heights shown in Table 3, the tidal form-number is $\left[N_{f}=\left(K_{1}+O_{1}\right)\ /\ \left(M_{2}+S_{2}\right)\ =\ -1$ 237 238 0.19], indicating a semidiurnal tidal estuary (Defant, 1960). The tidal components from Table 2 239 represent over 97% of sea level variations for the estuarine system (Andutta, 2011).

Preferred position for Table 2

241

242 *d. Model validation criteria*

In order to quantify the agreement between the simulated velocity and salinity profiles the method suggested by Wilmott (1981), based on the Skill parameter was used. Accordingly, the skill is measured as follows

246
$$Skill = 1 - \frac{\Sigma |X_{\text{mod}} - \overline{X}_{obs}|^2}{\Sigma \left(|X_{\text{mod}} - \overline{\overline{X}}_{obs}| + |X_{obs} - \overline{\overline{X}}_{obs}| \right)^2}, \qquad (3)$$

where X_{obs} and X_{model} denote the observational and simulated properties, respectively, \overline{X}_{obs} being the mean observational values. The Skill parameter varies from 1 to zero, with 1 indicating the best fit, and zero indicating a complete disagreement between observation and model results.

250

251 e. Modelling approach to calculate the Transport Timescales

To quantify the residence time and exposure time 35 thousand numerical particles were released in the estuary by coupling D-Waq PART with results from the Delft3D-FLOW(i.e. within the subdomain denoted ω). Numerical particles were deployed near the bottom and top layers. The particle concentration using conservative tracer module was normalized to value 1 within the volume of ω . Therefore, the number of particles decreases when particles exit ω , and increases when particles re-enter ω . The minimal initial number of particles, i.e. 25 thousand, was computed considering a minimum thikness of 2 m and a grid cell of ~20 by 10 meters.

A total of four simulation scenarios were made: (S_1) particle released at high water in neap tide, (S_2) particle released at low water in neap tide, (S_3) particle released at high water in spring tide, and (S_4) particle released at low water in spring tide.

In order to be consistent with CART timescales, for the computation of the residence time, particles are discarded once they have reached an open boundary, e.g. estuarine head or an open

boundary in coastal waters (de Brauwere et al., 2011; de Brye et al., 2012). The arithmetic mean of 264 the individual residence times, φ , was computed as the time necessary for particles to exit the 265 domain (ω) for the first time. As for the exposure time, the particles are assumed to immediately 266 bounce back into the domain only at estuarine heads. This simplifying hypothesis is unlikely to 267 entail any major error, since a particle crossing the upstream estuarine boundary in the upstream 268 direction (because of diffusive processes) will most likely return into the estuary after a relatively 269 270 small time under the influence of the river flow, e.g., the St. Johans River in Florida, which 271 experiences backflows over significant durations (Hendrickson et al., 2003).

272 Results from residence and exposure times were used to estimate the return coefficient 273 distribution. The residence and exposure times may vary according to the time of release, such as 274 during neap/spring tides or high/low tides, and this would also affect the return coefficient. This 275 notwithstanding, results of exposure and residence times must be calculated for the same conditions 276 when computing the return coefficient, i.e. $r = (\Theta - \varphi)/\Theta$.

277

278 f. The modified LOICZ analytical model

The modified LOICZ model of Andutta et al. (2014) applies the salinity balance proposed by Fischer et al. (1979) into the original formulation of the LOICZ. This water renewal timescale model has been shown to be sensitive to changes to some of its free parameters (e.g. river flow and salinity grandient). We expect that the estimates of the timescales from our numerical results would fit within the ranges derived from the LOICZ model. Details of its derivation are provided in Andutta et al. (2014); however we provide the simplified relation for water renewal timescale.

285

286
$$\frac{1}{T_P} = \frac{1}{T_1} + \frac{1}{T_2},$$
 (4)
287

where $T_1 = L/U$ and $T_2 = L^2/K$ are the advective and dispersive timescales, respectively. *L*, *U*, *K* and T_p are respectively the selected estuarine segment length, the flow speed, the characteristic value of the longitudinal diffusivity and the water renewal timescale. This expression may be rewritten in terms of the dimensionless Péclet number $Pe = ULK^{-1}$, the ratio $P_e = T_2/T_1$ of the dispersive to the advective timescale. Similarly, this number provides a comparison of contributions from advective and dispersive processes to transport timescales, yielding

294

295
$$T_P = \frac{\mathrm{VP}_{\mathrm{e}}}{Q_R (1 + P_e)}.$$
 (5)

296 Where *V* and Q_R denote the estuarine volume and river discharge, respectively. The contribution of 297 advection to the total water renewal timescale T_P , θ ($0 \le \theta \le 1$), is given by

299
$$\theta = T_P / T_1 = Q_R / (Q_R + Q_D),$$
 (6)

300 301

298

302 where Q_D is the discharge. Equations (4) and (6) were used to generate the advective-dispersive 303 diagram (shown later), whose results will be compared to the numerical results.

304

305 g. The CART analytical model

306 As previously mentioned, in the framework of CART, the TTS that may be used to calculate 307 water renewal rates can be obtained at any time and position as the solution of partial differential 308 equations (Deleersnijder et al., 2006; de Brye et al., 2012; Andutta et al., 2014). For instance, 309 residence time and exposure time were estimated using calibrated/validated numerical simulations 310 for the Scheldt Estuary (de Brauwere et al. 2011, de Brye et al. 2012). As an easy acceptable 311 method, analytical solutions may provide results that are representative of real situations (e.g. 312 CART and LOICZ). The idealised CART timescales were used to obtain the exact analytical 313 solution of the so called return coefficient for the ESCP. Different values of the Péclet number were 314 considered, in order to assess the axial variation of return coefficient values. The advective timescale, $T_1 = V/Q_R$, and a dispersive timescale, $T_2 = P_E V/Q_R$, are defined taking into consideration 315 316 the estuarine volume V. Andutta et al. (2014) provides a detailed description depicting an idealized 317 channel for the time scales.

Consider an estuarine channel $(-\infty < x < \infty)$ with a constant cross-sectional area *A*, and a flow under steady-state. The volumetric flow rate is denoted as Q_R . The downstream and upstream boundaries of our idealised estuary are located at $x = L_0$ and $x = L_1$, respectively. The estuarine length is $L = L_0 - L_1$, and thus the volume is V = AL. The water velocity is then $U = Q_R / A = LQ_R / V$. For the abovementioned conditions, the residence time satisfies the adjoint of the classical passive tracer transport equation (Delhez et al. 2004, Andutta et al. 2014), i.e.

324

325
$$\frac{d}{dx}\left(AK\frac{d\varphi}{dx} + Q_R\varphi\right) = -A \tag{7}$$

326

where, *x*, denotes the particle position. The solution for the equation needs to satisfy the upstreamand downstream boundary conditions,

329

330
$$\varphi(L_1) = 0 = \varphi(L_0).$$
 (8)

331

332 It represents the average time required by particles initially located in the interval $[x, x + \delta x]$ 333 (with $\delta x \rightarrow 0$) to reach one of the open boundaries. The solution is then easily derived: 334

335
$$\varphi(x) = \frac{V}{Q_R} \left(1 - \frac{\xi}{L} \right) + \frac{V}{Q_R} \left(\frac{e^{-Pe} - e^{-Pe\xi\xi/L}}{1 - e^{-Pe}} \right)$$
(9)

336 where $\xi = x - L_1$.

337 The exposure time was also derived (Andutta et al., 2014), and is defined in the domain of interest338 and its surrounding environment.

339
$$-\infty \le x \le L_1 \quad : \qquad \Theta(x) = \frac{V}{Q_R} \tag{10a}$$

340
$$L_{t} \leq x \leq L_{0} \quad : \qquad \Theta(x) = \frac{V}{Q_{R}} \left(1 - \frac{\zeta}{L}\right) + \frac{V}{Q_{R}} \left(\frac{1 - e^{-Pe\,\zeta/L}}{Pe}\right) \tag{10b}$$

341
$$L_{o} \leq x < \infty \quad : \qquad \Theta(x) = \frac{V}{Q_{R}} \left(\frac{e^{-Pe} - 1}{P_{e}} e^{-Pe\,\zeta/L} \right) \tag{10c}$$

From Equations (9) and (10), which are valid within the upstream and downstream open
boundaries, the return coefficient is:

345

346
$$r = \frac{\Theta(x) - \varphi(x)}{\Theta(x)} = \frac{\left(\frac{1 - e^{-Pe\,\xi/L}}{Pe}\right) - \left(\frac{e^{-Pe} - e^{-Pe\,\xi/L}}{1 - e^{-Pe}}\right)}{\left(1 - \frac{\xi}{L}\right) + \left(\frac{1 - e^{-Pe\,\xi/L}}{Pe}\right)}$$
(11)

347 Note that *r* is bounded by [0,1], as mentioned before.

348 In principle, the residence time and the exposure time can be obtained by solving classical 349 passive transport equations. However, to do so, time- and position-dependent concentrations must 350 first be obtained and, then, time and space integral must be performed to derive the relevant 351 timescales. This is not straightforward, even for highly idealised flows. This is why it is preferable 352 to have recourse to the adjoint method established by Delhez et al. (2004), which requires the 353 solution of simpler differential problems to be determined: in the present case, only ordinary 354 differential equations are to be dealt with rather than partial differential ones. The disadvantage of 355 this approach is that the theoretical underpinning of an adjoint model sometimes appears elusive, 356 which is probably the reason why Errico (1997) wrote a general, enlightening paper on this matter, 357 explaining the nature and purpose of adjoint models.

358

359 **3. Results and Discussion**

360

365

361 a. Model calibration of salinity, velocity and tides

We carried out a sensitivity analysis considering different values for the horizontal diffusion coefficient k_h using Equation 2. These adjustments of factor *f* for the horizontal diffusivity based on the grid size allowed us to obtain a proper representation of the salinity field and its time variability. The mean Skill parameters for the simulation are shown in Table 3 for different values of factor *f*, which was discribed with Equation 2. The comparison of sea-level oscillation over a tidal modulation period, from the 14th to the 29th of January 2008, showed good skill values for locations A (Figure 5) and C (not shown), and the Skill parameter for tides was calculated to be over 0.97 for both locations, i.e. A and C. The comparison of tides, velocity, and salinity showed good skill values during spring tides (not shown), and reasonable values during neap tides (Figure 6).

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- 373 374

375

Preferred position for figure 5 Preferred position for figure 6

The Skill parameter for the water column height variation in time was calculated to be over 0.98 for all the sites under neap and spring tides (Table 4), and the tidal ranges were ~1.0 m and ~2.5 m for neap and spring tides, respectively. Observations have shown that the tidal phase between sites A (Caravelas mouth) and C (Peruípe mouth) is almost the same. The similarity of their phases indicates that tides propagate mainly perpendicular to the coast line in this region, a result which is in close agreement with observations previously reported by Lessa and Cyrano (2006).

For the modeled velocity validation, good results (Skill from 0.77 to 0.93) were obtained in spring tides in the estuaries of Caravelas (sites A and B) and Nova Viçosa (sites C and D). For neap tides due to small differences on tidal asymmetry, the Skill was lower, at ~ 0.6.

386 The model agreed well with observations of maximum ebb and flood currents at site A. The 387 model also properly simulated the velocity profiles for sites B, C, and D. Therefore, the description 388 of maximum ebb and flood currents from in-situ data also apply to the model simulations. At site B there were maximum speeds of ~0.5 m s⁻¹ and 1.0 m s⁻¹ (ebb events), and ~-0.3 m s⁻¹ and ~-0.6 m s⁻¹ 389 ¹ (flood events), during neap and spring tides, respectively. For site A the vertical shear of the 390 velocity was negligible in flood and ebb conditions, while for site B there was a small vertical shear 391 392 of the horizontal velocity during ebb events. During flood events, the water velocity was homogenous over the water column. In addition, a residual velocity of ~ 0.05 m s⁻¹ was calculated at 393

394 site B, indicating a residual circulation from Nova Viçosa towards the Caravelas River. Site A had a residual current of ~ 0.06 m s⁻¹, indicating a small discharge from the Cupído and Jaburuna Rivers. 395 396 At sites C and D, located in the Peruípe River, the downstream velocities showed more intensity 397 than observed in the Caravelas Channel. For site C the downstream velocities varied from ~-0.9 m s⁻¹ to ~-1.5 m s⁻¹, for neap and spring tides, respectively. During flood events, the velocities were ~-398 0.3 m s⁻¹ and ~-0.7 m s⁻¹, for neap and spring tides, respectively. At site D the maximum 399 downstream velocities were only ~ 0.7 m s^{-1} and ~ 1.0 m s^{-1} at neap and spring tides, and upstream 400 velocities were $\sim -0.3 \text{ m s}^{-1}$ and $\sim -0.4 \text{ m s}^{-1}$. The residual velocities at sites C and D, which have 401 values of ~ 0.10 m s^{-1} to ~ 0.15 m s^{-1} , indicate a higher advective contribution from the Peruípe River 402 403 compared with the Caravelas estuary.

404 In addition to the tides and the velocity field, the model simulated the temporal variation of 405 the salinity well for all sites (A, B, C, and D). During spring tides the calculated Skill values were over 0.83, while for neap tides they were over 0.73 (Table 4). At the Caravelas estuarine channel, 406 407 \sim 3 km near the mouth (site A), during low tide, salinity was observed in intervals of \sim 34.5 psu to ~35.0 psu and ~34.0 psu to ~34.5 psu for the observational and theoretical results, 408 409 respectively. About 6 km away from the mouth we obtained a good agreement for the salinity, with ~32.0 psu and ~32.5 psu for observation and simulation, respectively. In the vicinity of the 410 411 interconnection with Cupído and Jaburuna Rivers (site B), which is about 12 km upstream from the 412 mouth, the salinity decreased to ~30.0 psu and ~28.5 psu for the observational and calculated 413 results, respectively. At high tide, near the mouth (site A) and at a distance of 6 km from the mouth, 414 the salinity was ~36.5 psu and ~36.0 psu, respectively, for both simulation and field measurements. In the upper reaches of the estuary, near the junction of the rivers, Cupído and Jaburuna (~12 km 415 416 from the mouth), a close agreement between simulated and observed salinity values (~33.0 psu) was 417 obtained at high tide. Along the Peruípe River estuary at neap tides, the surface salinities vary in the 418 range of 20.0 psu to 34.0 psu at the surface, and 32.5 psu to 36.0 psu near the bottom. The region of 419 Nova Vicosa has more vertical stratification of the salinity than at sites A and B in the Caravelas

River. This is due to Peruípe River's larger freshwater discharge. The observed value of ~36.0 psu near the bottom is characteristic of the Tropical Water Mass, which was already reported to enter this estuarine system (Schettini et al., 2010). During spring tides the vertical mixing causes the erosion of the halocline, and thus decreases vertical stratification. This results in a smaller vertical variation of 31.0 psu to 36.0 psu from the surface to the bottom.

425

Preferred position for Table 4

426 A comparison of the axial distribution of salinity was made for the Caravelas River (Figure 7A,B). For the first 12 km along the estuarine channel, results from simulations were compared to 427 observations made by Schettini and Miranda (2010). The measurements were obtained on the 10th 428 429 of April, 2001 during spring tides. Although the field data are likely to be from different conditions of river flow, the simulation showed a good correlation of the axial distribution of the salinity in the 430 Caravelas River (See Figures 7C and 7D), with values of $R^2 \sim 0.97$ and $R^2 \sim 0.99$ for low and high 431 432 tides respectively. This indicates that the model has well represented the mixing processes in the 433 Caravelas Estuary. During low tide (Figure 7A,C), a good agreement is found near the mouth, with salinity values of ~35.2 psu and ~ 34.5 psu, for the model results and observations, respectively. At 434 nearly 6 km upstream, there is still a good agreement ($R^2 \sim 0.99$) with the salinity values of ~33 435 436 psu (model), and ~32 psu (observation). Further upstream and near the inter-conection between the 437 Cupído and Jaburuna rivers (i.e. ~ 12 km upstream), the agreement is slightly poorer with the salinity values of ~30 psu (model) and ~29 psu (observation). At high tide (Figure 7B, D), the 438 439 model predicted the longitudinal salinity variations well along the Caravelas Channel. The salinity 440 values near the mouth were ~36.4 psu (model and observation), and reduced to ~36 psu 6 km 441 further upstream. Moreover, during high tides the agreement was also good near the channel 442 between the Cupído and Jaburuna rivers with salinity of ~33 psu.

443 444

Preferred position for figure 7

- 445 b. Results of the residence time, the exposure time and the return coefficient
- 446

447 The transport timescales, namely residence time (φ), exposure time (Θ), and the return 448 coefficient (*r*), were estimated for the Caravelas and Peruípe estuarine channels with simulation 449 under different scenarios, i.e. S₁ to S₄ (Figures 8, and 9).

For scenarios S_1 and S_3 (Figure 8), the residence time along the Caravelas Channel, from the mouth to 12 km upstream, was found to vary from 0 to ~15.0 days. The inflow boundaries of the Cupído and Jaburuna rivers were found to have residence times of ~27 and ~22 days, respectively. For the Peruípe Channel the residence times ranged from 0 to ~7.4 days, from the mouth to 5 km upstream, with a maximum value of ~18 days at the inflow boundary of the Peruípe Estuary.

The residence time estimated at ~6 km further upstream in the Caravelas Estuary ($\varphi = 11.7$ days) is almost three times larger than the residence time calculated for the same distance along the Peruípe Estuary ($\varphi < 4.4$ days). The difference between results in the Caravelas and the Peruípe estuaries is due to the larger velocity contribution in the Peruípe Estuary.

459 Comparing scenarios S_1 and S_3 , the residence time was found to be slightly lower for S_3 (c.a. 460 a few hours) and this difference is due to increased diffusive contribution during stronger spring 461 tidal conditions. In contrast to scenarios S_1 and S_3 , the simulations considering scenarios S_2 and S_4 yielded an increased residence time. This increase was maximum near the estuarine mouths (~5 462 463 days), and observed to reduce in the upstream direction (few hours). The increase in residence time for particles released in slack water of low tide is caused by tidal excursion from reversing currents 464 (i.e. flood currents). These results reflect and add value to recent simulations by de Brye et al. 465 466 (2012) for the Scheldt Estuary (in Belgium and the Netherlands), whose results showed larger residence time values for particles released at slack water of low tides than for high tides (difference 467 of a few days). 468

The virtual Lagrangian particles showed that a negligible number of particles crossed the connecting channel between the Caravelas (ω_1) and Peruípe Estuaries (ω_2), which indicates that this relatively narrow and shallow interconnection channel allows little exchange of water properties between these estuaries. Moreover, the residence time is observed to be larger within the enlargement of the interconnecting channel between these two estuaries. Schettini and Miranda
(2010) and Schettini et al. (2013) have addressed the importance of the interconnection channel
between Caravelas and Peruípe, and found that sediment deposited near the Caravelas mouth was
both inner shelf local resuspension and upstream transport, or sourced from the Peruípe River via
the interconnection channel.

478

479 480

Preferred position for figure 8

481 Exposure time results showed that particles re-entered the system for up to two days (Figure 482 9). Note that the difference between the exposure time and the residence time ($\Theta - \varphi$) showed little 483 spatial variation for scenarios S₁ and S₃.

484 485

Preferred position for figure 9

The spatially averaged difference between exposure and residence times $(\Theta - \varphi)$ are calculated in days, and its respective RMSE to be ~1.98 +/- 0.06 for S₁, ~1.87 +/- 0.12 for S₂, ~1.92 +/- 0.07 for S₃, ~2.19 +/- 0.08 for S₄. These results strongly suggest that $(\Theta - \varphi \sim \text{const.})$ for the ESCP under the four different scenarios considered. The results also suggest that t_3 - t_2 varys little away from the open boundaries, so particles deployed at different times and locations in the estuary re-enter for similar lengths of time, assuming the circulation in coastal waters does not considerably change over time due to additional forcings, e.g. sudden alongshore wind driven currents.

Equation (5) was used to estimate the range of water renewal timescales for the Caravelas and Peruípe estuaries using the parameters given in the appendices of Andutta et al. (2014), see Figure 10. The straight line labelled θ (lowercase) indicate the relative advective contribution to water renewal, where $0 \le \theta \le 1$. The line at $\theta = 0.5$ separates the areas where transport is dominated by advection (diagram upper zone, $\theta > 0.5$) and dispersion (diagram lower zone, $\theta <$ 0.5).

499 The Caravelas and Peruípe estuaries have mean depths of ~6.5 and ~7.5 meters, 500 respectively, and the maximum and minimum tidal ranges in these estuaries are ~2.5 and ~0.5 501 meters. According to Andutta et al. (2013), these tidal ranges combined with the relatively the small depths result in a high rate of change of the potential energy (~6.1 J m⁻³ s⁻¹), which contributes 502 503 towards large dispersion. It is valid to compare these results to the Sheldt Estuary, where tidal 504 oscillation is typically 4-5 meters along the first ~100 km, and where the mean water depth is ~10 meters. Tidal range in the Sheldt can reach ~7 m, which is about 45% of the mean water depth 505 506 value for the first ~25 km near the estuarine mouth (Soertaert and Herman, 1995; de Brye et al. 507 2012), and this system is classified as well-mixed due to dispersion prevailing over advection. The numerical results for the ESCP fit within the timescale ranges estimated using the simple LOICZ 508 509 method.

510 511

512

Preferred position for figure 10

513 The return coefficient cannot be calculated using the modified LOICZ model. However, it 514 was computed numerically and compared to the non-dimensional solution obtained using CART. 515 The return coefficient converges to one at the estuarine mouths and near estuarine heads (Figure 11, for all scenarios S_1 to S_4 and Figure 12B). However, this is only a direct consequence of the 516 517 definition of the residence time, which converges to zero at the entrance, and thus the return 518 coefficient will always increase towards unity. r was observed to be smaller upstream, because the 519 ratio $(\Theta - \varphi)/\Theta$ is likely to decrease. It can be noticed that the axial variation of the return 520 coefficient is similar for both CART solution and numerical approach (Figures 11 and 12C). The 521 return coefficient calculated from CART and from numerical simulations is observed to increase 522 towards the upstream boundary. This increase towards the estuarine head is due to the boundary 523 condition assumed in the analytical and numerical solutions, where particles do not re-enter the domain after crossing the estuarine head, although in a real estuary water particles would re-enter 524 525 through the estuarine head due to river flow conditions.

Figure 12A shows results of the residence and exposure time and return coefficient for a range of values of the Péclet number. High values of the Péclet number yield a boundary layer in the vicinity of the upstream location.

The greater the relative importance of advection, the less likely it is that dispersion will cause a water particle to hit the upstream boundary of the domain ($x = L_0$). In accordance with their definitions, the exposure time is larger than the residence time for any location in the domain ($L_1 \leq \zeta/L \leq L_0$). These idealised results of the return coefficient were used to access results obtained from our numerical simulations.

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538 539

Preferred position for figure 11 Preferred position for figure 12

540 In the illustration shown in Figure 11A, the ratio is simply the difference between times t_3 541 and t_2 . Evidently this is a simple case where the particle is assumed to have re-entered the domain 542 only once.

Particles are expected to first cross the estuarine mouth during ebb currents, which would be alternating with flood currents and dispersive processes. Therefore, we could presume that Lagrangian particles would have a time window of ~6.5 hours to cross the entrance (for semidiurnal tidal estuaries), and this time window would then close for ~ 6.5 hours (the period of flood currents).

Our simulations were for relatively calm weather conditions, which were predominant over 548 the region, c.a. wind speeds in the range 1-4 m s⁻¹ (wind from NE). Andutta et al. (2013) showed 549 that wind conditions did not affect the water circulation in this estuarine system in January 2008. 550 551 However, for stronger wind conditions the results would not be the same. Evidently alongshore 552 wind-driven currents would reduce the difference between the exposure time and the residence 553 time, and the return coefficient would thus decrease towards zero. This is because alongshore currents inhibit the propensity of particles to re-enter the estuarine system. The alongshore shelf 554 555 currents are observed to be driven by the N-S migration of the South Atlantic High between 556 summer and winter. South-southwestward alongshore currents occur between October and January, 557 while stronger north-northeastward alongshore currents are observed during the fall and winter 558 months (Lessa and Cirano, 2006).

559

560 **4. Conclusions**

561

562 Overall goal

This study provides the first estimates of the residence time, exposure time and the return coefficient for the Caravelas and Peruípe estuaries and might be a reference for future studies related to the control of pollutants and sediment transport. These transport timescales were estimated using a Lagrangian model only as a tool, and this model has been properly calibrated and validated using field data.

568 Specific conclusions

• Achievements regarding goals (1 and 2)

The residence time for particles released far upstream in the Caravelas Estuary was found to be nearly 3 weeks for particles, regardless of whether they are released at high or low tide, and is driven by tidal dispersion combined with the discharge from the Cupído and Jaburuna Rivers (typical range of 4 to 9 m³ s⁻¹). These results are consistent with previous estimates derived from simple analytical solutions (Andutta et al., 2014), see Figure 10. For the Peruípe Estuary, our estimates of the residence time were for less than one week, due to the tidal dispersion combined with the larger river input from the Peruípe River (typical range of 20 to 70 m³ s⁻¹).

577 The transport timescales (exposure and residence times) were found to be quite similar for particles released in high tide under spring and neap tidal conditions, thus confirming previous 578 579 estimations made for the Scheldt Estuary (de Brye et al., 2012). In contrast, the transport timescales 580 were shown to be more sensitive to tidal-phase release time (i.e. high or low tides) in this estuarine 581 system. Similar observations were made for the Scheldt Estuary (de Brauwere et al., 2011), in 582 which there was a difference of days for results of residence time using particles released at high 583 and low tides. This suggests that tidal-phase release time for a meso-tidal shallow estuary forced by 584 low-moderate river discharge conditions is important for quantification of TTS, especially for water 585 particles near mouths where larger tidal excursions are expected compared to locations further 586 upstream, and since their initial movement would be upstream/downstream if released during 587 low/high tide, respectively.

The Lagrangian simulation also showed that the narrow and shallow inter-connecting channel between the Peruípe and Caravelas estuaries allows **little** water exchange between the two estuaries, and only a few particles were capable of crossing the inter-connection passage with prevailing direction from the Peruípe to the Caravelas, in agreement with Schettini et al. (2013). Therefore, both exposure time and residence time were large at that location, and the exchange of water properties is likely to happen through alongshore currents at inner coastal areas.

• Achievements regarding goal (3)

595 Similarly to the exposure and residence times, the return coefficient was shown to be more 596 sensitive to tidal phase (high and low tide), than to neap and spring tidal conditions. It may be 597 summarized as follows: (1) the return coefficient is larger for particles released at high tide than at 598 low tide; (2) the return coefficient is larger for particles released during spring tides than during 599 neap tides.

600 For these two estuaries the exposure time was higher than the residence time in all 601 simulations, thus showing that water may return to the system after having first crossed the mouth. 602 The propensity of this water to return to the estuary was quantified using the return coefficient, 603 which depends on the difference between the exposure and residence times, and thus also on the 604 residual circulation due to river discharge, as well as the circulation in coastal waters. For instance, 605 swift longshore currents decrease the difference between the exposure and residence times, and 606 therefore reduce the return coefficient. The wind conditions over our measurement period were characteristic of calm weather, c.a. a few m s⁻¹ (see Figure 4), and different scenarios may produce 607 608 different results for the transport timescales, for instance under stronger north-northeastward 609 alongshore currents which are often observed during the fall and winter months Lessa and Cirano 610 (2006). Due to its definition, the return coefficient is predicted to be larger at the estuarine mouths, 611 because the residence time tends to zero (see Equation 1). Our results have additionally shown that 612 for our scenarios the difference between exposure and residence times $(\Theta - \varphi)$ is nearly constant 613 within our domain. This can also be observed from our analytical solution (Figure 12C).

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- 712

1 An assessment of transport timescales and return coefficient in adjacent tropical estuaries

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14

15 Abstract –

16 Transport timescales (TTS), namely residence time and exposure time, were computed for adjacent 17 shallow meso-tidal tropical estuarines system using the Lagrangian model D-Waq Part coupled with the hydrodynamic model Delft3D-Flow, and the Constituent-oriented Age and Residence time 18 19 Theory, CART. The main results are threefold: (a) The TTS differs more between releases at high 20 or low tide than between those at spring and neap tides. The exposure time was also calculated and 21 found to be larger than the residence time by a few days. (b) The exposure and residence times were 22 used to evaluate the return coefficient (r) for different scenarios. As with residence and exposure 23 times, the return coefficient was found to differ more between releases at high or low tide than 24 between those at spring and neap tides. (c) For the Caravelas Estuary, where the river inflow was low (~4 $m^3 s^{-1}$), the residence time was found to be much larger than for the Peruípe Estuary, where 25 the river discharge was greater and nearly constant during the sampling period (~20 m³ s⁻¹). These 26 results shows the importance of advection in decreasing TTS in the Peruípe Estuary compared to 27 28 the Caravelas Estuary. The influence of the advection and dispersion agrees with previous simple 29 estimates obtained using the newly modified Land Ocean Interaction Coastal Zone (LOICZ) model 30 by Andutta et al. (2014).

31

Keywords: tropical estuary; residence time; exposure time; return coefficient; numerical model;
hydrodynamics.

34

35 **1. Introduction**

36 Since the dynamics of most estuarine systems is relatively complex, studies of transport 37 timescales (TTS) provide valuable insight into estuarine behaviour. Transport timescales represent a 38 more holistic way of interpreting the flow in complex systems (e.g. Monsen et al. 2002), and allow 39 us to understand how advective and dispersive mechanisms transport water.

40 Transport timescales are driven by the water currents, which in turn are influenced by sea 41 level oscillation, bathymetry and the temperature and salinity fields. It is therefore necessary to have 42 an accurate representation of these quantities in order to satisfactorily estimate transport timescales.

43 This article has the following tasks:

44 (1) to demonstrate, using a 3D hydrodynamic model combined with particle simulations,
45 how release times (e.g. slack waters of high and low tides, neap and spring tides) affect the
46 exposure time and residence time in a shallow meso-tidal tropical estuary.

47 (2) to compare TTS results from numerical modelling with estimates using the simple
48 newly modified Land Ocean Interaction Coastal Zone (LOICZ) model by Andutta et al. (2014).

49 (3) to calculate and evaluate the return coefficient (*r*) numerically and analytically using
50 CART. This is a measure of the propensity of a water parcel to return into the domain of interest
51 after leaving it.

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53 a. Overview of Transport Timescales

54 Since the pioneering work by Ketchum (1951) and Bolin and Rodhe (1973), the theory of 55 TTS has evolved (e.g. CART, www.climate.be/cart), and other TTS definitions have been 56 introduced in order to fill scientific gaps. Therefore, there are many different transport timescale 57 definitions, e.g. flushing time (Ketchum, 1951; Fischer et al., 1979; Monsen et al., 2002), residence time (Bolin and Rodhe, 1973; Monsen et al., 2002; Delhez et al. 2004; Deleersnijder et al., 2006), exposure time (Monsen et al., 2002), transit time (Holzer and Hall 2000), influence time (Delhez et al., 2014), age (Bolin and Rodhe, 1973; Monsen et al., 2002), e-folding flushing time (Monsen et al., 2002), turnover time (Sheldon and Alber, 2006) and renewal time (Andutta et al., 2014) – all of which have their own interpretation.

Two timescales, residence time and exposure time, are used to provide an indication of 63 increase or decrease of non-reactive and reactive substances in estuaries, bays, lagoons, and atolls 64 (Andutta et al., 2014). The residence time (Θ) is the time needed for a particle constituent to reach 65 for the first time an open boundary of the domain of interest (e.g. Delhez et al., 2004). The exposure 66 time (φ) is the time the particle will stay in the domain (e.g. Monsen et al., 2002) (Figure 2). 67 68 Therefore, at a given time and location, the exposure time is always larger than or equal to the 69 residence time. The larger the difference between the two timescales, the more often the particles 70 tend to re-enter the domain of interest after leaving it for the first time. To evaluate the exposure 71 time, the computational domain must be larger than the domain of interest (de Brauwere et al., 72 2011, de Brye et al., 2012). Estimates of these timescales may be obtained in an Eulerian or a 73 Lagrangian framework. The latter often requires sufficiently large number of numerical particles in 74 order to provide a result that statistically approaches the real condition.

A dimensionless return coefficient, *r*, represents the propensity of particles to return into the estuary after reaching an open boundary for the first time, as illustrated in Figure 1A (de Brauwere et al., 2011). It is defined as the relative difference between \mathscr{P} and $\mathfrak{\Theta}$, i.e.

78
$$r = \frac{(\Theta - \varphi)}{\Theta}$$
 (1)

79 Clearly, this coefficient lies in the interval [0,1].

80 The larger the *r* the more likely it is that particles will re-enter the estuary after crossing one 81 of its open boundaries for the first time. Accordingly, particles that never return into the estuary 82 have r = 0, while particles returning often or for long periods of time have *r* close to unity.

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87 b. Chosen estuary and coastal area

88 The domain of interest is the estuarine System of the Caravelas and Peruípe Rivers (ESCP), 89 in southern Bahia state, Brazil (see Figure 2); more details may be found in Appendix 1. It is 90 located at the approximate latitude of $17^{\circ}50$ 'S, nearly 60 km from the National Maritime Park of 91 Abrolhos, which is one of the largest reef structures of the Atlantic ocean, providing habitat for innumerous marine species. The ESCP has two main mouths: the Caravelas Estuary in the north 92 93 (17°45'S), with two small channels named Barra Velha (~1 km wide) and Tomba's Mouth (~600 m 94 wide), and the Peruípe Estuary in the south (17°54'S) with a funnel shape ranging in width from 95 \sim 3500 m to \sim 700 m in the first few hundred meters. These two mouths are separated by a distance 96 of ~25 km alongshore, and are internally connected by shallow and narrow channels around 97 Cassurubá or Cassumba Island. Our simulations consider the domain shown in Figure 1C, for which 98 results were computed according to the number of particles in the control domain with boundaries 99 ω_1 and ω_2 .

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- 104 2. Methods
- 105
- a. Numerical model 106

107 The ESCP comprises a number of channels varying significantly in width, from 60 m 108 upstream to 1000 m near the mouth, and thus a high resolution mesh is necessary to resolve the 109 many small channels in the domain. The numerical model used is the curvilinear-mesh, three-110 dimensional Delft3D-Flow from Deltares (www.deltares.nl). This model is hydrostatic, and its

111 equations are solved by the method of finite differences (Delft Hydraulics, 2008). A curvilinear mesh is appropriate for the domain, although there are some disadvantages in the horizontal 112 113 resolution distribution compared to unstructured meshes. Delft3D's curvilinear mesh is efficient in 114 minimizing noise due to the steps in the horizontal plane, and allows the mesh cells to follow the 115 channels more easily compared to non-curvilinear quadrangular meshes. The degree of nonorthogonality between mesh elements is always smaller than 0.02 thus satisfying the criterea ($\cos \theta$ 116 117 < 0.02), which helps to preserve numerical stability of the simulations (Delft Hydraulics, 2008). 118 The diagonal horizontal resolution ranges from ~20 m to ~300 m. The number of quadrangular 119 mesh cells on the horizontal plane is 22,928. A lower resolution is applied in the coastal region ~[130-300] m, but this is increased toward the coast and the estuary ~[20-100] m (Figure 1B). The 120 121 refined mesh within the estuary combined with high water speeds requires the time-step to be 122 relatively small (around 1 second), to satisfy the Courant-Friedrichs-Lewy condition. The mesh used in the simulations of the ESCP (Figure 1B) is relatively complex, covering a small part of the 123 124 Peruípe River, near the city of Nova Viçosa. This river is the main channel connecting the northern 125 and southern mouths. The main tributaries of the Caravelas River, namely the Cupído and Jaburuna 126 Rivers, are covered by the mesh. With 10 equally spaced sigma vertical layers, this mesh also 127 covers a few kilometers of the adjacent coastal region.

128 The bathymetry in the estuarine channels was obtained using an Echo sounder and Global 129 Position System. Two tide gauges were installed in Caravelas and Nova Viçosa (see locations A and 130 C in Figure 2), meant to remove the tides from the Echo sounder data. For the Peruípe River estuary, the bathymetry was measured only in the first 6 km, near anchor station D. Thus an 131 132 extrapolation was applied, considering the depth to be 4 meters for the next 14 km along the Peruípe 133 River. The bathymetry was combined from these sources, and the triangular interpolation application in Delft3D-Flow was used. The bottom topography has depths ranging from ~ 0.2 m to a 134 maximum of ~18 m (Tomba's Mouth), whilst in the coastal region do not exceed ~10 m. 135

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A more detailed description of the field work carried out to obtain mersurements of thermohaline properties and other parameters is provided in Appendix 2.

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139 b. Model Boundary conditions, initial conditions and physical parameters

Rainfall and river discharge measurements in the Peruípe River are shown in Figure 3B. The river discharge data, obtained from the National Agency of Waters ANA (http://www.ana.gov.br/), was measured at a gauge station upstream of the river, at station Helvécia n^o 55510000 (code 1739006). This station covers a large part of the drainage basin of the river. During rainy conditions the total drainage basin of the river may be used to estimate the total river flow to be applied at the upstream inflow boundary of the river. The factor to account for the missing drainage basin area is $\alpha = \frac{A_1 + A_2}{A_1} = 1.6$, in which station Helvécia $A_1 \sim 2,840$ km², and the downstream area not covered

by this gauge station is $A_2 \approx 1,760 \text{ km}^2$. The area values were obtained from the ANA (<u>http://hidroweb.ana.gov.br/</u>).

149 Data from the gauge station were also used to estimate the river discharge range for the Cupído and Jaburuna rivers. This was done by comparing their watershed areas with the watershed 150 151 of the Peruípe river, and assuming homogeneous rainfall and evapotranspiration distributions over these areas (Andutta, 2011; Pereira et al., 2010). The total river flow into Caravelas Estuary was 152 then roughly estimated using $Q = Q_P \beta$, where $\beta = 600/4600$ is the ratio between the catchment 153 areas of the Caravelas (600 km²) and the Peruípe ($A_1 + A_2 = 4600$ km²) rivers, and Q_P is the 154 average discharge for the Peruípe). This estimation was adjusted by comparing observed flow 155 156 velocities at locations A and B with model predictions.

157 The monthly estimate of fresh water inflow for the Peruípe River reveals small inflow for 158 the dry season, often between June and September (see Figure 3C). The combined freshwater input 159 from the Jaburuna and Cupído rivers estimated using the factor β is less than 10% of the river 160 discharge into the Peruípe River. Because the field work was conducted during a relatively dry wet 161 season, when rainfall was negligle prior to and during measurements obtained in January 2008 162 (Figure 3A), it is logical not to consider the application of the factor α at the Helvécia gauge 163 station. Although this approach of river flow estimation is not required, the technique described 164 above would be required under homogeneous rainfall conditions over the drainage basin of the 165 Peruípe, Jaburuna and Cupído rivers.

The best fit between observations and model results was obtained using the mean river discharge shown in Table 1 for the Cupído and Jaburuna rivers, and the daily measurements shown in Figure 3B for the Peruípe River. In other words, the value measured at Helvécia gauge station was used in the simulation with additional tuning to extrapolate results for the other two smaller rivers.

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Preferred position for Table 1

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The measurements from this tide gauge were compared with the simulation results during neap and spring tides using the "Skill" method described below. In addition, a qualitative comparison was carried out between the axial salinity distribution found in the simulations, and the observed distribution presented in Schettini and Miranda (2010).

We used the initial condition of a homogeneous thermohaline distribution for the salinity (30 practical salinity unit - psu) and temperature (27 °C). The spin up simulation was made for about two months to obtain a dynamic equilibrium condition. Since the temperature has previously been found to be nearly homogeneous in this estuary (Andutta, 2011), its mean value was used for all simulations. The first flow field and salinity distribution, obtained from the equilibrium condition, 187 was used to provide a varied initial field for simulations starting at slack waters in both spring and188 neap tidal conditions.

Computational modellers often assume that vertical eddy diffusion and viscosity coefficients vary in time, by using turbulent closure models, e.g. algebraic, *k-L*, *k-Epsilon* schemes. On the other hand, the horizontal eddy diffusivity, K_h , and horizontal viscosity coefficients, K_v , are often estimated according to the mesh element size (Okubo, 1971). Therefore, modellers need to choose a parameterisation scheme that provides the right amount of mixing in the estuary. We have considered the parameterisation of horizontal eddy viscosity by Uittenbogaard et al. (1992), which is available in Delft3D-Flow and reproduces well the turbulent fluxes of momentum.

The best fit between results and simulations was obtained assuming the horizontal eddy diffusivity, K_h , to be in the range of ~[2-30] m² s⁻¹ with small and large values applied respectively to small and large mesh cells. The sensitivity analysis for K_h , was conducted following Okubo (1971). Because Okubo's formula applies for open-water, it was observed that it was not properly simulating the true dispersion in the estuary, thus a factor *f* was used to increase and decrease mixing at the sub-grid scale (See Equation 2). Varying *f* allowed us to achieve the best fit between measurements and model results.

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$$K_h = f[2.05 \times 10^4 \times d^{1.15}]$$
 (2)

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where *d* is the mesh cell size (from ~20 to ~300 metres), and *f* is the factor set to different values but only shown for 2, 100, 150, 200, 250, 400 and 2000 in the sensitivity analyses (see Table 3).

The *k-Epsilon* turbulent closure scheme was used to compute values for the vertical viscosity and diffusivity. We assumed the typical Manning roughness coefficient of $(0.02 \text{ m}^{-1/3} \text{ s})$, which characterises the higher percentage of local sediment (Souza et al., 2013). This resulted in a Chézy coefficient of ~40 m^{-1/2}/s. Wind speed and directions, assumed to be constant over this small region, were obtained at the Caravelas station from the Instituto Nacional de Meteorologia INMET (code INMET 86764), at (source: <u>http://www.inmet.gov.br/portal/</u>), see Figure 4.
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Preferred position for figure 4

215 The wind was assumed to only affect mesh cells in coastal areas. In other words, the wind stress did not affect mesh cells inside the estuarine channels. Moreover, Andutta et al. (2013) 216 217 applied Hansen and Rattray's analytical equation of the velocity and salinity components, and 218 demonstrated that the wind effect in January 2008 was negligible at station C (near Nova Vicosa 219 estuarine mouth), which is the closest to the coast. Hansen and Rattray's analytical solution required 220 an adjustment of no more than 0.02 Pascal for the wind stress, which correspond to wind speeds of \sim 3 m s⁻¹ (Andutta et al., 2013). South-southwestward alongshore currents occur between October 221 222 and January, while north-northeastward alongshore currents are observed during the fall and winter 223 months Lessa and Cirano (2006).

Sea level data from TOPEX were used to force tides at the open boundary nodes. A time 224 225 series of water surface elevation from May to July 2007 was recorded at Terminal Aracruz (TA in Figure 2), which is a few kilometers away from the coastal open boundary. At TA a total of 226 227 16,264 tidal measurements were recorded at five minute intervals, and were processed using the tidal component extraction program PACMARÉ (Franco, 2000). These tidal measurements were 228 229 used to obtain the amplitude and phase of the main tidal components, shown in Table 2. Additionally, sea-level data were recorded at stations A and C from 14th to 19th of January 2008, 230 231 and these data were used to validate modelled sea-level oscillation (comparison shown in Results 232 and Discussion section). Sea surface elevation observations from sites A and C showed the same 233 phase, strongly suggesting that tides propagate across the shelf, because tides propagating along the 234 coast would results in a phase shift between sea level observations at sites A and C (see Figure 2). 235 The measurements of tidal heights of ~1 m and ~3 m during neap and spring tides, respectively. 236 This ranks as meso-tidal, according to the criteria of Davies (1964) for tidal classification. From the tidal heights shown in Table 3, the tidal form-number is $\left[N_{f}=\left(K_{1}+O_{1}\right)\ /\ \left(M_{2}+S_{2}\right)\ =\ -1$ 237 238 0.19], indicating a semidiurnal tidal estuary (Defant, 1960). The tidal components from Table 2 239 represent over 97% of sea level variations for the estuarine system (Andutta, 2011).

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Preferred position for Table 2

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242 *d. Model validation criteria*

In order to quantify the agreement between the simulated velocity and salinity profiles the method suggested by Wilmott (1981), based on the Skill parameter was used. Accordingly, the skill is measured as follows

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$$Skill = 1 - \frac{\Sigma |X_{\text{mod}} - \overline{X}_{obs}|^2}{\Sigma \left(|X_{\text{mod}} - \overline{\overline{X}}_{obs}| + |X_{obs} - \overline{\overline{X}}_{obs}| \right)^2}, \qquad (3)$$

where X_{obs} and X_{model} denote the observational and simulated properties, respectively, \overline{X}_{obs} being the mean observational values. The Skill parameter varies from 1 to zero, with 1 indicating the best fit, and zero indicating a complete disagreement between observation and model results.

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251 e. Modelling approach to calculate the Transport Timescales

To quantify the residence time and exposure time 35 thousand numerical particles were released in the estuary by coupling D-Waq PART with results from the Delft3D-FLOW(i.e. within the subdomain denoted ω). Numerical particles were deployed near the bottom and top layers. The particle concentration using conservative tracer module was normalized to value 1 within the volume of ω . Therefore, the number of particles decreases when particles exit ω , and increases when particles re-enter ω . The minimal initial number of particles, i.e. 25 thousand, was computed considering a minimum thikness of 2 m and a grid cell of ~20 by 10 meters.

A total of four simulation scenarios were made: (S_1) particle released at high water in neap tide, (S_2) particle released at low water in neap tide, (S_3) particle released at high water in spring tide, and (S_4) particle released at low water in spring tide.

In order to be consistent with CART timescales, for the computation of the residence time, particles are discarded once they have reached an open boundary, e.g. estuarine head or an open

boundary in coastal waters (de Brauwere et al., 2011; de Brye et al., 2012). The arithmetic mean of 264 the individual residence times, φ , was computed as the time necessary for particles to exit the 265 domain (ω) for the first time. As for the exposure time, the particles are assumed to immediately 266 bounce back into the domain only at estuarine heads. This simplifying hypothesis is unlikely to 267 entail any major error, since a particle crossing the upstream estuarine boundary in the upstream 268 direction (because of diffusive processes) will most likely return into the estuary after a relatively 269 270 small time under the influence of the river flow, e.g., the St. Johans River in Florida, which 271 experiences backflows over significant durations (Hendrickson et al., 2003).

272 Results from residence and exposure times were used to estimate the return coefficient 273 distribution. The residence and exposure times may vary according to the time of release, such as 274 during neap/spring tides or high/low tides, and this would also affect the return coefficient. This 275 notwithstanding, results of exposure and residence times must be calculated for the same conditions 276 when computing the return coefficient, i.e. $r = (\Theta - \varphi)/\Theta$.

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278 f. The modified LOICZ analytical model

The modified LOICZ model of Andutta et al. (2014) applies the salinity balance proposed by Fischer et al. (1979) into the original formulation of the LOICZ. This water renewal timescale model has been shown to be sensitive to changes to some of its free parameters (e.g. river flow and salinity grandient). We expect that the estimates of the timescales from our numerical results would fit within the ranges derived from the LOICZ model. Details of its derivation are provided in Andutta et al. (2014); however we provide the simplified relation for water renewal timescale.

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286
$$\frac{1}{T_P} = \frac{1}{T_1} + \frac{1}{T_2},$$
 (4)
287

where $T_1 = L/U$ and $T_2 = L^2/K$ are the advective and dispersive timescales, respectively. *L*, *U*, *K* and T_p are respectively the selected estuarine segment length, the flow speed, the characteristic value of the longitudinal diffusivity and the water renewal timescale. This expression may be rewritten in terms of the dimensionless Péclet number $Pe = ULK^{-1}$, the ratio $P_e = T_2/T_1$ of the dispersive to the advective timescale. Similarly, this number provides a comparison of contributions from advective and dispersive processes to transport timescales, yielding

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295
$$T_P = \frac{\mathrm{VP}_{\mathrm{e}}}{Q_R (1 + P_e)}.$$
 (5)

296 Where *V* and Q_R denote the estuarine volume and river discharge, respectively. The contribution of 297 advection to the total water renewal timescale T_P , θ ($0 \le \theta \le 1$), is given by

299
$$\theta = T_P / T_1 = Q_R / (Q_R + Q_D),$$
 (6)

300 301

298

302 where Q_D is the discharge. Equations (4) and (6) were used to generate the advective-dispersive 303 diagram (shown later), whose results will be compared to the numerical results.

304

305 g. The CART analytical model

306 As previously mentioned, in the framework of CART, the TTS that may be used to calculate 307 water renewal rates can be obtained at any time and position as the solution of partial differential 308 equations (Deleersnijder et al., 2006; de Brye et al., 2012; Andutta et al., 2014). For instance, 309 residence time and exposure time were estimated using calibrated/validated numerical simulations 310 for the Scheldt Estuary (de Brauwere et al. 2011, de Brye et al. 2012). As an easy acceptable 311 method, analytical solutions may provide results that are representative of real situations (e.g. 312 CART and LOICZ). The idealised CART timescales were used to obtain the exact analytical 313 solution of the so called return coefficient for the ESCP. Different values of the Péclet number were 314 considered, in order to assess the axial variation of return coefficient values. The advective 315 timescale, $T_1 = V/Q_R$, and a dispersive timescale, $T_2 = P_E V/Q_R$, are defined taking into consideration 316 the estuarine volume V. Andutta et al. (2014) provides a detailed description depicting an idealized 317 channel for the time scales.

Consider an estuarine channel $(-\infty < x < \infty)$ with a constant cross-sectional area *A*, and a flow under steady-state. The volumetric flow rate is denoted as Q_R . The downstream and upstream boundaries of our idealised estuary are located at $x = L_0$ and $x = L_1$, respectively. The estuarine length is $L = L_0 - L_1$, and thus the volume is V = AL. The water velocity is then $U = Q_R / A = LQ_R / V$. For the abovementioned conditions, the residence time satisfies the adjoint of the classical passive tracer transport equation (Delhez et al. 2004, Andutta et al. 2014), i.e.

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325
$$\frac{d}{dx}\left(AK\frac{d\varphi}{dx} + Q_R\varphi\right) = -A \tag{7}$$

326

where, *x*, denotes the particle position. The solution for the equation needs to satisfy the upstreamand downstream boundary conditions,

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330
$$\varphi(L_1) = 0 = \varphi(L_0).$$
 (8)

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332 It represents the average time required by particles initially located in the interval $[x, x + \delta x]$ 333 (with $\delta x \rightarrow 0$) to reach one of the open boundaries. The solution is then easily derived: 334

335
$$\varphi(x) = \frac{V}{Q_R} \left(1 - \frac{\xi}{L} \right) + \frac{V}{Q_R} \left(\frac{e^{-Pe} - e^{-Pe\xi\xi/L}}{1 - e^{-Pe}} \right)$$
(9)

336 where $\xi = x - L_1$.

337 The exposure time was also derived (Andutta et al., 2014), and is defined in the domain of interest338 and its surrounding environment.

339
$$-\infty \le x \le L_1 \quad : \qquad \Theta(x) = \frac{V}{Q_R} \tag{10a}$$

340
$$L_{t} \leq x \leq L_{0} \quad : \qquad \Theta(x) = \frac{V}{Q_{R}} \left(1 - \frac{\zeta}{L}\right) + \frac{V}{Q_{R}} \left(\frac{1 - e^{-Pe\,\zeta/L}}{Pe}\right) \tag{10b}$$

341
$$L_{o} \leq x < \infty \quad : \qquad \Theta(x) = \frac{V}{Q_{R}} \left(\frac{e^{-Pe} - 1}{P_{e}} e^{-Pe\,\zeta/L} \right) \tag{10c}$$

From Equations (9) and (10), which are valid within the upstream and downstream open
boundaries, the return coefficient is:

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346
$$r = \frac{\Theta(x) - \varphi(x)}{\Theta(x)} = \frac{\left(\frac{1 - e^{-Pe\,\xi/L}}{Pe}\right) - \left(\frac{e^{-Pe} - e^{-Pe\,\xi/L}}{1 - e^{-Pe}}\right)}{\left(1 - \frac{\xi}{L}\right) + \left(\frac{1 - e^{-Pe\,\xi/L}}{Pe}\right)}$$
(11)

347 Note that *r* is bounded by [0,1], as mentioned before.

348 In principle, the residence time and the exposure time can be obtained by solving classical 349 passive transport equations. However, to do so, time- and position-dependent concentrations must 350 first be obtained and, then, time and space integral must be performed to derive the relevant 351 timescales. This is not straightforward, even for highly idealised flows. This is why it is preferable 352 to have recourse to the adjoint method established by Delhez et al. (2004), which requires the 353 solution of simpler differential problems to be determined: in the present case, only ordinary 354 differential equations are to be dealt with rather than partial differential ones. The disadvantage of 355 this approach is that the theoretical underpinning of an adjoint model sometimes appears elusive, 356 which is probably the reason why Errico (1997) wrote a general, enlightening paper on this matter, 357 explaining the nature and purpose of adjoint models.

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359 **3. Results and Discussion**

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361 a. Model calibration of salinity, velocity and tides

We carried out a sensitivity analysis considering different values for the horizontal diffusion coefficient k_h using Equation 2. These adjustments of factor *f* for the horizontal diffusivity based on the grid size allowed us to obtain a proper representation of the salinity field and its time variability. The mean Skill parameters for the simulation are shown in Table 3 for different values of factor *f*, which was discribed with Equation 2. The comparison of sea-level oscillation over a tidal modulation period, from the 14th to the 29th of January 2008, showed good skill values for locations A (Figure 5) and C (not shown), and the Skill parameter for tides was calculated to be over 0.97 for both locations, i.e. A and C. The comparison of tides, velocity, and salinity showed good skill values during spring tides (not shown), and reasonable values during neap tides (Figure 6).

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Preferred position for figure 5 Preferred position for figure 6

The Skill parameter for the water column height variation in time was calculated to be over 0.98 for all the sites under neap and spring tides (Table 4), and the tidal ranges were ~1.0 m and ~2.5 m for neap and spring tides, respectively. Observations have shown that the tidal phase between sites A (Caravelas mouth) and C (Peruípe mouth) is almost the same. The similarity of their phases indicates that tides propagate mainly perpendicular to the coast line in this region, a result which is in close agreement with observations previously reported by Lessa and Cyrano (2006).

For the modeled velocity validation, good results (Skill from 0.77 to 0.93) were obtained in spring tides in the estuaries of Caravelas (sites A and B) and Nova Viçosa (sites C and D). For neap tides due to small differences on tidal asymmetry, the Skill was lower, at ~ 0.6.

386 The model agreed well with observations of maximum ebb and flood currents at site A. The 387 model also properly simulated the velocity profiles for sites B, C, and D. Therefore, the description 388 of maximum ebb and flood currents from in-situ data also apply to the model simulations. At site B there were maximum speeds of ~0.5 m s⁻¹ and 1.0 m s⁻¹ (ebb events), and ~-0.3 m s⁻¹ and ~-0.6 m s⁻¹ 389 ¹ (flood events), during neap and spring tides, respectively. For site A the vertical shear of the 390 velocity was negligible in flood and ebb conditions, while for site B there was a small vertical shear 391 392 of the horizontal velocity during ebb events. During flood events, the water velocity was homogenous over the water column. In addition, a residual velocity of ~ 0.05 m s⁻¹ was calculated at 393

394 site B, indicating a residual circulation from Nova Viçosa towards the Caravelas River. Site A had a residual current of ~ 0.06 m s⁻¹, indicating a small discharge from the Cupído and Jaburuna Rivers. 395 396 At sites C and D, located in the Peruípe River, the downstream velocities showed more intensity 397 than observed in the Caravelas Channel. For site C the downstream velocities varied from ~-0.9 m s⁻¹ to ~-1.5 m s⁻¹, for neap and spring tides, respectively. During flood events, the velocities were ~-398 0.3 m s⁻¹ and ~-0.7 m s⁻¹, for neap and spring tides, respectively. At site D the maximum 399 downstream velocities were only ~ 0.7 m s^{-1} and ~ 1.0 m s^{-1} at neap and spring tides, and upstream 400 velocities were $\sim -0.3 \text{ m s}^{-1}$ and $\sim -0.4 \text{ m s}^{-1}$. The residual velocities at sites C and D, which have 401 values of ~ 0.10 m s^{-1} to ~ 0.15 m s^{-1} , indicate a higher advective contribution from the Peruípe River 402 403 compared with the Caravelas estuary.

404 In addition to the tides and the velocity field, the model simulated the temporal variation of 405 the salinity well for all sites (A, B, C, and D). During spring tides the calculated Skill values were over 0.83, while for neap tides they were over 0.73 (Table 4). At the Caravelas estuarine channel, 406 407 \sim 3 km near the mouth (site A), during low tide, salinity was observed in intervals of \sim 34.5 psu to ~35.0 psu and ~34.0 psu to ~34.5 psu for the observational and theoretical results, 408 409 respectively. About 6 km away from the mouth we obtained a good agreement for the salinity, with ~32.0 psu and ~32.5 psu for observation and simulation, respectively. In the vicinity of the 410 411 interconnection with Cupído and Jaburuna Rivers (site B), which is about 12 km upstream from the 412 mouth, the salinity decreased to ~30.0 psu and ~28.5 psu for the observational and calculated 413 results, respectively. At high tide, near the mouth (site A) and at a distance of 6 km from the mouth, 414 the salinity was ~36.5 psu and ~36.0 psu, respectively, for both simulation and field measurements. In the upper reaches of the estuary, near the junction of the rivers, Cupído and Jaburuna (~12 km 415 416 from the mouth), a close agreement between simulated and observed salinity values (~33.0 psu) was 417 obtained at high tide. Along the Peruípe River estuary at neap tides, the surface salinities vary in the 418 range of 20.0 psu to 34.0 psu at the surface, and 32.5 psu to 36.0 psu near the bottom. The region of 419 Nova Vicosa has more vertical stratification of the salinity than at sites A and B in the Caravelas

River. This is due to Peruípe River's larger freshwater discharge. The observed value of ~36.0 psu near the bottom is characteristic of the Tropical Water Mass, which was already reported to enter this estuarine system (Schettini et al., 2010). During spring tides the vertical mixing causes the erosion of the halocline, and thus decreases vertical stratification. This results in a smaller vertical variation of 31.0 psu to 36.0 psu from the surface to the bottom.

425

Preferred position for Table 4

426 A comparison of the axial distribution of salinity was made for the Caravelas River (Figure 7A,B). For the first 12 km along the estuarine channel, results from simulations were compared to 427 observations made by Schettini and Miranda (2010). The measurements were obtained on the 10th 428 429 of April, 2001 during spring tides. Although the field data are likely to be from different conditions of river flow, the simulation showed a good correlation of the axial distribution of the salinity in the 430 Caravelas River (See Figures 7C and 7D), with values of $R^2 \sim 0.97$ and $R^2 \sim 0.99$ for low and high 431 432 tides respectively. This indicates that the model has well represented the mixing processes in the 433 Caravelas Estuary. During low tide (Figure 7A,C), a good agreement is found near the mouth, with salinity values of ~35.2 psu and ~ 34.5 psu, for the model results and observations, respectively. At 434 nearly 6 km upstream, there is still a good agreement ($R^2 \sim 0.99$) with the salinity values of ~33 435 436 psu (model), and ~32 psu (observation). Further upstream and near the inter-conection between the 437 Cupído and Jaburuna rivers (i.e. ~ 12 km upstream), the agreement is slightly poorer with the salinity values of ~30 psu (model) and ~29 psu (observation). At high tide (Figure 7B, D), the 438 439 model predicted the longitudinal salinity variations well along the Caravelas Channel. The salinity 440 values near the mouth were ~36.4 psu (model and observation), and reduced to ~36 psu 6 km 441 further upstream. Moreover, during high tides the agreement was also good near the channel 442 between the Cupído and Jaburuna rivers with salinity of ~33 psu.

443 444

Preferred position for figure 7

- 445 b. Results of the residence time, the exposure time and the return coefficient
- 446

447 The transport timescales, namely residence time (φ), exposure time (Θ), and the return 448 coefficient (*r*), were estimated for the Caravelas and Peruípe estuarine channels with simulation 449 under different scenarios, i.e. S₁ to S₄ (Figures 8, and 9).

For scenarios S_1 and S_3 (Figure 8), the residence time along the Caravelas Channel, from the mouth to 12 km upstream, was found to vary from 0 to ~15.0 days. The inflow boundaries of the Cupído and Jaburuna rivers were found to have residence times of ~27 and ~22 days, respectively. For the Peruípe Channel the residence times ranged from 0 to ~7.4 days, from the mouth to 5 km upstream, with a maximum value of ~18 days at the inflow boundary of the Peruípe Estuary.

The residence time estimated at ~6 km further upstream in the Caravelas Estuary ($\varphi = 11.7$ days) is almost three times larger than the residence time calculated for the same distance along the Peruípe Estuary ($\varphi < 4.4$ days). The difference between results in the Caravelas and the Peruípe estuaries is due to the larger velocity contribution in the Peruípe Estuary.

459 Comparing scenarios S_1 and S_3 , the residence time was found to be slightly lower for S_3 (c.a. 460 a few hours) and this difference is due to increased diffusive contribution during stronger spring 461 tidal conditions. In contrast to scenarios S_1 and S_3 , the simulations considering scenarios S_2 and S_4 yielded an increased residence time. This increase was maximum near the estuarine mouths (~5 462 463 days), and observed to reduce in the upstream direction (few hours). The increase in residence time for particles released in slack water of low tide is caused by tidal excursion from reversing currents 464 (i.e. flood currents). These results reflect and add value to recent simulations by de Brye et al. 465 466 (2012) for the Scheldt Estuary (in Belgium and the Netherlands), whose results showed larger residence time values for particles released at slack water of low tides than for high tides (difference 467 of a few days). 468

The virtual Lagrangian particles showed that a negligible number of particles crossed the connecting channel between the Caravelas (ω_1) and Peruípe Estuaries (ω_2), which indicates that this relatively narrow and shallow interconnection channel allows little exchange of water properties between these estuaries. Moreover, the residence time is observed to be larger within the enlargement of the interconnecting channel between these two estuaries. Schettini and Miranda
(2010) and Schettini et al. (2013) have addressed the importance of the interconnection channel
between Caravelas and Peruípe, and found that sediment deposited near the Caravelas mouth was
both inner shelf local resuspension and upstream transport, or sourced from the Peruípe River via
the interconnection channel.

478

479 480

Preferred position for figure 8

481 Exposure time results showed that particles re-entered the system for up to two days (Figure 482 9). Note that the difference between the exposure time and the residence time ($\Theta - \varphi$) showed little 483 spatial variation for scenarios S₁ and S₃.

484 485

Preferred position for figure 9

The spatially averaged difference between exposure and residence times $(\Theta - \varphi)$ are calculated in days, and its respective RMSE to be ~1.98 +/- 0.06 for S₁, ~1.87 +/- 0.12 for S₂, ~1.92 +/- 0.07 for S₃, ~2.19 +/- 0.08 for S₄. These results strongly suggest that $(\Theta - \varphi \sim \text{const.})$ for the ESCP under the four different scenarios considered. The results also suggest that t_3 - t_2 varys little away from the open boundaries, so particles deployed at different times and locations in the estuary re-enter for similar lengths of time, assuming the circulation in coastal waters does not considerably change over time due to additional forcings, e.g. sudden alongshore wind driven currents.

Equation (5) was used to estimate the range of water renewal timescales for the Caravelas and Peruípe estuaries using the parameters given in the appendices of Andutta et al. (2014), see Figure 10. The straight line labelled θ (lowercase) indicate the relative advective contribution to water renewal, where $0 \le \theta \le 1$. The line at $\theta = 0.5$ separates the areas where transport is dominated by advection (diagram upper zone, $\theta > 0.5$) and dispersion (diagram lower zone, $\theta <$ 0.5).

499 The Caravelas and Peruípe estuaries have mean depths of ~6.5 and ~7.5 meters, 500 respectively, and the maximum and minimum tidal ranges in these estuaries are ~2.5 and ~0.5 501 meters. According to Andutta et al. (2013), these tidal ranges combined with the relatively the small depths result in a high rate of change of the potential energy (~6.1 J m⁻³ s⁻¹), which contributes 502 503 towards large dispersion. It is valid to compare these results to the Sheldt Estuary, where tidal 504 oscillation is typically 4-5 meters along the first ~100 km, and where the mean water depth is ~10 meters. Tidal range in the Sheldt can reach ~7 m, which is about 45% of the mean water depth 505 506 value for the first ~25 km near the estuarine mouth (Soertaert and Herman, 1995; de Brye et al. 507 2012), and this system is classified as well-mixed due to dispersion prevailing over advection. The 508 numerical results for the ESCP fit within the timescale ranges estimated using the simple LOICZ 509 method.

510 511

512

Preferred position for figure 10

513 The return coefficient cannot be calculated using the modified LOICZ model. However, it 514 was computed numerically and compared to the non-dimensional solution obtained using CART. 515 The return coefficient converges to one at the estuarine mouths and near estuarine heads (Figure 11, for all scenarios S_1 to S_4 and Figure 12B). However, this is only a direct consequence of the 516 517 definition of the residence time, which converges to zero at the entrance, and thus the return 518 coefficient will always increase towards unity. r was observed to be smaller upstream, because the 519 ratio $(\Theta - \varphi)/\Theta$ is likely to decrease. It can be noticed that the axial variation of the return 520 coefficient is similar for both CART solution and numerical approach (Figures 11 and 12C). The 521 return coefficient calculated from CART and from numerical simulations is observed to increase 522 towards the upstream boundary. This increase towards the estuarine head is due to the boundary 523 condition assumed in the analytical and numerical solutions, where particles do not re-enter the domain after crossing the estuarine head, although in a real estuary water particles would re-enter 524 525 through the estuarine head due to river flow conditions.

Figure 12A shows results of the residence and exposure time and return coefficient for a range of values of the Péclet number. High values of the Péclet number yield a boundary layer in the vicinity of the upstream location.

The greater the relative importance of advection, the less likely it is that dispersion will cause a water particle to hit the upstream boundary of the domain ($x = L_0$). In accordance with their definitions, the exposure time is larger than the residence time for any location in the domain ($L_1 \leq \zeta/L \leq L_0$). These idealised results of the return coefficient were used to access results obtained from our numerical simulations.

534

539

Preferred position for figure 11 Preferred position for figure 12

540 In the illustration shown in Figure 11A, the ratio is simply the difference between times t_3 541 and t_2 . Evidently this is a simple case where the particle is assumed to have re-entered the domain 542 only once.

Particles are expected to first cross the estuarine mouth during ebb currents, which would be alternating with flood currents and dispersive processes. Therefore, we could presume that Lagrangian particles would have a time window of ~6.5 hours to cross the entrance (for semidiurnal tidal estuaries), and this time window would then close for ~ 6.5 hours (the period of flood currents).

Our simulations were for relatively calm weather conditions, which were predominant over 548 the region, c.a. wind speeds in the range 1-4 m s⁻¹ (wind from NE). Andutta et al. (2013) showed 549 that wind conditions did not affect the water circulation in this estuarine system in January 2008. 550 551 However, for stronger wind conditions the results would not be the same. Evidently alongshore 552 wind-driven currents would reduce the difference between the exposure time and the residence 553 time, and the return coefficient would thus decrease towards zero. This is because alongshore currents inhibit the propensity of particles to re-enter the estuarine system. The alongshore shelf 554 555 currents are observed to be driven by the N-S migration of the South Atlantic High between 556 summer and winter. South-southwestward alongshore currents occur between October and January, 557 while stronger north-northeastward alongshore currents are observed during the fall and winter 558 months (Lessa and Cirano, 2006).

559

560 **4. Conclusions**

- 561
- 562 *Overall goal*

This study provides the first estimates of the residence time, exposure time and the return coefficient for the Caravelas and Peruípe estuaries and might be a reference for future studies related to the control of pollutants and sediment transport. These transport timescales were estimated using a Lagrangian model only as a tool, and this model has been properly calibrated and validated using field data.

568 Specific conclusions

569

• Achievements regarding goals (1 and 2)

The residence time for particles released far upstream in the Caravelas Estuary was found to be nearly 3 weeks for particles, regardless of whether they are released at high or low tide, and is driven by tidal dispersion combined with the discharge from the Cupído and Jaburuna Rivers (typical range of 4 to 9 m³ s⁻¹). These results are consistent with previous estimates derived from simple analytical solutions (Andutta et al., 2014), see Figure 10. For the Peruípe Estuary, our estimates of the residence time were for less than one week, due to the tidal dispersion combined with the larger river input from the Peruípe River (typical range of 20 to 70 m³ s⁻¹).

577 The transport timescales (exposure and residence times) were found to be quite similar for particles released in high tide under spring and neap tidal conditions, thus confirming previous 578 579 estimations made for the Scheldt Estuary (de Brye et al., 2012). In contrast, the transport timescales 580 were shown to be more sensitive to tidal-phase release time (i.e. high or low tides) in this estuarine 581 system. Similar observations were made for the Scheldt Estuary (de Brauwere et al., 2011), in 582 which there was a difference of days for results of residence time using particles released at high 583 and low tides. This suggests that tidal-phase release time for a meso-tidal shallow estuary forced by 584 low-moderate river discharge conditions is important for quantification of TTS, especially for water 585 particles near mouths where larger tidal excursions are expected compared to locations further 586 upstream, and since their initial movement would be upstream/downstream if released during 587 low/high tide, respectively.

The Lagrangian simulation also showed that the narrow and shallow inter-connecting channel between the Peruípe and Caravelas estuaries allows little water exchange between the two estuaries, and only a few particles were capable of crossing the inter-connection passage with prevailing direction from the Peruípe to the Caravelas, in agreement with Schettini et al. (2013). Therefore, both exposure time and residence time were large at that location, and the exchange of water properties is likely to happen through alongshore currents at inner coastal areas.

• Achievements regarding goal (3)

595 Similarly to the exposure and residence times, the return coefficient was shown to be more 596 sensitive to tidal phase (high and low tide), than to neap and spring tidal conditions. It may be 597 summarized as follows: (1) the return coefficient is larger for particles released at high tide than at 598 low tide; (2) the return coefficient is larger for particles released during spring tides than during 599 neap tides.

600 For these two estuaries the exposure time was higher than the residence time in all 601 simulations, thus showing that water may return to the system after having first crossed the mouth. 602 The propensity of this water to return to the estuary was quantified using the return coefficient, 603 which depends on the difference between the exposure and residence times, and thus also on the 604 residual circulation due to river discharge, as well as the circulation in coastal waters. For instance, 605 swift longshore currents decrease the difference between the exposure and residence times, and 606 therefore reduce the return coefficient. The wind conditions over our measurement period were characteristic of calm weather, c.a. a few m s⁻¹ (see Figure 4), and different scenarios may produce 607 608 different results for the transport timescales, for instance under stronger north-northeastward 609 alongshore currents which are often observed during the fall and winter months Lessa and Cirano 610 (2006). Due to its definition, the return coefficient is predicted to be larger at the estuarine mouths, 611 because the residence time tends to zero (see Equation 1). Our results have additionally shown that 612 for our scenarios the difference between exposure and residence times $(\Theta - \varphi)$ is nearly constant 613 within our domain. This can also be observed from our analytical solution (Figure 12C).

614

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625	
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Figure 1 –Path of a particle in the estuary from the upstream boundary (head) to the downstream boundary (mouth). For a particle initially at position P at time t, the residence time is $t_1 - t$, the exposure time is $(t_3 - t_2) + (t_1 - t)$.



Figure 2 – (a) Geographic location of the estuarine system comprising the Caravelas and Peruípe rivers. Aracruz terminal harbour – TA, and the Sueste and Abrolhos channels, the Parcel das paredes and the National Marine Park of Abrolhos. (b) Detailed image of the estuarine system, and location of the oceanographic mooring sites A and B in Caravelas area, and C and D in Nova Viçosa area, where D is referred as site E at Andutta et al., 2013b. (c) numerical domain with ω_1 and ω_2 denoting the limit of the control domain ω to compute the transport timescales.



Figure 3 – Daily variation of the ranfall (A), and river dischage (B) during January (2008), observations were made at the gauge station Helvécia n° 55510000 (código 1739006) – National Agency of Waters. (C) Climatological estimate of the mean, minimum (min.) and maximum (max.) monthly river dischage using data from 1975 to 2008 (34 years of measured river flow) and corrected using the factor 1.6 to account for the entire drainage basin area of the Peruípe River.



Figure 4 – Wind data obtained from the Instituto National de Meteorologia INMET. Data during January 2008 at Caravelas station, code INMET A405, and coordinates (Lat. 17°43'48.0" S; Long. 39°15'00.0" W).



Figure 5 – Modelled water column (m) at station A compared to measured tides, from 14th to 29th of January 2008. Dots denote observations, and line denotes model result.



Figure 6 – Modelled tide (m), axial channel velocity $u (m s^{-1})$ and salinity () compared to measured time series at stations A, B, C, and D, during neap tides. Measurements and simulation represented at the surface and bottom layers. Skill values are synthesised in Table 4.



Figure 7 – Axial distribution of salinity () in the Caravelas Estuary in spring tidal conditions, at low (A) and high (B) tide. Correlation of axial distribution of the mean water column salinity between model and observation at low (C) and high (D) tide in spring tide, where Y and X denote model results and measurement, respectively. First dot on left denotes position at estuarine mouth (0 km), while lat dot denotes a position 12 km further upstream, the increment of 1 km is applied from first to last dot. Observations obtained from Schettini and Miranda (2010).



Figure 8 – Verically averaged residence time spatial distribution (ϕ), for scenarios S₁ (A), S₂ (B), S₃ (B) and S₄ (C). Colored bar indicates the timescales in days.



Figure 9 – Vertically averaged exposure time spatial distribution (Θ), for scenarios S₁ (A), S₂ (B), S₃ (B) and S₄ (C). Colored bar indicates the timescales in days.



Figure 10 – The position of Caravelas (CA) and Peruípe (P) estuaries on the advection-diffusion diagram to indicate the relative contribution to the water renewal T_P by the advective (T_I) and dispersive (T_2) timescales using a logarithmic scale. Subscript (n) and (s) indicate neap and spring tide conditions.



Figure 11 – Return coefficient spatial distribution (r), for scenarios S₁ (A), S₂ (B), S₃ (B) and S₄ (C). Colored bar indicates the timescales in days.



Figure 12 – (A) Representation of the exposure time Θ (dots) and residence time ϕ (line) as a function of the distance *x* from the upstream boundary of the domain. (B) Return coefficient and (C) and the difference between the exposure and residence time ($\Theta - \phi$) calculated for different values of Peclet number, Pe = 5 (line), Pe = 10 (dot) , Pe = 20 (star), and Pe = 30 (circle). The timescales are normalised by means of the advective timescale T_1 .

Table 1 - Summary of flow conditions in the simulations for the Peruípe, Cupído, and Jaburuna

rivers. Data from ANA.

	flow $(m^3 s^{-1})$	typical range of flow (m ³ s ⁻¹)	Salinity applied to
river	January 2008	in wet season	boundary cells
Peruípe	~5-20	17 to 70	0
Cupído	2	2 to 9	6
Jaburuna	2		4

Component	Amplitude (cm)	Frequency (degree hr ⁻¹)
O_1	8.89	13.94
K_1	5.76	15.04
\mathbf{P}_1	1.91	14.96
Q_1	1.62	13.40
M_2	75.10	28.98
S_2	33.48	30.00
L_2	15.06	29.53
N_2	13.45	28.44
K_2	9.11	30.08

Table 2 – Amplitude and frequency of the main tidal components recorded at Terminal Aracruz - TA.

Table 3 – Sensitivity analysis of the salinity to the value of the horizontal diffusivity K_h using the Skill method from Wilmott (1981). Skill values are in the range 0 to 1. The factor *f* was used in the sensitivity analyses following formulae by Okubo (1971).

Parameter	Site A (Skill)	Site B (Skill)	Site C (Skill)	Site D (Skill)			
<i>f</i> = 2							
Salinity (neap)	0.78	0.58	0.55	0.70			
Salinity (spring)	0.85	0.62	0.60	0.75			
<i>f</i> = 100							
Salinity (neap)	0.86	0.72	0.68	0.74			
Salinity (spring)	0.90	0.76	0.75	0.82			
<i>f</i> = 150							
Salinity (neap)	0.80	0.76	0.64	0.72			
Salinity (spring)	0.95	0.80	0.80	0.88			
		<i>f</i> = 200					
Salinity (neap)	0.85	0.80	0.73	0.78			
Salinity (spring)	0.97	0.85	0.83	0.93			
<i>f</i> = 250							
Salinity (neap)	0.81	0.77	0.72	0.74			
Salinity (spring)	0.94	0.81	0.78	0.89			
<i>f</i> = 400							
Salinity (neap)	0.81	0.74	0.66	0.65			
Salinity (spring)	0.85	0.78	0.70	0.79			
<i>f</i> = 2000							
Salinity (neap)	0.64	0.56	0.50	0.60			
Salinity (spring)	0.66	0.60	0.54	0.65			

Parameter	Site A (Skill)	Site B (Skill)	Site C (Skill)	Site D (Skill)
Tidal height (neap)	0.99	1	0.99	0.99
Tidal height (spring)	1	0.99	1	0.98
Velocity (neap)	0.68	0.65	0.62	0.65
Velocity (spring)	0.77	0.93	0.84	0.88
Salinity (neap)	0.85	0.80	0.73	0.78
Salinity (spring)	0.97	0.85	0.83	0.93
Parameter Site A (S		(Skill)	Site B	(Skill)
Velocity (14 th to 26 th Jan 2008)	ty (14 th to 26 th Jan 2008) 0.72		0.78	

Table 4 – Results of the validation using the Skill method from Wilmott (1981).

Appendix 1 Click here to download Supplementary material for on-line publication only: Andutta-et.al-2015-Caravelas-Appendix 01.doc
Appendix 2 Click here to download Supplementary material for on-line publication only: Andutta-et.al-2015-Caravelas-Appendix 02.doc