

## Adaptive single-stage control for uncertain nonholonomic Euler-Lagrange systems

Tao, T.; Roy, S.; Baldi, S.

**DOI**

[10.1109/CDC51059.2022.9993015](https://doi.org/10.1109/CDC51059.2022.9993015)

**Publication date**

2022

**Document Version**

Final published version

**Published in**

Proceedings of the IEEE 61st Conference on Decision and Control (CDC 2022)

**Citation (APA)**

Tao, T., Roy, S., & Baldi, S. (2022). Adaptive single-stage control for uncertain nonholonomic Euler-Lagrange systems. In *Proceedings of the IEEE 61st Conference on Decision and Control (CDC 2022)* (pp. 2708-2713). IEEE. <https://doi.org/10.1109/CDC51059.2022.9993015>

**Important note**

To cite this publication, please use the final published version (if applicable). Please check the document version above.

**Copyright**

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

**Takedown policy**

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.

***Green Open Access added to TU Delft Institutional Repository***

***'You share, we take care!' - Taverne project***

**<https://www.openaccess.nl/en/you-share-we-take-care>**

Otherwise as indicated in the copyright section: the publisher is the copyright holder of this work and the author uses the Dutch legislation to make this work public.

# Adaptive single-stage control for uncertain nonholonomic Euler-Lagrange systems

Tian Tao<sup>1</sup>, Spandan Roy<sup>2</sup>, and Simone Baldi<sup>3</sup>

**Abstract**—This work introduces a new single-stage adaptive controller for Euler-Lagrange systems with nonholonomic constraints. The proposed mechanism provides a simpler design philosophy compared to double-stage mechanisms (that address kinematics and dynamics in two steps), while achieving analogous stability properties, i.e. stability of both original and internal states. Meanwhile, we do not require direct access to the internal states as required in state-of-the-art single-stage mechanisms. The proposed approach is studied via Lyapunov analysis, validated numerically on wheeled mobile robot dynamics and compared to a standard double-stage approach.

## I. INTRODUCTION

Nonholonomic Euler-Lagrange (EL) dynamics covers important classes of practical mechanical systems. The most typical example of nonholonomic constraint is the no-slip constraint in mobile robots [1], unicycle [2], [3], and several wheeled vehicles [4]. Control of nonholonomic EL systems is intrinsically more challenging than control of holonomic EL systems. These challenges have generated nonholonomic control methods that are different than the corresponding holonomic versions. For example, in holonomic EL systems it is unnecessary to distinguish between controlling the kinematics (position) and the dynamics (velocity). However, ample literature on nonholonomic EL systems only focuses on the kinematics [1]–[4]. Some literature on nonholonomic EL systems considers both kinematics and dynamics via the so-called double-stage mechanism [5]–[8]: here, the first-stage control is a kinematic tracking problem to track desired trajectories under desired velocities; the second-stage control provides forces and torques as control inputs and generates desired velocities as outputs. Note that holonomic dynamics do not need such a double-stage mechanism [9], [10].

The crucial reason for considering double-stage control in nonholonomic systems are the internal state variables arising from nonholonomic constraints. Such internal state variables allow to transform the original nonholonomic dynamics into

lower-dimensional unconstrained dynamics. Let us now discuss a key issue in the few single-stage mechanisms proposed for nonholonomic EL dynamics: [11], [12] stabilize the internal state variables and the constraint forces separately, which means that stability of the original states (generalized coordinates) is not proven. These considerations provide a clear motivation for revisiting and exploring new single-stage mechanisms for nonholonomic EL systems whose design and stability properties can be more consistent with the holonomic scenario. This motivation becomes even more relevant due to the inevitable presence of uncertainties in the systems, requiring a robust or an adaptive control approach. In this work, we focus on the latter (adaptive control), with the objective to reduce the assumptions on the structure of the system uncertainty. In this respect, most literature restricts such uncertainties to have linear-in-the-parameters (LIP) structure: this is the case for both double-stage [7], [13] and single-stage [11], [12]) approaches. A notable exception not requiring LIP is [14], which however is a double-stage mechanism only applicable to the specific application, i.e. it relies on a specific structure of the dynamics. To the best of our knowledge, no single-stage approaches exist for nonholonomic EL dynamics that can tackle state-dependent uncertainties with lack of structural knowledge, in a similar way as it was studied for holonomic EL dynamics. Summarizing, the main contributions of this work are:

- Proposing a new single-stage mechanism for nonholonomic EL systems whose design and stability properties are consistent with the holonomic case. We guarantee stability of both the original states (generalized coordinates) and internal states (transformed coordinates) which state-of-the-art single-stage mechanisms fail to achieve, while avoiding measuring internal states.
- Considering lack of structural knowledge of the system terms. Specifically, our approach can handle state-dependent uncertainties that are either LIP or non-LIP.
- By avoiding structural knowledge, our approach is not restricted to a specific application. Rather, we make use of standard properties of EL systems verified to hold in many systems of practical interest, including nonholonomic mobile robots and unicycles.

The rest of this paper is organized as follows: Section II gives the kinematics and dynamics of a nonholonomic EL system; the control problem is formulated in Section III; the adaptive controller is designed in Section IV with stability analysis in Appendix. A simulation study is in Section V

This work was partially supported by Research Fund for International Scientists grant 62150610499, by Key Intergovernmental Special Fund of National Key Research and Development grant 2021YFE0198700, by Natural Science Foundation of China grant 62073074, and by Special Funding for Overseas grant 6207011901 (corresponding author: S. Baldi).

<sup>1</sup> T. Tao is with Delft Center for Systems and Control, Delft University of Technology (TU Delft), Netherlands (t.tao-1@tudelft.nl)

<sup>2</sup> S. Roy is with Robotics Research Centre, International Institute of Information Technology Hyderabad, India (spandan.roy@iiit.ac.in)

<sup>3</sup> S. Baldi is with the School of Mathematics Southeast University, Nanjing, China and with Delft Center for Systems and Control, TU Delft, The Netherlands (s.baldi@tudelft.nl)

with concluding remarks in Section VI.

Basic notations are adopted:  $I_n$  is the identity  $n \times n$  matrix;  $\|\cdot\|$  represents the Euclidean norm of a vector or a matrix;  $\underline{\lambda}$  is the minimum eigenvalue of a matrix.

## II. KINEMATICS AND DYNAMICS OF A NONHOLONOMIC EULER-LAGRANGE SYSTEM

Consider the following nonholonomic underactuated EL dynamics subject to  $m$  constraints

$$M(q)\ddot{q} + C(q, \dot{q})\dot{q} + G(q) + F(q, \dot{q}) = B(q)\tau + A^T(q)\lambda \quad (1)$$

where  $q, \dot{q}, \ddot{q} \in \mathbb{R}^n$  are the generalized coordinates and corresponding velocities and accelerations,  $M(q) \in \mathbb{R}^{n \times n}$  is a symmetric and positive-definite mass/inertia matrix,  $C(q, \dot{q}) \in \mathbb{R}^{n \times n}$  denotes the Coriolis, centripetal term,  $G(q) \in \mathbb{R}^n$  is the gravity force,  $F(q, \dot{q}) \in \mathbb{R}^n$  is the friction term,  $B(q) \in \mathbb{R}^{n \times r}$  is a full-rank matrix,  $A(q) \in \mathbb{R}^{m \times n}$  is the constraint matrix, and  $\lambda \in \mathbb{R}^m$  is the vector of constraints multiplier. The literature has shown that several EL systems of interest satisfy the following properties [15]–[17]:

**Property 1.**  $M(q)$  is a symmetric and positive-definite matrix with  $\underline{m}I_n \leq M(q) \leq \bar{m}I_n$  where  $\underline{m}, \bar{m} \in \mathbb{R}^+$ .

**Property 2.** There exist  $\bar{c}_1, \bar{c}_2, \bar{g}, \bar{f}_1, \bar{f}_2 \in \mathbb{R}^+$  such that  $\|C(q, \dot{q})\| \leq \bar{c}_1 + \bar{c}_2 \|\dot{q}\|$ ,  $\|G(q)\| \leq \bar{g}$ ,  $\|F(q, \dot{q})\| \leq \bar{f}_1 + \bar{f}_2 \|\dot{q}\|$ .

**Property 3.** The matrix  $\dot{M}(q) - 2C(q, \dot{q})$  is skew-symmetric, that is,  $x^T(\dot{M} - 2C)x = 0, \forall x \in \mathbb{R}^n$ .

In this work, the upper bounds  $\underline{m}, \bar{m}, \bar{c}_1, \bar{c}_2, \bar{g}, \bar{f}_1, \bar{f}_2$  in Properties 1-2 are taken to be unknown. Uncertainty in  $B(q)$  will be addressed later. We do not assume any LIP structure of the system terms, since terms such as friction/damping may not satisfy LIP assumption in general [18].

As common in the literature, it is assumed that the nonholonomic constraints are independent of time [5]–[7], [12], [19], [20], so that

$$A(q)\dot{q} = 0. \quad (2)$$

Define  $r = n - m$ , and let  $S(q) \in \mathbb{R}^{n \times r}$  be a full-column rank matrix spanning the null space of  $A(q)$ , i.e.,

$$S^T(q)A^T(q) = 0. \quad (3)$$

The selection of  $S(q)$  is not difficult for a given  $A(q)$ , as shown in [21]. Combining (2) with (3), an internal state variable  $u \in \mathbb{R}^r$  can be found such that [7], [22]

$$\dot{q} = S(q)u. \quad (4)$$

Substituting (4) into (1) and premultiplying (1) by  $S^T$ , a reduced-order system in terms of  $u$  is obtained as:

$$\bar{M}(q)\dot{u} + \bar{C}(q, \dot{q})u + \bar{G}(q, \dot{q}) + \bar{F}(q, \dot{q}) = \bar{B}(q)\tau \quad (5)$$

with  $\bar{M}(q) = S^T(q)M(q)S(q) \in \mathbb{R}^{r \times r}$ ,  $\bar{C}(q, \dot{q}) = S^T(q)(M(q)\dot{S}(q) + C(q, \dot{q})S(q)) \in \mathbb{R}^{r \times r}$ ,  $\bar{G}(q) = S^T(q)G(q) \in \mathbb{R}^r$ ,  $\bar{F}(q, \dot{q}) = S^T(q)F(q, \dot{q})$  and  $\bar{B}(q) = S^T(q)B(q) \in \mathbb{R}^{r \times r}$ .

It can be seen that the degrees of freedom in the system (5) have decreased from  $n$  to  $r$ . In other words, (5) describes the behavior of the nonholonomic systems in a new set of local coordinates, where  $S(q)$  is the Jacobian matrix that transforms the variable  $u$  into  $\dot{q}$ . As a result, the literature has assumed that the system in the new coordinates still satisfies properties analogous to Properties 1-3 ([5], [6], [11]):

**Property 1'.**  $\bar{M}(q)$  is a symmetric and positive-definite matrix with  $\underline{m}'I_r \leq \bar{M}(q) \leq \bar{m}'I_r$  where  $\underline{m}', \bar{m}' \in \mathbb{R}^+$ .

**Property 2'.** There exist  $\bar{c}'_1, \bar{c}'_2, \bar{g}', \bar{f}'_1, \bar{f}'_2 \in \mathbb{R}^+$  such that  $\|\bar{C}(q, \dot{q})\| \leq \bar{c}'_1 + \bar{c}'_2 \|\dot{q}\|$ ,  $\|\bar{G}(q)\| \leq \bar{g}'$ ,  $\|\bar{F}(q, \dot{q})\| \leq \bar{f}'_1 + \bar{f}'_2 \|\dot{q}\|$ .

**Property 3'.** The matrix  $\dot{\bar{M}}(q) - 2\bar{C}(q, \dot{q})$  is skew symmetric, that is,  $x^T(\dot{\bar{M}}(q) - 2\bar{C}(q, \dot{q}))x = 0$ .

**Proof:** Properties 1' and 2' follow directly from Properties 1 and 2, provided that  $S(q)$  is bounded (which holds in most cases of practical interest [14], [22]). Regarding Property 3', since  $\dot{M} - 2C$  is skew-symmetric from Property 3, it is straightforward to verify that

$$\dot{M} - 2C = \dot{S}^T M S - (\dot{S}^T M S)^T + S^T (\dot{M} - 2C) S \quad (6)$$

is also skew-symmetric.

The reduced-order system (5) is fully-actuated with  $r$  states and  $r$  inputs [7], [21]. The following is a sufficient condition proposed in the literature [5]–[7], [12], [21] for the system (5) to be controllable.

**Assumption 1.**  $\bar{B}(q)$  is full rank, i.e.,  $\text{rank}(\bar{B}) = r$ .

It is worth remarking that virtually all nonholonomic dynamics of practical interest [14], [22] verify Assumption 1. To include uncertainty into  $\bar{B}$ , let us decompose  $\bar{B}(q)$  into  $\bar{B} = \hat{\bar{B}} + \Delta \bar{B}$  where  $\hat{\bar{B}}$  is the nominal term and  $\Delta \bar{B}$  is the unknown part obeying the following assumption [23], [24]:

**Assumption 2.** Define  $T = \bar{B}\hat{\bar{B}}^{-1} - I_r$ . There exists a known scalar  $\bar{T} \in \mathbb{R}^+$  such that

$$\|T\| \leq \bar{T} < 1. \quad (7)$$

Let us discuss which signals are available for feedback:  $q$  and  $\dot{q}$  can be assumed to be directly available for feedback, which is consistent with the case of holonomic systems [15], [16], [25], [26]. State-of-the-art single-stage mechanisms [11], [12] assume that the internal signal  $\dot{u}$  is also directly available, requiring extra sensors. To be consistent with the holonomic case, we aim at a single-stage mechanism where  $\dot{u}$  is not used for feedback: meanwhile, the internal state signal  $u$  can be calculated as follows, without extra sensors

$$u = [S^T(q)S(q)]^{-1}S^T(q)\dot{q} \quad (8)$$

cf. [11], [12]. Our analysis will prove the boundedness of such internal states.

## III. PROBLEM FORMULATION

The desired trajectory  $q^d \in \mathbb{R}^n$  and its derivative  $\dot{q}^d \in \mathbb{R}^n$  also satisfy the nonholonomic constraints [7]

$$A(q^d)\dot{q}^d = 0. \quad (9)$$

It is implied from (9) that  $\dot{q}^d = S(q^d)u^d$  where  $u^d \in \mathbb{R}^r$  is a desired internal state variable. As commonly assumed in the literature, desired trajectories with bounded first and second order derivatives result in  $u^d, \dot{u}^d$  being also bounded. Define the tracking errors  $e_q = q - q^d, \dot{e}_q = \dot{q} - \dot{q}^d, e_u = u - u^d$ .

**Problem Formulation.** *Design a single-stage adaptive control  $\tau$  such that, in the presence of state-dependent uncertainty as in Properties 1'-3' and Assumptions 1-2, the tracking errors  $e_q, e_u$  are uniformly ultimately bounded (UUB).*

**Remark 1.** *Even in the holonomic case, the presence of state-dependent uncertainty requires to seek stability in UUB sense [27]–[29]. Therefore, a similar notion is sought also for the nonholonomic case.*

#### IV. CONTROLLER DESIGN

Based on  $e_u$  and  $e_q$ , define the error variable

$$\delta = Ke_u + S^T Pe_q \quad (10)$$

where  $K \in \mathbb{R}^{r \times r}, P \in \mathbb{R}^{n \times n}$  are positive definite matrices chosen by the designer: the control input is designed as

$$\tau = \hat{B}^{-1}(-\delta - \bar{\tau}), \quad \bar{\tau} = \rho \text{sat}(e_u, \varepsilon) \quad (11)$$

with  $\text{sat}(e_u, \varepsilon) = \begin{cases} e_u/\|e_u\|, & \|e_u\| \geq \varepsilon, \\ e_u/\varepsilon, & \|e_u\| < \varepsilon. \end{cases}$  and  $\rho$  defined later based on the uncertainty analysis.

##### A. Error Dynamics and Uncertainty Analysis

For compactness, we may omit variable dependency when obvious. Based on (5), the following dynamics are obtained

$$\begin{aligned} \bar{M}\dot{e}_u &= \bar{M}(\dot{u} - \dot{u}^d) = -(\bar{C}u - \bar{B}\tau + \bar{G} + \bar{F}) - \bar{M}\dot{u}^d \\ &= (\bar{B}\hat{B}^{-1} - I_r)(-Ke_u - S^T Pe_q - \bar{\tau}) - Ke_u \\ &\quad - S^T Pe_q - \bar{\tau} - (\bar{C}u + \bar{M}\dot{u}^d + \bar{G} + \bar{F}) \\ &= -Ke_u - S^T Pe_q - (I_r + T)\bar{\tau} - \bar{C}e_u + \phi \end{aligned} \quad (12)$$

where  $\phi = -\bar{C}u^d + \bar{M}\dot{u}^d + \bar{G} + \bar{F} - TKe_u - TS^T Pe_q$ , which represents the overall uncertainty.

Define  $\xi = [e_u^T e_q^T \dot{e}_q^T]^T$ . It is implied that  $\|e_u\| \leq \|\xi\|, \|e_q\| \leq \|\xi\|, \|\dot{e}_q\| \leq \|\xi\|$ , resulting in an upper bound of the overall uncertainty  $\|\phi\|$  as follows:

$$\begin{aligned} \|\phi\| &\leq \|\bar{C}u^d\| + \|\bar{M}\dot{u}^d\| + \|\bar{G}\| + \|\bar{F}\| + \|TKe_u\| + \|TS^T Pe_q\| \\ &\leq \bar{c}'_1 \|u^d\| + \bar{c}'_2 \|\dot{e}_q\| \|u^d\| + \bar{c}'_2 \|\dot{q}^d\| \|u^d\| + \bar{m}' \|\dot{u}^d\| + \bar{g}' \\ &\quad + \bar{f}'_1 + \bar{f}'_2 \|\dot{e}_q\| + \bar{f}'_2 \|\dot{q}^d\| + \bar{T} \|K\| \|e_u\| + \bar{T} \|S\| \|P\| \|e_q\| \\ &\leq \theta_0^* + \theta_1^* \|\xi\| \end{aligned} \quad (13)$$

with  $\theta_0^* = \bar{g}' + \bar{f}'_1 + \bar{m}' \|\dot{u}^d\| + (\bar{c}'_1 + \bar{c}'_2 \|\dot{q}^d\|) \|u^d\| + \bar{f}'_2 \|\dot{q}^d\|, \theta_1^* = \bar{f}'_2 + \bar{c}'_2 \|u^d\| + \bar{T} \|K\| \|\bar{T}\| \|S\| \|P\|$ .

**Remark 2.** *Most nonholonomic EL literature requires system uncertainties to have linear-in-the-parameters (LIP) structure [7], [13], or to be a priori bounded [8], [22]. In this work, instead of assuming a specific structure for the uncertainty, we made use of Properties 1'-2' to obtain a state-dependent upper bound  $\|\phi\|$  in (13) regardless of the fact that  $\phi$  is LIP or non-LIP.*

##### B. Adaptive Laws

According to the form of  $\|\phi\|$  in (13),  $\rho$  is designed as

$$\rho = \frac{1}{(1-\bar{T})} \left( \sum_{l=0}^1 \hat{\theta}_l \|\xi\|^l + \gamma \right) \quad (14)$$

with the adaptive laws ( $l = 0, 1$ )

$$\dot{\hat{\theta}}_l = -\alpha_l \hat{\theta}_l + \|e_u\| \|\xi\|^l \quad (15a)$$

$$\dot{\gamma} = -(\epsilon_0 + \epsilon_1 \|\xi\|^3) \gamma + \epsilon_0 \|e_u\| \quad (15b)$$

with  $\hat{\theta}_0(0), \hat{\theta}_1(0), \gamma(0), \alpha_0, \alpha_1, \epsilon_0, \epsilon_1 \in \mathbb{R}^+$

The following main stability result holds:

**Theorem 1.** *Under Properties 1'-3' and Assumptions 1-2, the closed-loop trajectories of (5) adopting the single-stage control law (11), (14) and adaptive laws (15), are UUB. The tracking errors  $e_u$  and  $e_q$  are also UUB.*

*Proof:* See Appendix.

**Remark 3.** *Note that state-of-the-art single-stage mechanisms [11], [12], only guarantee stability of the internal state errors  $e_u$ , without considering the original state errors  $e_q$ . However, the stability of  $e_u$  is not sufficient to guarantee the stability of  $e_q$ . Our stability analysis covers both the original state error and the internal state error.*

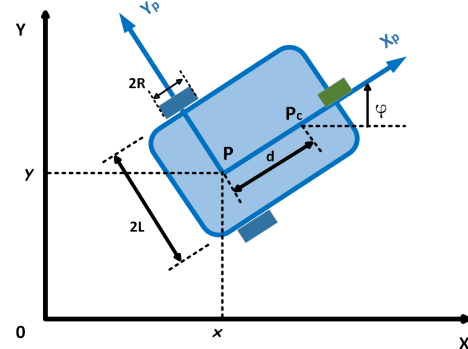


Fig. 1: A wheeled mobile robot

#### V. APPLICATION TO WHEELED MOBILE ROBOT

##### A. The model of wheeled mobile robot

This section considers the tracking problem of a wheeled mobile robot. The robot has two co-axle driving wheels (in dark blue in Fig. 1) and a front passive wheel (in green in Fig. 1), cf [5]. In Fig. 1,  $P$  is the geometric center of the left and right driving wheels, and  $P_c$  is the center of the mass of the mobile robot. Then,  $2L$  is the width of the mobile robot,  $R$  is the radius of the driving wheel,  $d$  represents the distance from  $P$  to  $P_c$ .

Consider an inertial Cartesian frame on the plane of motion  $\{0, X, Y\}$  with generalized coordinates  $q = [x \ y \ \varphi]^T$ , where  $(x, y)$  is the coordinate of reference point  $P$  in the inertial frame, and  $\varphi$  represents the orientation of the robot with respect to the X-axis in the inertial frame. Meanwhile,  $\{P, X_P, Y_P\}$  is the coordinate frame in the robot frame.

The driving wheels of the mobile robot satisfy pure roll without slip, i.e. the well-known nonholonomic constraint

$$\dot{y} \cos \varphi - \dot{x} \sin \varphi = 0. \quad (16)$$

Summarizing, the EL dynamics of the wheeled mobile robot in Fig. 1 can be expressed as in (1) with

$$M(q) = \begin{bmatrix} m & 0 & md \sin \varphi \\ 0 & m & -md \cos \varphi \\ md \sin \varphi & -md \cos \varphi & I_c \end{bmatrix}, G(q) = \mathbf{0},$$

$$C(q, \dot{q}) = \begin{bmatrix} 0 & 0 & md\dot{\varphi} \cos \varphi \\ 0 & 0 & md\dot{\varphi} \sin \varphi \\ 0 & 0 & 0 \end{bmatrix}, B(q) = \frac{1}{R} \begin{bmatrix} \cos \varphi & \cos \varphi \\ \sin \varphi & \sin \varphi \\ L & -L \end{bmatrix},$$

$$F = \begin{bmatrix} 0.05(\dot{x} + \sin x) \\ 0.08\dot{y} \\ 0.065(\dot{\varphi} - \sin \varphi) \end{bmatrix}, A^T(q) = \begin{bmatrix} \sin \varphi \\ -\cos \varphi \\ 0 \end{bmatrix},$$

$$\lambda = -m(\dot{x} \cos \varphi + \dot{y} \sin \varphi)\dot{\varphi}$$

where  $m$  is the mass of the robot,  $I_c$  is its inertia moment around the vertical axis at point  $P_c$ ;  $\tau = [\tau_r \ \tau_l]^T$  are the torque acting on the right and left wheels, respectively.

The unconstrained dynamics are obtained as in (5) with:

$$\bar{M} = \begin{bmatrix} m & 0 \\ 0 & I_c \end{bmatrix}, \bar{B} = \frac{1}{R} \begin{bmatrix} 1 & 1 \\ L & -L \end{bmatrix}, \bar{C} = \begin{bmatrix} 0 & md\dot{\varphi} \\ -md\dot{\varphi} & 0 \end{bmatrix},$$

$$\bar{F} = \begin{bmatrix} 0.05(\dot{x} + \sin x) \cos \varphi + 0.08\dot{y} \sin \varphi \\ 0.065(\dot{\varphi} - \sin \varphi) \end{bmatrix}, S(q) = \begin{bmatrix} \cos \varphi & 0 \\ \sin \varphi & 0 \\ 0 & 1 \end{bmatrix}.$$

According to the above  $S(q)$ , we obtain the kinematics as in (4) where the internal states are  $u = [\nu \ \omega]^T$  with  $\nu$  and  $\omega$  being the linear and angular velocity of the mobile robot at the reference point  $P$  in the robot frame, respectively. According to (8), such internal states  $u$  can be calculated as

$$u = \begin{bmatrix} \dot{x} \cos \varphi + \dot{y} \sin \varphi \\ \dot{\varphi} \end{bmatrix}.$$

The system parameters are selected as:  $d = 0.2m$ ,  $R = 0.13m$ ,  $L = 0.75m$ ,  $m = 3kg$ ,  $I_c = 5.625kg\ m^2$ . The knowledge of these system parameters is not required to design the controller, just for simulation. The only nominal parameters used for control design are needed for  $\hat{\bar{B}}$ , which are  $\hat{R} = 0.15m$ ,  $\hat{L} = 0.6m$ , giving  $\hat{T} = 0.3$  according to Assumption 2. We consider the initial conditions  $q(0) = [2.5 \ -1.5 \ 0.5]^T$ ,  $\dot{q}(0) = [0 \ 0 \ 0.6]^T$ ,  $\hat{\theta}_0(0) = \hat{\theta}_1(0) = 0.005$ ,  $\gamma(0) = 0.005$ . Given the desired trajectory  $q^d = [2 \sin t \ -2 \cos t \ t]^T$ , the desired transformed trajectory can be calculated as  $u^d = [2 \ 1]^T$  according to  $\dot{q}^d = S(q^d)u^d$ .

We select the control parameters as  $K = \text{diag}\{14.5, 145\}$ ,  $P = \text{diag}\{14.5, 14.5, 145\}$ ,  $\alpha_0 = 35$ ,  $\alpha_1 = 25$ ,  $\epsilon_0 = 0.0625$ ,  $\epsilon_1 = 25$ ,  $\epsilon = 1$ .

### B. Tracking performance

To verify the validity of the proposed single-stage controller, we compare it with the standard double-stage mechanism in [5], and we also consider an external disturbance  $\tau_d = [0.1 \sin 0.002t \ 0.3 \cos 0.002t \ 0.01 \cos 0.002t]^T$  to assess robustness. The performances of the proposed single-stage and the state-of-the-art double-stage controller are in

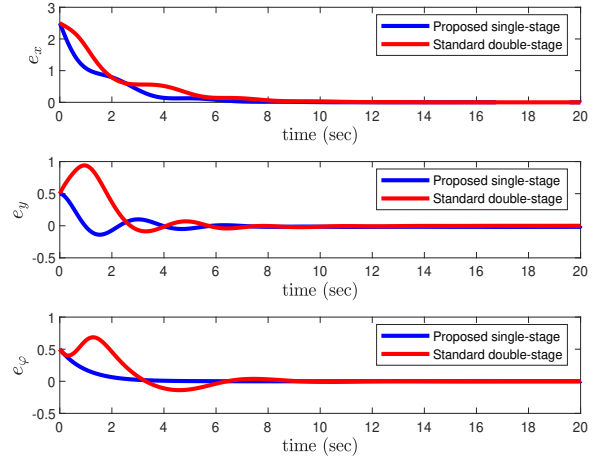


Fig. 2: Comparison between proposed single-stage and state-of-the-art double-stage: Position errors  $e_q = [e_x \ e_y \ e_\varphi]^T$

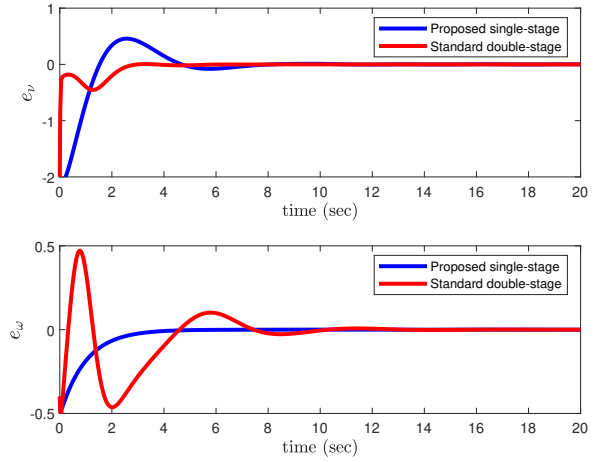


Fig. 3: Comparison between proposed single-stage and state-of-the-art double-stage: Velocity errors  $e_u = [e_\nu \ e_\omega]^T$

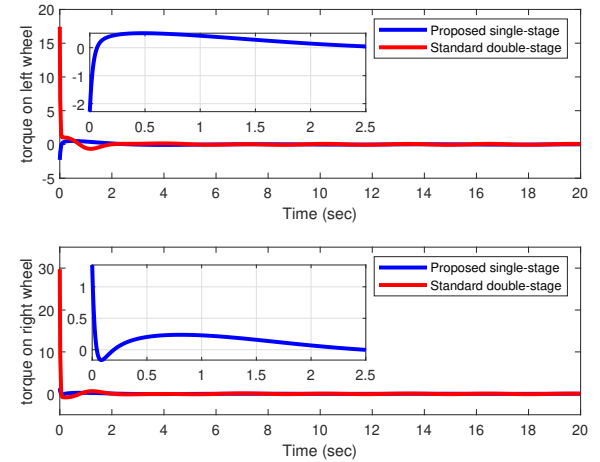


Fig. 4: Comparison between proposed single-stage and state-of-the-art double-stage: Torques on left and right wheels

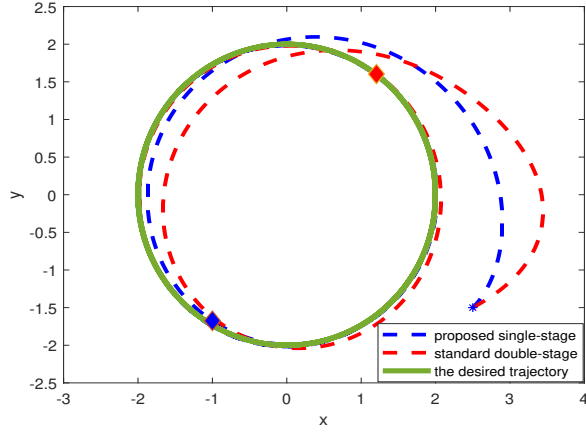


Fig. 5: Comparison between proposed single-stage and state-of-the-art double-stage: Desired path (green solid line) and actual path (blue and red dash lines). The star is the departure and diamonds are the convergence points for both methods

TABLE I: Tracking error and input norms for proposed single-stage and state-of-the-art double-stage mechanism.

	Norm of position error	Norm of velocity error	Norm of input
proposed single-stage	209.17	172.52	63.50
standard double-stage	298.06	116.18	294.17

Figs. 2-5. The norms of tracking errors  $e_q$ ,  $e_u$  and torque input  $\tau$  are in Table I, showing that the proposed single-stage control is competitive against the state of the art.

## VI. CONCLUSION

A new single-stage mechanism was designed for the tracking problem of nonholonomic EL dynamics. The proposed single-stage mechanism keeps the stability advantage of double-stage mechanisms (i.e. both the original states and the internal states are guaranteed stable), while removing the requirement on accessibility of internal states. Studying more complex unmodelled dynamics and single-stage observer-based design are interesting points for future work.

## APPENDIX

*Proof:* Consider the Lyapunov function

$$V(t) = \frac{1}{2} \left[ e_u^T \bar{M} e_u + \sum_{l=0}^1 (\hat{\theta}_l - \theta_l^*)^2 + \frac{\gamma^2}{2\epsilon_0} + e_q^T P e_q \right]. \quad (17)$$

According to  $\dot{q}^d = S(q^d)u^d$ , we can infer that

$$\dot{e}_q = \dot{q} - \dot{q}^d = S(q)e_u + (S(q) - S(q^d))u^d. \quad (18)$$

Define  $\bar{\epsilon}_1 = \frac{\epsilon_1}{\epsilon_0}$ . Based on the adaptive law in (15b), we have

$$\begin{aligned} \frac{\gamma \dot{\gamma}}{\epsilon_0} &\leq \frac{\gamma}{\epsilon_0} \left\{ -(\epsilon_0 + \epsilon_1 \|\xi\|^3) \gamma + \epsilon_0 \|e_u\| \right\} \\ &\leq -(1 + \bar{\epsilon}_1 \|\xi\|^3) \gamma^2 + \|e_u\| \gamma \end{aligned} \quad (19)$$

Using (18) and (19), the time derivative of the Lyapunov function yields

$$\begin{aligned} \dot{V} &\leq -e_u^T K e_u - e_u^T (I_r + T) \bar{\tau} + \frac{1}{2} e_u^T (\dot{M} - 2\bar{C}) e_u \gamma \\ &\quad + e_u^T \phi + \|e_u\| \gamma + \sum_{l=0}^1 (\hat{\theta}_l - \theta_l^*) (-\alpha_l \hat{\theta}_l + \|e_u\| \|\xi\|^l) \\ &\quad - (1 + \bar{\epsilon}_1 \|\xi\|^3) \gamma^2 + \|e_q\| \|P\| (\|S(q)\| + \|S(q^d)\|) \|u^d\| \\ &\leq -\lambda(K) \|e_u\|^2 - \sum_{l=0}^1 \alpha_l \hat{\theta}_l (\hat{\theta}_l - \theta_l^*) - (1 + \bar{\epsilon}_1 \|\xi\|^3) \gamma^2 \\ &\quad - e_u^T (I_r + T) \rho \text{sat}(e_u, \epsilon) + \left( \sum_{l=0}^1 \hat{\theta}_l \|\xi\|^l + \gamma \right) \|e_u\| \\ &\quad + \|P\| (\|S(q)\| + \|S(q^d)\|) \|u^d\| \|\xi\|. \end{aligned} \quad (20)$$

Completing the squares, we have

$$-\alpha_l \hat{\theta}_l (\hat{\theta}_l - \theta_l^*) \leq -\frac{1}{2} \alpha_l (\hat{\theta}_l - \theta_l^*)^2 + \frac{1}{2} \alpha_l \theta_l^{*2} \quad (21)$$

where  $l = 0, 1$ . According to adaptive law in (15b), there exists  $\underline{\gamma} \in \mathbb{R}^+$  such that with  $\gamma \geq \underline{\gamma} > 0$ . Substitute (21) into (20), it can be obtained that

$$\begin{aligned} \dot{V} &\leq -\lambda(K) \|e_u\|^2 - e_u^T (I_r + T) \rho \text{sat}(e_u, \epsilon) \\ &\quad - \frac{1}{2} \alpha_0 (\hat{\theta}_0 - \theta_0^*)^2 - \frac{1}{2} \alpha_1 (\hat{\theta}_1 - \theta_1^*)^2 - \gamma^2 + \frac{1}{2} \alpha_0 \theta_0^{*2} \\ &\quad + \frac{1}{2} \alpha_1 \theta_1^{*2} + \|P\| (\|S(q)\| + \|S(q^d)\|) \|u^d\| \|\xi\| \\ &\quad + (\hat{\theta}_0 + \hat{\theta}_1 \|\xi\| + \gamma) \|e_u\| - \bar{\epsilon}_1 \underline{\gamma}^2 \|\xi\|^3. \end{aligned} \quad (22)$$

**Scenario 1:** When  $\|e_u\| \geq \epsilon$ ,  $\text{sat}(e_u, \epsilon) = \frac{e_u}{\|e_u\|}$ . According to the adaptive law (14), it can be obtained that

$$\begin{aligned} -e_u^T (I_r + T) \rho \text{sat}(e_u, \epsilon) &\leq -(1 - \bar{T}) \rho \frac{e_u^T e_u}{\|e_u\|} \\ &\leq -(\hat{\theta}_0 + \hat{\theta}_1 \|\xi\| + \gamma) \|e_u\|. \end{aligned} \quad (23)$$

The time derivative can be further simplified as

$$\begin{aligned} \dot{V} &\leq -\lambda(K) \|e_u\|^2 - \frac{1}{2} \alpha_0 (\hat{\theta}_0 - \theta_0^*)^2 - \frac{1}{2} \alpha_1 (\hat{\theta}_1 - \theta_1^*)^2 \\ &\quad - \gamma^2 + \frac{1}{2} (\alpha_0 \theta_0^{*2} + \alpha_1 \theta_1^{*2}) - \bar{\epsilon}_1 \underline{\gamma}^2 \|\xi\|^3 \\ &\quad + \|P\| (\|S(q)\| + \|S(q^d)\|) \|u^d\| \|\xi\|. \end{aligned} \quad (24)$$

Since  $\hat{\theta}_0, \hat{\theta}_1 > 0$  according to (15a), the definition of the Lyapunov function yields

$$\begin{aligned} V &\leq \frac{1}{2} \bar{m}' \|e_u\|^2 + \frac{1}{2} (\hat{\theta}_0 - \theta_0^*)^2 + \frac{1}{2} (\hat{\theta}_1 - \theta_1^*)^2 \\ &\quad + \frac{1}{2\epsilon_0} \gamma^2 + \frac{1}{2} \|e_q\|^2. \end{aligned} \quad (25)$$

Define a scalar  $\zeta = \frac{\min\{\lambda(K), \alpha_0/2, \alpha_1/2, 1\}}{\max\{\bar{m}', 1/2, 1/2\epsilon_0\}}$ , then substitute (25) into (24), so as to obtain

$$\begin{aligned} \dot{V} &\leq -\zeta V + \frac{1}{2} \zeta \|\xi\|^2 + \frac{1}{2} (\alpha_0 \theta_0^{*2} + \alpha_1 \theta_1^{*2}) - \bar{\epsilon}_1 \underline{\gamma}^2 \|\xi\|^3 \\ &\quad + \|P\| (\|S(q)\| + \|S(q^d)\|) \|u^d\| \|\xi\| \\ &\leq -\zeta V + Z_1 (\|\xi\|) \end{aligned} \quad (26)$$

where  $Z_1(\|\xi\|) = -\bar{\epsilon}_1\gamma^2\|\xi\|^3 + \frac{1}{2}\zeta\|\xi\|^2 + (\|S(q)\| + \|S(q^d)\|)\|u^d\|\|\xi\| + \frac{1}{2}(\alpha_0\theta_0^* + \alpha_1\theta_1^*)$ . Using Descartes' rules of sign change, the polynomial  $Z_1(\|\xi\|)$  has a sole positive root  $\eta_1$ . As the coefficient of highest degree is negative as  $-\bar{\epsilon}_1\gamma^2$ ,  $Z_1(\|\xi\|) \leq 0$  when  $\|\xi\| \geq \eta_1$ . According to (26),

$$\dot{V} \leq -\zeta V \text{ when } \|\xi\| \geq \eta_1. \quad (27)$$

**Scenario 2:** When  $\|e_u\| < \varepsilon$ ,  $\text{sat}(e_u, \varepsilon) = \frac{e_u}{\varepsilon}$ . We have

$$-e_u^T(I_r + T)\rho \text{sat}(e_u, \varepsilon) \leq 0. \quad (28)$$

Similarly to Scenario 1 in (24), according to (28), the time derivative of the Lyapunov function (22) can be rewritten as

$$\begin{aligned} \dot{V} \leq & -\lambda(K)\|e_u\|^2 - \frac{1}{2}\alpha_0(\hat{\theta}_0 - \theta_0^*)^2 - \frac{1}{2}\alpha_1(\hat{\theta}_1 - \theta_1^*)^2 \\ & + \frac{1}{2}(\alpha_0\theta_0^{*2} + \alpha_1\theta_1^{*2}) + \|P\|(\|S(q)\| + \|S(q^d)\|)\|u^d\|\|\xi\| \\ & - \gamma^2 - \bar{\epsilon}_1\gamma^2\|\xi\|^3 + (\hat{\theta}_0 + \hat{\theta}_1\|\xi\| + \gamma)\|e_u\|. \end{aligned} \quad (29)$$

From the input-output properties of the systems in adaptive law (15), there exist scalars  $\check{\theta}_0, \check{\theta}_1, \check{\theta}_1, \check{\gamma}, \check{\gamma} \in \mathbb{R}^+$  so that  $\hat{\theta}_0 \leq \check{\theta}_0 + \check{\theta}_0\|e_u\|$ ,  $\hat{\theta}_1 \leq \check{\theta}_1 + \check{\theta}_1\|e_u\|\|\xi\|$ ,  $\gamma \leq \check{\gamma} + \check{\gamma}\|e_u\|$ . Since  $\|e_u\| \leq \varepsilon$ , the last term in (29) satisfies

$$\begin{aligned} & (\hat{\theta}_0 + \hat{\theta}_1\|\xi\| + \gamma)\|e_u\| \\ & \leq \check{\theta}_1\varepsilon^2\|\xi\|^2 + \check{\theta}_1\varepsilon\|\xi\| + (\check{\theta}_0 + \check{\gamma})\varepsilon^2 + (\check{\theta}_0 + \check{\gamma})\varepsilon. \end{aligned} \quad (30)$$

Substituting (30) into (29) gives

$$\dot{V} \leq -\zeta V + Z_2(\|\xi\|) \quad (31)$$

where  $Z_2(\|\xi\|) = Z_1(\|\xi\|) + \check{\theta}_1\varepsilon^2\|\xi\|^2 + \check{\theta}_1\varepsilon\|\xi\| + (\check{\theta}_0 + \check{\gamma})\varepsilon^2 + (\check{\theta}_0 + \check{\gamma})\varepsilon$ . Analogously to Scenario 1,

$$\dot{V} \leq -\zeta V \text{ when } \|\xi\| \geq \eta_2 \quad (32)$$

where  $\eta_2$  is the sole positive root of  $Z_2(\|\xi\|)$  such that  $Z_2(\|\xi\|) \leq 0$  when  $\|\xi\| \geq \eta_2$ .

Finally, combining (27) in Scenario 1 and (32) in Scenario 2, we obtain that  $\xi$  is UUB with ultimate bound  $\max\{\eta_1, \eta_2\}$ . Accordingly,  $e_u$ ,  $e_q$  are also uniformly ultimately bounded.

## REFERENCES

- [1] Z. Li, W. Gao, C. Goh, M. Yuan, E. K. Teoh, and Q. Ren, "Asymptotic stabilization of nonholonomic robots leveraging singularity," *IEEE Robotics and Automation Letters*, vol. 4, no. 1, pp. 41–48, 2018.
- [2] M. I. El-Hawwary and M. Maggiore, "Distributed circular formation stabilization for dynamic unicycles," *IEEE Transactions on Automatic Control*, vol. 58, no. 1, pp. 149–162, 2012.
- [3] A. Roza, M. Maggiore, and L. Scardovi, "A smooth distributed feedback for formation control of unicycles," *IEEE Transactions on automatic control*, vol. 64, no. 12, pp. 4998–5011, 2019.
- [4] J. Liu, X. Dong, J. Wang, C. Lu, X. Zhao, and X. Wang, "A novel EPT autonomous motion control framework for an off-axle hitching tractor-trailer system with drawbar," *IEEE Transactions on Intelligent Vehicles*, vol. 6, no. 2, pp. 376–385, 2020.
- [5] R. Fierro and F. L. Lewis, "Control of a nonholonomic mobile robot: Backstepping kinematics into dynamics," *Journal of Robotic Systems*, vol. 14, no. 3, pp. 149–163, 1997.
- [6] T. Fukao, H. Nakagawa, and N. Adachi, "Adaptive tracking control of a nonholonomic mobile robot," *IEEE Transactions on Robotics and Automation*, vol. 16, no. 5, pp. 609–615, 2000.
- [7] W. Dong and W. Xu, "Adaptive tracking control of uncertain nonholonomic dynamic system," *IEEE Transactions on Automatic Control*, vol. 46, no. 3, pp. 450–454, 2001.
- [8] H. Yang, X. Fan, P. Shi, and C. Hua, "Nonlinear control for tracking and obstacle avoidance of a wheeled mobile robot with nonholonomic constraint," *IEEE Transactions on Control Systems Technology*, vol. 24, no. 2, pp. 741–746, 2015.
- [9] N. Marchand and A. Hably, "Global stabilization of multiple integrators with bounded controls," *Automatica*, vol. 41, no. 12, pp. 2147–2152, 2005.
- [10] T. Beckers, D. Kulić, and S. Hirche, "Stable Gaussian process based tracking control of Euler–Lagrange systems," *Automatica*, vol. 103, pp. 390–397, 2019.
- [11] C.-Y. Su and Y. Stepanenko, "Robust motion/force control of mechanical systems with classical nonholonomic constraints," *IEEE Transactions on Automatic Control*, vol. 39, no. 3, pp. 609–614, 1994.
- [12] Y.-C. Chang and B.-S. Chen, "Adaptive tracking control design of nonholonomic mechanical systems," in *Proceedings of 35th IEEE Conference on Decision and Control (CDC)*, vol. 4, 1996, pp. 4739–4744.
- [13] E. M. Jarzewska, "Advanced programmed motion tracking control of nonholonomic mechanical systems," *IEEE Transactions on Robotics*, vol. 24, no. 6, pp. 1315–1328, 2008.
- [14] A. K. Khalaji and S. A. A. Moosavian, "Robust adaptive controller for a tractor-trailer mobile robot," *IEEE/ASME Transactions on Mechatronics*, vol. 19, no. 3, pp. 943–953, 2013.
- [15] F. L. Lewis, D. Dawson, and C. T. Abdallah, *Control of Robot Manipulators*. Prentice Hall PTR, 1993.
- [16] M. W. Spong, S. Hutchinson, and M. Vidyasagar, *Robot modeling and control*. Wiley New York, 2006, vol. 3.
- [17] W. Dong, W. Liang Xu, and W. Huo, "Trajectory tracking control of dynamic non-holonomic systems with unknown dynamics," *International Journal of Robust and Nonlinear Control: IFAC-Affiliated Journal*, vol. 9, no. 13, pp. 905–922, 1999.
- [18] A. M. Annaswamy, F. P. Skantze, and A.-P. Loh, "Adaptive control of continuous time systems with convex/concave parametrization," *Automatica*, vol. 34, no. 1, pp. 33–49, 1998.
- [19] D. N. Cardoso, S. Esteban, and G. V. Raffo, "A robust optimal control approach in the weighted Sobolev space for underactuated mechanical systems," *Automatica*, vol. 125, p. 109474, 2021.
- [20] E. Panteley, A. Loria, and A. Teel, "Relaxed persistency of excitation for uniform asymptotic stability," *IEEE Transactions on Automatic Control*, vol. 46, no. 12, pp. 1874–1886, 2001.
- [21] G. Campion, B. d'Andrea Novel, and G. Bastin, "Controllability and state feedback stabilizability of non holonomic mechanical systems," in *Advanced Robot Control*. Springer, 1991, pp. 106–124.
- [22] Z. Li, C. Yang, C.-Y. Su, J. Deng, and W. Zhang, "Vision-based model predictive control for steering of a nonholonomic mobile robot," *IEEE Transactions on Control Systems Technology*, vol. 24, no. 2, pp. 553–564, 2015.
- [23] Y. Shtessel, M. Taleb, and F. Plestan, "A novel adaptive-gain supertwisting sliding mode controller: Methodology and application," *Automatica*, vol. 48, no. 5, pp. 759–769, 2012.
- [24] S. Roy, J. Lee, and S. Baldi, "A new adaptive-robust design for time delay control under state-dependent stability condition," *IEEE Transactions on Control Systems Technology*, vol. 29, no. 1, pp. 420–427, 2020.
- [25] F. Chen, G. Feng, L. Liu, and W. Ren, "Distributed average tracking of networked Euler-Lagrange systems," *IEEE Transactions on Automatic Control*, vol. 60, no. 2, pp. 547–552, 2014.
- [26] S. Roy and S. Baldi, "Towards structure-independent stabilization for uncertain underactuated Euler–Lagrange systems," *Automatica*, vol. 113, p. 108775, 2020.
- [27] T. Tao, S. Roy, and S. Baldi, "Stable adaptation in multi-area load frequency control under dynamically-changing topologies," *IEEE Transactions on Power Systems*, vol. 36, no. 4, pp. 2946–2956, 2020.
- [28] S. Roy, S. Baldi, and L. M. Fridman, "On adaptive sliding mode control without a priori bounded uncertainty," *Automatica*, vol. 111, p. 108650, 2020.
- [29] A. Giusti, S. B. Liu, and M. Althoff, "Interval-arithmetic-based robust control of fully actuated mechanical systems," *IEEE Transactions on Control Systems Technology*, pp. 1–13, 2021.