

Using Random Forest Regression to Interpolate ICESat-2 Elevation Data

Ling Wo Leo Kan #5505801

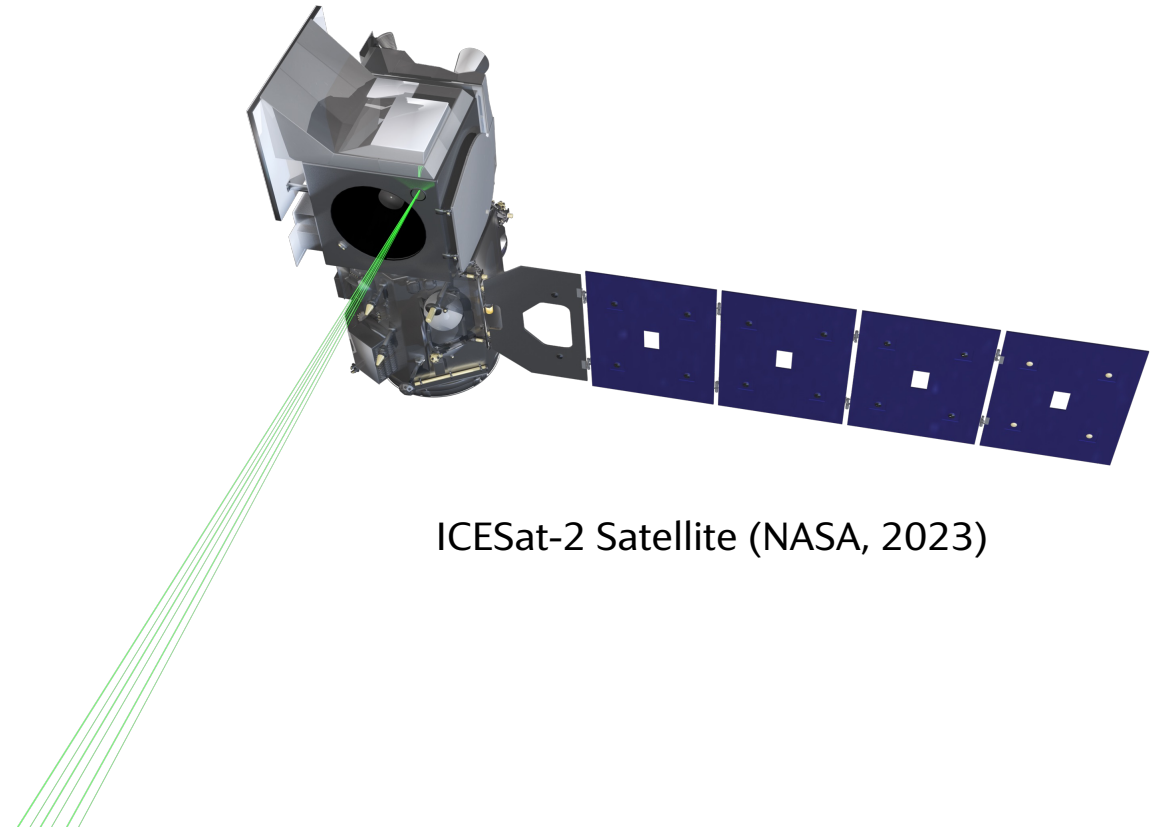
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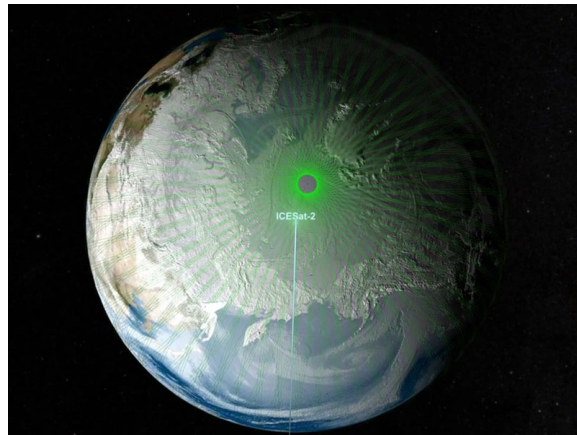


ICESat-2 Satellite (NASA, 2023)

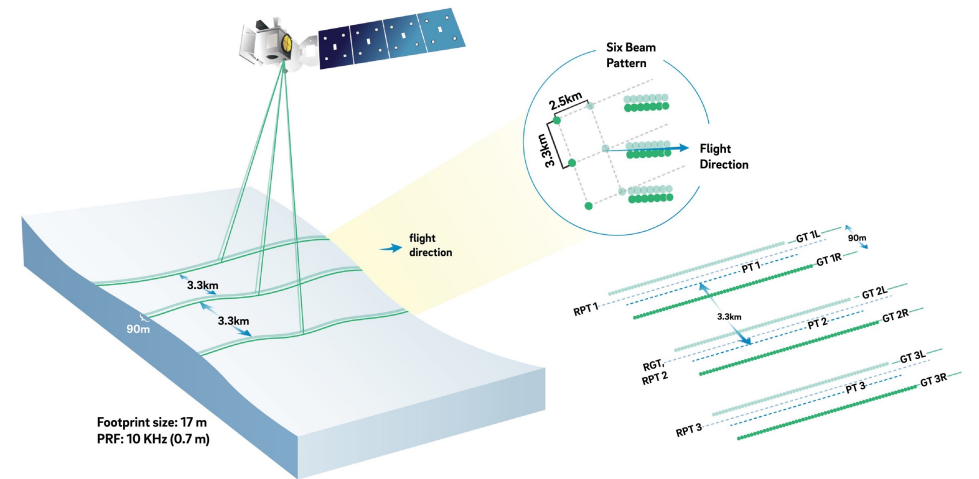
Introduction

The ICESat-2 Satellite Mission

- Data captured from the satellite are sparse
 - 3 pairs of beam (strong and weak beams)
 - 3.3 km between each pair of strong-weak beam
 - 90-100m between each data point along track



Near-global coverage of ICESat-2 (NASA, 2023)



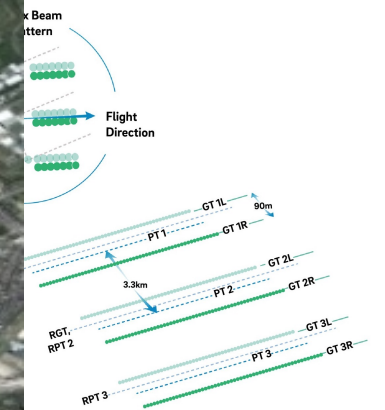
ICESat-2 mission beam pattern (Smith et al., 2019)

Introduction

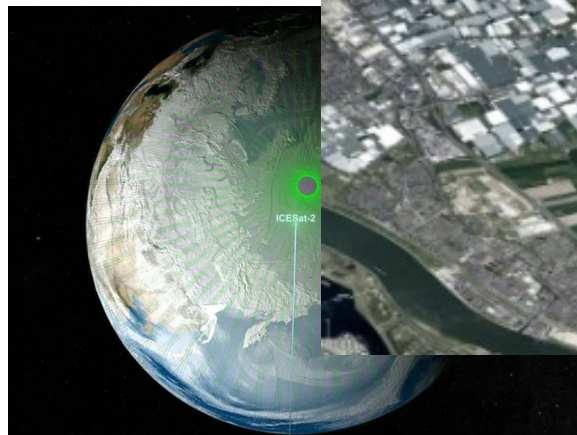
The ICESat-2

- Data capture

- 3 pairs of
- 3.3 km
- 90-100m



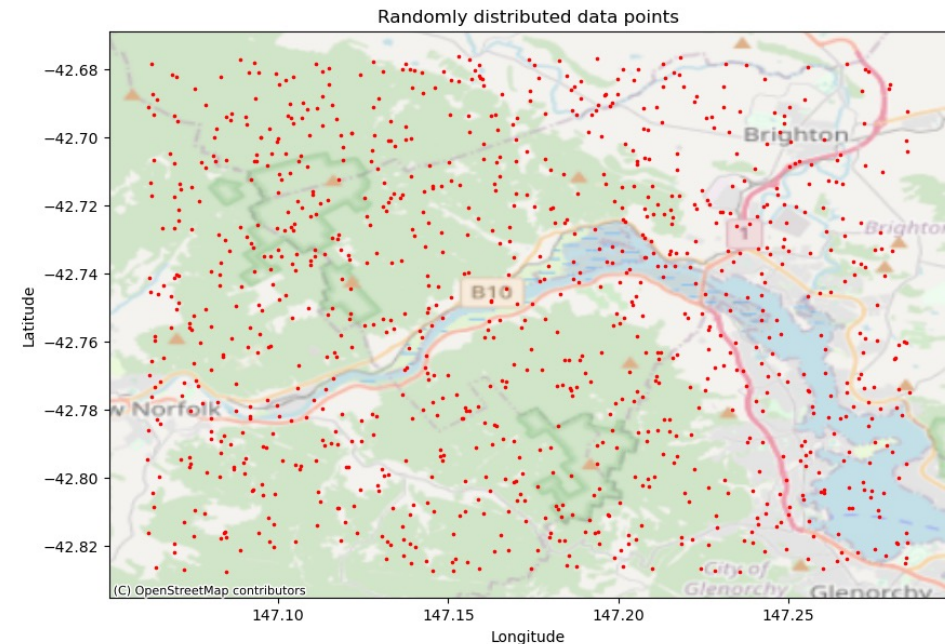
Smith et al., 2019)



Spatial Interpolation

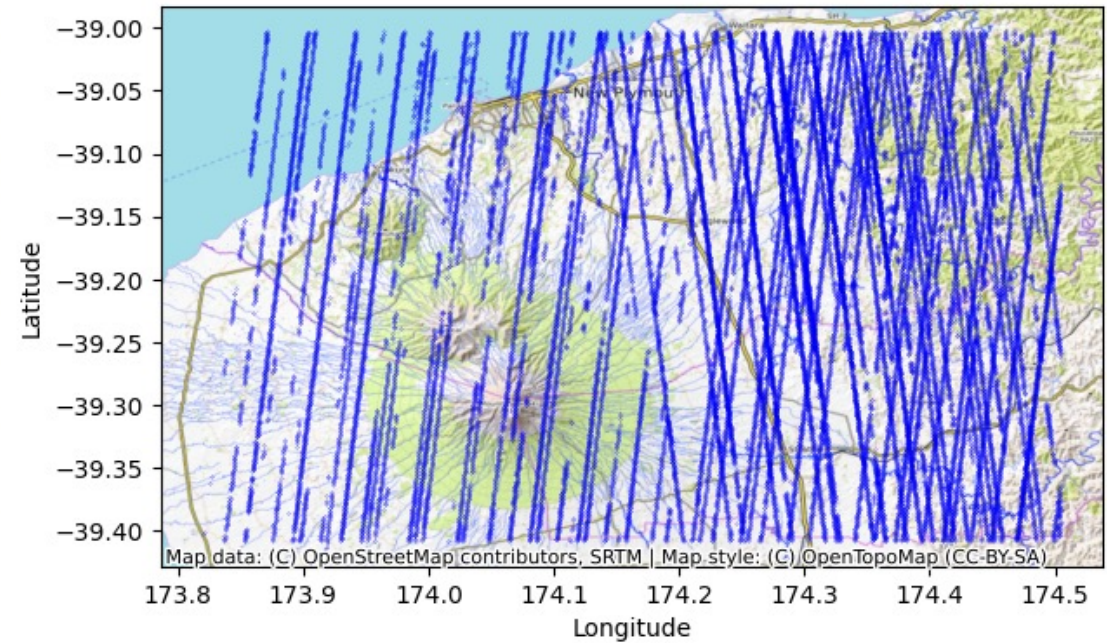
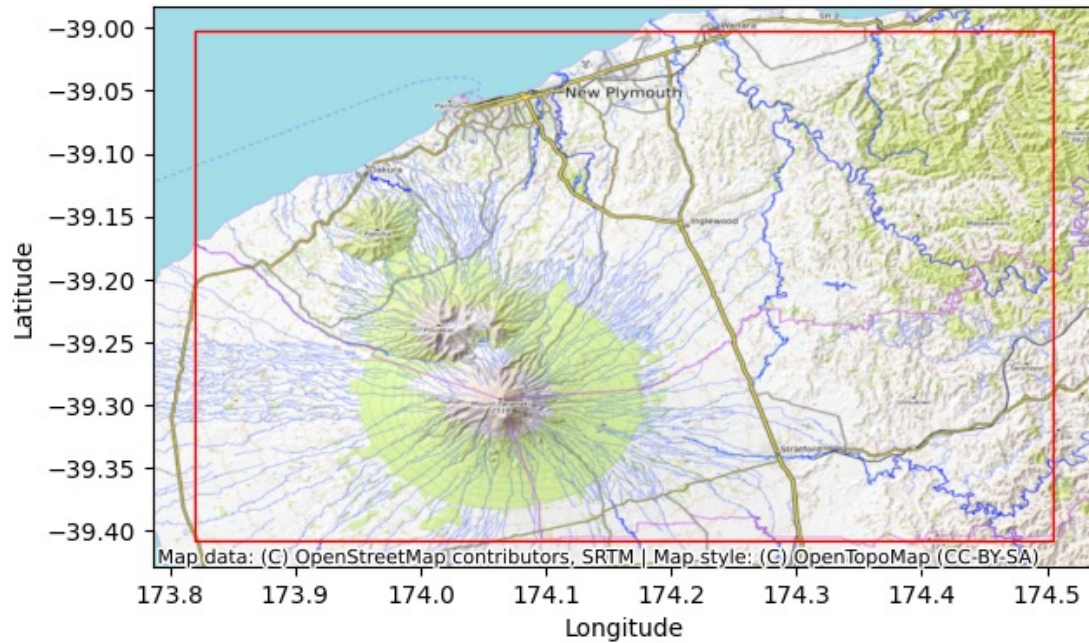
Digital Terrain Modelling

- Estimating values at unsampled locations when there are data gaps
- Used to further perform terrain analysis, run-off modelling, land use planning etc.
- Provides spatial continuity in **2.5D** dimensions (one z value for every x-y coordinate)



Tasmania Samples Dataset (from GEO1015 assignment)

Introduction



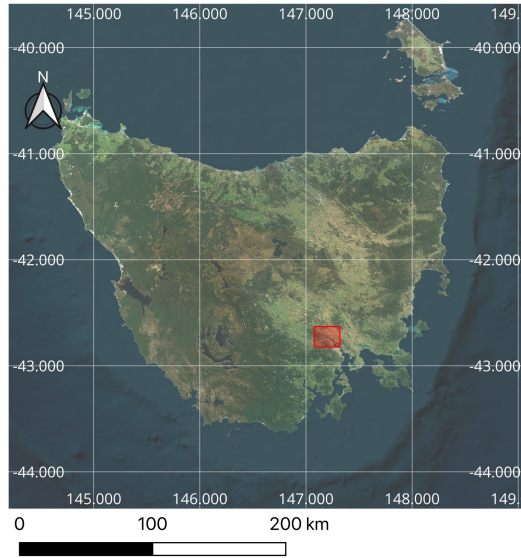
ICESat-2 ATL08 (Land and Vegetation Height) Product with Bounding Box at Mount Taranaki, New Zealand

Research Question

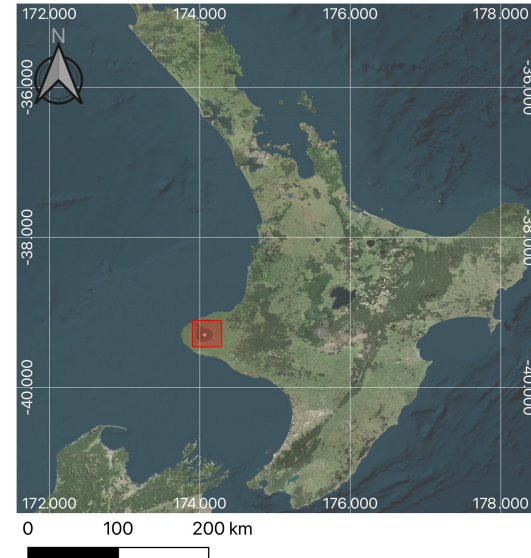
To what extent would Random Forest elevation prediction improve on traditional interpolation methods for creating a DTM?

- Which features in the RF model have the most significant impact on the accuracy?
- How does the accuracy of RF regression vary across different geographical locations within the study areas?
- How would the RF model that created in this research compared against the method proposed in RFsp by Hengl et al. [2018]?

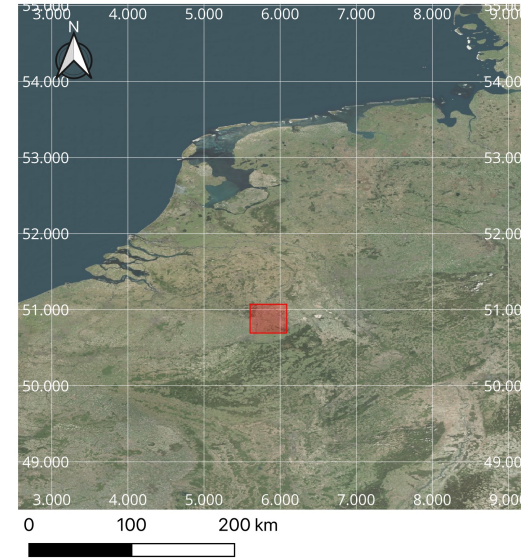
Study Areas



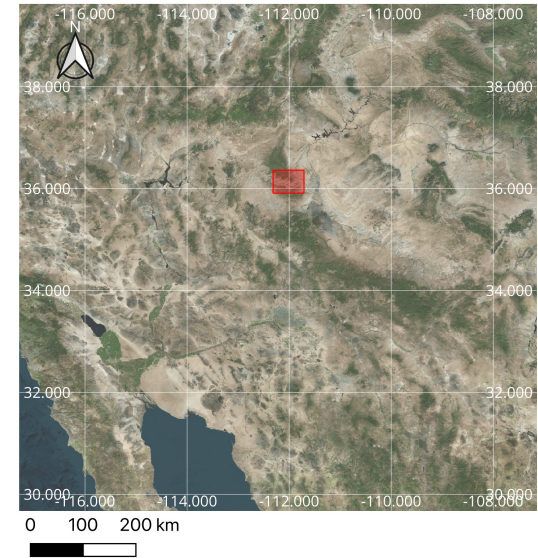
**Tasmania
Australia**



**Mount Taranaki
New Zealand**



**Limburg
Netherlands**



**Grand Canyon
United States**

Background

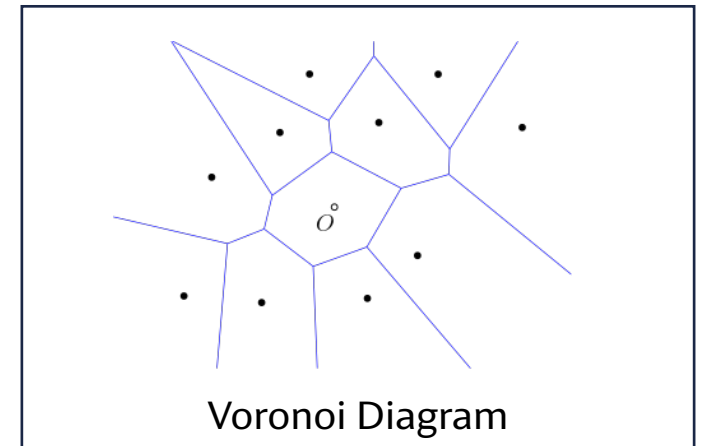
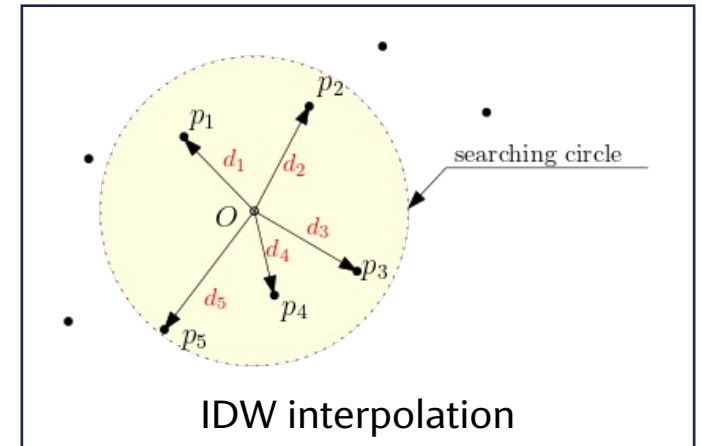
Traditional Interpolation

- Inverse Distance Weighting (IDW)
- Triangular Irregular Network (TIN)
- Laplace Interpolation
- Natural Neighbour Interpolation

Random Forest (RFsp)

- Random Forest as a Generic Framework (Hengl et. al., 2018)
- 'Covariates' == Features

$$Y(s) = f(X_G, X_R, X_P)$$



Features Used in this study

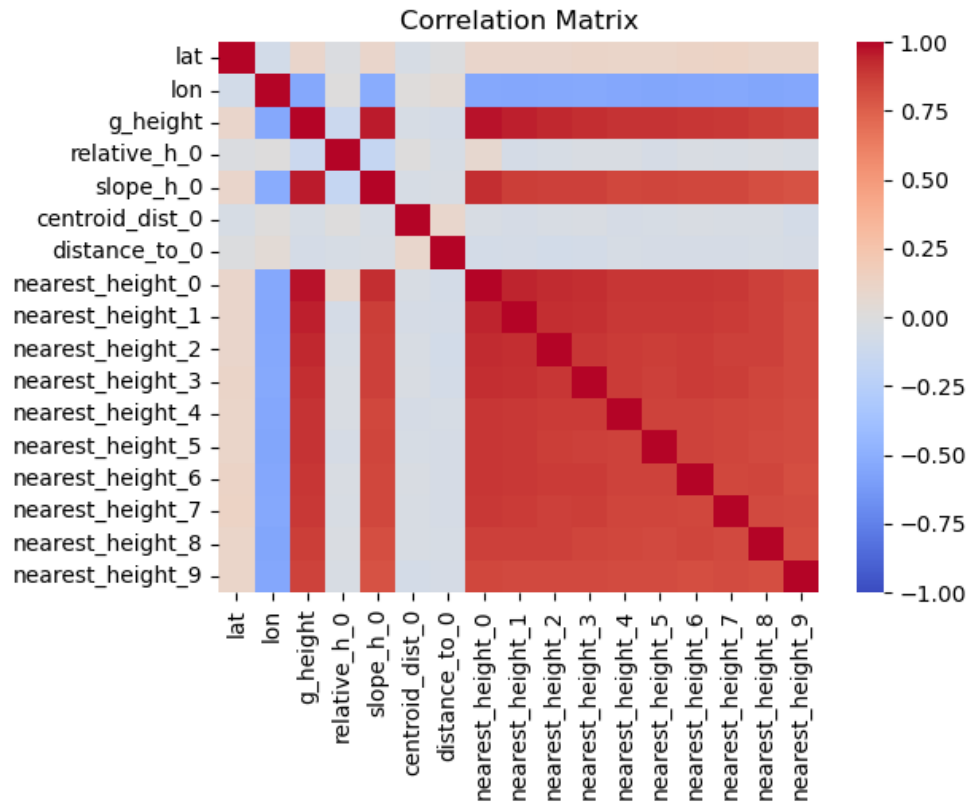
1. Geometric Features

- Distance to the nearest ICESat-2 Points
- Relative height with respect to ICESat-2 n-number of points
- Slope with respect to ICESat-2 n-number of points
- Neighbour height of nearest ICESat-2 point

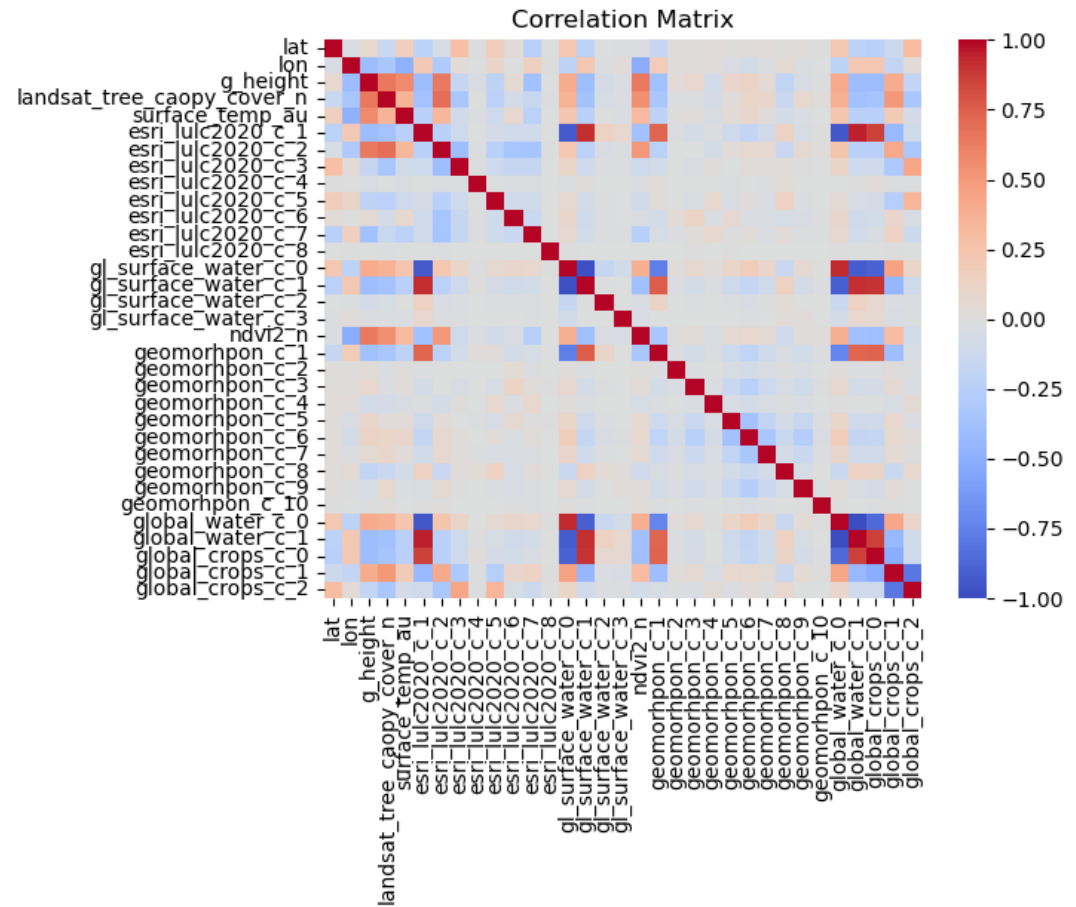
2. Remote Sensing Features

- Land Use/Land Cover
- Water Bodies Mask
- World Settlement Footprint
- Normalized difference vegetation index (NDVI)
- Cropland Classification

Correlation Map



(1) Geometric Features



(2) Remote Sensing Features

Accuracy Assessment

- **Mean Absolute Error (MAE)**

MAE quantifies the average absolute difference between predicted and ground truth values.

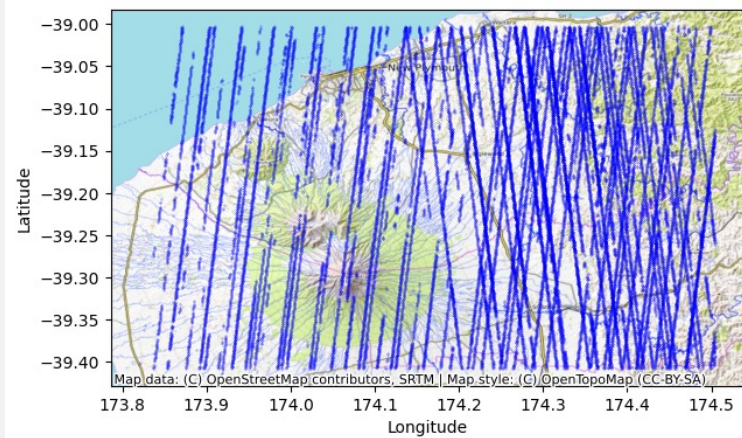
- **Root Mean Square Error (RMSE)**

RMSE quantifies the average difference between predicted and ground truth values by taking the square root of the mean of squared differences.

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{z}_i - z_i)^2}$$

$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |\hat{z}_i - z_i|$$

Methodology



ICESat-2 Data:
Latitude,
Longitude,
Ground Truth Height

Features: One-hot encoding

Categorical data to Binary (1 and 0)

Each category belongs to one column

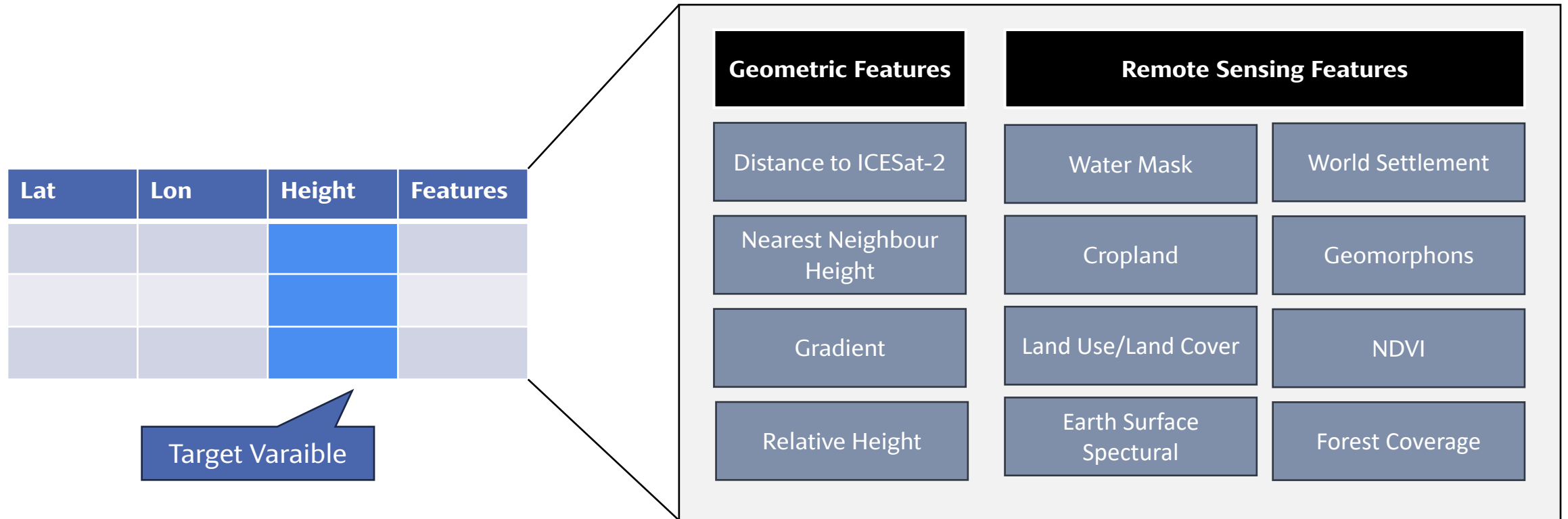
Easier for model to process categorical information effectively

| id | LULC |
|----|------------|
| 0 | Trees |
| 1 | Built Area |
| 2 | Built Area |
| 3 | Crops |
| 4 | Built Area |
| 5 | Trees |

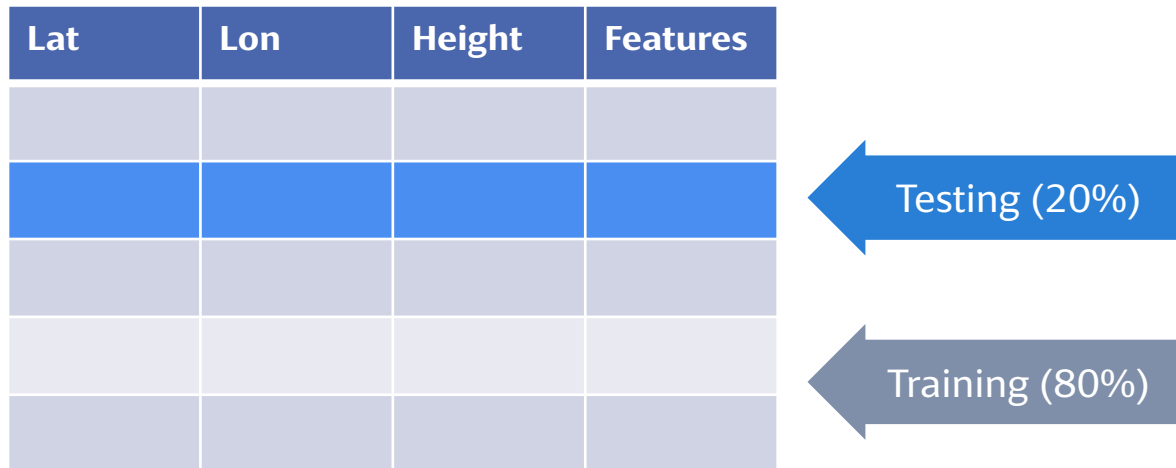


| id | LULC_Trees | LULC_Built_Area | LULC_Crops |
|----|------------|-----------------|------------|
| 0 | 1 | 0 | 0 |
| 1 | 0 | 1 | 0 |
| 2 | 0 | 1 | 0 |
| 3 | 0 | 0 | 1 |
| 4 | 0 | 1 | 0 |
| 5 | 1 | 0 | 0 |

Traning of the Model



Test-Train Split



Test-Train Split

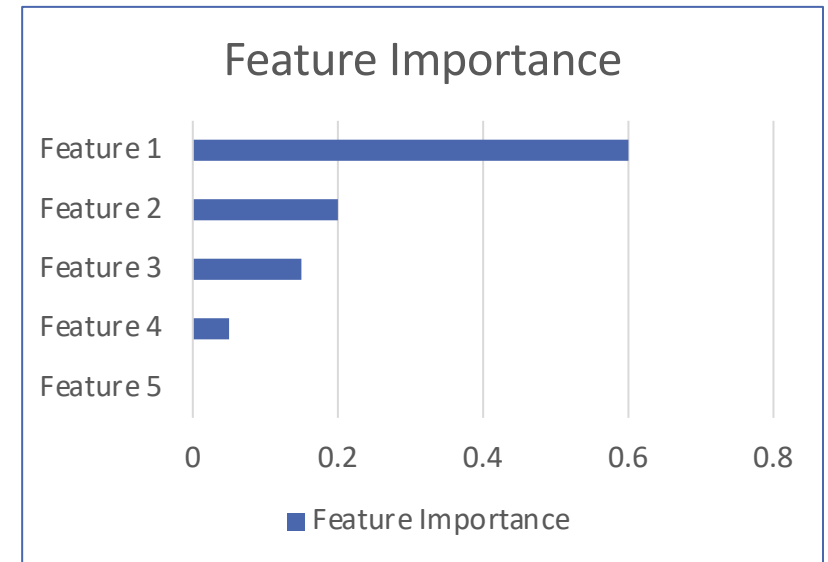
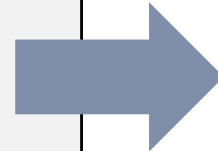
Evaluation on how well the model performs on unseen data. Randomly selected from the data frame

- ⇒ 80% Testing Data
- ⇒ 20% Training Data

Feature Importance

Mean Decrease of Accuracy

- Using Means Squared Error as accuracy metric
- Each values are shuffled randomly
- It evaluates how each feature contributed to the RF model by decrease of accuracy



Results

Test Geometric Features

- Test 1: Distance to Nearest ICESat-2 Point
- Test 2: Nearest Neighbour Height
- Test 3: Gradient to neighbours
- Test 4: Relative Height

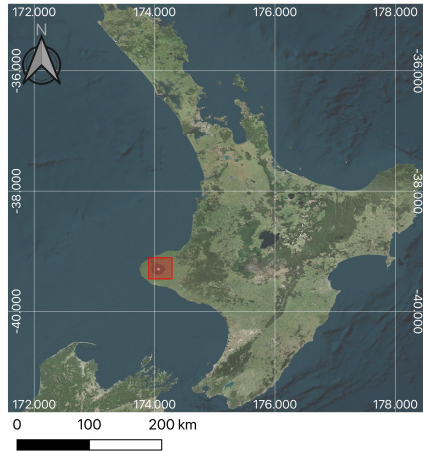
Test Remote Sensing Features

- Test 5: Remote Sensing Features

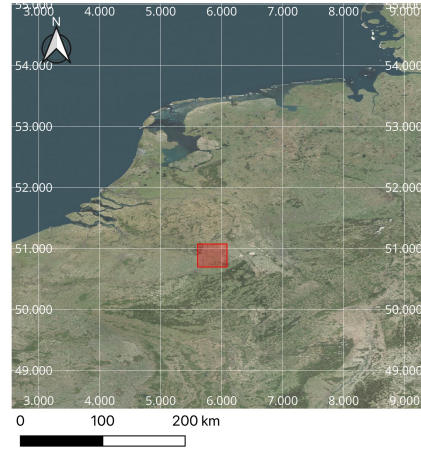
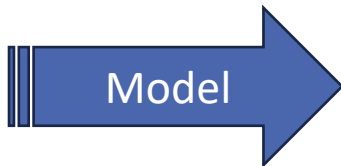
Test Combined Models from other Study Areas

- Combine models from Mount Taranaki, Grand Canyon and Limburg
- Apply new model on Tasmania, Australia

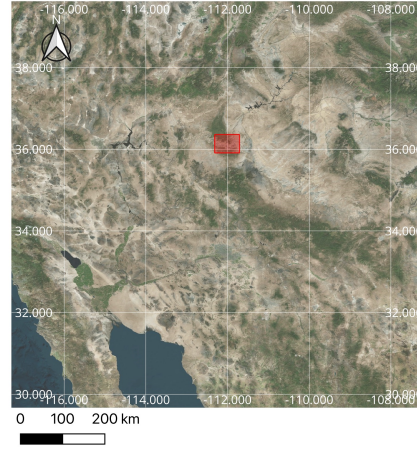
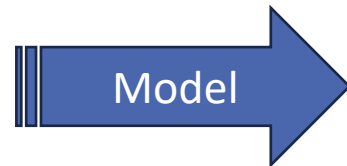
Combining RF Models



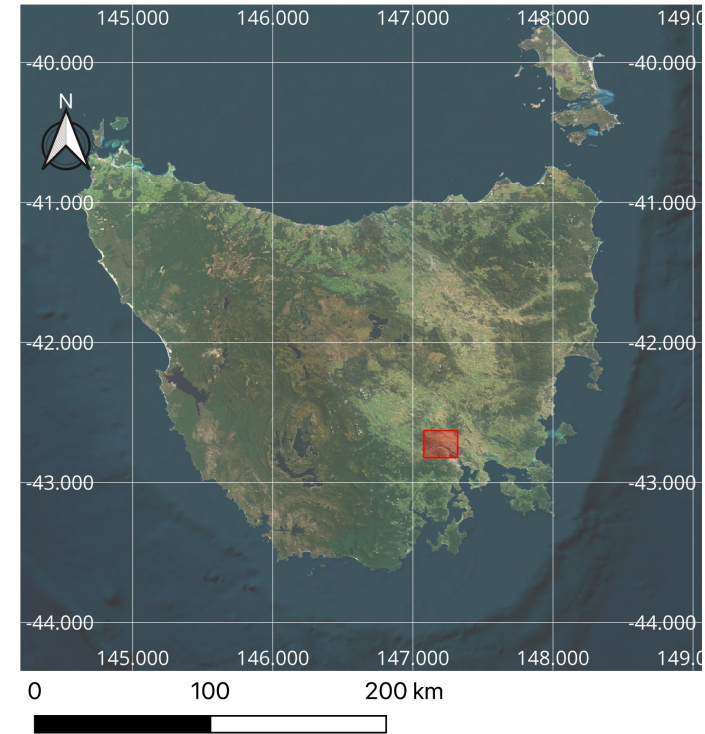
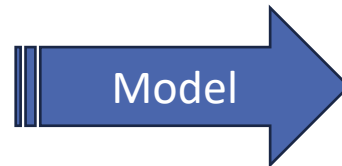
Mount Taranaki
New Zealand



Limburg
Netherlands

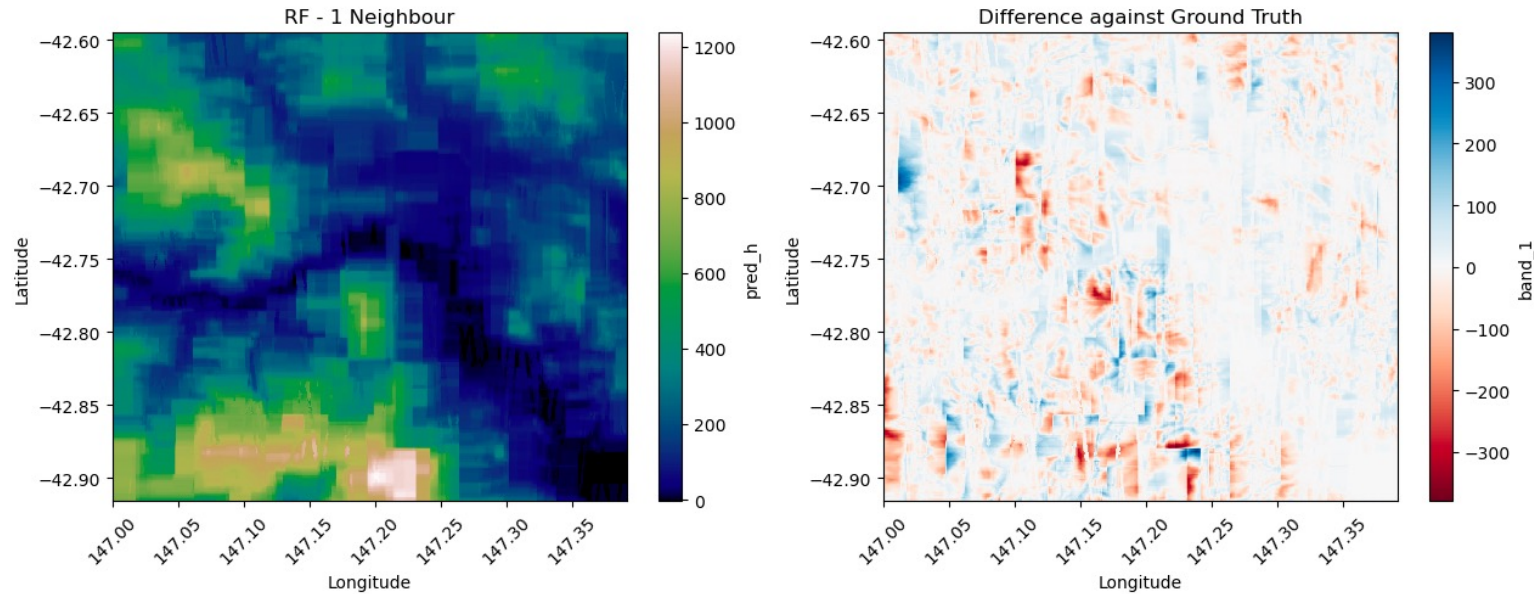


Grand Canyon
United States



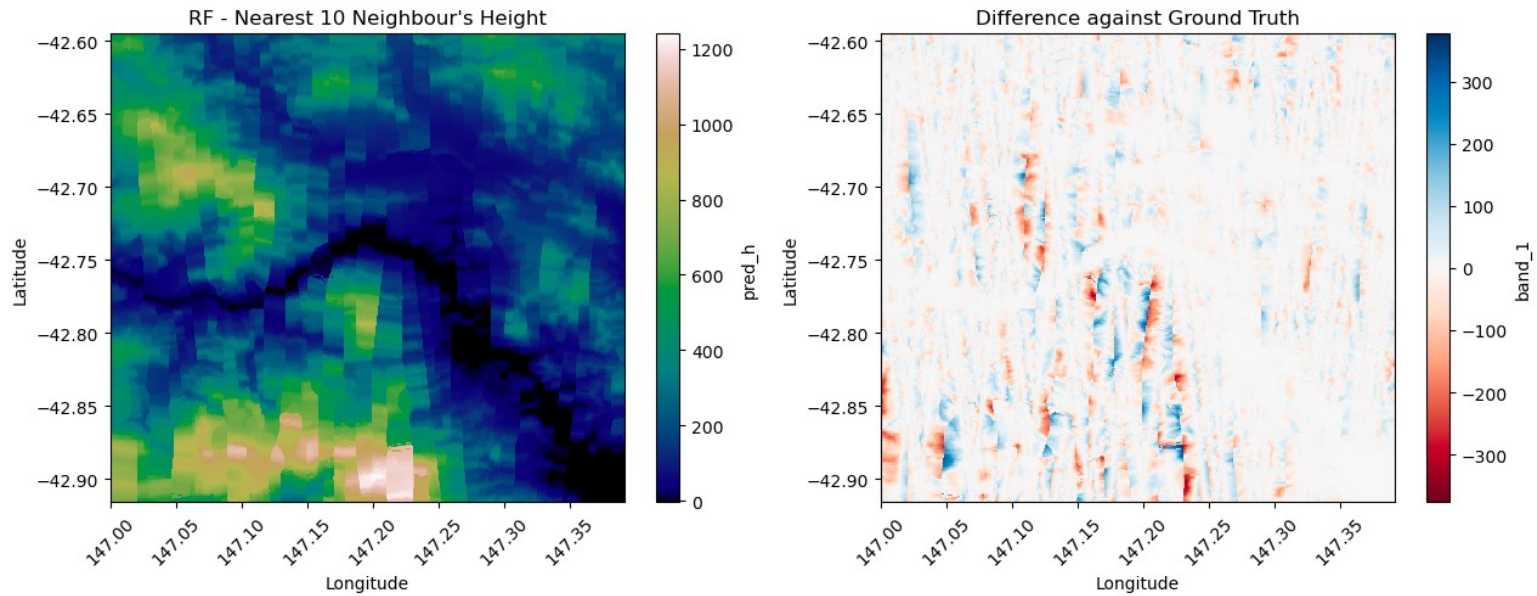
Tasmania
Australia

Test 1: Distance to Nearest ICESat-2 Point



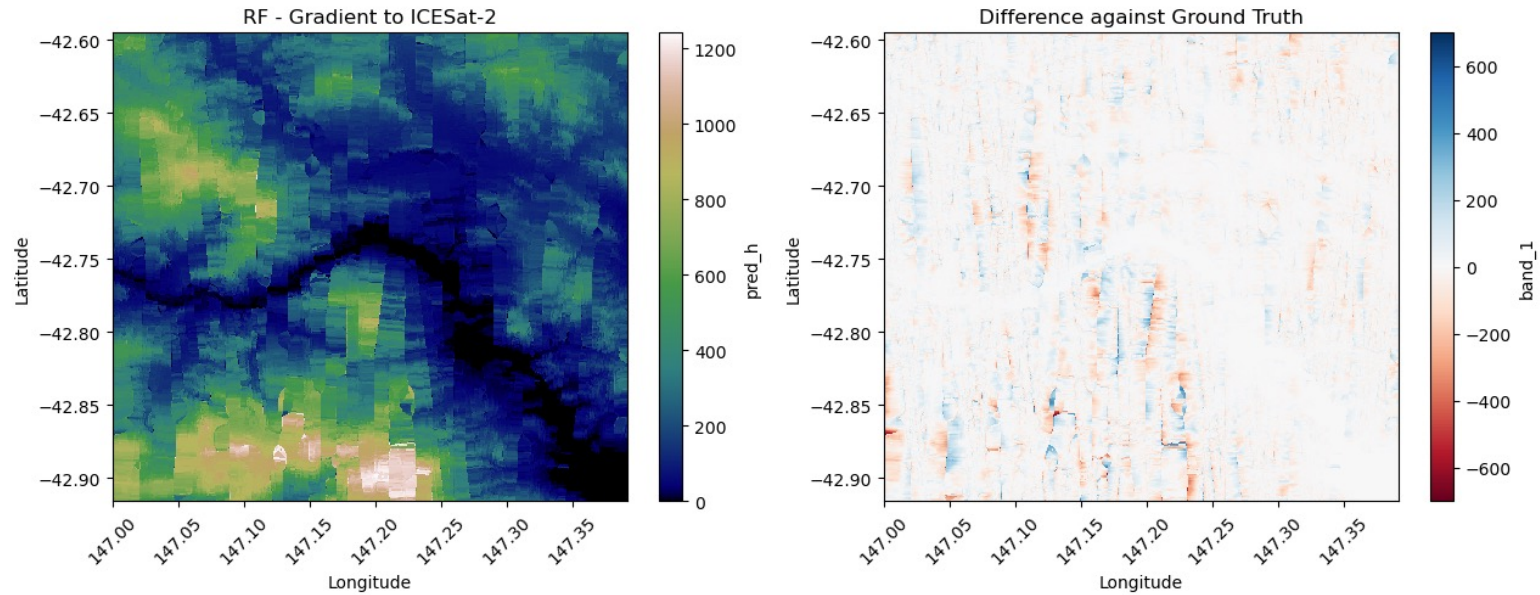
| N-neighbours | Min Diff | Max Diff | RMSE | MAE |
|--------------|----------|----------|--------|--------|
| 1 | -379.611 | 375.658 | 49.916 | 31.051 |
| 2 | -372.352 | 352.439 | 50.075 | 31.276 |
| 5 | -390.528 | 424.799 | 54.068 | 34.91 |
| 10 | -398.333 | 371.783 | 56.325 | 36.784 |
| 100 | -395.529 | 571.263 | 68.555 | 44.677 |

Test 2: Nearest Neighbour Height



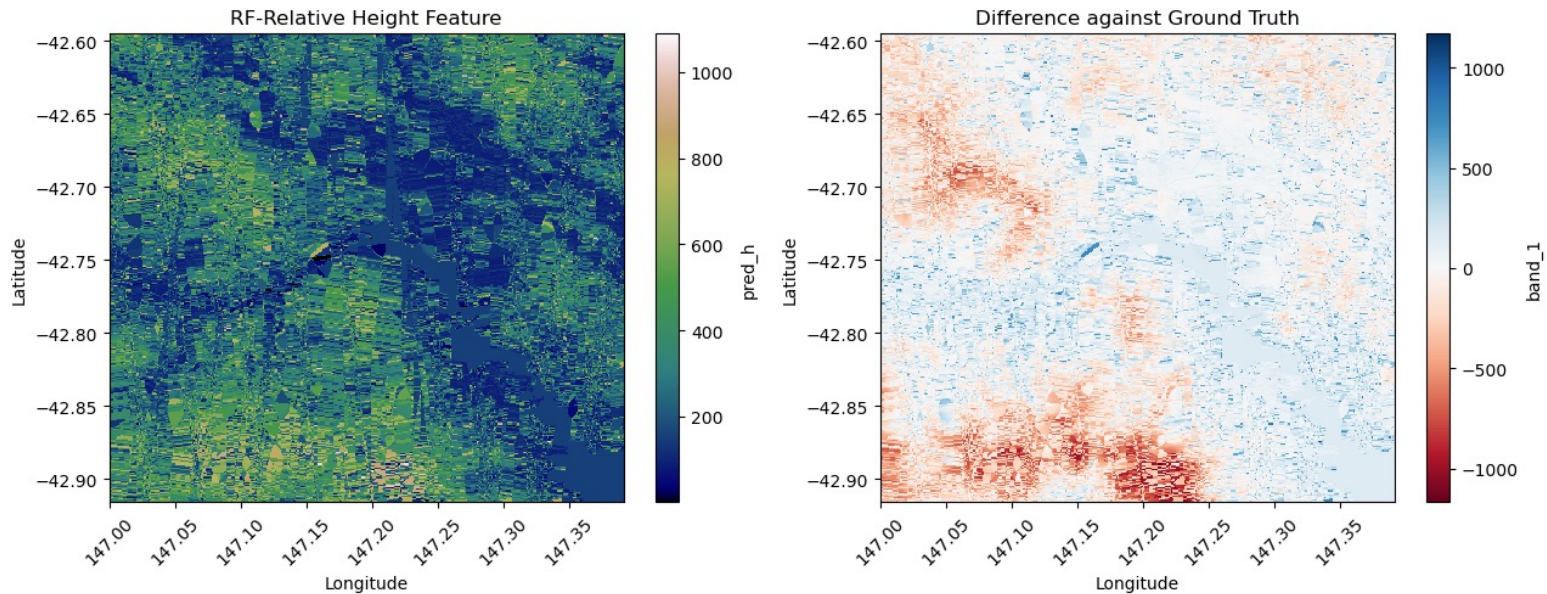
| N-neighbours | Min Diff | Max Diff | RMSE | MAE |
|--------------|----------|----------|--------|--------|
| 1 | -344.246 | 385.154 | 45.688 | 27.079 |
| 2 | -341.152 | 387.564 | 44.025 | 25.242 |
| 10 | -341.805 | 376.818 | 43.63 | 24.923 |
| 100 | -337.345 | 377.037 | 43.737 | 25.06 |
| 200 | -335.816 | 374.136 | 43.753 | 25.078 |

Test 3: Nearest Gradient



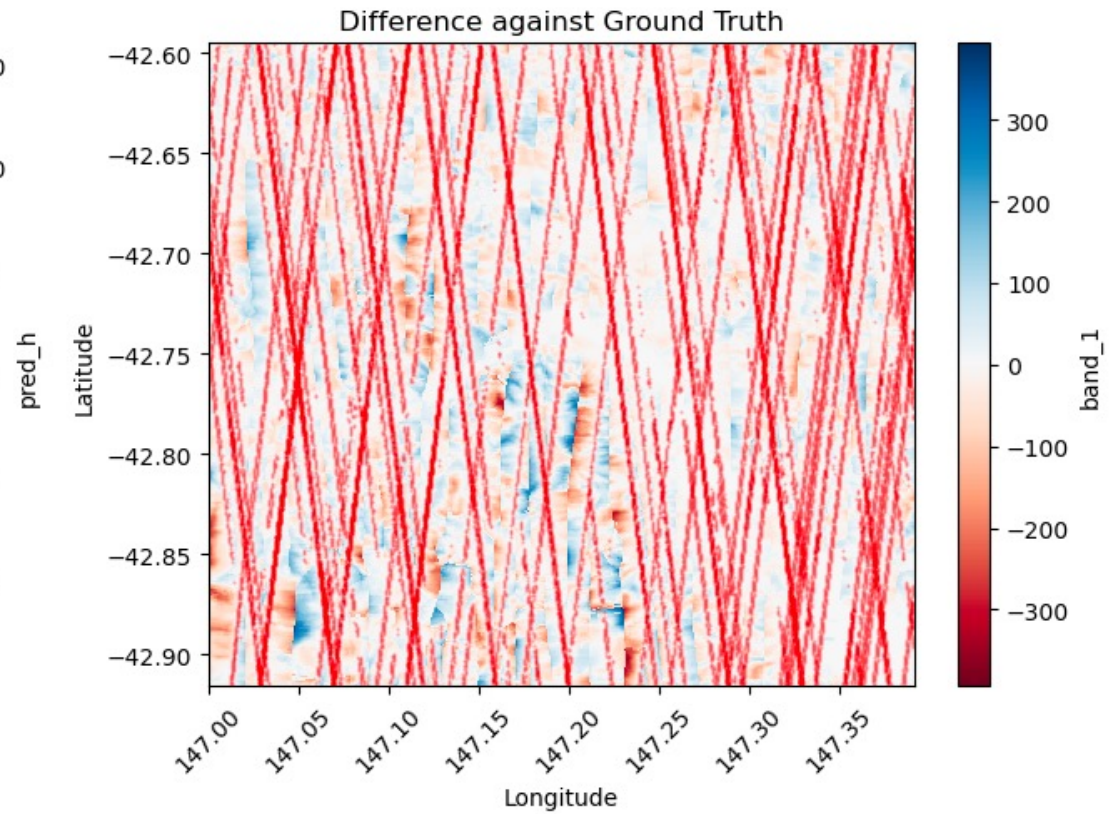
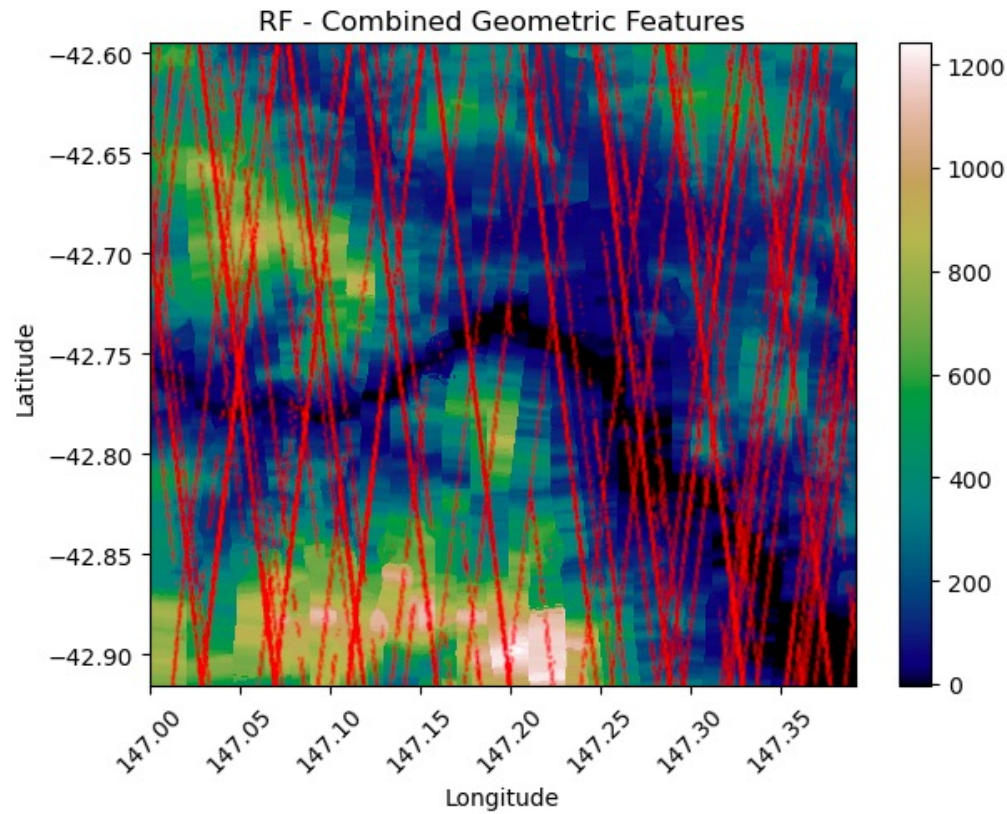
| N-neighbours | Min Diff | Max Diff | RMSE | MAE |
|--------------|----------|----------|--------|--------|
| 1 | -391.77 | 394.306 | 47.577 | 29.076 |
| 5 | -680.321 | 663.468 | 54.827 | 31.094 |
| 10 | -594.567 | 627.791 | 55.565 | 31.742 |

Test 4: Relative Height



| N-neighbours | Min Diff | Max Diff | RMSE | MAE |
|--------------|----------|----------|--------|--------|
| 1 | -353.554 | 340.476 | 47.573 | 29.034 |
| 2 | -390.509 | 334.424 | 48.724 | 30.545 |
| 10 | -787.84 | 564.375 | 58.619 | 37.006 |
| 50 | -773.813 | 550.006 | 69.181 | 42.806 |
| 100 | -885.601 | 494.715 | 76.984 | 45.242 |

Combined Geometric Features



Tests on RF Features

Test Geometric Features

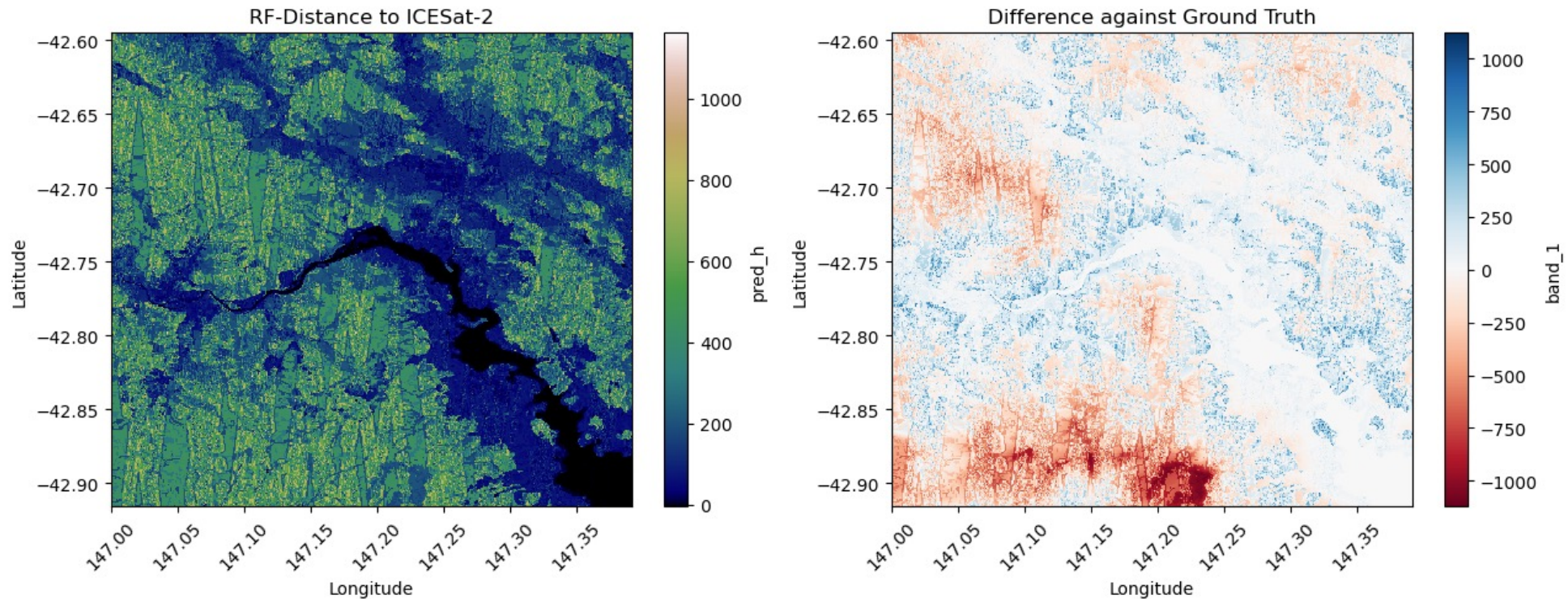
- Test 1: Distance to Nearest ICESat-2
- Test 2: Nearest Neighbour Height
- Test 3: Slope to neighbours
- Test 4: Relative Height

Test Remote Sensing Features

- Test 5: Remote Sensing Features

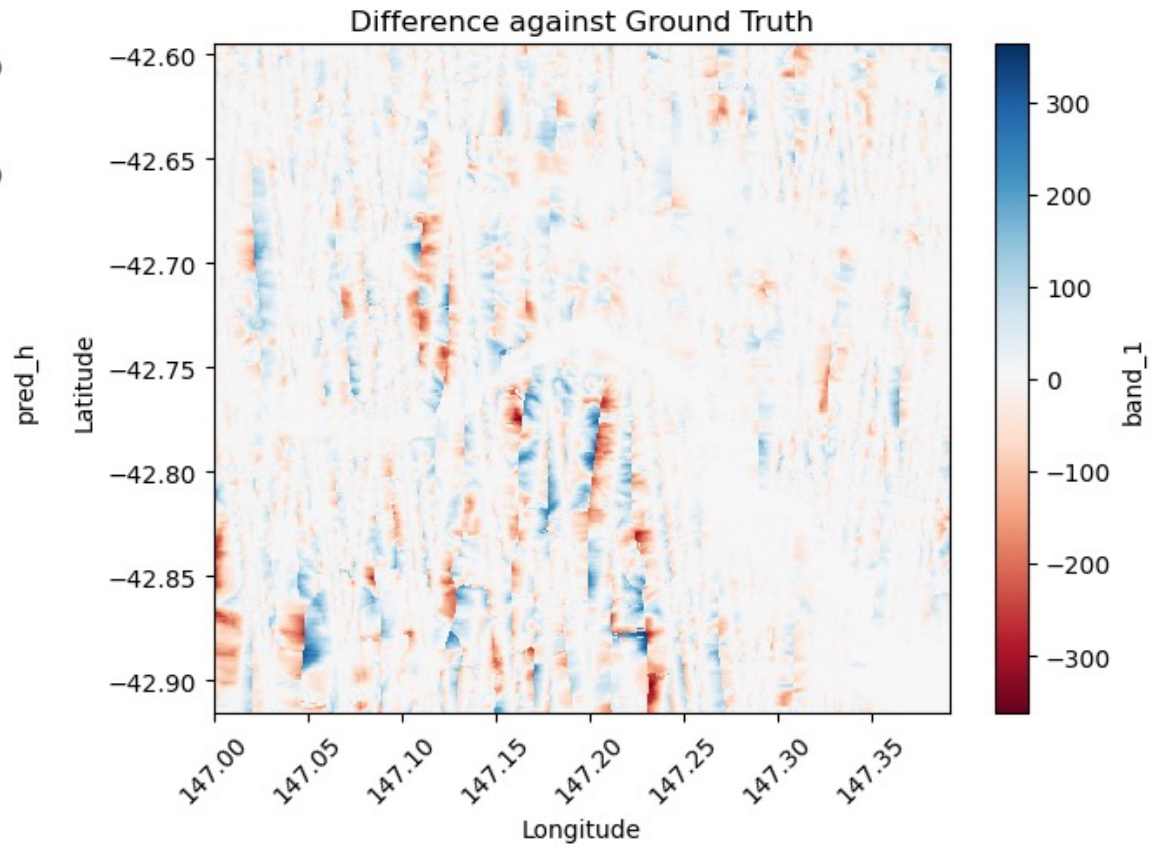
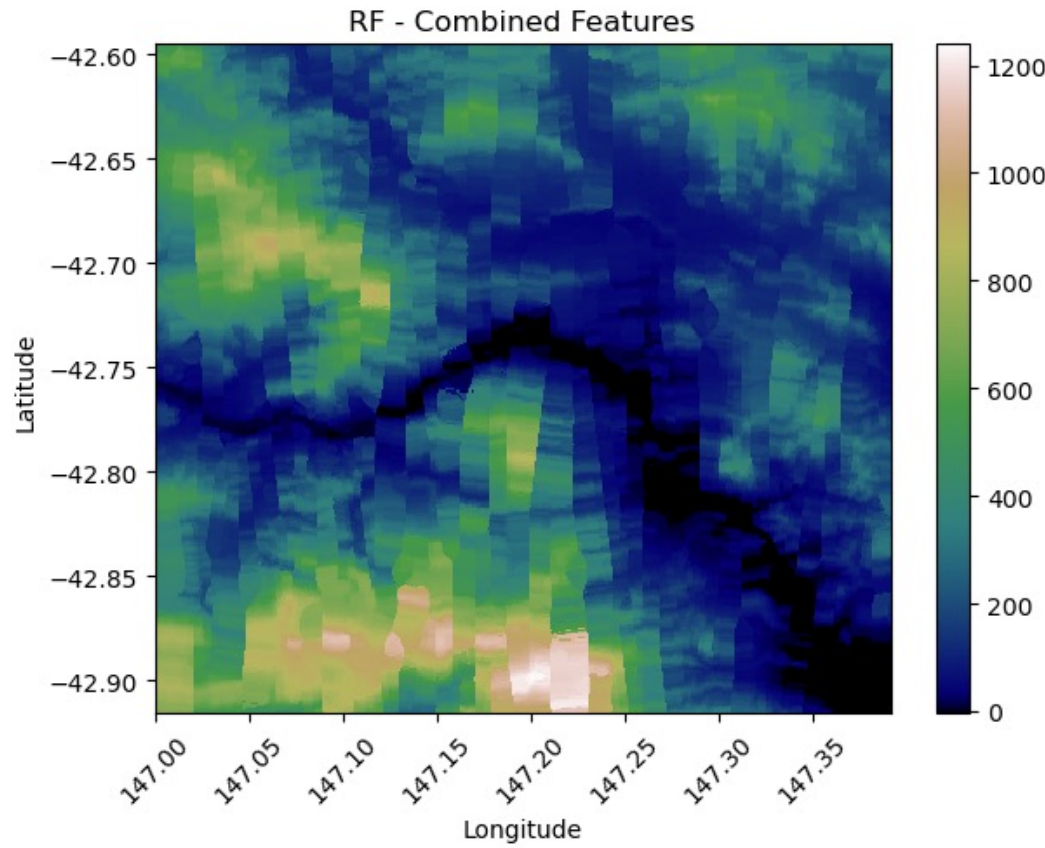
1 nearest-neighbour
10 nearest-neighbour
5 nearest-neighbours
1 nearest-neighbour

Test 5: Remote Sensing Features

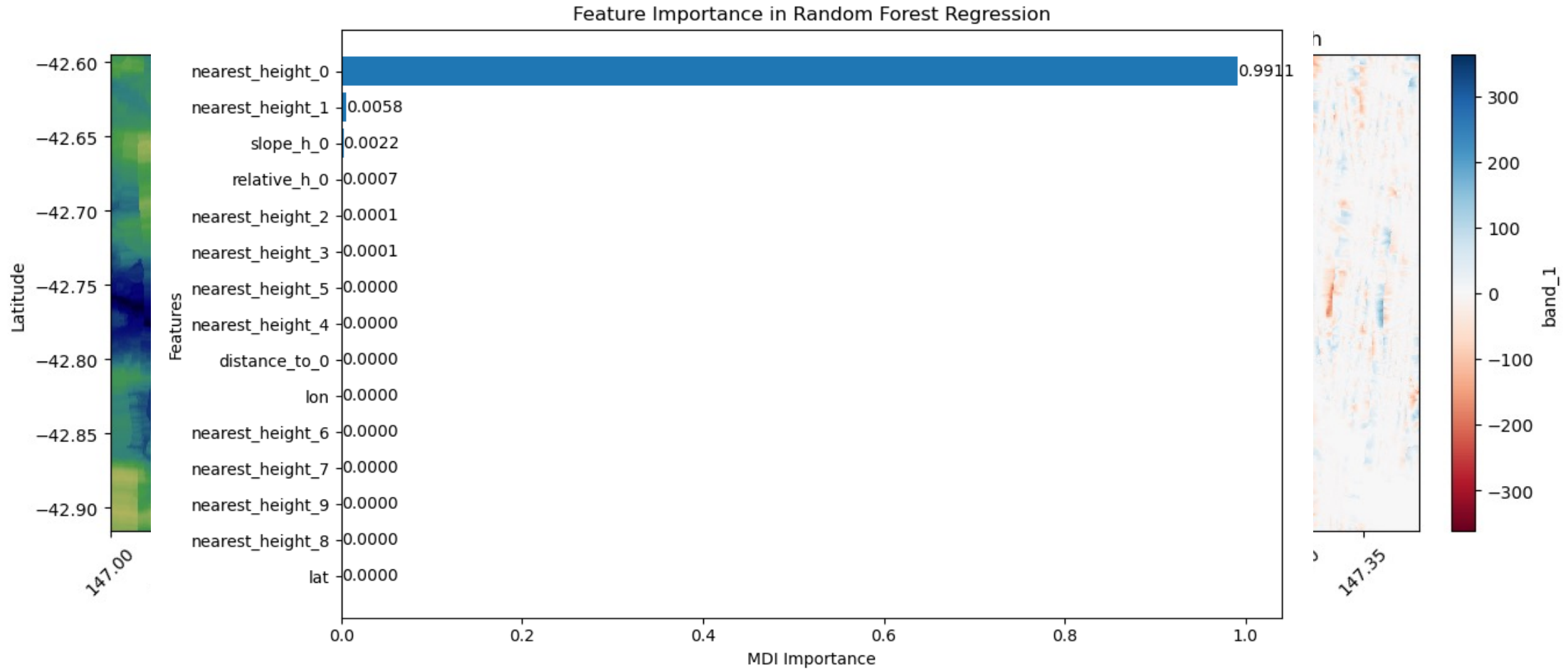


Nearest Distance | Water Mask | Geomorphon | NDVI Features

Tasmania: Combing Features

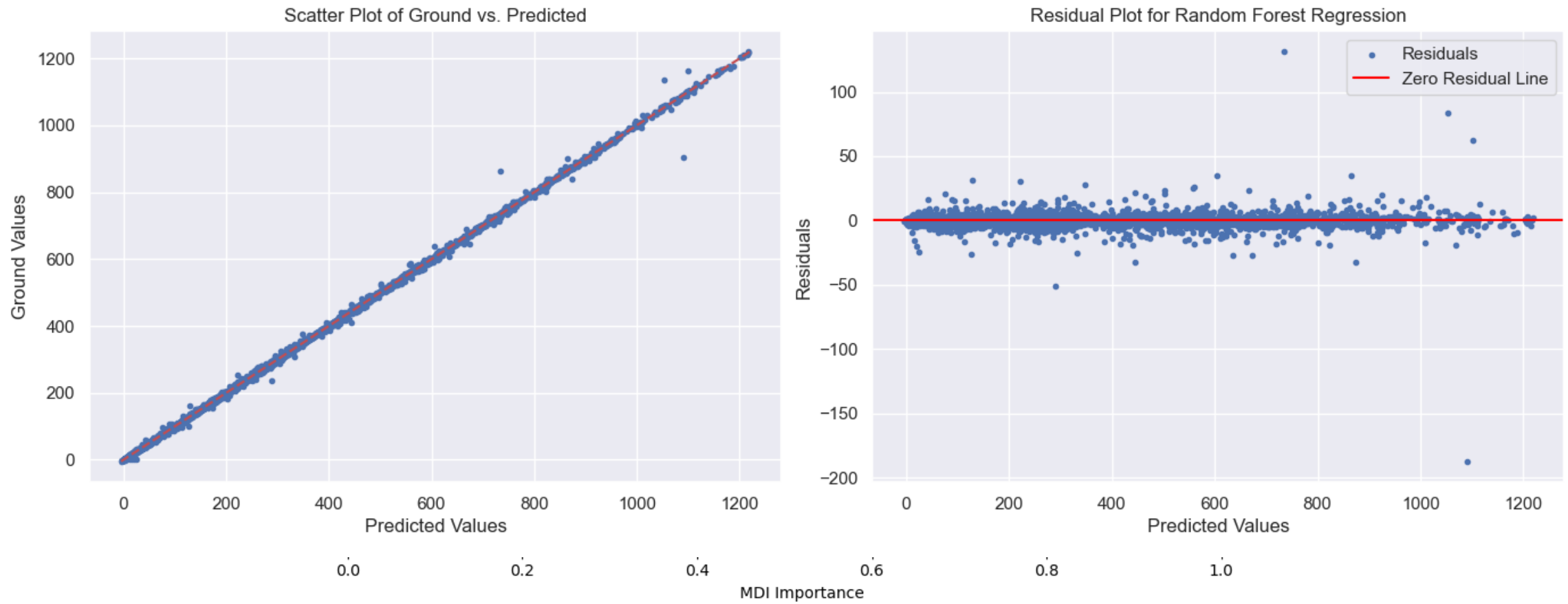


Tasmania: Combing Features



Tasmania: Combing Features

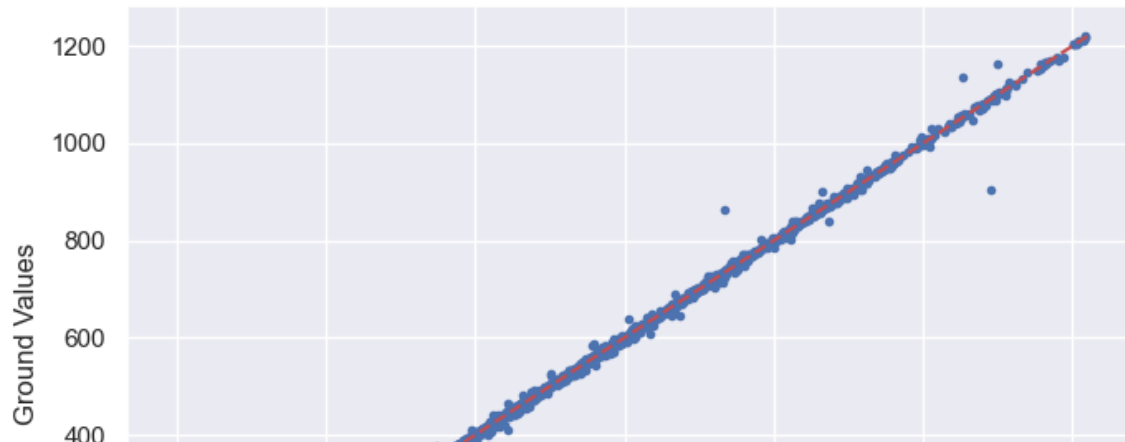
Feature Importance in Random Forest Regression



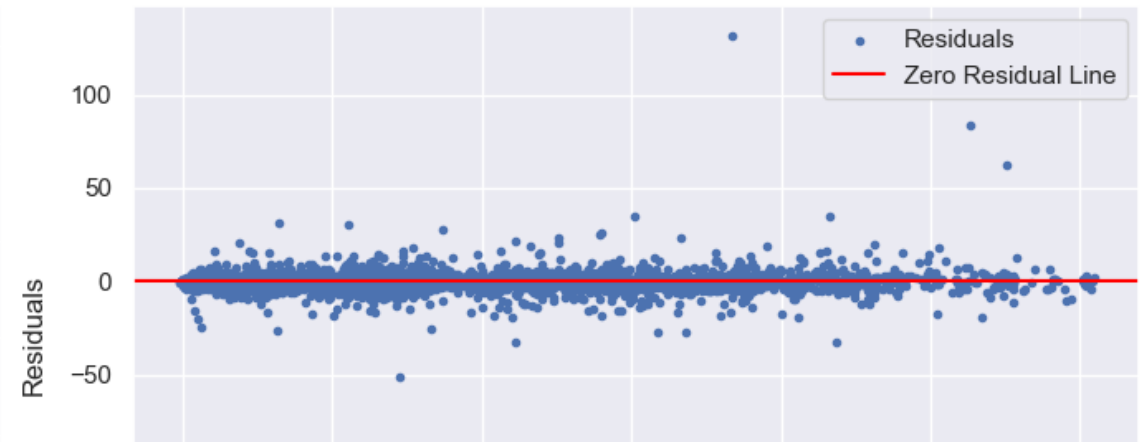
Tasmania: Combing Features

Feature Importance in Random Forest Regression

Scatter Plot of Ground vs. Predicted

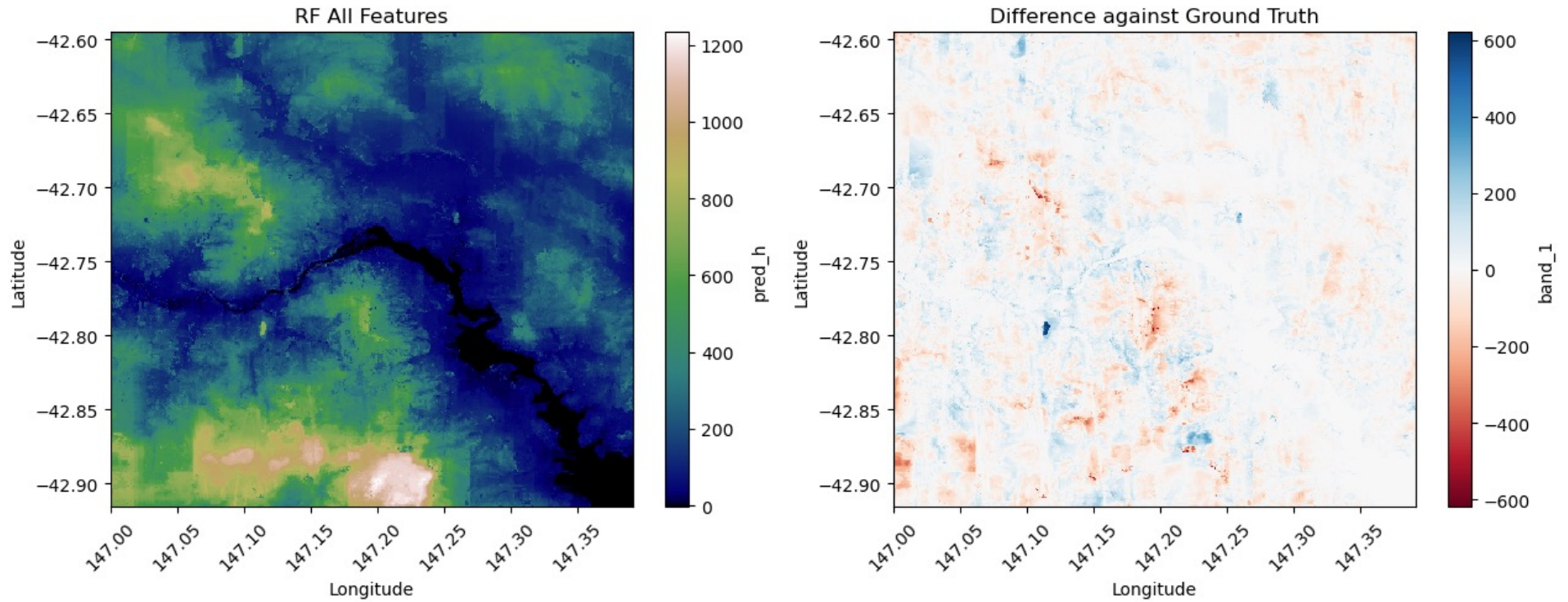


Residual Plot for Random Forest Regression

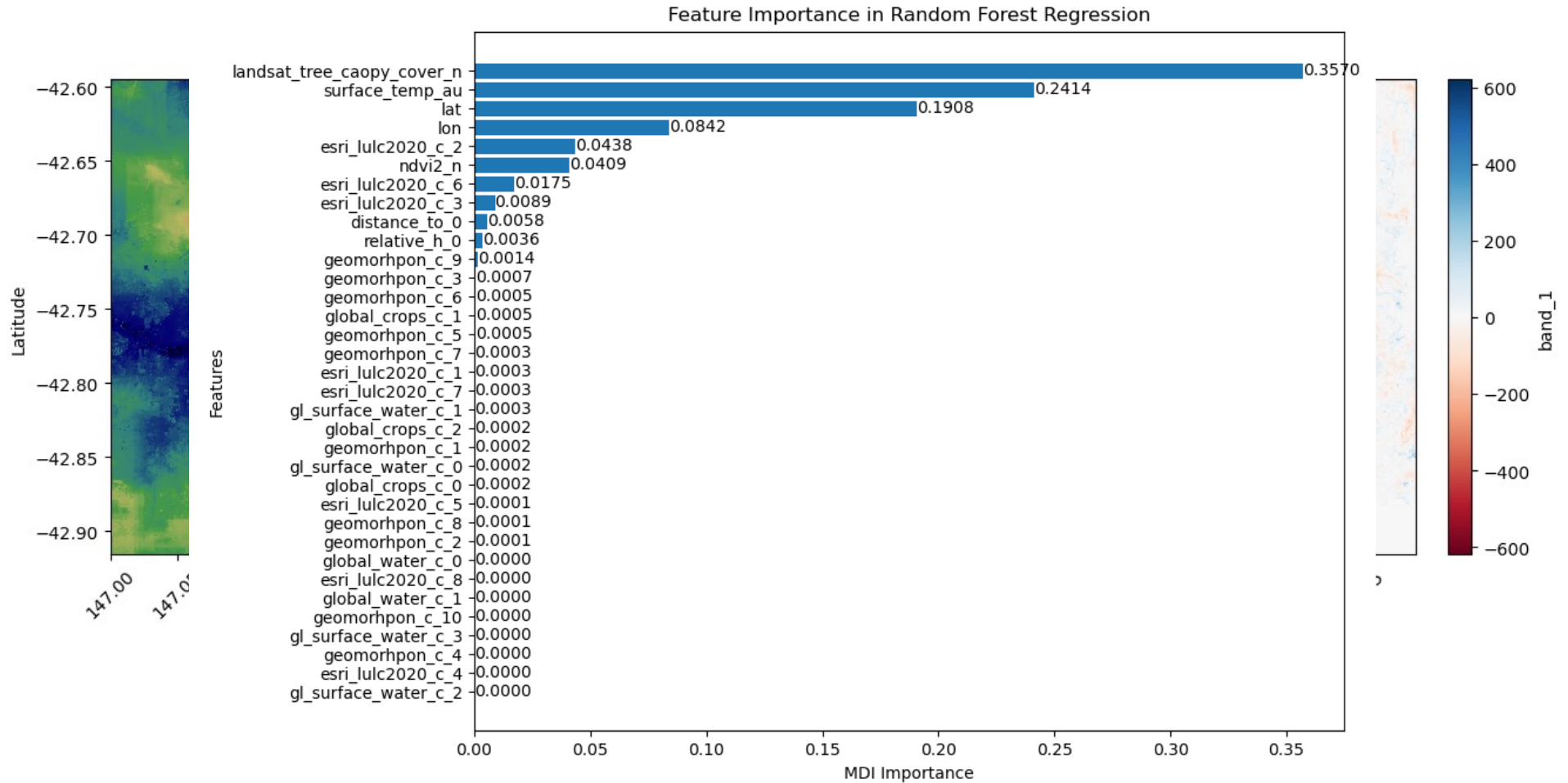


| Interp'n Method | Min Diff | Max Diff | RMSE | MAE |
|---|-----------------|----------------|---------------|---------------|
| Laplace | -281.367 | 169.54 | 38.543 | 24.108 |
| RF (Geometric Features) | -342.562 | 358.449 | 43.356 | 24.398 |
| RF (All Features) | -345.907 | 357.817 | 43.342 | 24.384 |
| RF (All Features, excl. Nearest Neighbour Height) | -597.919 | 620.033 | 55.711 | 34.986 |

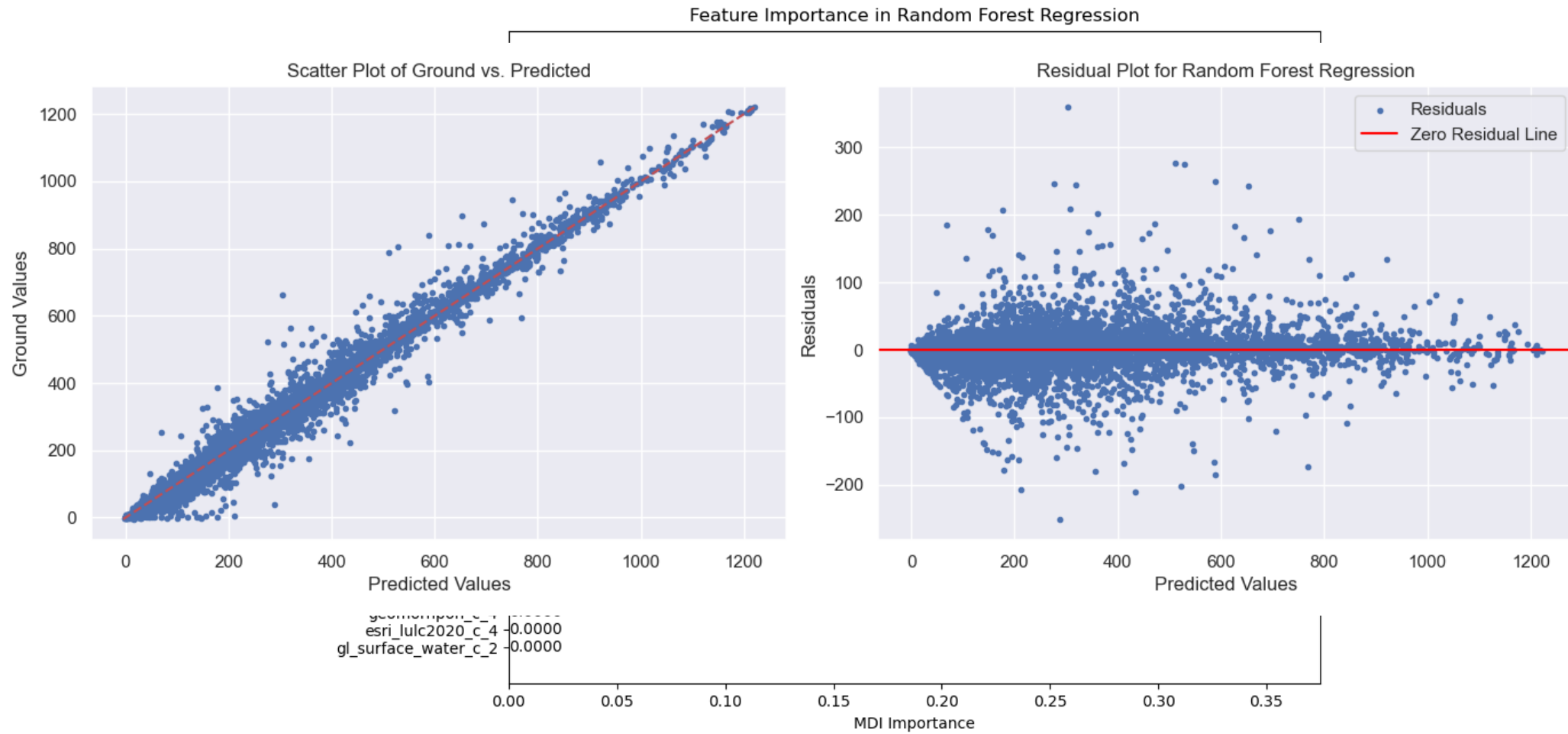
Tasmania: Combing Features



Tasmania: Combing Features

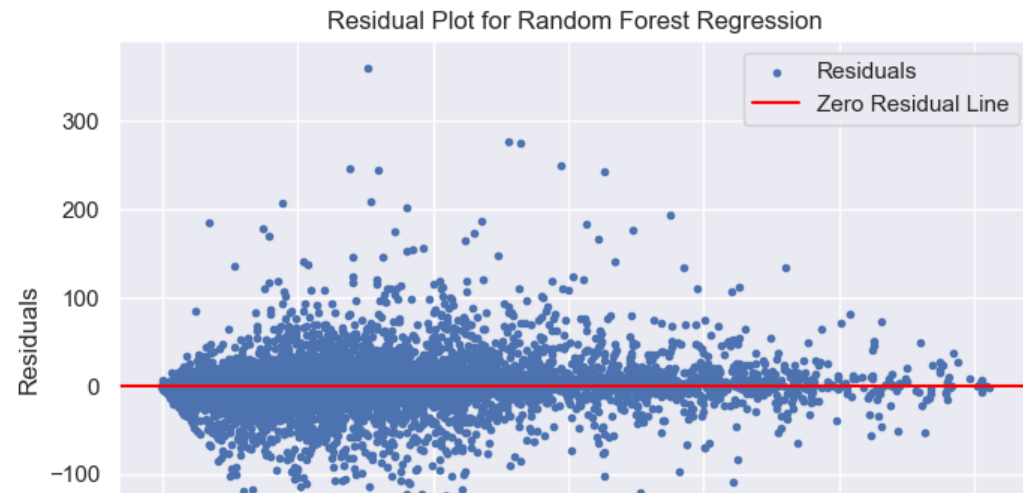
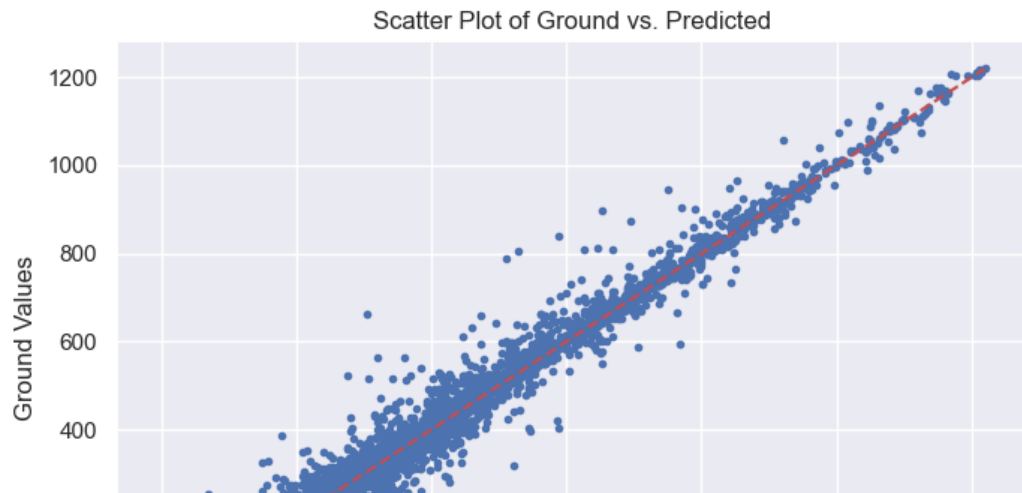


Tasmania: Combing Features



Tasmania: Combing Features

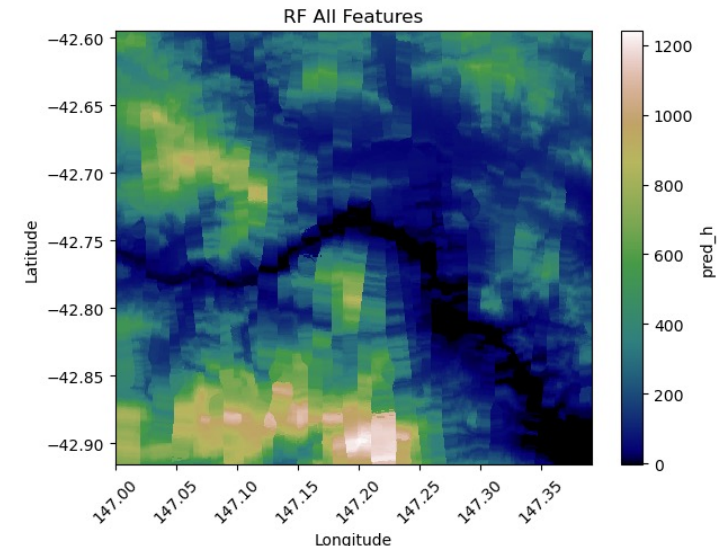
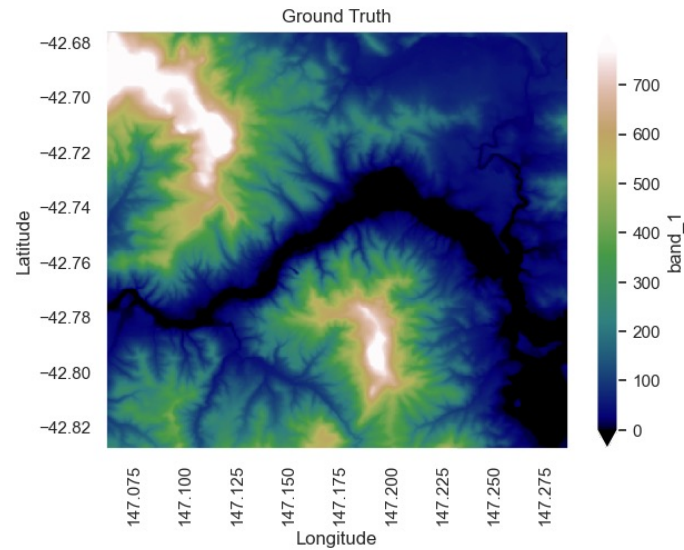
Feature Importance in Random Forest Regression



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|---|----------|----------|--------|--------|
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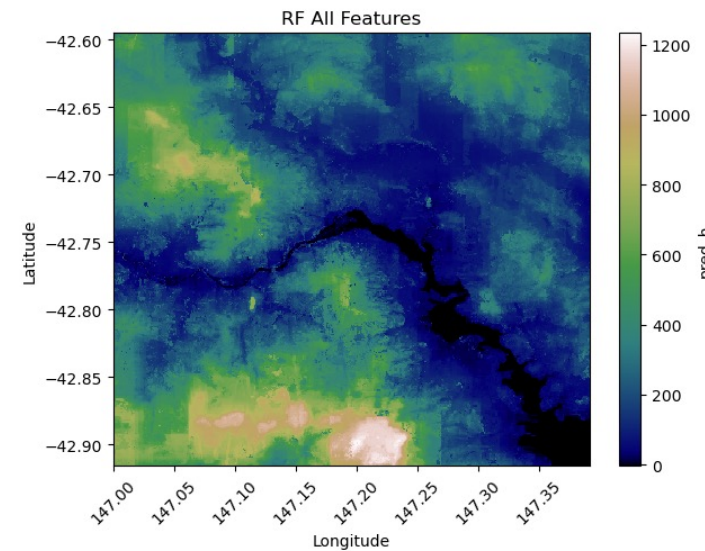
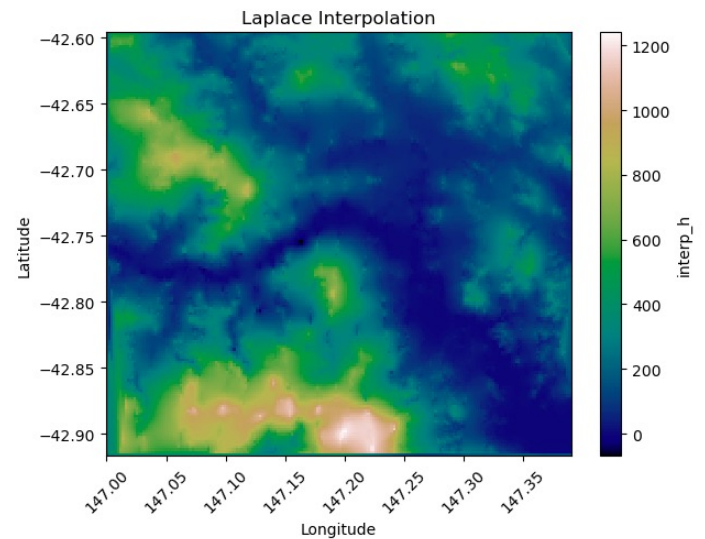
Comparing DTMs

Ground Truth



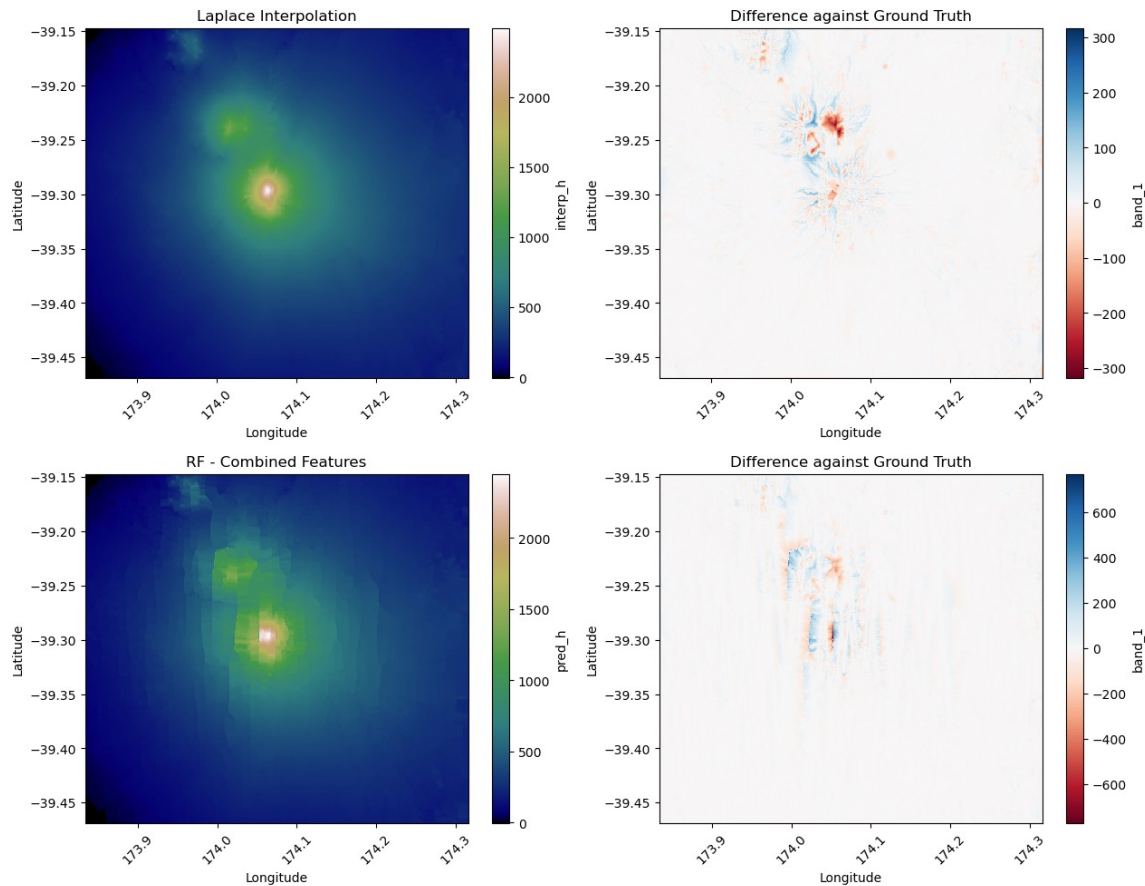
RF (All Features)

Laplace Interp'n



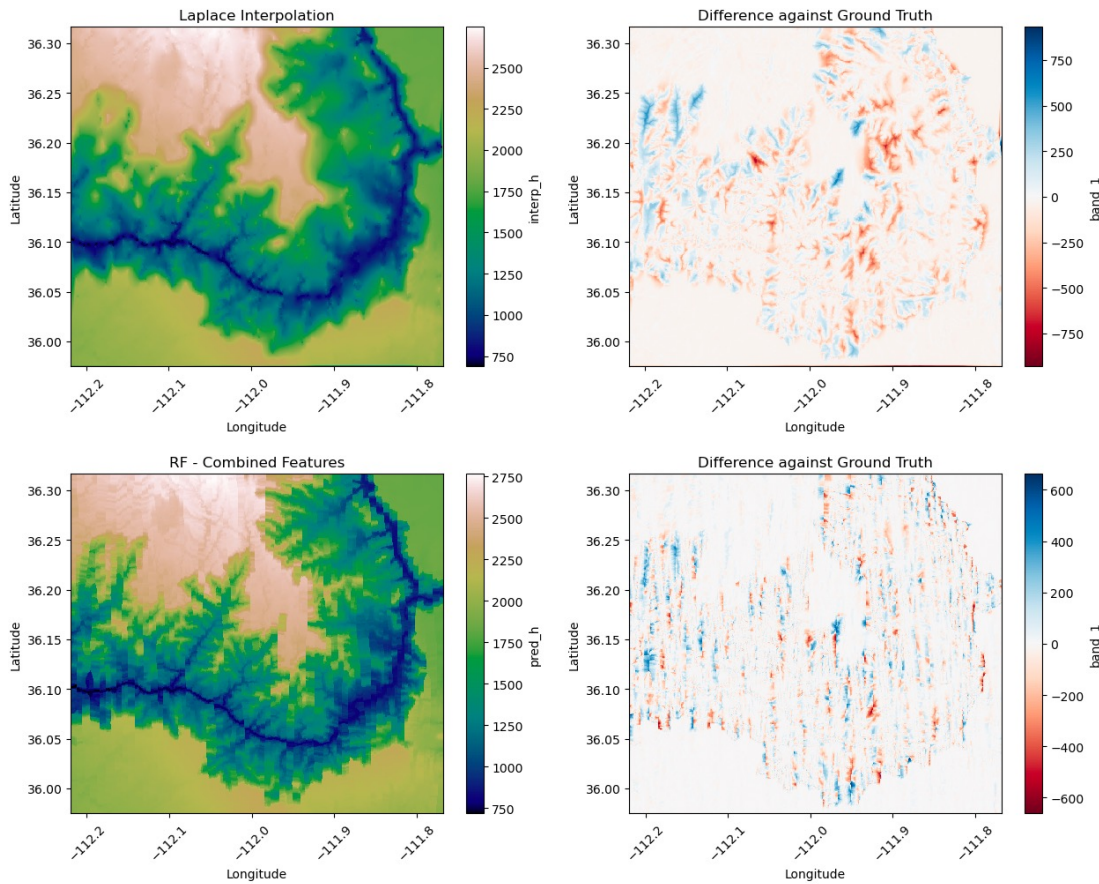
**RF (All Features
excl. Nearest
Neighbour Height)**

Geographical Locations



| Mt Taranaki | Min Diff | Max Diff | RMSE | MAE |
|-------------------|----------|----------|--------|--------|
| Laplace | -317.31 | 216.004 | 16.11 | 6.451 |
| RF (All Features) | -770.826 | 526.337 | 28.424 | 11.092 |

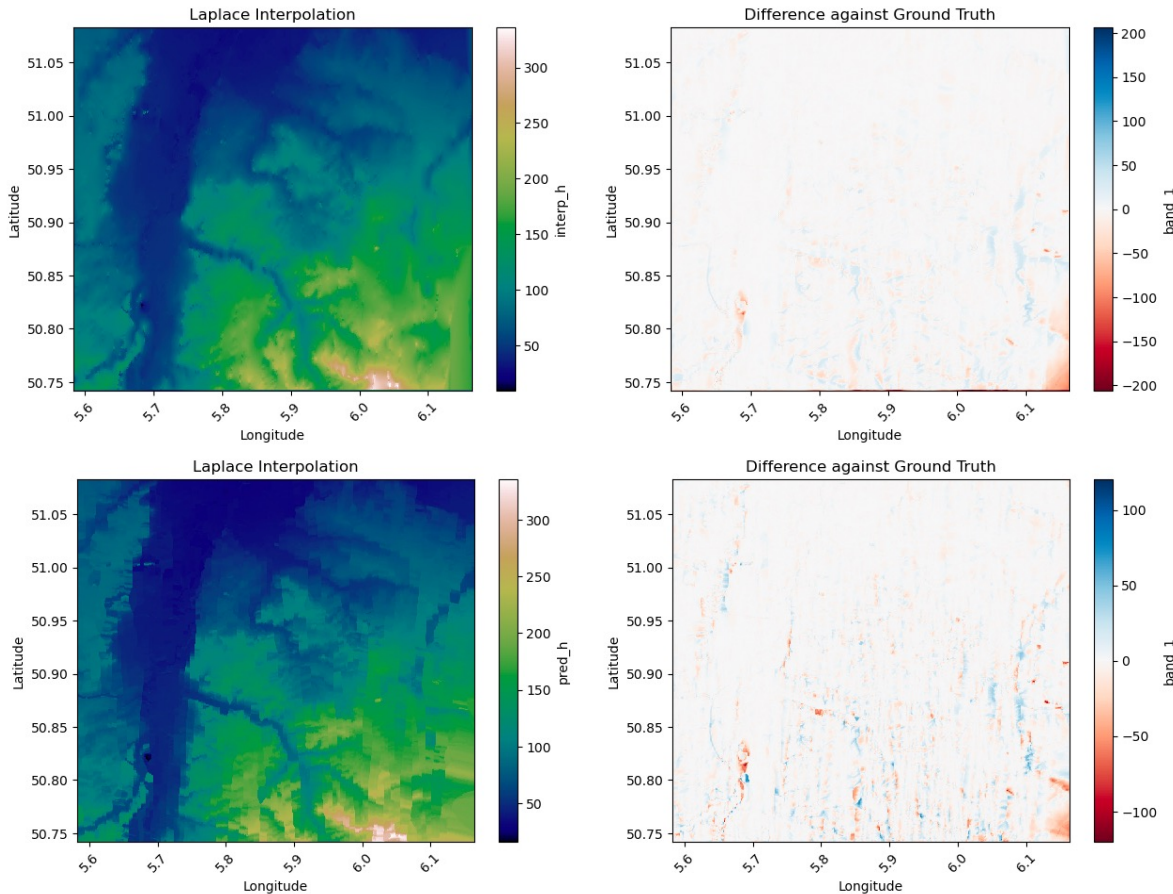
Geographical Locations



| Mt Taranaki | Min Diff | Max Diff | RMSE | MAE |
|-------------------|----------|----------|--------|--------|
| Laplace | -317.31 | 216.004 | 16.11 | 6.451 |
| RF (All Features) | -770.826 | 526.337 | 28.424 | 11.092 |

| Grand Canyon | Min Diff | Max Diff | RMSE | MAE |
|-------------------|----------|----------|---------|--------|
| Laplace | -792.423 | 931.947 | 109.017 | 69.526 |
| RF (All Features) | -653.547 | 661.584 | 76.177 | 39.448 |

Application on Geographical Locations

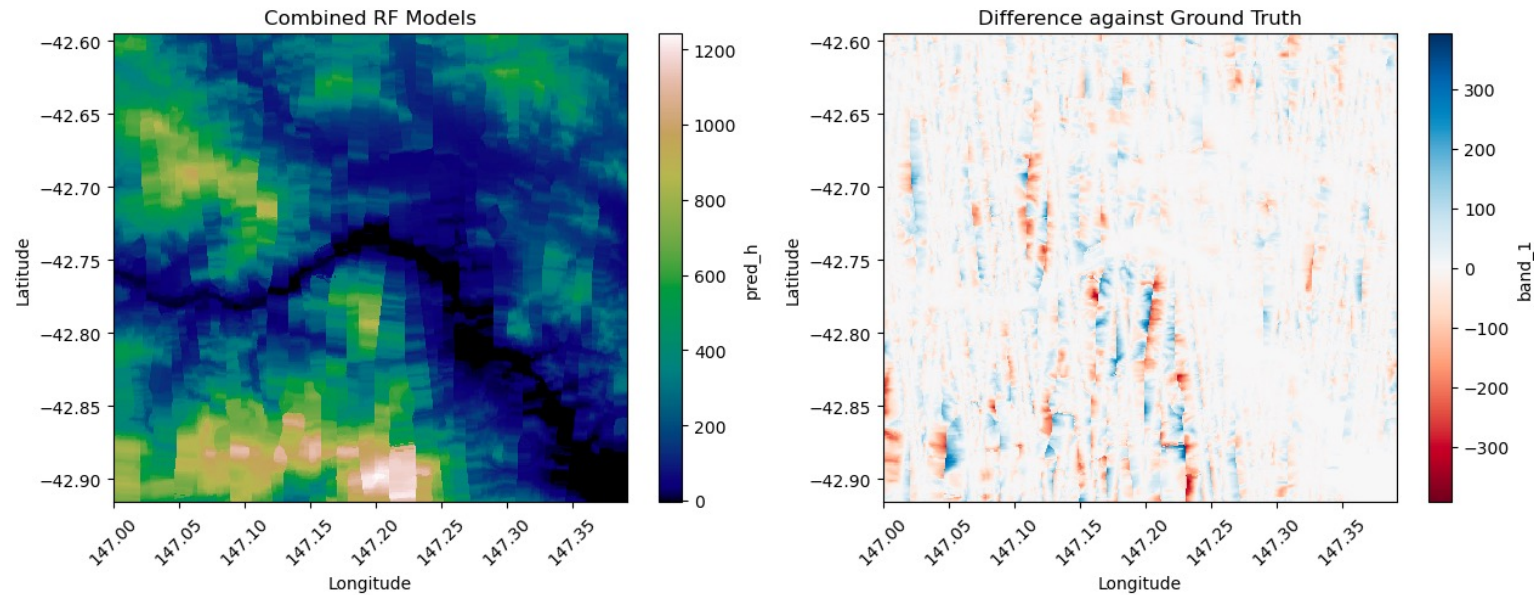


| Mt Taranaki | Min Diff | Max Diff | RMSE | MAE |
|-------------------|----------|----------|---------------|--------|
| Laplace | -317.31 | 216.004 | 16.11 | 6.451 |
| RF (All Features) | -770.826 | 526.337 | 28.424 | 11.092 |

| Grand Canyon | Min Diff | Max Diff | RMSE | MAE |
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| Laplace | -792.423 | 931.947 | 109.017 | 69.526 |
| RF (All Features) | -653.547 | 661.584 | 76.177 | 39.448 |

| South Limburg | Min Diff | Max Diff | RMSE | MAE |
|-------------------|----------|----------|-------|-------|
| Laplace | -206.447 | 65.012 | 9.544 | 4.235 |
| RF (All Features) | -120.75 | 86.09 | 7.867 | 3.867 |

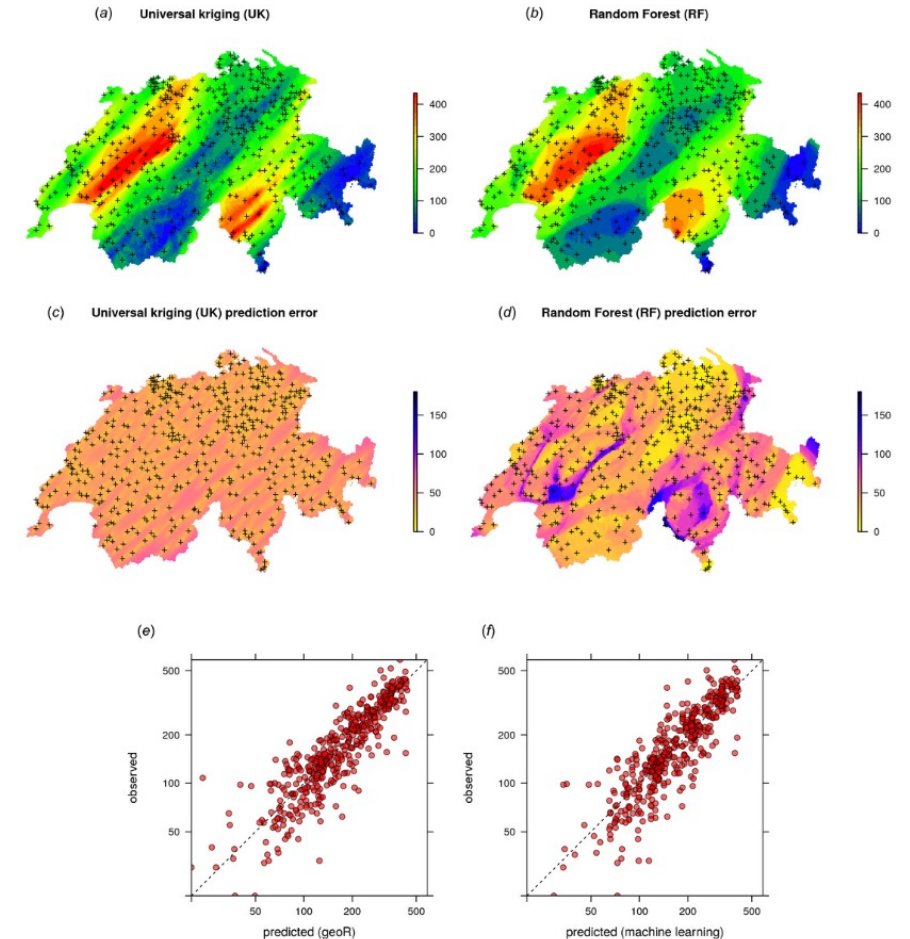
Combined Geographical Locations



| Interp'n Method | Min Diff | Max Diff | RMSE | MAE |
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| Laplace | -281.367 | 169.54 | 38.543 | 24.108 |
| RF (Geometric Features) | -342.562 | 358.449 | 43.356 | 24.398 |
| RF (All Features) | -345.907 | 357.817 | 43.342 | 24.384 |
| RF (Combined Models) | -341.878 | 392.526 | 43.759 | 24.606 |

Conclusion

- **Nearest neighbour height** (importance=0.95) has the most influence/impact on the RF results
- **RF regression** does not always yield better results than Laplace interpolation, depending on terrain. In Taranaki, RF performed worse than Laplace interpolation
- **Hengl et. al. (2018)** - more data crunching algorithms as training dataset does not necessary lead to drastic improvement in accuracy
- **Is it worthwhile to use RF instead of Laplace?** Considering the computation time, and amount of data required to process, it might not be worth





Thank you!