

Exergoeconomic and environmental analyses of CO₂/NH₃ cascade refrigeration systems equipped with different types of flash tank intercoolers

Mosaffa, A. H.; Farshi, L. Garousi; Infante Ferreira, C. A.; Rosen, M. A.

10.1016/j.enconman.2016.03.053

Publication date

Document Version Accepted author manuscript

Published in

Energy Conversion and Management

Citation (APA)
Mosaffa, A. H., Farshi, L. G., Infante Ferreira, C. A., & Rosen, M. A. (2016). Exergoeconomic and environmental analyses of CO₂/NH₂ cascade refrigeration systems equipped with different types of flash tank intercoolers. *Energy Conversion and Management*, 117, 442-453. https://doi.org/10.1016/j.enconman.2016.03.053

To cite this publication, please use the final published version (if applicable). Please check the document version above.

Copyright

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.

Research Highlights

- CO₂/NH₃ cascade refrigeration cycles with flash intercoolers are investigated.
- Exergoeconomic factors of components are determined to assess their relative significances.
- An environmental analysis is applied to determine the penalty cost of GHG emission.
- The effects of operating parameters on COP, exergy efficiency and total cost rate are investigated.
- An optimization is applied based on the maximum COP and the minimum total cost rate.

Exergoeconomic and environmental analyses of CO₂/NH₃ cascade refrigeration systems equipped with different types of flash tank

3 intercoolers

A.H. Mosaffa^{1*}, L. Garousi Farshi², C.A. Infante Ferreira³, M.A. Rosen⁴

1 Department of Mechanical Engineering, Azarbaijan Shahid Madani University, Tabriz, Iran

2 Faculty of Mechanical Engineering, University of Tabriz, Iran

3 Delft University of Technology, Department Process & Energy, Delft, 2628 CB, Netherlands

4 Faculty of Engineering and Applied Science, University of Ontario Institute of Technology, Oshawa,

ON, L1H 7K4, Canada

* Corresponding author. Tel.: +98 412 4327566, E-mail addresses: mosaffa@azaruniv.ac.ir

Abstract:

Exergoeconomic and environmental analyses are presented for two CO₂/NH₃ cascade refrigeration systems equipped with 1) two flash tanks, and 2) a flash tank along with a flash intercooler with indirect subcooler. A comparative study is performed for the proposed systems, and optimal values of operating parameters of the system are determined that maximize the coefficient of performance (COP) and exergy efficiency and minimize the total annual cost. The operating parameters considered include condensing temperatures of NH₃ in the condenser and CO₂ in the cascade heat exchanger, the evaporating temperature of CO₂ in the evaporator, the temperature difference in the cascade heat exchanger, the intermediate pressure of the flash tank in the CO₂ low-temperature circuit, the mass flow rate ratio in the flash intercooler and the degree of superheating of the CO₂ at the evaporator outlet. The total annual cost includes the capital, operating and maintenance costs and the penalty cost of GHG emission. The results show that, the total annual cost rate for system 1 is 11.2% and

- 24 11.9% lower than that for system 2 referring to thermodynamic and economic optimizations,
- 25 respectively. For thermodynamic and cost optimal design condition the COP and exergy
- 26 efficiency of both systems are almost the same. Finally, in order to obtain the best balance
- between exergy destruction cost and capital cost, the exergoeconomic factor is defined for
- 28 each component of proposed systems, for cases in which the system operates at the best
- 29 performance conditions.
- 30 **Keywords:** Cascade refrigeration system; CO₂/NH₃; Exergoeconomic analysis;
- 31 Environmental analysis; Optimization; Flash tank.

32 **Nomenclature**

A	area (m ²)
c	unit cost of exergy (\$ kJ ⁻¹)
Ċ	cost rate (\$ s ⁻¹)
CO ₂ e	carbon dioxide equivalent
СОР	coefficient of performance
CRF	capital recovery factor
E	electrical energy consumption (kWh)
Ėx	exergy rate (kW)
f	exergoeconomic factor
F	correction factor
FT	flash tank
FIS	flash intercooler with indirect subcooler
GHG	greenhouse gas
GWP	global warming potential
h	specific enthalpy (kJ kg ⁻¹)

HTC	high-temperature compressor
i	annual interest rate
LTC	low-temperature compressor
m	mass flow rate (kg s^{-1})
m	mass (kg)
n	system life time (year)
N	operational hours in a year (h)
ODP	ozone depletion potential
P	pressure (kPa)
PR	pressure ratio
\dot{Q}	heat rate (kW)
r	mass flow rate ratio
S	specific entropy $(kJ kg^{-1} K^{-1})$
T	temperature (°C or K)
TV	throttling valve
$\Delta T_{ m lm}$	logarithmic mean temperature difference (K)
$U_{ m o}$	overall heat transfer coefficient (W m ⁻² K ⁻¹)
\dot{V}	volumetric flow rate (m ³ s ⁻¹)
\dot{W}	electrical power (kW)
\dot{Z}	capital cost rate (\$ s ⁻¹)
Z	capital cost (\$)
Greek symbols	
$lpha_{ ext{ iny el}}$	unit electricity cost (\$ kWh ⁻¹)
ϕ	maintenance factor

energy efficiency η emission conversion factor (kg kWh⁻¹) $\mu_{_{\mathrm{CO}_2\mathrm{e}}}$ exergy efficiency Subscripts 0 ambient cooled air ca CAS cascade heat exchanger CD condenser CM compressor D destruction e exit env environment electricity el EV evaporator F fuel i inlet intermediate int k kth component mechanical OP operation P product isentropic S sup superheating thermal t

1. Introduction

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

The use of CO₂ as a working fluid in refrigeration cycles has expanded notably in recent years, because it has low global warming potential (GWP) and no ozone depletion potential (ODP). It is also non-flammable, inexpensive and abundant in nature. Moreover, CO₂ (R744) has advantages in use as a refrigerant in low temperature applications such as storage of frozen food and rapid freezing systems. Despite of these advantages of CO2 as a working fluid in refrigeration cycles, using carbon dioxide as the working fluid in a single stage refrigeration cycle is normally not economical due to the high pressure difference between evaporator and condenser. In single stage refrigeration systems using CO2 as a refrigerant, a high pressure ratio and condensation close to the critical conditions lead to a low coefficient of performance (COP) in comparison with the refrigeration cycles working with HFC refrigerants [1]. Two-stage compression systems and cascade refrigeration cycles can be used for these applications to overcome the aforementioned problem [2–7]. A cascade refrigeration cycle involves two refrigeration circuits which are thermally coupled through an internal cascade heat exchanger. The internal cascade heat exchanger plays the role of condenser for the low temperature circuit and evaporator for the high temperature circuit. The CO₂/NH₃ cascade refrigeration cycle uses two natural refrigerants, NH3 (R717) in the high temperature circuit and CO₂ in the low temperature circuit, and is a well-known system in refrigeration industry. Research on CO₂/NH₃ cascade refrigeration has been reported by several authors. Lee et al. [8] thermodynamically assessed a CO₂/NH₃ cascade refrigeration to determine the optimal condensing temperature of the cascade heat exchanger to maximize the COP and minimize the exergy destruction of the system. Getu and Bansal [9] thermodynamically analyzed a CO₂/NH₃ cascade refrigeration system and optimized several cycle operating parameters: condensing, evaporating, subcooling and superheating temperatures and temperature

difference in the cascade heat exchanger. They showed that an increase in subcooling before expansion to the evaporator increased the COP of the system while an increase in superheating and condensing temperature decreased the COP. Dopazo et al. [10] analyzed a CO₂/NH₃ cascade refrigeration system and identified the optimum CO₂ condensing temperature based on energy and exergy points of view. Bingming et al. [11] experimentally investigated the effects of operation parameters on the performance of a CO₂/NH₃ cascade refrigeration system, and showed that the system COP is greatly affected by evaporating and condensing temperatures and temperature difference in cascade heat exchanger while it is only slightly sensitive to the degree of superheating. Dopazo and Fernandez-Seara [12] experimentally evaluated a CO₂/NH₃ cascade refrigeration system for an industrial freezer with a -50 °C evaporating temperature. They also investigated the influence of the operating parameters on system performance and compared the results with those for common NH₃ two stage refrigeration systems under the same operating conditions. They concluded that the COP of the cascade system is similar to the COP of an ammonia double stage with intercooler and about 20% higher when an economizer is applied. Ma et al. [13] thermodynamically analyzed a CO₂/NH₃ cascade refrigeration system using a falling film evaporator-condenser as the cascade heat exchanger, and showed that the use of such a heat exchanger improved the system COP by providing a smaller temperature difference. After a technical feasibility study, the thermodynamic analysis must be completed with considerations about the costs of systems incorporated. Therefore, an economic analysis should also be considered for analyzing a refrigeration plant. Mitishita et al. [14] developed an optimization methodology to reduce power consumption and costs for frost-free refrigerators. This methodology was used to determine the compressor size and efficiency, the number of condenser and evaporator fins and the evaporator air flow rate in order to minimize energy consumption. Various studies based on exergy and thermoeconomic

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

concepts in relation to heat pumps [15–17] and refrigeration systems have been previously published. Rezayan and Behbahaninia [18] presented a thermoeconomic optimization for a simple CO₂/NH₃ cascade refrigeration system without considering environmental analysis. They investigated the influence of design parameters on total annual cost of the system when ambient temperature, cooling capacity and cold space temperature are constraints. Exergoeconomic analysis plays a key role in determining the optimal performance of a thermodynamic system. By combining exergy analysis and economic principles in a costeffective method, exergoeconomic analysis can be used to identify the optimum system design via exergy-aided cost minimization. Moreover, due to the consumption of fossil fuels to generate electricity, an environmental analysis that determines the amount of greenhouse gas (GHG) emission is important for analyzing and optimizing such thermodynamic systems. In the present study, exergoeconomic and environmental analyses are applied to the different multistage CO₂/NH₃ cascade refrigeration systems. Ammonia is the preferred refrigerant. However, since ammonia is toxic, it is common practice to use carbon dioxide to distribute refrigeration at low temperatures while the high temperatures are served by ammonia in a restricted area. In this study two multistage CO₂/NH₃ cascade refrigeration systems equipped with 1) two flash tanks, 2) a flash tank along with flash intercooler with indirect subcooler are proposed. Typically, exergoeconomic and environmental analyses of such systems have not been reported, but are needed to provide a more comprehensive view. Furthermore, the effects on performance and total annual cost for each system are investigated for operational parameters such as evaporator, condenser and cascade heat exchanger outlet temperatures, pressures of the flash tank (FT) or flash intercooler with indirect subcooler (FIS) of the lowtemperature circuit, mass flow rate ratio of the FIS and degree of superheating of CO₂ at the evaporator outlet. Also an optimization is performed based on maximum COP and exergy efficiency and the minimum total cost rate (including capital, operating and maintenance

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

costs as well as the penalty cost of GHG emission). The objective is to improve understanding of CO_2/NH_3 cascade refrigeration systems equipped with flash tanks with or without an indirect subcooler and the benefits that their use can provide.

2. System description

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

Fig. 1(a) provides a schematic of the CO₂/NH₃ cascade refrigeration cycle equipped with two flash tanks (system 1). The system consists of the two loops: a high-temperature cycle with NH₃ as the working fluid and a low-temperature cycle with CO₂ as the working fluid. Both loops are equipped with flash tanks while the one in the CO₂ loop has also an intercooler function. A flash intercooler cools the discharge vapor exiting the low-temperature compressor (LTC I) before it enters the LTC II. The vapor cooling is performed within the flash tank by vaporizing some liquid at the pressure maintained in the tank. In the hightemperature cycle, the saturated liquid NH₃ from the flash tank flows to the cascade condenser. At the same time, the superheated CO₂ vapor from the LTC II enters the cascade condenser. In the cascade heat exchanger, NH₃ evaporates to a saturated vapor while CO₂ condenses to a saturated liquid. Then, the NH₃ vapor from the cascade condenser enters the flash tank, from which saturated NH₃ vapor flows to the high-temperature compressor (HTC). In the low-temperature cycle, the saturated CO₂ liquid from the cascade condenser, after isenthalpic expansion in throttling valve (TV II), returns to the CO2 flash tank and partially vaporizes due to flashing and cooling of the superheated CO₂ vapor from LTC I. The residual CO₂ saturated liquid then flows to TV I. The condenser in the high-temperature cycle rejects the heat to the environment at inlet temperature $T_{\mathrm{env,i}}$ and the evaporator in the low-temperature cycle absorbs heat from the cold air at inlet temperature $T_{\rm cai}$. Fig. 1(b) shows the processes occurring in both the high- and low-temperature cycles on a T-s diagram.

Fig. 2(a) shows a schematic of the CO_2/NH_3 cascade refrigeration cycle equipped with a flash tank and a flash intercooler with an indirect subcooler (system 2). The CO_2 after the cascade heat exchanger is divided into two streams. One is throttled down to the intermediate pressure through TV II and flows into the FIS. Then the CO_2 flashes to a vapor, cools the residual stream of high pressure liquid, mixes and exchanges heat with the discharged high temperature CO_2 from LTC I. Then the resulting saturated vapor is drawn in to LTC II. The cooled high pressure liquid is expanded in the TV I and then fed to the evaporator. Fig. 2(b) shows the processes on a T-s diagram.

3. Thermodynamic, economic and environmental analyses

- For the thermodynamics and economics analyses of the proposed CO₂/NH₃ cascade refrigeration system it is assumed that pressure and heat losses in all system components and connections are negligible and that all components operate under steady-state conditions. It is also assumed that nuclear, electric, electromagnetic and surface tension effects are absent and that changes in kinetic and potential energy are negligible. Moreover, there is no subcooling at the outlet of the condenser and cascade heat exchanger.
- *3.1. Energy analysis*

Applying the first law of thermodynamics, a steady-state form of the energy rate balance for the *k*th component of system can be expressed as follows:

$$\dot{Q}_{k} + \sum_{i} \left(\dot{m}h \right)_{k} = \sum_{e} \left(\dot{m}h \right)_{k} + \dot{W}_{k} \tag{1}$$

- The cooling load of the system is equal to the heat transfer rate absorbed by the CO₂
- evaporator and is defined as:

$$\dot{Q}_{\text{EV}} = \dot{m}_{1} \left(h_{1} - h_{8} \right) \tag{2}$$

152 The electric power consumption of the compressor is obtained as:

$$\dot{W}_{\rm CM} = \frac{\dot{m}(h_{\rm es} - h_{\rm i})}{\eta_{\rm s} \eta_{\rm el} \eta_{\rm m}} = \frac{\dot{m}(h_{\rm es} - h_{\rm i})}{\eta_{\rm total}}$$
(3)

- where $\eta_{\rm s}$, $\eta_{\rm el}$ and $\eta_{\rm m}$ respectively are the isentropic, electrical and mechanical efficiencies
- of the compressor. The total isentropic efficiency of the considered compressors, η_{total} , is
- 155 defined as:
- 156 For the HTC (ammonia screw compressor) (J.S. Bahamonde, personal communication,
- 157 February 5, 2012):

$$\eta_{\text{total}} = \begin{cases}
0.0071PR^5 - 0.1264PR^4 + 0.9023PR^3 - 3.2277PR^2 \\
+ 5.7871PR - 3.3429
\end{cases}$$
for $PR < 4.3$

$$-0.0261PR + 0.9069$$
for $PR \ge 4.3$

- 158 For the LTC (carbon dioxide piston compressor) (L. Shi, personal communication, October
- 159 19, 2015):

$$\eta_{\text{total}} = \begin{cases}
-0.1234PR^4 + 1.1251PR^3 - 3.8902PR^2 + 6.0433PR - 2.8860 & \text{for } PR < 2.7 \\
-0.0237PR^4 + 0.3051PR^3 - 1.4740PR^2 + 3.1348PR - 1.7978 & \text{for } PR \ge 2.7
\end{cases}$$
(5)

- where PR is the pressure ratio of the compressor. Defining the mass flow rate ratio of the
- 161 flash intercooler as $r = \dot{m}_7 / \dot{m}_6$ in system 2, the energy balance equation for the flash
- intercooler can be written as follows:

$$h_6 + r(h_2 + h_5) = r(h_3 + h_7) + h_3$$
 (6)

- The power consumptions of the evaporator and condenser fans are approximated as follows
- 164 [19]:

$$\dot{W}_{\text{Fan I}} = 0.075 \left(\dot{Q}_{\text{EV}} \right) \tag{7}$$

$$\dot{W}_{\text{Fan II}} = 0.027 \left(\dot{Q}_{\text{EV}} + \sum_{j} \dot{W}_{\text{CM},j} \right)$$
 (8)

- where $\sum_{j} \dot{W}_{\text{CM},j}$ denotes the sum of the electrical power consumptions of the compressors.
- The total electrical power consumption of the system can be written as:

$$\dot{W}_{\text{total}} = \dot{W}_{\text{LPC I}} + \dot{W}_{\text{LPC II}} + \dot{W}_{\text{HPC}} + \dot{W}_{\text{Fan I}} + \dot{W}_{\text{Fan II}}$$

$$\tag{9}$$

167 The COP of the system is defined as:

$$COP = \frac{\dot{Q}_{EV}}{\dot{W}_{total}} \tag{10}$$

The total heat transfer area of the heat exchangers is calculated as follows:

$$A = \frac{\dot{Q}}{U_{o}F\Delta T_{lm}} \tag{11}$$

- where $U_{\rm o}$ and $\Delta T_{\rm lm}$ are the overall heat transfer coefficient based on external heat transfer
- area and the logarithmic mean temperature difference (LMTD) of the heat exchanger,
- respectively. A mathematical relationship to determine the LMTD correction factor, F, is
- given by Fettaka et al. [20]. For counter-flow heat exchangers and the evaporator, the
- 173 correction factor *F* has a value of 1 but for the condenser the value of F should be calculated.
- 174 3.2. Exergy analysis
- When the kinetic and potential energies are neglected, the physical exergy at point j in a
- 176 system can be expressed by:

$$\dot{E}x_{j} = \dot{m}_{j} \left[\left(h - h_{0} \right)_{j} - T_{0} \left(s - s_{0} \right)_{j} \right]$$
(12)

- where T_0 is the thermodynamic averaged temperature of the ambient environment defined as
- 178 follows [21]:

$$T_0 = \frac{\left(T_{\rm e} - T_{\rm i}\right)_{\rm env}}{\ln\left(T_{\rm e} / T_{\rm i}\right)_{\rm env}} \tag{13}$$

- Applying an exergy balance to the kth system component, the exergy destruction rate can be
- defined as follows:

$$\dot{E}x_{\rm D,k} = \dot{E}x_{\rm F,k} - \dot{E}x_{\rm P,k} \tag{14}$$

where the subscripts 'F' and 'P' indicate fuel (or driving input) and product (or desired output), respectively. The exergy efficiency can be expressed as the ratio of product exergy rate to fuel exergy rate:

$$\psi_{k} = \frac{\dot{E}x_{P,k}}{\dot{E}x_{F,k}} \tag{15}$$

- Estimations of fuel and product exergy rates for each component of these proposed systems are given in Table 1. For the exergy analysis of the throttling valve, it is necessary to split the physical exergy of the fluid flow into its mechanical and thermal parts [22].
- 187 The product exergy rate of the system is the exergy rate of heat transferred to the evaporator:

$$\dot{E}x_{\rm p} = \dot{E}x_{\rm ca.e} - \dot{E}x_{\rm ca.i} \tag{16}$$

the fuel exergy rate of the system is the total electrical power input:

$$\dot{E}x_{\rm F} = \dot{W}_{\rm total} \tag{17}$$

Accordingly, the exergy efficiency of the system can be expressed as:

$$\psi = \frac{\dot{E}x_{\text{ca,e}} - \dot{E}x_{\text{ca,i}}}{\dot{W}_{\text{total}}} = 1 - \frac{\dot{E}x_{\text{D,total}}}{\dot{W}_{\text{total}}}$$
(18)

- 190 *3.3. Economic analysis*
- 191 In the economic analysis, a cost rate balance can be expressed for the overall system as
- 192 follows:

$$\dot{C}_{\text{total}} = \dot{C}_{\text{env}} + \dot{Z}_{\text{OP}} + \sum_{k} \dot{Z}_{k} \tag{19}$$

- where $\dot{C}_{\rm env}$ is the rate of penalty cost of GHG emission for the kth component (see section
- 194 3.4). The operating cost of the system, $\dot{Z}_{\rm OP}$, including the cost of electricity consumption, can
- be defined as follows:

$$\dot{Z}_{\rm OP} = N \times \dot{W}_{\rm total} \times \alpha_{\rm el} \tag{20}$$

where N is the yearly number of operation hours of the system and $\alpha_{\rm el}$ is the unit electricity cost in $\$ kWh⁻¹. The rate of capital investment and maintenance costs of each system component can be estimated as follows [23]:

$$\dot{Z}_k = \frac{Z_k \times \phi}{N \times 3600} \text{CRF} \tag{21}$$

where Z_k is the capital cost of the kth component and ϕ is the maintenance factor. The capital recovery factor (CRF) is defined as [24]:

$$CRF = \frac{i(1+i)^n}{(1+i)^n - 1}$$
 (22)

where i and n are the annual interest rate and system life time, respectively.

Exergy destructions and capital costs are the real cost sources of a thermodynamic system. In an exergoeconomic evaluation, the exergoeconomic factor expresses the relative significance of a component and can be defined as follows [25]:

$$f_{k} = \frac{\dot{Z}_{k}}{\dot{Z}_{k} + c_{F,k} \, \dot{E} x_{D,k}} \tag{23}$$

where $c_{F,k}$ is the unit cost of fuel for the kth component and can be calculated by solving the exergy cost rate balance for the kth component, which can be expressed in a general form as [24]:

$$\sum_{c} \left(c \dot{E} x \right)_{k} = \sum_{i} \left(c \dot{E} x \right)_{k} + \dot{C}_{\text{env,k}} + \dot{Z}_{k} + \dot{Z}_{\text{OP,k}}$$
(24)

where c is the unit cost of exergy in each flow. In this study, external exergy losses are not considered and the thermodynamic inefficiencies of a component consist exclusively of exergy destruction [26]. A low value of f_k calculated for a major component suggests that cost savings in the entire system might be achieved by improving the component efficiency even if it increases the capital investment for the component. Conversely, a high value of f_k

- suggests a decrease in the investment costs of this component at the expense of its exergetic efficiency may be reasonable [24].
- 215 3.4. Environmental analysis
- The rate of penalty cost of GHG emission for the considered system can be determined based
- on the annual amount of GHG emission from the system, $m_{\text{CO}_{2}}$, as follows [27]

$$\dot{C}_{\rm env} = m_{\rm CO_2 e} c_{\rm CO_2} \tag{25}$$

218 where $c_{\rm CO_2}$ is the cost of ${\rm CO_2}$ avoided and $m_{{\rm CO_2e}}$ is obtained as:

$$m_{\text{CO}_{2}e} = \mu_{\text{CO}_{2}e} \times E_{\text{annual}} \tag{26}$$

- Here, $\mu_{\rm CO_2e}$ is the emission factor and $E_{\rm annual}$ is the annual electrical energy consumption of
- the system in kWh.
- 4. System specifications
- To determine the investment cost rate of each component, the maintenance factor (ϕ) is 1.06
- and the investment $\cos(Z_k)$ can be estimated based on the cost functions listed in Table 2.
- In calculating the CRF, the annual interest rate (i), the life time of the system (n) are
- considered as 14% and 15 years respectively. The average electricity cost is 0.09 \$ kWh⁻¹
- 226 (Iran's electricity tariff in 2015) and the annual operational hours of the system (N) are
- considered to be 4266 h [19]. The emission factor of electricity ($\mu_{\rm CO,e}$) is taken to be 0.968
- kg kWh⁻¹ (Iran's average) and the cost of CO₂ avoided (c_{CO_2}) is considered as 87 \$ ton⁻¹ of
- 229 CO₂e emissions for the natural gas combined cycle power plants with post-combustion
- capture technology [28]. Thermodynamic conditions of the system are listed in Table 3.

5. Results and discussion

The validation for a basic CO₂/NH₃ cascade refrigeration system is shown in Table 4. Good agreement is observed between the obtained results for performance parameters of the system and the corresponding results reported in References [18] and [29]. However, since Ref. [18] does not consider the cost of condenser and evaporator fans, the predicted value of the total cost rate for the present model is 1.3% higher than reported in Ref. [18]. Fig. 3 shows the variations of system COP and exergy efficiency with evaporating temperature of the CO₂ for the two proposed CO₂/NH₃ cascade refrigeration systems. In obtaining these results, other operating parameters are kept constant. Since the thermodynamic averaged temperature of the ambient environment and the cooled space are fixed, the trend of COP variation is the same as for that of the exergy efficiency. Due to the minimum allowable temperature difference of 5 K in the flash intercooler with an indirect subcooler, the mass flow rate ratio, r, should be greater than 3.2. As expected, an increase in evaporating temperature decreases the pressure ratio of LTC I and the total electrical power consumption. Therefore, the system COP and exergy efficiency both increase. Moreover, in system 2, an increase of r leads to a rise in mass flow rate through LTC I, which increases the compression work and causes the COP and exergy efficiency to decrease. Under the given conditions for system 1, a 10 K increase in $T_{\rm EV}$ from -45 °C to -35 °C leads to increases of 16.9% in both COP and exergy efficiency. At the same condition for system 2, a 10 K increase in $T_{\rm EV}$ leads to a maximum increase of 20.8% in both COP and exergy efficiency when r = 3.6. Also, it can be seen from Fig. 3 that, due to the lower electrical power consumption, the COP and exergy efficiency of system 1 are greater than for system 2, under the same operating conditions. The effect of varying the evaporating temperature of the CO₂ on the ratio of the penalty cost of GHG emission and the total annual cost rate is shown in Fig. 4. By increasing the CO₂ evaporating temperature, the electrical power consumption of LTC I decreases and leads to a

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

reduction in the $\dot{C}_{\rm env}$. Also, by increasing the CO₂ evaporating temperature, the heat transfer surface area of the evaporator increases and leads to an increase in the system capital and total costs above a certain value of $T_{\rm EV}$. It is also observed that, under the same operating conditions, the total cost rate of system 2 exceeds that of system 1, as a result of the higher capital cost of FIS. For instance, using FT in the CO2 circuit leads to a decrease of up to 14.3% in the total cost rate in comparison with system 2 at $T_{\rm EV} = -45~{\rm ^{\circ}C}$. Fig. 5 shows the variation of the COP and exergy efficiency of the system with condensing temperature of the NH₃ for the proposed CO₂/NH₃ refrigeration systems. These results are obtained with the other operating parameters kept constant. An increase in condensing temperature is seen to increase the pressure ratio of the HTC and the total electrical power consumption and leads to decreases in the system COP and exergy efficiency. For system 1, a 10 K increase in $T_{\rm CD}$ leads to a 13.4% decrease in both COP and exergy efficiency. At the same condition for system 2, a 10 K increase in $T_{\rm CD}$ leads to maximum decrease of 13.3% in both COP and exergy efficiency when r = 3.2. Moreover, using a FIS with r = 3.2 in the system leads to decreases of up to 1.2% for both system COP and exergy efficiency relative to system 1, at a condensing temperature 35 °C. Fig. 6 shows the effect of varying the condensing temperature of NH₃ on the ratio cost rate due to GHG emission and the total annual cost rate. By increasing the NH₃ condensing temperature, the electrical power consumption of the HTC increases and leads to an increment in $\dot{C}_{\scriptscriptstyle{\mathrm{env}}}$. It can be seen that variations of the ratio of penalty cost of GHG emission to r is negligible for system 2, due to the small effect of r on the performance of the NH_3 circuit. Also, by increasing the NH₃ condensing temperature, the total costs of the HTC increase due to the increased electrical power consumption, leading to an increase of the total cost rate of the system. In systems 1 and 2, a 10 K increase in $\it T_{\rm CD}$ leads to 4.8% and 4.2%

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

277

278

279

281 increases respectively in total cost rate. Furthermore, using an open intercooler in the CO₂ 282 circuit leads to decreases of almost 13% for the total annual cost rate in comparison to system 283 2. Fig. 7 illustrates the variation of system COP and exergy efficiency with the cascade 284 temperature difference of the systems, $\Delta T_{\text{CAS}} (= T_5 - T_{13})$. An increase in cascade temperature 285 286 difference raises the pressure ratio of the HTC and the total electrical power consumption, while the condensing temperatures of CO₂ in the cascade heat exchanger and NH₃ in 287 condenser are kept constant. Therefore, system COP and exergy efficiency both decrease. 288 Under the given conditions, a 10 K increase in $\Delta T_{\rm CAS}$ from 2 K to 12 K leads to decreases of 289 290 14.6% and up to 14.5% for systems 1 and 2, respectively, in both COP and exergy efficiency. It can also be seen in Fig. 7 that using a flash intercooler with r = 3.2 in the system leads to 291 292 1.3% decreases in both COP and exergy efficiency when the cascade temperature difference 293 is set to 2 K. 294 The effect of varying the cascade heat exchanger temperature difference on the ratio of GHG 295 emission cost rate and total annual cost rate is shown in Fig. 8. Increasing the cascade heat 296 exchanger temperature difference is seen to raise the ratio GHG emission cost rate and total 297 cost rate, due to an increase of the capital and operating costs of the HTC which in turn is a result of the electrical power consumption increase. For system 1, a 10 K increase in $\Delta T_{\rm CAS}$ 298 leads up to 5.7% increase in $\dot{C}_{\rm total}$. For the system 2, a 10 K increase in $\Delta T_{\rm CAS}$ leads to an 299 increase of 5.4% in \dot{C}_{total} when r = 3.2. Also using a FT in the system leads to a decrease of 300 up to 13.2% in \dot{C}_{total} in comparison with system 2 at $\Delta T_{\text{CAS}} = 2 \text{ K}$. 301 Fig. 9 illustrates the variation of the COP and exergy efficiency of the system with 302 303 condensing temperature of the CO_2 in the cascade heat exchanger, T_5 , for the presented 304 systems. These results are obtained while other operating parameters are kept constant. Since

the minimum temperature difference in the flash intercooler with an indirect subcooler should be greater than 5 K, the lower limit of T_5 is considered as 0 °C. An increase in T_5 leads to an increase in pressure ratio of the LTC II and a decrease in pressure ratio of the HTC since $\Delta T_{\mathrm{CAS}},~T_{\mathrm{CD}}$ and P_{int} are held constant. As long as the reduction in HTC power is greater than the increase in LTC II power (T_5 is less than 2 °C), COP and exergy efficiency increase. When the increment in LTC II power is greater than the reduction in HTC power, COP and exergy efficiency decrease. The effect of varying the condensing temperature of the CO₂ in the cascade heat exchanger on the ratio of cost rate due to GHG emission and total annual cost rate is shown in Fig. 10. The results show that, when T_5 is less than 2 °C, due to the reduction in total electrical power consumption, the capital and operating costs of the system decline in both systems, while other operating parameters are kept constant. After that, due to the increment in total electrical power consumption, \dot{C}_{env} and \dot{C}_{total} increase. Fig. 10 (a) shows that the ratio of cost rate due to GHG emission decreases with increasing condensing temperature of the CO2 due to the decreased power consumption and GHG emission. Although the COP and exergy efficiency are less sensitive to the use of an FT instead of an FIS in the CO2 circuit, the total cost rate depends on this choice. System 1 leads to a 18.4% decrease in the total cost rate at $T_5=10$ °C in comparison to system 2. Fig. 11 shows the variation of the COP and exergy efficiency of the system with the intermediate pressure for the CO₂ low-temperature circuit. Due to the increase and decrease in the electrical power consumption of LTC I and LTC II respectively, which result from the variation of pressure ratio, an optimal P_{int} is seen to exist which leads to a maximum COP and exergy efficiency. The optimum value of $P_{\rm int}$ is sensitive to the evaporating and condensing temperatures of CO₂ in the low-temperature circuit. However, using system 1

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

instead of system 2 leads to an increase in the optimum value of $P_{\rm int}$ while $T_{\rm EV}$ and $T_{\rm 5}$ are 329 330 kept constant. The results also show that the mass flow rate ratio r has a negligible effect on 331 COP and exergy efficiency for system 2. The effect of the intermediate pressure for the CO₂ low-temperature circuit on the ratio of the 332 333 cost rate due to GHG emission and the total annual cost rate is shown in Fig. 12, where it is observed that, at the optimal P_{int} , the lowest penalty cost of GHG emission and total cost rate 334 335 are obtained. In this case, the total cost rate for system 1 is 13.3% less than that for system 2. Also, it can be seen that the ratio of cost rate due to GHG emission for system 2 is 2.9% less 336 than that for system 1 when both systems operate at the optimal $P_{\rm int}$. This indicates that the 337 338 investment, operating and maintenance costs of system 2 exceed those for system 1. Fig. 13 shows the variation of system COP and exergy efficiency with superheating degree of 339 CO₂ at evaporator outlet. A small decrease of mass flow rate and a large increase in specific 340 341 consumed work of LPC I lead to decreases in the COP and exergy efficiency. Under the given conditions, a 10 K increase in ΔT_{sup} from 0 to 10 K leads to decreases of 0.9% and up 342 to 2.3% for systems 1 and 2, respectively, in both COP and exergy efficiency. Furthermore, 343 344 system 1 leads to increases of up to 3.8% for both system COP and exergy efficiency in 345 comparison to system 2, at $\Delta T_{\text{sup}} = 10 \text{ K}$. 346 Fig. 14 illustrates the effect of varying degree of superheating of CO₂ at the evaporator outlet on the ratio of cost rate due to GHG emission and total annual cost rate. By increasing the 347 348 CO₂ superheating degree, the electrical power consumption of LTC I and the heat transfer 349 surface area of the evaporator increase, leading to a rise in both the penalty cost rate of GHG 350 emission and the system capital and total costs. 351 Fig. 15 displays the variation of the ratio of GHG emission cost rate and total annual cost rate with the cost of CO₂ avoided. The cost of CO₂ avoided varies significantly for different types 352

of power plants [28]. The results show that the penalty cost rate of GHG emission and total cost rate are sensitive to $c_{\rm CO_2}$. For system 1, increasing the cost of ${\rm CO_2}$ avoided from 30 \$ ton⁻¹ of CO₂e to 120 \$ ton⁻¹ of CO₂e (300%) leads to an increase of 29.7% and 25.5% in total cost rate for systems 1 and 2, respectively. In order to optimize the performances of systems 1 and 2, from the thermodynamic and economic viewpoints, the DIRECT algorithm in the EES software has been used. The values of operating parameters for the thermodynamic optimal design case and parameters affecting the total annual cost are for the cost optimal design case are summarized in Tables 5 and 6, respectively. The results of thermodynamic and economic optimizations show that the values of COP and exergy efficiency for the compared systems are almost the same. Yet, the total annual cost rate for system 1 is 11.2% and 11.9% lower than that for system 2 referring to thermodynamic and economic optimizations, respectively. Comparing the thermodynamic and cost optimal design conditions for system 1, an increase of 14.8% in COP and exergy efficiency is achieved at the expense of a 3.0% increment in the total annual cost rate, when the optimization is based on the maximum COP. This comparison for system 2 shows an increase of 11.6% in COP and exergy efficiency and 2.1% in the total annual cost rate. Fig. 16 shows the values of exergy destruction ratio for various components of the proposed cascade cycles at thermodynamically optimal design condition. As can be seen, the highest value of exergy destruction rate is attributable to Fan I in both cycles (44.9 kW). The high mass flow rate and a temperature of cooled air lower than the ambient temperature lead to a high entropy generation and so a high irreversibility for Fan I. After that, LTC II of both cycles has the highest value of exergy destruction rate (31.1 kW in system 1 and 26.8 kW in system 2), due to the compression process. After NH₃ flash tank, the lowest value of exergy destruction rate is associated with the CO₂ flash tank for system 1 (2.7 kW) and TV II for the system 2 (1.6 kW) due to negligible heat losses and a throttling process at low pressure.

353

354

355

356

357

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372

373

374

375

376

Fig. 17 displays the values of exergy destruction ratio for various components of cascade systems 1 and 2 at cost optimal design condition. After Fan I with its exergy destruction rate of 44.9 kW, the evaporator of both cycles has the highest value of exergy destruction rate (41.1 kW), due to the large temperature difference between the cooled air and CO₂. The results obtained from the exergoeconomic analysis of the CO₂/NH₃ cascade refrigeration, systems 1 and 2, for the thermodynamic and economic optimum conditions are presented in Tables 7 and 8, respectively. The results of both thermodynamic and economic optimizations show that, after the NH₃ flash tank in the compared cycles, the CO₂ flash tank has the highest value of exergy efficiency for system 1 (about 97%) and the FIS the highest value for system 2 (about 92%). The lowest exergy efficiencies are observed for the Fan II (1.67%) and condenser (about 3%) for both cycles. For thermodynamic and economic optimum conditions, the low value of f for the flash tank of system 1 (about 1.8%) and cascade heat exchanger in the system 2 (about 3%) indicate that the costs associated with these components are almost exclusively due to exergy destructions. The exergoeconomic factor of the NH₃ flash tank of 100% for both cycles and the relatively large value of f for the evaporator in system 1 suggests that the capital investment, operating and maintenance costs dominate.

6. Conclusions

378

379

380

381

382

383

384

385

386

387

388

389

390

391

392

393

394

395

396

397

398

399

400

401

402

Exergoeconomic and environmental analyses are successfully carried out for two different CO_2/NH_3 cascade refrigeration systems equipped with two flash tanks and a flash tank along with a flash intercooler with an indirect subcooler. To determine the maximum value of COP and exergy efficiency and the minimum cost rate due to GHG emission and total cost rate of the system, the following operating parameters are considered: condensing temperature of NH_3 in condenser and CO_2 in cascade heat exchanger, evaporating temperature of CO_2 in evaporator, temperature difference in the cascade heat exchanger, intermediate pressure in the

403 CO₂ low-temperature circuit and mass flow rate ratio of the FIS. From the energy, exergy, 404 economic and environmental analyses the following results are obtained and conclusions 405 drawn:

406

407

414

415

416

417

418

419

420

421

422

423

424

425

- By using the FIS in CO₂ low-temperature circuit instead of the FT, the performance of the CO₂/NH₃ cascade refrigeration system is decreased.
- For system 1, a 10 K increase in $T_{\rm EV}$ leads to increases of 16.9% in both COP and exergy efficiency. At the same conditions for system 2, a 10 K increase in $T_{\rm EV}$ leads to maximum increase of 20.8% in both COP and exergy efficiency when r = 3.6.

 Also, using a FIS in the system leads to an increase of 14.3% in the total cost rate.
- The minimum annual total cost rate is obtained at a CO_2 evaporating temperature of -41.5 °C and -40 °C, respectively for systems 1 and 2 when r = 3.2.
 - For system 1, a 10 K increase in $T_{\rm CD}$ leads to a 13.4% decrease in both COP and exergy efficiency. At the same condition for system 2, a 10 K increase in $T_{\rm CD}$ leads to maximum decrease of 13.3% in both COP and exergy efficiency when r=3.2. Also, in systems 1 and 2, a 10 K increase in $T_{\rm CD}$ leads to a 4.8% and 4.2% increase respectively in total cost rate.
 - The maximum COP and exergy efficiency are obtained at a CO₂ condensing temperature of 1.9 °C and 2.1 °C, respectively for systems 1 and 2 when r = 3.2.
 - The total annual cost rate for the system 1 is 11.2% and 11.9% lower than that for the system 2 referring to thermodynamic and economic optimizations, respectively.
 - The lowest value of the exergoeconomic factor is 1.73% for CO₂ flash tank in system 1 and 3.85% for cascade heat exchanger in system 2, demonstrating that the costs associated with CO₂ flash tank and cascade heat exchanger in systems 1 and 2 respectively are almost exclusively due to exergy destruction.

- The highest exergoeconomic factor is observed to be 100% for the NH₃ flash tank in
- both systems, suggesting that the capital investment, operating and maintenance costs
- of the FT in the high-temperature circuit dominate in such cases.
- The present study demonstrates the benefits and profitability of CO₂/NH₃ cascade
- refrigeration systems equipped with a flash tank and a flash intercooler, with and without an
- 432 indirect subcooler. However, a more detailed system design considering heat and pressure
- losses in all system components and using more accurate cost functions are suggested for
- further investigation.

References

- 436 [1] I. Dincer, M. Kanoglu, Refrigeration systems and applications, Wiley, 2010.
- 437 [2] H.J. Dakkama, A. Elsayed, R.K. Al-Dadah, S.M. Mahmoud, P. Youssef, Investigation
- of cascading adsorption refrigeration system with integrated evaporator-condenser heat
- exchanger using different working pairs, Energy Procedia. 75 (2015) 1496–1501.
- doi:10.1016/j.egypro.2015.07.285.
- 441 [3] A.M. Dubey, S. Kumar, G. Das Agrawal, Thermodynamic analysis of a transcritical
- 442 CO2/propylene (R744–R1270) cascade system for cooling and heating applications,
- 443 Energy Convers. Manag. 86 (2014) 774–783. doi:10.1016/j.enconman.2014.05.105.
- 444 [4] W. Han, L. Sun, D. Zheng, H. Jin, S. Ma, X. Jing, New hybrid absorption-
- compression refrigeration system based on cascade use of mid-temperature waste heat,
- 446 Appl. Energy. 106 (2013) 383–390. doi:10.1016/j.apenergy.2013.01.067.
- 447 [5] V. Jain, S.S. Kachhwaha, G. Sachdeva, Thermodynamic performance analysis of a
- vapor compression—absorption cascaded refrigeration system, Energy Convers. Manag.
- 449 75 (2013) 685–700. doi:10.1016/j.enconman.2013.08.024.
- 450 [6] A. Kilicarslan, M. Hosoz, Energy and irreversibility analysis of a cascade refrigeration
- 451 system for various refrigerant couples, Energy Convers. Manag. 51 (2010) 2947–2954.
- 452 doi:10.1016/j.enconman.2010.06.037.
- 453 [7] C.A. Infante Ferreira, R.A. Boukens, Carbon dioxide secondary coolant or
- refrigerant for cascade systems?, in: Proc. IIR Conf. Appl. Nat. Refrig., Aarhus,
- 455 Denmark, Denmark, 1996: pp. 185–194.
- 456 [8] T.S. Lee, C.H. Liu, T.W. Chen, Thermodynamic analysis of optimal condensing
- 457 temperature of cascade-condenser in CO₂/NH₃ cascade refrigeration systems, Int. J.
- 458 Refrig. 29 (2006) 1100–1108. doi:10.1016/j.ijrefrig.2006.03.003.
- 459 [9] H.M. Getu, P.K. Bansal, Thermodynamic analysis of an R744-R717 cascade
- refrigeration system, Int. J. Refrig. 31 (2008) 45–54.
- 461 doi:10.1016/j.ijrefrig.2007.06.014.
- 462 [10] J.A. Dopazo, J. Fernández-Seara, J. Sieres, F.J. Uhía, Theoretical analysis of a CO₂-
- NH₃ cascade refrigeration system for cooling applications at low temperatures, Appl.

- Therm. Eng. 29 (2009) 1577–1583. doi:10.1016/j.applthermaleng.2008.07.006.
- W. Bingming, W. Huagen, L. Jianfeng, X. Ziwen, Experimental investigation on the performance of NH₃/CO₂ cascade refrigeration system with twin-screw compressor, Int. J. Refrig. 32 (2009) 1358–1365. doi:10.1016/j.ijrefrig.2009.03.008.
- 468 [12] J.A. Dopazo, J. Fernández-Seara, Experimental evaluation of a cascade refrigeration system prototype with CO₂ and NH₃ for freezing process applications, Int. J. Refrig. 34 (2011) 257–267. doi:10.1016/j.ijrefrig.2010.07.010.
- 471 [13] M. Ma, J. Yu, X. Wang, Performance evaluation and optimal configuration analysis of a CO₂/NH₃ cascade refrigeration system with falling film evaporator–condenser, 473 Energy Convers. Manag. 79 (2014) 224–231. doi:10.1016/j.enconman.2013.12.021.
- 474 [14] R.S. Mitishita, E.M. Barreira, C.O.R. Negrão, C.J.L. Hermes, Thermoeconomic design and optimization of frost-free refrigerators, Appl. Therm. Eng. 50 (2013) 1376–1385. doi:10.1016/j.applthermaleng.2012.06.024.
- 477 [15] H. Esen, M. Inalli, M. Esen, Technoeconomic appraisal of a ground source heat pump 478 system for a heating season in eastern Turkey, Energy Convers. Manag. 47 (2006) 479 1281–1297. doi:10.1016/j.enconman.2005.06.024.
- 480 [16] H. Esen, M. Inalli, M. Esen, A techno-economic comparison of ground-coupled and 481 air-coupled heat pump system for space cooling, Build. Environ. 42 (2007) 1955– 482 1965. doi:10.1016/j.buildenv.2006.04.007.
- 483 [17] H. Esen, M. Inalli, M. Esen, K. Pihtili, Energy and exergy analysis of a ground-484 coupled heat pump system with two horizontal ground heat exchangers, Build. 485 Environ. 42 (2007) 3606–3615. doi:10.1016/j.buildenv.2006.10.014.
- 486 [18] O. Rezayan, A. Behbahaninia, Thermoeconomic optimization and exergy analysis of CO₂/NH₃ cascade refrigeration systems, Energy. 36 (2011) 888–895.
 488 doi:10.1016/j.energy.2010.12.022.
- H. Wijbenga, M. Van Der Hoff, M. Janssen, C.A. Infante Ferreira, Life cycle performance of refrigeration systems in the Dutch food and beverages sector, in: 4th IIR Conf. Thermophys. Prop. Transf. Process. Refrig., Delft, The Netherlands, The Netherlands, 2013.
- 493 [20] S. Fettaka, J. Thibault, Y. Gupta, Design of shell-and-tube heat exchangers using multiobjective optimization, Int. J. Heat Mass Transf. 60 (2013) 343–354. doi:10.1016/j.ijheatmasstransfer.2012.12.047.
- 496 [21] C.A. Infante Ferreira, Refrigeration and heat pumping in food processing plants, in: L.
 497 Stougie (Ed.), Energy Effic. Qual. Energy Food Process. Ind., Interduct: Delft
 498 University of Technology, Delft, The Netherlands, 2002: pp. 57–79.
- T. Morosuk, G. Tsatsaronis, Advanced exergetic evaluation of refrigeration machines using different working fluids, Energy. 34 (2009) 2248–2258. doi:10.1016/j.energy.2009.01.006.
- 502 [23] M. Navidbakhsh, A. Shirazi, S. Sanaye, Four E analysis and multi-objective 503 optimization of an ice storage system incorporating PCM as the partial cold storage for 504 air-conditioning applications, Appl. Therm. Eng. 58 (2013) 30–41. 505 doi:http://dx.doi.org/10.1016/j.applthermaleng.2013.04.002.
- 506 [24] A. Bejan, G. Tsatsaronis, M.J. Moran, Thermal design and optimization, Wiley, 1996.
- 507 [25] F. Cziesla, G. Tsatsaronis, Z. Gao, Avoidable thermodynamic inefficiencies and costs in an externally fired combined cycle power plant, Energy. 31 (2006) 1472–1489.

509 doi:10.1016/j.energy.2005.08.001.

- 510 [26] G. Tsatsaronis, M.-H. Park, On avoidable and unavoidable exergy destructions and investment costs in thermal systems, Energy Convers. Manag. 43 (2002) 1259–1270. doi:http://dx.doi.org/10.1016/S0196-8904(02)00012-2.
- 513 [27] J. Wang, Z. Zhai, Y. Jing, C. Zhang, Particle swarm optimization for redundant 514 building cooling heating and power system, Appl. Energy. 87 (2010) 3668–3679. 515 doi:http://dx.doi.org/10.1016/j.apenergy.2010.06.021.
- 516 [28] E.S. Rubin, J.E. Davison, H.J. Herzog, The cost of CO₂ capture and storage, Int. J. Greenh. Gas Control. 40 (2015) 378–400. doi:10.1016/j.ijggc.2015.05.018.
- 518 [29] M. Aminyavari, B. Naja, A. Shirazi, F. Rinaldi, Exergetic, economic and 519 environmental (3E) analyses, and multi- objective optimization of a CO₂/NH₃ cascade 520 refrigeration system, Appl. Therm. Eng. 65 (2014) 42–50. 521 doi:10.1016/j.applthermaleng.2013.12.075.
- 522 [30] G. Xu, F. Liang, Y. Yang, Y. Hu, K. Zhang, W. Liu, An improved CO₂ separation and purification system based on cryogenic separation and distillation theory, Energies. 7 (2014) 3484–3502. doi:10.3390/en7053484.
- 525 [31] S. Sanaye, A. Shirazi, Four E analysis and multi-objective optimization of an ice 526 thermal energy storage for air-conditioning applications, Int. J. Refrig. 36 (2012) 1–14. 527 doi:10.1016/j.ijrefrig.2012.10.014.
- 528 [32] A.H. Mosaffa, L. Garousi Farshi, Exergoeconomic and environmental analyses of an air conditioning system using thermal energy storage, Appl. Energy. 162 (2016) 515–526. doi:10.1016/j.apenergy.2015.10.122.

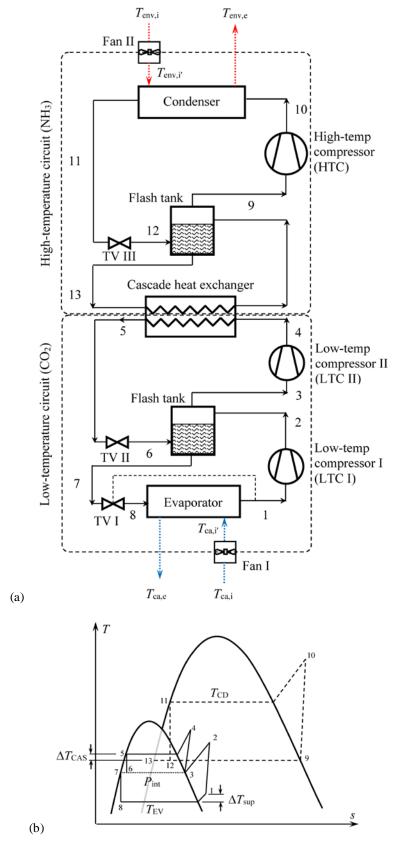


Fig. 1. (a) Schematic and (b) T-s diagram for the CO_2/NH_3 cascade refrigeration cycle equipped with two flash tanks (system 1).

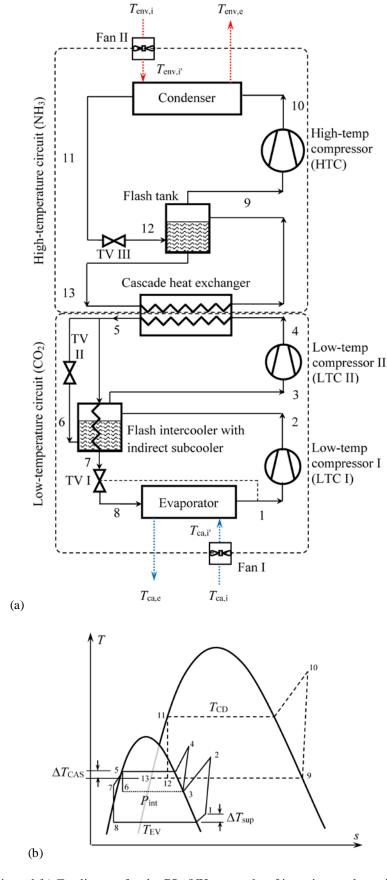


Fig. 2. (a) Schematic and (b) T-s diagram for the CO_2/NH_3 cascade refrigeration cycle equipped with a flash tank and a flash intercooler with an indirect subcooler (system 2).

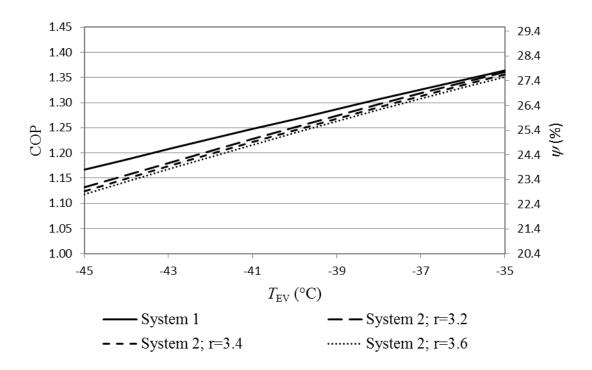


Fig. 3. Variation of systemCOP and exergy efficiency with CO₂ evaporating temperature.

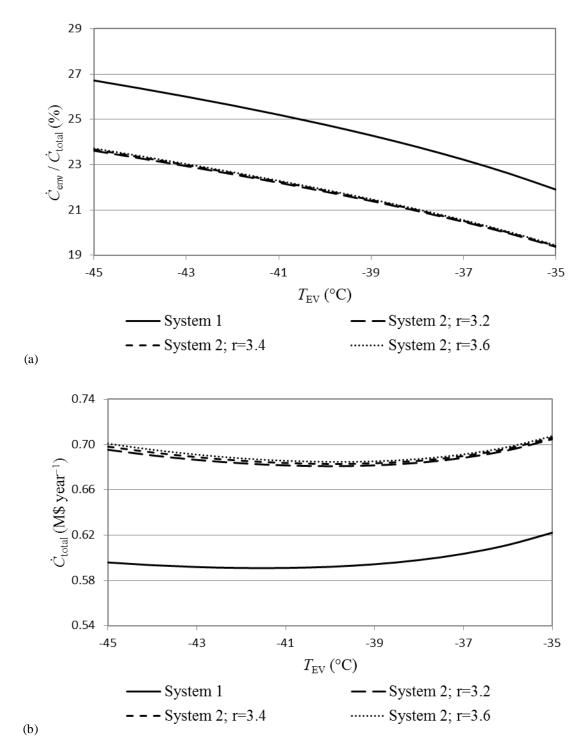


Fig. 4. Effect of CO₂ evaporating temperature on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

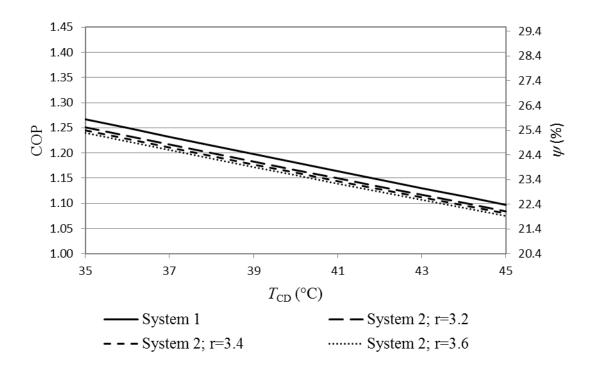


Fig. 5. Variation of systemCOP and exergy efficiency with NH₃ condensing temperature.

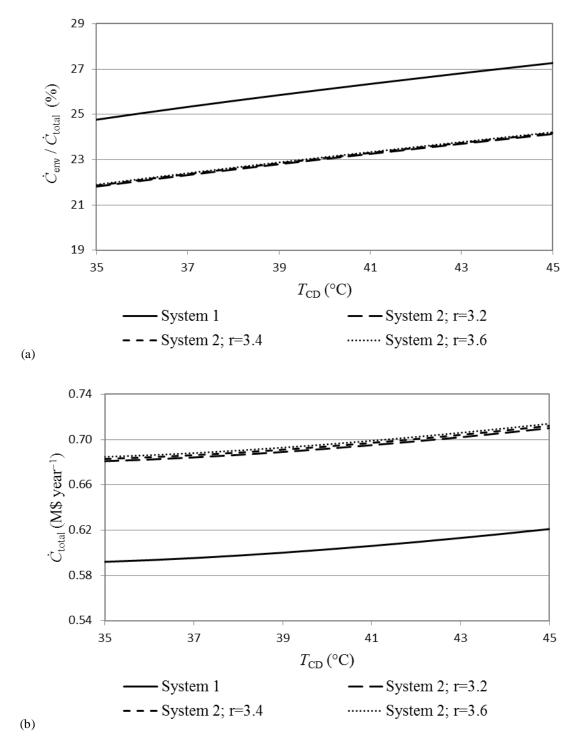


Fig. 6. Effect of varying NH_3 condensing temperature on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

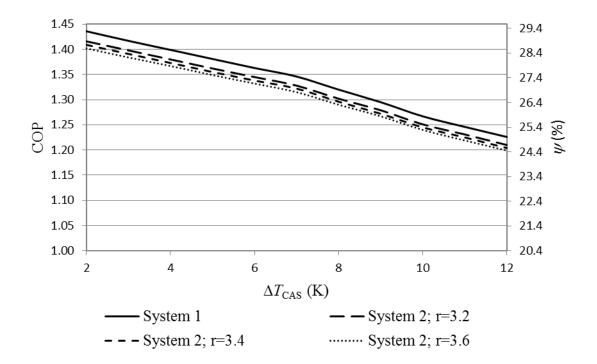


Fig. 7. Variation of systemCOP and exergy efficiency with cascade heat exchanger temperature difference.

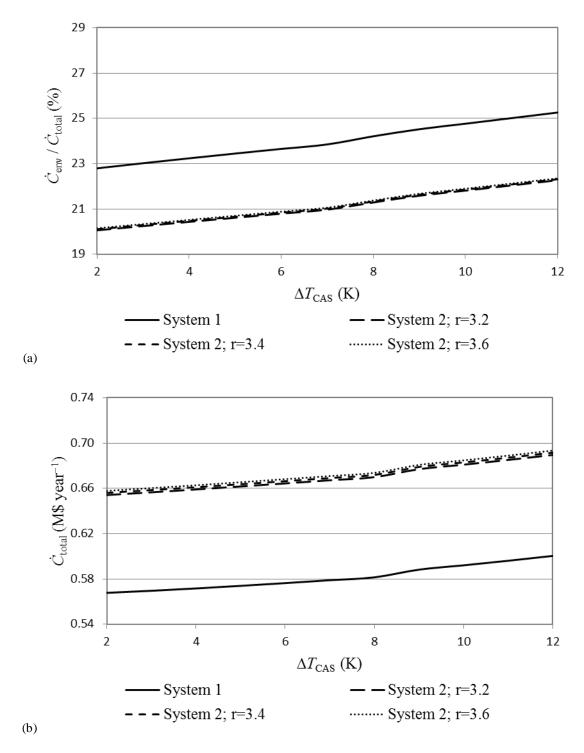


Fig. 8. Effect of varying cascade heat exchanger temperature difference on (a) the ratio of penalty cost of GHG emission and (b) total annual cost rate.

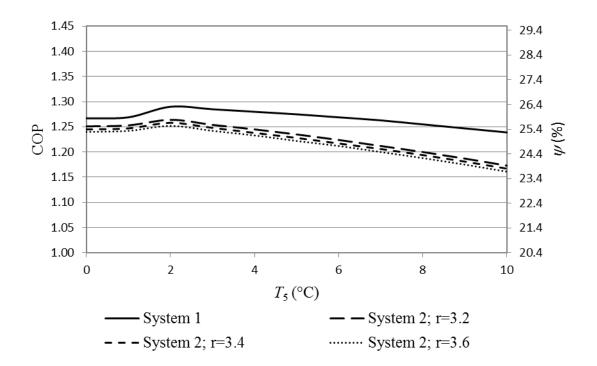


Fig. 9. Variation of system COP and exergy efficiency with ${\rm CO_2}$ condensing temperature.

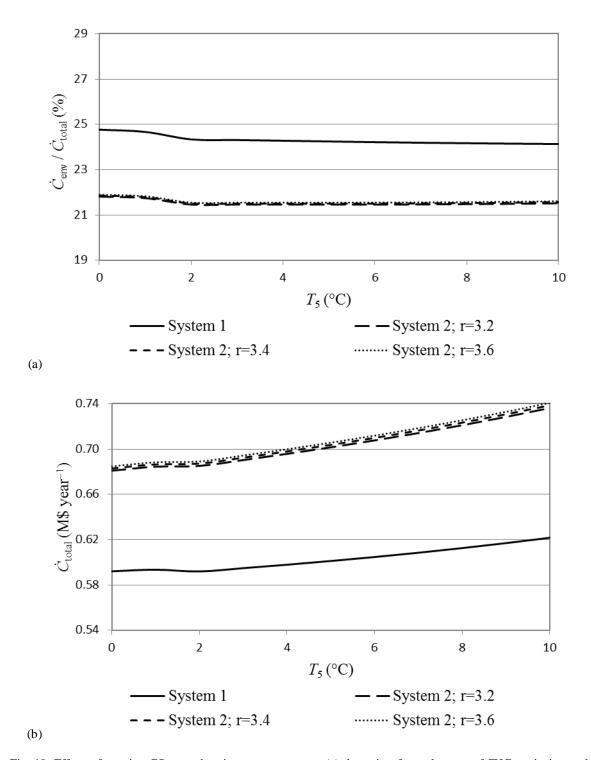


Fig. 10. Effect of varying CO_2 condensing temperature on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

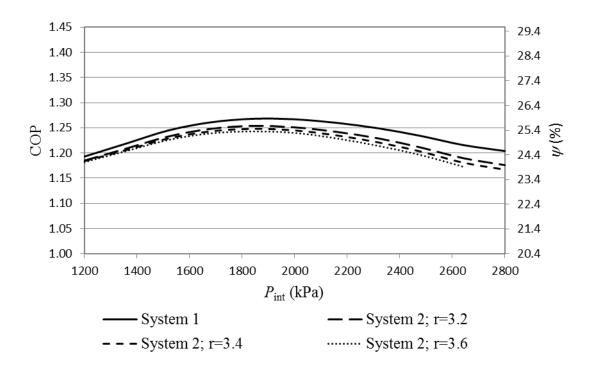


Fig. 11. Variation of systemCOP and exergy efficiency with intermediate pressure in the low-temperature circuit.

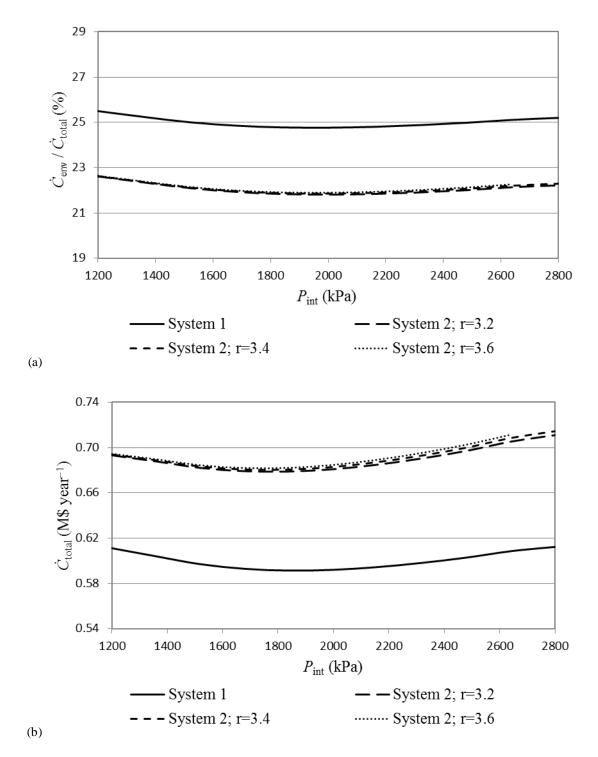


Fig. 12. Effect of varying intermediate pressure in the low-temperature circuit on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

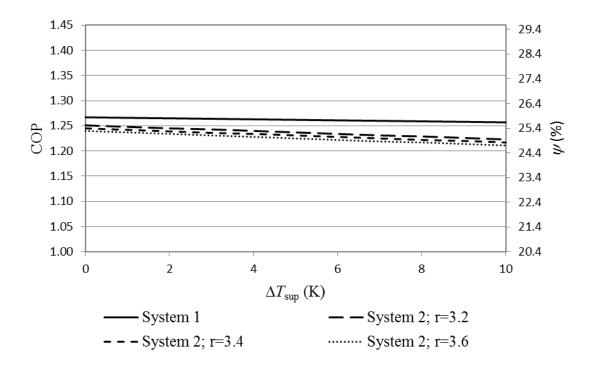


Fig. 13. Variation of systemCOP and exergy efficiency with degree of superheating of CO₂ at evaporator outlet.

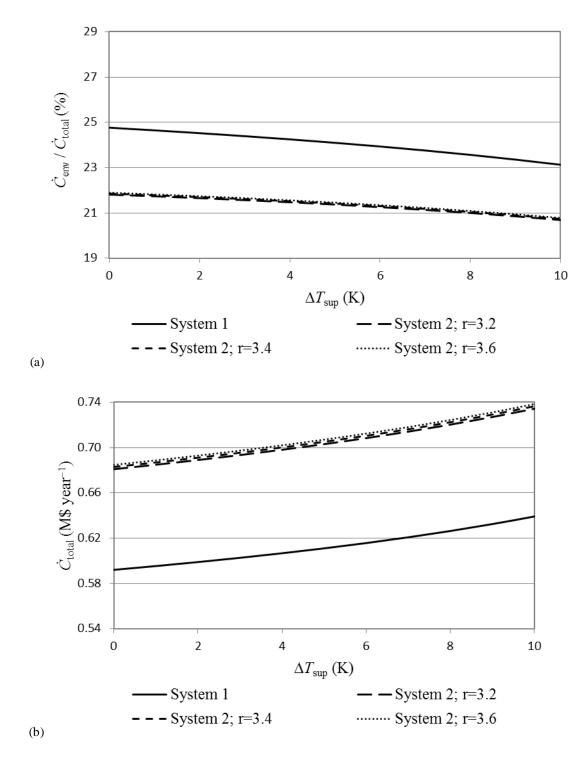


Fig. 14. Effect of varying superheating degree of CO_2 at evaporator outlet on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

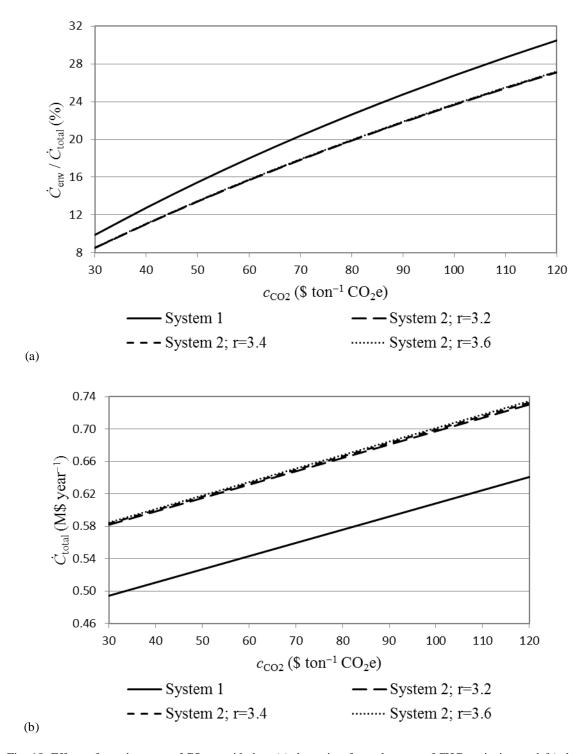


Fig. 15. Effect of varying cost of CO_2 avoided on (a) the ratio of penalty cost of GHG emission and (b) the total annual cost rate.

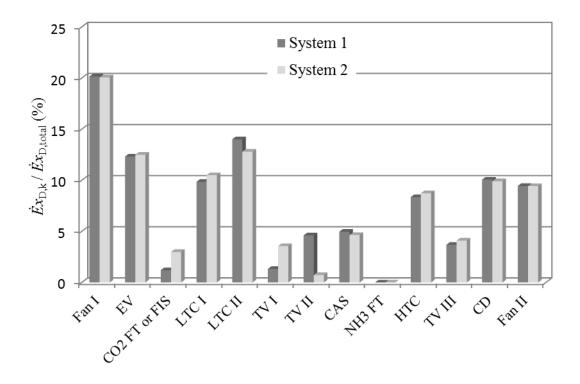


Fig. 16. Relative exergy destruction rate in components of the proposed cascade cycles operating at the thermodynamic optimal design condition.

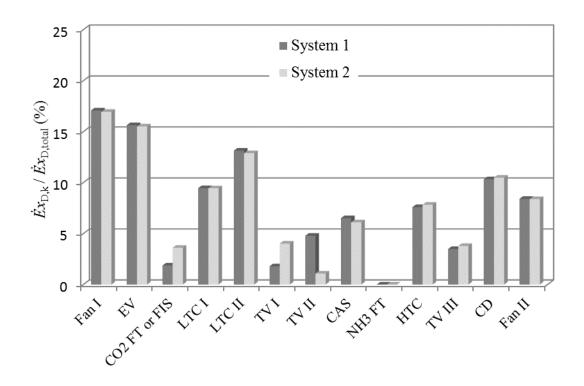


Fig. 17. Relative exergy destruction rates in components of the proposed cascade cycles operating at the cost optimal design condition.

Table 1. Fuel and product exergy rate for various components in two cycles.

Component	$\dot{E}x_{ m F}$	$\dot{E}x_{ m p}$
Fan I	$\dot{W}_{ m FanI}$	$\dot{E}x_{\mathrm{ca,i}} - \dot{E}x_{\mathrm{ca,i}}$
Evaporator	$\dot{E}x_8 - \dot{E}x_1$	$\dot{E}x_{\mathrm{ca,e}} - \dot{E}x_{\mathrm{ca,i'}}$
CO ₂ flash tanks	$\dot{E}x_6 - \dot{E}x_7$	$\dot{E}x_3 - \dot{E}x_2$
Flash intercooler with indirect subcooler	$\left(\dot{m}_7/\dot{m}_5\right)\dot{E}x_5+\dot{E}x_6-\dot{E}x_7$	$\dot{E}x_3 - \dot{E}x_2$
Low-temperature compressor I	$\dot{W_{ m LTCI}}$	$\dot{E}x_2 - \dot{E}x_1$
Low-temperature compressor II	$\dot{W_{ m LTCII}}$	$\dot{E}x_4 - \dot{E}x_3$
Throttling valve I	$\dot{E}x_{\rm m,7} - \dot{E}x_{\rm m,8}$	$\dot{E}x_{\rm t,8} - \dot{E}x_{\rm t,7}$
Throttling valve II	$\dot{E}x_{\rm m,5} - \dot{E}x_{\rm m,6}$	$\dot{E}x_{t,6} - \dot{E}x_{t,5}$
Cascade heat exchanger	$\dot{E}x_{13}-\left(\dot{m}_{13}/\dot{m}_{9}\right)\dot{E}x_{9}$	$\dot{E}x_5 - \dot{E}x_4$
NH ₃ flash tank	$\dot{E}x_{12} - \dot{E}x_{13}$	$\dot{E}x_9 - \left(\dot{m}_{13}/\dot{m}_9\right)\dot{E}x_9$
High-temperature compressor	$\dot{W}_{ m HTCI}$	$\dot{E}x_{10} - \dot{E}x_9$
Throttling valve III	$\dot{E}x_{\mathrm{m},11} - \dot{E}x_{\mathrm{m},12}$	$\dot{E}x_{\rm t,12} - \dot{E}x_{\rm t,11}$
Condenser	$\dot{E}x_{10} - \dot{E}x_{11}$	$\dot{E}x_{\mathrm{env,e}} - \dot{E}x_{\mathrm{env,i'}}$
Fan II	$\dot{W}_{Fan\mathrm{II}}$	$\dot{E}x_{\mathrm{env,i'}} - \dot{E}x_{\mathrm{env,i}}$

Table 2. Cost functions of various components [29–32].

Component	Capital cost function (Z)
Evaporator and condenser	$1397 \times A_{\rm EV or CD}^{0.89}$
Cascade heat exchanger	$383.5 \times A_{\text{CAS}}^{0.65}$
Low-temperature compressor	$10167.5 \times W_{ m LTC}^{0.46}$
High-temperature compressor	$9624.2 \times W_{ m HTC}^{0.46}$
Flash tank	$280.3 \times \dot{m}_{ m i}^{0.67}$
Flash intercooler with indirect subcooler	$1438.1 \times A_{\rm FI}^{0.65}$
Throttling valve	$114.5 \times \dot{m}$
Fan	$155\times(\dot{V}+1.43)$
Installation of refrigeration system	$150.2 imes \dot{Q}_{ ext{EV}}$

Table 3. Thermodynamic conditions considered in modelling.

Parameter	Value
Cooling capacity, $\dot{Q}_{ ext{EV}}$	500 kW
Condensing temperature of NH ₃ , T_{CD}	35 °C
Evaporating temperature of CO_2 , T_{EV}	−40 °C
Degree of superheating of CO ₂ at evaporator outlet, $\Delta T_{\text{sup}} \left(= T_1 - T_8\right)$	0 K
Temperature difference of air in evaporator and condenser	10 K
Condensing temperature of CO_2 , T_5	0 °C
Cascade heat exchanger temperature difference, $\Delta T_{\rm CAS} \left(= T_5 - T_{13} \right)$	10 K
Temperature of the inlet air to the evaporator, $T_{\rm ca,i}$	−20 °C
Ambient temperature, $T_{\rm env,i}$	25 °C
Ambient pressure, P_0	101.3 kPa
Intermediate pressure of flash tank in CO_2 circuit, P_{int}	2000 kPa
Overall heat transfer coefficient of evaporator, U_{EV}	$30 \text{ W m}^{-2} \text{ K}^{-1}$
Overall heat transfer coefficient of condenser, U_{CD}	$40 \text{ W m}^{-2} \text{ K}^{-1}$
Overall heat transfer coefficient of cascade heat exchanger, $U_{\rm CAS}$	$1000~W~m^{-2}~K^{-1}$
Overall heat transfer coefficient of flash intercooler, $U_{\rm FIS}$	$1000 \text{ W m}^{-2} \text{ K}^{-1}$

Table 4. Comparison of performance parameters obtained from present modelling for a basic CO_2/NH_3 cascade refrigeration systemand the corresponding results reported elsewhere.

	Operational conditions					
	$\dot{Q}_{\rm EV} = 40 \mathrm{kW}$, $T_{\rm CD} = 56.3 ^{\circ}\mathrm{C}$, $T_{\rm EV} = -56 ^{\circ}\mathrm{C}$, $T_{\rm EV} = -8.1 ^{\circ}\mathrm{C}$, $T_{\rm CAS} = 3.44 ^{\circ}\mathrm{C}$, $T_{\rm EV} = -56 ^{\circ}\mathrm{C}$		$\dot{Q}_{\rm EV} = 50 \mathrm{kW}$, $T_{\rm CD} = 40.1 \mathrm{^{\circ}C}$, $T_{\rm EV} = -48.7$			
			$T_5 = -7.1$ °C, $\Delta T_{\text{CAS}} = 2$ °C, $N = 7000 \text{h}$			
Parameter	Present work	resent work Ref. [18]		Ref. [29]		
\dot{W}_{total} (kW)	62.96	63.01	32.57	33.44		
COP	0.635	0.634	1.53	1.49		
ψ (%)	19.49	19.48	47.10	45.89		
$\dot{C}_{\rm f}$ (\$ year ⁻¹)	28,954	28,978	13,681	14,048		
\dot{C}_{total} (\$ year ⁻¹)	110,683	109,242	-	-		

Table 5. Results of thermodynamic optimization for two cycles.

Parameter	System 1	System 2
T _{EV} (°C)	-35	-35.20
$T_{\rm CD}$ (°C)	35	35.01
<i>T</i> ₅ (°C)	0.01	-1.98
$\Delta T_{\rm CAS}$ (K)	2.01	2.27
ΔT_{sup} (K)	0.10	0.45
P _{int} (kPa)	1861	1935
r	-	3.79
$A_{\rm EV}~({\rm m}^2)$	1686	1671
$A_{\rm CD}~({\rm m}^2)$	659.2	627.6
$A_{\rm CAS}~({\rm m}^2)$	57.32	59.86
$\sum \dot{W}_{\rm CM} \ ({ m kW})$	265.13	267.37
$\dot{E}x_{\mathrm{D,total}}$ (kW)	222.5	223.5
COP	1.547	1.536
ψ (%)	31.52	31.30
$\dot{C}_{\rm env}$ (\$ year ⁻¹)	120,150	121,007
\dot{C}_{total} (\$ year ⁻¹)	600,006	675,530

Table 6. Results of cost optimization for two cycles.

Parameter	System 1	System 2
$T_{\rm EV}$ (°C)	-40	-40
$T_{\rm CD}$ (°C)	36.2	36.67
T_5 (°C)	1.66	0.0
$\Delta T_{\rm CAS}$ (K)	3.67	3.33
ΔT_{sup} (K)	1.67	1.67
P_{int} (kPa)	1833	1750
r	-	3.2
$A_{\rm EV}~({\rm m}^2)$	1148	1148
$A_{\rm CD}~({\rm m}^2)$	644.3	612.6
$A_{\rm CAS}~({\rm m}^2)$	45.61	46.81
$\sum \dot{W}_{\rm CM} \ ({ m kW})$	304.19	307.93
$\dot{E}x_{\mathrm{D,total}}$ (kW)	262.76	264.8
COP	1.38	1.36
ψ (%)	28.04	27.75
$\dot{C}_{\rm env}$ (\$ year ⁻¹)	135,082	136,494
\dot{C}_{total} (\$ year ⁻¹)	580,387	661,197

Table 7. Exergoeconomic analysis results for the thermodynamic optimal design conditions of the presented systems.

	System 1			System 2		
Component	$\psi_{k}(\%)$	$c_{\mathrm{F,k}}(\$ \mathrm{GJ}^{-1})$	f _k (%)	$\psi_{\mathbf{k}}(\%)$	$c_{F,k}$ (\$ GJ ⁻¹)	f _k (%)
Fan I	19.62	25.0	5.46	19.62	25.0	5.46
Evaporator	79.96	174.5	70.95	79.63	502.2	45.03
CO ₂ flash tank	97.48	172.6	1.73	-	-	-
Flash intercooler with liquid	-	-	-	93.29	504.2	63.70
subcooler						
Low-temperature compressor I	56.53	25.0	55.83	59.07	25.0	55.57
Low-temperature compressor II	65.53	25.0	53.80	63.12	25.0	54.18
Throttling valve I	92.64	172.6	30.43	91.62	495.8	5.60
Throttling valve II	85.82	168.6	14.74	87.20	495.8	7.07
Cascade heat exchanger	85.43	155.5	19.46	87.21	148.3	3.85
NH ₃ flash tank	100	155.5	100	100	148.3	100
High-temperature compressor	85.04	25.0	68.14	85.30	25.0	67.74
Throttling valve III	90.54	145.5	38.72	90.17	137.8	37.27
Condenser	3.76	114.0	66.52	3.54	107.2	67.15
Fan II	1.67	25.0	17.91	1.67	25.0	17.91

Table 8. Exergoeconomic analysis results for the cost optimal design conditions of the presented systems.

	System 1			System 2		
Component	$\psi_{\mathbf{k}}$ (%)	$c_{F,k}$ (\$ GJ ⁻¹)	f _k (%)	$\psi_{\mathbf{k}}(\%)$	$c_{F,k}$ (\$ GJ ⁻¹)	f _k (%)
Fan I	19.62	25.0	5.46	19.62	25.0	5.46
Evaporator	72.68	188.9	51.81	72.68	485.9	29.83
CO ₂ flash tank	96.22	186.1	1.89	-	-	-
Flash intercooler with liquid	-	-	-	91.50	484.3	55.82
subcooler						
Low-temperature compressor I	62.61	25.0	55.91	61.21	25.0	55.41
Low-temperature compressor II	66.58	25.0	52.79	66.44	25.0	52.89
Throttling valve I	91.61	186.1	20.48	90.84	472.7	4.44
Throttling valve II	84.78	181.2	12.14	85.36	472.7	5.14
Cascade heat exchanger	78.43	155.3	12.00	80.43	108.1	2.12
NH ₃ flash tank	100	155.3	100	100	150.0	100
High-temperature compressor	85.19	25.0	67.41	85.39	25.0	67.10
Throttling valve III	89.95	143.7	37.54	89.51	139.3	36.19
Condenser	3.25	110.3	61.98	2.99	108.1	61.28
Fan II	1.66	25.0	17.89	1.66	25.0	17.89