

Brouwer and cardinalities

Hart, Klaas Pieter

DOI

[10.1016/j.indag.2017.12.008](https://doi.org/10.1016/j.indag.2017.12.008)

Publication date

2018

Document Version

Final published version

Published in

Indagationes Mathematicae

Citation (APA)

Hart, K. P. (2018). Brouwer and cardinalities. *Indagationes Mathematicae*, 29(6), 1555-1564.
<https://doi.org/10.1016/j.indag.2017.12.008>

Important note

To cite this publication, please use the final published version (if applicable).
Please check the document version above.

Copyright

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

Takedown policy

Please contact us and provide details if you believe this document breaches copyrights.
We will remove access to the work immediately and investigate your claim.

Green Open Access added to TU Delft Institutional Repository

'You share, we take care!' – Taverne project

<https://www.openaccess.nl/en/you-share-we-take-care>

Otherwise as indicated in the copyright section: the publisher is the copyright holder of this work and the author uses the Dutch legislation to make this work public.

Virtual Special Issue - L.E.J. Brouwer after 50 years

Brouwer and cardinalities

Klaas Pieter Hart

Faculty of Electrical Engineering, Mathematics and Computer Science, TU Delft, Postbus 5031, 2600 GA Delft, Netherlands

Abstract

This note is a somewhat personal account of a paper that L.E.J. Brouwer published in 1908 and that dealt with the possible cardinalities of subsets of the continuum. That paper is of interest because it represents the first time that Brouwer presented his ideas on foundations in an international forum.

I found Brouwer's notions and arguments at times hard to grasp if not occasionally perplexing. I hope that this note contributes to a further discussion of the definitions and reasonings as presented in Brouwer's paper.

© 2018 Royal Dutch Mathematical Society (KWG). Published by Elsevier B.V. All rights reserved.

Keywords: L.E.J. Brouwer; Cardinalities; Continuum; Closed set

0. Introduction

L.E.J. Brouwer's work on the foundations of mathematics resulted in an impressive edifice with many applications in constructive mathematics and computer science.

The first time that Brouwer made his ideas known to the international community was in a paper with the title *Die Moeglichen Machtigkeiten* [2]. I came across this paper when I was looking for something else in the Proceedings of the IVth International Congress of Mathematicians (1908) [7].

Because its author, its title, and my interest in Set Theory I skimmed through it but I did not have time to study the paper more closely, so I made a copy and put it away for later. The occasion of this volume seemed like a good time to revisit the paper and read it more carefully with the eyes of a modern set theorist.

E-mail address: k.p.hart@tudelft.nl.

URL: <http://fa.its.tudelft.nl/~hart>.

<https://doi.org/10.1016/j.indag.2017.12.008>

0019-3577/© 2018 Royal Dutch Mathematical Society (KWG). Published by Elsevier B.V. All rights reserved.

When I went back to the paper it turned out that my mind and memory had conflated a few sentences and that the last statement of the paper was not, as I thought, something like “Von Cantors zweiter Zahlenklasse kann deshalb keine Rede sein” but

“Von anderen unendlichen Mächtigkeiten als die abzählbare, die abzählbar-unfertige und die kontinuierliche, kann gar keine Rede sein”.

In fact, earlier in the paper Cantor’s second number class, now known as the set of countably infinite ordinals, was declared to be not a set at all. This piqued my interest because I have used ω_1 quite often in my work; it looks and feels quite real to me. I also thought, and still think, that the number of infinities is quite large, certainly larger than three.

So I started to read the paper more closely and I found it harder to understand than expected; the definitions and arguments are not always as concrete as I would have liked them to be. To some extent this can be said for many papers of that era (late nineteenth, early twentieth century): many notions had a meaning that was tacitly assumed to be the same to every reader. At times though one finds definitions that are not more than synonyms or that appeal to some sort of intuitive process that turns out to be very hard to formalize. An example of the latter can be found in Section 2: Cantor’s definition of the ‘cardinal number’ of a set. As we shall see one cannot prove that this definition is formally sound; fortunately though, Cantor established a concrete and workable equivalent of ‘having the same cardinal number’ to prove his results.

One could say that in 1908, after finishing his dissertation, Brouwer was where Cantor was in the 1870s: he was taking the first steps in an investigation of what one could achieve constructively and with the use of the actual infinite. When one reads Brouwer’s collected works, [3], it becomes apparent that it took some decades before things found their final form. The continuum was later *constructed/defined* using, basically, the partial order of intervals whose end points are rational and with a power of 2 as denominator. This idea still lives on, for example in pointless topology and the theory of continuous lattices.

Outline

Section 1 summarizes [2] and gives some comments that expand on the previous paragraphs. I should emphasize that I read the paper first *as it is*, without consulting any background material, because this appears to be the first time that Brouwer published some of his ideas on foundations in an international forum. These two pages and ten lines would be what a reader at the time would have access to, unless they could read Dutch and could get their hand on Brouwer’s thesis. Of course my reading is influenced by my own mathematical experiences: I fully embrace modern Set Theory, excluded middle, Axiom of Choice, and all.

In Section 2 we quote a contemporary review of the paper; it shows that at the time not everybody understood what it was meant to say. We then turn to Brouwer’s collected works, and in particular his thesis, to get a better idea of where the material in the paper is coming from. To some extent I met the same problems as when reading the paper: it is not always clear what Brouwer actually meant; many definitions are not complete or even present. There is, as promised above, a short aside on Cantor’s definition of cardinal number and the way he dealt with this definition.

The final section tries to see what the ‘standard’ mathematical content of [2] is. It turns out that, Brouwer’s assumptions of what ‘our mathematical intuition’ is capable of aside, one can read the paper as a proof that closed subsets of the real line are either countable or of the same cardinality as the real line itself.

My own conclusion is that Brouwer's ideas were still under development. Indeed, when one reads the collected works, [3], and especially the notes, it becomes apparent that it took several years, if not decades, before the notions that were presented in the paper in a vague way got their final definitions.

1. Reading the paper

First paragraph. The paper opens with a paragraph that attempts to describe or explain how mathematical systems come about or are created. It speaks of a primordial intuition¹ of the 'Zweieinigkeit' (two-one-ness(?)).

Here the intuition of the continuous and the discrete come together, because a Second is conceived for itself but while retaining the memory of the First. The First and the Second are thus *kept together*, and this keeping together constitutes the intuition of the continuous. This mathematical primordial intuition is nothing but a meaningless (inhaltlos) abstraction of the experience of time ...

Comment. This was hard to make clear sense of; 'primordial intuition', 'Zweieinigkeit', 'the First', 'the Second', 'the continuous' ..., seem to lack concrete meaning and this makes it hard to appreciate what this paragraph is intended to convey. As mentioned above one can argue that the paper is a product of its time and that in this context these terms would make sense to a reader back in the day; that may be true for some readers, but not for all, as the review quoted in Section 2 attests.

Second paragraph: of the primordial intuition. The first concrete information about the primordial intuition is given in the next paragraph: it contains the possibility of the following developments.

- (1) The construction of the order type ω ; when one thinks of the full primordial intuition as a new First, then one can think of a new Second that one calls 'three', and so on.
- (2) The construction of the order type η ; when one considers the primordial intuition as a transition between 'First itself' and the 'Second itself' then the 'Interposition' has been created.

(The symbols ω and η are Cantor's notation for the order types of the sets of natural and rational numbers respectively, see [6].)

Comment. Here we see two building steps: 'take the next' and 'insert between' and it appears that Brouwer allows for infinitely many applications of these and that he is willing to consider those infinitely many steps as finished, so that the sets of natural and rational numbers (or rather sets that look like these) are available. Thus it appears that Brouwer accepts the actual infinite, although he does not give a justification for this assertion about the primordial intuition; one who does not believe in the actual infinite may retort: "that is your intuition, not mine — just

¹ Wenn man untersucht, wie die mathematischen Systeme zustande kommen, findet man, dass sie aufgebaut sind aus der Ur-Intuition der Zweieinigkeit. Die Intuitionen des kontinuierlichen und des diskreten finden sich hier zusammen, weil eben ein Zweites gedacht wird nicht für sich, sondern unter Festhaltung der Erinnerung des Ersten. Das Erste und das Zweite werden also *zusammengehalten*, und in dieser Zusammenhaltung besteht die Intuition des kontinuierlichen (continere = zusammenhalten). Diese mathematische Ur-Intuition ist nichts anders als die inhaltslose Abstraktion der Zeitempfindung, d. h. der Empfindung von 'fest' und 'schwindend' zusammen, oder von 'bleibend' und 'wechselnd' zusammen.

saying ‘and so on’ is not enough to create an infinite entity”. Nowadays we would simply say “we assume the Axiom of Infinity” and then prove from this that ω and η exist.

What more is possible. The next two paragraphs start the discussion of possible powers (Mächtigkeiten).

Every mathematical system constructed using the primordial intuition can itself be taken as a new unit and this explains the richness of the infinite fullness of the mathematically possible systems, that however can all be traced back to the two aforementioned order types.

When one looks at things in this way there would be only one infinite power, the countably infinite and, indeed, other discrete systems than the countable finished ones cannot be built. There are two ways in which it does make sense to consider higher powers in mathematics.

Comment. To me it is not quite clear what “taking a system as a new unit” accomplishes. One set-theoretic interpretation is that given a system, S say, one can form $\{S\}$; but this seems of limited use. That this does not lead us out of the countable realm is clear: the closure of the system that consists of ω and η under the map $x \mapsto \{x\}$ is countable.

The last sentence indicates that there is a place for the uncountable in mathematics after all.

Countable unfinished. The first kind of (mathematically) possible uncountable entities is described as follows.

One can describe a method for building a mathematical system that creates from every given countable set that belongs to the system a new element of that system. With such a method one can, as everywhere in Mathematics, only construct countable sets; the full system can never be built in this way because it cannot be countable. It is incorrect to call the whole system a mathematical set, for it is not possible to build it finished, from the primordial intuition.

Examples: the whole of the numbers of the second number class, the whole of the definable points on the continuum, the whole of the mathematical systems.

Comment. Here we get a bit more information; up till now it was not quite clear, to me anyway, how the building of structures was to proceed. With hindsight one can interpret the ‘thinking of a Second given a First’ as ‘performing some kind of construction’, though no general description of what constitutes a construction is given.

From a modern point of view one can take issue with the statement that ‘the full system’ can never be built in this way. If we assume that the construction should have some concrete description, say by means of formulas, then one can invoke the Löwenheim–Skolem theorem and find a *countable* structure with the property that things definable/constructible from its members belong to the structure again.

The example of the second number class shows that, implicitly, Brouwer is allowing a bit more: when one builds the system of countable ordinals, as Cantor did in [4, § 11], then one needs two operations: the first adds 1 to every ordinal to construct its successor; if the set of ordinals constructed thus far has no maximum then the second operation adds a smallest upper bound as a new ordinal. Apparently Brouwer assumes that ‘the whole of ordinals constructed thus far’ belongs to the system. In a countable elementary substructure of ‘the universe’ the set of countable ordinals that belong to that structure is itself not a member of that structure. Thus Brouwer’s assumption is more general; his construction steps may apparently also be applied to subsets that are not elements. The question then becomes: to which of the uncountably many subsets are we allowed to apply these steps?

The continuum. The second kind of (mathematically) possible uncountable entities is related to the continuum.

One can consider the continuum as a matrix of points or units and assume that two points can be considered distinct if and only if their positions can be distinguished on a certain scale of order type η .² One then observes that the thus defined continuum will never let itself be exhausted as a matrix of points, and one has to add the possibility of overlaying a scale of order type η with a continuum to the method for building mathematical systems.³

Comment. I had problems with these sentences and I reproduce the original German in the footnotes. I dropped “mit dem discreten gleichberechtigten” from the translation because it would make the sentence too contorted; the words indicate that the continuum is an equally valid notion as the discrete. There are three terms that are new: the continuum, matrix, and scale. Most likely the continuum means the real line or an interval thereof. As to matrix, one of the definitions in my dictionary, [8], is ‘the place in which anything is developed or formed’; we can only guess, in this case, how this developing or forming is supposed to happen. Finally, scale; since the continuum is linearly ordered this probably refers to a subset whose order type is equal to η and two points are distinct if there is a point from the scale between them.

The second sentence states that the continuum-as-matrix-of-points can never be exhausted, but gives no reason, and tells us that this leads to a construction method: every scale of order type η can be covered by or completed to a continuum.

Also, in the first sentence the continuum appears to be a given object and in the second sentence it is suddenly ‘thus defined’, without any recognizable intervening definition.

Arbitrary subsets of the continuum. Now begins an investigation into the possible cardinalities of subsets of the continuum.

Let now M be an arbitrary subset of some set of the power of the continuum. Then one can map this continuum onto a linear continuum between 0 and 1 and thus this subset appears linearly ordered in this continuum. In the set M there is a countable subset M_1 , with whose help M is to be defined. This set M_1 can in the following manner be related to the scale of numbers $a/2^n$ between 0 and 1.

We approximate the aggregate of the points of M_1 using binary fractions. Every digit is either determined by its predecessor, or the choice between 0 and 1 is still open; we construct a branching system, where each branch continues in one direction if the choice is not free, and splits itself when it is free. After this we destroy each branch that does not split from some moment on from the first moment after which no split occurs. When this is done to all such branches then we apply this procedure to the remaining system. Ultimately we will be left with an empty system or an infinite one in which every branch keeps splitting. In the latter case M_1 has subsets of order type η , in the former case it does not.

It may be that besides the countable set M_1 , of which it is stated that it belongs to M , the definition of M requires the specification of a second countable set M_2 that certainly must not belong to M . However, after these sets have been set up the determination of M can be completed in only one way, namely, in case M_1 has subsets of type η by executing the operation of continuous-making in one or more of these sets while, of course, deleting the points excluded by M_2 .

² Man kann das mit dem discreten gleichberechtigten Continuum als Matrix von Punkten oder Einheiten betrachten, und annehmen, dass zwei Punkte dann und nur dann als verschieden zu betrachten sind, wenn sie sich in ihrer Lage auf einer gewissen skala von Ordnungstypus η unterscheiden lassen.

³ Man bemerkt dann, dass das in dieser Weise definierte Continuum sich niemals als Matrix von Punkten erschöpfen lässt, und hat der Methode zum Aufbau mathematischer Systeme hinzugefügt die möglichkeit, über eine Skala vom Ordnungstypus η ein Continuum (im jetzt beschränkten Sinne) hinzulegen.

If this operation is executed at least once then the power of M is that of the continuum, otherwise M is countable.

Comment. The first paragraph starts out a bit odd: M is a subset of a set of the power of the continuum and in the same sentence that set is already a continuum itself (in the German original the first two sentences are one). The next step is to transfer M to the unit interval. The statement after that is rather vague: what does it mean that M is to be defined with the help of M_1 , and why should it necessarily be a subset?

The description of the way in which the points of M_1 are related to binary fractions (numerator odd, denominator a power of 2) is a bit iffy — it speaks of individual digits while dealing with all of M_1 — but basically sound; one can see the resulting branching system as the set of intervals of the form $[a2^{-n}, (a+1)2^{-n}]$ that intersect M_1 , ordered by inclusion (so as a tree it grows upside down). The remainder of the second paragraph describes the standard Cantor–Bendixson procedure applied to the tree, rather than the set M_1 .

The beginning of the third paragraph is a bit mystifying in that it is not specified how M_2 is instrumental in the making of M and there is no reason why it should not belong to M (most likely the ‘not belong to’ means ‘is disjoint from’). Finally, why should M be the result of applying the method of continuous making to M_1 or its subsets of type η , and the deletion of the points of M_2 ?

The conclusion. Thus there exists just one power for mathematical infinite sets, to wit the countable. One can add to these:

- (1) *the countable-unfinished*, but by this is meant a *method*, not a set
- (2) *the continuous*, by this is certainly meant something finished, but only as *matrix*, not as a set.

Of other infinite powers, besides the countable, the countable-unfinished, and the continuous, one cannot speak.

Comment. Taken at face value this does not really explain anything; in both cases the notion is defined in terms of an other, undefined, notion. What *is* a method? What *is* a matrix and how does it work?

Another point is that above M is assumed to be a subset of the continuum. At the end it turns out that M may be countable or that it contains something that is a bijective image of a continuum, presumably this disqualifies it from being a set but there is no explicit mention of this.

2. What is going on?

To repeat, the previous section was devoted to the paper [2], nothing more, nothing less. To me the writing makes it hard to appreciate the ideas that it wants to express. It turns out I was not the only one who was mystified. Here is a review of the paper from *Jahrbuch über die Fortschritte der Mathematik* (available on the Zentralblatt website).

Referent bekennt, daß die Betrachtungen des Verfassers ihm nicht völlig klar sind; er beschränkt sich also darauf, seine Schlüsse wörtlich abzuschreiben: “Es existiert nur eine Mächtigkeit für mathematische unendliche Mengen, nämlich die abzählbare. Man kann aber hinzufügen: 1. die abzählbar-unfertige, aber dann wird eine Methode, keine Menge gemeint; 2. die kontinuierliche, dann wird freilich etwas Fertiges gemeint, aber nur als

Matrix, nicht als Menge. Von anderen unendlichen Mächtigkeiten, ab der abzählbaren, der abzählbar-unfertigen, und der kontinuierlichen, kann gar keine Rede sein”.

To see what I may have missed I turned to Brouwer’s collected works [3], where [2] is reproduced on pages 102–104. In the notes we learn that the paper is a summary of a section in chapter 1 of Brouwer’s thesis [1], which can be found in English translation in [3].

That chapter opens with an inventory of what the mathematical intuition may take for granted. First there are the number systems that we all know: natural numbers, integers, fractions, irrational numbers. The construction of the latter is by means of Dedekind cuts, not all at once but step-wise, although it is not quite clear to me how and when certain numbers can be considered known or constructed. As in [2] the totality of known numbers is at any point in the development still countable. Next is the continuum, which is also taken as given and its description is not very concrete but from the things that Brouwer does with it and it becomes apparent that one should, as above, have the real line in mind. There is a construction of a dense copy of the dyadic rational numbers in the continuum and, in a drastic change of pace, addition and multiplication are defined and characterized using one- and two-parameter transformation groups acting on the continuum. In about 52 pages one then finds how to build various types of geometries out of the continuum. I sketched this so that we know what went before when one comes to the section that [2] was summarizing.

With the notation as in Section 1 this section of the thesis makes the relation between M , M_1 , and M_2 somewhat more explicit: M_1 should be dense in M so that every other point of M can be approximated by points of M_1 ; the set M_2 is subtracted from M . Rather than simply stating that M has the same power as the continuum when M_1 has subsets of order type η there is an indication of how M can be mapped onto the continuum.

What did not make it into [2] is the conclusion that “it appears that this solves the continuum problem, by adhering strictly to the insight: one cannot speak of the continuum as a point set other than in relation to a scale of order type η ”.

A look through modern eyes: Cantor

It has been suggested to me that I should take the time of writing into consideration and to look on the Brouwer of [1] and [2] as a nineteenth-century mathematician. And it must be said that many papers from that era tacitly assume that many things are understood by everyone to mean the same thing, even though no formal definition seems available.

However sometimes such an appeal to ‘common knowledge’ or a ‘common intuition’ can lead one astray. As a case in point consider the following definition by Georg Cantor, in [6], of cardinal number:

„Mächtigkeit“ oder „Cardinalzahl“ von M nennen wir den Allgemeinbegriff, welcher mit Hilfe unseres activen Denkvermögens dadurch aus der Menge M hervorgeht, daß von der Beschaffenheit ihrer verschiedenen Elemente m und von der Ordnung ihres Gegebenseins abstrahirt wird.

Das Resultat dieses zweifachen Abstractionsakts, die Kardinalzahl oder Mächtigkeit von M , bezeichnen wir mit

$$\overline{M}$$

Just like Brouwer’s first paragraph in [2] this sounds wonderful in German but it does not quite deliver on its promise. It does not specify how this abstraction should be carried out. In fact one can argue that it cannot be carried out.

When one reads the first few pages of [6], where Cantor develops this notion of cardinality, one will see that Cantor thinks of some process whereby a set M morphs into a ‘standard’ set $\overline{\overline{M}}$. This process has the property that there is a bijection between $\overline{\overline{M}}$ and N if and only if $\overline{\overline{M}} = \overline{\overline{N}}$ and the if-direction is established via bijections between M and $\overline{\overline{M}}$, and between N and $\overline{\overline{N}}$. However, Theorem 11.3 in [9] (attributed to Pincus [11]) states that it is consistent with Zermelo–Fraenkel Set Theory that no such assignment $M \mapsto \overline{\overline{M}}$ exists.

By contrast, the Axiom of Choice does enable one to construct a class of ‘standard’ sets (called cardinal numbers) against which all sets can be measured and, indeed, an assignment $M \mapsto \overline{\overline{M}}$ with the properties desired by Cantor. Those constructions involve some non-trivial work and the resulting assignment is most certainly not the product of some kind of ‘Act of Abstraction’.

Fortunately, whenever Cantor proved that two sets had the same cardinal number he did so by providing explicit bijections between them. But this came at the end of two decades of work on sets and by then it was quite clear how to make the intuition explicit and how to actually work with cardinal numbers: use injections and bijections to establish inequalities and equalities respectively.

A look through modern eyes: brouwer

To return to [2], I found it frustrating reading simply because, as I mentioned before, there is apart from “overlaying a scale of order type η with a continuum” no description of what construction steps are available (or allowed).

We know from later developments that Brouwer intended to be as explicit/constructive as possible. But, at least in [1,2], there is a step that, with today’s knowledge, is not constructive at all.

The choice, or construction, of a scale of order type η in the continuum is typical of proofs in Analysis that involve sequences: generally one proves that individual choices are possible and then uses words like “and so on” to convince us that we can actually construct a *sequence* of choices. That of course requires an instance of (at least) the Countable Axiom of Choice, and one can create models of Set Theory with infinite sets that have no injective map from the set of natural numbers into them.

In fact, one can create a model in which there is an ordered continuum whose subsets *that are in the model* are finite unions of intervals; thus, in that model that ordered continuum does not have a scale of order type η . The easiest construction takes the unit interval I and the group G of increasing bijections from I to itself with finitely many fixed points. The permutation model with I as its set of atoms and determined by the filter of subgroups of the form $G_F = \{g \in G : (\forall x \in F)(gx = x)\}$ is as required, compare [9, Chapter 4].

3. Interpretation

Let us try to make ‘standard’ sense of Brouwer’s argument for the possible powers of subsets of the continuum.

When showing that M is countable or has the power of the continuum Brouwer invokes the step of completing a set of order type η to a continuum. The paper is not very explicit as to how that is supposed to happen when that set is nowhere dense in some other continuum. The thesis gives a bit more detail: a description of how “one continuum may cover another one with gaps”.

Consider for example the set E of points in the unit interval I with a finite binary expansion whose every *odd-numbered* digit is equal to 0; this set has order type η and so it can be completed

to a continuum. We can take this continuum, call it K , to be the unit interval itself, upon identifying E with D , the set of *all* numbers with a finite binary expansion. Simply map a point $\sum_{i=1}^n d_i 2^{-i}$ of D to the point $\sum_{i=1}^n d_i 2^{-2i}$ of E . Now, E is a subset of both K and I , dense in the former and nowhere dense in the latter; the problem then is to relate K to the subset that E determines when considered as a subset of I .

In the thesis Brouwer stipulates that, given a point x in the unit interval, we transfer its approximation sequence from D to E and then take the limit of that transferred sequence as the point associated to x . The problem, for me, lies with the ‘its approximating sequence’; each point can be approximated by many sequences, from below and from above. For points like the $\frac{1}{2}$ in K this creates an ambiguity: should we associate it to $\frac{1}{4}$ or to $\frac{1}{12}$ in I ?

What sets do actually exist?

Rather than dwell on this ambiguity let us see if we can read between the lines and describe the subsets of the unit interval that Brouwer would consider constructible.

The steps described in the thesis and in the paper enable us to construct the following types of sets.

- (1) countable
- (2) closed
- (3) unions and differences of sets of the first two types

The third step is clear: if one can make two sets then one can also make their union and difference. That the countable subsets of the unit interval are constructible is more or less true by definition: an enumeration of the set specifies it. At every point in such a definition one can insert ‘constructive’ or ‘definable’ if one wants to delineate the type of enumeration being used.

To see that Brouwer’s steps can also yield closed sets consider a countable subset M_1 of the unit interval that has been constructed already. We show how its closure M may be constructed.

The branches of the tree to which Brouwer applies his reduction procedure determine points of the closure of M_1 and vice versa. To every deleted branch b there corresponds a node s_b in the tree: the place where b is pruned from the tree. This assignment $b \mapsto s_b$ is one-to-one, hence in total only countably many branches will be pruned.

So if the pruning procedure leaves the tree empty then the closure of M_1 is countable.

If it leaves a non-empty tree then the points of M_1 that correspond to branches in this tree form a dense-in-itself subset J of the unit interval. It need not have order type η because there may be points in J that are end points of some maximal interval in the complement of the closure of M_1 . However, these are the only exceptions, so that removing the set J_2 of all left end points from J will yield a set J_1 of type η . We can complete J_1 to a continuum K . Although the map from K to the unit interval suggested by Brouwer requires a choice whenever a point of K represents a complementary interval of M we can opt to choose the right end point. In this way the image of the map is the closure of J_1 minus the set J_2 . This constructs $M \setminus J_2$ and taking its union with J_2 will yield the set M .

Comments

The arguments given above are a translation of those of Brouwer to our standard situation. In later papers Brouwer became more explicit in his description/construction of the continuum; I recommend the notes in [3] as a road map through the papers that deal with intuitionistic set theory. It appears that our translation remains valid under the new definitions.

One can also interpret Brouwer's arguments as another proof of Cantor's theorem from [5, § 19] that closed subsets of the unit interval either are countable or have the same cardinality as the unit interval itself. If one does not worry about definability issues then every closed subset of the continuum can occur as an M : simply take M_1 to be a countable dense subset of M , consider it constructible and obtain M from it as its closure. In case M is uncountable Brouwer's procedure yields an injective map from the unit interval into M . This is different from Cantor's proof, which produced a surjection from M onto the unit interval.

Also, the statement about Cantor's second number class was not a complete figment of my imagination: one can find it in [1] as number XIII in a list of propositions "to be defended together with the thesis". It reads "De tweede getalklasse van CANTOR bestaat niet" (the second number class of CANTOR does not exist).

I would like to thank, in chronological order, Teun Koetsier, Jan van Mill, and Jan Willem Klop for comments on earlier versions of this note; these led to noticeable improvements.

I end with a caveat: on the 2nd of September 2016, at a meeting on the occasion of the transfer of the Brouwer archive to the *Noord-Holland Archief*, J. Korevaar related an anecdote about Brouwer, see [10]. At one of the monthly meetings of the Dutch Mathematical Society in the 1950s some mathematicians were explaining to each other what Brouwer had meant in some paper. Unnoticed by everyone Brouwer had entered the room and after a while he ran to the board exclaiming:

"You have all misunderstood!"

References

- [1] L.E.J. Brouwer, *Over de Grondslagen van de Wiskunde*, Academisch Proefschrift, Universiteit van Amsterdam, 1907.
- [2] L.E.J. Brouwer, *Die moeglichen Maechtigkeiten*, Atti IV Cong. Internaz. Mat. (1908) 569–571. Zentralblatt 40.0099.04.
- [3] L.E.J. Brouwer, in: A. Heyting (Ed.), *Collected Works*. Vol. 1, North-Holland Publishing Co., American Elsevier Publishing Co., Inc., Amsterdam-Oxford, New York, 1975. Philosophy and foundations of mathematics. MR0532661.
- [4] Georg Cantor, *Über unendliche lineare Punktmannigfaltigkeiten*. Nr. 5, Math. Ann. 21 (1883) 545–586.
- [5] Georg Cantor, *Über unendliche lineare Punktmannigfaltigkeiten*. Nr. 6, Math. Ann. 23 (1884) 453–488. Zentralblatt 16.0459.01.
- [6] Georg Cantor, *Beiträge zur Begründung der transfiniten Mengenlehre (Erster Artikel)*, Math. Ann. 46 (1895) 481–512. Zentralblatt 26.0081.01.
- [7] G. Castelnuovo (Ed.), *Atti del IV Congresso Internazionale dei Matematici*, Vol. III, Roma, 1908.
- [8] Chambers (Ed.), *The Chambers Dictionary: Revised 13th Edition*, Chambers Harrap Publishers Ltd, Edinburgh, 2014.
- [9] Thomas J. Jech, *The Axiom of Choice*, in: *Studies in Logic and the Foundations of Mathematics*, vol. 75, North-Holland Publishing Co., American Elsevier Publishing Co., Inc., Amsterdam-London, New York, 1973 (2013 reprint at Dover Publications). MR0396271.
- [10] Jaap Korevaar, *Enige persoonlijke herinneringen aan L. E. J. Brouwer*, Nieuw Arch. Wiskd. (5) 17 (2016) 247–249.
- [11] D. Pincus, *Individuals in Zermelo-Fraenkel Set Theory*, Harvard University, 1969.