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Dynamic Response of the Fluid Mud to a Tropical Storm

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Key Points:

- A strong fluid mud (FM) formed during the passage of a tropical storm.
- Numerical model revealed storm increased stratification that initially triggered the massive formation of FM.
- Model indicated FM was sustained and transported by saltwater intrusion, and it finally broke down in subsequent strong-mixing spring tide.

24 **Abstract**

25 Fluid mud (FM) is a unique sedimentary feature in high-turbidity estuaries that makes a rapid
26 contribution to morphodynamics. Insufficient field measurements and monitoring leads to
27 deficient understanding of the formation, transport, and breakdown of FM under extreme
28 weather conditions is not well understood. A field survey was conducted in the period of
29 turbidity maximum in the Changjiang Estuary, just after Typhoon Haikui. The measurements
30 captured the formation of FM beneath the suspended layer, particularly around the lower reach
31 of the North Passage. The thickness of the observed FM gradually decreased landward along the
32 channel, with the maximum value of ~ 0.9 m. This feature of the observed storm-induced FM was
33 determined by the simulation using the Finite-Volume Community Ocean Model (FVCOM). The
34 results indicated that the initial appearance of the FM was the result of typhoon-intensified
35 stratification in the outlet region. The subsequent landward propagation of the FM was driven by
36 the combined effects of a FM-induced mud-surface pressure gradient force and the saltwater
37 intrusion near the bottom. The weak mixing during the following neap tide period sustained the
38 FM as it rapidly extended into the middle region of the North Passage. This produced a large
39 velocity shear at the interface of the FM and the upper suspension layer, increasing entrainment
40 from the FM to the upper suspension layer. The FM weakened and finally broke down in the
41 subsequent spring tidal period as a result of increased tidal mixing.

42 **Plain Language Summary**

43 The continuum from large river to estuary is generally a high turbidity environment and
44 frequently produces highly concentrated benthic sediment suspensions, i.e., fluid mud (FM). The
45 FM is a sediment feature, with concentration mostly in the range of 10 to >100 g/L. It is difficult
46 to track its movement and breakdown. The response of the FM to extreme atmospheric and
47 oceanic conditions is therefore not well understood. In this study, a comprehensive field
48 campaign identified the large-scale formation of the FM in the Changjiang Estuary, after a severe
49 tropical storm attacked. The full life cycle of FM in this passage cannot, however, be determined
50 through the field observation alone. A two-layer FM model was developed to achieve this goal.
51 Model experiments suggested that key physical factor was the stratification as a result of the
52 typhoon-enhanced saltwater intrusion. This led to the formation of FM in the near-bottom layer.
53 After formation, the FM extended onshore along the shipping channel under the influence of
54 ambient saltwater intrusion. The FM was constrained in the benthic layer as a consequence of the
55 weak mixing from the intrusion physics. The breakdown of the FM was governed by enhanced
56 tidal mixing in the subsequent spring tide.

57 **1 Introduction**

58 Fluid mud (FM), referring to concentrated benthic suspension, is a distinctive sediment
59 feature in the high-turbidity environment of coastal and estuarine regions, such as the Mississippi
60 River estuary, Amazon River delta, Jiaojiang river mouth, Changjiang Estuary, etc. (Winterwerp,
61 1999; Winterwerp and Van Kesteren, 2004; Guan et al., 2005; Corbett et al., 2007; Xie et al.,
62 2010; Wan et al., 2014). The FM generally forms an intermittent buffer between an upper
63 relatively low-concentration sediment suspension layer and a lower consolidated bed layer. It is
64 an active sediment source for the upper suspension layer through an entrainment process and for
65 the bed layer through sedimentation. It is also a sediment sink from the upper layer by deposition
66 and from the bed layer by erosion (Winterwerp and Van Kesteren, 2004; Yang et al., 2015; Ge et
67 al., 2018). The FM can cause very fast sedimentation rates, contributing directly to

68 morphological processes in high-turbidity waters.

69 It is quite difficult to detect the existence of the FM, and even more difficult to track its
70 movement and breakdown. The typical sediment concentration within the FM is in the range of
71 10 to >100 g/L. The FM can sustain a very thin vertical thickness, which is not easily resolved in
72 ship-board observations. Ge et al. (2018) developed a sophisticated monitoring system; a bottom-
73 mounted tripod equipped with various sensors. This system successfully captured the formation
74 and variation of thin FM layers in the Changjiang River Estuary, and hence identified the
75 associated hydro and sediment dynamics.

76 The FM formation process was simulated using a one-dimensional vertical (1DV) model
77 (Winterwerp, 2011, Ge et al., 2018). However, the FM transport is a three-dimensional (3D)
78 dynamic problem that cannot be fully resolved by a 1DV model based on limited/sparse site
79 observations. Developing a 3D model is required to examine various rheological behaviors and
80 to replicate the FM, or a FM layer, which are observed in either laboratory-scale experiments or
81 realistic estuarine conditions (e.g., Wang et al., 1992; Le Hir et al., 2000; Guan et al., 2005;
82 Knoch and Malcherec, 2011). The most common 3D FM models discretized the vertical into a
83 two-layer system consisting of water column and fluid mud (Wang et al., 2008; Yang et al.,
84 2015). These models are capable of resolving FM from the laboratory-scale experiments (Knoch
85 and Malcherec, 2011; Yang et al., 2015)) and an idealized estuary (Hsu et al., 2009), but only a
86 few have reproduced the FM within or around a real river estuary (Guan et al., 2005). Both
87 laboratory experiments and numerical simulations were mainly focused on the hydrodynamics
88 responsible for the FM formation, which included tidal currents, surface waves and current-wave
89 interactions (Winterwerp et al., 2002).

90 During the calm weather, the thickness of the FM was observed with a tidally-averaged mean
91 value of < 0.3 m around the Changjiang Estuary and its adjacent coastal regions (Yang et al.,
92 2015; Ge et al., 2018). Under some deposition events, much greater FMs could occur, with
93 evidences recorded in previous studies over the Waipaoa River shelf, northern California shelf,
94 Eel River shelf, Louisiana shelf, and Elwha River (Hale et al., 2014; Ogston., 2000; Traykovski
95 et al., 2000, 2015; Eidam et al., 2019). However, the FM features under extreme weather
96 conditions, particularly storm events, have not been well-documented, which are a considerable
97 challenge for both monitoring and modelling. A storm can cause rapid changes in currents and
98 surface waves. The FM is characterized with a lutocline forming ultimately from rapid settling
99 flux, suggesting that the FM could not form under strong vertical mixing conditions. The storm
100 can produce a strong horizontal advection, which can push a newly formed FM into a remote
101 area from its origin to yield the appearance of the FM over there. The FM may be scattered over
102 a wide area and may vary greatly over time. Under severe weather conditions, the formation and
103 movement of the FM can produce substantial morphological changes, which can directly
104 influence estuarine mixing, stratification and currents. The formation, transport, and breakdown
105 of the FM involves complex 3D dynamics that cannot symmetrically be understood only through
106 observations and 1DV numerical simulation.

107 To investigate the formation and transport dynamics of the FM in a high-turbidity estuarine
108 environment under extreme weather conditions, we had designed a rapid-response field
109 measurement plan for the Changjiang River Estuary. Under this plan we developed a monitoring
110 network with various instruments and sensors that is capable to measure the spatial distribution
111 of the sediment, water temperature and salinity as well as currents in and around the estuarine
112 tidal channel over a short period of a few hours or up to one day after a storm passed. This
113 network measurements were made after Typhoon Haikui, which successfully captured a

114 significant FM in the high-turbidity zone of the Changjiang River Estuary. The observational
115 data was first analyzed to synthesize the observed features of this FM and then a two-layer FM
116 sediment model, which was coupled with the latest version of the three-dimensional Finite-
117 Volume Community Ocean Model (FVCOM), was used to examine the dynamics of the
118 formation and transport processes of the observed FM.

119 This paper is organized as follows. The study sites, measurement configuration and model
120 development are described in Section 2. The FM observations and the corresponding physical
121 processes are presented and discussed in Section 3. The model-simulated post-typhoon dynamics
122 of formation and transport of the FM in the Changjiang Estuary are given in Section 4. The
123 physical mechanism and processes as well as some critical issues are discussed in Section 5. The
124 major finding and conclusions are summarized in section 6.

125 **2 Study site and Measurement**

126 2.1 The study site and Typhoon Haikui

127 The Changjiang River Estuary (Fig. 1a) is a high-turbidity estuary connecting to the inner
128 shelf of the East China Sea, with abundant sediment supply from the upstream region (Chen et
129 al., 1999; Shen et al., 2012). Although as a consequence of numerous upstream damming
130 activities the sediment discharge has significantly decreased from $\sim 4.0 \times 10^8$ tons/year in 1980s to
131 $\sim 1.0 \times 10^8$ tons/year in 2000s (Yang et al., 2011), this estuary is still characterized by strong
132 turbidity maximum (an enlarged view is shown in Fig. 1b) (Wan and Wang, 2017; Li et al.,
133 2017). The formation of FM is often observed in the estuarine channels, particularly around the
134 high-water slack, *i.e.* from late flood to early ebb period (Wan et al., 2014; Ge et al., 2018).

135 The North Passage is the major shipping channel in the Changjiang River Estuary. Two dikes
136 and dozens of groynes were constructed over the neighboring shoals to enhance the shipping
137 capacity (Ge et al., 2012; Pu et al., 2015). This passage is fully constrained in turbidity maximum
138 with turbulent mixing varying from well-mixed to strongly stratified. It is the primary meeting
139 place of freshwater discharge and saline water intrusion and suffered from sediment
140 accumulation (Chen et al., 2003; Chen et al., 2008, Xue et al., 2009, Pu et al., 2015; Wan and
141 Wang, 2017; Li et al., 2017).

142 Typhoon Haikui originated southeast of Iwo Jima as a tropical depression, and then grew to a
143 tropical cyclone during late July and early August, 2012. It was upgraded to a Category-I
144 typhoon on August 6, and made the landfall over the Xiangshan region in Zhejiang Province,
145 China on August 8 (Fig. 1a). In the Changjiang River Estuary region, the typhoon caused serious
146 coastal flooding in the coastal region from Shanghai City to Zhejiang Province.

147 2.2 Field measurement

148 One day after the typhoon passed, a field survey was conducted around the mouth of the
149 Changjiang River Estuary (Fig. 1b). A series of cross-estuarine sections were selected near the
150 North Passage navigation channel, including CS9, CS2, CSW, CS3, CS7, CS4, and CS10. There
151 were two or three ship-anchored sites on each section. Suffix *S* and suffix *N* referred to the sites
152 located on the southern and northern sides of the navigation channel, respectively, while suffix *M*
153 refers to a site located exactly in the middle of the navigation channel. This navigational channel
154 is one of the busiest shipping lanes in the world. For safety, the *M*-sites were sampled with lower
155 frequency than the *S*- and *N*-sites.

156 The survey started on August 12, just one day after the typhoon all-clear was declared, and

157 ended on August 18. This field campaign period covered a typical spring tidal (August 17-18),
158 neap tidal (August 12-13), and spring-neap transition cycle (August 14-15). To resolve the
159 spatial distribution of sediment concentrations, a total of 10 vessels participated and the
160 measurements were made simultaneously on all vessels along the main channel of the North
161 Passage (red dots in Fig. 1b). The vessels were all equipped with the same types of instruments
162 and sensors, including current meters and water sampling equipment. The Acoustic Doppler
163 Current Profiler (ADCP) with acoustic sensor frequency of 600KHz were used to measure the
164 water current profile. The vertical sampling resolution of ADCP was specified to be 0.5 m. The
165 salinity, and suspended sediment concentration (SSC) were directly sampled at 6 depths in the
166 water column. These vertical samples were located at relative depths of 5%, 20%, 40%, 60%,
167 80%, and 95% of the total instantaneous water depth. Three wind-wave buoys were deployed at
168 Niu Pi Jiao (NPJ), Chang Jiang Kou (CJK), and Nan Cao Dong (NCD). These buoys functioned
169 as operational wave platforms: significant wave height, peak wave period and wave direction
170 were recorded at hourly time intervals during the typhoon passage (blue dots in Fig. 1).

171 Teledyne Odom Echotrac MK III with two sonar sensors of high-frequency (220 kHz) and
172 low-frequency (33 kHz) transducers were set up to measure the water depth along the main
173 channel. This measurement was conducted in just one day of August 12. The measurement
174 uncertainties were 0.1 m at 33 KHz and 0.01m at 220 KHz, respectively. The depth differences
175 between the high-frequency and low-frequency sonars were used to estimate the thickness of the
176 FM along the main channel. Since the depth measurements of both acoustic sensors mainly
177 depended on the density gradient near the interface between water and FM, a laboratory
178 experiment with the field-collected FM was conducted to calibrate the sound speed and other
179 parameters for sonar sensors. An additional Stema Systems RheoTune probe was used to
180 measure the density profile in the fluid mud layer for this ground-truthing experiment. This
181 helped us determine the density threshold for acoustic reflectance of high- and low-frequency
182 sensor.

183 Since the FM was formed originally from suspended and bed sediments, it was highly related
184 with bed properties. The seabed sediments around the Changjiang Estuary were sampled
185 simultaneously with the hydrodynamic measurements, starting from August 12. The sample
186 resolution was relatively higher in the North Passage than in the South Passage, North Channel
187 and other adjacent regions (black dots in Fig. 1b). These sediment samples were used to measure
188 the grain size distribution. In particular, the median grain size (d_{50}) was measured using the
189 particle laser diffraction method with Beckman Coulter LS13-320.

190 **3 Observational results**

191 **3.1 Hydrographic observation**

192 We found that all sites, whether in the northern, southern or middle areas of the North
193 Passage, exhibited similar temporal patterns (Fig 2). CSWM, a site located in the middle area of
194 the North Passage, was chosen to quantify the physics in the suspension layer. This is a tidally-
195 dominant passage with relatively strong vertical velocity shears throughout the water column
196 (Fig.2a). During the spring tide, the maximum velocity was ~ 3.0 m/s near the surface and ~ 1.9
197 m/s near the bottom (Fig 2a), with a surface-bottom difference of ~ 1.1 m/s. The tidal velocities
198 near the surface and bottom during the neap tide were ~ 2.2 m/s and ~ 1.2 m/s, respectively (Fig.
199 2a). The vertical shear of the velocity was thus the same as that found during the spring tide. This
200 strong vertical shear is relevant to vertical stratification.

201 The water was strongly stratified during the neap tide and vertically well-mixed during the
 202 spring tide. During the neap tide, the flow featured a dominant two-layer structure with a
 203 difference of $\sim 180^\circ$ in direction between the surface and bottom. This is evident in Fig. 2b,
 204 which shows that the water moved seaward with a flow direction of $\sim 115^\circ$ in the upper layer and
 205 landwards with a flow direction of $\sim 290^\circ$ in the lower layer. The direction difference between
 206 these two layers was $\sim 175^\circ$. This two-layer pattern was most clear at 13:00 August 12-13:00
 207 August 13 and 15:00-18:00 August 13. As the tidal currents intensified during the spring tide,
 208 tidal-induced vertical mixing weakened the vertical stratification and made the tidal velocity
 209 distribution nearly uniform through the water column.

210 The time-varying stratification was verified by the salinity measurements. The vertical
 211 profiles of salinity and SSC showed that the region was generally stratified, particularly around
 212 slack water times during the neap tide (Fig. 2c-d). At 06:00 August 13, the salinity near the
 213 bottom reached a maximum value of 29.3 PSU. During the spring tide on August 18, there was a
 214 strong tidal current near the surface (Fig. 2a). The consequent enhanced vertical shear produced
 215 strong mixing and made the water vertically well mixed (Fig. 2c).

216 The SSC showed a similar vertical distribution pattern to the salinity. During the neap tide,
 217 the water column was dominated mainly by low-SSC water, except for the near-bottom layer
 218 where high-SSC was observed. The observed SSC in the upper water column was in the range of
 219 0.1 g/L to 5.6 g/L, while the SSC in the lower water column near the bottom jumped sharply to
 220 above 20.0 g/L, with the maximum value of 26.4 g/L (Fig. 2d).

221 The status of stratification is further estimated using the gradient Richardson number (R_i):

$$222 \quad R_i = -\frac{g}{\rho_w} \frac{\partial \rho / \partial z}{[(\frac{\partial u}{\partial z})^2 + (\frac{\partial v}{\partial z})^2]} \quad (1)$$

223 where ρ_w is water density considering the joint effect of salinity and SSC, $\partial \rho / \partial z$ is the density
 224 gradient, u, v are velocity components in the x-, and y-directions. The water column is
 225 considered to be stratified when R_i exceeds 0.25. Therefore $\log_{10}(R_i/0.25)$ is used as an index
 226 for the degree of stratification. Fig. 2e shows the distribution of the index and reveals that the
 227 water column experienced strong and persistent stratification during neap tide cycles on Aug 12-
 228 13, as well as in the transitional period from neap to spring on Aug 15-16. During the spring
 229 cycles, Aug 17-18, the level of stratification had rapid variations: the water column was stratified
 230 during the flood cycle and well-mixed in the ebb cycle (Fig. 2e).

231 Many other measurement sites and transects, including transects CS2, CS6, CS7 and CS3,
 232 showed the same pattern with the same physics (Table 1). In particular, the observed SSC rose to
 233 45.0 g/L and greater at sites located in the middle channel of the North Passage, with high values
 234 at CS2M, CS6M, CSWM, CS3M, CS4M, and CS10M. At several sites, the SSC was found to be
 235 greater than 80.0 g/L, suggesting the existence of the FM within the navigation channel in the
 236 North Passage. By comparison, the SSC in the upper water column was small, within a general
 237 range of 0.0-5.0 g/L, and with a maximum value below 10.0 g/L. The strong vertical SSC
 238 column gradient indicated that the concentrated suspension was mainly restricted to near the
 239 bottom.

240 3.2 Observed FM distribution

241 The difference between the depths measured with the low- and high-frequency sonars was
 242 used as an indicator of the FM, or fluid mud, thickness. Using the cruise-tracking, recorded along
 243 the navigational channel, we plotted the along-channel distribution of FM thickness (Fig. 3a). To
 244 estimate the extent of the FM, we divided the shipping channel into 46 units. Each unit was

245 about 2-3 km long and 350 m wide (the approximate width of the shipping channel). The
246 estimated values of FM thickness were based on spatial averaging in each unit. This showed that
247 the FM existed, with varying thickness, along the navigation channel from the entrance to the
248 outlet of the North Passage. The thickest FM occurred around the CS4 section in the outlet
249 region, with the maximum value of ~ 1.0 m at the 36~38 segment positions (Fig. 3b). A similar
250 thickness of FM, about 0.74 m, occurred near CS2. The other sites had relatively low thickness,
251 ranging from 0.05 to 0.23 m. This indicated that a significant FM was detected in the lower reach
252 of the North Passage after the typhoon landfall.

253 The volume of FM / fluid mud was estimated, per section, based on the channel area and the
254 thickness of FM. The volume peaks tend to correspond with the FM thickness peaks (Fig. 3b).
255 The largest unit volumes were $\sim 7.5 \times 10^5$ m³ around segment-39, and $\sim 2.5 \times 10^5$ m³ at segment-
256 20.

257 3.3 Observed bed composition

258 The spatial distribution of the observed sediment median grain size (d_{50}) in the North
259 Passage and adjacent channels showed that this river mouth was mainly covered by fine
260 sediment, with grain sizes smaller than 50 μm (Fig.4a). The upper and lower reaches of the
261 North Passage had even smaller sediment grain sizes. Several patches were classified to 20 μm .
262 The grain size at the centers of these patches was < 10 μm . This confirms that the North Passage
263 provided an adequate sediment source for the FM formation. The clay content had a similar
264 distribution to the fine sediment. Patches with smaller grain size corresponded with the high clay
265 component (Fig. 4b).

266 4 Numerical model for fluid mud

267 4.1 The fluid mud model

268 To understand the life cycle behavior of the FM during and after the typhoon landfall,
269 FVCOM was configured to simulate the relevant physical processes. FVCOM is three-
270 dimensional (3D) unstructured-grid primitive equation ocean numerical model (Chen et al.,
271 2003, 2006). It discretizes the horizontal space into a triangular mesh and the vertical into
272 terrain-following coordinates. This allowed accurate geometric fitting for the complex
273 boundaries around the river mouth, and particularly for the dikes and groynes within the North
274 Passage. Since these were constructed near the mean sea level, leading to submergence during
275 high water and emergence during low water, the dike-groyne algorithm was activated to better
276 simulate water and sediment transport over the dikes and groynes (Ge et al., 2012). The latest
277 version 4.3 of FVCOM has integrated the dynamics of sediment transport as an independent
278 module. This has been shown to be capable of effectively modeling the cohesive sediment
279 dynamics around the Changjiang Estuary (Ge et al., 2015).

280 In addition to the original hydrodynamics, sediment and dike-groyne modules, a two-layer
281 FM model was developed and implemented within the FVCOM sediment module with an aim at
282 resolving and simulating the FM and upper suspension in an estuary. Since the SSC was much
283 greater in the FM than in the upper suspension layer, it is reasonable to simplify the complex
284 dynamics to a two-layer system with FM being a thin layer at the bottom of the benthic column
285 that is covered by low-SSC water. This simplification was used by Wang and Winterwerp
286 (1992), and further used in Winterwerp et al. (1999, 2002) and Ge et al. (2018) within their one-
287 dimensional vertical (1DV) or two-layer modeling experiments. Computationally, the model then

288 had three layers: suspension, FM, and sea bed (Ge et al., 2018). The suspension layer represented
 289 the sediment dynamics for low-to-medium SSC, the FM was placed between the suspension and
 290 bed layers, with a constant sediment concentration and a varying thickness. The sea bed layer
 291 provided the major source and sink for the suspension and FM layers through vertical sediment
 292 exchange.

293 Winterwerp (1999) outlined a basic three-dimensional framework for FM flow and its
 294 related dynamics (Fig. 5). Multiple physical processes occur at the interface between the
 295 suspension and FM layers and between the FM and sea bed layers. The sediment deposition from
 296 the suspension layer and erosion from the bed layer jointly function as sources contributing to
 297 the formation of the FM. The entrainment process from the FM to the suspension layer and the
 298 dewatering effect from the FM to the sea bed are sinks, leading to the breakdown of the FM (Fig.
 299 5). These physical source/sink processes nonlinearly interact in space and time, leading to
 300 varying thickness of the FM. The FM also acts as a drag force at the suspension-FM interface.
 301 The erosion and deposition processes are controlled by the turbulent kinetic energy in the water
 302 column and the shear stress at the bottom produced by the wave-current interaction.

303 In FVCOM the sediment dynamics within the upper suspension layer are controlled by
 304 advection, diffusion, and particle settling. The governing equations in the water column,
 305 following Chen et al. (2013), are

$$306 \quad \frac{\partial C}{\partial t} + \frac{\partial uC}{\partial x} + \frac{\partial vC}{\partial y} + \frac{\partial (w-w_s)C}{\partial z} = \frac{\partial}{\partial x} \left(A_H \frac{\partial C}{\partial x} \right) + \frac{\partial}{\partial y} \left(A_H \frac{\partial C}{\partial y} \right) + \frac{\partial}{\partial z} \left(K_h \frac{\partial C}{\partial z} \right) \quad (2)$$

307 where x , y , and z are the Cartesian coordinates; u , v , and w are the eastward, northward and
 308 upward components of water velocity; C is the sediment concentration; w_s is the settling velocity
 309 of sediment particles; A_H and K_h are horizontal and vertical eddy diffusion coefficients.

310 The boundary conditions at the water surface and near the sea bed are specified as

$$311 \quad w_s C + \left(K_h \frac{\partial C}{\partial z} \right) = 0, \text{ at } z = \zeta, \quad (3)$$

$$312 \quad w_s C + \left(K_h \frac{\partial C}{\partial z} \right) = ERO, \text{ at } z = -H + \Delta z/2. \quad (4)$$

313 where ζ indicates the sea surface elevation and ERO represents the eroded sediment amount
 314 through the water-seabed interface. H is the water depth. Δz is the thickness of bottom
 315 discretized layer. The bottom boundary is configured a bit above bed to avoid $K_h = 0$ at the bed
 316 surface.

317 When no FM is generated or transported, the sediment dynamics can be described using Eqs.
 318 2-4. In the vertical direction, erosion and deposition are the two major physical processes at the
 319 interface. When a FM layer is present, the erosion term in Eq. 4 is replaced by the entrainment
 320 term from the FM layer.

321 When the FM occurs, the governing equations of the FM formation, transport and breakdown
 322 are as presented in Wang and Winterwerp (1992):

$$323 \quad \frac{\partial d_m}{\partial t} + \frac{\partial u_m d_m}{\partial x} + \frac{\partial v_m d_m}{\partial y} = \frac{1}{c_m} \frac{d_m}{dt} \quad (5)$$

$$324 \quad \frac{\partial u_m}{\partial t} + u_m \frac{\partial u_m}{\partial x} + v_m \frac{\partial u_m}{\partial y} + g \frac{\rho_m - \rho}{\rho_m} \frac{\partial \eta_m}{\partial x} - \Omega v_m + \frac{1}{\rho_m d_m} (\tau_{bx} - \tau_{sx}) = -\frac{1}{\rho_m} \rho g \frac{\partial \eta}{\partial x} \quad (6)$$

$$325 \quad \frac{\partial v_m}{\partial t} + u_m \frac{\partial v_m}{\partial x} + v_m \frac{\partial v_m}{\partial y} + g \frac{\rho_m - \rho}{\rho_m} \frac{\partial \eta_m}{\partial y} - \Omega v_m + \frac{1}{\rho_m d_m} (\tau_{by} - \tau_{sy}) = -\frac{1}{\rho_m} \rho g \frac{\partial \eta}{\partial y} \quad (7)$$

326 where t is time, d_m is the thickness of the FM layer, u_m and v_m are the eastward and northward
 327 components of the FM' horizontal velocity, respectively; c_m is the sediment concentration within
 328 the FM, which is constant both in height and time; m is the mass of sediment in a unit area; ρ_m is
 329 the bulk density of FM; ρ is the density of suspension in the upper layer; Ω is the Coriolis force
 330 acceleration coefficient; τ_{bx} and τ_{by} are the shear stresses at the FM-bed interface in the x- and
 331 y-directions, respectively; τ_{sx} and τ_{sy} are the shear stresses at the suspension-FM interface in the
 332 x- and y-directions, respectively; η_m is the elevation of the FM; and η is the surface elevation of
 333 the suspension layer.

334 The horizontal movement of the FM is affected by multiple processes, including the shear
 335 stress on the FM-bed interface (τ_b) and that on the water-FM interface (τ_s), and the barotropic
 336 pressure gradient force due to the FM surface elevation (η_m) and sea surface elevation (η).

337 The shear stress at the FM-bed interface is calculated by:

$$338 \begin{pmatrix} \tau_{bx} \\ \tau_{by} \end{pmatrix} = \begin{pmatrix} u_m \\ v_m \end{pmatrix} \frac{\tau_m}{\sqrt{u_m^2 + v_m^2}}, \quad (8)$$

339 and

$$340 \tau_m = \tau_B + \frac{f_m \rho_m}{8} (u_m^2 + v_m^2) \quad (9)$$

341 where f_m is the friction coefficient between FM layer and sea bed; τ_B is the Bingham-yield
 342 strength for the transitional behavior from the Newtonian to non-Newtonian FM, which is set to
 343 0.2 N/m^2 ;

344 The shear stress at the interface between FM and suspension layer is determined by:

$$345 \begin{pmatrix} \tau_{sx} \\ \tau_{sy} \end{pmatrix} = \begin{pmatrix} \Delta u \\ \Delta v \end{pmatrix} \frac{f_s \rho \sqrt{\Delta u^2 + \Delta v^2}}{8}, \quad (10)$$

346 where f_s is the friction coefficient between the FM and the upper suspension layers; u and v are
 347 the x- and y-components of the horizontal velocity in the suspension layer, respectively; Δu and
 348 Δv are the velocity differences between the upper suspension and FM layers, respectively, and
 349 are given by

$$350 \Delta u = u - u_m, \quad (11)$$

$$351 \Delta v = v - v_m. \quad (12)$$

352 $\frac{1}{c_m} \frac{dm}{dt}$, the right-hand term in Eq. 5, is balanced by source and sink masses as

$$353 \frac{dm}{dt} = \textit{Settling} - \textit{Entrainment} + \textit{Erosion} - \textit{Dewatering} \quad (13)$$

354 where the *Settling* and *Erosion* are the source terms contributing on the formation of the FM,
 355 and *Entrainment* and *Dewatering* act like a sink term for the breakdown effect for the FM.

356 The *Settling* is the sediment deposition from the bottom layer of the suspension layer to the
 357 FM, which is determined as

$$358 \textit{Settling} = H \left(\frac{\tau_{dm} - \tau_s}{\tau_{dm}} \right) \omega_s C_b \left(\frac{\tau_{dm} - \tau_s}{\tau_{dm}} \right) \quad (14)$$

359 where $H()$ is the Heaviside function, and τ_{dm} is the critical shear stress for deposition; C_b is the
 360 SSC in the bottom layer of the suspension column above the FM.

361 Winterwerp et al. (1999) parameterized the entrainment process as:

$$362 \quad \text{Entrainment} = \frac{2C_s(|u_{*,m}|^2 - \frac{\tau_B}{\rho_m})(\bar{u} - \bar{u}_m) + C_\sigma(|u_{*,s}|^2 - \frac{\tau_B}{\rho_m})|u_{*,s}|}{\frac{gh\Delta\rho}{\rho} + C_s(\bar{u} - \bar{u}_m)^2} C_m \quad (15)$$

363 in which $u_{*,s} = \sqrt[3]{u_*^3 + u_{*,m}^3}$, u_* is the friction velocity of the flow in the suspension layer and
 364 $u_{*,m} = f_s(u - u_m)^2$. The empirical coefficients are $C_s = 0.25$ and $C_\sigma = 0.42$. The terms in the
 365 brackets are valid only if their values are positive.

366 The eroded sediment amount is quantified as:

$$367 \quad \text{Erosion} = H \left(\frac{\tau_b - \tau_e}{\tau_e} \right) M_e \left(\frac{\tau_b - \tau_e}{\tau_e} \right) \quad (16)$$

368 where M_e is the bulk erosion coefficient and τ_e is the critical shear stress for erosion. τ_b is
 369 calculated depending on the various circumstances: when the FM exists, τ_b is determined by Eq.
 370 8. When there is no FM, τ_b is calculated through the interaction between wave and current as
 371 described in Warner et al. (2008).

372 The consolidation process from the FM to seabed is given as:

$$373 \quad \text{Dewatering} = V_0 C_m \quad (17)$$

374 where V_0 is the dewatering rate.

375 Eqs. 2-4 govern the upper suspension layer. No matter whether FM exists or not, Eqs. 2-4 are
 376 numerically computed to determine the suspended sediment dynamics. Eqs. 5-7 are only
 377 activated when the model grid resolves the FM. The model was initialized with zero fluid-mud
 378 coverage, and ran with the inclusion of fully suspended sediment dynamics. The initial formation
 379 of fluid mud requires to meet the threshold at which the net source/sink term ($\frac{dm}{dt}$) must be
 380 positive.

381 Although this net source/sink term is calculated with four components (Eq. 13), when no
 382 fluid mud appears, both the entrainment and dewatering are zero. $\frac{dm}{dt}$ is determined only by
 383 settling and erosion. If $\frac{dm}{dt} > 0$, it indicates that the settling effect of suspended sediment is
 384 greater than bed erosion. That is the threshold for the initial formation of fluid mud from zero-
 385 thickness initialization. It also suggests that the fluid mud forms from rapid settling of suspended
 386 sediment, not directly from resuspension or bed erosion.

387

388 4.2 Finite-volume discrete method

389 Following the main framework of FVCOM, the FM model adopts non-overlapping
 390 unstructured triangular cells for horizontal discretization as described in Chen et al. (2003). Since
 391 the FM is now treated as a concentration constant and thickness-varying fluid, it can be
 392 simulated using a vertically averaged 2D model. The discretization procedure mainly adopts the
 393 2D external mode used in the mode-splitting solver in FVCOM. The continuity equation (Eq. 5),
 394 over a control volume, is integrated numerically using the modified fourth-order Runge-Kutta
 395 time-stepping scheme. This is a multi-stage approach with second-order temporal accuracy. The
 396 same method is also used for the integration of Eqs. 6-7 to determine the vertically integrated
 397 horizontal advection, barotropic pressure gradient force resulting from the sea surface elevation,
 398 the FM elevation, and the Coriolis force. Integration of the barotropic pressure gradient force
 399 terms can be converted to a trajectory integration using Stokes' theorem. Details of the algorithm
 400 for temporal and spatial discretizations are in Chen et al. (2013). The formation, movement and

401 breakdown of FM could be treated as a typical wet/dry process like water flooding in FVCOM.
402 In this study, a minimum thickness of 0.05 m is used to determine the wet/dry condition for FM.

403 FM movement, as mentioned above, is driven by the waves and currents acting on the
404 interface and by slope-induced gravity. The FM height varies significantly, following fast
405 changes in the source and sink terms at the interfaces, and hence produces the FM-induced
406 barotropic gradient force. Solving the continuity and momentum equations of the FM requires a
407 shorter time step than that used for background hydrodynamics and the sediment model. $R_{\Delta t}$ is
408 defined as the time-step ratio of the hydrodynamic external time step to the FM time step, which
409 helps specification of the time step suitable for the FM dynamics.

410 We observed that FM was commonly generated and moving around in some particular local
411 areas, such as the center of turbidity maximum or locations where the sediment could be easily
412 trapped due to particular bathymetry. This means that the simulation of the FM requires a higher
413 resolution in the regions where there is high probability of the FM occurrence. For this reason,
414 we configured the model with two nested domains: a large domain covering the Changjiang
415 River estuary, Hangzhou Bay, upstream Changjiang River and adjacent coastal regions, and a
416 small refined-grid domain covering the North Passage (Fig. 6). The purpose of the two-domain
417 nested approach is to balance the required local higher resolution with overall computational
418 efficiency. This method had been proven effective in Ge et al. (2013).

419 The grid mesh in the large domain mainly followed the previous model grid used by Xue et
420 al. (2009) and Ge et al. (2013). In this study, we have extended the grid mesh about 400 km to
421 the upstream Datong gauge station on the lower area of the Changjiang River. The grid size of
422 this mesh was ~ 5 km around the open sea boundaries (Fig. 6a), and decreased to 500 m near the
423 river mouth (Fig. 6b). The small domain was configured with a refined mesh with the grid size as
424 small as 100 m (Fig. 6c). The large and small domains are nested on the common-grid nesting
425 boundary around the North Passage (blue curves and dots in Fig. 6b). The other parameters for
426 the two domains are given in Table. 2. The small domain contained 23351 triangular cells and
427 12079 vertices/nodes. A mode-splitting solver was used for the simulation. In this, the currents
428 are divided into external and internal modes that can be computed using two distinct time steps
429 (Chen et al., 2013). The time step was 1.0 s for the external mode and 10.0 s for the internal
430 mode in the large domain, reduced to 0.5 s and 2.5 s for the inner domain. $R_{\Delta t}$ was set to 10,
431 which means the time step for FM was reduced to 0.05 s.

432 In addition, the FVCOM-SWAVE model was used to estimate full wave-current-sediment
433 interactions. The configuration for wave computations mainly followed Qi et al. (2009) and Wu
434 et al. (2010).

435 The model is driven by multiple external physical forcings. Daily freshwater discharge from
436 the Changjiang River is considered at the upstream modeling boundary (data source:
437 www.cjh.com.cn). A total of 10 major astronomical tidal components are specified at the lateral
438 boundaries, including four diurnal tides (K1, O1, P1, and Q1), four semi-diurnal tides (M2, S2,
439 N2, and K2) and two quarter-diurnal tides (M4 and MS4). The surface atmospheric forcing is
440 provided by ERA-Interim data from the European Centre for Medium-Range Weather Forecast
441 (ECMWF). It has 0.125° spatial resolution and 3 h temporal resolution.

442

443 4.4 Model validation and sensitivity

444 The model was validated for tidal elevation and currents, residual flow, salinity, and
445 sediment concentration in previous studies (Ge et al., 2013; 2015). The same types of model-data
446 comparisons were made in this study. Here we focus on the model-data comparison of winds,

447 waves, and sediments.

448 The model was driven by tidal forcing on the open boundary in the inner shelf area of the
449 East China Sea, by freshwater discharge from the upstream Changjiang River, and by ECMWF-
450 derived 10-m winds and surface heat flux. We first compared the ECMWF winds with the wind
451 records from three buoys named Chang Jiang Kou (CJK), Niu Pi Jiao (NPJ) and Nan Cao Dong
452 (NCD) (Fig. 7a-c). The ECMWF-derived winds were a reasonable match for the observed wind
453 variations, particularly the wind peaks during the typhoon landfall on August 8th. The wind gusts
454 reached ~25 m/s at CJK (Fig. 7a), and ~ 20 m/s at the other two buoys (Fig. 7b-c). The root-
455 mean-square errors at CJK, NPJ and NCD were 2.9 m/s, 1.67 m/s and 1.69 m/s, respectively.
456 Our comparison indicated that the ECMWF-derived winds were robust, with reasonable
457 accuracy, in the Changjiang Estuary during the survey period.

458 The SWAVE-simulated significant wave heights were directly compared with available wave
459 records from the buoys. The root-mean-squared errors at CJK, NCD and NPJ were 0.52 m, 0.34
460 m and 0.65 m, respectively. The results showed that SWAVE not only accurately reproduced the
461 observed significant wave heights, but also captured well wave propagation in the Changjiang
462 Estuary during the typhoon (Fig. 7). Both SWAVE and the observations had a maximum
463 significant wave height (H_s) of ~4.5 m on CJK (Fig. 7d) and of ~3 m on NPJ and NCD (Fig. 7e-
464 f). The ECMWF-derived winds showed no significant difference among the three buoys, but H_s
465 decreased significantly, by 1.5 m, from CJK to NPJ and NCD, suggesting that the typhoon
466 produced strong swells that propagated towards the Changjiang River estuary and dissipated
467 rapidly when reaching the dike and groyne area. The good agreement between model-simulated
468 and observed waves made us believe that our model was capable of capturing the nonlinear
469 wave-current interaction during the typhoon passage. Combining the water velocity data with the
470 surface wave data, we found that the significant wave height was larger during the high tide, and
471 lower during the low tide. This was caused by deeper water depth during high tide, which led to
472 a weaker bottom friction for waves. It is beneficial to the wave propagation during the high tide.
473 During the low tide, the shallower depth caused larger friction, which suppress the wave
474 propagation.

475 Since the two-layer model characterizes the whole water column through two parts, upper
476 low-SSC suspension and lower fluid mud. For the fluid mud layer, it is described as constant
477 concentration mud column. It is unable to directly simulate the mud concentration. Therefore, to
478 assess the model, we make the model-data comparison for upper suspended sediment
479 concentration and FM thickness separately.

480 The sediment model was validated by Ge et al. (2015) through direct comparisons of
481 sediment concentrations in the suspension layer. In this study, the comparison was focused on
482 the FM between the suspension and seabed layers. The model-simulated and observed sediment
483 concentrations were in good agreement at the different measurement depths over the spring-neap
484 tide (Fig. 8). The model successfully reproduced the low-SSC region at NGN4S (Fig. 8j-l), and
485 the high-SSC turbidity maxima at CS3S (Fig. 8a-c), CSWN (Fig. 8d-f) and CS7S (Fig. 8g-i). The
486 model nicely resolved the SSC variation in the upper column above the middle depth. However,
487 it failed to capture some sudden peaks near the bottom. For example, the SSC records showed a
488 rapid SSC increase at CSWN (Fig. 8f) during the neap tide and at CS7S during the transition
489 period (Fig. 8i). These SSC peaks had the same magnitude as those found during the spring tide
490 and were probably caused by either mixing effect between the FM and the suspension layer or
491 oscillations of the FM surface. This near-bottom area of the upper suspension column was
492 affected by the lower fluid mud, leading to greater SSC in the bottom region of suspension

493 column. This feature, however, was not included in the model. In the numerical model, the upper
494 suspension was calculated through relatively low-SSC sediment dynamics. That is the reason the
495 model was unable to reveal the greater SSC pulse.

496 The model-simulated distribution of the FM thickness along the navigation channel was also
497 compared with the observed data (Fig. 9a). Since the measurements were made during the cruise
498 survey on August 12, the comparison was made based on the 24-h averaged FM thickness on
499 that day. The comparison showed that the FM model reasonably matched the major distribution
500 pattern of the FM, particularly at sites from CS7 to CS10 in the low reach of the North Passage:
501 the region of FM occurrence was well reproduced. The model-simulated FM thickness was about
502 1.6 m. Although, in this region, the model results had more peaks and troughs, it produced
503 magnitudes similar to be observations. Considering that these measured data were an average of
504 values measured on the cruise over a 2-3 km distance, the FM model robustly captured the
505 dynamics of FM formation.

506 The FM model contains various prescribed parameters that are listed in Table. 2, such as
507 sediment concentration within FM (C_m), the friction coefficients at two material interfaces (f_s
508 and f_m) and dewatering efficiency (V_0). The modeling sensitivity for the FM layer could be
509 assessed. The model was configured with different settings for these parameters. f_m , f_s and V_0 are
510 set to +10% larger and -10% smaller than the original configuration as shown in Table. 2. The
511 C_m was specified as +5% larger and -5% smaller.

512 The sensitivity testing results is shown in Fig. 9b. It indicates that the FM model was not
513 sensitive to f_m and f_s . With smaller changes, these two parameters demonstrated weak or
514 moderate fluctuations, compared with original results. But dewatering velocity and mud
515 concentration showed the significant sensitivity during the FM modeling. Particularly, smaller
516 C_m and V_0 could produce much thicker FM layer. There is approximately a 20 cm increase on the
517 thickness of the FM layer from CS7 to CS10 and outer region (Fig. 9b). The FM could be
518 extended to CSW and CS3 region under these two conditions. The increase of C_m and V_0 could
519 lead to smaller prediction for the thickness of FM layer.

520 **5 Formation, transport and breakdown of FM**

521 The tide current, waves, suspended sediment, saltwater intrusion, and mixing via
522 stratification are major physical processes in the Changjiang River Estuary. These processes
523 nonlinearly interacted each other to mutually lead to the formation, extension and breakdown of
524 the FM. Using a two-layer FM model, we analyzed the relative contributions of individual
525 processes, especially to the temporal and spatial variations of the FM.

526 **5.1 Formation of FM**

527 At selected sites in the middle of navigational channel from inner CSWM and CS7M to outer
528 CS4M and CS10M, the time series of the simulated FM thickness clearly showed that the FM
529 originally formed in the outlet region of the North Passage and then propagated landward into
530 the upstream channel (Fig. 10). The FM first appeared at CS4M at the end of August 5, gradually
531 increased in thickness starting from August 7, one day before the typhoon landed, and reached a
532 peak thickness of ~120 cm on August 10 (Fig. 10c). A similar pattern was observed at site
533 CS10M, with a few hours' time lag relative to CS4M (Fig. 10d). At this site, the maximum FM
534 thickness was ~175 cm, occurring on August 10, nearly two days after the typhoon landed. At
535 the inner site CS7M, the FM formed with a two-day delay (Fig. 10b), and its thickness was never
536 as high as that at the outer CS4M and CS10M locations. At this site, the maximum FM thickness

537 was less than 1.0 m. The model results also revealed that the FM thickness oscillated
538 significantly at this site, suggesting that the FM was influenced by strong local source/sink
539 effects and by lateral transport. The site CSWM, however, did not show any occurrence of the
540 FM (Fig. 10a). This result was in good agreement with observations (Fig. 9a).

541 The time evolution of the FM also showed a remarkable tidal influence. Four typical
542 snapshots, T1 to T4, were selected in this analysis, which covered the main period of the FM
543 occurrence (Fig. 10). When the typhoon-induced strong wind swept the North Passage, H_s
544 increased significantly after T1. The FM accumulation started on August 8, and the maximum H_s
545 occurred as the typhoon reached its closest position to the North Passage (Fig. 10). T1 was the
546 time at which a significant FM formed at CS4M within the North Passage, then CS10M was
547 covered by the FM shortly at T2. The formation stage can be determined by the time duration
548 from T1 to T2.

549 Snapshots at the formation stage (T1 to T2) of the FM were used to determine the spatial
550 variability of the FM (Fig. 11). It was clear that the FM started to form around CS4M and
551 CS10M in the outlet region of the North Passage at 18:00 August 7, with its thickness rapidly
552 reaching ~65 cm in the initial stage before the typhoon landfall (Fig. 11a). The FM then
553 significantly accumulated and covered the channel area from CS4M to CS10M at T2 (Fig. 11b).
554 Snapshots of the SSC on an along-channel section overlapped with the FM and water movement
555 velocities showed that at the initial stage of FM formation, the lower part of the water column, in
556 the lower reach of the North Passage, was occupied by the high-concentration sediment
557 suspension (Fig. 12a). The SSC concentration was mainly > 4 g/L, with a value of > 8 g/L near
558 CS10M. As a result, a thick FM formed near CS10M at T1 (Fig. 11a and 12a). At this time, the
559 tidal current flowed seaward and pushed the FM in the same direction. Near the bottom,
560 however, the tidal current was only ~ 0.1 - 0.2 m/s, so that its influence on the FM movement was
561 weak. This explains why the velocity of the FM layer was only ~ 0.05 m/s, and the whole FM
562 layer was relatively thin.

563 At T2, when the typhoon-induced maximum wind occurred, the strongest surface waves
564 created much greater SSCs in the suspension layer in the lower reach of the North Passage (Fig.
565 12b). The mixing was significantly enhanced by wave-current interactions. As a result, the high-
566 SSC water not only dominated the bottom, but also entrained into the middle of the water
567 column. The FM then flowed upstream following the ambient tidal flow. Under these conditions,
568 the FM flow velocity was ~ 0.2 m/s near CS4M.

569 In this study, the stratification was estimated based on the salinity front in the water column.
570 Along the channel section, the vertical distribution of FM and corresponding salinity indicated
571 that there was a strong saltwater intrusion into the North Passage (Fig. 13). In the early and
572 growing stages of the FM during the moderate tide, the salinity front was not very strong. The
573 vertical salinity gradient was also not very large, suggesting tidal mixing was still significant in
574 this period (Fig. 13a). At T2, under a similar tidal condition, the water remained vertically well-
575 mixed (Fig. 13b).

576 The typhoon caused strong impacts on various dynamics mentioned above, including wave,
577 flow and stratification. To determine the triggering physical processes leading to the FM
578 formation, three additional numerical experiments were made. The first experiment considered
579 the effect of typhoon waves on the flow, sediment and FM. In this experiment, the typhoon wave
580 forcing was not included in the hydrodynamic modeling. We called it to be the no-wind case.
581 The second experiment considered the effect of typhoon winds on hydrodynamics, but the wave
582 impact was not included. We call it to be the no-wave case. The third experiment considered the

583 effect of both typhoon-induced winds and waves on the flow, suspended sediment and FM. In
584 this experiment, no stratification was taken into account, so we called it to be the barotropic case.
585 In all the three cases, the water column sediment and FM models followed the configuration as
586 shown in Table 2 without any modifications.

587 Taking the formation process at CS4M as an example, the results of these three experiments
588 are shown in Fig. 14, and they were compared with the result from original simulation shown in
589 Fig. 10c. We found that the wave was not an essential factor for the FM formation. Without the
590 wave impact, the FM could still form and grow thicker. The thickness of the FM was about 10
591 cm higher compared with the original simulation result. In this case, the near-bottom shear stress
592 was smaller, suggesting a weaker turbulent energy on the FM-suspension interface. It led to a
593 weaker entrainment and thus a thicker FM layer.

594 The results from the no-wind and barotropic cases suggested that the stratification was the
595 key physical process leading to the FM formation. The no-wind condition was still able to
596 produce the significant formation of the FM, with the magnitude of ~40-70 cm. In this case, the
597 FM occurred with about 3-day delay. This result implied that the FM could be formed even
598 without the typhoon-wind impact. The barotropic case, however, showed no FM generation, no
599 matter whether typhoon-induced waves and winds activated or not. The only difference between
600 the no-wind and barotropic cases was the level of stratification. Therefore, it was no doubt that
601 the stratification is a necessary condition that is a triggering effect for the FM formation.

602 The no-wind and original experiments suggested that the typhoon wind did have a significant
603 influence on the massive formation of the FM. The strong surface wind significantly modified
604 the flow condition and stratification. Under the no-wind condition, the distributions of the flow
605 and salinity along the channel showed that the saltwater intrusion was much weaker than that in
606 the original simulation. The isohalines in the no-wind scenario had greater extension at selected
607 four snapshots. At T1, the isohalines of 5.0 to 15 PSU at the intrusion front covered an about 15-
608 km length of the channel (Fig. 15a). Whereas in original simulation, the along-channel length
609 covered by these isohalines was less than 10 km. In the vertical, the salinity gradient at CS10M
610 was much stronger in the original case than in the no-wind case. For the other three selected
611 times, the salinity gradients around the intrusion front were much stronger under the condition
612 with a full typhoon impact (Fig. 13b-d) than that in the no-wind scenario (Fig. 15b-d). The
613 thickness and FM coverage were both smaller than that in the case with the typhoon. In fact, the
614 typhoon wind strongly enhanced the saltwater intrusion and thus intensified the degree of
615 stratification. Therefore, stratification was the key physical process producing the massive
616 formation of FM.

617 Previous observational and modeling studies have confirmed that stratification via vertical
618 mixing have strong effects on the FM dynamics (Geyer et al., 1993; Winterwerp, et al., 1999; Ge
619 et al., 2018). Additionally, in an estuary, the lateral and vertical mixing between the low-salinity
620 fresher water and high-salinity sea water can create the complicated haloclines, and establish a
621 baroclinic pressure gradient force. The salinity-induced density gradient, in turn suppresses the
622 production of turbulent kinetic energy in the water column and hence weakens vertical mixing
623 (Geyer et al., 2011), which can greatly modulate suspended sediment advection and diffusion
624 (Liu et al., 2011; Ren and Wu, 2014; Ge et al., 2018).

625

626 5.2 Transport of FM

627 After the FM began to form, the astronomical tides transitioned from the spring phase (T2) to
628 neap phase (T3). This period was defined as an extension stage of the FM. During the time from

629 T2 to T3, the near-bottom currents reached ~ 1.5 m/s at all four sites, suggesting strong tidal
630 mixing in that region, followed by thickness oscillations at CS10M, CS4M, and CS7M (Fig. 10).
631 It expanded seaward along the channel, and also gradually propagated upstream, with the FM
632 center shifting to the channel near CS4M, and finally reaching CS7M (Fig. 11c). The maximum
633 thickness was above 1.5 m at CS4M, dropped to 70 cm at CS10M, and was 83 cm at CS7M. By
634 T3, however, the FM appeared as isolated patches in the northern shoal, with a thickness of
635 15~50 cm. This explains why a high SSC was observed at the shoal sites during the field
636 campaign (Table 1), suggesting that the FM could have formed and been transported into the
637 shallow shoals.

638 At T3, the FM thickness reached its maximum. At this time, the SSC significantly decreased,
639 but the high-SSC bottom water was still visible in the suspension layer (Fig. 12c). A relatively
640 stronger flow was found in the lower column around CS7M, with a velocity of about 1.0 m/s
641 near the bottom and of almost zero near the surface. This caused the FM to flow at a higher
642 speed. The velocity reached 0.4 m/s and 0.1 m/s at the onshore-extension FM head and tail,
643 respectively.

644 During the neap tide, the saltwater intrusion extended upstream into the middle area of the
645 channel. At T3, the FM thickness reached its maximum value and the salinity front reached the
646 area near CS2M (Fig. 13c). The near-bottom layer around the middle area near CSWM was
647 dominated by high-salinity water (Fig. 13d).

648 It was found that the FM locations were highly correlated with the salinity haloclines in the
649 saltwater intrusion front. FM extension mainly followed this front (Fig. 13). It can be inferred
650 that salinity-induced stratification was a major factor in the maintenance of high SSC in the
651 suspension layer and in FM formation. This relationship was suggested by previous studies in the
652 Changjiang Estuary (Ge et al., 2018; Wan and Wang, 2018; Li et al., 2018), similar dynamic
653 regions in the Magdalena River of Colombia (Restrepo et al., 2018) and in the Rhine-Meuse
654 estuary (De Nijs and Pietrzak, 2011).

655 Stratification could also be enhanced by sediment distribution (Geyer, 1993; Winterwerp,
656 2001, 2006; Ge et al., 2018). The high SSC in the suspension layer corresponded with the
657 intrusion front, and strongly influenced the near-bottom part of the water column (Fig. 12). With
658 high SSC above the FM (Table 1), the sediment-induced vertical density gradient was strong;
659 intensifying the stratification. The combined effect of salinity and sediment weakened vertical
660 mixing that produced the extension of FM at the intrusion front. Therefore, under the extension
661 stage, the transport of FM was consequently controlled by the saltwater intrusion. The extension
662 edge of FM was constrained within the salinity front.

663 5.3 Breakdown of FM

664 On August 8-9, after the typhoon passed, the tide transitioned to the neap phase from T3 to
665 T4. At these three sites, the FM thicknesses all reached their maximum values within the neap
666 tide. Over this period, after the typhoon passed, H_s dropped substantially and the currents
667 reached a minimum of 1.0 m/s at all three sites.

668 At T4, the SSC in the suspension layer significantly decreased. Under the weak tidal and
669 wave conditions in the neap tide, SSC in the channel was low (Fig. 12d). FM movement still
670 followed the ambient tidal currents and saltwater intrusion (Fig. 13d). The head of the FM body
671 continued a rapidly landward movement, to arrive at a location near CSWM, and a seaward
672 movement to arrive at a location near to CS10M. As a result, the FM was more evenly
673 distributed along the channel.

674 Although the FM reached its maximum during the neap tide, it showed a decreasing trend
 675 over the period from T3 to T4. Therefore, it experienced the breakdown stage after T4. After the
 676 neap tide period, the tidal current gradually increased. On August 15, the magnitude of the tidal
 677 velocity was 1.5 m/s during the neap-spring transition, and then on August 17-18, it was up to ~
 678 3.0 m/s during the spring tide. During this period, H_s was low, about 0.5 m in the outlet area and
 679 0.25 m at CSWM (Fig. 10). Under these physical conditions, FM continued to diminish. It
 680 almost disappeared on August 17. After that, the weakened FM was only visible at CS4M and
 681 CS10M, with a thickness of < 50 cm. This was consistent with the SSC measurements, which
 682 showed an extremely high SSC of 87.6 g/L during the spring tide (Table 1).

683 Under FM breakdown stage, the patches over the shoal decreased remarkably (Fig. 11d),
 684 although the FM still continued to propagate near CSWM at 20:00 August 12. The channel of the
 685 lower reach of the North Passage was then covered by the FM, with a thickness in the range of
 686 0.3 m to 1.35 m (Fig. 11d). Most of the FM was then limited to the navigation channel.

687 **6 Discussions**

688 **6.1 Contributions of source and sink terms**

689 The formation and breakdown of the FM were mainly determined by the source and sink
 690 terms in Eq. 13, including *Settling*, *Entrainment*, *Erosion*, and *Dewatering*. The spatial
 691 distributions of these terms and their sum at T2, as an example, are shown in Fig. 16. The results
 692 show an active sediment exchange between the two interfaces in the North Passage. The FM
 693 existed only in a narrow channel from CS4M to CS10M, whereas no FM was detected in the
 694 other regions. The sediment settling had a spatially smoothed distribution around the no-FM
 695 region (Fig. 16a). The SSC was mainly controlled by conventional sediment dynamics; only
 696 settling and erosion occurred in this region. Without the FM, the *Entrainment* equaled the
 697 *Erosion* (Fig. 16b and 16d). This situation applied to most of the North Passage. At T2, the
 698 water velocity was relatively strong in the channel between CSWM and CS4M (Fig. 10). The
 699 bottom shear stress produced strong sediment erosion around CSWM and near main channel
 700 (Fig. 16d). A difference between the entrainment and bed erosion only occurred around the FM-
 701 covered region, mainly in the channel around CS4M (Fig. 16b). There was a significant
 702 entrainment process, which was especially large around the landward tip of the FM near CS4M.
 703 At this time, the FM flow at CS4M was weak, and the upper ambient flow was relatively strong
 704 (Fig. 13b). According to Eq. 15, the entrainment was highly related to the magnitude of $u - u_m$.
 705 The large velocity difference between the suspension layer and the FM suggested strong
 706 turbulent mixing and active mass exchange at the interface, which led to a relatively strong
 707 entrainment around the landward tip. On the other hand, the FM flow was slow, which was
 708 insufficient to produce bed erosion in the FM-covered channel (Fig. 16d). Dewatering only
 709 occurred beneath the FM (Fig. 16c). The sum of these four terms showed a similar distribution
 710 pattern (Fig. 16e). The upper reach of the North Passage was dominated by sink effects,
 711 suggesting that the sediment was mainly eroded into the suspension layer, which was
 712 unfavorable to the FM formation (Fig. 16e). The lower reach was mainly controlled by source
 713 effect, suggesting that the sediment settling dominated. The shallow shoals within the groynes
 714 also had a significant positive net contribution to the FM (Fig. 16e). These shallow shoals can
 715 apparently also accumulate sediment, making them a potential place for the FM formation.

716 During the breakdown stage, T4, the source and sink terms had quite different distributions
 717 than that in the growth stage (Fig. 17). Sediment settling became weak compared with the

718 conditions at T2 (Fig. 17a). In the velocity-decreasing phase during the neap tide, the tidal flow
719 was weak at T4, which led to weak erosion and entrainment. The entrainment was also weak
720 above the FM-covered area, except at the landward tip between CSWM and CS7M (Fig. 17b). It
721 had similar dynamics to those at T2, with a larger FM flow velocity at the extension edge and
722 weaker ambient flow (Fig. 13d). It produced a significant amplitude of $u - u_m$, leading to
723 entrainment. Dewatering was uniform through the FM coverage. According to Eq. 17, the
724 dewatering process was only related to the consolidation rate and FM concentration. In this study
725 since these two attributes were spatially uniform and temporally constant parameters, they
726 inevitably produced uniform dewatering at the FM-bed interface. The sum of four source/sink
727 terms demonstrated that the net effect was negative at T4 (Fig. 17e). It suggested that the
728 dewatering and entrainment dominated the breakdown process of the FM. In the following spring
729 tide, the entrainment contribution strongly grew as a result of energetic tide currents and
730 enhanced mixing. With the joint effect of dewatering and tide-induced entrainment, the FM
731 eventually experienced a strong breakdown process in the spring tide.

732

733 6.2 Contribution of bed property on the mud source

734 Ultimately, bed-stored sediment was the major mud source for the FM. The distribution and
735 variation of this source was controlled by external driving forces, such as tidal mixing and wave-
736 current interaction-produced bottom shear stress. The sediment properties in the seabed influence
737 the sediment amount eroded to the water column, which functioned as a final material source
738 into the FM in following rapid sediment settling. Fine grain-size sediment was the major
739 component in the FM (Winterwerp, 1999). Therefore, the occurrence of the FM was dynamically
740 related to sea bed sediment size and classification.

741 The North Passage is dominated by the fine sediment with grain size smaller than $20 \mu\text{m}$ (Fig.
742 4a), suggesting an abundant mud source for the FM formation. The lower reach of North Passage
743 had clay content $> 20\%$, even $> 30\%$ around seaward outlet near CS4M and CS10M (Fig. 4b).
744 This outlet region provided adequate clay materials for the FM formation, as well as the upper
745 suspension. Energetic conditions produced strong sediment erosion into the water column. With
746 moderate and weak vertical mixing during the transition to the neap tide, the sediment
747 suspension accumulated in the near-bottom layer and formed the FM. Since the bed provided a
748 necessary material availability for the sediment source of the fluid mud layer, the bed sediment
749 property was an essential factor during the formation stage of the FM.

750

751 6.3 Local dredging impact

752 Significant FM formation between CS9 and CS2 (Fig. 3) was observed. However, the
753 numerical model failed to reproduce this (Fig. 9a). The FM thickness at that area was about 75
754 cm and its formation did not follow the mechanism discussed above. The FM was only detected
755 in a narrow region. The model did not capture any local formation or lateral transport of FM into
756 this region. This difference was probably related to anthropogenic effects in the local channel .
757 To maintain an adequate cargo-shipping depth, dredging is undergoing along the navigational
758 channel as a daily routine activity (Pan et al., 2012; Dai et al., 2013; Wang et al., 2015). Some
759 particular locations within the groyne area are selected as a temporary storage for dredged mud.
760 One groyne region between N3 and N4 happened to be a storage site. These sediments would
761 eventually be pumped onto the nearby Hengsha Shoal for land reclamation (Liu et al., 2011).
762 Part of this stored mud had the chance to return to the main channel. During the typhoon passage,
763 the surface waves were not high in the main channel. According to the modeling results, H_s
764 around the CS was about 0.5 m. It was not sufficient to produce a high bottom shear stress, but it

765 was adequate for significant wave-induced stress and disturbance on the shallow shoal between
766 N3 and N4. Previous tripod measurement found a notable cross-channel, southward flow (Ge et
767 al., 2018), which potentially carried the mud from the shallow shoal within the groynes to the
768 deep channel. This flow pattern and the storage of dredging within the shoal could play an
769 important role in the FM formation around CS2.
770

771 **7 Conclusion**

772 A comprehensive field campaign in the area of maximum turbidity in the North Passage of
773 the Changjiang Estuary detected the FM formation after a severe typhoon. The observations
774 showed a greater FM development on spatial and vertical spaces during the neap tide. The SSC
775 was generally above 50 g/L, with a maximum of 87.8 g/L. The FM was predominantly in the
776 near-bottom layer within the navigational channel. The spatial and temporal variability of the FM
777 co-occurred with a strong saltwater intrusion, which led to the pronounced stratification in the
778 neap tide. Acoustic measurements revealed that the FM occurred mainly in the lower reach of the
779 North Passage, with a maximum thickness of ~0.9 m.

780 A two-layer modeling approach, with an upper suspension layer and a lower FM layer,
781 simulated the formation, transport and breakdown of the FM over a period during Typhoon
782 Haikui. This approach was based on the unstructured-grid FVCOM model with the inclusion of
783 full wave-current interactions. The model successfully revealed that the typhoon strongly
784 intensified the stratification level in the outlet region, which essentially led to the FM formation.
785 The simulation indicated that the FM formed under increased stratification about one day before
786 the typhoon landed, and reached its maximum two days after the typhoon passed. Subsequent
787 weak mixing in the neap tide sustained the FM. In the subsequent period of moderate and spring
788 tides, when mixing in the upper water column became stronger, the FM entered its breakdown
789 stage. The simulation results also showed that the FM experienced a strong propagation process.
790 It formed mainly in the outlet region, and gradually extended landward along the channel under
791 the influence of the saltwater intrusion. Eventually, it occupied nearby shallow shoal areas
792 outside the North Passage. The landward extension boundary of the FM had active entrainment
793 as a result of larger velocity difference between FM and ambient tidal flow.
794

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806

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945

Tables and Captions

946 **Table 1.** Sediment concentrations at measurement sites. The labels “S” and “N” in the timing
 947 column indicate the maximum SSC in bottom layer occurred in the spring and neap cycle,
 948 respectively.

Survey site	SSC range in the upper five layers (g/L)	Maximum SSC in the bottom layer (g/L)	Timing of SSC maximum
CS9S	0.013-0.853	1.46	S
CS9M	0.023-1.130	2.18	S
CS2S	0.024-1.720	7.41	N
CS2M	0.048-4.860	87.80	N
CS6N	0.021-3.110	12.80	S
CS6M	0.047-4.440	69.90	N
CS6S	0.016-4.670	21.80	S
CSWN	0.005-4.470	26.40	N
CSWM	0.039-8.340	48.60	N
CSWS	0.029-7.930	40.70	S
CS3N	0.005-5.250	17.70	N
CS3M	0.013-5.690	77.30	S
CS3S	0.012-5.510	12.30	S
CS7M	0.030-4.780	9.53	S
CS7S	0.005-9.820	14.20	S
CS4M	0.004-6.920	74.50	N
CS4S	0.002-3.950	8.28	S
CS0S	0.005-0.953	1.26	S
CS0M	0.000-0.216	0.23	N
CS10S	0.003-2.650	2.21	S
CS10M	0.002-1.760	87.60	S

949

950

951 **Table 2.** Parameters for the nesting models.

	Model for estuary	Model for North Passage
cells	93848	23351
nodes	49854	12079
Time step for external mode	1.0	0.5
mode split ratio	10	5
vertical layers	20	
horizontal mixing coefficient	0.1	
vertical mixing coefficient	1.0×10^{-4}	
τ_{dm}	0.1 N/m ²	
C_m	200 g/L	
M_e	2.0×10^{-4} kg/(m ² s)	
C_s	0.25	
C_σ	0.42	
f_s	0.032	
f_m	0.05	
τ_{ce}	0.2-1.8 N/m ²	
ω_s	0.6 mm/s	
ρ_m	1.1×10^3 g/L	
ρ_s	1.0×10^3 g/L	
V_0	4.0×10^{-6} m/s	
$R_{\Delta t}$	10	

952

953

954

955 **Figure 1.** (a): Bathymetry of the Changjiang Estuary and adjacent coastal region, inserted with a
 956 panel for the track of Typhoon Haikui originated from Iwo Jima during August, 2012. (b): An
 957 enlarged view of the North Passage with dikes and groynes along the nearby Hengsha Shoal and
 958 Jiuduansha Shoal. Dashed red lines represent the navigation channel. The blue dots in two panels
 959 indicate the buoy locations for wind and wave observations. The black dots indicate the sampling
 960 sites for bed sediment. The red dots indicate mooring survey sites for hydrodynamics and
 961 suspended sediment, which were arranged along cross-channel sections. They are labeled with
 962 suffixes *N*, *M* or *S*, indicating locations in the north, middle, and south of the navigation channel.

963

964

965 **Figure 3.** The measured depth from low-, and high-frequency sonars along the navigation
 966 channel (a). The thickness and accumulated FM determined from the depth differences between
 967 the sonars (b).

968

969 **Figure 4.** Spatial distributions of median grain size d_{50} (a) and percentage of clay content ξ^{cl} (b)
 970 of the bed soil sediment. The black dots indicate the soil sampling sites.

971

972 **Figure 5.** Sketch of physical processes involved in FM dynamics, modified from Winterwerp
 973 (1999). The shade indicates the stratification in the water column.

974

975 **Figure 6.** The two grids used in the nested modeling. The overall model grid for the Changjiang
 976 Estuary and its adjacent coastal regions (a), its enlarged view around the North Passage (b) and
 977 the higher-resolution inner model grid within the North Passage (c). The blue curves indicate the
 978 nesting boundary between outer and inner domains.

979

980 **Figure 7.** The model-data comparisons for wind speed at 10-m height (left column) and
 981 significant wave height (right column) at buoys CJK (upper row), NPJ (middle row), and NCD
 982 (lower row). The blue stars and red curves indicate observed and modeled results, respectively.

983

984 **Figure 8.** Model-data comparisons between simulated (red curves) and directly sampled (black
 985 dots) suspended sediment concentrations in surface, middle and bottom layers at sites CS3S (a-
 986 c), CSWN (d-f), CS7S (g-i), and NGN4S (j-l).

987

988 **Figure 9.** (a): Model-data comparisons of thickness of the FM along the navigation channel. (b):
 989 Model sensitivity results for various parameters configurations, including $V_0(1 \pm 10\%)$,
 990 $f_s(1 \pm 10\%)$, $f_m(1 \pm 10\%)$ and $C_m(1 \pm 5\%)$. The data thickness uses the one-day averaged
 991 measurements from August 12, 2012.

992

993 **Figure 10.** The timeseries of simulated thickness of the FM (red curves), significant wave height
 994 (black curves) and tide current velocity (blue curves) from August 5 to 20 at selected middle-
 995 channel sites CSWM (a), CS7M (b), CS4M (c), and CS10M (d). T1, T2, T3, and T4 are four
 996 selected timings at 2012-08-07 18:00:00; 2012-08-08 08:00:00; 2012-08-10 14:00:00, and 2012-
 997 08-12 20:00:00, respectively.

998

999 **Figure 11.** Spatial distribution of the FM thickness within the North Passage at T1 (a), T2 (b),
 1000 T3 (c), and T4 (d). Black dots indicate selected survey sites.

1001
1002 **Figure 12.** Vertical distributions of currents and SSC along the navigation channel at T1 (a), T2
1003 (b), T3 (c), and T4 (d). The dark shades indicate the corresponding distribution of FM thickness
1004 along the channel. The blue and red arrows indicate the tidal current and FM flow, respectively.
1005 The arrow length and head size are scaled to current velocity.

1006
1007 **Figure 13.** Same as Figure 12, except that the colors indicate salinity.

1008
1009 **Figure 14.** The timeseries of simulated thickness of the FM from the original (red curve), no-
1010 wind (black curve), no-wave (green curve) and barotropic (blue curve) cases.

1011
1012 **Figure 15.** Same as Figure 13, except that modeled results are from the no-wind case.

1013
1014 **Figure 16.** Spatial distributions of deposition (a), entrainment (b), dewatering (c), erosion (d)
1015 occurring at the FM-bed and FM-suspension interfaces at the time T2. The sum of these four
1016 source/sink terms is also included (e). The black solid curves outline the coverage of FM.

1017
1018 **Figure 17.** Same as Figure 16, except at time T4.

1019
1020

Figure 1.

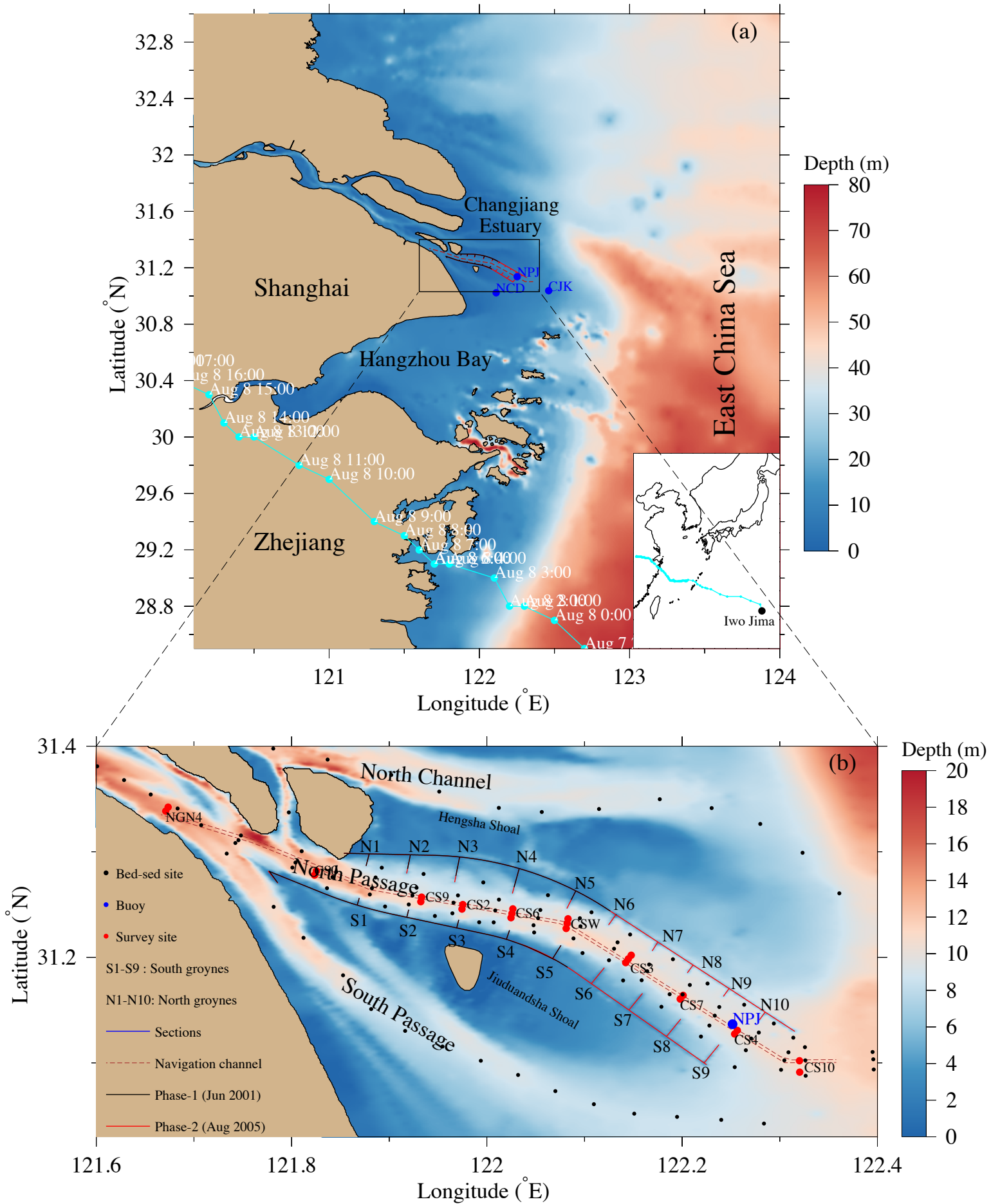


Fig.1

Figure 2.

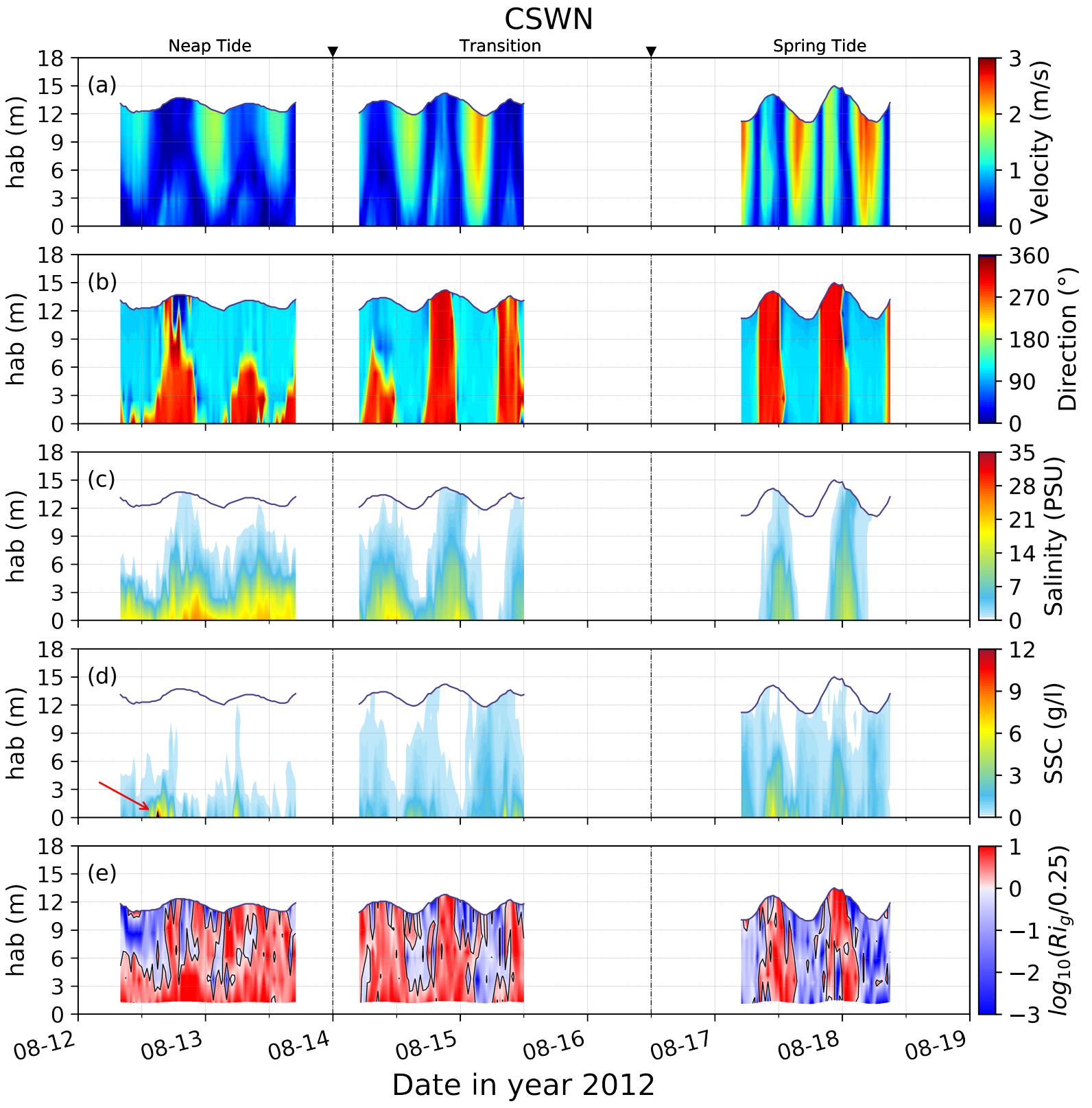


Fig. 2

Figure 3.

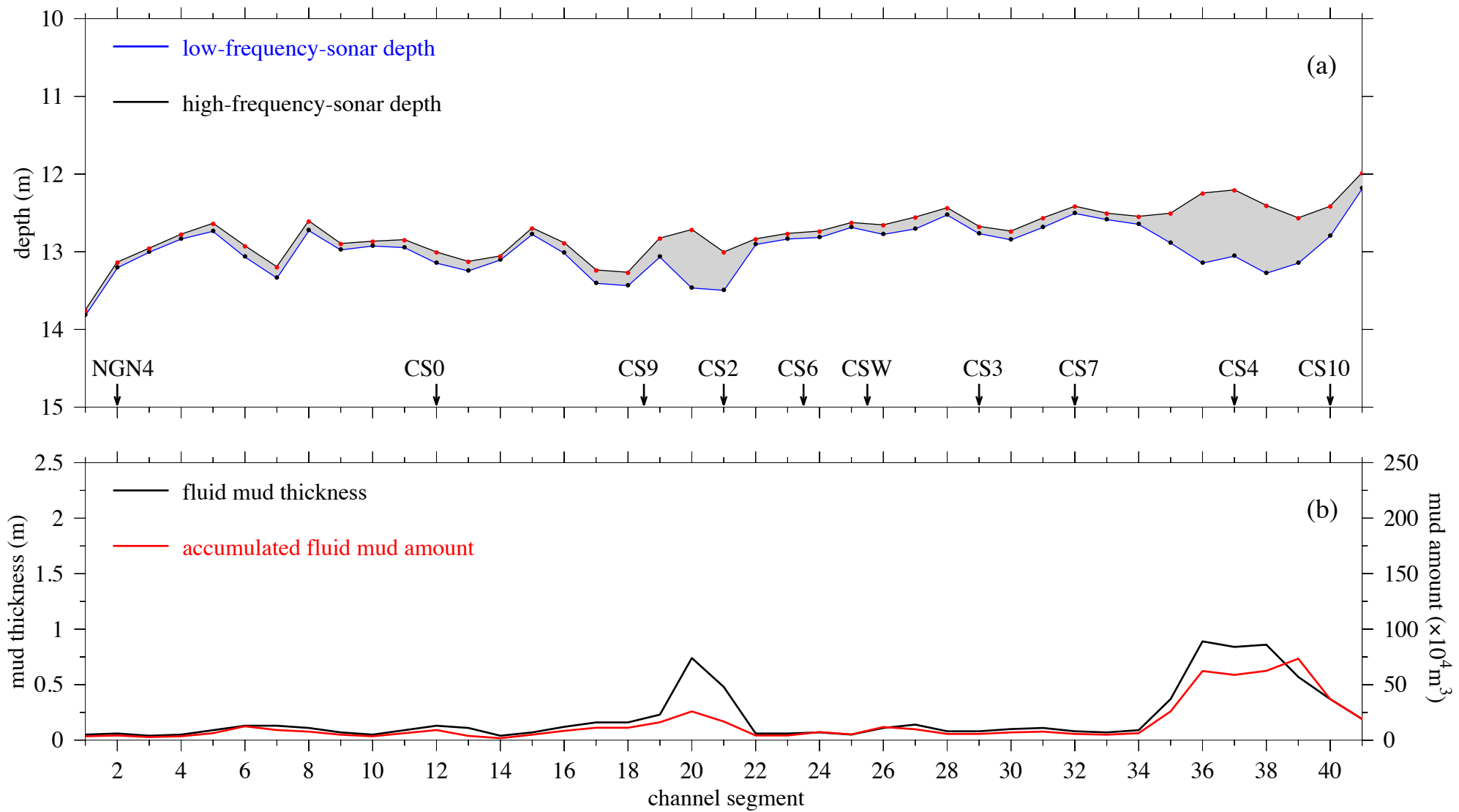


Fig.3

Figure 4.

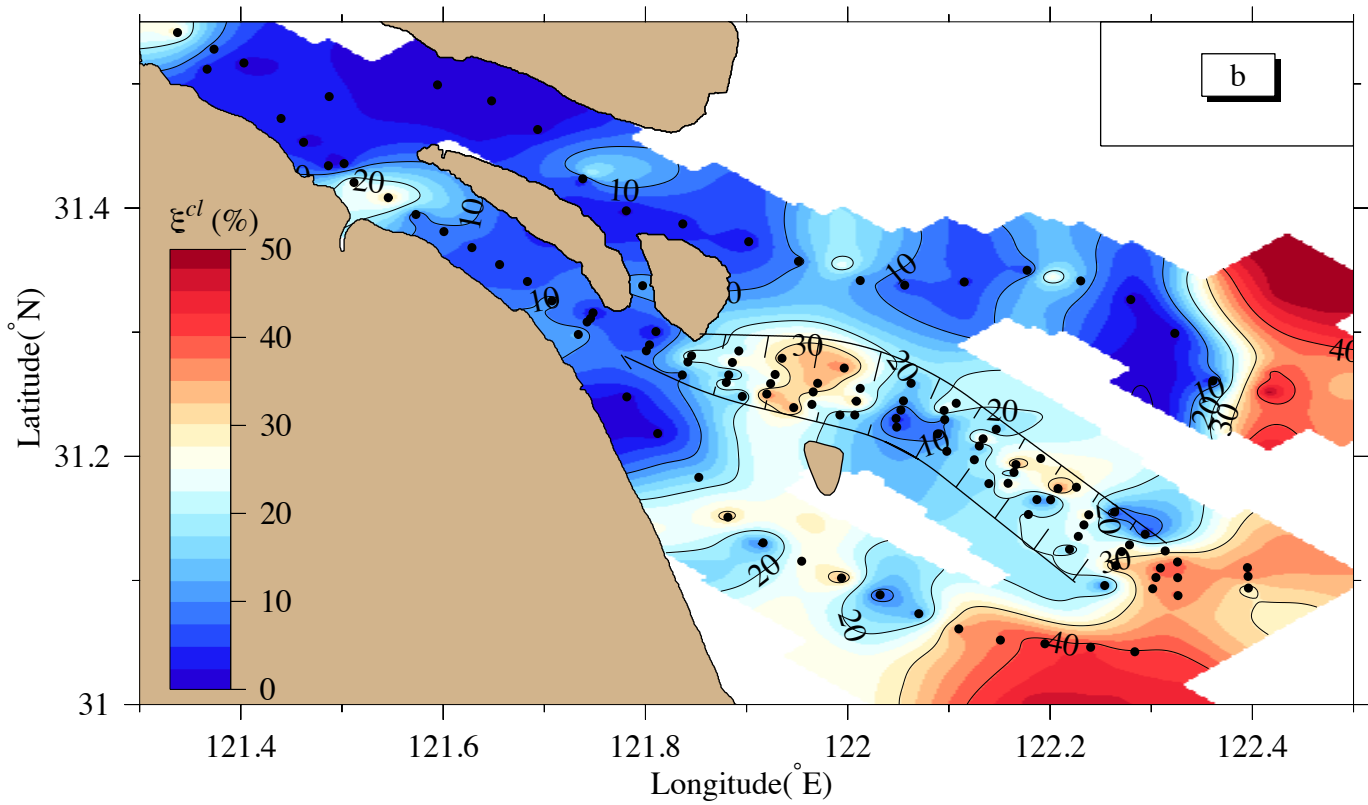
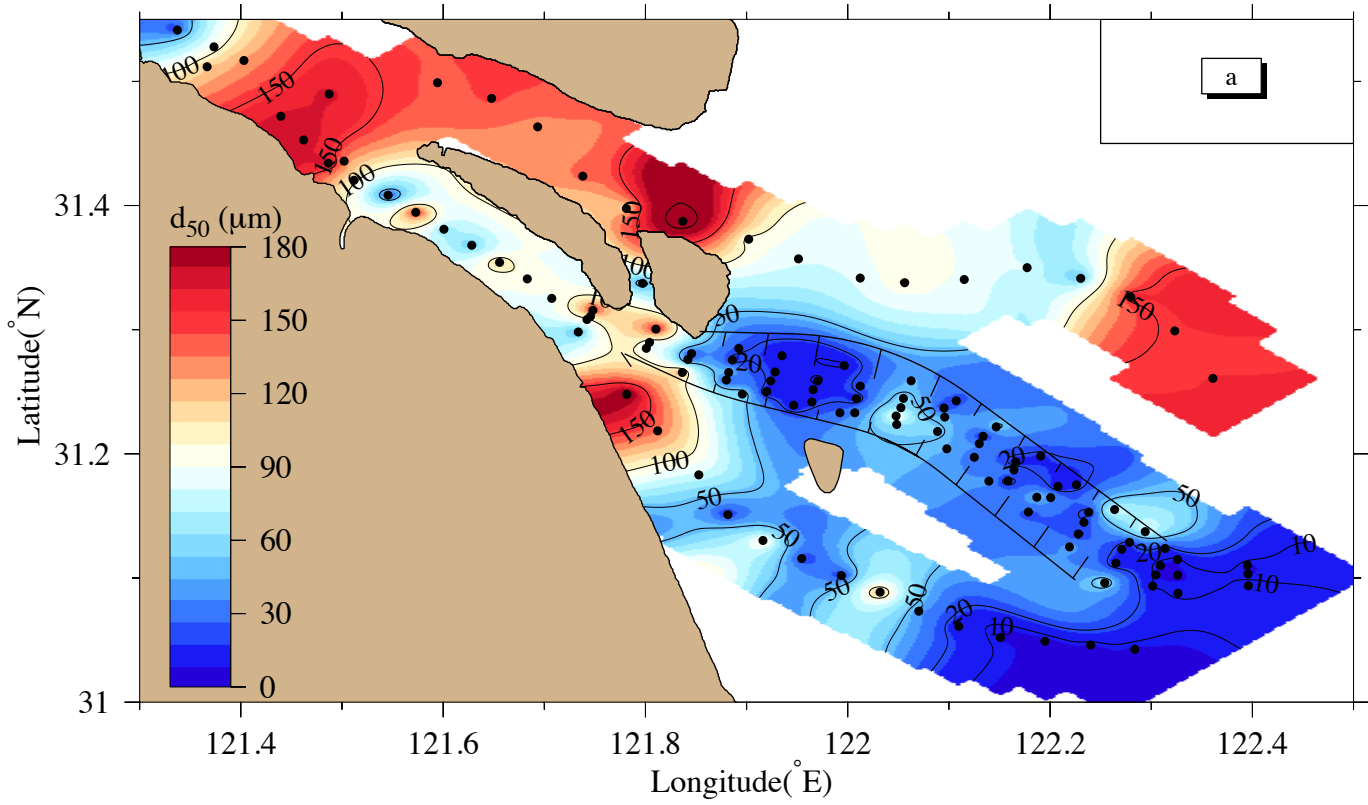


Fig. 4

Figure 5.

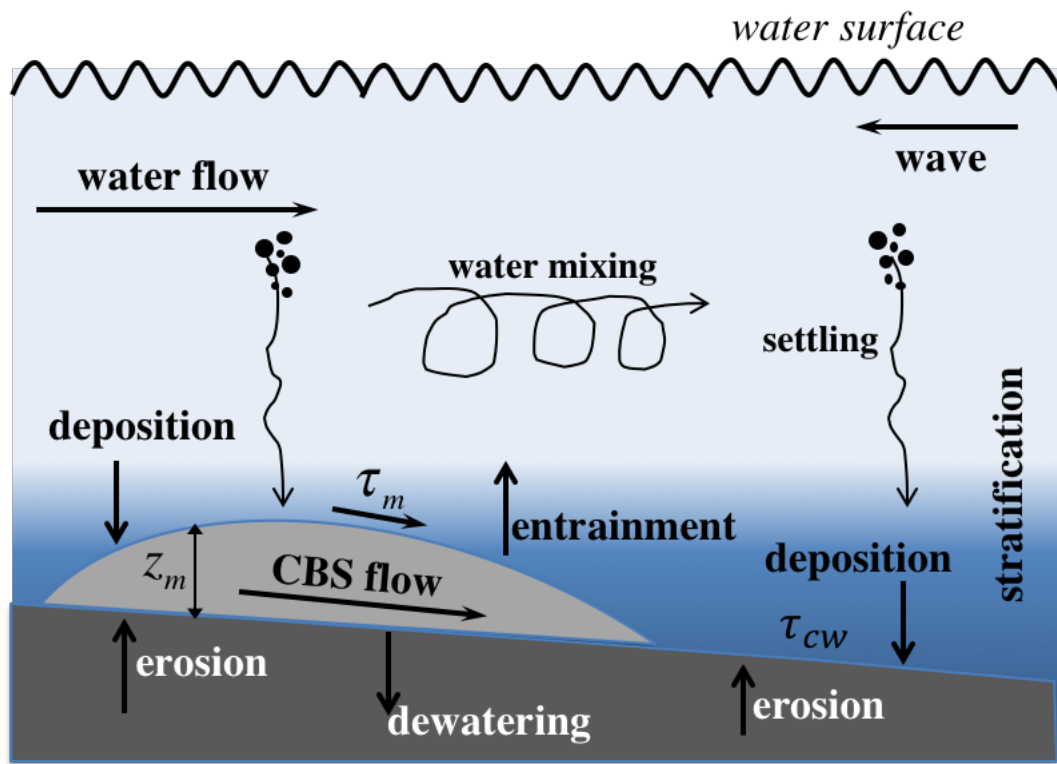


Fig. 5

Figure 6.

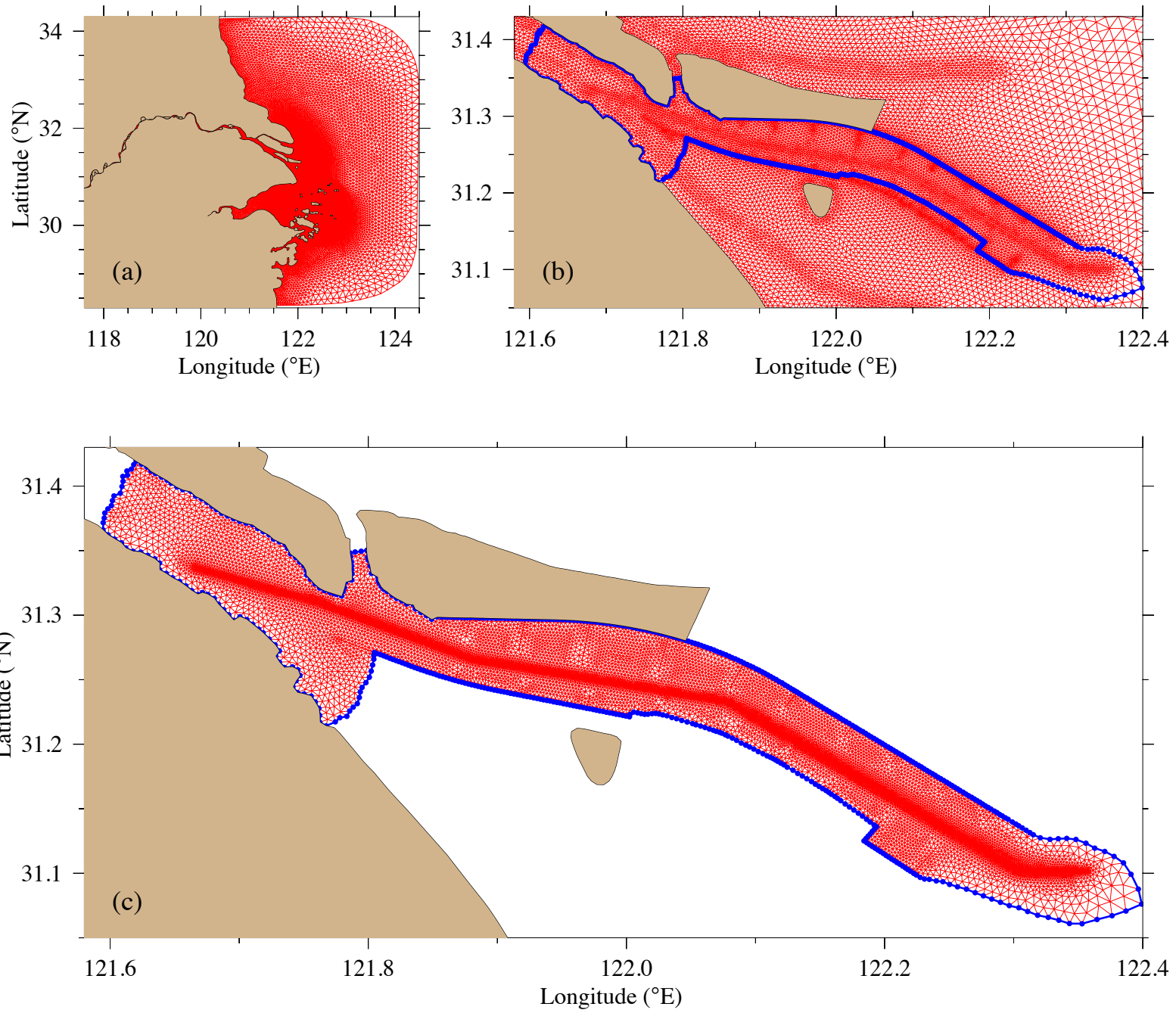


Fig.6

Figure 7.

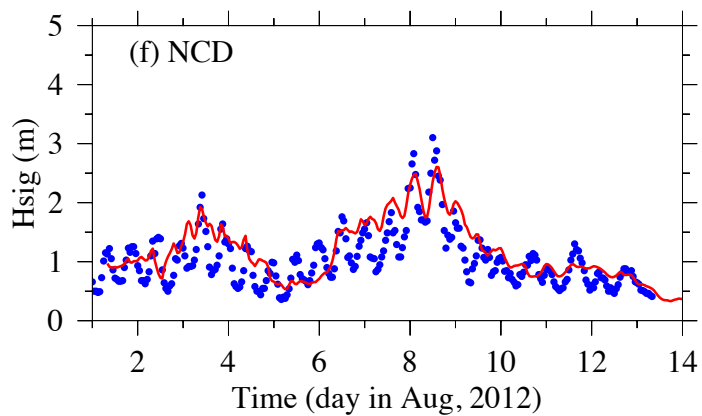
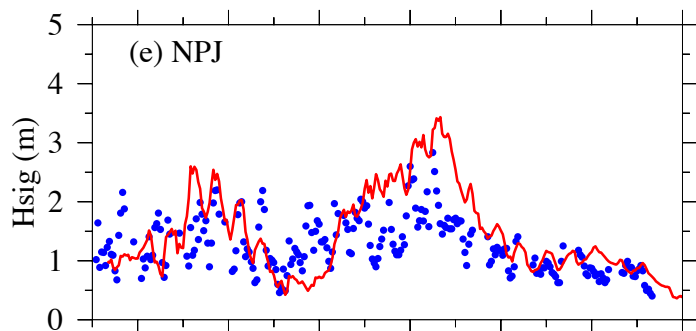
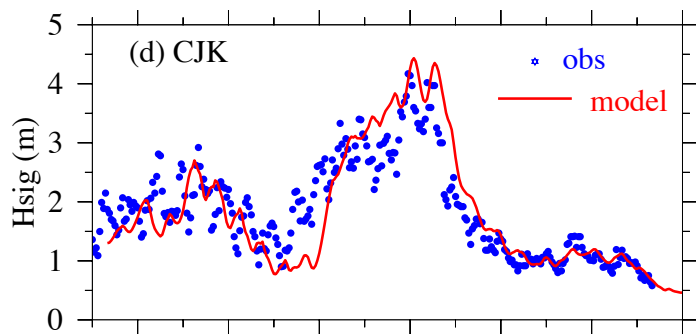
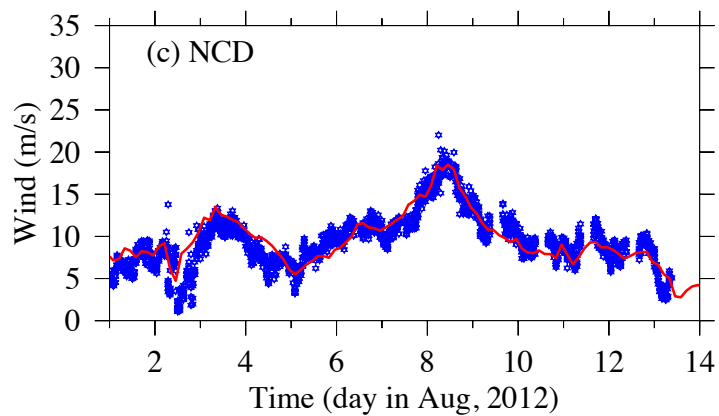
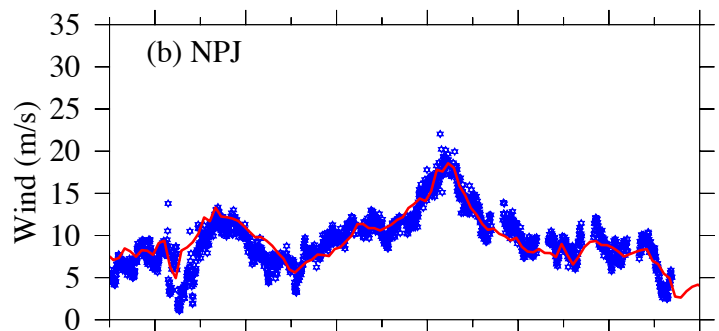
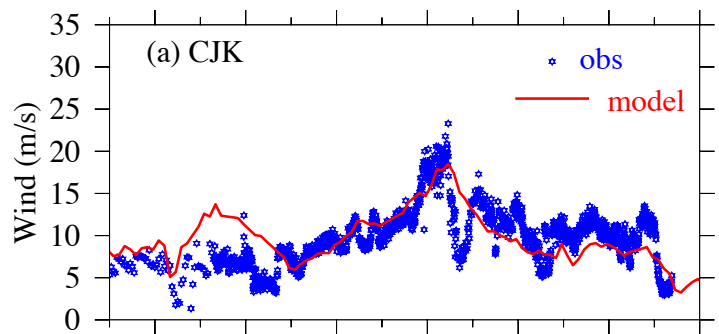


Fig. 7

Figure 8.

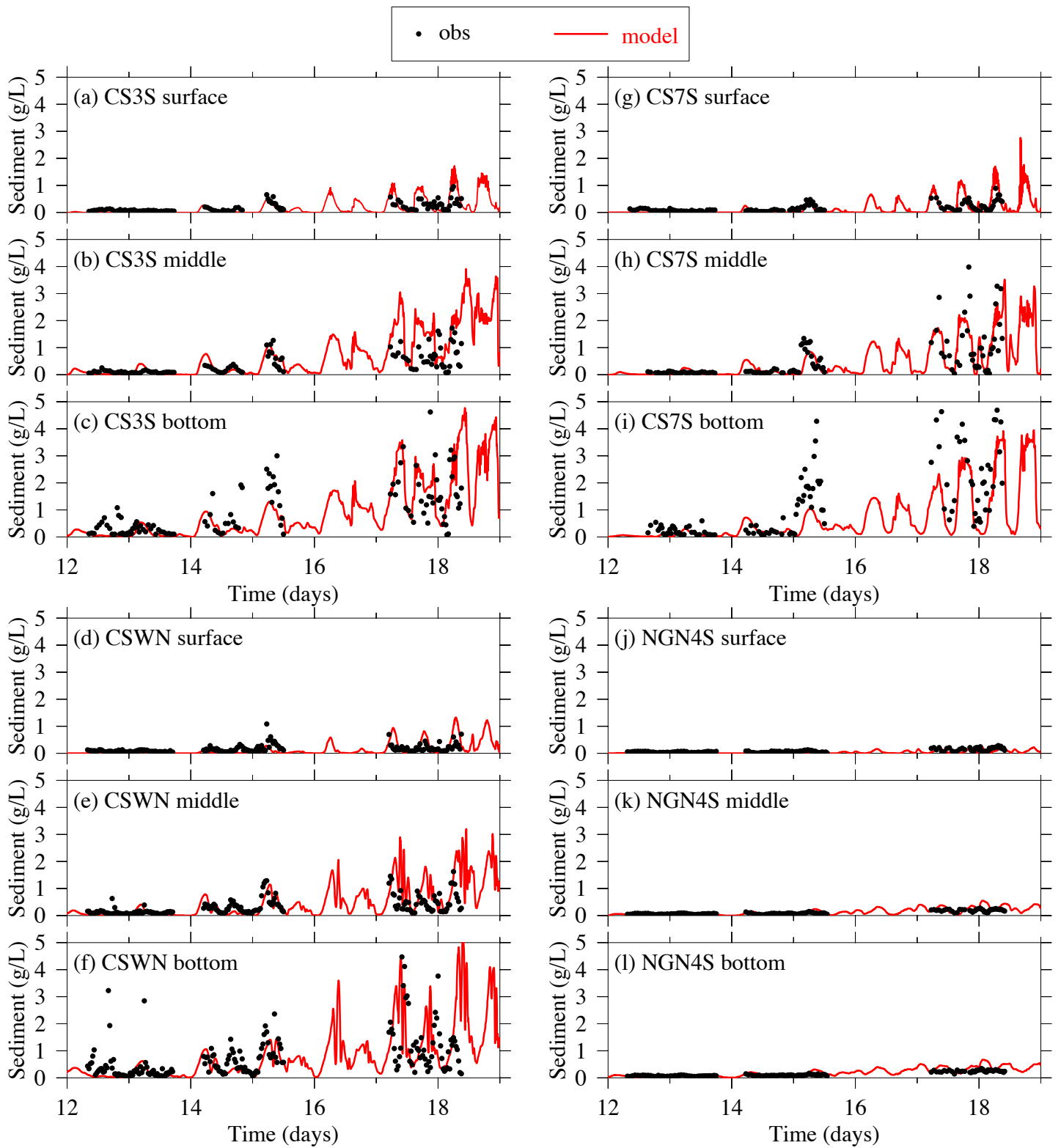


Fig. 8

Figure 9.

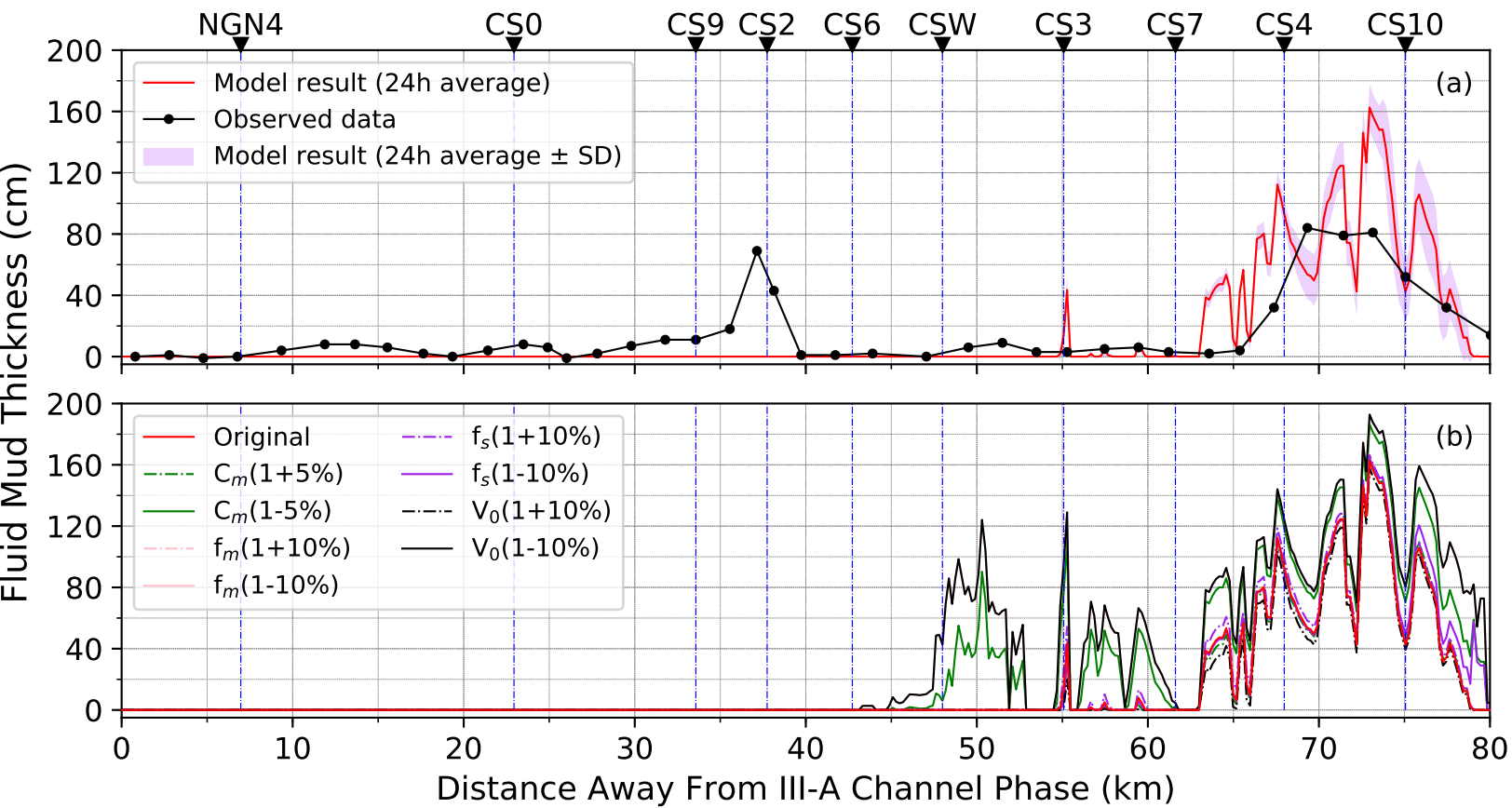


Fig. 9

Figure 10.

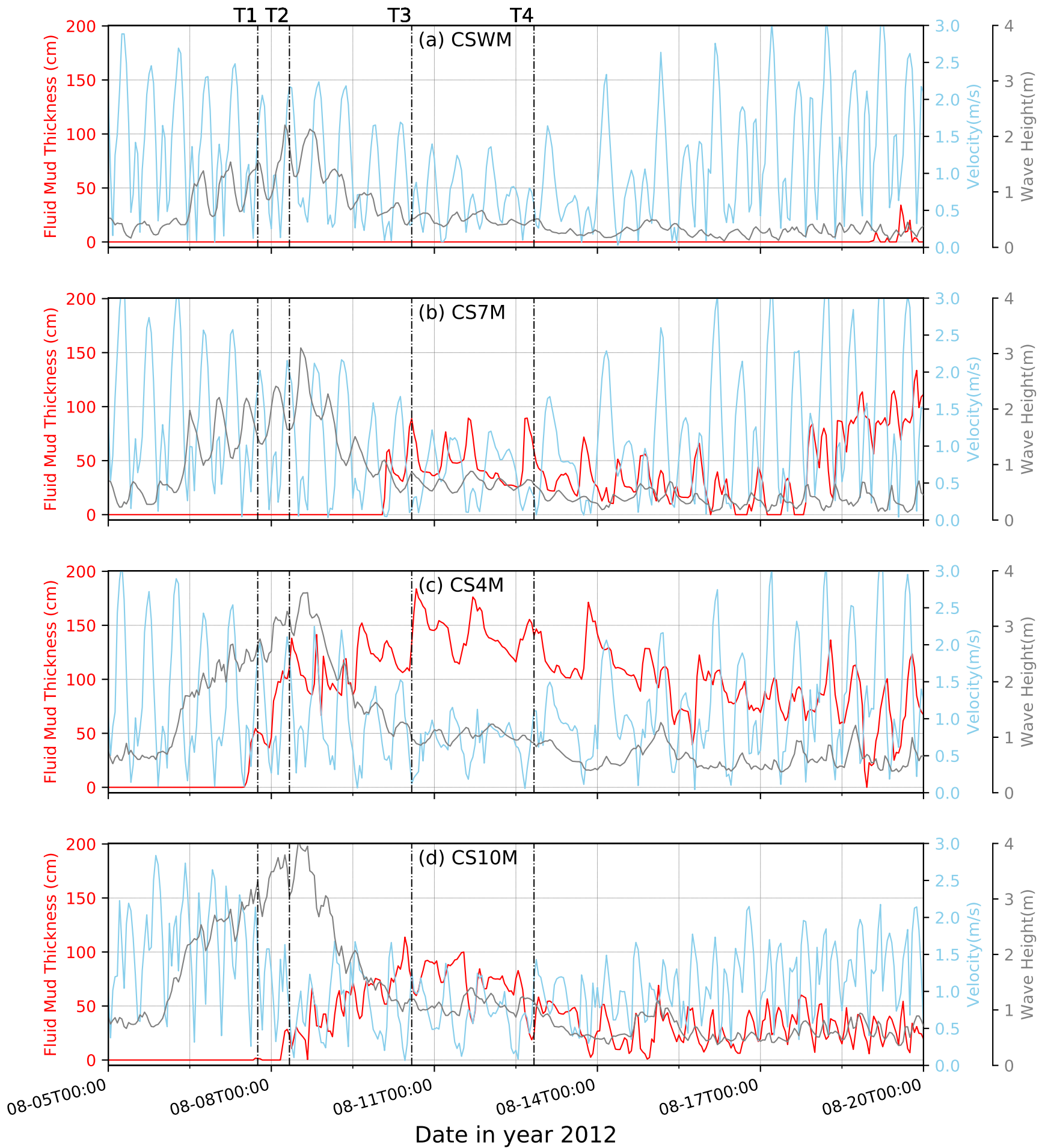


Fig. 10

Figure 11.

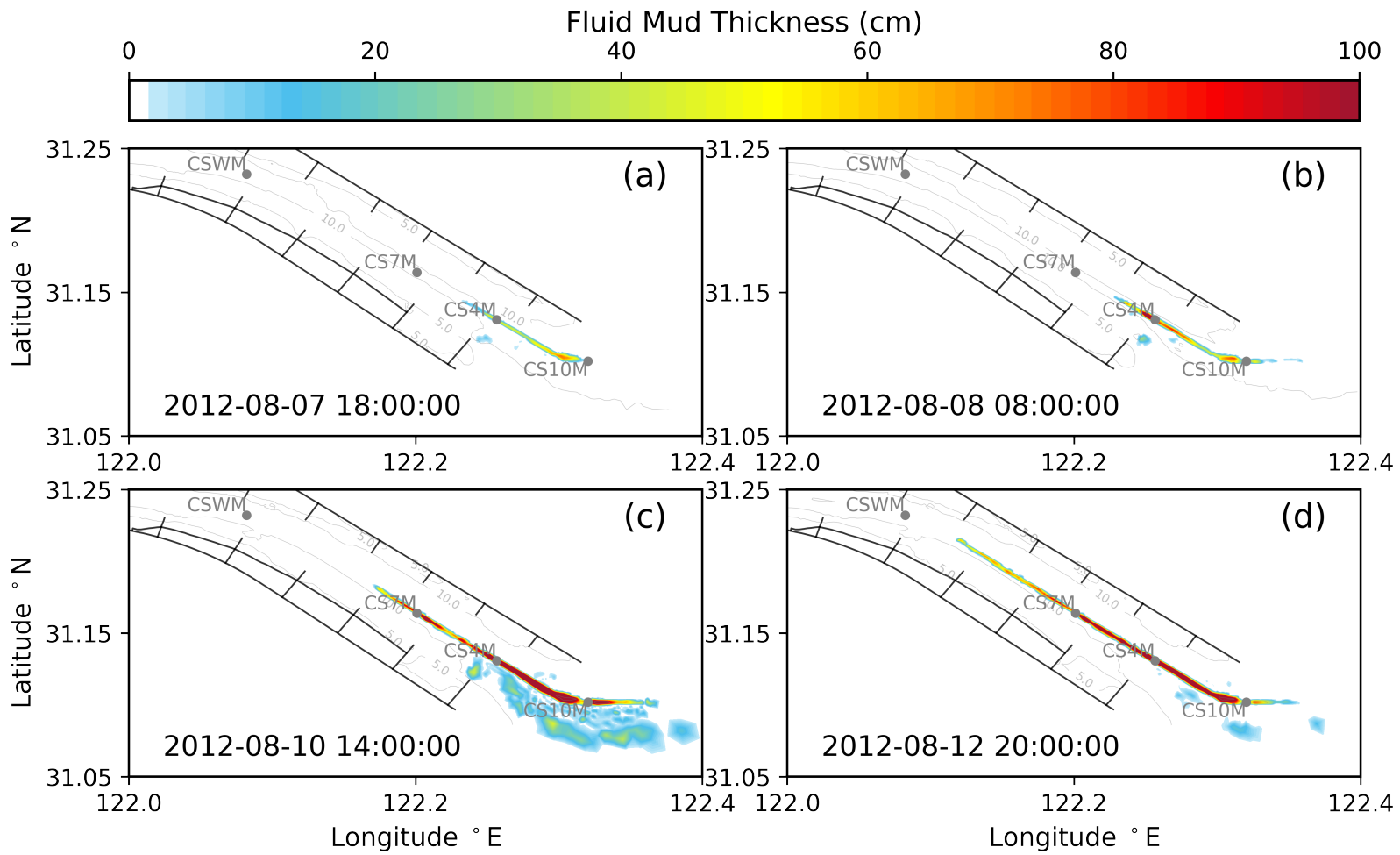


Fig. 11

Figure 12.

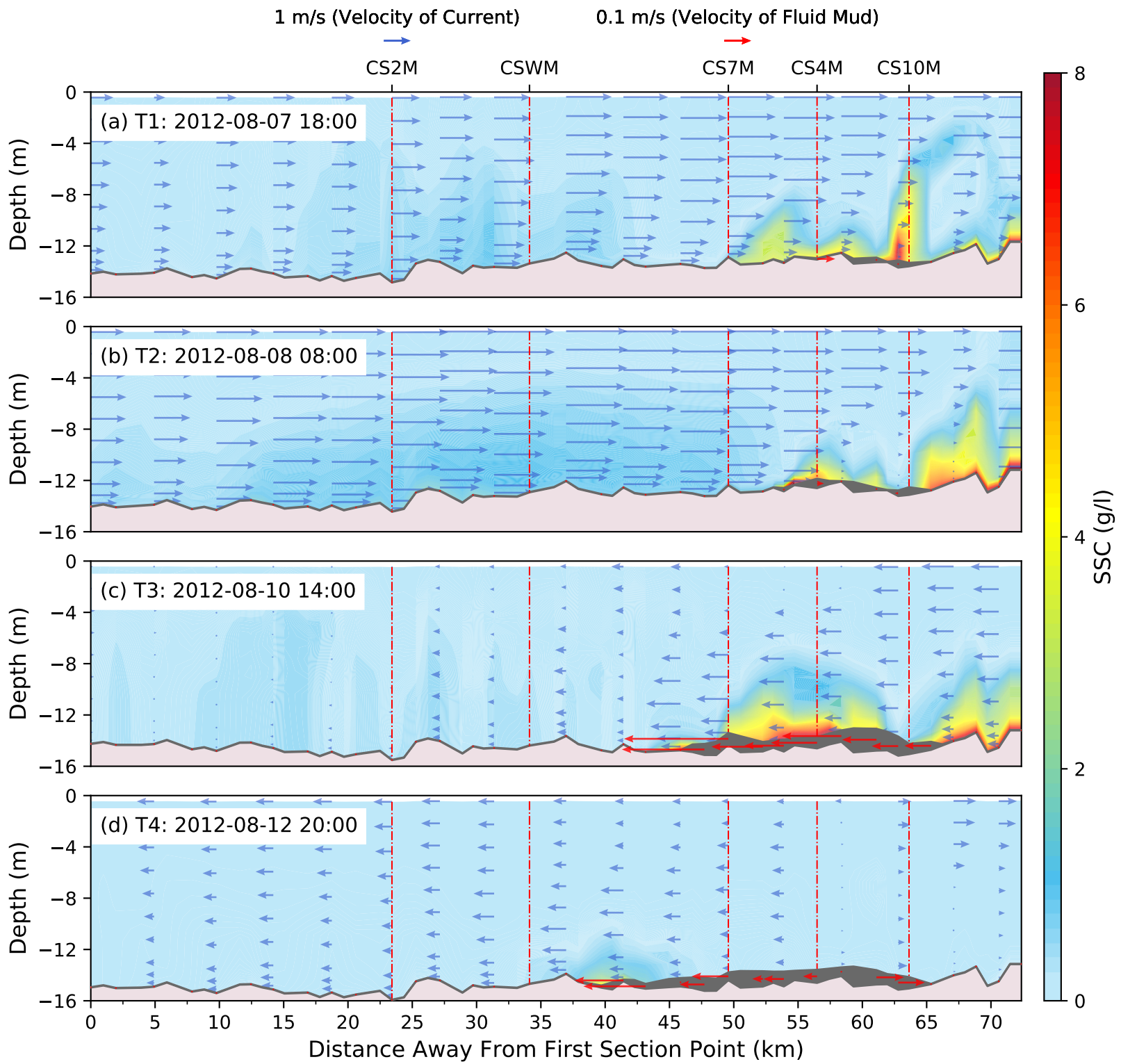


Fig. 12

Figure 13.

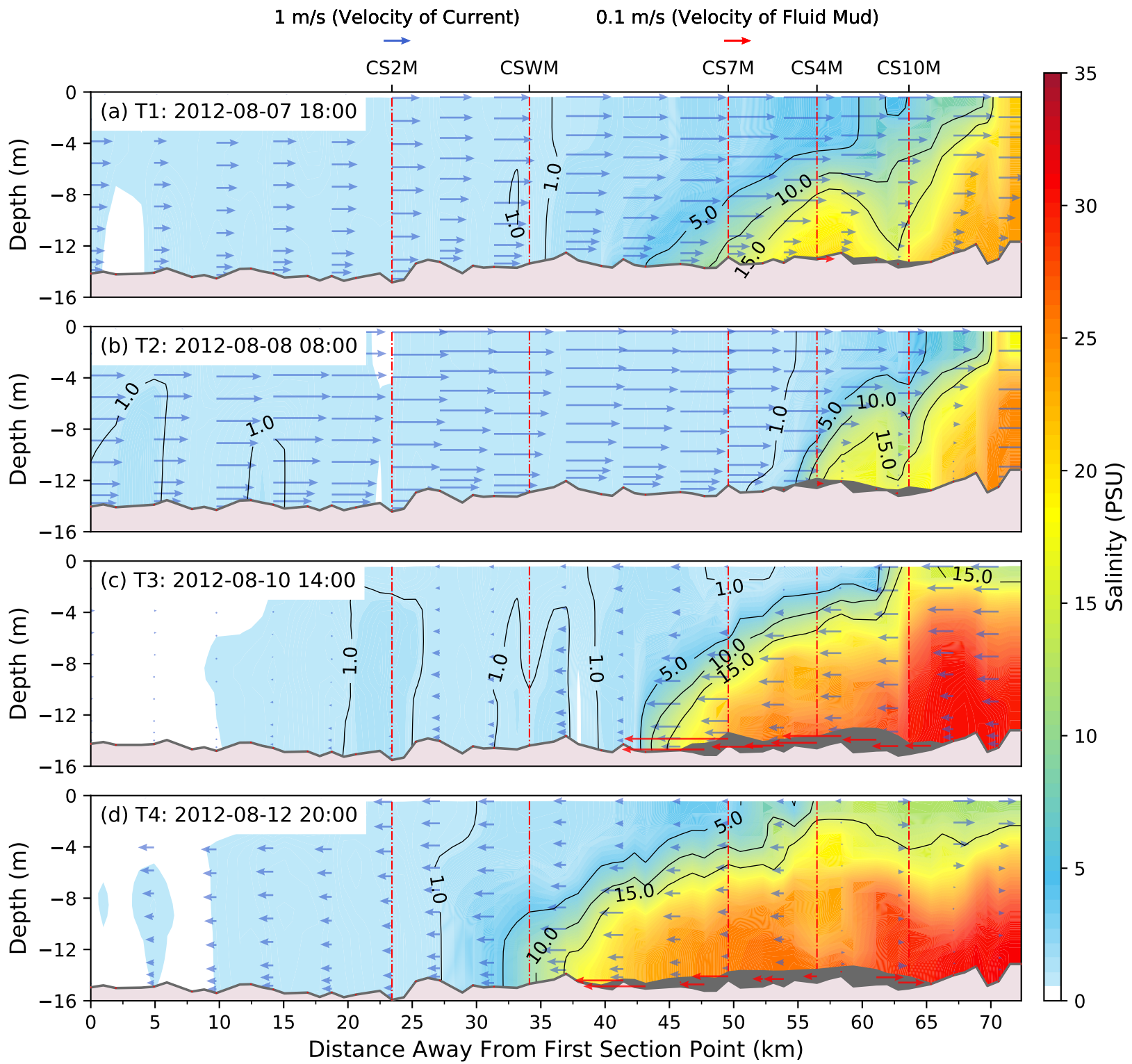


Fig. 13

Figure 14.

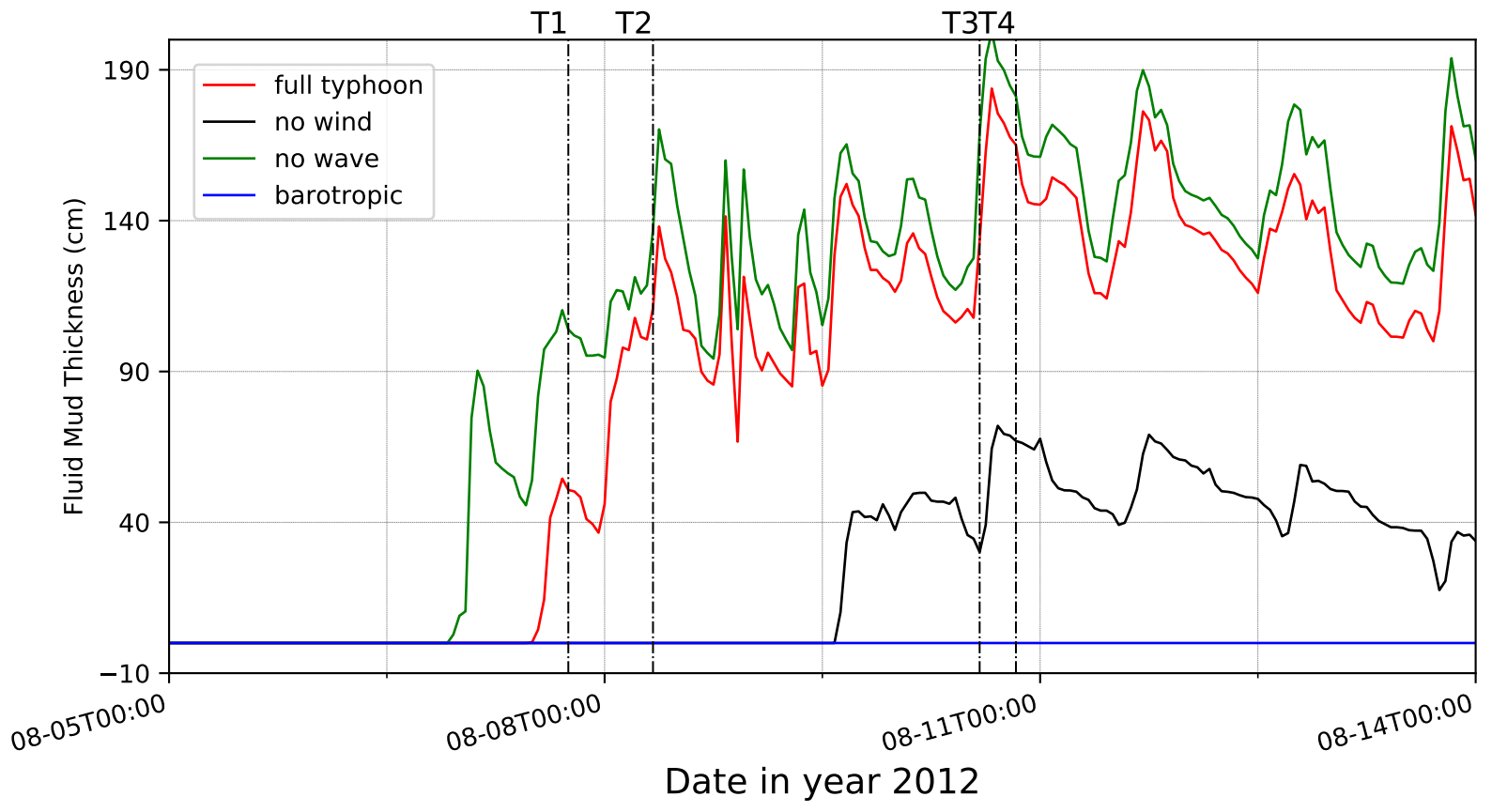


Fig. 14

Figure 15.

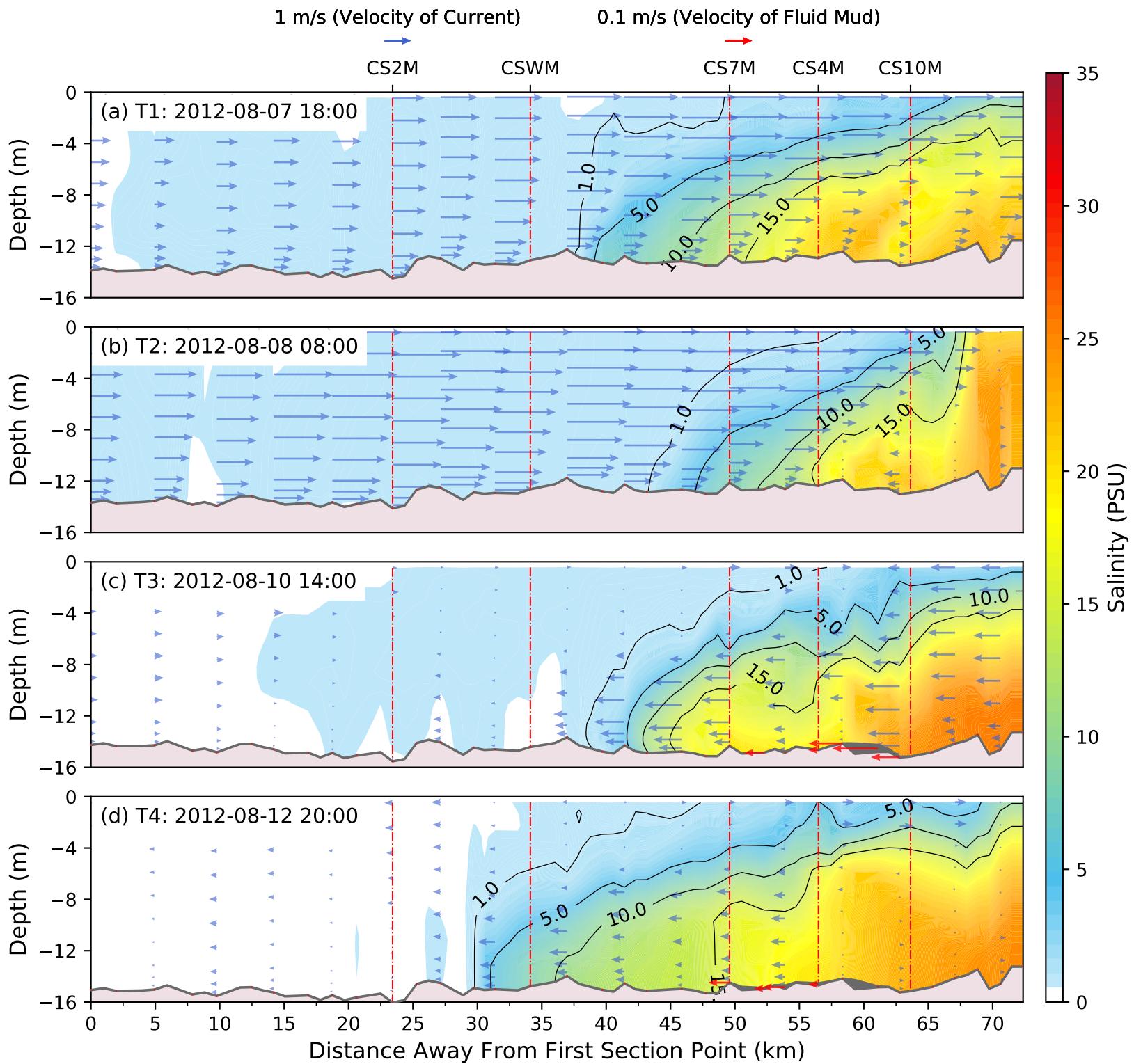


Fig. 15

Figure 16.

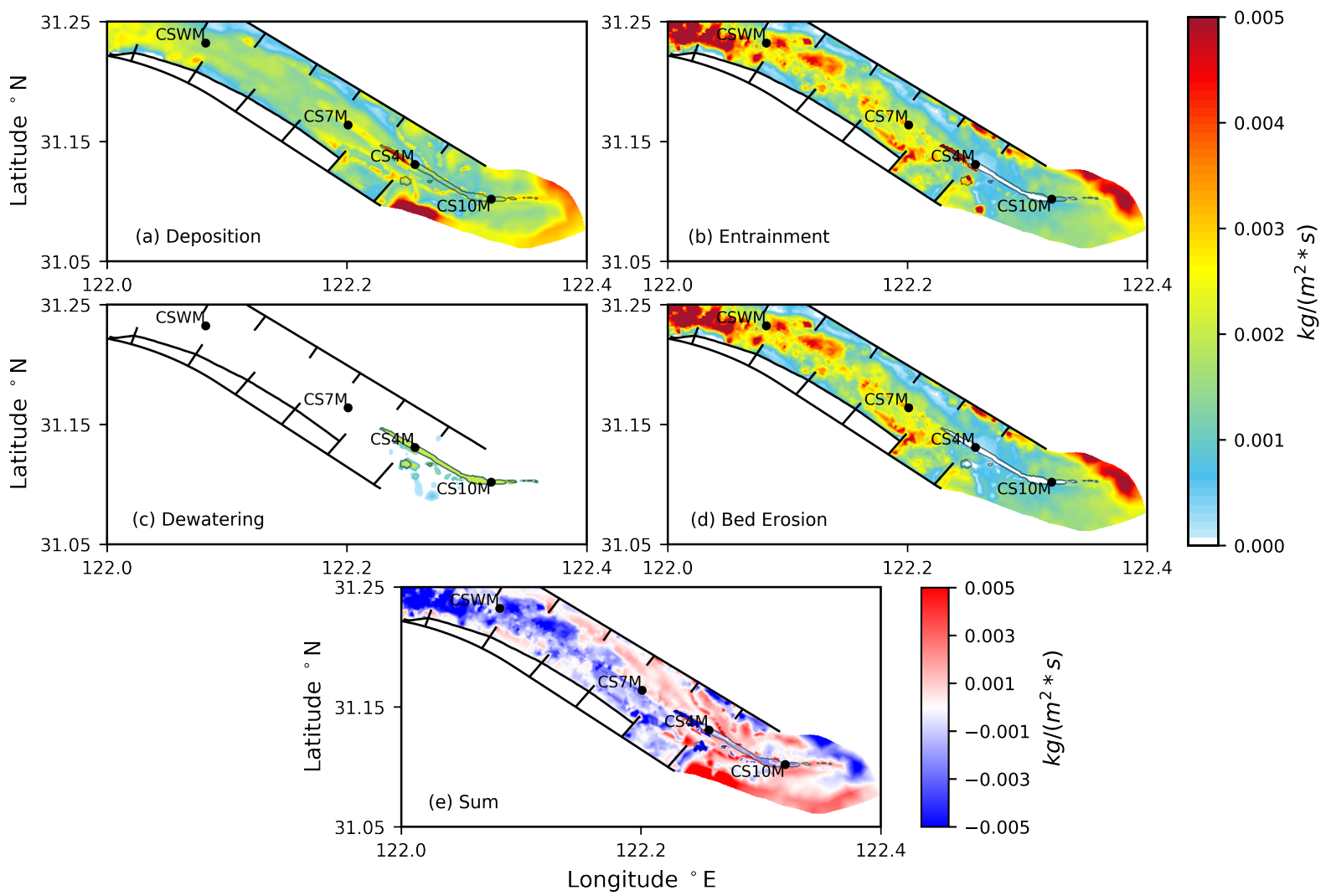


Fig. 16

Figure 17.

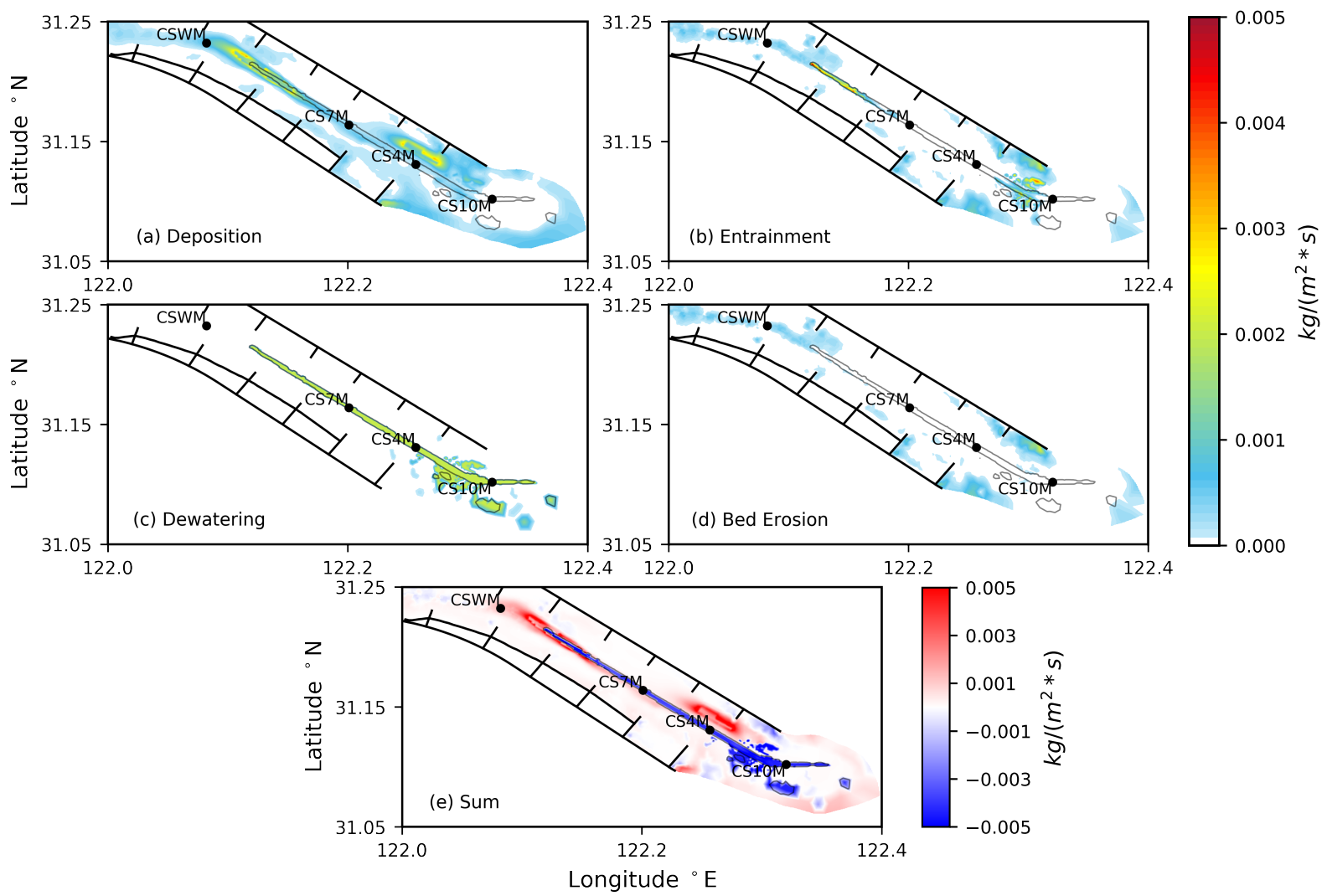


Fig. 17