

**Delft University of Technology** 

## Review of warm mix rubberized asphalt concrete

### Towards a sustainable paving technology

Wang, Haopeng; Liu, Xueyan; Apostolidis, Panos; Scarpas, Tom

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# Review of warm mix rubberized asphalt concrete: Towards a sustainable paving technology

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#### 11 Abstract:

12 In recent years, transportation agencies and the general public alike are demanding increased 13 considerations of sustainability in transport infrastructure. Warm mix asphalt (WMA) is developed for 14 reducing energy consumptions and emissions in asphalt paving industry. In addition, the use of 15 rubberized asphalt concrete (RAC) has proven to be economically and environmentally sound and 16 effective in improving the performance of pavements around the world. The combination of WMA 17 and RAC, namely WarmRAC, is a novel and promising paving technology that can realize pavement 18 sustainability from principles to practices. This study summarizes the best practices and recent 19 research findings on warm mix rubberized asphalt concrete, including mix design, construction 20 techniques, performance evaluation, feasibility of recycling, and environmental and economic benefits. 21 Although most research findings to date about WarmRAC are positive, it still has a long way for 22 WarmRAC to be fully adopted worldwide. Therefore, life cycle assessment including environmental 23 and economic impacts, and long-term performance of WarmRAC need further research with 24 involvement of transportation agencies, industry and academia.

25

Keywords: Warm mix asphalt; Asphalt rubber; Rubberized asphalt concrete; Sustainability; Mix
 design; Construction

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#### 70 1 Introduction

#### 71 **1.1** Background

The Paris Agreement on climate change, which entered into force on 4th November 2016, is the world's first comprehensive climate agreement. Most governments, including the EU, the US, China and India, have ratified the accord to keep global warming well below 2 °C above pre-industrial levels (Rogelj et al., 2016). The agreement aims to strengthen the ability of countries to deal with the adverse impacts of climate change, foster climate resilience and support sustainable development in parallel (Schleussner et al., 2016).

78 Among the transportation infrastructure, the road construction, especially the construction of 79 asphalt pavements, is always a large consumer of energy and resource (Romier et al., 2006). In this 80 respect, developing environmental friendly and energy efficient asphalt paving technologies appears 81 to be of great importance. This also coincides with the concept of global sustainable development 82 (Mebratu, 1998; Schleussner et al., 2016). According to a report from the Modified Asphalt Research 83 Centre (Miller and Bahia, 2009), a sustainable pavement may be defined as "a pavement that 84 minimizes environmental impacts through the reduction of energy consumption, natural resources and 85 associated emissions while meeting all performance conditions and standards." However, sustainable 86 considerations in paving industry are not new, but in recent years, significant efforts are being made to 87 realize the sustainability of pavement engineering in a more systematic and scientific way.

#### 88 1.2 Reasons for coupling warm mix asphalt with rubberized asphalt

The vast majority of highways and roads are constructed with hot mix asphalt (HMA). It is a consensus that the temperatures for the production of HMA, including manufacturing, transport and laying, should be roughly above 140 °C (Hurley and Prowell, 2006). In order to reduce the emission of greenhouse gases (GHG) and the consumption of fossil fuels during the whole production and construction of asphalt concrete mixes, warm mix asphalt (WMA) has been proposed and implemented in asphalt paving technology without compromising the workability and mechanical performance of the material in comparison to HMA (Prowell D. et al., 2012). WMA are mixes that are 96 manufactured and constructed at lower temperatures (100-140 °C) than HMA varying depending on 97 the different utilized techniques. Besides the benefits of reduced emissions and energy consumption, 98 WMA technologies also provide better working conditions, longer hauling distances, quicker turnover 99 to traffic, an extended paving window, and less restrictions in non-attainment areas, as well as 100 improved workability and compaction efficiency (Rubio et al., 2012).

101 Compared to WMA, rubberized asphalt has been widely applied in asphalt pavements since as 102 early as the mid 1960's in Arizona (USA) (Epps, 1997). According to the different wet processing 103 technologies (Lo Presti, 2013), rubberized asphalt has various technical terminology, such as Crumb 104 Rubber Modified Binder (CRMB), Asphalt Rubber (AR), Terminal Blends (TB), etc. Specifically, 105 CRMB refers to a general term to identify any bituminous binders that are modified by Crumb Rubber 106 Modifier (CRM). AR is defined as the "wet processed" blend of asphalt cement, recycled tire rubber 107 and certain additives in which the rubber content is at least 15 percent by weight of total blend. TB 108 actually represents a unique mixing technique. TB rubberized binder is typically blended at the asphalt 109 refinery or the "terminal" using finely ground (less than 40 mesh) CRM. On one hand, incorporating 110 crumb rubber from end of life tyres into asphalt for paving applications makes contributions to the 111 disposal of large amounts of scrap tyres, which may lead to potential environmental risks if not 112 disposed properly (Sienkiewicz et al., 2012). On the other hand, rubberized asphalt concrete (RAC) 113 has been proved to have improved aging and oxidation resistance, greater resistance to 114 fatigue/reflection cracking and rutting, lower noise generation, and high skid resistance. The above 115 improved engineering performance eventually leads to improved durability and lower maintenance 116 costs of asphalt pavements (Lo Presti, 2013; Shu and Huang, 2014).

However, due to the incorporation of crumb rubber modifier (CRM), the viscosity of rubberized binder becomes much higher than the conventional binder, leading to higher production and compaction temperatures to achieve the desired workability and density of asphalt mixture (Abdelrahman, 2006; Abdelrahman and Carpenter, 1999). The increased temperature levels will result in not only higher energy consumption but also more asphalt fume and odour emissions, leading to compromised working conditions(Maupin Jr., 1996; NIOSH, 2001). Furthermore, if the compaction temperature is not high enough due to uncertain factors, the use of rubberized mixes will result in

inadequate volumetric properties (i.e., higher air voids and uneven density distribution) and poor 124 short-term and long-term performance (Akisetty, 2008). Under these circumstances, coupling 125 rubberized asphalt with warm mix technology will be of great significance. WMA technology is 126 127 supposed to decrease mixing and compaction temperatures of the rubberized asphalt, making them 128 comparable to or even lower than those of conventional HMAs (Gandhi et al., 2014; Hicks et al., 2010; 129 Oliveira et al., 2013). With synthesized properties of WMA and RAC, warm mix rubberized asphalt 130 concrete (WarmRAC) is supposed to be a sustainable paving technology that integrated of energy 131 conservation, environmental protection, performance optimization and durability extension. This 132 paper summarizes the best practices and the recent research findings on warm mix rubberized asphalt 133 concrete, including mix design, construction techniques, performance evaluation, recycling feasibility, 134 and environmental and economic benefits.

#### 135 **2 Mix design**

136 Currently, the typical mix design methods widely implemented around the world includes the 137 Marshall, Hveem and Superpave methods (Roberts et al., 2002). Asphalt mix design and analysis 138 methods generally consists of four major steps (Wang et al., 2016; Widyatmoko, 2008): (1) materials 139 selection, (2) design of aggregate gradation, (3) binder content and additive dosage (if required) 140 selection, (4) asphalt mix performance evaluation, such as water sensitivity, mixture modulus, rutting 141 resistance, resistance to fatigue and thermal cracking. Steps 2 and 3 are accomplished through various studies of volumetric properties. Most agencies have specific criteria for steps 1 through 3, but 142 143 specifications of mixture performance vary with the type of mix and geographic location. Based on 144 the literature review (Bonaquist, 2011; California DOT, 2003; D'Angelo, 2008; Rubber Pavements Association, 2012), it can be stated that the majority of both WMA and RAC studies carried out have 145 146 used mix design processes similar to those of conventional HMA. Notwithstanding, slight 147 modifications may be needed to address the wide range applications of WMA and RAC technologies.

#### 148 2.1 Materials selection

This part focuses on the selection of asphalt binder and aggregates, while the detailed requirements and strategies for selecting crumb rubber and warm mix additives can be found in (Lo Presti, 2013) and (Rubio et al., 2012) respectively.

152 Generally, the asphalt binder grade is chosen according to the local climate and traffic level 153 without or with less considerations on CRM and warm mix additives. That means using the same 154 graded binder as conventional HMAs. In some asphalt rubber mix plants, proper proportions of 155 extender oil (highly aromatic oil) were added to reduce binder's viscosity and promote mixture's 156 workability (Peralta et al., 2011). It can be deduced that soft base asphalt with higher proportion of 157 aromatic oils conduces to the interaction of rubber and asphalt. However, some research findings 158 (Chowdhury and Button, 2008) recommended to use one grade harder binder with WMA than that 159 normally used with HMA to counteract any tendency for reduced stiffness and increased rutting due to 160 less aging during lower temperature plant mixing and construction. Arega et al. (2011) also suggested 161 the strategy of using recycled asphalt to compensate the reduced stiffness of warm binders. It should 162 be noted that this effect may be also offset by the addition of CRM for its improvement of rutting resistance (Akisetty et al., 2011; Rodriguez-Alloza et al., 2014). Therefore, one should not arbitrarily 163 164 increase the binder grade in WarmRAC.

Aggregate property requirements for both WAM and RAC will not be different from the requirements for conventional hot mix except for the water absorption of aggregates. Due to the lower production temperature of WMA mixes, the drying of aggregates with high water absorption values may be incomplete (D'Angelo, 2008). It is strongly suggested that asphalt mixing plants adopt stricter limits for water absorption of aggregates to guarantee the construction quality and mixture performance.

#### 171 2.2 Mix gradation

Most utilization of asphalt rubber in hot mixes in the USA and Europe is limited to gap and open
gradations (Anderson et al., 2008; California DOT, 2003; Pasquini et al., 2011; Richard et al., 2014).
Use of asphalt rubber is not recommended in dense-graded mixtures because there is insufficient void

space to accommodate enough modified binder to significantly improve the performance of the resulting pavement to justify the added cost of the asphalt rubber binder. However, with the development of new mixing technique, such as TB, rubberized asphalt binder behaving like polymer modified binder can be applied in various mix gradations. Recently, more and more agencies choose open graded asphalt mixture with rubberized asphalt to obtain a "super quite" and good skid resistance pavement (Partl et al., 2010).

181 In terms of WMA, almost all types of asphalt mixture (dense graded, stone mastic, porous, 182 mastic asphalt) have been manufactured using WMA technologies (Mansfeld et al., 2009; Prowell D. 183 et al., 2012; Zaumanis, 2010). Most commercial WMA technology companies and highway agencies, 184 who have evaluated any of the WMA technologies in the laboratory and field, have applied them in 185 conventional dense-graded mixtures. However, researchers have made a consensus that WMA 186 processes should be equally applicable to typical types of asphalt mixtures other than dense graded 187 mixes, which have already been proved feasible. Based on previous studies, there were no noticeable 188 differences in the aggregate gradation of WMA and HMA (Hicks et al., 2010; Prowell D. et al., 2012). 189 According to existing projects that implemented warm mix rubberized asphalt concrete, the 190 main choices of aggregate gradation were open graded and gap graded (Hicks et al., 2010).

#### 191 2.3 Optimum bitumen content selection

192 In order to achieve WarmRAC with performance characteristics comparable to conventional HMA, it 193 is important to use the same volumetric criteria in the design process of both mixtures. National 194 Centre for Asphalt Technology (NCAT) in the US recommended determining the optimum bitumen 195 content (OBC) using standard HMA design procedures without inclusion of the warm mix additive 196 (Hurley and Prowell, 2005). This is because the WMA additives can enhance the compaction of 197 asphalt mixture effectively, resulting reduced OBC if following the same design procedure of HMA. 198 Reduced OBC brought concerns regarding durability, permeability, water susceptibility and 199 compaction of the resulting asphalt mixture. However, the OBC of RAC was normally slightly higher 200 than that of control HMA. In California, the OBC of rubberized asphalt concrete was determined by a 201 multiplication factor of 1.25-1.4 to the OBC of control HMA without considering warm mix additives

202 (Hicks et al., 2010). Therefore, the OBC of WarmRAC should be determined using rubberized binder203 without warm additives.

#### 204 2.4 Laboratory performance evaluation

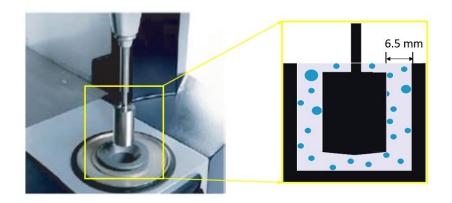
Although the test and analysis of WarmRAC should follow the same test routines and criteria of conventional HMA, some modifications should be made for the laboratory specimen preparation due to the potential complex components of rubber and warm mix additives which may be sensitive to temperature or other environmental conditions.

#### 209 2.4.1 Conditioning/curing of test samples

210 Conventional HMA samples are often reheated for a variety of volumetric acceptance and 211 performance evaluation tests. In NCHRP reports (Bonaquist, 2011; NCHRP, 2012, 2014), it was 212 found that reheated samples of WMA mixtures can be used for mechanical tests as HMA. However, it 213 was not recommended to use reheated WMA samples for volumetric acceptance due to potential 214 irreversible components in warm mix additives or foamed asphalt. In terms of RAC, it is well known 215 that the interaction between asphalt and rubber is time and temperature dependent. Reheating samples 216 of RAC may cause potential physical and chemical reactions of rubberized binder, such as swelling, 217 devulcanization and depolymerisation (Billiter et al., 1997; Zanzotto and Kennepohl, 1996), which 218 may influence both mechanical and volumetric properties. As with conventional HMA, reheating 219 times and temperatures for both WMA and RAC should be limited to minimize the additional aging 220 and interaction effect.

#### 221 2.4.2 Adjustment of testing equipment

The Superpave performance grade system brought new testing equipment and procedures for asphalt binder testing and specification, which were not originally developed to evaluate asphalt modified with particulate matter such as crumb rubber (Bahia et al., 1998). For example, the Dynamic Shear Rheometer (DSR) test procedure requires a maximum particulate size less than one quarter of the gap size (FHWA, 2014). That means the typical crumb rubber particle size used in asphalt binder should be less than 250 µm for DSR tests with 1 mm gap between plates. Otherwise, the rubber particles with 228 larger sizes may touch the top or bottom plate, which will not represent the real properties of 229 rubberized binder. Hopefully, the Federal Highway Administration (FHWA) has undertaken some work on developing new testing geometries that will allow evaluation of asphalt binders with even 230 larger particulate sizes. Baumgardner and D'Angelo (2012) developed a new DSR testing geometry-a 231 232 "cup-and-bob" geometry that uses a 27-mm cup and 14-mm bob to give a 6.5 mm effective gap size. 233 A photograph and a graphic drawing of the testing geometry are shown in Fig. 1. This gap size is more 234 than enough to accommodate the swelled crumb rubber particles. Their preliminary results indicated 235 that the cup-and-bob geometry can replace the Superpave 1-mm gap parallel-plate geometry and 236 accommodate large CRM particles, providing similar results for Superpave test. Besides, due to 237 potential segregation of the rubber particles, especially for coarse rubber particles, it is difficult to 238 prepare test samples with the same proportion and identical dispersion of crumb rubber (Bahia and 239 Davies, 1994). According to the authors' experience, it is difficult to take the representative CRM 240 modified binder after Rolling Thin-Film Oven (RTFO) aging from the jar. Therefore, it is of great 241 importance to stir the liquid asphalt rubber uniformly before pouring and moulding samples to 242 minimize the variability. Duplicate test samples are highly recommended.

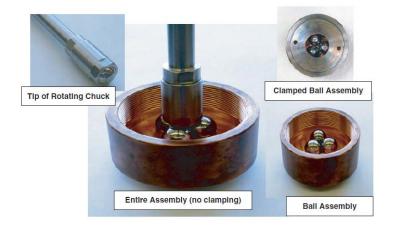


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Fig. 1. Cup-and-bob setup geometry (Baumgardner and D'Angelo, 2012)

#### 245 2.4.3 Additional workability tests

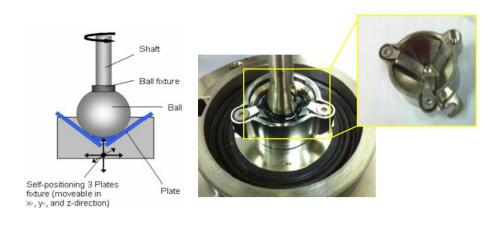
It has been shown that viscosity reduction is not the primary mechanism of WMA allowing for the reduced asphalt paving temperatures (Hanz et al., 2010). Reinke et al. first introduced the concept of binder lubricity and internal friction reduction as the fundamental mechanism of WMA technologies (Baumgardner and Reinke, 2013; Reinke et al., 2010, 2014). The WMA additives increase the 250 lubrication properties of binder to reduce the efforts required for aggregates to move past each other 251 during compaction. The lubrication effects of WMA technologies on asphalt binder were investigated through a novel use of conventional DSR with a newly designed testing fixture (Hanz et al., 2010) 252 according to ASTM D5183-05, which is called asphalt lubricity tester as shown in Fig. 2. Another 253 254 tribology fixture set-up for thin-film asphalt testing was developed by Baumgardner and Reinke based 255 on the ball-on-pyramid principle (see Fig. 3). Above two asphalt tests will provide a more mechanistic 256 understanding of the mechanism and workability of warm asphalt binder. Eventually, asphalt lubricity 257 test can be used for warm-mix additives selection and estimation of the temperature reduction for a 258 specific content of additive (Bennert et al., 2010; Hanz and Bahia, 2013).



259

260

Fig. 2. Photographs of asphalt lubricity tester (Hanz et al., 2010)



261

**Fig. 3.** Cup and plate assembly for the tribology fixture (Baumgardner and Reinke, 2013)

263 Since viscosity-temperature relationship used in the design of HMA cannot be used with the 264 wide range of WMA processes currently available, additional design procedures should be carried out 265 to evaluate the workability of WMA mixtures (NCHRP, 2012). Aggregate coating at the planned production temperature should be conducted following the standard AASHTO T195 procedure. In 266 terms of compactability or workability of WMA mixtures, gyratory compaction indices at planned 267 268 compaction temperature (Hanz et al., 2010), including gyrations required to reach 92% theoretical 269 maximum density (G<sub>mm</sub>) (N92) and construction force index (CFI) using the pressure distribution 270 analyser (PDA) plate on top of the mixture, were adopted as the workability indicators. Besides, a 271 torque tester (Tao and Mallick, 2009), which can determine the required torque to move a paddle 272 through mix inside a bucket at different conditions, was also verified as an efficient tool to evaluate 273 the workability of mixture.

#### 274 **2.5** *Summary*

Generally, the same mix design methodology and evaluation criteria of conventional HMA should be implemented to WarmRAC. However, these rules are not necessarily suitable for every WarmRAC product. Slight modifications should be made based on the used specific technology and material.

#### 278 **3 Construction techniques**

#### 279 **3.1** *Temperature issues*

Production and construction temperatures are of foremost importance for both WMA and RAC. Rubberized asphalt materials need higher production and construction temperatures due to higher viscosity. Surface and probe type thermometers and heat guns are recommended for the plant and field inspectors to measure ambient and inside temperatures of rubberized asphalt mixtures (California DOT, 2003). Since temperature is one of the crucial factors that influence the storage stability of asphalt rubber (Ghavibazoo et al., 2013), the temperature of asphalt rubber blending and storage tanks should also be monitored with readily accessible thermometers.

In terms of WMA, there are increasing evidences that viscosity reduction of binder is not the only mechanism that allows for reduced production temperatures for mixtures (Baumgardner and Reinke, 2013; Hanz et al., 2010). Binder lubricity is also a crucial factor that influences the asphalt mixing and compaction temperature. Therefore, instead of using viscosity based temperatures, the optimum production and compaction temperatures should be determined directly with the aim of achieving a full coating of the aggregates. In addition, in some foaming processes, it is very difficult to directly measure the viscosity of the binder. Accordingly, the German Asphalt Pavement Association (Mansfeld et al., 2009) developed a method through comparing the bulk density of WMA to the reference HMA to determine the optimal production and compaction temperatures.

Apart from these, it is important to keep baghouse temperature high enough to prevent condensation in WMA production. Condensation causes corrosion of the baghouse and formation of damp baghouse fines or clogging. Several strategies suitable for increasing baghouse temperatures were summarised by NAPA (Prowell D. et al., 2012), including removing veiling flights, increasing air flow, using duct heater, installing variable frequency drive, insulating dryer shell, baghouse and ductwork, and reducing stockpile moisture content.

When producing WarmRAC in plants, rubberized asphalt binder was prepared first at high temperatures before it was added to the mixing drum. Then warm mix additives were mixed with aggregates and rubberized asphalt binder. According to limited documentations (Hicks et al., 2010), warm mix additives were not involved in the production of rubberized asphalt binder. However, warm mix additives reduce the production and compaction temperatures of WarmRAC. Field attempts to incorporate warm mix additives in the phase of preparing rubberized binder are highly recommended (Yu et al., 2017).

#### 309 3.2 Production rate

310 Both WMA and RAC encounter reductions of production rates in asphalt plants. As mentioned in 311 above section, condensation may happen during the WMA production, lowering the maximum 312 production rate. In addition, it was reported that when adding chemical foaming additives, there were 313 problems with the nozzles plugging, which in turn limits the production to a low rate (Chowdhury and 314 Button, 2008). Comparing to conventional HMA rates, RAC production rates may be reduced 315 somewhat due to increased plant mixing time (higher binder content) and additional asphalt rubber 316 binder production time. Fortunately, proper planning and coordination between the material supplier 317 and the asphalt plant operator can minimize the impacts on asphalt concrete production rate.

#### 318 3.3 Compaction

In general, the same compaction equipment and procedure used for HMA are suitable for WMA. Also, it is easier to obtain target compaction density of WMA mixes compared to HMA mixes, even with less compaction effort. During compaction of RAC mixes, rubber-tired rollers are not allowed because asphalt binder tends to stick to cold tires severely. Rollers for RAC compaction must be steel-wheeled (drum) and equipped with pads and a watering system releasing agents to prevent excessive pick-up (asphalt binder sticking to tires) (Maupin Jr., 1996; Rubber Pavements Association, 2012).

#### 325 **3.4** Summary

Specific addition technologies and plant modifications of WMA are rather dependent on the specific products, which can be found in Zaumanis's thesis (Zaumanis, 2010) and (Prowell D. et al., 2012). From the construction standpoint, WMA is an aid to RAC regarding production and construction temperatures, and compaction efficiency to achieve desired mixture density. Construction techniques for WarmRAC should be integrated of concerned modifications of both WMA and RAC.

#### 331 4 Performance of WarmRAC

332 Although there is not a standard practice addressing performance testing of asphalt concrete, several 333 performance tests have been developed and have received high level of acceptance by both academia 334 and industry. Performance tests are available for measuring mixture stiffness/modulus, water 335 sensitivity, rutting resistance, and resistance to fatigue cracking and thermal cracking (McCarthy et al., 2016). Since WMA is a relative new technology, and combination of WMA and RAC only happened 336 337 in most recent years, little is known about the long-term performance of WarmRAC. In this regard, 338 most of the performance evaluation tests came from laboratory tests, few full-scale accelerated 339 pavement tests and practical trial projects.

#### 340 4.1 Laboratory tests

#### 341 4.1.1 Warm rubberized asphalt binder

342 In the previous studies, the individual effect of crumb rubber modifier (CRM) and warm-mix additives 343 on the asphalt has been extensively investigated. It was shown that the interactions of asphalt and 344 rubber and their effects on the final properties of crumb rubber modified asphalt (CRMA) depend on the raw material parameters (e.g., asphalt composition, CRM type, particle size and dosage) and 345 346 interaction conditions (e.g., mixing temperature, time and rate, energy type of the mechanical mixing 347 exerted) (Abdelrahman, 2006; Airey et al., 2011; Shen and Amirkhanian, 2007). The interaction 348 mechanisms involved in the production of CRMA binders are generally categorized as two types: 349 rubber particle swelling and degradation (devulcanization and/or depolymerization) in the binder 350 matrix (Abdelrahman and Carpenter, 1999). The incorporation of CRM into asphalt binders was 351 reported to improve the overall pavement performance, e.g., higher resistance to rutting, ageing, 352 fatigue and thermal cracking (Shu and Huang, 2014). It also increases the skid resistance of pavements 353 and reduces the traffic noise (Rymer and Donavan, 2005), which provides a safe and comfortable 354 driving condition. The effect of WMA technology on the performance of asphaltic materials varies 355 with the type of WMA technology used. WMA technologies can be categorized as three main types 356 (Rubio et al., 2012): foaming processed, organic (wax-based) additives and chemical additives. 357 However, the influence of WMA additives on the crumb rubber modified asphalt binders has not yet 358 been clearly identified. The interactions between asphalt and rubber as well as the WMA additives 359 have a significant impact on the mechanical performance and durability of warm-mix rubberized 360 asphalt pavements. Because of the complicated relationship between the individual components of warm-mix rubberized asphalt mixture, it is essential to understand their interactions in the rubberized 361 362 binders before applying them to the mix design level. The interaction between asphalt, rubber and warm-mix additives will be discussed from the aspects of rheology and chemo-physical 363 364 characterization.

#### 365 4.1.1.1 Rheological properties

Akisetty et al. (2011); Akisetty et al. (2010); Xiao et al. (2009) did very comprehensive laboratory tests of warm CRM binders. The rubberized binders were produced using PG 64-22 binder with ambient ground rubber of 40 mesh size and 10% by weight of binder. Then two types of warm mix additives (Aspha-min, Sasobit) were added to the rubberized binders to prepare warm CRM binders. They found that the addition of WMA additive into rubberized binder is beneficial for improving the rutting resistance of both the unaged and aged binders based on increased G\*/sinδ values, while 372 adverse to the fatigue cracking resistance based on higher G\*sino values. Moreover, the rubberised binders with Sasobit were found to have significantly higher stiffness and lower *m*-value properties, 373 374 which relate to a negative effect on the low-temperature cracking resistance. However, the addition of 375 Aspha-min did not statistically affect the low temperature performance of rubberised asphalt binders. 376 In terms of viscosity, different warm additives have different effects on the viscosity of rubberized binders. For instance, viscosity was increased at both 135 °C and 120 °C with Aspha-min, and 377 378 decreased with Sasobit compared to control binders (Akisetty et al., 2009). This is mainly due to the 379 different components of various additives. Rodríguez-Alloza et al. (Rodriguez-Alloza et al., 2013; 380 Rodriguez-Alloza et al., 2014) further verified this conclusion that the incorporation of any of the four 381 used organic additives reduces the viscosity of the CRM binder. It is noteworthy that CRM binders 382 with organic WMA additives have a peculiar and complex mechanical response, which is related to 383 the changeable crystalline properties of the wax at different testing temperatures (Rodríguez-Alloza et 384 al., 2016). Therefore, some contradictory results of CRM binders with wax may be found in previous 385 studies. In contrast to the finding that most wax-based warm additives can increase the rutting 386 performance of binders, Yu et al. (2017) found asphalt rubber binders with chemical additive 387 Evotherm-DAT provided poor high-temperature performance but similar intermediate and low-388 temperature performance compared to control asphalt-rubber binders.

389 University of California Pavement Research Center (UCPRC) evaluated the binder field aging 390 properties of hot and warm mix rubberized asphalt. Through DSR and BBR tests of extracted and 391 recovered binders from field-aged pavements, it was found that the warm mix technology chemistry had insignificant effects on the test results (Farshidi, Frank et al., 2013). However, the binders 392 393 containing organic wax additive consistently showed better rutting resistance, and this was attributed 394 to the residual crystallization was structure in the binder. BBR results indicated that the WMA 395 technologies tested did not result in a grade change with respect to thermal cracking properties at low 396 temperatures. The warm-mix additives and associated lower production and placement temperatures 397 generally had limited effect on aging kinetics with respect to long-term field aging, except for the 398 organic wax.

The degree to which each of above mentioned properties are changed depends on the amount of crumb rubber, rubber size, warm additives type and content, and asphalt binder source. Therefore, Yu et al. (2012) suggested that the tailored parameters of preparing warm mix rubberized asphalt product should be determined based on the specific environmental and project condition.

#### 403 4.1.1.2 Chemo-physical characterization

Yu et al. (2014) analysed the rheological modification mechanism of warm mix additive Evotherm-404 405 DAT on CRM asphalt binder using a series of advanced testing equipment. Through microscopic and 406 chemical component analyses, no complex chemical reaction was found between Evotherm-DAT and 407 CRM binder. However, the colloidal structure and rheological properties of CRM binder were changed by Evotherm-DAT through affecting the aggregative state and intermolecular forces of 408 409 rubber particles within the CRM binder. Rodríguez-Alloza et al. (2016) found that the addition of 410 organic WMA additive (wax) makes CRM binder more elastic, as displaying decreased phase angles 411 in DSR tests. They also pointed out that there is a quite complex reaction between CRM binders and 412 waxes, which relates to the melting/crystallizing properties of the wax and the residual crystallinity 413 into the binder blend. Through thermal analysis, Yu et al. (2016) found that n-alkanes from wax-based 414 warm mix additives not only interact with asphalt components to reduce viscosity but also penetrate into CRM particles during the mixing process. Moreover, the conventional wax (56# paraffin) with 415 416 shorter carbon chain has better interactions with CRM than the commercial wax-based additive 417 (Sasobit), which can promote the release of synthetic rubber from rubber particles. Based on the above 418 findings, it is recommended to incorporate warm mix additives especially the wax-based additives at 419 an earlier stage. This will not only promote the component exchange (interaction) between rubber and 420 asphalt, but also has the potential to reduce energy consumption through decreasing the interaction 421 temperature and time between rubber and asphalt. However, this statement needs to be verified with 422 field practice.

#### 423 4.1.2 Warm mix rubberized asphalt concrete

Although the increase in the mixing and compaction temperatures due to the addition of crumb rubbercan be offset comparable to conventional HMA by adding the warm asphalt additives, different warm-

mix additives exhibited inverse effects on the long-term fatigue performance. The fatigue life of the
mixtures made with crumb rubber and Sasobit® is greater than the control mixtures, while rubberized
mixtures containing Aspha-min® has a lower fatigue life in terms of beam bending fatigue tests (Xiao
et al., 2009).

430 Akisetty (2008) found that regardless of aggregate source, the addition of warm mix additives 431 into rubberized mixtures increased the percentage of voids filled with asphalt (VFA) and decreased 432 the percentage of voids in the mineral aggregate (VMA). This finding indicates the incorporation of 433 warm-mix additives increase the density or compaction degree of the rubberized mixtures. 434 Furthermore, results in his research showed that the engineering properties, such as indirect tensile 435 strength, rutting depths, resilient modulus, of rubberized mixtures containing the warm-mix additives 436 are not significantly different from control rubberized mixtures. This conclusion verifies that it is 437 possible to incorporate WMA technologies into crumb rubber modified asphalt mixtures without 438 having a negative effect on the mixture properties. Oliveira et al. (Oliveira et al., 2013) also obtained 439 similar results that the production temperatures of rubberized mixtures can be reduced by 30 °C with 440 the incorporation of small amounts of a surfactant based warm mix additive. In addition, mixtures 441 with surfactant additives have comparable performance as traditional rubberized asphalt mixtures and 442 show lower water sensitivity through increasing the bonding between asphalt and aggregates. 443 Rodríguez-Alloza and Gallego (2017) manufactured asphalt rubber mixtures with two organic waxes (Sasobit® and Licomont BS100®) at temperature that are 10~30 °C lower than control asphalt rubber 444 mixtures. Mechanical performance evaluation of asphalt mixtures showed that waxes enhanced the 445 446 permanent deformation resistance and maintain the fatigue performance, but slightly decreased the 447 moisture damage resistance. Yang et al. (2017) conducted a comprehensive evaluation on the 448 mechanical performance of crumb rubber modified WMA with Evotherm and crumb rubber modified 449 HMA. Results from both laboratory compacted samples and field collected samples showed that 450 rubberized WMA had equivalent rutting resistance and low temperature performance compared to 451 rubberized HMA. However, due to the anti-stripping agents in WMA additive Evotherm, rubberized WMA exhibited better fatigue performance and moisture damage resistance than rubberized HMA. 452

#### 453 **4.2** Full scale accelerated pavement tests

California probably did the most comprehensive research on the full-scale trial tests regarding 454 WarmRAC (Hicks et al., 2010). The test tracks located at the University of California Pavement 455 456 Research Center in Davis, California, were designed and constructed using gap-graded rubberized 457 asphalt concrete with various WMA technologies (Jones, 2013; Jones, David et al., 2011; Jones, 458 David et al., 2011). Accelerated load testing with a Heavy Vehicle Simulator (HVS) was used to assess rutting behaviour and long-term performance. In addition, laboratory tests on specimens 459 460 sampled from test tracks to assess rutting, fatigue cracking performance and water sensitivity were 461 carried out. Through nuclear gauge determined density measurements, it was confirmed that adequate 462 compaction can be achieved on WarmRAC at lower temperatures. However, roller operators were 463 recommended to adjust rolling operations and patterns according to the different rolling responses 464 between warm mixes and conventional hot mixes. HVS tests showed that WarmRAC has equal and 465 potentially better rutting performance than hot mix. Laboratory test results indicate that WMA 466 technologies had insignificant effect on the mixture performance when compared to control specimens. In view of the above findings, they concluded that there are no results to suggest WarmRAC should 467 not be used in California. 468

#### 469 **4.3** *Practical trial projects*

From 2007 to 2010, various warm-mix asphalt test sections were constructed in California to assess
long-term performance under specific climate and traffic conditions. Table 1 lists the main projects
using WMA technologies with asphalt rubber in California and other states (Hicks et al., 2010).

473

Table 1 Selected warm mix rubberized asphalt concrete projects constructed in the USA

Location	Paving date	WMA technology	WMA additive content (by mass of binder)	Mix type	Production temperature (°C)	Placement temperature (°C)
Santa Clara	March 2006	Sasobit	-	Gap graded	138	-
Massachusetts	August 2008	Advera	3.85%	OGFC	143-149	135-143
Santa Nella	September 2008	Astec DBG & Evotherm	-	Gap graded	132	-

Orland	May 2009	Evotherm	0.5%	OGFC	143	120
San Diego	June 2009	Advera, Evotherm, Sasobit	-	OGFC	-	132
Marysville	July 2009	Evotherm	0.5%	OGFC	150	130
Humboldt	September 2009	Evotherm	-	Gap graded	121	-
Sutter County	November 2009	Evotherm	0.5%	OGFC	-	110
Auburn	August 2010	Evotherm	0.5%	OGFC	150	135

474

475 Monitoring included a visual assessment from the road shoulder and a photographic record 476 without any physical measurements. According to the observation results, the road shoulder still 477 looked good after 2-4 years' service, and most of the sections performed well with no sign of distress. 478 Some early minor rutting of the warm mix section was found in the first half year in Orland due to less 479 binder aging. However, rut depths on both warm-mix and control sections were almost identical after 480 one year traffic loading since the oxidation had stabilized (Jones, 2013). Warm-mix technologies 481 provided improved workability of the mix and better compaction, which could prevent early ravelling 482 and improve durability eventually. Besides, Warm mix technologies extend the application range of 483 rubberized asphalt concrete in terms of extended paving season, longer hauling distances and less 484 geographical restrictions of asphalt plants. Many contractors stated that they were eager to continue 485 using WarmRAC in the future projects (Hicks et al., 2010).

#### 486 **4.4** *Summary*

From both laboratory and full-scale tests, the performance of WarmRAC is comparable to conventional HMA, and even better in terms of rutting resistance and fatigue performance. However, long-term performance of WarmRAC should be monitored and evaluated with the cooperation and coordination of different agencies, industry and academia.

#### 491 **5 Feasibility of recycling WarmRAC**

492 Apart from in-service performance and durability, related transportation agencies and contractors also 493 concern a lot about the recyclability of the constructed roads. Since warm mix rubberized asphalt 494 concrete is a relatively new technology, currently, there is no related literature about the recycling of 495 WarmRAC. As mentioned before, WMA technologies are mainly used for lowering the production 496 and construction temperature of asphalt mixes, and they don't contain any potential hazardous or 497 intractable components during the traditional recycling process. Therefore, the main concern about 498 feasibility of recycling WarmRAC should be laid on the recyclability of crumb rubber modified 499 paving materials.

In 1993, a report (USDOT and USEPA, 1993) to U.S. Congress mentioned that the New Jersey Department of Transportation conducted a study incorporating recycled dry process CRM asphalt pavement into a paving project to assess the concern of the recyclability of asphalt pavements containing ground tire rubber. The report acknowledged that no modifications were required to the drum plant and all production procedures were normal from producing the recycled rubberized mixtures. In addition, air quality testing performed for this project shows that materials can be recycled within current air quality standards.

507 In 2005, California DOT surveyed several DOTs that had recycled rubberized asphalt concrete 508 in limited and valuable experiments or demonstration projects (California DOT, 2005). The respective 509 studies included different types of RAC (i.e. wet process and dry process). Some common featured 510 findings of these experiments included:

• Reclaimed RAC could be used in plant mixing to produce recycled mixes.

The recycled RAC mixtures could be placed and compacted using conventional equipment and
practices without any problems.

• The recycled RAC pavements typically have comparable performance to virgin pavements.

• Results of tests on AC plant emissions and worker exposure conducted during production and
placement of recycled mixes including reclaimed RAC paving materials do not indicate adverse
impacts on health and safety.

518 Environmental testing did by Texas Transportation Institute (Crockford et al., 1995) also showed 519 there was very little difference between the emissions from RAC and standard asphalt plants. Detailed 520 information about environmental emission analysis will be discussed in the next section.

521 From above results, it can be found that the evaluation criteria of the recyclability of one paving 522 material generally include: (1) whether the material can be produced and constructed using 523 conventional production and paving equipment without major modifications; (2) whether the 524 pavement performance containing recycled material meets the requirements of related standards; (3)
525 whether the recycling of the material has negative environmental impacts. The results from respective
526 DOT's studies indicate that a wide range of RAC paving materials have been successfully recycled,
527 which supports the feasibility of recycling WarmRAC.

#### 528 6 Environmental analysis

#### 529 6.1 Potential environmental effects of RAC pavements

As many documents demonstrate that incorporation of crumb rubber from scrap tires into asphalt pavements is an effective way to solve the disposal issue of used tires, it also brings potential environmental issues due to the complex components of waste tire and bitumen. Specifically, air quality and occupational exposure might be adversely affected during the production and construction process, and water quality might be affected by the leachates from RAC pavements. One should note that the environment here is a generalized concept, which contains both natural environment and human beings.

#### 537 6.1.1 Air quality and occupational exposure

538 Every day there are millions of workers working with asphalt related materials, either in 539 asphalt/roofing plants, or in the road paving sites. It was estimated that workers are exposed to asphalt 540 fumes during almost 40% of their working hours, which may bring potential health concerns (USDOT 541 and USEPA, 1993). The earliest systematic research on the health effects of occupational exposure to 542 asphalt dates back to 1977. In 1977, the U.S. National Institute for Occupational Safety and Health 543 (NIOSH) reviewed the available data on hazardous environmental effects during asphalt paving and 544 recommended an exposure limit for asphalt fumes of 5 mg/m<sup>3</sup> measured as total particulates during any 15-minute period (NIOSH, 2000). With the massive applications of RAC pavements in U.S., both 545 546 industry and labour have concerns over inadequate information on the environmental and human health effects resulting from RAC pavements. Driven by the U.S. Environmental Protection Agency 547 (EPA), NIOSH cooperated with FHWA to evaluate occupational exposures of CRM asphalt and 548

549 conventional asphalt among asphalt paving workers at seven paving projects from 1994 to 1997550 (NIOSH, 2001).

Air samples from both area air (highway background) and personal breathing zone (paver hopper, paver screed and roller) were collected and analysed following specific NIOSH or EPA testing protocols. The sampling and analytical methods, as well as the findings in the evaluation are summarised in Table 2.

555 Only TP and BSP can be comparable to existing occupational exposure limits. Benzothiazole 556 (Ghosh et al., 2003), an accelerator used in the vulcanization process for rubber, was found primarily 557 during CRM paving. This chemical is also a useful indicator in the analysis of complex CRM asphalt 558 fumes and leachates. Results from both area air and personal breathing-zone (PBZ) samples indicated 559 exposures to a variety of analytes (TP, BSP, PACs, OSCs and benzothiazole) were generally greater 560 during the rubberized asphalt paving than the conventional one. Among paving crews, truck dumpers, 561 paver and screed operators suffer the highest PBZ exposures. Fortunately, as shown in Table 2, the 562 concentrations of volatile organic compounds (VOCs, including toluene, xylene, MIBK) were 563 generally less than 1 part per million (ppm), which means well below their respective occupational 564 exposure limits (NIOSH, 1992). Overall, although test results showed that some analytes' 565 concentrations of CRM exposures are higher than conventional exposures, there were no definitive results indicating that CRM exposures are more hazardous than conventional exposures. Therefore, 566 this latest report does not recommend any changes to the 1977 NIOSH criteria for recommended 567 568 exposure standards.

569

 Table 2 Summary of sampling and analytical methods for characterizing asphalt fumes

Evaluation substance	Analytical methodology	Findings
Total Particulate (TP)	NIOSH Methods 0500 and 5042	Below 1.5 mg/m <sup>3</sup>
Benzene Soluble Particulate	NIOSH Method 5042	Below 0.5 mg/m <sup>3</sup>
(BSP)		
Respirable Particulate (BP)	NIOSH Method 0600	-
Polycyclic Aromatic	NIOSH Method 5800	Higher concentrations than
Compounds (PACs), Organic		conventional exposure
Sulfur Compounds (OSCs), and		
Benzothiazole		
Elemental/Organic Carbon	NIOSH Method 5040	Higher concentrations above the
		screed auger.
Volatile Organic Compounds	NIOSH Methods 1300, 1301,	Higher concentrations at CRM

22

(VOCs, including benzene,	1501 and 1550	asphalt paving site;
toluene, xylene, MIBK)	Tekmar thermal desorber	Well below occupational
	Gas chromatograph/mass	exposure limits (except for
	spectrometry (GC/MS) detector	benzene).
		Benzene concentrations ranged
		up to 0.77 ppm
$H_2S$ , $SO_2$ , $CO$ , and ozone	Toxilog <sup>®</sup> diffusion monitors	Very low concentrations of H <sub>2</sub> S,
	CEA® TG-KA Portable Toxic	SO <sub>2</sub> , and ozone at both CRM
	Gas Detector	and conventional paving sites.
		Higher concentration of CO
Mutagenicity Assay	Teflon <sup>®</sup> sampling filter	None of the asphalt fume
	Tester strains TA98 and TA100	samples are mutagenic.

570

#### 571 6.1.2 Water quality

572 During the wet seasons, the rubberized paving materials have the potential to leach out complex 573 chemical constituents, which would possibly be transported to adjacent water bodies (Azizian et al., 574 2003). Due to the constitutive complexity of crumb rubber and asphalt binder as well as the uncertain 575 interaction between them, the leachates often contain a mixture of organic and metallic contaminants 576 (Li et al., 2010). According to NCHRP Report 443 (NCHRP, 2000), leaching from a wide range of 577 highway construction material use can be modelled as six different reference environments, including 578 permeable highway surface, impermeable highway surface, piling, borehole, fill, and culvert. In order 579 to evaluate the important processes that affect the chemical composition, aquatic toxicity, and fate of 580 leachates from RAC in highway applications, Azizian et al. (2003) applied a validated chemical and 581 toxicity evaluation methodology to assess the leaching behaviour of RAC pavements in highway 582 environments. Through short-term and long-term batch leaching test, and flat plate leaching test, the 583 information on the mobility of constituents in RAC materials under a range of conditions and further 584 estimates of expected leachate chemical concentrations were obtained. After a series of laboratory 585 tests, aluminium, mercury and benzothiazole, were detected in the leachates at concentrations of about 586 1.5, 0.02, and 0.54mg/l, respectively. However, these contaminants from leachates were proven to be 587 degraded or retarded to completely nontoxic by some natural (removal/reduction/retardation (RRR)) 588 processes during their transport through nearby soils and ground water due to mass transfer effects. 589 Soil sorption is the most important removal/retardation process for benzothiazole, aluminium, and 590 mercury. Volatilization and biodegradation have significant effects on the concentration reduction of

benzothiazole by about 90%, while photolysis does not affect the benzothiazole concentration. After referring to some related environmental standards, they concluded that leachates from highway material (including RAC paving material) have little or no impact on the aquatic environment, which cannot be qualified to be hazardous (NCHRP, 2001). An independent environmental testing did by Texas Transportation Institute (Crockford et al., 1995) also showed that trace metals, volatile organics, and semi-volatile organics may be leached from asphalt rubber, but all at levels too low to be environmentally significant or hazardous under current guidelines.

#### 598 6.2 Environmental benefits of WMA technology

599 Reduction in GHS emissions is the most significant benefit associated with WMA production. 600 According to the different WMA application stages, environmental benefits from WMA production 601 can be divided into two subcategories-direct and indirect emission reductions. The direct emission 602 reduction comes from the energy savings in asphalt plants and paving sites due to the significantly 603 reduced asphalt concrete production and construction temperatures offered by WMA technologies. A 604 laboratory study on carbon dioxide emission  $(CO_2)$  from warm mix asphalt binder found that 605 temperature is the only statistically significant factor on emissions (Mallick and Bergendahl, 2009). 606 For the stack emissions sites, a 21% reduction in fuel usage and a 20% reduction in  $CO_2$  emissions can 607 be obtained through an average 52 °C reduction in asphalt mixture temperature (NCHRP, 2014). 608 Therefore, it can be deduced that lowering the asphalt mix temperature is the most effective way to 609 reduce CO<sub>2</sub> emissions. Results from Rubio et al. (2013) showed that half-warm mix asphalt (HWMA) 610 which was manufactured at temperatures lower than 100 °C considerably reduce combustion gases emission (58% for CO<sub>2</sub> and 99.9% for SO<sub>2</sub>) and particles emitted into the atmosphere. Regarding PAH 611 (polycyclic aromatic hydrocarbon) and VOC emissions of HWMA, the concentrations of these 612 613 compounds were very low or undetectable. Although this study is with respect to HWMA, it is also 614 meaningful and valuable to WMA. Generally, the actual reduction depended on the condition of the 615 plant, type of fuel, weather conditions during production, and the type of technology used (Zaumanis, 616 2010).

617 Apart from the direct emission reduction during asphalt production, several other benefits of WMA technology promise indirect related emission reduction. For instance, less aging of asphalt 618 binder during lower production and placement temperature tends to improve the resistance to fatigue 619 620 and thermal cracking of asphalt pavements (Kristjansdottir, 2006). In addition, the lowering of 621 bitumen viscosity enhances the workability and compaction of the mix, also thus allows the 622 incorporation of a high percentage of Reclaimed Asphalt Pavement (RAP) (Doyle et al., 2011; Tao 623 and Mallick, 2009), and wider applications of CRM asphalt pavement at relatively low placement 624 temperatures (Oliveira et al., 2013). Adding both RAP and CRM into WMA mixtures will yield more 625 significant environmental benefits.

626 More importantly, lower emissions of asphalt fumes/aerosols improve safety and working 627 conditions for paving crews as shown in Fig. 4. The Ministry of Transportation of Ontario (Canada) 628 (Politano, 2012) found that comparing to HMA, WMA technology reduces dust, benzene soluble 629 fraction (BSF) behind the paver and at the location of the paver operator significantly, and increases 630 the transparency value of paving sites to about one third of that of HMA at both locations. According 631 to Olard et al. (2007), the proprietary low-energy asphalt techniques enabled a reduction of both energy consumption and GHG emissions to nearly 40%. For paving projects that are not in open air 632 633 (e.g. tunnels), the decrease of occupational exposure to emissions is magnified. With better working 634 conditions, labour productivity and retention will be improved.



635

636

Fig. 4. Fumes from HMA (left) and high transparency from WMA (right) (Jones, 2013)

#### 637 6.2.1 Case study of WarmRAC

The most related study with respect to emission of WarmRAC was finished by UCPRC (Farshidi, 638 F. et al., 2013). As reported by Kumar and Viden (2007), some personal sampling devices used for 639 detecting TP and BSP did not reflect the actual paving conditions. Emissions from asphalt maybe 640 641 influenced by passing traffic and paving equipment itself. To overcome these limitations, a portable flux chamber was designed and built by UCPRC for collecting emissions exclusively in the fields 642 643 (Farshidi, F. et al., 2013). Through various laboratory tests on the asphalt fume extractions from 644 samples, VOCs, SVOCs and PAHs were identified and quantified. Results show that in most instances, 645 total alkane emissions produced in the warm mixes are significantly lower than that in the hot mixes (e.g., 117  $\mu$ g/m<sup>3</sup> from WMA compared to 2,516  $\mu$ g/m<sup>3</sup> from the HMA control). PAH concentrations is 646 647 related to initial mix production temperature, with warm mixes produced at lower temperatures show 648 lower PAH concentrations.

649 Yang et al. (2017) conducted the stack emission test which monitored six types of hazard 650 emissions (formaldehyde, naphthalene, total xylene, ethylbenzene, toluene, and benzene) from control 651 HMA, rubberized HMA and rubberized WMA. Results showed that rubberized HMA exhibited a 652 visibly higher emission than control HMA due to the addition of CRM. Fortunately, some of the 653 increased hazardous emissions were offset with the application of Evotherm WMA technology.

#### 654 **6.3** Summary

Application of rubberized asphalt mixtures in pavements can generate potential negative effects to 655 656 both air and water quality, but all within the related environmental exposure limits. WMA technology 657 can significantly reduce gas emissions during the production and construction. With the incorporation 658 of WMA, the negative influence of RAC pavements to environment will be minimized. Temperature 659 is the most significant factor that affects the emissions during construction. Therefore, determining the optimal temperature range will minimize emissions concentrations without undermining performance 660 properties of WarmRAC. Besides, a multifunctional WMA product that incorporates asphalt fume 661 retardant (Xiao et al., 2010; Xu et al., 2013) will have great market potentials. 662

#### 663 7 Economic analysis

#### 664 7.1 Life-cycle cost of RAC technology

Many documented publications reported concerns on the higher initial cost of crumb rubber modified 665 asphalt pavements when compared to conventional ones, which stems from the add-on cost of scrap 666 tires, manufacturing cost and potential equipment modification. However, it is more scientific and 667 reasonable to analysis the cost effectiveness in a life-cycle manner instead of only considering the 668 initial capital cost. The life cycle analysis (LCA) of asphalt pavements is usually divided into four 669 670 phases (Huang et al., 2009): production of raw and mixed materials, placement and construction, maintenance and repair, and demolition or recycling. Studies show that RAC is more cost effective 671 672 than conventional asphalt mix based on annual equivalent costs, capital costs and layer equivalencies 673 (Hicks and Epps, 2000; McQuillen Jr et al., 1988). This conclusion is supported by the improved 674 performances (e.g. higher stiffness, aging resistance, fatigue and thermal cracking resistance, etc.) of 675 RAC, which in turn make rubberized asphalt pavement with reduced layer thickness, extended service 676 life, and lower maintenance cost. According to McQuillen Jr et al. (1988), the required thickness of a 677 RAC surface layer can be reduced by 1.2 to 1.4 times compared with the conventional mix if using the 678 allowable tensile strain based equivalency factors. Furthermore, under the same life cost of 679 conventional asphalt concrete surface which lasts 15 years, RAC pavements with equal layer thickness 680 would have a life span of approximately 20 to 23 years. It should be noted that life-cycle costs here do 681 not include potential intangible benefits of rubber-modified pavement system, such as value-added 682 disposition of scrap tires, increased skid resistance and noise reduction. Nevertheless, RAC is not cost effective in all applications. Therefore Hicks and Epps (2000) suggested using life cycle cost analysis 683 684 to determine where and when to use RAC in a more economical way.

#### 685 7.2 Fuel savings of WMA technology

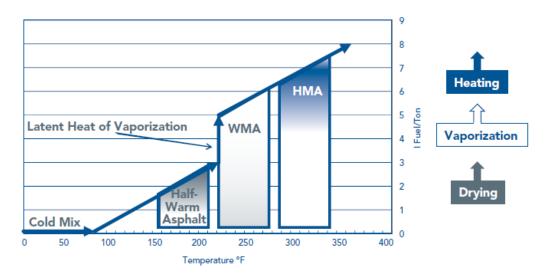
WMA also encounters similar dilemma of higher initial cost than HMA as RAC. The additional costs
of WMA comparing to HMA come from costs of WMA additives, potential asphalt plant modification,
and technology licensing costs (Kristjánsdóttir et al., 2007).

689 Studies show that energy savings has similar proportions as the reduction in GHG emissions during WMA production (Kristjansdottir, 2006). The degree of the reduction in energy consumption 690 largely depends on how much the production temperature was lowered and the differential in moisture 691 692 reduction in the aggregates. Theoretical calculations indicate that a temperature reduction of 28 °C 693 should result in a fuel saving of 10~15 percent (NCHRP, 2014). Based on the rough relationship 694 between mixing temperature and fuel consumption in Fig. 5, Yang et al. (2017) found that WarmRAC 695 had a fuel savings of around 14% comparing to control rubberized HMA. In addition, a reduction in 696 the amount of moisture that is removed from the incoming aggregates also reduces fuel consumption. 697 For every 1% reduction in moisture, fuel consumption is reduced by approximately 10% (Prowell D. 698 et al., 2012). However, the effects of reductions in production temperature and moisture content on the 699 final fuel consumption are interrelated, which means the contributions of each effect to fuel savings 700 should not be summed up simply. Based on the energy audits for several WMA projects, the following 701 relationship (Equation 1) was built between energy savings for WMA production and temperature 702 reduction:

703

#### $Energy \ savings = T_R \times 1100 \ Btu/ton$ (1)

Where  $T_R$  is temperature reduction (°F), Btu is an energy unit (British thermal unit). The above relationship shows that one-ton WMA mixes with one °F temperature reduction can save 1100 Btu energy.



707

708 709 Fig.5. Asphalt mixture type classification based on the manufacturing temperature and fuel

consumptions, adapted from (D'Angelo, 2008)

Depending on the burner fuel (e.g. recycled fuel oil, natural gas) asphalt plants used for drying and heating aggregates, the energy savings vary from \$0.16/ton to \$0.39/ton for a 25 °F drop from HMA to WMA, and vary from \$0.31/ton to \$0.79/ton for a 50 °F drop (NCHRP, 2014). With the increasing of energy cost, this fuel-saving benefit of WMA will be magnified. It was also reported by contractors that using WMA could have improved in-place density and smoothness, which will provide unquantifiable economic benefits.

#### 716 7.3 Comparative cost analysis of WarmRAC

717 Decisions regarding when and where to use specific paving technology must be based on cost and expected performance. Transportation or highway agencies are advocating the use of life cycle cost 718 719 analysis (LCCA) to assist in determining the most appropriate pavement design, rehabilitation and maintenance strategies for a given situation (Asiedu and Gu, 1998). For example, in terms of a 720 721 highway pavement (Walls III and Smith, 1998), in addition to the initial construction cost (within 722 agency cost), LCCA takes into account all the user costs, (e.g., vehicle operating costs (VOC), user 723 delay costs, and accident costs.), and agency costs related to future activities, including future routine 724 and preventive maintenance, resurfacing and rehabilitation. All the costs are usually discounted to a 725 present day or year value known as net present value (NPV) for convenient comparison (Hicks and 726 Epps, 2000). Since there is limited data regarding the entire life cycle cost of WarmRAC, this 727 subsection is intended to give a brief qualitative cost analysis of WarmRAC at different stages 728 compared to conventional HMA. Generally, the user costs of WMA and RAC have slight differences 729 compared to conventional HMA according to previous studies. Additionally, normal travel costs are 730 not dependent on individual project alternatives. As a matter of convenience, the user costs are 731 assumed equal for HMA and WarmRAC. Therefore, the main cost differences locate at the production, 732 construction, maintenance and rehabilitation stages. The impact assessment of different evaluation 733 items is based on a rough estimate according to the advantages and disadvantages of WarmRAC 734 discussed before, as shown in Table 3. From this preliminary analysis, it is obvious that WarmrRAC

735 pavement system is more cost-effective than the conventional HMA one. However, detailed and

736 systematic LCA and LCCA of WarmRAC should be carried out according to the following four steps

- 737 (Butt et al., 2012): (1) Goal and scope definition; (2) Life cycle inventory; (3) Life-cycle impact
- assessment; (4) Life cycle interpretation.
- 739
- 740

Table 3 Agency cost analysis of WarmRAC compared to conventional HMA

Stage	Impact item	Impact assessment
Production	Aggregate production	/
	Binder production	+
	Aggregate drying and heating	-
	Fillers and additives feeding	+
	Mixing	-
Construction	Transport	/
	Placement	/
	Compaction	-
Maintenance	Routine	-
	Preventive	-
	Corrective	-
Rehabilitation		According to specific
		project type

741 Note: / represents the same, + represents increased cost, - represents decreased cost.

#### 742 7.4 Summary

WarmRAC encounters a higher initial cost in comparison to conventional HMA, which is one of the main concerns of contractors when utilizing this technology. However, WarmRAC is believed to have long-term benefits, such as preserved ecosystem, improved durability and lower maintenance cost, which will be more cost-effective than conventional HMA in a life-cycle manner.

#### 747 8 Conclusions and recommendations

WMA is developed in response to demands for reduced energy consumption and gas emissions. The incorporation of crumb rubber into paving technology can not only solve the disposal issue of waste tires, but also improve the performance of asphalt pavements. WarmRAC, which is the combination of WMA and RAC, is a novel paving technology that satisfy the environmental, economic and social requirements of current society. Based on the review and analysis of both WMA and RAC, WarmRAC can be considered as a type of sustainable pavement that, on a broader scale, (1) meets

- basic human needs, (2) uses resources effectively, and (3) preserves/restores surrounding ecosystems.
- 755 Specifically, following conclusions and speculations can be drawn:
- (1) Generally, WarmRAC can use the same mix design methodology of conventional HMA with
  slight modifications, such as aggregate gradation, bitumen content, etc., based on specific
  WarmRAC product.
- (2) WMA technology is an aid to RAC pavement from the standpoint of construction.
  Construction techniques of conventional HMA with slight modifications can be successfully
  applied to WarmRAC.
- (3) The performance of WarmRAC is comparable to conventional HMA. Although there are
  some uncertainties of WarmRAC, it still meets the requirements or standards for typical
  HMA.
- (4) It is feasible to recycle WarmRAC using available technologies and equipment withoutadverse effects on environment and human beings.
- (5) WarmRAC can significantly reduce the emissions and energy consumption with insignificant
   negative impact on environment. Moreover, it is speculated that WarmRAC will maximize
   the additional value of waste tires, eliminating the potential risks to environment under
   improper disposition.
- (6) Although WarmRAC has a higher initial cost than conventional HMA, it is believed to be
   more cost-effective based on the preliminary life cycle cost analysis.
- As mentioned before, WarmRAC is a relatively new paving technology. The research findings to date about WarmRAC are positive and encouraged. Contractors continue to find new benefits from the use of WarmRAC. However, there remain a number of areas where additional evaluation, development, and research regarding WarmRAC are required. Further considerations are recommended as follows.
- (1) Both chemical and physical interaction mechanisms between bitumen and crumb rubbershould be investigated for material selection and quality control.
- (2) The interactions between crumb rubber and WMA technology chemistry should be further
  evaluated in terms of both performance and emission properties.

- (3) Accurate identification, quantification and measurement of emissions and leachates of
  WarmRAC should be developed during asphalt paving operations and service period.
  Developing laboratory procedures are encouraged to simulate asphalt fumes and leaching in
  filed conditions.
- (4) Long-term performance of WarmRAC should be documented and evaluated with the
  collaboration between different departments to realize its environmental, economic and social
  benefits. Accordingly, systematic LCA tools should be developed to quantify above
  environmental, economic and social impacts of WarmRAC.
- (5) A closer involvement of local and national government bodies with policies or regulations
   supporting will stimulate the development of this sustainable paving technology in both
   industry and academia. Education and training for related researchers, designers and workers
- are also required for the successful application of WarmRAC in asphalt pavements.
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