

Continuous and Discrete Algorithms for Modelling the Kessler Syndrome

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Abstract

This thesis contains the development of *continuous* Kepler orbit- and a *discrete* numerical integration-based collision detection algorithms in a system of LEO satellites, which in combination with collision algorithm form a simplified space debris evolution model. This model is then used to study the Kessler syndrome.

The *continuous* and *discrete* algorithms get their names from the solutions of the Two Body Problem (TBP) and the methods for collision detection that they are based on; the analytical and continuous time solution of TBP resulting in the Kepler orbits and the numerical, discrete time Velocity Verlet integration of the TBP. The collision model consists of an algorithm for fragmentation collisions largely based on the NASA Standard Breakup Model and a method for elastic, random scattering collisions.

Comparison between the continuous and discrete algorithms shows that on average both predict the same time to the first collision in a system of homogeneously distributed satellites. The algorithms differ in their efficiency depending on the number and the radius of the satellites in and the geometry of the system. For relatively small satellite numbers in large systems, the continuous algorithm is computationally more efficient. However, as more satellites or fragments result from previous collision, the continuous algorithm is outperformed by the discrete algorithm. Consequentially, its time complexity appears to be $\mathcal{O}(N^2)$.

Armed with this knowledge, the continuous algorithm is used to show that an initially small system of satellites is able to evolve into a large population of debris particles within several decades. Similarly, the discrete algorithm is used to show that an ordered collection of satellites in an homogeneously distributed system of debris-like particles exhibits the effect that a collision early on in the simulation can cause a cascade of collisions at a later stage. Hence Both the discrete and continuous algorithms predict a Kessler Syndrome and mimic predictions made by more advanced models from leading space agencies like NASA's LEGEND, ESA's DELTA and JAXA's LEODEEM [Lio+13].

Future research could focus on including atmospheric drag and gravitational perturbations to the continuous algorithm, thereby lengthening the time frame during which it can realistically simulate a system of satellites in LEO. To achieve this it is suggested that one execute the calculations inherent to the algorithm in parallel on a GPU, as these are independent of each other.

1. Introduction

"We have a full-on chain reaction it has been confirmed that the [the debris] is an unintentional side-effect of the Russians striking one of their own satellites", excerpt from the movie *Gravity* [15].

In the movie *Gravity* the explosion of a satellite in Low Earth Orbit (LEO) generates a debris field that causes subsequent collisions with satellites and a "full-on chain reaction" of more debris and collisions. This debris is travelling at an altitude similar to that of Hubble, where a group of astronauts are performing a servicing mission. On the 15th of November of 2021, Russians actually blew up one of their satellites in an anti-satellite missile test, forcing astronauts in the ISS to shelter in the Crew Dragon spacecraft [RA21].

Explosions of this scale act as seeder events, generating numerous small fragment and a few large ones. The generation of large numbers of debris particles is not limited to anti-satellite tests, as old rocket bodies have the potential to explode at any time and are essentially ticking time bombs. Nor is it merely limited to explosions. Given a large enough population of debris particles in LEO a cascade of subsequent collisions could follow, generating increasingly more fragments. This idea was originally conceived of by Donald J. Kessler in 1978 and has since become known as the 'Kessler Syndrome' [KC78]. The ultimate conclusion of a Kessler Syndrome is that certain space activities and the operation of satellites providing essential services like communication and location become complicated for several decades.

The cascading effect that one seeder effect has may be seen in Figure 1, in which the collision of the active commercial satellite Iridium 33 and the defunct Russian military satellite Kosmos 2251 resulted in over a thousand debris fragments larger than 10 cm [Nic09]. Afterwards, the number of payload fragmentation debris can be seen to starkly increase for multiple years after.

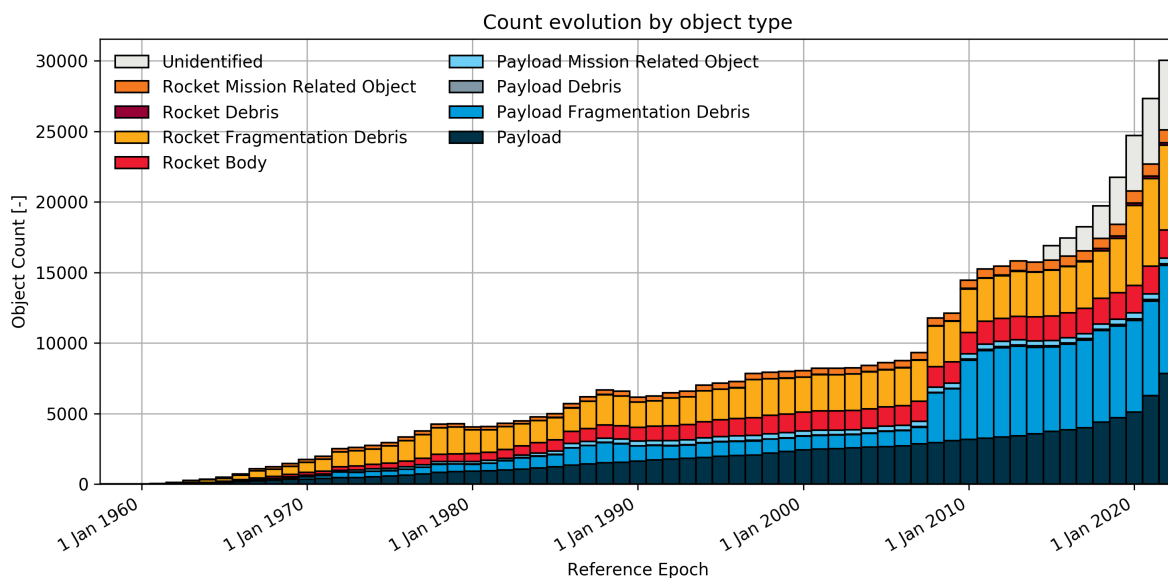


Figure 1: Bar chart showing the evolution of the number and types of objects in orbit around Earth. [ESO]

In modelling the Kessler syndrome several choices need to be made. One could opt for a statistical approach, which assumes a particular distribution of satellites in Earth's orbit and tries to compute the average time to the first or next collision. Kessler himself did exactly this in his original paper [KC78]. He observed that orbital perturbations, like the slightly oblate shape of the Earth, the atmospheric drag induced on satellites in Low Earth Orbit (LEO) or solar radiation pressure, caused the two orbital parameters to change considerably over short spans of time. These orbital elements, argument of pericentre ω and longitude of ascending node Ω , are constant in the idealised scenario of spherical gravitational potential. However, Kessler assumed them to be randomly distributed as the result of the perturbations mentioned. This allowed him to estimate the density of satellites at discrete volumes depending on the altitude, as measured from Earth's surface, ΔR and geocentric latitude β . This density, together with an estimation for the average impact velocity and cross sectional area of satellites in a certain altitude band then gives an average impact rate

A slightly more general approach involves the construction of a system dynamics model. This kind of model uses non-linear, coupled differential equations to determine the amount of debris and number of collisions. The strength of this approach lies in that it can easily be expanded to include complex effects like solar radiation pressure, atmospheric drag and de-orbiting of satellites, as it does not require the exact modelling of the orbit, mass, size or collision of any satellites or debris. In [DH18] this method is used to study different scenarios of orbital debris evolution, which include among others a 'conflict with a large-scale deployment of anti-satellite weapons', the instantaneous loss of control of satellites due to an Electromagnetic Pulse (EMP) and the 'cessation of LEO satellite launches'.

Another option is that of an entirely deterministic model. This approach aims to (approximately) model every satellite and collision event and is thereby able to give the state of the entire system at certain times. To achieve this, one usually makes several assumptions about the system to simplify calculations. One may for example assume the Earth to act as the sole source of gravitational attraction, hence leaving out the effect the satellites have on each other or the moon on the satellites. Another possibility is to assume that the Earth is perfectly spherical, neglecting atmospheric drag and solar radiation pressure. Debris evolution models are especially sensitive to which of these assumptions and other assumptions are made. As concluded in [DRD15] for instance, the largest source of uncertainty in predicting the long term evolution of LEO space debris, aside from solar radiation pressure and the parameters of the breakup model, is 'rate of compliance with post-mission disposal'.

No matter from what context the equations in the model arise, be it statistical or deterministic, there exist two major ways of solving them; numerically and analytically. The former method approximates the equations in a model and solves them at discrete times with arbitrary accuracy. Numerical methods are able to solve very complex systems of equations at the cost of long computation times. The latter, on the other hand, quickly gives the exact solution of the system at any given time. Which of these methods is used in a model ultimately determines what it is able to simulate. Numerical models can easily be adjusted to include orbital perturbations, but can only do so with a certain accuracy and at specific times. Analytical methods offer an exact solution at all times, though it needs to be completely re-derived when a new effect is added to the model.

Lastly, a mix of all these kinds of models is also an option. One could model the orbits *exactly* and use the orbital parameters to determine a collision *probability* for each satellite. A setup for a model like this using Kepler orbits is given in [JM17]. This paper uses Kepler orbits

as well, not to find the probability of a collisions, but to actually find the time and place of one.

The goal of this paper is to create a simplified space debris evolution model for a system of colliding satellites in Kepler orbits in order to study the Kessler Syndrome. To do so, it discusses the motivation for (Section 2), realisation of (Sections 3.1 and 3.2) and comparison between (Section 3.3) two deterministic approaches to collision detection between satellites. Section 4 describes the model that is used to perform collisions in the simulation, which incorporates scattering collisions (Section 4.1) and an implementation of the (statistical) NASA Standard Breakup Model for fragmentation collisions (Section 4.2) [Joh+01]. Section 5 then combines the two collision detection algorithms with the collision model to study the evolution of various systems of satellites.

2. The two-body problem

The dynamics of Earth's satellites are described by the two-body problem (TBP), if the mutual gravity of the satellites, the gravitational influence of other bodies (planets, the Moon, the Sun, close encounters with asteroids, etc.) and the effect of other perturbing forces (drag induced by Earth's atmosphere, solar radiation pressure, Earth's non-spherical shape) are neglected. In addition, the centre of mass (COM) frame may be assumed to coincide with the (reference) frame of the Earth, if the mass of the Earth is considerably larger than the total mass of the satellites. Under these assumptions each satellite's position must satisfy the equation of relative motion

$$\frac{d^2 \vec{r}}{dt^2} + \mu \frac{\vec{r}}{r^3} = 0, \quad (2.1)$$

where \vec{r} is the position vector of the satellite in Earth's reference frame with norm r and $\mu = \mathcal{G}(m_1 + m_2)$. In this report a solution to equation (2.1) is obtained in two ways. These will be discussed below.

2.1. Kepler orbits: an analytical solution to the two-body problem

The first of the two solutions of the TBP problem posed in section 2 starts by reducing the system of coupled, second order (homogeneous) differential equations defined by equation (2.1) to one linear second order inhomogeneous differential equation.¹ It does so through a coordinate transformation; from the Cartesian coordinate system — in which the problem is originally defined and the reference frame of the Earth — to a polar one (r, θ) in the orbital plane. By substituting $u = 1/r$ and using that the angular momentum (per unit mass) of the satellite $h = r^2 \frac{d\theta}{dt}$ is constant under the influence of a central force, i.e. Earth's gravity, gives

$$\frac{d^2 u}{d\theta^2} + u = \frac{\mu}{h^2}, \quad (2.2)$$

which may be solved to get the general equation of an ellipse

$$r(\theta - \varpi) = r(f) = \frac{h^2/\mu}{1 + e \cos f} = \frac{a(1 - e^2)}{1 + e \cos f}. \quad (2.3)$$

Here, θ is the orbital angle of the body with respect to some reference direction, a is the semi-major axis, e is the eccentricity and f is the true anomaly. The latter is defined as the angle with respect to the longitude of periapsis ϖ , which itself is the angle that minimizes r

$$r(\varpi) = a(1 - e) = r_p. \quad (2.4)$$

Similarly, r attains its maximum at the apoapse

$$r(\varpi + \pi) = a(1 + e) = r_a. \quad (2.5)$$

Furthermore, let T be the period of a satellite's orbit, then its average orbital frequency or mean motion is given by $n = \frac{2\pi}{T}$ and is related to μ and a through Kepler's third law

$$n^2 = \frac{\mu}{a^3}. \quad (2.6)$$

¹All the theory discussed is obtained from [MD00, pages 22-62]

Note that using equation (2.6) we can rewrite

$$h = \sqrt{\mu a(1 - e^2)} = na^2 \sqrt{1 - e^2} = nab, \quad (2.7)$$

where $b = a \sqrt{1 - e^2}$ is the semi-minor axis.

Time does not appear in equation (2.3), as all dependency on t is eliminated in the preceding derivation. In order to locate a satellite on its elliptical orbit at a particular time we need to know the eccentric anomaly E ²

$$M_a = nt = E - e \sin E, \quad (2.8)$$

where M_a is the mean anomaly. Seeing as equation (2.8) is transcendental in E , there exists no closed form for E in terms of M_a . However, using fixed point iteration on the function $g(E) = M_a + e \sin E$ and trigonometric, angle sum identities gives, after three iterations, the following expression

$$E = M_a + \left(e - \frac{1}{8}e^3\right) \sin M_a + \frac{1}{2}e^2 \sin 2M_a + \frac{3}{8}e^3 \sin 3M_a, \quad (2.9)$$

which is valid for $e < 0.6627434$. Using the Newton-Raphson method to find the root of the function $h(E) = E - e \sin(E) - M_a$ offers a solution as well, even if $e \geq 0.6627434$. The Cartesian coordinates in the reference frame of the Earth are obtained using

$$\vec{r} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \mathcal{R}(\Omega, \omega, I) \begin{pmatrix} a(\cos E - e) \\ a \sqrt{1 - e^2} \sin E \\ 0 \end{pmatrix}, \quad (2.10)$$

where \mathcal{R} is a transformation matrix defined in equation (A1) and depends on the longitude of ascending node Ω , the argument of periapsis ω and the inclination I . Similarly the velocity is

$$\vec{v} = \dot{\vec{r}} = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \frac{na}{|\vec{r}|} \mathcal{R} \begin{pmatrix} -a \sin E \\ a \sqrt{1 - e^2} \cos E \\ 0 \end{pmatrix}, \quad (2.11)$$

A property of a Kepler orbit is that it is completely determined by its angular momentum \vec{L} and eccentricity vector \vec{e} (REF). The former is purely perpendicular to its orbital plane and given by

$$\vec{L} = \begin{pmatrix} l_1 \\ l_2 \\ l_3 \end{pmatrix} = \mathcal{R} \begin{pmatrix} 0 \\ 0 \\ mh \end{pmatrix} = L \begin{pmatrix} \sin \Omega \sin I \\ -\cos \Omega \sin I \\ \cos I \end{pmatrix}, \quad (2.12)$$

where m is the mass of a satellite and $L = mh = mnab$. The latter points from the center of the ellipse to the central body and, therefore,

$$\vec{e} = \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix} = \mathcal{R} \begin{pmatrix} e \\ 0 \\ 0 \end{pmatrix} = e \begin{pmatrix} \cos \Omega \cos \omega - \sin \Omega \sin \omega \cos I \\ \sin \Omega \cos \omega + \cos \Omega \sin \omega \cos I \\ \sin \omega \sin I \end{pmatrix}. \quad (2.13)$$

An orbit may be specified by first determining $L = |\vec{L}|$ and $e = |\vec{e}|$, which give the value of a through equations (2.7) and (2.12). Then, l_3 gives I , e_3 fixes ω , l_1 yields Ω . \vec{L} and \vec{e} are themselves given in terms of \vec{r} and \vec{v} through equations A2 and A3.

²for a geometric interpretation of E see

2.2. Numerical integration of the two-body problem

From the equation of relative motion (2.1) we get that at any point in time the acceleration of a satellite due to Earth's gravity is completely determined by its position, $\frac{d^2 \vec{r}}{dt^2} = \vec{a}(\vec{r}) = -\mu \frac{\vec{r}}{r^3}$. This makes the TBP well suited for the use of a numerical integration scheme. At this point, there are numerous options with regards to which particular scheme can be used. Considering that the aim of this report is to simulate the system of satellites for multiple periods and include collisions and/or breakups in doing so, its integration scheme should meet certain requirements. With regards to the former, it should at least i) preserve (angular) momentum, ii) be time-invariant/-symmetric and iii) have a bounded energy and momentum error. Requirement i) ensures that satellites in orbit around Earth remain in orbit, given they do not encounter any other satellites and collide with them. In other words, satellites do not, by themselves and after several orbits, escape from Earth's gravitational influence or crash into Earth itself. For similar reasons ii) is important; it should not matter for the position (velocity) of a satellite whether it is propagated for- or backward in time, as the laws of physics are time-invariant. Also related is iii), which allows the system to be simulated for multiple periods (long spans of time) without an increasing deviation from the exact solution³. Aside from the mentioned requirements, the scheme should provide the position and velocity of a satellite at the same time instant, in order to conveniently simulate collisions. Though this is also necessary for the calculation of (approximately) conserved quantities, like total energy and (angular) momentum.

All of the above are satisfied by the Velocity Verlet (VV) integration scheme, which is one of the simplest schemes that does so [HLW03]. At any time t one performs the following two operations to propagate the position and velocity a time-step Δt forward

$$\begin{aligned}\vec{r}(t + \Delta t) &= \vec{r}(t) + \vec{v}(t)\Delta t + \frac{\vec{a}(\vec{r}(t))}{2}\Delta t^2, \\ \vec{v}(t + \Delta t) &= \vec{v}(t) + \frac{\vec{a}(\vec{r}(t)) + \vec{a}(\vec{r}(t + \Delta t))}{2}\Delta t.\end{aligned}$$

VV is a second order integrator, meaning that its global error is proportional to Δt^2 . If we perform VV for all particles in the system we get Algorithm 1.

Algorithm 1 Velocity Verlet

```

1: procedure VELOCITYVERLET( $R_t, V_t, \Delta t$ )
2:    $R_{t+\Delta t} = \emptyset$ 
3:    $V_{t+\Delta t} = \emptyset$ 
4:   for  $\vec{r}_i$  in  $\vec{r}$  and  $\vec{v}_i$  in  $\vec{v}$  do
5:      $\vec{r}_i(t + \Delta t) = \vec{r}_i(t) + \vec{v}_i(t)\Delta t + \frac{\vec{a}(\vec{r}_i(t))}{2}\Delta t^2$ 
6:      $\vec{v}_i(t + \Delta t) = \vec{v}_i(t) + \frac{\vec{a}(\vec{r}_i(t)) + \vec{a}(\vec{r}_i(t + \Delta t))}{2}\Delta t$ 
7:     append  $\vec{r}_i(t + \Delta t)$  to  $R_{t+\Delta t}$  and  $\vec{v}_i(t + \Delta t)$  to  $V_{t+\Delta t}$ 
8:   end for
9:   return  $R_{t+\Delta t}$  and  $V_{t+\Delta t}$ 
10: end procedure

```

³like the Kepler orbits described in 2.1

3. Collision detection

No matter what type of modelling approach is used in order to determine the evolution of debris in Earth's orbit, the effect of collisions on the system must be included. They are the main source of debris, generating several hundreds or thousands of fragments per event and injecting those fragments into a range of lower and higher orbits.

The problem of modelling a collisional system of satellites is fourfold; we need to determine i) which satellites collide, ii) where and iii) when they do so and, lastly, iv) we need to simulate the collisions themselves. Problems i-iii) are the topic of this section, while iv) is addressed in Section 4.

How collisions are detected depends on the approach of the TBP; in Section 3.1 Kepler orbits are used while in Section 3.2 another method is described in the context of numerical integration of the TBP.

3.1. Collision detection using Kepler orbits

Given a system of satellites in Kepler orbits we can determine what satellites will collide. We denote the satellites as i and j , the collision time as $t_{i,j}^{\text{col}}$, their position and velocity as $\vec{r}_i, \vec{r}_j, \vec{v}_i$ and \vec{v}_j . The method described in this section was provided by dr. Visser in its entirety.

3.1.1. Colliding pairs

From Equations (2.4) and (2.5) we get that r_p and r_a define the lower and upper bound for the radial coordinate of any satellite. As an initial crude search for possible colliding pairs of satellites, we can therefore check whether the apoapse of i , $r_{a,i}$, exceeds the periapse of j , $r_{p,j}$. In doing so, we have to take the radii of satellites s_i and s_j into account. Assuming without loss of generality $a_i < a_j$, this amounts to checking [Opi51]

$$r_{a,i} + s_i \geq r_{p,j} - s_j,$$

$$a_i(1 + e_i) + s_i \geq a_j(1 + e_j) - s_j. \quad (3.1)$$

If the above condition is satisfied for some pair (i, j) , then these satellites could possibly collide. In any case, the orbits of these satellites will share some minimum distance, which is generally denoted as the Minimum Orbit Intersection Distance (MOID). For two random orbits and given $s_{i,j}$ is sufficiently small compared to $a_{i,j}$, the MOID will lie near the intersection of the two orbital planes, the nodal line. The direction of the nodal line is given as [MMB98]

$$\vec{K}_{\pm} = K\hat{K}_{\pm} = \pm \vec{L}_i \times \vec{L}_j,$$

where $\vec{L}_{i,j}$ is the angular momentum of the satellites from Equation (2.12) and the plus and minus signs refer to the two intersection points (FIG). The angle between \hat{K}_{\pm} and $\vec{e}_{i,j}$ is the true anomaly of the intersection point, because the former necessarily lies in the orbital plane and the latter always points toward periapsis⁴. Taking the inner product with \vec{e}_i therefore gives

$$\vec{e}_i \cdot \vec{K}_{\pm} = Ke \cos f_{\pm},$$

⁴It must therefore lie along the argument of periapsis ϖ . Thus if we denote the angle between \vec{e}_i and \vec{K}_{\pm} as ν , then $\nu = \theta - \varpi = f$, where θ is the angle between \vec{K} and some reference direction.

where f_{\pm} is the true anomaly of the intersection points and a similar relationship holds for \vec{e}_j . Substituting this into Equation (2.3) yields

$$\vec{r}_{i,\pm} = \frac{a_i(1 - e_i^2)}{1 + e \cos f_{\pm}} \hat{K}_{\pm} = \frac{a_i(1 - e_i^2)}{K + \vec{e}_i \cdot \vec{K}_{\pm}} \vec{K}_{\pm} = \frac{a_i(1 - e_i^2) \vec{L}_i \times \vec{L}_j}{\pm |\vec{L}_i \times \vec{L}_j| + \vec{e}_i \cdot (\vec{L}_i \times \vec{L}_j)}. \quad (3.2)$$

There is an equivalent relation for j . In order to obtain an approximate MOID we linearise the motion of i and j around $\vec{r}_{i,\pm}$ and $\vec{r}_{j,\pm}$ as

$$\vec{\rho}_i(t) = \vec{r}_i + \vec{v}_i t \quad \text{and} \quad \vec{\rho}_j(s) = \vec{r}_j + \vec{v}_j s,$$

where the velocities $\vec{v}_{i,j}$ are obtained from Equation (A4) and s and t are independent parameters. All subscripts referring to the two intersection points are left out, since the following analysis is the same for both. Taking the squared norm of the relative distance $\delta^2(t, s) = |\rho_j(s) - \rho_i(t)|^2$ and differentiating to t and s gives

$$\begin{aligned} \frac{d\delta^2}{dt} &= \vec{v}_i \cdot \vec{v}_i t - \vec{v}_i \cdot \vec{v}_j s - (\vec{r}_j - \vec{r}_i) \cdot \vec{v}_i, \\ \frac{d\delta^2}{ds} &= -\vec{v}_i \cdot \vec{v}_j t + \vec{v}_j \cdot \vec{v}_j s + (\vec{r}_j - \vec{r}_i) \cdot \vec{v}_j. \end{aligned}$$

Equating these to zero for $t = t_{MOID}$ and $s = s_{MOID}$ results in the following system of equations

$$\begin{pmatrix} |\vec{v}_i|^2 & -\vec{v}_i \cdot \vec{v}_j \\ -\vec{v}_i \cdot \vec{v}_j & |\vec{v}_j|^2 \end{pmatrix} \begin{pmatrix} t_{MOID} \\ s_{MOID} \end{pmatrix} = \begin{pmatrix} (\vec{r}_j - \vec{r}_i) \cdot \vec{v}_i \\ -(\vec{r}_j - \vec{r}_i) \cdot \vec{v}_j \end{pmatrix},$$

which may be solved to yield⁵

$$\begin{aligned} t_{MOID} &= (\vec{r}_j - \vec{r}_i) \cdot \frac{\vec{v}_j \times (\vec{v}_i \times \vec{v}_j)}{|\vec{v}_i \times \vec{v}_j|^2}, \\ s_{MOID} &= (\vec{r}_j - \vec{r}_i) \cdot \frac{\vec{v}_i \times (\vec{v}_i \times \vec{v}_j)}{|\vec{v}_i \times \vec{v}_j|^2}. \end{aligned}$$

This results in the following points at which the two satellite will have an approximate MOID

$$\vec{r}_i^1 = \vec{\rho}_i(t_{MOID}) = \vec{r}_i + \left[(\vec{r}_j - \vec{r}_i) \cdot \frac{\vec{v}_j \times (\vec{v}_i \times \vec{v}_j)}{|\vec{v}_i \times \vec{v}_j|^2} \right] \vec{v}_i, \quad (3.3)$$

$$\vec{r}_j^1 = \vec{\rho}_j(s_{MOID}) = \vec{r}_j + \left[(\vec{r}_j - \vec{r}_i) \cdot \frac{\vec{v}_i \times (\vec{v}_i \times \vec{v}_j)}{|\vec{v}_i \times \vec{v}_j|^2} \right] \vec{v}_j. \quad (3.4)$$

To determine whether (i, j) indeed form a colliding pair, their MOID should be smaller than the sum of their radii

$$\delta_{MOID} = |\vec{\rho}_j(s_{MOID}) - \vec{\rho}_i(t_{MOID})| < s_i + s_j. \quad (3.5)$$

⁵Here it has been used that for vectors \vec{a} , \vec{b} and \vec{c} we always have $|\vec{a}|^2|\vec{b}|^2 - (\vec{a} \cdot \vec{b})^2 = |\vec{a} \times \vec{b}|^2$ and $(\vec{a} \cdot \vec{c})\vec{b} - (\vec{a} \cdot \vec{b})\vec{c} = \vec{a} \times (\vec{b} \times \vec{c})$ (vector triple product).

3.1.2. First MOID passage time

Let $t_{i,j}^1$ be the time that satellites i, j first pass the MOID points defined in Equations (3.3) and (3.4). As is illustrated in Section 3.1.3, these times are needed to determine the collision time t_{ij}^{col} . More specifically, $t_{i,j}^1$ denote the time it takes satellites i, j to move from their creation points $\vec{r}_{i,j}^0$ to their collision points $\vec{r}_{i,j}^1$. In order to obtain these passage times, we use Kepler's second law, which says that a body's position vector sweeps out equal area in equal times. Put differently, the difference in time between two points on an orbit is proportional to the period T of the orbit

$$t^1 - t^0 = \frac{A}{\pi ab} T = \frac{2A}{nab},$$

where t^0 is the creation time⁶ and $b = a\sqrt{1 - e^2}$. The area A may be determined from figure (FIG) as

$$A = \frac{(E^1 - E^0)ab}{2} + \frac{a\vec{e} \times \vec{r}^0}{2} \cdot \hat{L} - \frac{a\vec{e} \times \vec{r}^1}{2} \cdot \hat{L}.$$

substituting this for A gives

$$t^1 - t^0 = \frac{\Delta E}{n} - \frac{\vec{e} \times (\vec{r}^1 - \vec{r}^0)}{nb} \cdot \hat{L}, \quad (3.6)$$

with $\Delta E = E^1 - E^0$, the difference in eccentric anomalies. As derived by Dr. Visser

$$\cos \Delta E = \frac{\vec{r}^1 \cdot \vec{r}^0}{b^2} + \frac{(\vec{r}^1 + \vec{r}^0) \cdot \vec{e}}{a} - \frac{(\vec{r}^1 \cdot \vec{e})(\vec{e} \cdot \vec{r}^0)}{b^2}. \quad (3.7)$$

Differentiating (3.7) with respect to t^1 gives

$$\sin \Delta E = -\frac{|\vec{r}^1|}{na} \left(\frac{\vec{v}^1 \cdot \vec{r}^0}{b^2} + \frac{\vec{v}^1 \cdot \vec{e}}{a} - \frac{(\vec{v}^1 \cdot \vec{e})(\vec{e} \cdot \vec{r}^0)}{b^2} \right). \quad (3.8)$$

This may be combined with Equation (3.7) to determine ΔE .

3.1.3. Collision time

So far we have described methods to determine colliding pairs and their corresponding positions and velocities at collision. Note that if we define $T_i = \frac{2\pi}{n_i}$ and $T_j = \frac{2\pi}{n_j}$ as the period of the satellites, then this collision time may be decomposed as

$$t_{ij}^{\text{col}} = kT_i + t_i^1 + dt_i = lT_j + t_j^1 + dt_j \quad \text{with } k, l = 0, 1, 2, \dots, \quad (3.9)$$

where $dt_{i,j}$ is small shift in time accounting for the fact that satellites are not required to exactly be at $\vec{r}_{i,j}^1$ for a collision to occur. We now linearise the motion of the satellites around t_{ij}^{col} as

$$\vec{\rho}_i(t) = \vec{r}_i^1 + \vec{v}_i t \quad \text{and} \quad \vec{\rho}_j(t) = \vec{r}_j^1 + \vec{v}_j t.$$

If we let $\vec{u} = \vec{v}_j - \vec{v}_i$ and $\vec{\delta} = \vec{\rho}_j(dt_i) - \vec{\rho}_i(dt_j)$, then the satellites are at their actual collision points and it must hold

$$\frac{|\vec{u} \times \vec{\delta}|}{|\vec{u}|} < s_i + s_j, \quad (3.10)$$

⁶the subscripts referring to the satellites are neglected here, as the analysis is the same for both

as derived in Section 3.2.3. In appendix B it is derived that Equation (3.10) implies

$$|kT_i + t_i^1 - lT_j - t_j^1| < \frac{\sqrt{(s_i + s_j)^2 - |\vec{r}_j^1 - \vec{r}_i^1|^2} |\vec{u}|}{|\vec{v}_i \times \vec{v}_j|}. \quad (3.11)$$

The problem of finding the exact collision time is therefore equivalent to finding the smallest integers $k \geq 0$ and $l \geq 0$ that satisfy inequality (3.11).

To this end, let

$$p = \frac{T_i}{|t_i^1 - t_j^1|}, \quad q = \frac{T_j}{|t_i^1 - t_j^1|}, \quad \delta = \frac{\sqrt{(s_i + s_j)^2 - |\vec{r}_j^1 - \vec{r}_i^1|^2} |\vec{u}|}{|\vec{v}_i \times \vec{v}_j|}, \quad (3.12)$$

then (3.11) is rewritten to

$$1 - \delta < kp - lq < 1 + \delta. \quad (3.13)$$

Equation (3.13) implies that the points k, l are the smallest integers points that lie between the lines

$$x = \left(\frac{q}{p}\right)y + \frac{1 - \delta}{p} \quad \text{and} \quad x = \left(\frac{q}{p}\right)y + \frac{1 + \delta}{p} \quad \text{for} \quad x, y \in \mathbb{R}^2 \quad \text{and} \quad y \geq 0,$$

We assume without loss of generality that $T_i > T_j$, which implies that $p > q$ and $p > 1^7$. Now we describe an algorithm that finds integer points k_n and l_n such that their ratio $\frac{l_n}{k_n}$ converges to $\frac{p}{q}$. It determines the successive remainders q_n

$$q_0 = p, \quad q_1 = q, \quad q_{n+2} = q_n \bmod q_{n+1} = q_n - a_n q_{n-1}, \quad \text{for} \quad n = 0, 1, 2, \dots, \quad (3.14)$$

where $a_n = \lfloor \frac{q_n}{q_{n+1}} \rfloor$. The corresponding sequence q_n goes to zero for n going to infinity. If $q_n = k_n p - l_n q$, then $\frac{l_n}{k_n}$ are the convergents of the continued fraction expansion (cfe) of $\frac{p}{q}$. In addition a_n are the coefficients of the same cfe and it holds that

$$l_n = a_n l_{n-1} + l_{n-2} \quad \text{and} \quad k_n = a_n k_{n-1} + k_{n-2}. \quad (3.15)$$

The algorithm will look for points that satisfy (3.13) in the basis $\{\vec{b}_n, \vec{b}_{n+1}\}$ defined by

$$\vec{b}_n = (-1)^n \begin{pmatrix} k_n \\ l_n \end{pmatrix}, \quad \vec{b}_{n+2} = \vec{b}_n + a_n \vec{b}_{n+1},$$

where $(-1)^n$ term assures the basis vectors lie in the first quadrant and the recursion relation is motivated by relations (3.15). Any coordinates in the n^{th} basis (ξ, η) are related to the original (x, y) coordinates as

$$\begin{pmatrix} x \\ y \end{pmatrix} = \xi \vec{b}_n + \eta \vec{b}_{n+1} = \begin{pmatrix} \xi k_n - \eta k_{n+1} \\ \xi l_n - \eta l_{n+1} \end{pmatrix}.$$

Hence, the lines defined in (3.14) are described as

$$\text{lower: } \xi = \left(\frac{q_{n+1}}{q_n}\right)\eta + \frac{1 + \delta}{q_n}, \quad \text{upper: } \xi = \left(\frac{q_{n+1}}{q_n}\right)\eta + \frac{1 - \delta}{q_n}$$

⁷Because $|t_i^1 - t_j^1| < T_j$

in the n^{th} basis. Note that the width of the band between these lines $w_n = \frac{2\delta}{q_n}$ gains a factor $\frac{q_n}{q_{n+1}} > \phi$ for each step of the algorithm, i.e.

$$w_{n+1} = \frac{2\delta}{q_{n+1}} = \frac{q_n}{q_{n+1}} \frac{2\delta}{q_n} = \frac{q_n}{q_{n+1}} w_n \geq \phi w_n, \quad (3.16)$$

where ϕ is the golden ratio. The upper bound of the range within which points are searched for in the n^{th} basis is determined by the intersection of \vec{b}_{n+2} with the lower line

$$A : (\xi, \eta) = \left(\frac{1 + \delta}{q_{n+2}}, \frac{(1 + \delta)(q_n - q_{n+2})}{q_{n+1}q_{n+2}} \right) = \left(\frac{1 + \delta}{q_{n+2}}, \frac{(1 + \delta)a_n}{q_{n+2}} \right).$$

Thus the points that are checked, are all integer coordinates that lie below the η coordinate of A and on the upper line⁸,

$$\eta = 0, 1, 2, 3, \dots, \left\lfloor \frac{(1 + \delta)a_n}{q_{n+2}} \right\rfloor \quad \text{and} \quad \xi = \left\lceil \frac{q_{n+1}\eta + 1 - \delta}{q_n} \right\rceil \quad (3.17)$$

If any of these satisfy

$$\begin{aligned} 1 - \delta > \xi q_n - \eta q_{n+1} &= \xi(k_n p - l_n q) - \eta(k_{n+1} p - l_{n+1} q) \\ &= (\xi k_n - \eta k_{n+1})p + (\xi l_n - \eta l_{n+1})q = xp - yq, \end{aligned}$$

then $k = x = \xi k_n - \eta k_{n+1}$ and $l = y = \xi l_n - \eta l_{n+1}$ are a solution and the collisions time is given by Equation (3.9)

$$t_{ij}^{\text{col}} \approx kT_i + t_i^1 \approx lT_j + t_j^1 \quad \text{with} \quad k, l = 0, 1, 2, \dots, \quad (3.18)$$

where there is an approximate sign, as the small⁹ shift in time $dt_{i,j}$ is neglected. If there are no such points, then the algorithm checks the next basis $\{\vec{b}_{n+2}, \vec{b}_{n+3}\}$. Algorithm 2 contains a full description of all the steps

⁸The upper line is closer to the origin than the lower line.

⁹on the order of the radii of the satellite

Algorithm 2 Collision time of two satellites

```
1: procedure TIMECOLLISION( $t_i^1, t_j^1, T_i, T_j, \delta$ )
2:    $\Delta t = |t_i^1 - t_j^1|$ 
3:   if  $\Delta t = 0$  do
4:     return  $t_i^1$             $\triangleright$  particles arrive at the collision point at exactly the same time
5:   end if
6:    $d = 2 + \delta$ 
7:    $q_0 = p$ 
8:    $q_1 = q$ 
9:    $k_0 = 1$ 
10:   $k_1 = 0$ 
11:   $n = 0$ 
12:  while  $d > 1 + \delta$  do
13:     $a_{2n} = \lceil \frac{q_{2n}}{q_{2n+1}} \rceil$ 
14:     $q_{2n+2} = q_{2n} - a_{2n}q_{2n+1}$ 
15:    if  $q_{2n+2} = 0$  do
16:       $\xi = \min\{\xi \mid \xi > \frac{1-\delta}{q_{2n}}, \xi \in \mathbb{N}\}$ 
17:      if  $\xi q_{sn+1} < 1 + \delta$  do
18:        return  $\xi k_{2n}$ 
19:      else
20:        return  $\infty$             $\triangleright$  no solution exists
21:      end else
22:    end if
23:     $H = \{\eta \mid 0 \leq \eta \leq \lceil \frac{(1+\delta)a_{2n}}{q_{2n+2}} \rceil, \eta \in \mathbb{N}\}$ 
24:    for  $\eta$  in  $H$  do
25:       $\xi = \lceil \frac{q_{2n+1}\eta+1+\delta}{q_{2n}} \rceil$ 
26:       $d = \xi q_{2n} + \eta q_{2n+1}$ 
27:      if  $d < 1 + \delta$  do
28:         $k = \xi k_{2n} + \eta k_{2n+1}$ 
29:      end if
30:    end for
31:     $k_{2n+2} = k_{2n} - a_{2n}k_{2n+1}$ 
32:     $a_{2n+1} = \lceil \frac{q_{2n+1}}{q_{2n+2}} \rceil$ 
33:     $q_{2n+3} = q_{2n+1} - a_{2n+1}q_{2n+2}$ 
34:    if  $q_{2n+3} = 0$  do
35:       $\xi = \min\{\xi \mid \xi > \frac{1-\delta}{q_{2n+1}}, \xi \in \mathbb{N}\}$ 
36:      if  $\xi q_{sn+1} < 1 + \delta$  do
37:        return  $\xi k_{2n+1}$ 
38:      else
39:        return  $\infty$             $\triangleright$  no solution exists
40:      end else
41:    end if
42:     $k_{2n+3} = k_{2n+1} - a_{2n+1}k_{2n+2}$ 
43:     $n = n + 1$ 
44:  end while
45:  return  $t_i^1 + kT_i$ 
46: end procedure
```

3.1.4. The continuous algorithm

If we combine the methods from Sections 3.1.1, 3.1.2 and 3.1.3 and apply these to all the entire collection of satellites, then we get Algorithm 3.

Algorithm 3 Collision lists

```
1: procedure LISTCOLLISION( $R, V, M, S, T_0, t_{\max}$ )
2:    $L_{\text{col}} = ()$  ▷ an empty, ordered list or tuple
3:    $R_{\text{col}} = ()$ 
4:    $V_{\text{col}} = ()$ 
5:    $T_{\text{col}} = ()$ 
6:   retrieve/calculate  $\vec{L}, \vec{e}, a, s, n, \omega, \Omega, I$  and  $E$  for all the satellites
7:   for  $\vec{r}_i$  in  $R$  do ▷ only fragment indices  $i$ , if this is not the initial collision list
8:      $J = (j \mid j \text{ is not the index of a newly created fragment})$  ▷  $J = (j \mid \forall j > i)$ , in case
of the initial collision list
9:      $\vec{L}_i \in \vec{L}, \vec{e}_i \in \vec{e}, a_i \in a, s_i \in S, n_i \in n, \omega_i \in \omega, \Omega_i \in \Omega, I_i \in I$  and  $E_i \in E$ 
10:    for  $j$  in  $J$  do
11:       $\vec{L}_j \in \vec{L}, \vec{e}_j \in \vec{e}, a_j \in a, s_j \in S, n_j \in n, \omega_j \in \omega, \Omega_j \in \Omega, I_j \in I$  and  $E_j \in E$ 
12:      if  $a_i \leq a_j$  and  $r_{a,i} + s_i \leq r_{p,j} - s_j$  do
13:        remove  $j$  from  $J$ 
14:      elif  $a_j < a_i$  and  $r_{a,j} + s_j \leq r_{p,i} - s_i$  do
15:        remove  $j$  from  $J$ 
16:      end elif
17:      if  $J$  is empty do
18:        continue to next iteration
19:      end if
20:    end for
21:    calculate  $\vec{r}_{i,\pm}$  from Equation (3.2)
22:    calculate  $\vec{v}_{i,\pm}$  using  $\vec{r}_{i,\pm}$  and Equation (A4)
23:    for  $j$  in  $J$  do
24:      calculate  $\vec{r}_{j,\pm}$  and  $\vec{v}_{j,\pm}$  in the same way
25:      calculate  $\vec{r}_{i,\pm}^1$  using Equation (3.3)
26:      calculate  $\vec{r}_{j,\pm}^1$  using Equation (3.4)
27:       $d_{\pm} = |\vec{r}_{j,\pm}^1 - \vec{r}_{i,\pm}^1|$ 
28:      if  $d_{\pm} < s_i + s_j$  do ▷ that is, do this for both  $d_+$  and  $d_-$ 
29:        append  $\{i, j\}$  to  $L_{\text{col}}$ ,  $(\vec{r}_{i,\pm}^1, \vec{r}_{j,\pm}^1)$  to  $R_{\text{col}}$  and  $(\vec{v}_{i,\pm}, \vec{v}_{j,\pm})$  to  $V_{\text{col}}$ 
30:        calculate  $\Delta E_{i,\pm}$  and  $\Delta E_{j,\pm}$  using Equations (3.7) and (3.8)
31:         $t_{i,\pm}^0 \in T_0, t_{j,\pm}^0 \in T_0$ 
32:        calculate  $t_{i,\pm}^1$  and  $t_{j,\pm}^1$  using Equation (3.6)
33:         $T_i = \frac{2\pi}{n_i}, T_j = \frac{2\pi}{n_j}$ 
34:        calculate  $\delta_{\pm}$  using Equation (3.12)
35:         $t_{i,j,\pm}^{\text{col}} = \text{TIMECOLLISION}(t_i^1, t_j^1, T_i, T_j, \delta)$ 
36:        append  $t_{i,j,\pm}^{\text{col}}$  to  $T_{\text{col}}$ 
37:      end if
38:    end for
39:  end for
40:  sort  $T_{\text{col}}$  in increasing order and remove all  $t^{\text{col}_{ij}} \in T_{\text{col}}$  for which  $t^{\text{col}_{ij}} > t_{\max}$ 
41:  apply the same sorting to  $L_{\text{col}}, R_{\text{col}}$  and  $V_{\text{col}}$ 
42:  return  $L_{\text{col}}, R_{\text{col}}, V_{\text{col}}$  and  $T_{\text{col}}$ 
43: end procedure
```

Finally, we obtain Algorithm 4. This algorithm is deemed ‘The continuous collision algorithm’, as it is based upon the analytical Kepler orbits of all the satellites.

Algorithm 4 Continuous collision algorithm

Require: position R , velocity V , mass M , size S of all the satellites and a maximum simulation time t_{max}

- 1: $t = 0$
- 2: $L_{col}, R_{col}, V_{col}, T_{col} = \text{LISTCOLLISION}(R, V, M, S, T_0, t_{max})$ \triangleright create initial collision list
- 3: **while** $t < t_{max}$ **do**
- 4: $\{i, j\} \in L_{col}, t_{ij}^{col} \in T_{col}, (\vec{r}_i, \vec{r}_j) \in R_{col}, (\vec{v}_i, \vec{v}_j) \in V_{col}$
- 5: $R_{fr}, V_{fr}, M_{fr}, S_{fr} = \text{SBM}(\vec{r}_i, \vec{r}_j, \vec{v}_i, \vec{v}_j, m_i, m_j, s_i, s_j, t_{ij}^{col})$ \triangleright SBM(...) is defined in Section 4.2
- 6: merge R_{fr} with R , V_{fr} with V , M_{fr} with M and S_{fr} with S
- 7: delete all the i^{th} and j^{th} entries of R , V , M and S
- 8: delete all entries that contain an index i or j or value corresponding to i or j from $L_{col}, R_{col}, V_{col}, T_{col}$
- 9: $L_{col,fr}, R_{col,fr}, V_{col,fr}, T_{col,fr} = \text{LISTCOLLISION}(R, V, M, S, T_0, t_{max})$
- 10: merge $L_{col,fr}, R_{col,fr}, V_{col,fr}, T_{col,fr}$ with the existing collision lists
- 11: $t = t + t_{ij}^{col}$
- 12: **end while**

In line 2 of Algorithm 4, Algorithm 3 is called as it is described on the previous page. Fragments will be generated during the following iterations, which will have to be checked for collisions with other satellites and fragments from previous collisions as well.¹⁰ Satellites that have not (yet) collided do not have to be checked for collisions again, since the collision lists for these satellites already exist. This will alter which indices should be checked for collisions, which is indicated by the comment on lines 7 and 8 in Algorithm 3.

Depending on how we store R , V , M and S , we may need to be careful to correct all the indices in the collision lists after line 7 in Algorithm 4. Deleting the i^{th} entry in R for example, causes all the entries that are stored at an index $j > i$ to shift down in their index by 1.

3.2. Collision detection for time-integrated system

This section describes how we can detect collisions without using the exact orbits of all the satellites, as opposed to Section 3.1. To do this we need an efficient way of searching for satellites that are close enough to each other such that a collision could occur within some interval Δt , the time step of the integration method. The information at hand is the position and velocity of all satellites. Take a particular satellite, say i , this satellite could potentially collide with any other satellite within a sphere of radius $d_i = |\vec{v}_i| \Delta t$. In the same way, any satellite j could collide with i as long as the latter is within a sphere of radius $d_j = |\vec{v}_j| \Delta t$ centered at j . Thus to find all possible collisions with i we need to check whether there is a non-empty intersection of the sphere of i with the one of j for all $j \neq i$. This amounts to

¹⁰Due to the nature of the collision model described in Section 4.2, fragments generated in the same collision are unlikely to collide.

checking $|\vec{r}_i - \vec{r}_j| \leq d_i + d_j = (|\vec{v}_i| + |\vec{v}_j|)\Delta t$, which may be simplified to

$$|\vec{r}_i - \vec{r}_j| \leq 2d_{max} = 2v_{max}\Delta t, \quad (3.19)$$

where $d_{max} = v_{max}\Delta t$ is the maximum radius, corresponding to the largest sphere, and v_{max} is the largest velocity. Checking condition (3.19) for all combinations of i and j and creating a list of potential collisions is certainly possible, but ultimately inefficient. Say our system has N satellites, then this brute-force method would have to check all $(N - 1 - i)$ values of j for the (N) values of i . The total number of comparisons is $\sum_{i=1}^N N - i = \frac{1}{2}N(N - 1)$ and therefore of order N^2

3.2.1. K-d tree based algorithm for finding NN

The problem of efficiently searching for k nearby points is known as a k -Nearest Neighbour Search (kNNS), of which a variant is the Fixed Radius Nearest Neighbour Search (FRNNS). Both of these are relevant to detect potential colliding pairs. Using a special data structure known as a K-d tree it is not required to perform all distance comparisons [Ben75].

A K-d tree, or K-dimensional tree, organises data in a metric space along its K dimensions. In this case, the data are the positions of satellites in 3-D space and the metric is the Euclidean distance. Loosely stated, this data structure organises points that are close to each other by placing them in (K-dimensional) cells. The construction of the tree (and its cells) is done along each dimension in a binary way, as points are divided between two cells each time. Starting with the first dimension (X); all points with a horizontal coordinate smaller than the median point are grouped together in the left cell and all points greater than median point (and the median point itself) are put in the right cell. Then, the same procedure is applied to both of these cells in the second dimension (Y), splitting them up further into top and bottom cells. Now, again each of these cells is split along the remaining dimension (Z) into front and back ones. This results in 8 sub-cells that partition the entire domain of points. Repeatedly applying this entire procedure to each of these cells generates a structure of nested cells. Moreover, the dimension along which a cell is split is called its *splitting* dimension. Each of the cells is a node in the K-d tree. If a cell contains two (sub-)cells (left and right, up and down or front and back), it is called a *parent* node and its sub-cells *daughter* nodes. Cells that contain only points — i.e., cells that do not contain any other sub-cells — are called *leaf* nodes. The tree construction is complete, when each leaf node contains at most an integer m points, where $m \geq 1$ can be of our choosing.

We can now use the K-d tree structure to our advantage when performing a kNNS for satellite i . Firstly, we search for i 's place in the tree. This is done by comparing its X coordinate to the median of the top node, which will place it either in its left or right daughter node. After the same comparison in the Y and Z coordinates for the next two layers of nodes, we again compare its X coordinate and so on. We repeat this until we reach a leaf node to place i in. Now we proceed to find the kNN. The idea is to keep track of a *nearest neighbor list* containing the k points with current smallest distance and alter the list as we walk through the tree. If we do this for all particles i in the system we get Algorithm 5.

Algorithm 5 kNNS for all particles in the system

```
1: procedure NNS(tree, R, k)
2:    $NN = \emptyset$ 
3:    $D = \emptyset$ 
4:   for  $\vec{r}_i$  in R do
5:      $NN_i = \emptyset$ 
6:      $D_i = \emptyset$ 
7:     walk down tree to i's leaf node,  $LN_i$ 
8:     for  $r_j$  in  $LN_i$  do
9:       calculate the relative distance  $d_{ij} = |\vec{r}_j - \vec{r}_i|$ 
10:      if  $d_{ij} < \max D_i$  do
11:        append  $d_{ij}$  to  $D_i$ 
12:      end if
13:      ensure  $D$  has no more than  $k$  elements, saving only the  $k$  smallest if necessary
14:    end for
15:    append all  $j$  that satisfy  $d_{ij} \in D$  to  $NN_i$  and remove any that do not
```

We need to check if adjacent cells contain points that are closer than those in the current NN-list. Let d be the distance of the point in the current NN-list with the largest distance to i .

```
16:    $d = \max D_i$ 
17:   move up one level in the tree ▷ to the parent of the current node
18:    $d_{dn} =$  distance between  $\vec{r}_i$  and the other daughter node along splitting dimension
19:   if  $d < d_{dn}$  do
20:     walk down this daughter node until a leaf node  $LN$  is reached
21:     for  $\vec{r}_j$  in  $LN$  do
22:       repeat lines 9 through 13
23:     end for
24:     go back to line 15
25:   else ▷ 'prune' daughter node from the tree
26:     if current node is the top node do
27:       append  $NN_i$  to  $NN$  and  $D_i$  to  $D$ 
28:       continue to next iteration ▷ all the NN of  $i$  are found
29:     else
30:       go back to line 15
31:     end elif
32:   end elif
33: end for
34: return  $NNs$  and  $D$ 
35: end procedure
```

The algorithm for a FRNNS is simpler than for kNNS in the sense that the radius within which we are looking for NN, i.e. $2d_{max}$, is fixed. So only the nodes that have a non-empty

intersection with the sphere of this radius centered at i will have to be checked.¹¹

3.2.2. Time complexity of K-d tree and its algorithms

In the paper where he originally introduced the K-d tree, Bentley showed that it could be constructed in $O(N \log N)$ time, assuming the median at each cell splitting can be found in $O(N)$ time. The algorithms that finds the median in this time are complicated. However, if the data is presorted along the k dimensions, then a (balanced) K-d tree can in best case be built in $O(N \log N)$ and in worst case $O(kN \log N)$ ([Bro15]). The time to find k NN is at most $O(k \log N)$, which is simply the time of finding one nearest neighbor point multiplied by k . On the other hand, the time complexity of the FRNNS algorithm is $O(3^k k \log N)$ ([BSW77]). Due to this higher time complexity of the FRNNS, we will use the kNNS algorithm instead. As a consequence, k becomes a parameter in the model, representing the expected number of NN for each satellite at any given time. Given an approximately homogeneous distribution of N satellites in relatively thin shell of height h around the Earth with radius R ¹², we can expect

$$\bar{k} = \left\lceil \frac{N(2v_{max}\Delta t)^3}{(R+h)^3 - R^3} \right\rceil \approx \left\lceil \frac{N(2v_{max}\Delta t)^3}{R^2(R+3h)} \right\rceil = \left\lceil N \frac{8d_{max}^3}{R^2(R+3h)} \right\rceil. \quad (3.20)$$

For $\Delta t = 10$ s, $N = 10^5$ and $v_{max} = 8$ km s⁻¹, we have $\bar{k} = 2$. It is better overestimate \bar{k} , as we can always delete NN whose relative distances do not satisfy requirement (3.19). This requires only a few extra distance comparisons, because the kNNS algorithm computes all distances anyway. Note that as more and more fragments enter the system, the value of \bar{k} will increase in proportion to N .

As for the actual implementation of the K-d tree data structure and its kNNS algorithm in this model, the *Python* library scikit-learn was used ([Ped+11]).

3.2.3. Colliding pairs from NN

Once we have the NN of every satellite, we can determine which satellites will collide. To this end, suppose that we have found that i and j are NN of each other, let \vec{v}_i , \vec{v}_j , \vec{r}_i and \vec{r}_j be their respective positions and velocities. Then

$$\vec{d} = \vec{r}_j - \vec{r}_i, \quad \text{and} \quad \vec{u} = \vec{v}_j - \vec{v}_i,$$

are relative position and velocity. First, we linearise the motion of the satellites in the current time step. If

$$\vec{\rho}_i(t) = \vec{r}_i + \vec{v}_i t \quad \text{and} \quad \vec{\rho}_j(t) = \vec{r}_j + \vec{v}_j t,$$

then

$$\vec{\delta}(t) = \vec{\rho}_j(t) - \vec{\rho}_i(t) = \vec{d} + \vec{u}t, \quad (3.21)$$

is their relative position. We know that at the current time $t = t^*$ these satellites are close to each other, but we still need to check if their minimum relative distance $\delta = |\vec{\delta}|$ occurs within the time step $t = t^* + \Delta t$. This is the case, if δ is decreasing at $t = t^*$ and increasing $t = t^* + \Delta t$,

¹¹There is ground to be gained here as well. Suppose an entire node is contained within the search sphere, then then there is no need to walk down it. We can in that case simply add all points in that node to the NN-list [BSC15].

¹² $\frac{h}{R} \ll 1$

or equivalently, if the component of \vec{u} along $\vec{\delta}$ is positive at first and negative a time step later. Hence we require

$$\vec{u} \cdot \vec{\delta}(t^*) < 0 \quad \text{and} \quad \vec{u} \cdot \vec{\delta}(t^* + \Delta t) = \vec{u} \cdot (\vec{\delta}(t^*) + \vec{u}\Delta t) > 0, \quad (3.22)$$

where the equality follows from the linear motion of the satellites. Henceforth, to ease calculations and without loss of generality, we assume $t^* = 0$. If the conditions of (3.22) are satisfied, then we proceed to calculate the collision time $t_{i,j}^{\text{col}}$ by minimising d^2 :

$$\begin{aligned} \left. \frac{d\delta^2}{dt} \right|_{t=t_{i,j}^{\text{col}}} &= \left. \frac{d}{dt} \{ \vec{d} \cdot \vec{d} + 2\vec{u} \cdot \vec{d}t + \vec{u} \cdot \vec{u}t^2 \} \right|_{t=t_{i,j}^{\text{col}}}, \\ &= \vec{u} \cdot \vec{d}t_{i,j}^{\text{col}} + \vec{u} \cdot \vec{u}(t_{i,j}^{\text{col}})^2, \\ &= 0, \end{aligned}$$

from which it follows that

$$t_{i,j}^{\text{col}} = -\frac{\vec{u} \cdot \vec{d}}{\vec{u} \cdot \vec{u}}. \quad (3.23)$$

Finally, we determine the minimum distance by substituting $t = t_{i,j}^{\text{col}}$ in Equation (3.21) and require that it ought to be smaller than the sum of the radii of the satellites, s_i and s_j

$$\delta^2(t_{i,j}^{\text{col}}) = \frac{(\vec{d} \cdot \vec{d})(\vec{u} \cdot \vec{u})^2 - (\vec{u} \cdot \vec{d})^2}{\vec{u} \cdot \vec{u}} = \frac{|\vec{u} \times \vec{d}|^2}{\vec{u} \cdot \vec{u}} < (s_i + s_j)^2,$$

giving the condition

$$\frac{|\vec{u} \times \vec{d}|}{|\vec{u}|} < s_i + s_j. \quad (3.24)$$

The procedure for checking these collision conditions for some satellite i with a set of other satellites Φ may be illustrated in Algorithm 6.

Algorithm 6 Checking collision conditions

```

1: procedure CHECKCOLLISION( $R, V, i, \Phi, \Delta t$ )
2:    $\vec{r}_i \in R$ 
3:    $\vec{v}_i \in V$ 
4:    $T_{\text{col}} = \emptyset$ 
5:    $J = \emptyset$ 
6:   For  $j$  in  $\Phi$  do
7:      $\vec{r}_j \in R$ 
8:      $\vec{v}_j \in V$ 
9:      $\vec{d} = \vec{r}_j - \vec{r}_i$ 
10:     $\vec{u} = \vec{v}_j - \vec{v}_i$ 
11:    if  $\vec{u} \cdot \vec{d} < 0$  and  $\vec{u} \cdot (\vec{d} + \vec{u}\Delta t) > 0$  do
12:      if  $|\vec{u} \times \vec{d}| < |\vec{u}|(s_i + s_j)$  do
13:        append  $j$  to  $J$  and  $t_{ij} = -(\vec{u} \cdot \vec{d})/(\vec{u} \cdot \vec{u})$  to  $T_{\text{col}}$ 
14:      else
15:        next ▷ minimum distance is too large
16:      end elif
17:    else
18:      next ▷ satellites share no minimum distance.
19:    end elif
20:  end for
21:  return  $J$  and  $T_{\text{col}}$ 
22: end procedure

```

3.2.4. Collision ambiguity

Consider the two dimensional box of particles illustrated in Figure 2 a) and b).

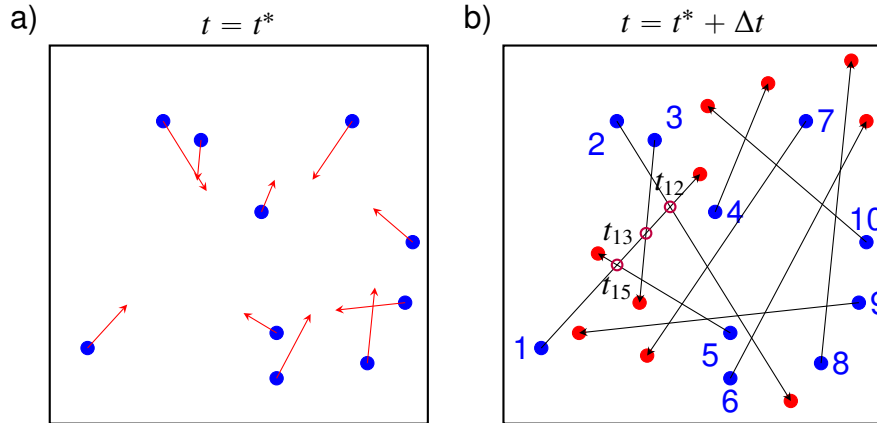


Figure 2: Collection of particles (satellites) situated in a two dimensional plane. The blue dots and red arrows in **a)** indicate the location and velocity of the particles at the beginning of the current time step $t = t^*$. In **b)** the same particles are now labelled by numbers 1 through 10 and their locations at $t = t^* + \Delta t$ are depicted as red dots. The linearised trajectory of a particle is represented by a black arrow. All the possible collision points of particle 1 are indicated with purple circles and labelled with the respective collisions times t_{12} , t_{13} and t_{15} .

Algorithm 7 Determining the first collision(s)

```
1: procedure FIRSTCOLLISION( $P, T_{\text{col}}, \Delta t$ )
2:   for  $\{i, j\}$  in  $P$  do
3:      $T_{ij}^{\text{col}} = T_{ji}^{\text{col}} = t_{ij} \in T_{\text{col}}$  ▷ construct  $T^{\text{col}}$  matrix
4:   end for
5:   for  $\{i, j\}$  not in  $P$  do
6:      $T_{ij}^{\text{col}} = \Delta t$ 
7:   end for
8:    $\Psi = \emptyset$ 
9:    $\tau = \emptyset$ 
10:  while  $T^{\text{col}} \neq \emptyset$  do
11:     $t = \{t_{ij} \mid t_{ij} \text{ satisfies condition (3.26)}\}$ 
12:     $p = \{\{i, j\} \mid t_{ij} \in t\}$ 
13:    merge  $p$  with  $\Psi$  and  $t_{ij}$  with  $\tau$ 
14:    remove any row  $r = i, j$  and column  $c = i, j$  from  $T^{\text{col}}$  for all  $\{i, j\} \in P$ 
15:  end while
16:  return  $\Psi$  and  $\tau$ 
17: end procedure
```

3.2.5. The discrete algorithm

Algorithm 8 outlines how the methods discussed in Sections 2.2, 3.2.1, 3.2.3 and 3.2.4 are combined into a model that simulates a system of colliding satellites. The algorithm lends its name to the discretisation of time that it is based on.

Algorithm 8 Discrete collision algorithm

Require: position R , velocity V , mass M , size S of all the satellites, a time step Δt , a maximum simulation time t_{max} and the number of expected nearest neighbours k

```
1:  $t = 0$ 
2:  $N =$  The total number of satellites
3:  $tree = \text{K-DTREE}(R)$   $\triangleright$  construct a K-d tree of initial positions
4: while  $t < t_{max}$  do
5:    $NNs = \text{NNS}(tree, k)$   $\triangleright$  determine NNs of each satellite
6:    $P = \emptyset$ 
7:    $T_{col} = \emptyset$ 
8:   for  $i:=0$  to  $N$  do
9:      $J =$  the  $i^{\text{th}}$  list of  $NNs$   $\triangleright$  indices of satellite  $i$ 's nearest neighbours
10:    if  $J$  is empty then
11:      continue to beginning of loop
12:    end if
13:     $J, T_{col} = \text{CHECKCOLLISION}(R, V, i, J, \Delta t)$   $\triangleright$  check conditions (3.22) and (3.24)
14:    if  $J = \emptyset$  then
15:      continue to beginning of loop
16:    end if
17:    append the set  $\{\{i, j\} | \forall j \in J\}$  to  $P$  and  $T_{col}$  to  $T_{col}$ .
18:  end for
19:   $P, T_{col} = \text{FIRSTCOLLISION}(P, T_{col}, \Delta t)$   $\triangleright$  pick out only the first collisions
20:  for  $\{i, j\}$  to  $P$  do
21:     $t_{ij} \in T_{col}$ 
22:     $R_{fr}, V_{fr}, M_{fr}, S_{fr} = \text{SBM}(\vec{r}_i, \vec{r}_j, \vec{v}_i, \vec{v}_j, m_i, m_j, s_i, s_j, t_{ij})$   $\triangleright$   $\text{SBM}(\dots)$  is defined in
    Section 4.2
23:    append  $R_{fr}$  to  $R$ ,  $V_{fr}$  to  $V$ ,  $M_{fr}$  to  $M$  and  $S_{fr}$  to  $S$ 
24:  end for
25:  append fragment parameters to  $\vec{r}, \vec{v}, m, s$ 
26:  delete  $\vec{r}_i, \vec{v}_i, m_i, s_i$  for all  $i \in P$ 
27:   $tree = \text{K-DTREE}(\vec{r})$   $\triangleright$  construct new tree
28:   $t = t + \Delta t$ 
29: end while
```

3.3. Comparison of the continuous discrete algorithms

In order to compare Algorithms 4 and 8, we generate a homogeneous distribution of N satellites within a spherical shell of inner radius r_{inner} and height h . To this end, let for $i = 1, 2, \dots, N$

$$\Omega_i, \omega_i, M_{a,i} \in \mathcal{U}[0, 2\pi) \quad \text{and} \quad I_i \in \mathcal{U}[0, \pi).$$

To ensure that the mean anomaly is zero in the periapsis, we redefine

$$M_{a,i} \leftarrow M_{a,i} - \omega_i.$$

Also, let

$$r_{i,1}, r_{i,2} \in \mathcal{U}[r_{\text{inner}}, r_{\text{inner}} + h], \quad (3.27)$$

then the periapsis and apoapsis are given as

$$r_{p,i} = \min\{r_{i,1}, r_{i,2}\}, \quad r_{a,i} = \max\{r_{i,1}, r_{i,2}\}.$$

The semi-major axis and eccentricity are

$$a_i = \frac{r_{a,i} + r_{p,i}}{2}, \quad e_i = \frac{r_{a,i} - r_{p,i}}{r_{a,i} + r_{p,i}} \quad (3.28)$$

The mean motion n_i may be obtained through Equation (2.6). The radius s and mass m is taken to be the same for all the satellites. A useful way of characterising the various orbits is via a Gabbard diagram, which plots the periapsis and apoapsis of a satellite against its orbital period. Figure 3 shows the Gabbard diagram for this homogeneous distribution of satellites.

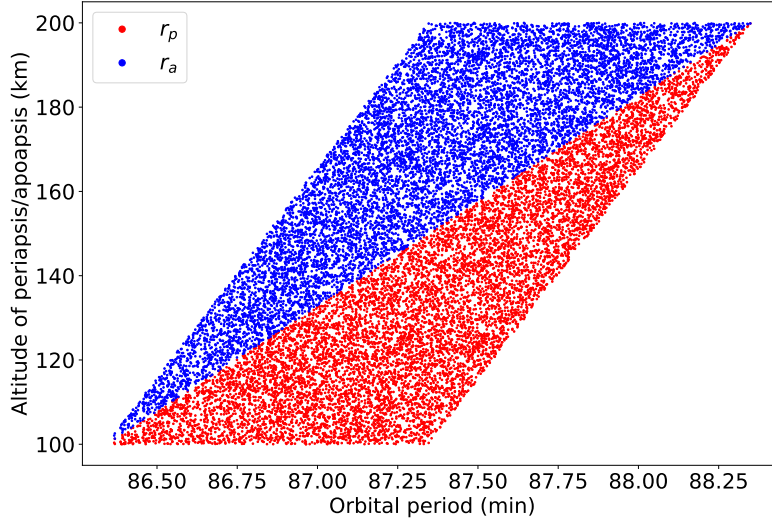


Figure 3: Gabbard diagram for a homogeneous distribution of $N = 10000$ satellites with $r_{\text{inner}} = R_{\oplus} + 100$ km and $h = 100$ km. The red and blue dots indicate the periapsis and apoapsis of each of the satellites, respectively. Note that at any particular height, the density of satellites is approximately constant. In addition, this distribution contains orbits with eccentricities ranging from $e = 1.06 \times 10^{-7}$ to $e = 7.63 \times 10^{-3}$. This is because the relatively low value of h does not allow for highly eccentric orbits.

Comparison of the continuous and discrete algorithms is done by analysing their predicted time of the first collision for this distribution. As derived by Dr. Visser, the expected time for a collision in this case is given by

$$\bar{t}_{\text{col}} = \frac{\bar{a}h\bar{T}}{\pi N^2 s^2}, \quad (3.29)$$

where \bar{a} is the average semi-major axis and \bar{T} is the average orbital period. Equation (3.29)

defines the following three relations for \bar{t}_{col}

$$\bar{t}_{\text{col}} = c_1 \frac{1}{N^2} \quad \text{for constant } s \text{ and } h, \quad (3.30)$$

$$\bar{t}_{\text{col}} = c_2 \frac{1}{s^2} \quad \text{for constant } N \text{ and } h, \quad (3.31)$$

$$\bar{t}_{\text{col}} = c_3 \frac{1}{h} \quad \text{for constant } N \text{ and } s. \quad (3.32)$$

The constants c_1 , c_2 and c_3 , are determined from Equation (3.29). For the case of the homogeneous distribution of Figure 3, we have $\bar{a} = 6.52 \times 10^3 \text{ km}$ and $\bar{T} = 5.42 \times 10^3 \text{ s}$ so that

$$c_1 = 1.12 \times 10^{13} \text{ s}, \quad c_2 = 1.12 \times 10^7 \text{ s m}^{-2} \quad \text{and} \quad c_3 = 1.12 \text{ s m}^{-2}. \quad (3.33)$$

In case of Algorithm 4, the time of the first collision is simply the first entry of the initial collision list. In contrast, Algorithm 8 is executed until it finds a collision. The results of this analysis are presented in Figures 4, 5 and 6.

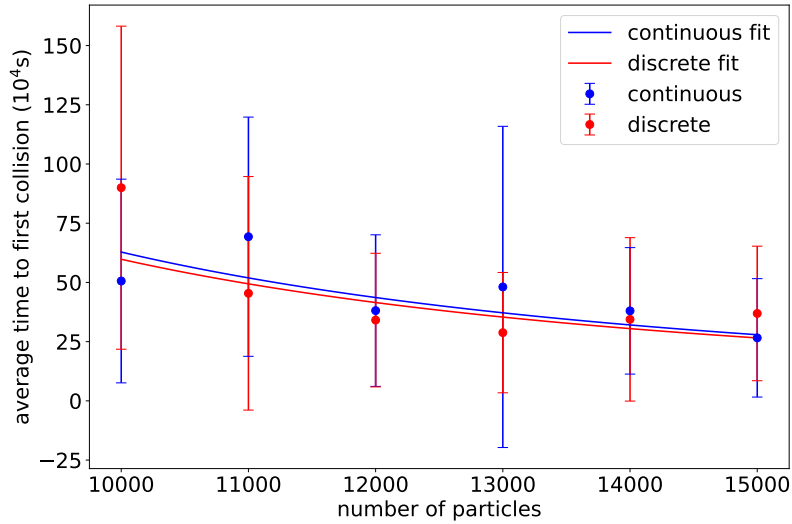


Figure 4: Error bar plot of the average time to the first collision against the particle number N over 10 runs, as predicted by Algorithm 4 in blue and Algorithm 8 in red and for $s = 10 \text{ m}$ and $h = 100 \text{ km}$. The red and blue lines are a linear-least squares fit applied to the data for the relation between t_{col} and N given in Equation (3.30).

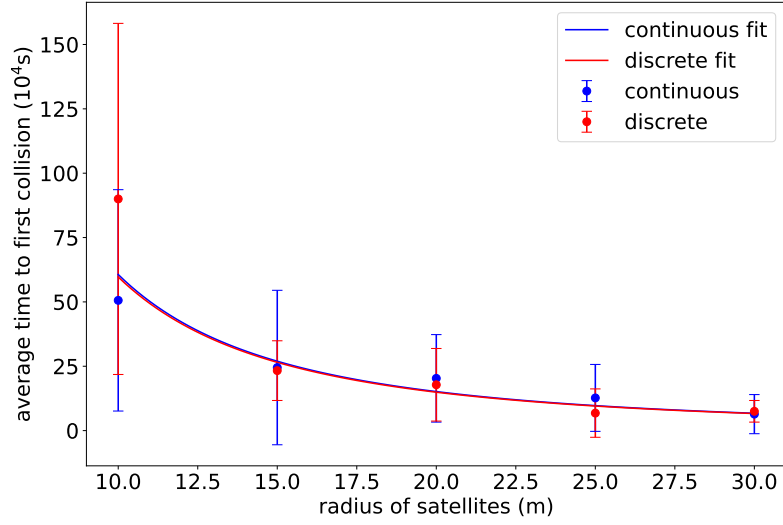


Figure 5: Same as Figure 4, but now the average time to first collision versus the radius of the satellites s is plotted. The linear-least squares fit is now applied for the relation between t_{col} and s given in (3.31).

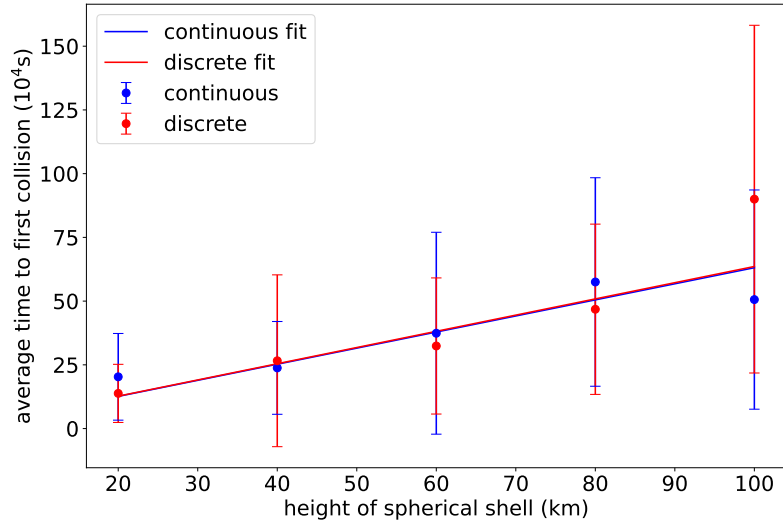


Figure 6: Same as Figure 4, but now the average time to first collision versus the height of the spherical shell h is plotted. The linear-least squares fit is now applied for the relation between t_{col} and h given in (3.32).

From the fits in Figures 4, 5 and 6 we find for the continuous algorithm

$$c_1 = (6.28 \pm 0.55) \times 10^{13} \text{ s}, \quad c_2 = (6.06 \pm 0.62) \times 10^7 \text{ s m}^{-2} \quad \text{and} \quad c_3 = (6.31 \pm 0.68) \text{ s m}^{-2}. \quad (3.34)$$

Similarly, for the discrete algorithm

$$c_1 = (5.98 \pm 0.68) \times 10^{13} \text{ s}, \quad c_2 = (5.97 \pm 0.61) \times 10^7 \text{ s m}^{-2} \quad \text{and} \quad c_3 = (6.36 \pm 0.56) \text{ s m}^{-2}. \quad (3.35)$$

From Figures 4, 5 and 6 we find that the averages from the the algorithms agree with each other. As a matter of fact, each separate data point in these figures was generated using 10 different instances of the homogeneous distribution of satellites. This means that the algorithms generate similar predictions for the averages.

Moreover, this verification is not limited to the algorithms themselves. Equation (3.29) is verified by results of the linear least-squares fits given in (3.34) and (3.35), which agree with (3.33) up to constant factor of about 6. In any case, the inverse-square relations between \bar{t}_{col} and s , N given by (3.30) and (3.31) and the linear relation between \bar{t}_{col} and h appear to properly represent the data.

Another point of investigation is the execution time of the both of the algorithms depending on the parameters N , s and h . To compare the two algorithms, we will now look at the required computation time until the first collision is found. This means that we will be comparing the time Algorithm 4 spends on creating the initial collision list to the time Algorithm 8 is executed until it finds a collision in a certain time step. However, instead of actually executing the Algorithm 8 until the occurrence of the first the collision, we estimate its projected total execution by determining the average execution time for a single time step and multiplying that with the number of expected time steps,

$$\bar{t}_{\text{exec,discrete}} \approx \bar{t}_{\text{exec},\Delta t} \frac{\bar{t}_{\text{col}}}{\Delta t}, \quad (3.36)$$

where $\bar{t}_{\text{exec},\Delta t}$ is the average execution time of one time step of Algorithm 8. The results of this analysis are presented in Figures 7, 8 and 9.¹³

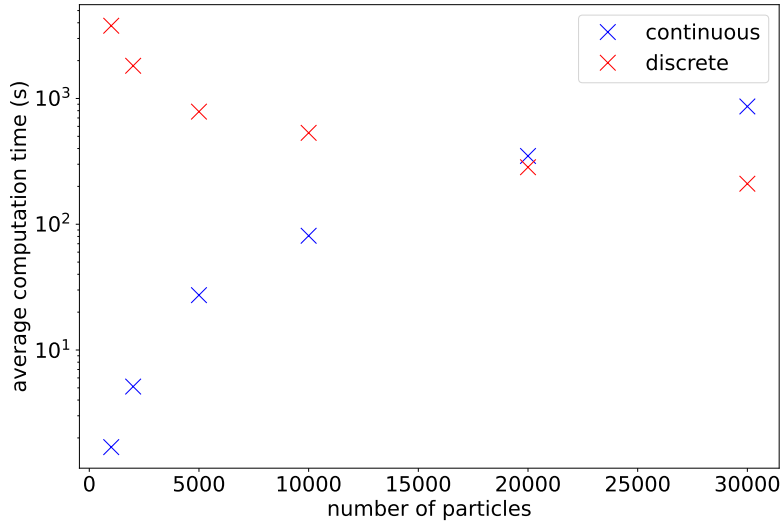


Figure 7: Semi-log plot of the average computation time until the first collision against the particle number N over 5 runs for Algorithm 4 in blue and Algorithm 8 in red. The algorithms have been applied to the same distribution with $s = 10$ m and $h = 100$ km.

¹³All execution times have been obtained using a **HP ZBook Studio G5 with Intel Core i7 processor (6×2.20 GHz) and 16 GB DDR4-SDRAM**

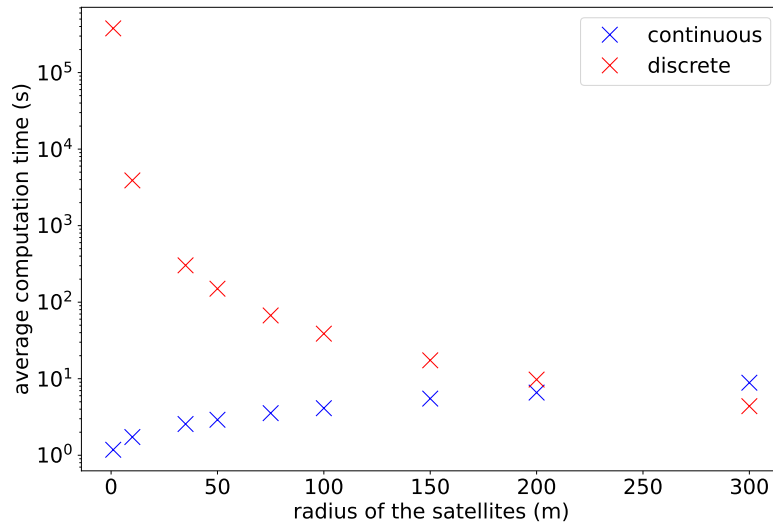


Figure 8: Same as Figure 7, but now the average computation time against the satellite radius s over 5 runs is shown. The algorithms have been applied to the same distribution with $N = 1000$ and $h = 100$ km.

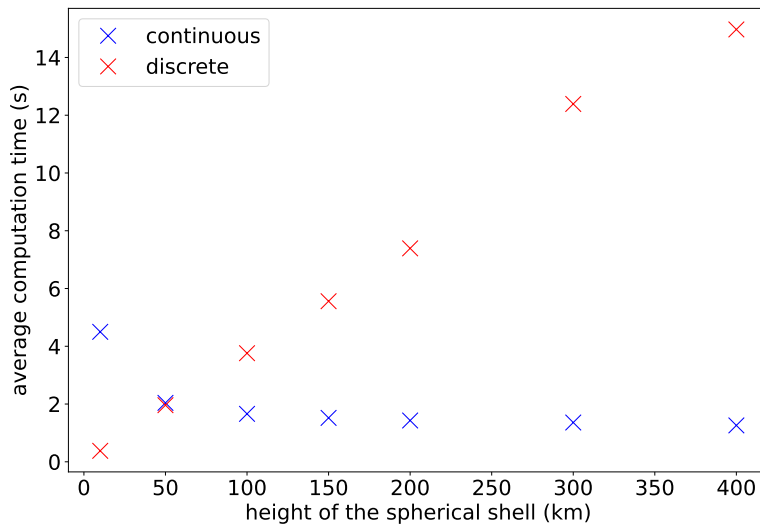


Figure 9: The average computation time until the first collision against the height of the spherical shell h over 5 runs. The algorithms have been applied to the same distribution with $N = 1000$ and $s = 10$ m.

From Figure 7 we see that the continuous algorithm is expected to perform better than the discrete algorithm for systems with low particle numbers. This can be attributed to the fact the creation of the initial collision list Algorithm 3 has a time-complexity of at least $\mathcal{O}(N^2)$. Depending on the exact distribution of satellites, there will be some number of particles N above which the discrete algorithm performs better. In the case of this homogeneous distribution

of satellites with $s = 10$ m and $h = 100$ km this turning point in efficiency occurs around $N = 20000$.

We observe from Figure 8 that the continuous algorithm performs best for distributions of smaller satellites, that is for s up to about 200 m for $N = 1000$ and $h = 100$ km. For larger radii Algorithm 3 has to perform more computationally expensive calculations for each pair of satellites, because the condition (3.1) is now satisfied more often. In other words, more (possible) colliding pairs are formed for larger radii. Hence the continuous algorithm has to spend more time on more satellites; calculating the (location of the) MOID, checking the minimal distance and possibly calculating collision times.

Lastly, Figure 9 indicates that for a small shell the continuous algorithm faces the same hurdle as it did for increased particle number and larger satellite radius; more possible colliding pairs of satellites, which lead to more (expensive) calculations.

As for the average computation time of the discrete algorithm, it closely follows the relation (3.29), that is inverse quadratic in N and s and linear in h . This is to be expected, as we have used that relation to obtain these results. The reason for this correspondence of the discrete algorithm between the average time to first collision \bar{t}_{col} and the average execution time thereof $\bar{t}_{\text{exec,discrete}}$ lies in that the average computation time of a time step $\bar{t}_{\text{exec},\Delta t}$ only grows linearly in N . This can be seen from the single for-loop on line 8 in Algorithm 8, as opposed to the double for-loops on lines 7 and 10 in Algorithm 3. In other words, for any particle that is added to the system the continuous algorithm has to perform part of or all its collisions checks for that particle against all other N particles. In contrast, the discrete algorithm merely needs to build the K-d tree for $N + 1$ particles and perform one extra numerical integration.

Another topic of similarity between the discrete and continuous is how they may be optimised. Next to the K-d tree method discussed in Section 3.2.2, the implementation of the discrete algorithm uses the C/C++ extension to *Python*, *Numpy* to outsource among other things the for-loop in line 8 of Algorithm 8. Though this does not change the time-complexity of the discrete algorithm, it does give it a relative advantage over the continuous algorithm, which makes use of *Numpy* to a lesser extent. In any case, i) the construction of a K-d tree, ii) the numerical integration of the satellites and iii) the creation of the collision list all perform calculations that can be considered as independent of each other and are therefore able to be executed in parallel on a GPU ([Bro15]). This would allow for higher particle numbers in both the discrete and continuous algorithms.

Additionally, some of the assumptions made to model the system of satellites using idealised Kepler orbits, could be dropped in favor of realism. For instance, both algorithms do not incorporate atmospheric drag, even though this is one of the major sinks of debris in the LEO. What is more, the assumption that the gravitational potential field of the Earth is spherically symmetric, has as a consequence that the satellite orbits do not precess. This also has a major effect on the spread of debris in LEO. All these and the other assumptions allow the continuous algorithm to assume that the collisions found earlier in its execution to remain unaltered. Put differently, the only time a collision is removed from the existing collision list is when one or more of the satellites involved in that collision collide in the current iteration. This means that a future version of the continuous algorithm will at most have to create a new collision list after each collision to adjust for drag. In contrast, the discrete algorithm can easily be adjusted to include atmospheric drag terms, a non-homogeneous gravitational field and the influence of other perturbing bodies like the moon. Hence the most ground to be gained here is for the continuous algorithm.

4. Modelling collisions

As this report aims to simulate the exact dynamics of the entire satellite system, we require a recipe for performing collisions between satellites. Fortunately, the NASA's Standard Breakup Model (SBM) is able to distinguish between types of collisions and give key parameters of fragments, like the number produced, their characteristic length (scattering cross-section), masses and ejection velocities.

Before describing how NASA's SBM may be implemented in this context, let us first consider a simpler kind of collision; one where no fragmentation takes place and satellites merely 'bounce' off each other.

4.1. Elastic collisions

If the exact geometry of two masses involved in a collision as well as the fraction of conserved kinetic energy $\epsilon = \frac{E_{k,f}}{E_{k,i}}$ ¹⁴ are known, then the pre- and post-collision velocities are fully determined by the conservation of mass and momentum. Moreover, a fully elastic collision conserves all kinetic energy, which implies $\epsilon = 1$. However, to prevent modelling not only the exact shape and dimensions of all the satellites in Earth's orbit, but also those of all the fragments resulting from collisions, we instead choose to keep track of only one value, for satellite and fragment alike. This value is the *characteristic length*, which is related to the (radio) cross-section in the NASA SBM, as is discussed in Section 4.2. As a consequence, we wish to simulate an elastic collision of two bodies without assuming their exact geometry. We do this by introducing a small, random deflection to one of the velocity vectors and using conservation of momentum and kinetic energy to determine the other velocity vector.

More concretely, let \vec{v}_1 , \vec{v}_2 , m_1 and m_2 be the velocities and masses of two bodies on a collision trajectory. Also let \vec{v}'_1 and \vec{v}'_2 be the velocities after the collision. The collision may alter the magnitude. Conservation of momentum and kinetic energy give

$$\vec{v}'_2 = \vec{v}_2 + \frac{m_1}{m_2} (\vec{v}_1 - |\vec{v}'_1| \hat{v}'_1) \quad (4.1)$$

$$|\vec{v}'_2|^2 = |\vec{v}_2|^2 + \frac{m_1}{m_2} (|\vec{v}_1|^2 - |\vec{v}'_1|^2), \quad (4.2)$$

where the post-collision velocity of the first particle is written as its magnitude times its direction $\vec{v}'_1 = |\vec{v}'_1| \hat{v}'_1$. Taking the inner product of the first equation with itself and equating with the second gives, after some manipulation

$$\frac{m_1}{m_2} (|\vec{v}_1|^2 - 2|\vec{v}'_1| \hat{v}'_1 \cdot \vec{v}_1 + |\vec{v}'_1|^2) + 2(\vec{v}_1 \cdot \vec{v}_2 - |\vec{v}'_1| \hat{v}'_1 \cdot \vec{v}_2) = |\vec{v}_1|^2 - |\vec{v}'_1|^2$$

Rewriting further gives

$$|\vec{v}'_1|^2 \left(\frac{m_1}{m_2} + 1 \right) - 2|\vec{v}'_1| \left(\frac{m_1}{m_2} \hat{v}'_1 \cdot \vec{v}_1 + \hat{v}'_1 \cdot \vec{v}_2 \right) + |\vec{v}_1|^2 \left(\frac{m_1}{m_2} - 1 \right) + 2\vec{v}_1 \cdot \vec{v}_2 = 0,$$

¹⁴Here, $E_{k,i}$ and $E_{k,f}$ are the total pre- and post-collision kinetic energy, respectively.

which is a quadratic equation in $|\vec{v}'_1|$ and may be solved using the quadratic formula. Setting

$$\begin{aligned}\mathcal{A} &= \frac{m_1}{m_2} + 1 = \frac{m_1 + m_2}{m_2} \\ \mathcal{B} &= -2 \left(\frac{m_1}{m_2} \hat{v}'_1 \cdot \vec{v}_1 + \hat{v}'_1 \cdot \vec{v}_2 \right) = -2 \hat{v}'_1 \cdot \left(\frac{m_1}{m_2} \vec{v}_1 + \vec{v}_2 \right) \\ \mathcal{C} &= |\vec{v}_1|^2 \left(\frac{m_1}{m_2} - 1 \right) + 2 \vec{v}_1 \cdot \vec{v}_2 = \vec{v}_1 \cdot \left(\frac{m_1 - m_2}{m_2} \vec{v}_1 + 2 \vec{v}_2 \right),\end{aligned}$$

then gives the solution as

$$|\vec{v}'_1| = \frac{-\mathcal{B} \pm \sqrt{\mathcal{B}^2 - 4\mathcal{A}\mathcal{C}}}{2\mathcal{A}}$$

If $\mu_1 = \frac{m_1}{m_1+m_2}$ and $\mu_2 = \frac{m_2}{m_1+m_2}$, then

$$|\vec{v}'_1| = \hat{v}'_1 \cdot (\mu_1 \vec{v}_1 + \mu_2 \vec{v}_2) \pm \sqrt{[\hat{v}'_1 \cdot (\mu_1 \vec{v}_1 + \mu_2 \vec{v}_2)]^2 - \vec{v}_1 \cdot ((\mu_1 - \mu_2) \vec{v}_1 + 2\mu_2 \vec{v}_2)} \quad (4.3)$$

In order to simplify equation (4.3), a transformation to the centre of mass (COM) frame is performed

$$\vec{v}_i \longrightarrow \vec{u}_i = \vec{v}_i - \vec{V}_{com} \quad i = 1, 2 \quad ,$$

where $\vec{V}_{com} = \frac{m_1 \vec{v}_1 + m_2 \vec{v}_2}{m_1 + m_2}$, which will remain unchanged, because of conservation of momentum and mass. We have the following identity,

$$\begin{aligned}\mu_1 \vec{u}_1 + \mu_2 \vec{u}_2 &= \frac{m_1 \vec{u}_1 + m_2 \vec{u}_2}{m_1 + m_2} \\ &= \vec{V} - \vec{V} \\ &= 0\end{aligned}$$

which cancels several terms in equation (4.3), leaving

$$|\vec{u}'_1|^2 = \mu_2 \vec{u}_1 \cdot (\vec{u}_1 - \vec{u}_2) = \mu_2^2 |\vec{u}|^2 = |\vec{u}_1|^2, \quad (4.4)$$

where $\vec{u} = \vec{v}_2 - \vec{v}_1$. The last identity follows by working out the inner products $\vec{u}_1 \cdot \vec{u}_1$ and $\vec{u}_1 \cdot \vec{u}_2$. By symmetry or by equation (4.2) it must also hold that

$$|\vec{u}'_2|^2 = \mu_1^2 |\vec{u}|^2 = |\vec{u}_2|^2 \quad (4.5)$$

Note in addition that the total kinetic energy may be partitioned into

$$E_k = \frac{M |\vec{V}_{com}|^2}{2} + \frac{m_1 m_2 |\vec{u}|^2}{2M} = E_{k,com} + E_{k,int}, \quad (4.6)$$

in which $M = m_1 + m_2$. The former of the two contributions comes from the kinetic energy due to movement of the COM frame itself, $E_{k,com}$, and the latter stems from the inherent or internal kinetic energy of the particles in the COM frame, $E_{k,int}$. For the same reasons that V_{com} does

not change, $E_{k,com}$ does not either. Additionally, in an elastic collision $E_{k,int}$ does not change; calculating $E_{k,int}$ directly, using the just derived expressions for the scattered magnitudes, gives

$$E_{k,int} = \frac{m_1 \mu_2^2 |\vec{u}|^2}{2} + \frac{m_2 \mu_1^2 |\vec{u}|^2}{2} = \frac{m_1 m_2 |\vec{u}|^2}{2M}$$

So we recover the internal kinetic energy, as expected.

Equations (4.4) and (4.5) give expressions for the magnitude of the scattered velocities in the COM frame. It therefore remains to find expressions for the direction of those velocities. To that end, another conversion is used. This time to a more suited (spherical) coordinate system

$$\vec{u}'_1 \longrightarrow |\vec{u}'_1| \hat{u}'_1(\theta_1, \phi_1)$$

where, as before, $|\vec{u}'_1|$ is the magnitude and $\hat{u}_1(\theta_1, \phi_1)$ or \hat{u}_1 the direction of the velocity vector. θ_1 and ϕ_1 are the polar and azimuthal angle, respectively. The direction of the first particle before collision is then given by,

$$\hat{u}_1 = \begin{pmatrix} \sin \theta_1 \cos \phi_1 \\ \sin \theta_1 \sin \phi_1 \\ \cos \theta_1 \end{pmatrix}$$

such that the change in direction may modelled by adjusting the polar and azimuthal angles,

$$\theta'_1 = \theta_1 + \Delta\theta_1 \quad \phi'_1 = \phi_1 + \Delta\phi_1,$$

in which θ'_1 and ϕ'_1 are the angles after the collision. $\Delta\theta_1$ and $\Delta\phi_1$ are two free parameters of this problem. In fact, these are the only two such free parameters. In total there are six parameters to be determined; all three velocity components of each of the two particles. Conservation of momentum and kinetic energy fix four of these, which leaves two.

To slightly limit this freedom of choice and make this problem more relevant to modelling the collision (and possible fragmentation) of two satellites, we introduce a maximum scattering angle α . This, in combination with properly chosen $\Delta\theta_1$ and $\Delta\phi_1$, gives the adjusted direction of the first particle, $\hat{u}'_1(\theta'_1, \phi'_1) = \hat{u}'_1$.

To prevent making any other assumptions about the direction of the particle's velocity after the collision, $\Delta\theta_1$ and $\Delta\phi_1$ should be chosen in such a way that the resulting \hat{v}'_1 is randomly picked from a spherical cap centered on \hat{u}_1 , see figure 10.

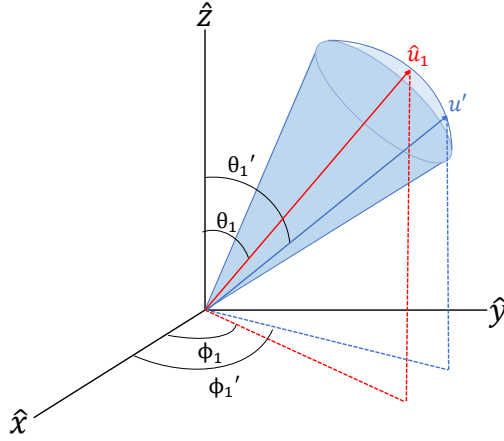


Figure 10: Depiction of how the adjusted direction \hat{u}'_1 may differ from the original direction \hat{u}_1 . The blue shaded cone with spherical cap includes all the possibilities for \hat{u}'_1 . The particular \hat{u}'_1 in the figure is one instance of these possibilities. The radius of the cone is related to the maximum scattering angle α .

Now, let $\Delta\xi = \sin \Delta\phi_1$ and $\Delta\eta = \sin \Delta\theta_1$, then we must have $\Delta\xi^2 + \Delta\eta^2 \leq \sin^2 \alpha$, as can be seen from figure 11. In addition, let $\rho = \sqrt{\Delta\xi^2 + \Delta\eta^2}$ and $\nu = \arctan \Delta\eta/\Delta\xi$. In order to obtain an uniform distribution of vectors on the spherical cap we take,

$$\begin{aligned}\rho &\sim \sqrt{U[0, \sin^2 \alpha]} \\ \nu &\sim U[0, 2\pi),\end{aligned}$$

in which $\sin^2 \alpha$ represents the square of the (maximum) radius of the cone. Consequently the adjustment angles are determined as,

$$\begin{aligned}\Delta\phi_1 &= \pm \arcsin [\rho \cos \nu] \\ \Delta\theta_1 &= \arcsin [\sin \Delta\phi \tan(\nu)],\end{aligned}$$

where the sign of $\Delta\phi_1$ is chosen randomly.

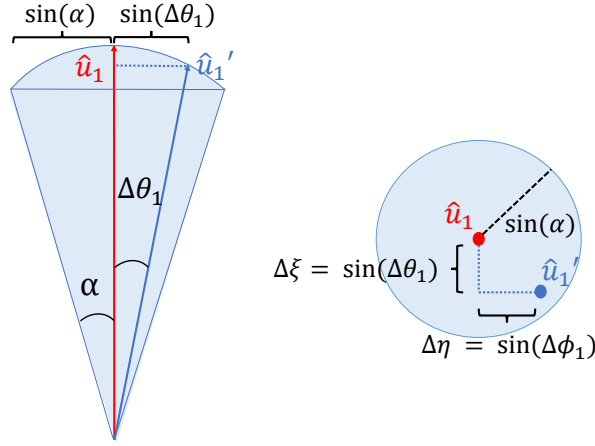


Figure 11: *left*: section of the cone in the polar plane. The relation of $\Delta\theta_1$ to its projected distance to the center of the cone is depicted here. A similar relationship holds in the azimuthal plane for $\Delta\phi_1$ and its projected distance to the center of the cone. *right*: a top down view of the cone showing that coordinates $\Delta\xi$ and $\Delta\eta$ must lie on a disk.

Finally, combining the magnitude and direction of the first scattered particle gives

$$\vec{v}'_1 = |\vec{u}'_1| \hat{u}'_1 + \vec{V}_{com}$$

\vec{v}'_2 is then given by equation (4.1).

4.2. NASA's SBM

In a fragmentation or breakup collision of two bodies the relative kinetic energy defined in equation (4.6) is not fully recovered in the sum of the kinetic energy of the fragments. Some of this kinetic energy is used in deformation or is dissipated as heat, as satellites are broken apart and deformed. In addition, the number of fragments, their mass, speed and direction depend on, among other things, the impact velocity (both magnitude and angle), mass, shape and composition of the satellites. This all greatly complicates the exact modelling of a breakup. Especially in the case of the Kessler syndrome; where any exact model aiming to describe it is then required to include the exact shape of each of the roughly 30,000 satellites in LEO and any fragments resulting from a collision.

However, NASA's Standard Breakup Model (SBM) is able to provide all the necessary information to describe a breakup, as stated at the beginning of this section [Joh+01]. The caveat lies in that the SBM is based on several probability distributions, which in turn are based on numerous observations of real breakup events and ground tests performed by NASA. Hence the SBM introduces a statistical component to the full model of this report.

The model requires only the masses of the satellites, which are discerned as the mass of the lighter projectile m_p and the heavier target satellite m_t , and the impact velocity $\vec{u} = \vec{v}_t - \vec{v}_p$. Collisions are then subdivided into two categories; non-catastrophic and catastrophic. These are distinguished as

$$e_{imp} = \frac{\frac{1}{2} m_p [\text{kg}] |\vec{u}|^2 [\frac{\text{m}^2}{\text{s}^2}]}{m_t [\text{g}]} > 40 [\text{J/g}], \quad (4.7)$$

where e_{imp} is the kinetic energy of the projectile divided by the mass of the target. The greater-than sign holds for catastrophic collisions. For non-catastrophic collisions it is a less-than-or-equal-to sign. The fragmented mass of each breakup is given either as

$$m_{\text{frag}} = m_p \left(\frac{|\vec{u}|}{1 \left[\frac{\text{km}}{\text{s}} \right]} \right)^2, \quad (4.8)$$

in the case of non-catastrophic collisions or as

$$m_{\text{frag}} = m_t + m_p, \quad (4.9)$$

for catastrophic collisions. This fragmented mass fixes the number of fragments of a certain size and larger.

$$N_{\geq L_c} = 0.1 \left(\frac{m_{\text{frag}}}{1[\text{kg}]} \right)^{0.75} \left(\frac{L_c}{1[\text{m}]} \right)^{-1.71}, \quad (4.10)$$

in which L_c is the characteristic length of a fragment in meters. The distribution of L_c is given by

$$L_c = \left((r_{\min}^{-1.71} - r_{\max}^{-1.71})x + r_{\max}^{-1.71} \right)^{-\frac{1}{1.71}} \quad \text{where } x \sim \mathcal{U}[0, 1]. \quad (4.11)$$

Here, r_{\min} and r_{\max} are the minimum and maximum fragment radii. It remains to be determined what the mass and velocity of each individual fragment are. Both of these are given by (superposed) normal distributions. Firstly, the area-to-mass ratio of a fragment A/M for $L_c \geq 0.11$ is described by

$$D_{A/M}^{L_c \geq 0.11} = \alpha(\lambda_c) \mathcal{N}(\mu_1(\lambda_c), \sigma_1(\lambda_c), \chi) + (1 - \alpha(\lambda_c)) \mathcal{N}(\mu_2(\lambda_c), \sigma_2(\lambda_c), \chi), \quad (4.12)$$

and for $L_c < 0.11$

$$D_{A/M}^{L_c < 0.11} = \mathcal{N}(\mu_3(\lambda_c), \sigma_3(\lambda_c), \chi) \quad (4.13)$$

in which $\lambda_c = \log_{10}(L_c)$, \mathcal{N} is the normal distribution with independent variable

$$\chi = \log_{10}(A/M).$$

α , μ_i and σ_i for $i = 1, 2, 3$ are all functions of λ_c , which may be found in the appendix equations (C2) and (C1). After obtaining the area-to-mass ratio from either equation (4.13) or (4.12) the mass of the fragment is obtained using the following relation between (cross-sectional) area A and L_c

$$A = 0.556945 L_c^{2.0047077} \quad (4.14)$$

Secondly, the difference in speed of the fragments as compared to the speed of their parent satellites, the Δv distribution, is

$$D_{\Delta v} = \mathcal{N}(\mu(\chi), \sigma, \nu). \quad (4.15)$$

Note that in this distribution the independent variable is $\nu = \log_{10}(\Delta v)$ and that μ and σ are now functions of χ (appendix equation (C3)).

The SBM does not specify the direction of the resulting fragments. This can be done using the same method as in Section 4.1 to randomly generate vectors on a spherical cap and assigning these to all fragments. In doing so, conservation of momentum must be guaranteed. A relatively easy way to achieve this, is to apply the SBM to half the number of generated fragments;

assign mass, speed and direction to this first half and, finally, create an identical second half with reversed directions. Then, each pair of identical fragments will contribute nothing to the total momentum sum. A random factor in the fragments' point of origin should be added, as it is highly unrealistic for a breakup between two satellites to be symmetric. This does not affect momentum conservation, but does introduce a small error in the angular momentum of the system. Over multiple fragments or collisions, however, these errors can be expected to average out to zero.

A closer inspection of equation 4.10 reveals that for small enough fragmentation masses m_{frag} the number of fragments may drop below 1. This is of course, physically impossible. We therefore invoke a minimum number of fragments N_{min} , which implies a minimum of amount of fragmented mass in any collision

$$m_{\text{frag,min}} = \left(\frac{N_{\text{min}}}{0.1L_{c,\text{min}}^{-1.71}} \right)^{1.25}, \quad (4.16)$$

where $L_{c,\text{min}}$ is the minimum characteristic length of the fragments that are generated by the SBM. If the fragmented mass m_{frag} calculated using either Equation (4.9) or (4.8) is lower than $m_{\text{frag,min}}$, then then a scattering is performed instead of a fragmentation¹⁵. N_{min} and $L_{c,\text{min}}$ thus become two parameters in the model, which together define the border between fragmentation and scattering collision. The minimum amount fragmented mass is

$$\begin{aligned} m_{\text{frag,min}} &= 2.98 \times 10^{-1} \text{ kg for } N_{\text{min}} = 4 \text{ and } L_{c,\text{min}} = 0.05 \text{ m,} \\ m_{\text{frag,min}} &= 5.34 \times 10^{-3} \text{ kg for } N_{\text{min}} = 4 \text{ and } L_{c,\text{min}} = 0.01 \text{ m.} \end{aligned}$$

Note that for a lower L_c , the minimum mass is smaller as well. This means that the inclusion of smaller fragments into the model relaxes the minimum mass constraint and allows for more fragmentation collisions to take place. This topic is further discussed in Section 5.

Algorithm 9 defines the procedure SBM(...), which is used in Algorithms 4 and 8 to generate fragments.

¹⁵See line 18 in Algorithm 9

Algorithm 9 Collision model

```
1: procedure SBM( $\vec{r}_i, \vec{r}_j, \vec{v}_i, \vec{v}_j, m_i, m_j, s_i, s_j, t_{ij}, \Delta t$ )
2:    $(\vec{r}_i, \vec{r}_j), (\vec{v}_i, \vec{v}_j) = \text{VELOCITYVERLET}((\vec{r}_i, \vec{r}_j), (\vec{v}_i, \vec{v}_j), t_{ij})$   $\triangleright$  propagate to collision point
3:    $t_{\text{rest}} = \Delta t - t_{ij}$   $\triangleright$  time to next time step, in case of discrete algorithm
4:    $\vec{u} = \vec{r}_j - \vec{r}_i$ 
5:    $u = |\vec{u}|$ 
6:    $\vec{V}_{\text{com}} = \frac{m_i \vec{v}_i + m_j \vec{v}_j}{m_i + m_j}$ 
7:    $\vec{u}_i = \vec{v}_i - \vec{V}_{\text{com}}$ 
8:    $\vec{u}_j = \vec{v}_j - \vec{V}_{\text{com}}$ 
9:    $m_p = \min\{m_i, m_j\}$   $\triangleright$  projectile mass
10:   $m_t = \max\{m_i, m_j\}$   $\triangleright$  target mass
11:   $\vec{r}_p$  is the projectile position corresponding to  $m_p$ 
12:   $\vec{r}_t$  is the target position corresponding to  $m_t$ 
13:   $\vec{u}_p$  is the relative projectile velocity corresponding to  $m_p$ 
14:   $\vec{u}_t$  is the relative target velocity corresponding to  $m_t$ 
15:  determine the type  $c_{\text{type}}$  of collision using Equation (4.7)
16:   $m_{\text{frag}}$  from either Equation (4.9) or (4.8)
17:  calculate  $m_{\text{frag},\text{min}}$  using Equation (4.16)
18:  if  $m_{\text{frag}} < m_{\text{frag},\text{min}}$  do  $\triangleright$  fragmented mass is too small for a fragmentation
19:    use the methods described in Section 4.1 to obtain  $\vec{v}'_i$  and  $\vec{v}'_j$ 
20:     $(\vec{r}'_i, \vec{r}'_j), (\vec{v}'_i, \vec{v}'_j) = \text{VELOCITYVERLET}((\vec{r}_i, \vec{r}_j), (\vec{v}'_i, \vec{v}'_j), t_{\text{rest}})$   $\triangleright$  propagate to next
time step
21:    return  $\vec{r}'_i, \vec{r}'_j, \vec{v}'_i, \vec{v}'_j, m_i, m_j, s_i, s_j$ 
22:  end if
23:  determine  $N_{\geq L_c}$  from Equation (4.10)
24:   $N_{\text{frags}} = N_{\geq L_c}/2$   $\triangleright$  the other half is added later, round off to integer value if necessary
25:   $r_{\text{min}} = L_{c,\text{min}}$ 
26:   $r_{\text{max}} = \max\{s_i, s_j, 1\}$   $\triangleright$  NASA SBM is only valid for fragment sizes of up to 1 m
27:  sample  $N_{\text{frags}}$  number of  $L_c$  values from the distribution given in (4.11)
28:  calculate  $\lambda_c = \log L_c$  for all values of  $L_c$ 
29:  sample the corresponding  $A/M$  values using either distribution (4.13) or (4.12) and  $\lambda_c$ 
values
30:  obtain the mass of each fragment  $M_{\text{frag}}$  using Equation (4.14)
31:  if  $c_{\text{type}} = \text{catastrophic}$  do
32:    ensure mass conservation by dividing  $M_{\text{frags}}$  by  $\frac{\sum M_{\text{frags}}}{m_{\text{frag}}}$ 
33:  elif  $c_{\text{type}} = \text{non-catastrophic}$  do
34:    ensure mass conservation by creating two fragments that have a similar mass  $m_{p,\text{frag}}$ 
and  $m_{t,\text{frag}}$  and size  $L_{c,p,\text{frag}}$  and  $L_{c,t,\text{frag}}$  as the projectile and target
35:  end elif
36:  calculate  $\chi = \log A/M$  for all values of  $A/M$ 
37:  sample the corresponding  $\Delta v$  values using distribution (4.15) and  $\chi$ 
38:  generate  $N_{\text{frags}}$  scattered fragment directions using  $\vec{u}_p$  and the methods described in
Section 4.1
39:  generate  $N_{\text{frags}}$  scattered fragment speeds by randomly adding  $\Delta v$  to or subtracting  $\Delta v$ 
from  $u$ .
```

```

40: multiply direction vectors and speeds to obtain the first half of relative fragment velocities  $U_{\text{frag},1}$ 
41: generate the other half of relative fragment velocities as  $U_{\text{frag},2} = -U_{\text{frag},1}$ 
42: if  $c_{\text{type}} = \text{non-catastrophic}$  do
43:     calculate  $A_{p,\text{frag}}$  and  $A_{t,\text{frag}}$  from Equation (4.14)
44:     calculate  $\chi_{p,\text{frag}} = \log A_{p,\text{frag}}/m_{p,\text{frag}}$  and  $\Xi_{t,\text{frag}} = \log A_{t,\text{frag}}/m_{t,\text{frag}}$ 
45:     sample  $\Delta v_{p,\text{frag}}$  and  $\Delta v_{t,\text{frag}}$  from distribution (4.15)
46:     generate  $\vec{v}_{p,\text{frag}}$  and  $\vec{v}_{t,\text{frag}}$  using the original directions  $\vec{u}_i$  and  $\vec{u}_j$  and alter magnitudes by adding or subtracting  $\Delta v_{p,\text{frag}}$  and  $\Delta v_{t,\text{frag}}$ 
47:     append  $m_{p,\text{frag}}$ ,  $m_{t,\text{frag}}$ ,  $L_{c,p,\text{frag}}$ ,  $L_{c,t,\text{frag}}$ ,  $\vec{v}_{p,\text{frag}}$  and  $\vec{v}_{t,\text{frag}}$  to the fragment lists  $M_{\text{frag}}$ ,  $L_c$ ,  $U_{\text{frag},1}$  and  $U_{\text{frag},2}$   $\triangleright$  being careful to add the velocities in the proper direction
48:     end if
49:      $V_{\text{frags},1} = U_{\text{frags},1} + \vec{V}_{\text{com}}$   $\triangleright$  that is, add  $\vec{V}_{\text{com}}$  for each  $\vec{u}_{\text{frag},1} \in U_{\text{frags},1}$ 
50:      $V_{\text{frags},2} = U_{\text{frags},2} + \vec{V}_{\text{com}}$ 
51:      $E_{\text{frags}} = \frac{1}{2} M_{\text{frag}} \sum (V_{\text{frags},1}^2 + V_{\text{frags},2}^2)$   $\triangleright$  shorthand for total kinetic energy of fragments
52:      $E_{\text{initial}} = \frac{m_i |\vec{v}_i|^2}{2} + \frac{m_j |\vec{v}_j|^2}{2}$ 
53:      $\epsilon = \frac{E_{\text{frags}}}{E_{\text{initial}}}$ 
54:     if  $\epsilon > 1$  do  $\triangleright$  ensure kinetic energy conservation
55:          $V_{\text{frags},1} = \frac{V_{\text{frags},1}}{\sqrt{\epsilon}}$ 
56:          $V_{\text{frags},2} = \frac{V_{\text{frags},2}}{\sqrt{\epsilon}}$ 
57:     end if
58:     VELOCITYVERLET( $R_{\text{frags},1}$ ,  $V_{\text{frags},1}$ ,  $t_{ij}$ )  $\triangleright$  propagate to collision point
59:      $R_{\text{frags},1} = \{\vec{r}_p, \vec{r}_p, \dots, \vec{r}_p\}$   $\triangleright$  with length  $N_{\text{frags}}$ 
60:      $R_{\text{frags},2} = \{\vec{r}_t, \vec{r}_t, \dots, \vec{r}_t\}$   $\triangleright$  idem
61:      $R_{\text{random},1} = \{\vec{r}_{\text{random},1} \mid \vec{r}_{\text{random},1} \text{ is random vector on the order of the radius of satellites}\}$ 
62:      $R_{\text{random},2} = \text{idem}$ 
63:      $R_{\text{frags},1} = R_{\text{frags},1} + R_{\text{random},1}$   $\triangleright$  that is, add a random vector  $\vec{r}_{\text{random}}$  to each fragment position vector  $\vec{r}_{\text{frag},1} \in R_{\text{frags},1}$ 
64:      $R_{\text{frags},2} = R_{\text{frags},2} + R_{\text{random},2}$ 
65:      $R_{\text{frags},1}, V_{\text{frags},1} = \text{VELOCITYVERLET}(R_{\text{frags},1}, V_{\text{frags},1}, t_{\text{rest}})$   $\triangleright$  propagate to next time step
66:      $R_{\text{frags},2}, V_{\text{frags},2} = \text{VELOCITYVERLET}(R_{\text{frags},2}, V_{\text{frags},2}, t_{\text{rest}})$ 
67:     append  $R_{\text{frags},1}$  to  $R_{\text{frags},2}$  to get  $R_{\text{frags}}$ ,  $V_{\text{frags},1}$  to  $V_{\text{frags},2}$  to get  $v_{\text{frags}}$ ,  $M_{\text{frag}}$  to itself to get  $M_{\text{frags}}$ ,  $L_c$  to itself to get  $L_{c,\text{frags}}$   $\triangleright$  we have identical halves of particles
68:     return  $R_{\text{frags}}$ ,  $V_{\text{frags}}$ ,  $M_{\text{frags}}$ ,  $L_{c,\text{frags}}$ 
69: end procedure

```

4.3. Analysis of SBM

In order to investigate the behaviour of Algorithm 9, we force a collisions between a prograde satellite $i = 1$ and retrograde satellite $j = 2$. We have

$$a_1 = a_2, \quad e_1 = e_2, \quad I_1 = 60^\circ \text{ and } I_2 = 120^\circ, \\ \Omega_1 = 0^\circ \text{ and } \Omega_2 = 10^\circ, \quad M_{a,1} = M_{a,2} = \omega_1 = \omega_2 = 0.$$

These satellites have an impact velocity $|\vec{u}| = 7.976\text{km s}^{-1}$. Using Equation (4.7) we derive that the ratio of projectile mass to target mass above which the collision is considered catastrophic is

$$\mu_{\text{critical}} = 1.26 \times 10^{-6}. \quad (4.17)$$

We now vary the mass $m_{1,2}$ and radius of the satellites $s_{1,2}$ and plot the collision fragment characteristic length, mass, area-to-mass and $\Delta - v$ distributions. The results for several of these collision scenarios are shown in Figures 12, 13 and 14.

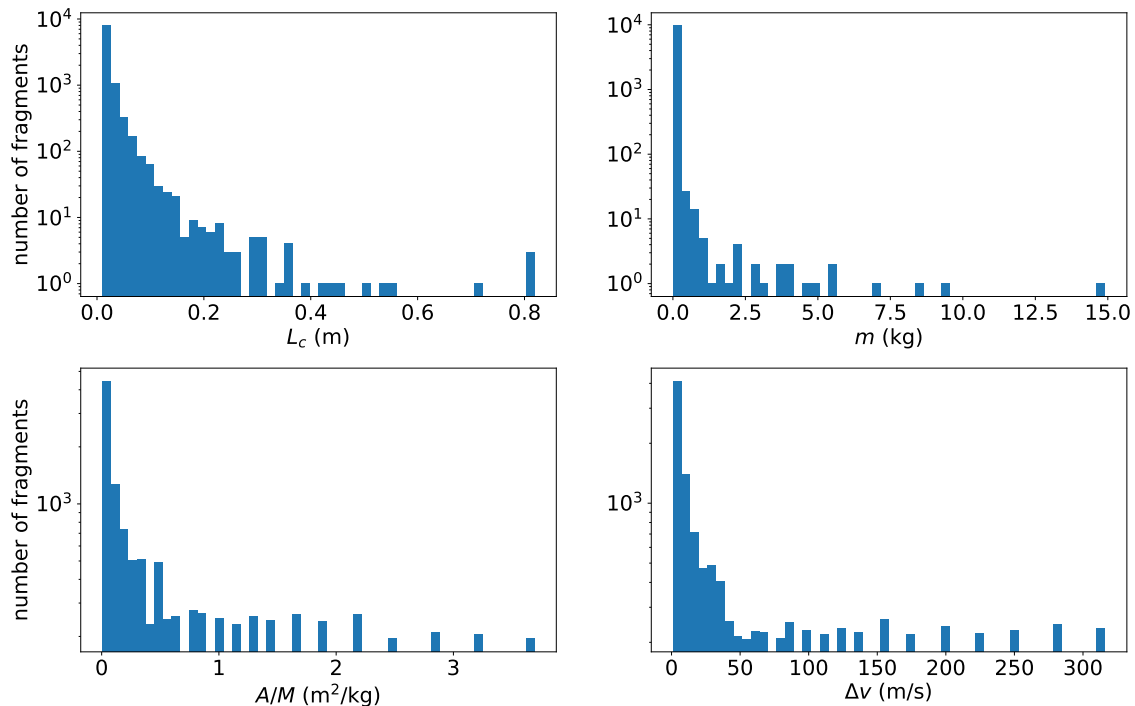


Figure 12: Fragment distributions for a catastrophic collision between two large satellites with $m_1 = m_2 = 200 \text{ kg}$ and $s_1 = s_2 = 2 \text{ m}$ as a function of L_c , m , A/M and Δv .

From Figure 12 we see that for a (catastrophic) collision between similarly large and massive satellites fragments form on the order of a few centimeters up to about a meter. This is because distribution (4.12) is limited to generating fragments of up to a meter. Additionally, most of the fragments are smaller than 10cm, which reflects the power law given in Equation (4.10).

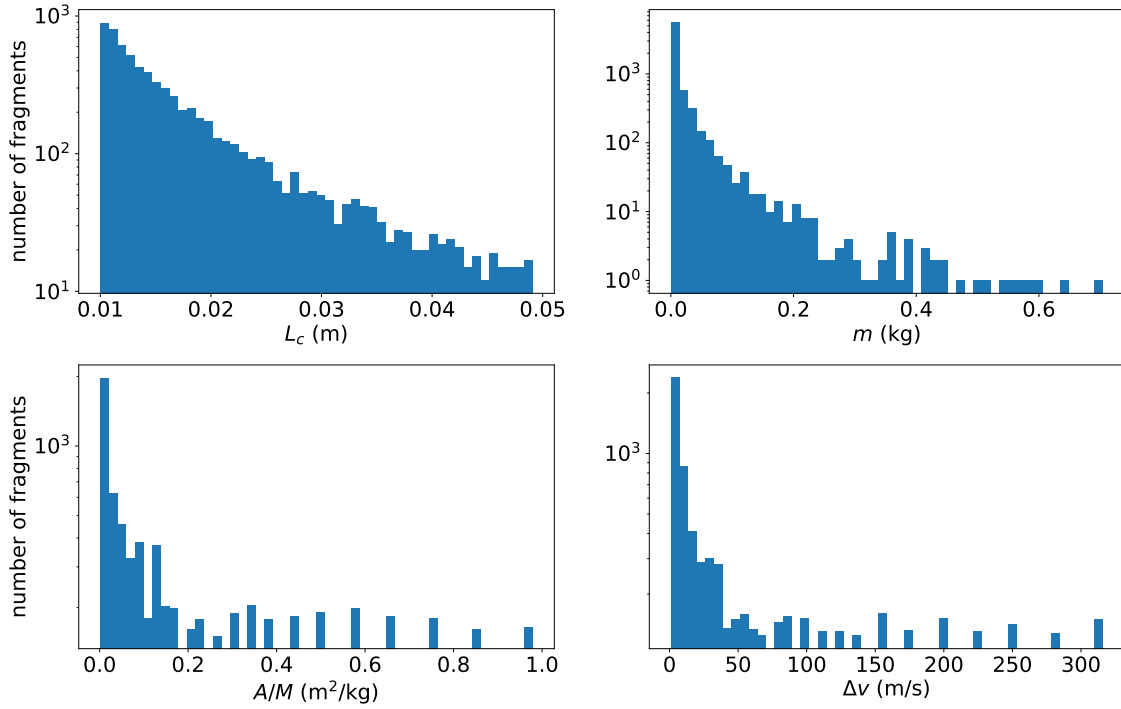


Figure 13: Fragment distributions for a catastrophic collision between a large $m_1 = 200$ kg, $s_1 = 2$ m and small satellite $m_2 = 2$ kg, $s_2 = 0.05$ m versus L_c , m , A/M and Δv as in Figure 12.

Figure 13 indicates another catastrophic collision. In this case satellite 2 is reduced in size and mass. We have

$$\frac{m_p}{m_t} = 0.01 > \mu_{\text{critical}}.$$

The reduced size of s_1 has as a consequence that only fragments with characteristic lengths smaller than $s_2 = 0.05$ m are generated. This constraint on fragment size stems from line 26 in Algorithm 9 and is necessary in order to maintain the symmetry of the fragmentation. In particular, one half of the generated fragments velocities are scattered around the direction of the target velocity \vec{v}_t and other half around the projectile velocity \vec{v}_p . The former group of fragments may thus be considered as originating from the target satellite and the latter from the projectile. The fragment size must be limited to the size of the smallest satellite, because both halves of fragments are identical in size and fragments can not be larger than the satellites they originate from. Additionally, the small mass of satellite 2 results in less fragments compared to the previous scenario in Figure 13.

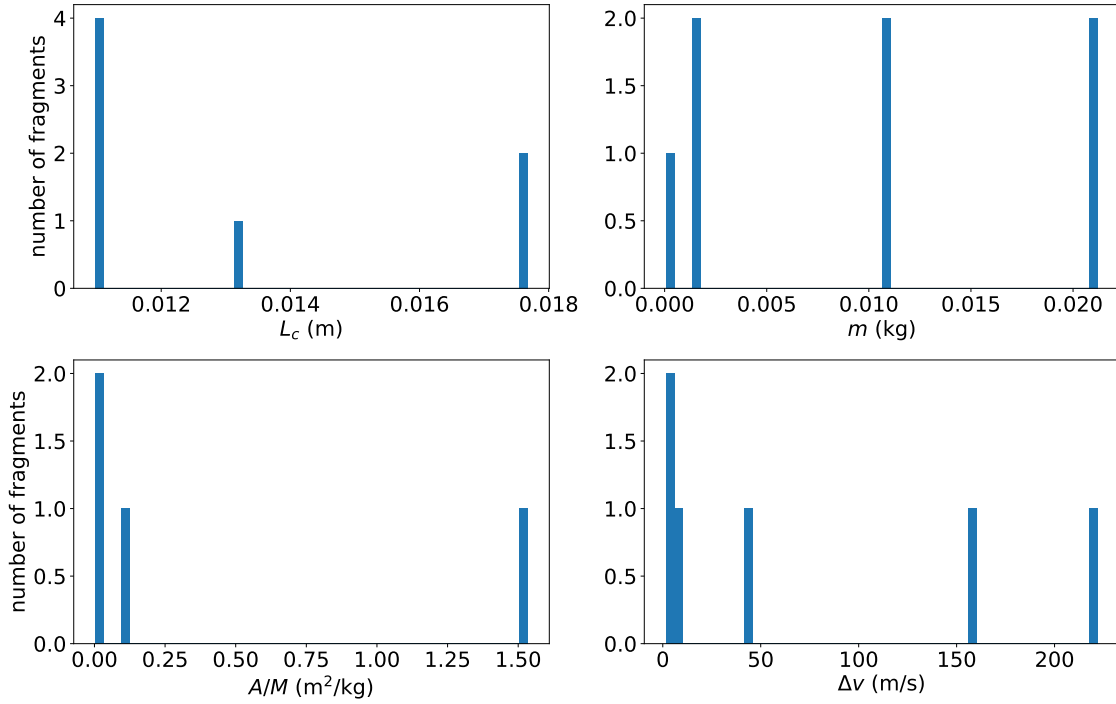


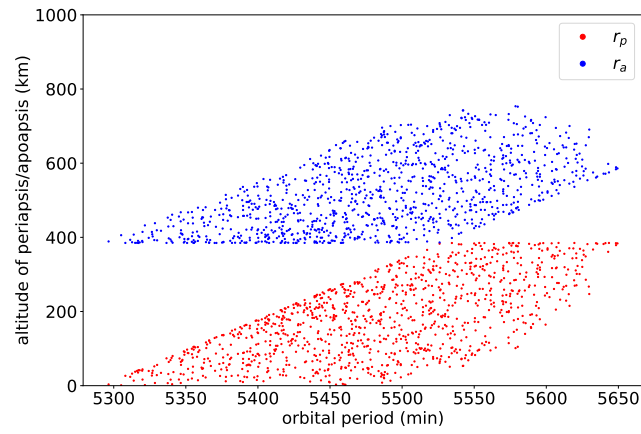
Figure 14: Fragment distributions for a non-catastrophic collision between a large $m_1 = 200$ kg, $s_1 = 2$ m and small satellite $m_2 = 2 \times 10^{-4}$ kg, $s_2 = 0.05$ m versus L_c , m , A/M and Δv as in Figure 12.

In contrast to Figures 12 and 13, Figure 14 shows the output of the SBM for a non-catastrophic collision. In this case we have

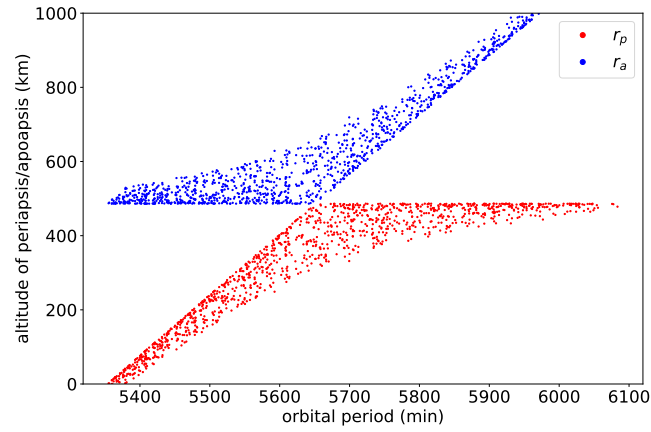
$$\frac{m_p}{m_t} = 1 \times 10^{-6} < \mu_{\text{critical}}.$$

Several small and light particles are generated, which resemble the projectile and the fragments originating from the target. One large fragment with a size and mass similar to the original target is generated as well. The velocities of the fragments after a non-catastrophic collision are therefore not symmetric for target and projectile, which stands in contrast to the case of catastrophic collisions.

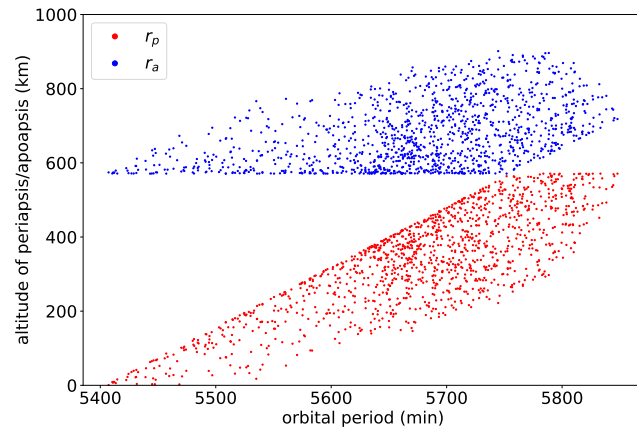
To observe the effect of the random scattering of the fragment velocity in line 36 of Algorithm 9 and the random addition or subtraction of Δv in line 37, Figure 15 shows Gabbard diagrams for collisions at several altitudes.



(a)



(b)



(c)

Figure 15: Gabbard diagrams of catastrophic collisions with $\alpha = 3^\circ$ and $L_{c,\min} = 0.05$ m at altitudes (a) 381km, (b) 485km and (c) 557km. The total number of fragments in each case was about 1500, of which 406, 275 and 212 collided with the Earth, respectively. The spread of fragments after all three collisions ranges over altitudes from 0 up to 1000km.

Moreover, Figure 16 shows that the maximum scattering angle is a major factor in determining how many fragments stay in orbit following a collision. This shows that the entire of this paper model is very sensitive to the parameters in the SBM((...)).

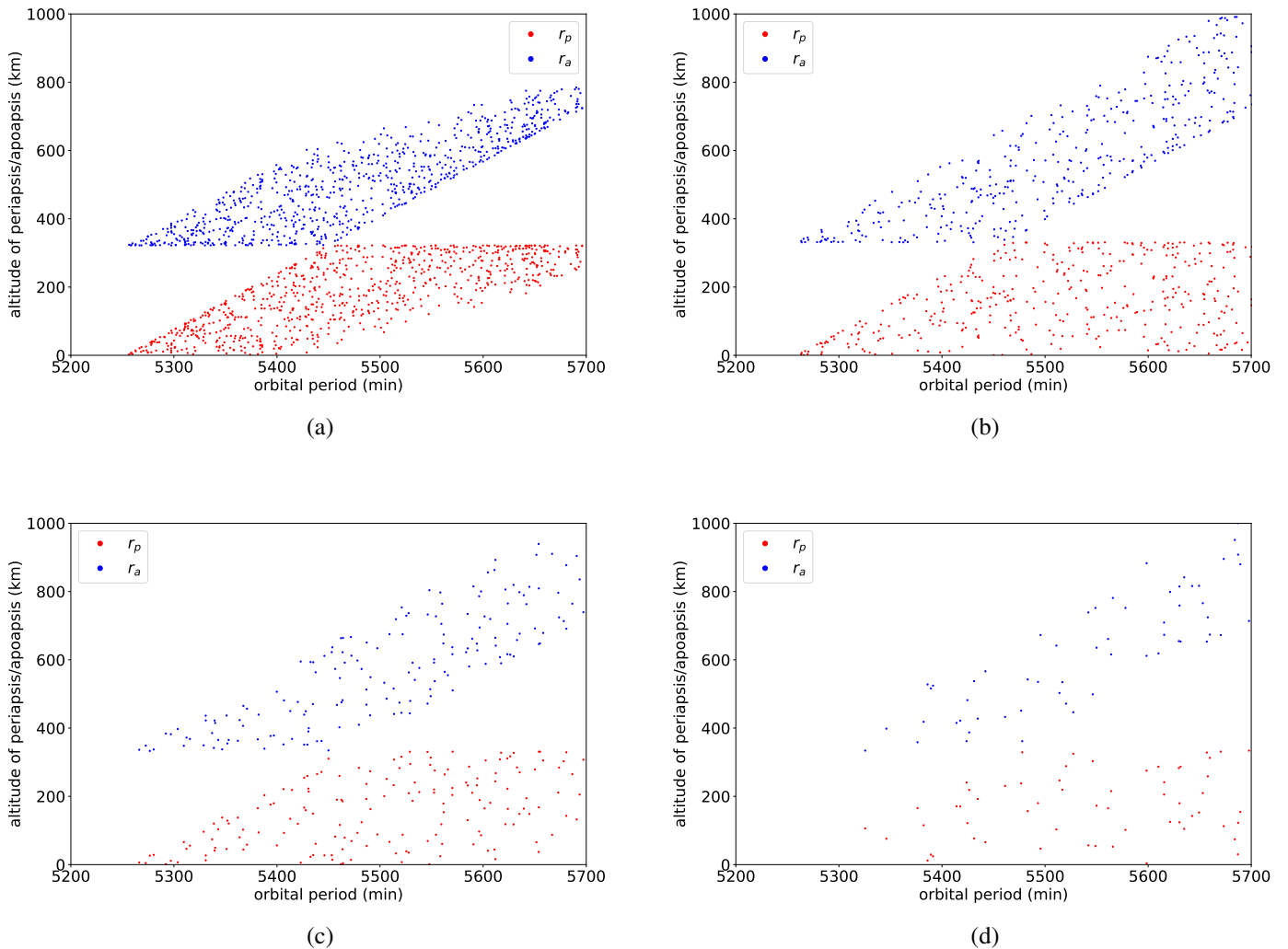


Figure 16: Gabbard diagrams of the same catastrophic collision with $L_{c,\min} = 0.05$ m, **(a)** $\alpha = 3^\circ$, **(b)** $\alpha = 6^\circ$, **(c)** $\alpha = 10^\circ$ and **(d)** $\alpha = 15^\circ$. The total number of fragments is approximately 1500, of which 616, 977, 1121 and 1203 collided with Earth, respectively.

5. Kessler syndrome

Using the discrete and continuous algorithms to detect collisions and the SBM to perform them, we can simulate the evolution of a system of satellites. Firstly, let us apply the models to the homogeneous system introduced in Section 3.3. In the same section we saw that for low particle numbers and small satellite radii, the continuous algorithm performs best. Figures 17 and 18 shows the evolution of the number of satellites over time as predicted by Algorithm 4, from an initial configuration of $N = 100$ and $N = 500$ satellites, chosen independently from a homogeneous distribution in the spherical shell.

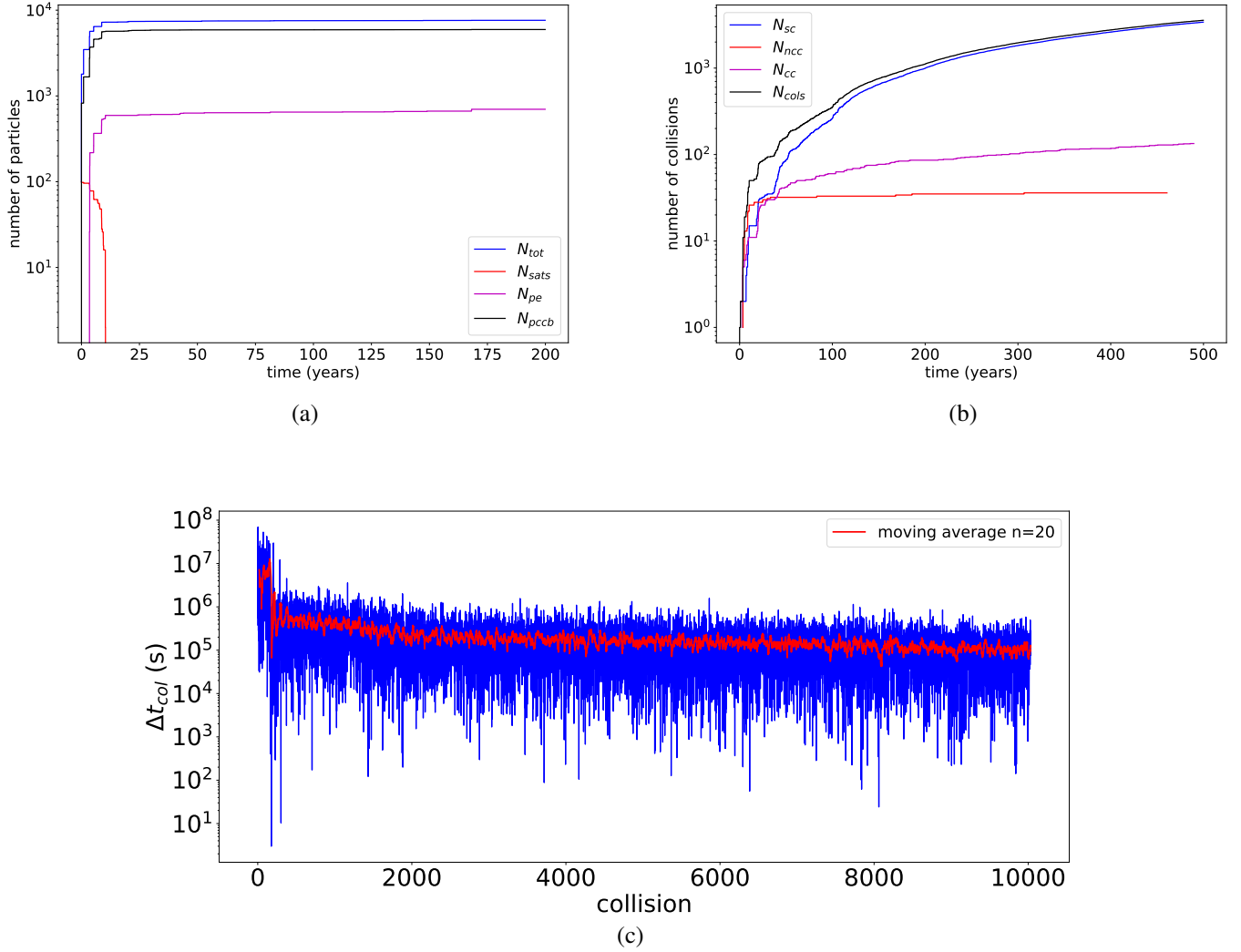


Figure 17: 500 year evolution of $N = 100$ homogeneous satellites with $s = 5$ m, $r_{inner} = 300$ km, $h = 50$ km $L_{c,min} = 0.05$ m and $\alpha = 3$. **a)** shows the total number of particles N_{tot} in blue, the number of remaining original satellites N_{sats} in red, the number of escaped particles N_{pe} in magenta and the number of particles collided with the central body N_{pccb} in black during the first 200 years. **b)** plots the total number of collisions N_{cols} , which is composed of the number of scatterings N_{sc} in blue, the number of non- and catastrophic collisions N_{ncc} and N_{cc} in red and magenta. **c)** is a plot of the time between successive collisions in blue with a 20-term moving average in red.

We see from Figure 17 that an initially small number of random medium sized satellites evolves into a large number of debris particles in just a few decades. Moreover, by the end of the first decade all the original satellites have collided and are either fully or partly fragmented. This is followed by a period where the total particle number does not increase significantly, but the number of collisions does.

The composition of the collisions in 17.b) changes in the same period as well. From being mostly catastrophic collisions to all scatterings. This is because at this stage there is only debris present in the system, which is either too small or too light for Algorithm 9 to classify a collision as catastrophic. As is discussed in Section 4.3, most of the generated debris' size is

equal to or slightly larger than the minimum characteristic length $L_{c,\min}$, which means that any collision between two debris particles can not produce more, smaller particles. Moreover, the low mass of the fragments causes $m_{\text{frag}} < m_{\text{frag},\min}$ for most collisions.

Lastly, the time between Δt_{col} collisions in Figure 18.c) is high at first, due to initially small number of satellites. However, after the fragmentation of these original satellites, Δt_{col} decreases two orders of magnitude. This means that even though the debris particles have small radii, the number of debris is large enough so that the time between collisions is decreased. Taking Equation (3.29) into consideration, we see that this must mean that the product of the number of satellites and the satellite radius Ns , becomes larger as time progresses. Due to the numerous fragments present in the system at later times, the radii of the satellites is no longer constant. Therefore we take the average radius \bar{s} , which gives

$$(Ns)_{\text{initial}} = 500 \text{ m} \quad (N\bar{s})_{\text{final}} = \sum_{i=1}^{N_{\text{final}}} s_i \approx 572 \text{ m},$$

where N_{final} is the number of particles at the end of the simulation.

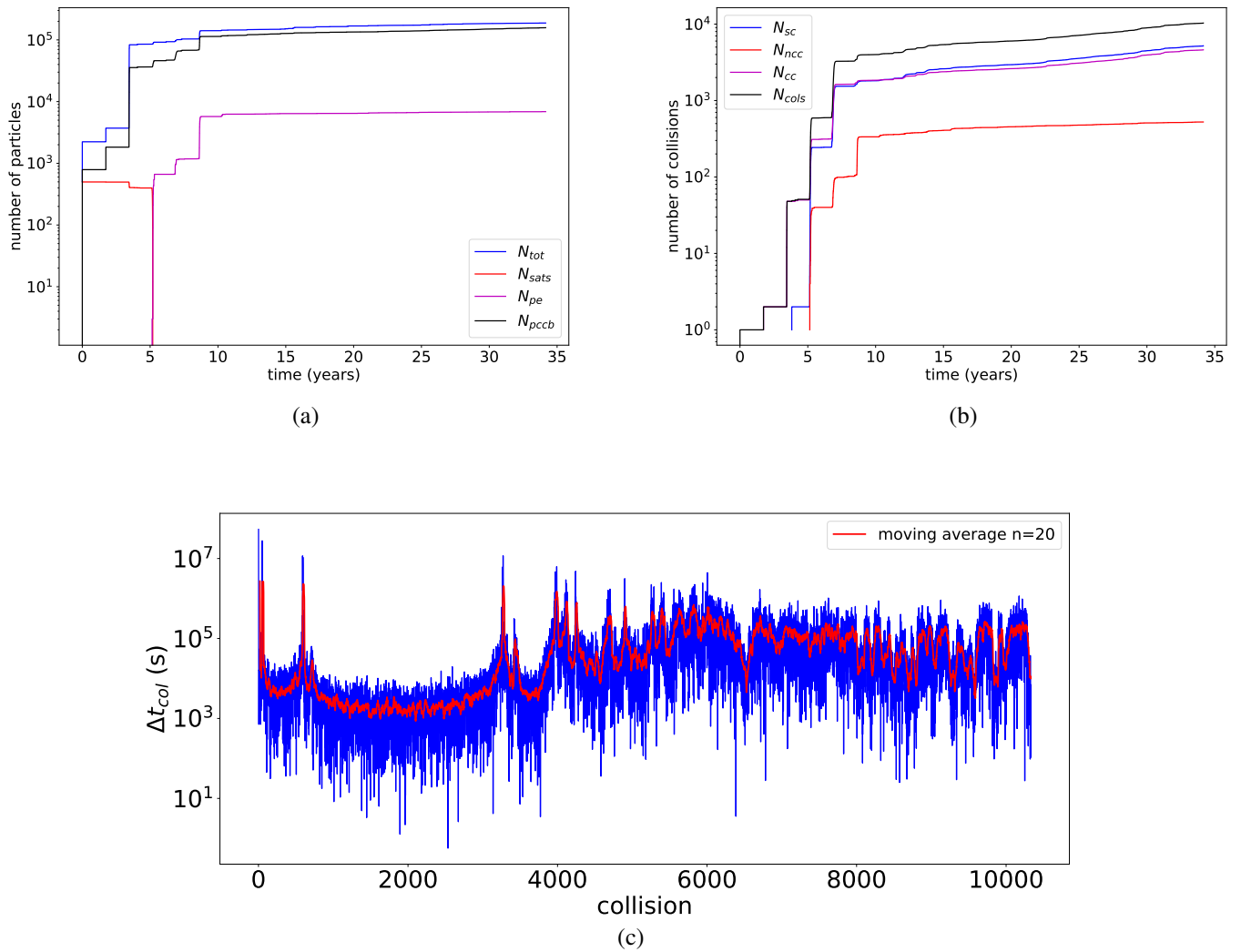


Figure 18: Same as Figure 17, but now for approximately 35 years of $N = 500$ homogeneously distributed satellites.

In Figure 18.a) we see that if the number of satellites in the beginning is increased by a factor of 5 with respect to the example of Figure 18, the number of resulting debris particles is about 10 to 20 times larger. Also, the number of original satellites present in the system vanishes more quickly, within 5 years to be precise. Both of these differences can be attributed to the increased likelihood that two original satellites collide with each other, which generates more fragments and causes these satellites to decrease in number more quickly. This also happened in the simulation for $N = 100$, but to a lesser extent; most of the original satellites collided with a fragment resulting from an earlier collision.

In comparison to the case of $N = 100$, we see in 18.b) that within a tenth of the simulated time the same total number of collisions occur, $N_{cols} \approx 10000$. Also, these collisions are mostly (non-)catastrophic, which means fragments are still being generated from collisions of larger sized debris. Frankly, only the first 35 years of this system could be simulated within the time frame of the writing of this paper. The reason for this was the high total particle number at the

end of the simulation, for which the continuous algorithm is not well suited in its current state. It would be interesting to simulate at least a hundred more years to investigate whether or not the average collision time stabilises like it did for $N = 100$ satellites.

In both Figures 17.a) and 18.a) one can also observe that in the first few year of the simulations a reasonable proportion of all the fragments generated in collisions collide with the Earth (central body) N_{pccb} and a smaller fraction escape from the system entirely N_{pe} . After about a decade these numbers remain approximately constant. In particular,

$$\begin{aligned} \left(\frac{N_{\text{pe}}}{N_{\text{final}}}\right)_{N=100} &= 9.33\% & \text{and} & & \left(\frac{N_{\text{pccb}}}{N_{\text{final}}}\right)_{N=100} &= 77.35\%, \\ \left(\frac{N_{\text{pe}}}{N_{\text{final}}}\right)_{N=500} &= 3.66\% & \text{and} & & \left(\frac{N_{\text{pccb}}}{N_{\text{final}}}\right)_{N=500} &= 83.92\%. \end{aligned}$$

This can again be attributed to the decrease in large fragments and, thereby, the decrease in the number of generated fragments in any collision. In the beginning the original medium sized satellites collide forming large numbers of fragments, of which many will collide with the central body or escape.

Now that we understand how a system with a small number of randomly distributed satellites can evolve into one with many fragments, we investigate how a system of ordered satellites in combination with a high number of debris-like particles behaves over time. The motivation for this lies in the definition of the Kessler syndrome. That is, we want to see if usually stable configuration of satellites, can become destabilised due to a collision cascade. To generate a system of N ordered, non-colliding satellites we will divide the satellites over closely spaced non-intersecting layers. Now let

$$N_{\text{layer}} = \frac{N}{\#\text{layers}},$$

be the number of satellites in any layer, then choose for each satellite i

$$\Omega_i = i \frac{360^\circ}{N}, \quad \omega_i = 0, \quad M_{a,i} = \gamma_1 + \frac{i \bmod N_{\text{layer}}}{N_{\text{layer}}}(\gamma_2 - \gamma_1) \quad \text{and} \quad I_i = 60^\circ,$$

where γ_1 and γ_2 are the minimum and maximum mean anomaly between which the satellites in any given layer are situated. Also, the mean anomaly is now automatically zero in the periapsis. Furthermore, the semi-major axes and eccentricities are given as

$$a_i = h_1 + \frac{i \bmod N_{\text{layer}}}{N_{\text{layer}}}(h_2 - h_1), \quad e_i = 1.00 \times 10^{-5}. \quad (5.1)$$

This configuration ensures that the layers are spaced evenly over a minimum and maximum height h_1 and h_2 and remain separated due to the low eccentricity of the satellites. Figure 19 shows the evolution of this ordered system of satellites combined with an instance of the homogeneous distribution of satellites.

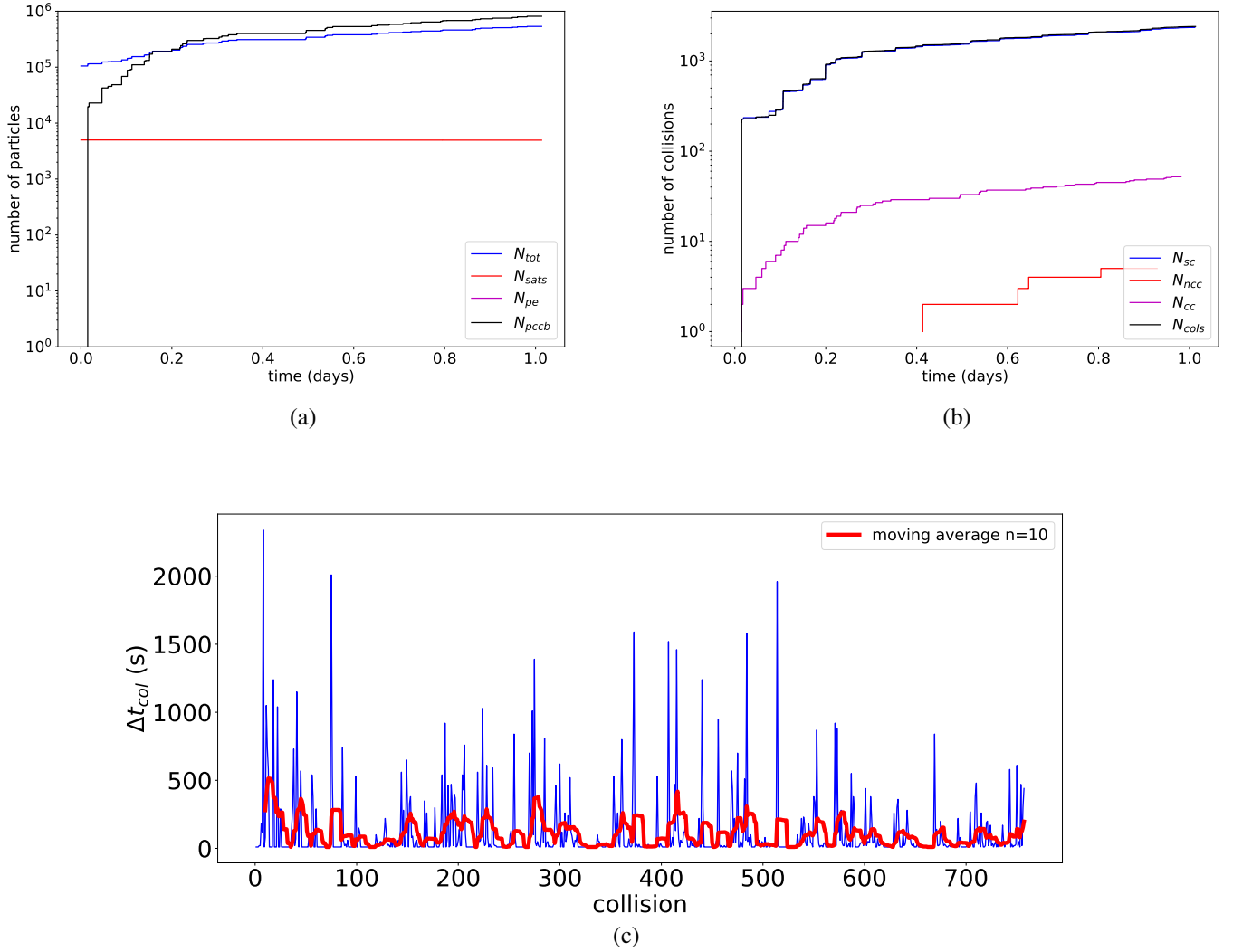


Figure 19: One day evolution of a system of large ordered satellites within a homogeneous distribution of relatively small debris-like satellites. The ordered distribution consists of $N_{ord} = 5000$ satellites with radius $s_{ord} = 20$ m of mass $m_{ord} = 500$ kg. The layers are spaced within the spherical shell starting at an altitude of $h_1 = 100$ km up to $h_2 = 130$ km. The $N_{hom} = 10^5$ homogeneously distributed satellites have a radius $s_{hom} = 1$ m and a mass of $m_{hom} = 20$ kg. These were situated in the same shell with $r_{inner} = R_{\oplus} + 100$ km and $h = 30$ km. Moreover, the minimum characteristic length is lowered to $L_{c,min} = 0.01$ m to incorporate the effect of smaller collisions. The plots **a**), **b**) and **c**) display the same information as Figures 17 and 18.

The discrete algorithm was used to generate the results in Figure 19, as this system involves many particles with relatively large radii situated within a spherical shell of a small height. Within a day 5 ordered satellites in this system have collided. These satellites must have collided with one of the debris-like particles from the homogeneous distribution, since the ordered satellites do not collide with each other. $N_{cols} = 758$ collisions generated a total of 535619 fragments. From equation (4.10) and using the values of given for the mass of the debris-like particles we find that the number fragments that can possibly be generated by the collision between these particles is 442. In the same way we can determine that the number of fragments

from a collision between a debris-like particle and a larger ordered satellite is 27895. Multiplying the former by $N_{\text{cols}} - 5$ gives 317766 and multiplying the latter with 5 gives 139475. Adding these fragment numbers up gives $N_{\text{frags,max}} = 457241$ which is the number of fragments generated in the first five satellite-debris collisions added to the maximum number of fragments that could have been generated by debris-debris collisions alone. However, The total number of fragments generated is over a million, because 812858 fragments have collided with the Earth. Since this number is larger than $N_{\text{frags,max}}$, this must mean that the fragments generated in the first five satellite-debris collisions have collided with more debris particles, which would generate more fragments than debris-debris collisions alone.

If anything, all the figures in this section show the tendency of one or a few fragmentation events early on in the evolution of a debris model to cause a cascade of collisions and debris generation right after. In this sense, both the discrete and continuous algorithms predict a Kessler Syndrome. Therefore, this thesis' simple collision model slightly mirrors predictions made by more advanced models from leading space agencies like NASA's LEGEND, ESA's DELTA and JAXA's LEODEEM [Lio+13].

6. Conclusion

The aim of this paper was to create a simplified space debris evolution model for a system of colliding satellites in Kepler orbits in order to study the Kessler Syndrome. To enable the use of Kepler orbits we neglected i) the mutual gravity between any two satellites, ii) the oblate shape of the Earth (J2 perturbation), iii) disturbing gravity of the moon and planets, iv) the atmosphere and v) solar radiation pressure. This allowed for a simple Two Body Problem (TBP) formulation for the equations of motion of the satellites. To check and compare this Kepler orbit-based collision detection method, another method was developed based on the numerical integration of the TBP. As the former is an analytical solution of the TBP valid at all times and the latter only at multiples of the time step Δt , these algorithms were aptly named *The continuous algorithm* and *The discrete algorithm*, respectively.

The comparison between the discrete and continuous algorithms focused on the average time to the first collision \bar{t}_{col} in a system of N homogeneously distributed satellites with radius s and within a spherical shell of height h (in LEO). \bar{t}_{col} was computed for several values of N , s and h , keeping the other two constant. The predictions of both algorithms were then successfully fitted to a theoretically determined relation for the value of \bar{t}_{col} based on the same parameters. Further analysis of the computation time suggested that the continuous algorithm has a time-complexity of $\mathcal{O}(N^2)$, as it was outperformed by the discrete algorithm for systems with high satellite numbers and densely populated with orbits. However, for systems with a relatively low number of small satellites in non-crossing orbits, the continuous algorithm performed better. This was because it calculates the time of the next collision, which was large for systems of this kind. As a consequence, it only needed to propagate the two colliding satellites to this time and it could do so instantly using the equation of a Kepler orbit. In contrast, the discrete algorithm had to propagate the entire system of satellites and could only perform collisions in the current time step. This advantage of the continuous algorithm is presumed to be entirely lost however, once one or more of the assumptions i-v) are removed from the model. Though both algorithms amenable to and could greatly benefit from parallel execution of their inherent calculations, it is for this reason that the continuous algorithm could be improved the most.

An implementation of the NASA Standard Breakup Model was used to perform general two-body collisions, which in combination with the continuous algorithm was used to predict long term LEO debris evolution of a small number of homogeneously distributed satellites. Two simulations were discussed, one with $N = 100$ and other with $N = 500$ satellites initially. Both predicted that all the original satellites collide and break apart within the first decade, causing further collision and fragmentation later on. In at least the first simulation, the average time between collisions was shown to decrease approximately two orders of magnitude over a period of 500 years. A third simulation of the short term evolution of 5000 ordered satellites in combination with 10^5 homogeneously distributed debris-like particles using the discrete algorithm showed a similar decrease in average collision time. Both algorithms showed the cascading effect a fragmentation collision early on in the simulation has on the the number of fragments and collisions after it. It was therefore concluded that this thesis' simple space debris evolution model already encompasses the Kessler Syndrome similar to more advanced from leading space agencies.

Future research could focus on expanding the continuous algorithm to include basic effects like ii) and iii) so that it can be applied more realistically to larger time frames. Especially, to

investigate if it is still able to bridge large time gaps more efficiently than the discrete algorithm or any other time integration based methods can.

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Appendix

A: Additional equations for orbital elements

The coordinate transformation from the orbital to the reference plane is mediated by the following rotation matrix

$$\mathcal{R} = \begin{pmatrix} \cos \Omega \cos \omega - \sin \Omega \sin \omega \cos I & -\cos \Omega \sin \omega - \sin \Omega \cos \omega \cos I & \sin \Omega \sin I \\ \sin \Omega \cos \omega + \cos \Omega \sin \omega \cos I & -\sin \Omega \sin \omega + \cos \Omega \cos \omega \cos I & -\cos \Omega \sin I \\ \sin \omega \sin I & \cos \omega \sin I & \cos I \end{pmatrix}, \quad (\text{A1})$$

with the longitude of ascending node Ω , argument of periapsis ω and inclination I .

The angular momentum of a satellite is given by

$$\vec{L} = m \vec{r} \times \vec{v}. \quad (\text{A2})$$

The eccentricity vector of an orbit is related to the Laplace-Runge-Lenz vector \overline{LRL} as

$$\vec{e} = \frac{\overline{LRL}}{GMm^2} = \frac{\vec{v} \times \vec{L}}{GMm} - \frac{\vec{r}}{|\vec{r}|}, \quad (\text{A3})$$

where M is the mass of the central body and m and \vec{r} are the mass and position vector of the satellite.

The velocity of a satellite can be determined from \vec{L} and \vec{e} through

$$\vec{v} = \frac{GMm}{|\vec{L}|} \vec{L} \times \left(\vec{e} + \frac{\vec{r}}{|\vec{r}|} \right). \quad (\text{A4})$$

B: Derivations for Kepler orbit collision methods

Here follows the full derivation of equation 3.11 Squaring and expanding equation 3.10 gives

$$\begin{aligned} |\vec{\delta}| &< (s_i + s_j) |\vec{u}| \\ |(\vec{r}_j - \vec{r}_i + \vec{v}_j dt_j - \vec{v}_i dt_i) \times \vec{u}|^2 &< (s_i + s_j)^2 |\vec{u}|^2, \\ |(\vec{r}_j - \vec{r}_i) \times \vec{u}|^2 + 2(\vec{r}_j - \vec{r}_i) \times \vec{u} \cdot (\vec{v}_j dt_j - \vec{v}_i dt_i) \times \vec{u} &+ |(\vec{v}_j dt_j - \vec{v}_i dt_i) \times \vec{u}|^2 < (s_i + s_j)^2 |\vec{u}|^2. \end{aligned}$$

The second term on the l.h.s. of the inequality can be shown to be zero. Where it can be used that for $\vec{d} = \vec{r}_j - \vec{r}_i$, $\vec{d} \cdot \vec{v}_i = 0$ and $\vec{d} \cdot \vec{v}_j = 0$, since \vec{d} is the minimum distance vector. This in combination with the vector identity

$$(\vec{a} \times \vec{b}) \cdot (\vec{c} \times \vec{b}) = (\vec{a} \cdot \vec{c}) |\vec{b}|^2 - (\vec{a} \cdot \vec{b})(\vec{c} \cdot \vec{b}).$$

As for the first term, we have

$$|(\vec{r}_j - \vec{r}_i) \times \vec{u}|^2 = |\vec{d} \times \vec{u}|^2 = |\vec{d}|^2 |\vec{u}|^2 - (\vec{d} \cdot \vec{u})^2 = |\vec{d}|^2 |\vec{u}|^2,$$

using that $\vec{d} \cdot \vec{u} = 0$. Hence this simplifies to

$$|(\vec{v}_j(t_{ij}^{col} - kT_j - t_j^1) - \vec{v}_i(t_{ij}^{col} - kT_i - t_i^1)) \times \vec{u}| < \sqrt{(s_i + s_j)^2 - |\vec{d}|^2} |\vec{u}|,$$

where $dt_{i,j}$ is expanded using equation 3.9. Now, using that $\vec{u} = \vec{v}_j - \vec{v}_i$, we get

$$|kT_i + t_i^1 - lT_j - t_j^1| < \frac{\sqrt{(s_i + s_j)^2 - |\vec{d}|^2} |\vec{u}|}{|\vec{v}_i \times \vec{v}_j|},$$

as required.

C: NASA SBM distributions

Area-to-mass ratio of fragments with $L_c \geq 11$ cm satisfies the following distribution

$$D_{A/M}^{L_c \geq 0.11} = \alpha(\lambda_c)N(\mu_1(\lambda_c), \sigma_1(\lambda_c), \chi) + (1 - \alpha(\lambda_c))N(\mu_2(\lambda_c), \sigma_2(\lambda_c), \chi), \quad (C1)$$

where

- $\lambda_c = \log_{10}(L_c)$
- $\chi = \log_{10}(A/M)$
- N is the normal distribution function with pre-factor α , mean $\mu_{1,2}$ and standard deviation $\sigma_{1,2}$. These are in turn given by

$$\alpha = \begin{cases} 0 & \lambda_c \leq -0.95 \\ 0.3 + 0.4(\lambda_c + 1.2) & -0.95 < \lambda_c < 0.55 \\ 1 & \lambda_c \geq 0.55 \end{cases}$$

$$\mu_1 = \begin{cases} -0.6 & \lambda_c \leq -1.1 \\ -0.6 + 0.318(\lambda_c + 1.1) & -1.1 < \lambda_c < 0 \\ -0.95 & \lambda_c \geq 0 \end{cases}$$

$$\sigma_1 = \begin{cases} 0.1 & \lambda_c \leq -1.3 \\ 0.1 + 0.2(\lambda_c + 1.3) & -1.3 < \lambda_c < -0.3 \\ 0.3 & \lambda_c \geq -0.3 \end{cases}$$

$$\mu_2 = \begin{cases} -1.2 & \lambda_c \leq -0.7 \\ -1.2 + 1.333(\lambda_c + 0.7) & -0.7 < \lambda_c < -0.1 \\ -2.0 & \lambda_c \geq -0.1 \end{cases}$$

$$\sigma_2 = \begin{cases} 0.5 & \lambda_c \leq -0.5 \\ -\lambda_c & -0.5 < \lambda_c < -0.3 \\ 0.3 & \lambda_c \geq -0.3 \end{cases}$$

Area-to-mass ratio of fragments with $L_c < 11$ cm satisfies

$$D_{A/M}^{L_c < 0.11} = N(\mu(\lambda_c), \sigma(\lambda_c), \chi), \quad (C2)$$

where

$$\mu = \begin{cases} -0.3 & \lambda_c \leq -1.75 \\ -0.3 + 1.4(\lambda_c + 1.75) & -1.75 < \lambda_c < -1.25 \\ -1.0 & \lambda_c \geq -1.25 \end{cases}$$

$$\sigma = \begin{cases} 0.2 & \lambda_c \leq -3.5 \\ 0.2 + 0.1333(\lambda_c + 3.5) & \lambda_c > -3.5 \end{cases}$$

The delta-velocity distribution for fragments is distributed as

$$D_{\Delta v} = N(\mu(\chi), \sigma, \nu). \quad (\text{C3})$$

where

- $\nu = \log_{10}(\Delta v)$
- $\mu = 0.6\chi + 2.9$
- $\sigma = 0.4$

Code for the simulation

```

1 #from dataclass import datasets
2 import numpy as np
3 from scipy import special
4 import os
5
6 """ datasets class
7 class datasets():
8     """Contains a collection of datasets to experiment with the Kepler and
9     k-d tree SCM's."""
10     ##### constants #####
11     # mass & radius of the earth
12     earthM = 5.972e24          #kg
13     earthR = 6371e3           #m
14     # The gravitational constant
15     G = 6.67428e-11
16     mu = G*earthM
17     # Astronomical unit
18     AU = (149.6e6 * 1000)     #149.6 million km, in meters.
19     #time steps
20     minute = 60
21     hour = 60*minute
22     day = 24*hour
23     year = 365*day
24     century = 100*year
25
26     def __init__(self, data_type, args=None):
27         if args != None:
28             datasets.__dict__[data_type](self, args)

```

```

28     else:
29         datasets.__dict__[data_type](self)
30
31     def kep(self):
32         """load parameters for satellites (100 starlink satellites) at
approximately same epoch"""
33         import openpyxl
34         filepath = r"C:\\Users\\TUDelftSID\\OneDrive - Delft University of
Technology\\Documenten\\TUD\\BEP\\realsatdata\\"
35         filename = 'starlink-track(1 meting 100 sats)'
36         wb_obj = openpyxl.load_workbook(filepath+filename+'.xlsx')
37         sheet = wb_obj.active
38         col_names = []
39         for column in sheet.iter_cols(1, sheet.max_column):
40             col_names.append(column[0].value)
41         data = {}
42         for i, row in enumerate(sheet.iter_rows(values_only=True)):
43             for j in range(16):
44                 if i == 0:
45                     data[col_names[j]] = []
46                 else:
47                     data[col_names[j]].append(row[j])
48         for key in list(data.keys()):
49             data[key] = np.array(data[key])
50
51         #preparing data
52         Nsats_k = len(data['NORAD_CAT_ID'])
53         self.Epoch = data['EPOCH']
54         Inc = data['Inc'] #degrees
55         self.Ecc = data['Ecc']
56         MnM = data['MnM'] #revolutions per day
57         LAN = data['LAN'] #degrees
58         AgP = data['AgP'] #degrees
59         MnA = data['MnA'] #degrees
60         SMA = data['SMa']
61         #typical mass and size of starlink sats
62         self.Nsats = Nsats_k
63         self.S = np.array([1]*Nsats_k) #'roughly the size of a table':
https://skyandtelescope.org/astronomy-news/spacex-launches-latest-
starlink-satellite-batch/
64         self.M = np.array([280]*Nsats_k)
65         #converting units
66         self.MnM = 2*np.pi/(self.day/MnM) #rads per second
67         self.Inc = 2*np.pi*(Inc/360)
68         self.LAN = 2*np.pi*(LAN/360)
69         self.AgP = 2*np.pi*(AgP/360)
70         self.MnA = 2*np.pi*(MnA/360)
71         self.SMA = SMA*1e3
72
73     def eph(self):
74         """load ephemeris of all current starlink satellites (as of 27
September 2021).
75         The corresponding ephemeris file should be placed in the same
directory as this one."""
76         #set file location

```

```

77     filepath = r"C:\Users\TUDelftSID\OneDrive - Delft University of
Technology\Documenten\TUD\BEP\ephemeris starlink 2021270"
78     files = os.listdir(filepath)
79     Epoch = []
80     Nsats_e = len(files)
81     R = np.zeros((Nsats_e,3))
82     V = np.zeros((Nsats_e,3))
83     for i,file in enumerate(files):
84         n = os.path.join(filepath,file)
85         with open(n) as fi:
86             eph = fi.readlines()[4].split(" ")
87             eph[-1] = eph[-1][: -2]
88             params = np.array(eph[1:]).astype(np.float64) #Epoch, XYZ,
VxVyVz
89             #time of measurement given in seconds starting from the
beginning of 2021
90             day = float(eph[0][4:7])
91             hour = float(eph[0][7:9])
92             minute = float(eph[0][9:11])
93             sec = float(eph[0][11:])
94             Epoch.append(day*24*3600+hour*3600+minute*60+sec)
95             R[i,:] = params[0:3]*1e3
96             V[i,:] = params[3:]*1e3
97             #typical mass and size of starlink sats
98             self.Nsats = Nsats_e
99             self.R = R
100            self.V = V
101            self.S = np.array([1]*Nsats_e) #'roughly the size of a table':
https://skyandtelescope.org/astronomy-news/spacex-launches-latest-
starlink-satellite-batch/
102            self.M = np.array([280]*Nsats_e)
103            #correct unsimultaneous measurement of parameters
104            #(still needs to be done...)
105
106
107            def col(self,N):
108                """ take one starlink sat and randomly change its LAN, Inc and AgP
109                thereby generating multiple sats that will likely collide"""
110                N = int(N)
111                self.Inc = np.random.random(N)*np.pi
112                self.Ecc = np.array([0]*N)
113                self.LAN = np.random.random(N)*2*np.pi
114                self.AgP = np.random.random(N)*2*np.pi
115                self.MnA = np.random.random(N)*2*np.pi-self.AgP #mean anomaly is
zero at periapsis
116                self.SMA = np.array([6.5258*1e6]*N)
117                self.MnM = np.sqrt(self.mu/self.SMA**3)
118                self.S = np.array([3]*N) #increase chance of collisions
119                self.M = np.array([400]*N)
120                self.Nsats = N
121
122            def sim(self,args):
123                """a random system of satellites used to study/look for the Kessler
syndrome"""
124                N = args[0]

```

```

125     s = args[1]
126     m = args[2]
127     h = args[3]
128     self.Nsats = N
129     self.Inc = np.random.random(N)*np.pi
130     self.LAN = np.random.random(N)*2*np.pi
131     self.AgP = np.random.random(N)*2*np.pi
132     self.MnA = np.random.random(N)*2*np.pi-self.AgP #mean anomaly is
zero at periapsis
133     R_i = self.earthR+h[0]*1e3 #minimum of ... km height
134     R_o = self.earthR+h[1]*1e3 #maximum of ... km height: #LEO: 0<a
<2000
135
136     #completely homogeneous (no lower bound for eccentricity)
137     a1 = np.random.uniform(low=R_i,high=R_o,size=N)
138     a2 = np.random.uniform(low=R_i,high=R_o,size=N)
139     A = np.array([a1,a2])
140     per = np.min(A,axis=0)
141     aper = np.max(A,axis=0)
142
143     # #minimum eccentricity (favors higher orbits)
144     # l = 2*R_o*e_min/(1+e_min)
145     # per = np.random.uniform(low=R_i,high=R_o-l,size=N)
146     # aper = np.random.uniform(low=per+l,high=R_o,size=(1,N)) [0]
147     self.SMA = (1/2)*(aper+per)
148     self.Ecc = (aper-per)/(aper+per)
149     self.MnM = np.sqrt(self.mu/self.SMA**3)
150     self.S = np.array([s]*N)
151     self.M = np.array([m]*N)
152
153     def ordd(self, args):
154         """system of sats that do not collide"""
155         Nsats_o = args[0]
156         self.Nsats = Nsats_o
157         layers = 5
158         s = args[1]
159         m = args[2]
160         h = args[3]
161         band = (h[1]-h[0])*1e3
162         lb = datasets.earthR + h[0]*1e3
163         ub = datasets.earthR + h[1]*1e3
164         self.Inc = np.array([(53/360)*2*np.pi]*Nsats_o)
165         self.Ecc = np.array([0.00001]*Nsats_o)#0.001*(1 + np.random.random(
Nsats_o))
166         self.SMA = np.tile(np.arange(lb,ub,band/layers), (int(Nsats_o/layers
,)))
167         self.MnM = np.sqrt(self.mu/self.SMA**3)
168         self.LAN = np.linspace(0,2*np.pi,Nsats_o)
169         self.AgP = np.array([(80/360)*2*np.pi]*Nsats_o)
170         self.MnA = np.tile(np.arange(-70,70,140/layers), (int(Nsats_o/layers
,))) -self.AgP #mean anomaly is zero at periapsis
171         self.S = np.array([s]*Nsats_o)
172         self.M = np.array([m]*Nsats_o)
173
174     def rog(self, args):

```



```

175     """same as above, but with one rogue satellite"""
176     self.ordd(args)
177     Nsats_r= self.Nsats+1
178     self.Nsats = Nsats_r
179     self.Inc = np.append(self.Inc,np.array([(180-53)/360)*2*np.pi)) #
retrograde sat
180     self.Ecc = np.append(self.Ecc,self.Ecc[-1])
181     self.SMA = np.append(self.SMA,self.SMA[8]) #same as 9th layer
182     self.MnM = np.sqrt(self.mu/self.SMA**3)
183     self.LAN = np.append(self.LAN,self.LAN[8]+(2/360)*2*np.pi) #aprox
same as 9th layer.
184     self.AgP = np.append(self.AgP,np.array([(80/360)*2*np.pi])) # same
as before
185     self.MnA = np.append(self.MnA,self.MnA[8])-self.AgP #mean anomaly
is zero at periapsis
186     self.S = np.append(self.S,np.array([2]))
187     self.M = np.append(self.M,np.array([200]))
188
189     def mist(self,args):
190         """combination of ordered and homogeneously distributed satellites
"""
191         ordd = datasets('ordd',args[0])
192         mist = datasets('sim',args[1])
193         self.Nsats = mist.Nsats + ordd.Nsats
194         self.Inc = np.append(ordd.Inc,mist.Inc)
195         self.LAN = np.append(ordd.LAN,mist.LAN)
196         self.AgP = np.append(ordd.AgP,mist.AgP)
197         self.MnA = np.append(ordd.MnA,mist.MnA)
198         self.Ecc = np.append(ordd.Ecc,mist.Ecc)
199         self.SMA = np.append(ordd.SMA,mist.SMA)
200         self.MnM = np.append(ordd.MnM,mist.MnM)
201         self.S = np.append(ordd.S,mist.S)
202         self.M = np.append(ordd.M,mist.M)
203
204     def sc(self,args):
205         """one pair of colliding satellites"""
206         Nsats = 2
207         m0 = args[0]
208         m1 = args[1]
209         s0 = args[2]
210         s1 = args[3]
211         self.Nsats = Nsats
212         self.Inc = np.array([60.0,120.0])*2*np.pi/360
213         self.Ecc = np.array([0.005]*Nsats)
214         self.SMA = np.array([100e3+self.earthR]*2)
215         self.MnM = np.sqrt(self.mu/self.SMA**3)
216         self.LAN = np.array([0,10.0])*2*np.pi/360
217         self.AgP = np.array([0.0]*Nsats)
218         self.MnA = np.array([0.0]*Nsats)-self.AgP #mean anomaly is zero at
periapsis
219         self.S = np.array([s0,s1])
220         self.M = np.array([m0,m1])
221
222     def ze(self,N):
223         """random system of satellites in circular orbits"""

```

```

224     self.Nsats = N
225     h_l = 100
226     h_u = 200
227     self.Inc = np.random.random(N)*2*np.pi
228     self.LAN = np.random.random(N)*2*np.pi
229     self.AgP = np.random.random(N)*2*np.pi
230     self.MnA = np.random.random(N)*2*np.pi-self.AgP #mean anomaly is
zero at periapsis
231     self.Ecc = np.zeros(N)
232     R_i = self.earthR+h_l*1e3 #minimum of ... km height
233     R_o = self.earthR+h_u*1e3 #maximum of ... km height: #LEO: 0<a<2000
234     self.SMA = (np.random.random(N)*(R_o-R_i)+R_i)
235     self.MnM = np.sqrt(self.mu/self.SMA**3)
236     self.S = np.array([10.0]*N)
237     self.M = np.array([200.0]*N)
238
239     def fc(self):
240         """OMM of all satellites (active and debris) in LEO (yet to be
implemented)"""
241         pass
242
243
244     """ Main Kessler class
245     class Kessler(datasets):
246         """Main Kessler class. Imports data from the datasets clas, contains an
implementation
247         of NASA's SBM and key methods (coordinate transformation from orbital
plane to reference
248         plane, angular momentum-, eccentricity vector, the Verlet algorithm and
more)."""
249
250         def __init__(self, Lcmin=None, alfa=None, Nbins=None):
251             """Some NASA SBM parameters: Lcmin is the minimum characteristic
length,
252             alfa is the maximum scattering angle, 'nu' contains the to be
sampled
253             values of the log of delta velocities (nu = log(dV)) and minNF is
lower
254             bound for the number of fragments generated in a collision.
255             """
256             """ NASA SBM parameters
257             if Lcmin == None:
258                 self.Lcmin = 0.05 #m
259             else:
260                 self.Lcmin = Lcmin
261             if alfa == None:
262                 self.alfa = 3 #degrees
263             else:
264                 self.alfa = alfa
265             if Nbins==None: #resolution of Lc and nu arrays
266                 self.Nbins = 50
267             else:
268                 self.Nbins = int(Nbins)
269             self.nu = np.linspace(0,2.5,self.Nbins) #10^0 = 1 to 10^(2.7) = 500
m/s

```

```

270 self.alfa = 3 #maximum scattering and breakup angle
271 self.minNF = 4 #minimum number of fragments
272 #total number of collisions
273 self.Ncols = np.array([0],dtype=np.int32)
274 #arrays keeping track of number of collisions per type
275 self.Ncc,self.Nncc,self.Nsc = np.array([],dtype=np.int32),\
276     np.array([],dtype=np.int32),np.array([],dtype=np.int32)
277 self.Ncct,self.Nncct,self.Nsct =np.array([],dtype=np.int32),\
278     np.array([],dtype=np.int32),np.array([],dtype=np.int32)
279 #arrays keeping track of number of escaped or decayed fragments
280 self.Npe,self.Npccb = np.array([0],dtype=np.int32),\
281     np.array([0],dtype=np.int32)
282
283 def load_data(self,dtype,max_t,args=None):
284     datasets.__init__(self,dtype,args=args)
285     self.max_t = max_t
286
287 #####
288 ##### NASA BREAKUP MODEL #####
289 #####
290 def collision(self,other,tcol,dt,alg=None):
291     """Root collision function. Determines what kind of collision
292     should take place
293     (elastic/scatter, breakup) and calls the appropriate collision
294     function. t_col
295     is an np array of shape (n,) or list containing the time of all the
296     n collisions
297     (in case of kepler algorithm tcol = [0]).
298     alfa is the maximum scattering angle in degrees.
299     """
300     #initialise fragment arrays
301     other.R = np.zeros((0,3))
302     other.V = np.zeros((0,3))
303     other.M = np.zeros(0)
304     other.S = np.zeros(0)
305     #determine which particles scatter and which breakup
306     if alg == 'kep':
307         pi = np.array([self.si[0]])
308         pj = np.array([self.sj[0]])
309     else:
310         pi = self.si
311         pj = self.sj
312     rad_i = self.S[pi]
313     rad_j = self.S[pj]
314     a1 = rad_i<=self.Lcmin#below Lcmin only scattering will take place
315     a2 = rad_j<=self.Lcmin
316     a = a1|a2
317     scat_idx = np.arange(len(pi))[a]
318     break_idx = np.arange(len(pj))[np.logical_not(a)]
319
320     U = self.V[pi[break_idx]] - self.V[pj[break_idx]]
321     M_i = self.M[pi[break_idx]]
322     M_j = self.M[pj[break_idx]]
323     Mp_idx = (M_i<M_j).astype(int)
324     Mp = np.array([M_j,M_i])[Mp_idx,np.arange(len(break_idx))]

```

```

322 U_normsq = np.sum(U**2,axis=1)
323 limit = (self.minNF/(0.1*self.Lcmin**(-1.71)))**(4/3)
324 b1 = Mp*U_normsq/1e6<=limit
325 Mtot = M_i+M_j
326 b2 = Mtot<=limit
327 b = b1&b2
328 scat_idx = np.append(scat_idx,break_idx[b])
329 break_idx = break_idx[np.logical_not(b)]
330 pi_br = pi[break_idx]
331 pj_br = pj[break_idx]
332 pi_sc = pi[scat_idx]
333 pj_sc = pj[scat_idx]
334
335 tcol_br = tcol[break_idx]
336 tcol_sc = tcol[scat_idx]
337
338 ncc,cc = self.colkind(pi_br,pj_br,tcol_br)
339 U = self.V[ncc[0]] - self.V[ncc[1]]
340 U_normsq = np.sum(U**2,axis=1)
341 M_i_ncc = self.M[ncc[0]]
342 M_j_ncc = self.M[ncc[1]]
343 M_i_cc = self.M[cc[0]]
344 M_j_cc = self.M[cc[1]]
345 Mp_idx = (M_i_ncc<M_j_ncc).astype(int)
346 Mp = np.array([M_j_ncc,M_i_ncc])[Mp_idx,np.arange(len(ncc[0]))]
347 c1 = Mp*U_normsq/1e6<=limit
348 c2 = M_i_cc+M_j_cc<=limit
349 idxi = np.append(ncc[0][c1], cc[0][c2])
350 idxj = np.append(ncc[1][c1], cc[1][c2])
351 c1 = np.logical_not(c1)
352 c2 = np.logical_not(c2)
353 pi_br_ncc = ncc[0][c1]
354 pj_br_ncc = ncc[1][c1]
355 N_ncc = ncc[2][c1]
356 ncc = (pi_br_ncc,pj_br_ncc,N_ncc,ncc[3])
357 pi_br_cc = cc[0][c2]
358 pj_br_cc = cc[1][c2]
359 N_cc = cc[2][c2]
360 cc = (pi_br_cc,pj_br_cc,N_cc,cc[3])
361
362 sorter = np.argsort(pi)
363 tcoldiff = tcol[sorter[np.searchsorted(pi, idxi, sorter=sorter)]]
364 tcol_br = np.delete(tcol_br,np.where(tcol_br==tcoldiff)[0])
365 tcol_sc = np.append(tcol_sc,tcoldiff)
366
367 pi_sc = np.append(pi_sc,idxi)
368 pj_sc = np.append(pj_sc,idxj)
369 p_sc = (pi_sc,pj_sc)
370
371 N_sc = len(pi_sc)
372 N_ncc = len(pi_br_ncc)
373 N_cc = len(pi_br_cc)
374 self.N_l = [N_sc,N_ncc,N_cc]
375
376 if len(pi_br)>0:

```

```

377         self.breakup(other, tcol_br, ncc, cc, dt)
378     if len(pi_sc)>0:
379         self.scatter(other, tcol_sc, p_sc, dt)
380
381     self.delarr = np.concatenate(cc[:2]+ncc[:2]+p_sc,axis=0)
382
383     def colkind(self, pi, pj, tcol):
384         """Determines what kind of collision occurs between two satellites.
385         Returns indices of (non-)catastrophic collisions and gives the
total
386         number of fragments.
387         """
388         proj_i = np.where(self.M[pi]<=self.M[pj])[0] #i is projectile if
its mass is less massive
389         proj_j = np.where(self.M[pj]<self.M[pi])[0] #idem
390         #relative kinetic energy of projectile divided by mass of larger
sat
391         #[J/g]
392         U = self.V[pi] - self.V[pj]
393         U_normsq = np.sum(U**2,axis=1)
394         Er_i = (1/2)*self.M[pi][proj_i]*U_normsq[proj_i]/(1e3*self.M[pj][
proj_i])
395         Er_j = (1/2)*self.M[pj][proj_j]*U_normsq[proj_j]/(1e3*self.M[pi][
proj_j])
396         #determine indices of catastrophic collisions (Er>40J/g)
397         cat_i = proj_i[np.where(Er_i>40)]
398         ncat_i = proj_i[np.where(Er_i<=40)]
399         cat_j = proj_j[np.where(Er_j>40)]
400         ncat_j = proj_j[np.where(Er_j<=40)]
401         cat = np.append(cat_i, cat_j)
402         pi_c = pi[cat]
403         pj_c = pj[cat]
404         M_ncat = np.append(self.M[pi][ncat_i]*(U_normsq[ncat_i]/1e6),
self.M[pj][ncat_j]*(U_normsq[ncat_j]/1e6))
405         ncat = np.append(ncat_i, ncat_j)
406         pi = pi[ncat]
407         pj = pj[ncat]
408         # M_cat = (self.M[pi_c]+self.M[pj_c])[:,None]
409         M_cat = (self.M[pi_c]+self.M[pj_c])
410         N_ncat = 0.1*M_ncat**(0.75)*self.Lcmin**(-1.71)/2 #other half is
added later
411         N_cat = 0.1*M_cat**(0.75)*self.Lcmin**(-1.71)/2 #idem
412         ncc = (pi, pj, N_ncat.astype(int), tcol[ncat])
413         cc = (pi_c, pj_c, N_cat.astype(int), tcol[cat])
414         return ncc, cc
415
416
417     def breakup(self, other, tcol, ncc, cc, dt):
418         """calls the appropriate version of the 'Kessler.fragment' method
"""
419         #assign masses, sizes and velocities to fragments
420         if len(ncc[2])>0:
421             self.fragment(other, ncc[0], ncc[1], ncc[2], tcol, 'ncat', dt)
422         if len(cc[2])>0:
423             self.fragment(other, cc[0], cc[1], cc[2], tcol, 'cat', dt)
424

```

```

425     def fragment(self, other, pi, pj, N, tcol, kind, dt):
426         """calculates size, mass position and velocity of fragments and
         appends
427         these to the fragment arrays. Distinguishes between catastrophic (
         cc) and
428         non-catastrophic collisions (ncc). In the case of cc, both
         satellites are
429         fragmented entirely. As for ncc, the fragmented mass is calculated
         as the
430         product of the mass of the lighter projectile and the square of the
         relative velocity (km/s). The remaining mass is deposited into to
431         two
         additional parent fragments (a small and big one reminiscent of the
         projectile
432         and target), both of which are given a velocity sampled from the
         same delta V
433         distributions as the fragments (using their AM-ratios). Both mass
         and
434         kinetic energy (kE) conservation are ensured using a simple scaling
         , where
435         the velocities are scaled only if the kE_final is greater than
         kE_initial
436         and left as they are otherwise.
437         """
438         Ncols=len(pi)
439         for i in range(Ncols):
440             Nfrags_tot = N[i] #total number of frags
441             min_r = self.Lcmin
442             max_r = min([self.S[pi[i]],self.S[pj[i]]])
443             if max_r>1.0:
444                 max_r = 1.0
445             unif = np.random.uniform(0,1,size=Nfrags_tot)
446             a = -1.71
447             n = self.Nbins
448             Lc = ((min_r**a-max_r**a)*unif+max_r**a)**(1/a)
449             Nfrags,Lc = np.histogram(Lc,bins=n)
450             chi = np.linspace(-2.5,0.3,n)
451             D = self.D_AM(Lc[:-1],chi)
452             AMarr = np.zeros((n,np.max(Nfrags)))
453             for j in range(n):
454                 samples = np.random.random((Nfrags[j],n))
455                 D_tiled = np.tile(D[j],(Nfrags[j],1))
456                 chi_idx = self.find_nearest(D_tiled,samples)
457                 AMarr[j,:Nfrags[j]] = 10**(chi[chi_idx])
458             #obtain mass of each fragment
459             Lcarr = np.transpose(np.tile(Lc[:-1],(np.max(Nfrags),1)))
460             A = 0.556945*Lcarr**2
461             zero_id = np.where(np.ndarray.flatten(AMarr)==0)
462             AMarr[np.where(AMarr==0)]=1 #to prevent division by zero
463             Marr = np.ndarray.flatten(A/AMarr)
464             Marr = np.delete(Marr,zero_id)
465             Lcarr = np.delete(np.ndarray.flatten(Lcarr),zero_id)
466             AMarr = np.delete(np.ndarray.flatten(AMarr),zero_id)
467             #assure mass conservation adding parent sats
468             if kind == 'ncat':
469

```

```

470         Ml = np.array([self.M[pi[i]],self.M[pj[i]])
471         Sl = np.array([self.S[pi[i]],self.S[pj[i]])
472         pidx = np.where(Ml==min(Ml))[0]
473         tidx = np.where(Ml==max(Ml))[0]
474         if np.all(pidx==tidx):
475             pidx = pidx[0]
476             tidx = tidx[1]
477         Mp = Ml[pidx]
478         Mt = Ml[tidx]
479         Sp = Sl[pidx]
480         St = Sl[tidx]
481         Ui = np.array(self.V[pj[i]]-self.V[pi[i]])
482         consM = Mp*np.sum(Ui**2,axis=0)/1e6
483         if consM<Mp:
484             dmt = dmp = (1/2)*consM
485         else:
486             dm = (consM-Mp)/Mp
487             dmp = (dm%1)*Mp
488             dmt = dm*Mp-dmp
489         Mt_n = Mt-dmt
490         Mp_n = Mp-dmp
491         Marr_par = np.append(Mt_n,Mp_n) #parent array
492         Lc_t = St*(1-dmt/Mt)**(1/3)
493         Lc_p = Sp*(1-dmp/Mp)**(1/3)
494         Lcarr_par = np.append(Lc_t,Lc_p)
495         AMt = 0.556945*St**2/Mt_n
496         AMp = 0.556945*Sp**2/Mp_n
497         AMarr_par = np.append(AMt,AMp)
498         print('\ncc between {0} and {1}'.format(pi[i],pj[i]))
499         print('Mtot = {0}, Mfrag {1}'.format(Mp+Mt, consM))
500     elif kind=='cat':
501         fragM = np.sum(Marr)
502         consM = self.M[pi[i]]+self.M[pj[i]]
503         #check mass conservation, remove/add particles if necessary
504         effconsM = 0.5*consM # we double everything later
505         Mdiff = fragM/effconsM
506         print('\ncc between {0} and {1}'.format(pi[i],pj[i]))
507         print('Mfrag {0}'.format(consM))
508         Marr = Marr/Mdiff
509     else:
510         raise ValueError('invalid collision kind in assignAM. kind
is'+
511                             '"cat" or "ncat"')
512     other.S = np.append(other.S,Lcarr)
513     other.S = np.append(other.S,Lcarr)
514     other.M = np.append(other.M,Marr)
515     other.M = np.append(other.M,Marr)
516     AMarr = 0.556945*Lcarr**2/Marr
517     other.AM = AMarr
518     #assign velocities (magnitude and direction)
519     dV = self.DeltaV(AMarr)
520     Vcom = (self.M[pi[i]]*self.V[pi[i]]+self.M[pj[i]]*self.V[pj[i]
]])/\
521         (self.M[pi[i]]+self.M[pj[i]])
522     U_i = self.V[pi[i]]-Vcom

```

```

523     U_i_norm = np.sqrt(np.sum(U_i**2))
524     U_j = self.V[pj[i]]-Vcom
525     U_j_norm = np.sqrt(np.sum(U_j**2))
526     #calculate internal kinetic energy
527     U = self.V[pj[i]]-self.V[pi[i]]
528     U_norm = np.linalg.norm(U)
529     other.u = U_norm
530     U_sq = U_norm**2
531     Mtot = self.M[pi[i]]+self.M[pj[i]]
532     E_1_int = (1/2)*self.M[pi[i]]*self.M[pj[i]]*U_sq/Mtot
533     i_auvec = self.avec(np.tile(U, (len(dV), 1))/U_i_norm)
534     #n = np.random.randint(2, size=len(dV))
535     U_i_new = np.transpose(np.tile(-dV+U_i_norm, (3, 1)))*i_auvec
536     U_j_new = -np.copy(U_i_new)
537     if kind == 'ncat':
538         dV_par = self.DeltaV(AMarr_par)
539         other.dV = np.append(other.dV, dV_par)
540         i_auvec = self.avec(np.array([U_i/U_i_norm]))
541         j_auvec = self.avec(np.array([U_j/U_j_norm]))
542         U_par_new_i = (dV_par[0]+U_i_norm)*i_auvec
543         U_par_new_j = (dV_par[1]+U_j_norm)*j_auvec
544         Ei_2_tot = np.sum(Marr*(1/2)*
545                             np.sum((U_i_new+np.tile(Vcom, (Nfrags_tot
, 1)))**2, axis=1))
546         Ej_2_tot = np.sum(Marr*(1/2)*
547                             np.sum((U_j_new+np.tile(Vcom, (Nfrags_tot
, 1)))**2, axis=1))
548         Epar_2_tot = (1/2)*(Marr_par[0]*np.sum((U_par_new_i+Vcom)
**2)
549                                     +Marr_par[1]*np.sum((U_par_new_j+Vcom)
**2))
550         E_2_tot = Ei_2_tot + Ej_2_tot + Epar_2_tot #- (1/2)*Mtot*np
.sum(Vcom**2)
551         E_1_tot = (1/2)*self.M[pi[i]]*np.sum(self.V[pi[i]]**2)+\
552             (1/2)*self.M[pj[i]]*np.sum(self.V[pj[i]]**2)
553         E_frac = E_2_tot/E_1_tot
554         #assign parent velocity, mass and size
555         U_i_new = np.append(U_i_new, U_par_new_i, axis=0)
556         U_j_new = np.append(U_j_new, U_par_new_j, axis=0)
557         other.M = np.append(other.M, Marr_par, axis=0)
558         other.S = np.append(other.S, Lcarr_par, axis=0)
559         Nfrags_tot += 1 #add parent count
560         print('fraction of conserved kinetic energy in ncc = ',
E_frac, end='\n')
561     elif kind == 'cat':
562         Ei_2_tot = (1/2)*np.sum(Marr*np.sum((U_i_new+np.tile(Vcom, (
len(Marr), 1)))**2, axis=1))
563         Ej_2_tot = (1/2)*np.sum(Marr*np.sum((U_j_new+np.tile(Vcom, (
len(Marr), 1)))**2, axis=1))
564         E_2_tot = Ei_2_tot + Ej_2_tot
565         E_1_tot = E_1_int + Mtot*np.sum(Vcom**2)/2
566         E_frac = E_2_tot/E_1_tot
567         print('fraction of conserved kinetic energy in cc = {0:e}'
568             .format(E_frac), '\n')
569     if E_frac<=1.0:

```



```

570         E_scaling = 1
571     else:
572         E_scaling = E_frac
573         #append fragment data
574         U_i_norm = np.sqrt(np.sum(U_i_new**2,axis=1))
575         Vfrags_i = (U_i_new + np.tile(Vcom, (Nfrags_tot,1)))/np.sqrt (
E_scaling)
576         Vfrags_j = (U_j_new + np.tile(Vcom, (Nfrags_tot,1)))/np.sqrt (
E_scaling)
577         Vfrags = np.append(Vfrags_i,Vfrags_j,axis=0)
578         Vp_i = np.tile(self.V[pi[i]], (Nfrags_tot,1)) #'p' = parent
satellite
579         Vp_j = np.tile(self.V[pj[i]], (Nfrags_tot,1))
580         Rp_i = np.tile(self.R[pi[i]], (Nfrags_tot,1))
581         Rp_j = np.tile(self.R[pj[i]], (Nfrags_tot,1))
582         Vp = np.append(Vp_i,Vp_j,axis=0)
583         Rp = np.append(Rp_i,Rp_j,axis=0)
584         phi = 2*np.pi*np.random.random(2*Nfrags_tot)
585         theta = np.arccos(1-2*np.random.random(2*Nfrags_tot))
586         offset = (self.S[pi[i]]+self.S[pj[i]])/2 #average of the sats'
radii
587         rand_vec = offset*self.spher_uvec(theta,phi)
588         #in case of discrete alg: propagate particles to collision
point and add random starting position
589         Rcp = Rp+Vp*tcoll[i]+(1/2)*self.grav(Rp)*tcoll[i]**2+rand_vec
590         Vcp = Vfrags
591         #in case of discrete alg: propagate to beginning of next
timestep
592         time_iv = dt-tcoll[i]
593         Rdt,Vdt = self.Verlet(time_iv,R=Rcp,V=Vcp)
594         other.R = np.append(other.R,Rdt,axis=0)
595         other.V = np.append(other.V,Vdt,axis=0)
596
597
598     def scatter(self,other,tcoll,p_index,dt):
599         """Models an elastic collision. Appends the parameters of particles
involved
600         in the collision to the corresponding (position, velocity, radius,
mass)
601         fragment arrays. Typically used on relatively small (~Lcmin) and
light
602         colliding particles.
603         """
604         pi = p_index[0].astype(int)
605         pj = p_index[1].astype(int)
606         n = len(pi)
607         print('scattering occurs between {0} and {1}'.format(pi,pj),end='\n
')
608         #transforming to center of mass frame
609         M_i = np.transpose(np.tile(self.M[pi], (3,1)))
610         M_j = np.transpose(np.tile(self.M[pj], (3,1)))
611         Vcom = (M_i*self.V[pi]+M_j*self.V[pj])/(M_i+M_j)
612         U_i = self.V[pi]-Vcom
613         U_j = self.V[pj]-Vcom
614         U_i_norm = np.transpose(np.tile(np.sqrt(np.sum(U_i**2,axis=1))

```

```

, (3,1))
615     i_auvec = self.avec(U_i/U_i_norm)
616     #determine scattered particle vector
617     U_i_new = U_i_norm*i_auvec
618     U_j_new = U_j+(M_i/M_j)*(U_i - U_i_new) #momentum conservation
619     #calculate new params
620     Vfrags_i = U_i_new + Vcom
621     Vfrags_j = U_j_new + Vcom
622     Vfrags = np.append(Vfrags_i,Vfrags_j,axis=0)
623     #other.V = np.append(other.V,Vfrags,axis=0)
624     tcol = np.tile(tcol, (2)).T.reshape(2*n,1)
625     Vp = np.append(self.V[pi],self.V[pj],axis=0) #'p' for parent
satellite
626     Rp = np.append(self.R[pi],self.R[pj],axis=0)
627     tstep = dt-tcol
628     Rcp = Rp + Vp*tcol + self.grav(Rp)*tcol**2 #to collision point
629     Rdt = Rcp + Vfrags*tstep + self.grav(Rcp)*tstep**2 #to next
timestep
630     other.R = np.append(other.R, Rdt,axis=0)
631     Vdt = Vfrags + (self.grav(Rcp)+self.grav(Rdt))*tstep/2
632     other.V = np.append(other.V, Vdt,axis=0)
633     other.M = np.append(other.M,np.append(self.M[pi],self.M[pj]))
634     other.S = np.append(other.S,np.append(self.S[pi],self.S[pj]))
635
636     ##### supporting functions #####
637     def D_AM(self,Lc,chi):
638         """AM distribution for fragments with the characteristic length as
639         independent variable. It must be noted that this distribution is
only
640         valid for Lc-values from 0.01m to 0.08m and from 0.11 to 1m. Hence
641         a linear bridging function remains to be implemented for the gap
642         0.08-0.11m. """
643         lc = np.log10(Lc)
644         n = len(lc)
645         d = len(chi)
646         lc0 = lc[lc<=-0.959] #<11cm
647         n0 = len(lc0)
648         lc1 = lc[lc>=-0.959] #>11cm
649         n1 = len(lc1)
650
651         #mu0
652         mu0 = np.zeros(n0)
653         mu0[np.where(lc0<=-1.75)] = -0.3
654         mu0[np.where(lc0>-1.25)] = -1.0
655         mask1 = np.zeros(n0,np.bool)
656         mask2 = np.ones(n0,np.bool)
657         mask1[np.where(lc0<-1.0)] = 1
658         mask2[np.where(lc0>-1.75)] = 1
659         mask= mask1==mask2
660         mu0[mask] = -0.3-1.4*(lc0[mask]+1.75)
661
662         #sig0
663         sig0 = np.zeros(n0)
664         sig0[np.where(lc0<=-3.5)] = 0.2
665         sig0[np.where(lc0>=-3.5)] = 0.2+0.1333*(lc0[lc0>-3.5]+3.5)

```

```

666
667     #alfa
668     alfa = np.zeros(n1)
669     alfa[np.where(lc1<=-0.95)] = 0
670     alfa[np.where(lc1>=0.55)] = 1
671     mask1 = np.zeros(n1,np.bool)
672     mask2 = np.ones(n1,np.bool)
673     mask1[np.where(lc1<0.55)] = 1
674     mask2[np.where(lc1>-0.95)] = 1
675     mask = mask1==mask2
676     alfa[mask] = 0.3+0.4*(lc1[mask]+1.2)
677
678     #mu1
679     mu1 = np.zeros(n1)
680     mu1[np.where(lc1<=-1.1)] = -0.6
681     mu1[np.where(lc1>=0)] = -0.95
682     mask1 = np.zeros(n1,np.bool)
683     mask2 = np.ones(n1,np.bool)
684     mask1[np.where(lc1<0)] = 1
685     mask2[np.where(lc1>-1.1)] = 1
686     mask=mask1==mask2
687     mu1[mask] = -0.6-0.318*(lc1[mask]+1.1)
688
689     #sig1
690     sig1 = np.zeros(n1)
691     sig1[np.where(lc1<=-1.3)] = 0.1
692     sig1[np.where(lc1>=-0.3)] = 0.3
693     mask1 = np.zeros(n1,np.bool)
694     mask2 = np.ones(n1,np.bool)
695     mask1[np.where(lc1<-0.3)] = 1
696     mask2[np.where(lc1>-1.3)] = 1
697     mask=mask1==mask2
698     sig1[mask] = 0.1+0.2*(lc1[mask]+1.3)
699
700     #mu2
701     mu2 = np.zeros(n1)
702     mu2[np.where(lc1<=-0.7)] = -1.2
703     mu2[np.where(lc1>=-0.1)] = -2.0
704     mask1 = np.zeros(n1,np.bool)
705     mask2 = np.ones(n1,np.bool)
706     mask1[np.where(lc1<-0.1)] = 1
707     mask2[np.where(lc1>-0.7)] = 1
708     mask=mask1==mask2
709     mu2[mask] = -1.2-1.333*(lc1[mask]+0.7)
710
711     #sig2
712     sig2 = np.zeros(n1)
713     sig2[np.where(lc1<=-0.5)] = 0.5
714     sig2[np.where(lc1>=-0.3)] = 0.3
715     mask1 = np.zeros(n1,np.bool)
716     mask2 = np.ones(n1,np.bool)
717     mask1[np.where(lc1<-0.3)] = 1
718     mask2[np.where(lc1>-0.5)] = 1
719     mask=mask1==mask2
720     sig2[mask] = 0.5-0.2*(lc1[mask]+0.5)

```

```

721
722     #distribution
723     D = np.zeros((n,d))
724     for i in range(n):
725         if i<n0:
726             D[i,:] = self.normalcum(mu0[i],sig0[i],chi)
727         else:
728             k = i-n0
729             D[k,:]=alfa[k]*self.normalcum(mu1[k],sig1[k],chi)+\
730                 (1-alfa[k])*self.normalcum(mu2[k],sig2[k],chi)
731     return D
732
733     def DeltaV(self,AM):
734         """Delta V distribution for fragments with the log(AM) as the
independent
735         variable."""
736         n = len(AM)
737         nu = self.nu
738         d = len(nu)
739         nu_t = np.tile(nu, (n,1))
740         mu = 0.9*np.log(AM)+2.9
741         mu = np.transpose(np.tile(mu, (d,1)))
742         sig = 0.4
743         D = self.normalcum(mu, sig, nu_t)
744         samples = np.random.random((n,d))
745         idx = self.find_nearest(D, samples)
746         dV = 10**nu[idx]
747         return dV
748
749     def normalcum(self,mu,sig,x):
750         """Normal cumulative distribution function"""
751         #N = (1/(sig*(2*np.pi)**0.5))*np.exp(-(1/2)*((x-mu)/sig)**2)
752         Ncum = (1/2)*(1+special.erf((x-mu)/(sig*np.sqrt(2))))
753         return Ncum
754
755     def find_nearest(self,array, value):
756         """finds the values that are closest together in two arrays
757         of the same shape (along their second dimension). Used to
758         sample AM and Delta-V distributions"""
759         idx = np.argmin(np.abs(array - value),axis=1)
760         return idx
761
762     def spher_co(self,V):
763         """gives the polar and azimuthal angles of a (unit) vector"""
764         r = np.sqrt(np.sum(V**2,axis=1))
765         phi = np.zeros(len(V[:,0]))
766         xg0 = np.where(V[:,0]>0)
767         xs0 = np.where(V[:,0]<0)
768         xeq0 = np.where(V[:,0]==0)
769         phi[xg0] = np.arctan(V[xg0,1]/V[xg0,0])
770         phi[xs0] = np.arctan(V[xs0,1]/V[xs0,0])+np.pi
771         phi[xeq0] = np.arctan(np.inf)
772         theta = np.arccos(V[:,2]/r)
773         spherical_params = np.transpose(np.array([theta,phi])) # sats x (r,
theta,phi)

```

```

774     return spherical_params
775
776     def ivec(self,v):
777         """adjusts a unit vector's direction randomly over a spherical cap
with a
778         maximum (scattering) angle given by alfa"""
779         #convert velocity i particle to spherical coordinates
780         i_spher = self.spher_co(v)
781         #introduce small adjustment to polar and azimuthal angles to model
scattering
782         rho = np.sqrt(np.random.random(len(i_spher[:,0]))*np.sin(self.alfa
*2*np.pi/360)**2)
783         nu = np.random.random(len(i_spher[:,0]))*2*np.pi
784         n = np.random.randint(2,size=len(i_spher[:,0]))
785         Dphi = (-1)**(1-n)*np.arcsin(rho*np.cos(nu)) #'randomly' chooses
sign for phi
786         Dtheta = np.arcsin(np.tan(nu)*np.sin(Dphi))
787         i_auvec = self.spher_uvec(i_spher[:,0]+Dtheta,i_spher[:,1]+Dphi)
788         return i_auvec
789
790     def spher_uvec(self,theta,phi):
791         """calculates a cartesian unit vector from the polar and azimuthal
angle"""
792         spherical_vec = np.transpose(np.array([np.sin(theta)*np.cos(phi),
793                                               np.sin(theta)*np.sin(phi),
794                                               np.cos(theta)]))
795         return spherical_vec
796
797         #####
798         ##### key methods #####
799         #####
800
801     @staticmethod
802     def cotrans(Omega,omega,I):
803         """orbital to reference plane coordinate transformation matrix.
Produces
804         stacked matrices if the arguments are arrays of values."""
805         cO,co,cI = np.cos(Omega),np.cos(omega),np.cos(I)
806         sO,so,sI = np.sin(Omega),np.sin(omega),np.sin(I)
807         if type(Omega) == np.ndarray:
808             n = len(Omega)
809             P = np.zeros((n,3,3))
810             P[:,0,0],P[:,0,1],P[:,0,2] = cO*co-sO*so*cI,-cO*so-sO*co*cI,sO*
sI
811             P[:,1,0],P[:,1,1],P[:,1,2] = sO*co+cO*so*cI,-sO*so+cO*co*cI,-cO
*sI
812             P[:,2,0],P[:,2,1],P[:,2,2] = so*sI,co*sI,cI
813         else:
814             P = np.zeros((3,3))
815             P[0,0],P[0,1],P[0,2] = cO*co-sO*so*cI,-cO*so-sO*co*cI,sO*sI
816             P[1,0],P[1,1],P[1,2] = sO*co+cO*so*cI,-sO*so+cO*co*cI,-cO*sI
817             P[2,0],P[2,1],P[2,2] = so*sI,co*sI,cI
818         return P
819
820     def L_vec(self, valtype):

```

```

821     """valtype is 'rvm' for cartesian and 'kep' for keplerian."""
822     if valtype=='rvm':
823         return np.cross(self.R,self.M[:,None]*self.V,axis=1)
824     elif valtype=='kep':
825         return (self.SMA**2*np.sqrt(1-self.Ecc**2)*self.M*self.MnM[:,
None]*\
826                 np.transpose(np.array([np.sin(self.LAN)*np.sin(self.Inc),
827                                         -np.cos(self.LAN)*np.sin(self.Inc),
828                                         np.cos(self.Inc)]))
829
830     def RfromE(self,idx=None):
831         """returns the reference position vector from the eccentric anomaly
. """
832         if np.any(idx)==None:
833             E = self.E
834             a = self.SMA
835             e = self.Ecc
836             Omega = self.LAN
837             omega = self.AgP
838             I = self.Inc
839         else:
840             E = self.E[idx]
841             a = self.SMA[idx]
842             e = self.Ecc[idx]
843             Omega = self.LAN[idx]
844             omega = self.AgP[idx]
845             I = self.Inc[idx]
846         try:
847             n = np.shape(E)[0]
848             coM = Kessler.cotrans(Omega,omega,I)
849             r_orb = np.array([a*(np.cos(E)-e),a*np.sqrt(1-e**2)*np.sin(E),
np.zeros(n)].T
850             R = np.matmul(coM,r_orb.reshape(n,3,1)).reshape((n,3))
851         except IndexError:
852             coM = Kessler.cotrans(Omega,omega,I)
853             r_orb = np.array([a*(np.cos(E)-e),a*np.sqrt(1-e**2)*np.sin(E)
,0]).T
854             R = np.matmul(coM,r_orb)
855         return R
856
857     def VfromE(self,idx=None):
858         """returns the reference velocity vector from the eccentric anomaly
. """
859         if np.any(idx)==None:
860             E = self.E
861             a = self.SMA
862             e = self.Ecc
863             mnm = self.MnM
864             Omega = self.LAN
865             omega = self.AgP
866             I = self.Inc
867         else:
868             E = self.E[idx]
869             a = self.SMA[idx]
870             e = self.Ecc[idx]

```

```

871         mnm = self.MnM[idx]
872         Omega = self.LAN[idx]
873         omega = self.AgP[idx]
874         I = self.Inc[idx]
875         try:
876             n = np.shape(E)[0]
877             pf = (mnm/(1-e*np.cos(E))).reshape((n,1))
878             coM = Kessler.cotrans(Omega,omega,I)
879             v_orb = np.array([-a*np.sin(E),a*np.sqrt(1-e**2)*np.cos(E),np.
zeros(n)]).T
880             V = np.matmul(coM,(v_orb*pf).reshape(n,3,1)).reshape((n,3))
881         except IndexError:
882             pf = (mnm/(1-e*np.cos(E)))
883             coM = Kessler.cotrans(Omega,omega,I)
884             v_orb = np.array([-a*np.sin(E),a*np.sqrt(1-e**2)*np.cos(E),0]).
T
885             V = np.matmul(coM,v_orb*pf)
886         return V
887
888     def E_series(self):
889         """returns (an approximation of) the eccentric anomaly from the
mean anomaly
890         and eccentricity."""
891         M = self.MnA
892         e = self.Ecc
893         return M + e*np.sin(M) + (e**2)*(1/2)*np.sin(2*M) +\
894             (e**3)*((3/8)*np.sin(3*M)-(1/8)*np.sin(M)) +\
895             (e**4)*((1/3)*np.sin(4*M)-(1/6)*np.sin(2*M))
896
897     def e_vec(self, valtype):
898         """returns the eccentricity vector. valtype is 'rvm' for cartesian
and
899         'kep' for keplerian."""
900         if valtype=='rvm':
901             L = self.L_vec(valtype)
902             r = np.linalg.norm(self.R,axis=1)
903             return np.cross(self.V,L,axis=1)/(self.mu*self.M[:,None])- self
.R/r[:,None]
904         elif valtype=='kep':
905             return self.Ecc[:,None]*Kessler.cotrans(self.LAN, self.AgP,self
.Inc)[:,:,0]
906
907     def excludeFrag(self):
908         """returns boolean indices specifying which fragments will stay in
orbit,
909         escape or collide with the central mass. Additionally returns the
norm
910         of the angular momentum and the eccentricity (vector). This was
done
911         to prevent unnecessary calls to Kessler.e_vec and Kessler.L_vec
methods."""
912         L = self.L_vec('rvm')
913         L_norm = np.linalg.norm(L,axis=1)
914         l = L_norm**2/(self.mu*self.M**2)
915         Ecc_vec = self.e_vec('rvm')

```

```

916     Ecc = np.linalg.norm(Ecc_vec,axis=1)
917     pncb = 1 > (1+Ecc)*self.earthR #frags' orbit does not cross central
body
918     peo = Ecc < 1 #frag is in an elliptical orbit
919     pma = np.sum(self.R*self.V,axis=1) > 0 #frag is moving away from
central body
920     ps = peo & pncb
921     pe = np.logical_not(peo) & (pncb | (np.logical_not(pncb) & pma))
922     pccb = np.logical_not(pncb) & (peo | (np.logical_not(peo) & np.
logical_not(pma)))
923     L_norm = L_norm[ps]
924     self.Ecc_vec = Ecc_vec[ps]
925     self.Ecc = Ecc[ps]
926     self.L = L[ps]
927     self.R = self.R[ps]
928     self.V = self.V[ps]
929     self.M = self.M[ps]
930     self.S = self.S[ps]
931     Npe = len(np.where(pe==True)[0])
932     Npccb = len(np.where(pccb==True)[0])
933     return Npe, Npccb
934
935     def grav(self, R=None):
936         if isinstance(R, type(None)):
937             R = self.R
938             r = np.sum(R**2,axis=1)
939             accel = -self.mu*R/(r[:,None])** (3/2)
940
941             return accel
942
943     def Verlet(self, dt=0, R=None, V=None):
944         """propagates R and V dt seconds forward. If dt is a single value
945         the entire system is propagated using this value. If dt is a list/
946         array of values with the same size as R and V along their first
947         dimension, then each individual pair R_i and V_i is propagated with
948         dt_i."""
949         if isinstance(R, type(None)):
950             R = self.R
951             V = self.V
952             accel_i = self.grav()
953         else:
954             accel_i = self.grav(R=R)
955         if isinstance(dt, np.ndarray) or isinstance(dt, list):
956             dt = dt[:,None]
957         R = R + V*dt + (1/2)*accel_i*dt**2
958         accel_i_plus1 = self.grav(R)
959         V = V + (1/2)*(accel_i + accel_i_plus1)*dt
960         return R, V
961
962     def physQuant(self):
963         """calculates conserved quantities"""
964         #net angular momentum
965         L_net = np.sum(np.cross(self.R, self.M[:,None]*self.V,axis=1),axis
=0)
966         #total energy: kinetic + grav.pot.

```



```

967     r = np.linalg.norm(self.R,axis=1)
968     v2 = np.sum(self.V**2,axis=1)
969     E_tot = (1/2)*np.sum(self.M*v2) - self.mu*np.sum(self.M/r)
970     return L_net,E_tot
971
972     def updateColCnt(self,Npe,Npccb):
973         """keeps track of what collisions happen at what time"""
974         try:
975             Nsc = self.N_l[0]
976             Nncc = self.N_l[1]
977             Ncc = self.N_l[2]
978             self.N_l = [0,0,0]
979         except AttributeError:
980             Nsc = Nncc = Ncc = 0
981         if Nsc>0:
982             self.Nsc = np.append(self.Nsc,Nsc)
983             self.Nsct = np.append(self.Nsct,self.t)
984         if Nncc>0:
985             self.Nncc = np.append(self.Nncc,Nncc)
986             self.Nncct = np.append(self.Nncct,self.t)
987         if Ncc>0:
988             self.Ncc = np.append(self.Ncc,Ncc)
989             self.Ncct = np.append(self.Ncct,self.t)
990         self.Npe = np.append(self.Npe,Npe)
991         self.Npccb = np.append(self.Npccb,Npccb)
992         self.Ncols = np.append(self.Ncols,Nsc+Nncc+Ncc)
993
994     """%% KEP subclass
995     from math import floor,ceil
996     class kepSCM(Kessler):
997         """subclass of the Kessler class. Contains the method for collision
998         detection
999         based on kepler orbits of the satellites."""
1000         #parameter used to in the method to find the exact collision time of
1001         two sats
1002         batchsize = int(1e4)#np.iinfo(np.int32).max
1003
1004         def __init__(self,dtype=None,args=None,max_t=None,
1005                     Lcmin=None,alfa=None,col=False,newdata=False,
1006                     data=None,inclFragCols=True):
1007             """Either initiliases a satellite class instance (col=False) or a
1008             fragment instance (col=True)."""
1009             Kessler.__init__(self,Lcmin,alfa)
1010             if not col: #initialising sats (col==False) or fragments (True)
1011                 if newdata: #data specifies whether or not data should be
1012                 generated
1013                     if dtype==None: #if sats are initialised, a data type must
1014                     be given
1015                         raise TypeError("specify data type: ['eph','kep','col
1016                         ','sim','ord','rog','sc','ze']")
1017                         self.load_data(dtype,max_t,args)
1018                         if max_t==None:
1019                             raise TypeError("specify maximum simulation time: max_t
1020                             ")
1021             else:

```

```

1016         if data==None: #if data is already generated it should be
passed as an argument
1017             raise TypeError("no data provided")
1018             latr = ['Nsats', 'Inc', 'LAN', 'AgP', 'MnA', 'SMA',
1019                   'Ecc', 'MnM', 'S', 'M', 'max_t']
1020             for atr in latr:
1021                 data_atr = getattr(data,atr)
1022                 setattr(self,atr,data_atr)
1023             self.t = 0 #current time
1024             self.t0 = np.zeros(self.Nsats)#creation time
1025             self.E = self.E_series()
1026             self.L = self.L_vec('kep')
1027             self.R = self.RfromE()
1028             self.V = self.VfromE()
1029             self.Ecc_vec = self.e_vec('kep')
1030             self.Nfrags = 0 #number of fragments present in the system
1031             self.N = self.Nsats
1032             kepSCM.inclFragCols = inclFragCols
1033             self.Nfragstot = np.array([0]).astype(np.int32)
1034
1035     @classmethod
1036     def fromDataSet(cls,data):
1037         sats = cls(Lcmin=data.Lcmin, alfa=data.alfa,max_t=data.max_t,data=
data)
1038         return sats
1039
1040     def colList(self,other=None):
1041         """produces collision list. If other=None, then the collision list
1042         is made for the entire set of satellites contained within the 'self
1043         ,
1044         kepSCM class instance. Otherwise, only collisions between the '
other'
1045         and 'self' instances are checked, i.e. between frags and satellites
1046         """
1047         sat_i = []
1048         sat_j = []
1049         R_i = np.zeros((0,3))
1050         R_j = np.zeros((0,3))
1051         V_i = np.zeros((0,3))
1052         V_j = np.zeros((0,3))
1053         DE_i = np.zeros((0,3))
1054         DE_j = np.zeros((0,3))
1055         tcol_ij = []
1056         if other==None:
1057             satidx = np.arange(self.Nsats)
1058             t0i = t0j = self.t0
1059             Li = Lj = self.L_vec('kep')
1060             Ecc_veci = Ecc_vecj = self.Ecc_vec
1061             inst = self
1062             max_index = self.Nsats-1
1063         else:#other=sats
1064             satidx = np.arange(other.Nsats)
1065             t0i, t0j = self.t0, other.t0
1066             Li, Lj = self.L, other.L
1067             Ecc_veci, Ecc_vecj = self.Ecc_vec, other.Ecc_vec

```

```

1066     inst = other
1067     max_index = self.Nfrags #only loop over generated frags
1068     print('\ncreating collision list')
1069     for i in range(max_index):
1070         e_i = self.Ecc[i]
1071         s_i = self.S[i]
1072         a_i = self.SMA[i]
1073         if other==None:
1074             idx = satidx[i+1:]
1075         else:
1076             idx = satidx
1077         e_j = inst.Ecc[idx]
1078         s_j = inst.S[idx]
1079         a_j = inst.SMA[idx]
1080         idx_aj_ge = a_j>=a_i
1081         idx_aj_s = a_j<a_i
1082         a_j_ge = a_j[idx_aj_ge]
1083         a_j_s = a_j[idx_aj_s]
1084         idx1 = idx[idx_aj_ge]
1085         idx2 = idx[idx_aj_s]
1086         per_i = (1-e_i)*a_i
1087         apo_i = (1+e_i)*a_i
1088         per_j = (1-e_j[idx_aj_ge])*a_j_ge
1089         apo_j = (1+e_j[idx_aj_s])*a_j_s
1090         c_aj_ge = apo_i-per_j+s_i+s_j[idx_aj_ge]>=0
1091         c_aj_s = apo_j-per_i+s_i+s_j[idx_aj_s]>=0
1092         idx = np.append(idx1[c_aj_ge],idx2[c_aj_s])
1093         if len(idx)==0:
1094             continue
1095
1096         #MOID
1097         rest = len(idx)
1098         ei_vec = np.tile(Ecc_veci[i],(rest,1))
1099         ej_vec = Ecc_vecj[idx]
1100         Kvec = np.cross(np.tile(Li[i],(rest,1)),Lj[idx])
1101         K = np.linalg.norm(Kvec,axis=1)
1102         li = np.sum(Li[i]**2)/(self.mu*self.M[i]**2)
1103         ri_1 = Kvec*li/((K+np.sum(Kvec*ei_vec,axis=1))[:,None])
1104         ri_2 = Kvec*li/((-K+np.sum(Kvec*ei_vec,axis=1))[:,None])
1105         vi_1 = self.MOID_vel(Li[i],self.M[i],ei_vec,li,ri_1)
1106         vi_2 = self.MOID_vel(Li[i],self.M[i],ei_vec,li,ri_2)
1107
1108         lj = np.sum(Lj[idx]**2,axis=1)/(inst.mu*inst.M[idx]**2)
1109         rj_1 = Kvec*lj[:,None]/((K+np.sum(Kvec*ej_vec,axis=1))[:,None])
1110         rj_2 = Kvec*lj[:,None]/((-K+np.sum(Kvec*ej_vec,axis=1))[:,None])
1111     ],rj_1)
1112     ],rj_2)
1113
1114     #approximate minimal distance
1115     d1 = rj_1-ri_1
1116     d2 = rj_2-ri_2
1117     w1 = np.cross(vi_1,vj_1)

```

```

1118     w2 = np.cross(vi_2,vj_2)
1119     w1_norm = np.sum(w1**2,axis=1)
1120     w2_norm = np.sum(w2**2,axis=1)
1121     ri_1 = ri_1 + np.sum(d1*np.cross(vj_1,w1)/w1_norm[:,None],axis
=1)[:,None]*vi_1
1122     ri_2 = ri_2 + np.sum(d2*np.cross(vj_2,w2)/w2_norm[:,None],axis
=1)[:,None]*vi_2
1123     rj_1 = rj_1 + np.sum(d1*np.cross(vi_1,w1)/w1_norm[:,None],axis
=1)[:,None]*vj_1
1124     rj_2 = rj_2 + np.sum(d2*np.cross(vi_2,w2)/w2_norm[:,None],axis
=1)[:,None]*vj_2
1125     d1 = np.linalg.norm(rj_1-ri_1,axis=1)
1126     d2 = np.linalg.norm(rj_2-ri_2,axis=1)
1127     s_j = inst.S[idx]
1128     idxidx1 = d1<s_i+s_j
1129     idxidx2 = d2<s_i+s_j
1130     idx1 = idx[idxidx1]
1131     idx2 = idx[idxidx2]
1132     idx = np.append(idx1,idx2)
1133     numcols = len(idx)
1134     if numcols==0:
1135         continue
1136
1137     #organising data
1138     ri_1f = np.ndarray.flatten(ri_1[idxidx1])
1139     ri_2f = np.ndarray.flatten(ri_2[idxidx2])
1140     rj_1f = np.ndarray.flatten(rj_1[idxidx1])
1141     rj_2f = np.ndarray.flatten(rj_2[idxidx2])
1142     vi_1f = np.ndarray.flatten(vi_1[idxidx1])
1143     vi_2f = np.ndarray.flatten(vi_2[idxidx2])
1144     vj_1f = np.ndarray.flatten(vj_1[idxidx1])
1145     vj_2f = np.ndarray.flatten(vj_2[idxidx2])
1146     w1f = np.ndarray.flatten(w1[idxidx1])
1147     w2f = np.ndarray.flatten(w2[idxidx2])
1148     ri = np.append(ri_1f,ri_2f)
1149     rj = np.append(rj_1f,rj_2f)
1150     vi = np.append(vi_1f,vi_2f)
1151     vj = np.append(vj_1f,vj_2f)
1152     w = np.append(w1f,w2f)
1153     ri = ri.reshape(numcols,3)
1154     rj = rj.reshape(numcols,3)
1155     vi = vi.reshape(numcols,3)
1156     vj = vj.reshape(numcols,3)
1157     w = w.reshape(numcols,3)
1158     d = np.append(d1[idxidx1],d2[idxidx2])
1159
1160     #time of first crossing
1161     rest = len(idx)
1162     ei_vec = np.tile(Ecc_veci[i],(rest,1))
1163     ej_vec = Ecc_vecj[idx]
1164     a_j = inst.SMA[idx]
1165     e_j = inst.Ecc[idx]
1166     b_i = a_i*np.sqrt(1-e_i**2)
1167     b_j = a_j*np.sqrt(1-e_j**2)
1168     omega_i = self.MnM[i]

```

```

1169     omega_j = inst.MnM[idx]
1170     ri0 = np.tile(self.RfromE(i), (rest, 1))
1171     rj0 = inst.RfromE(idx)
1172     ri_norm = np.linalg.norm(ri, axis=1)
1173     rj_norm = np.linalg.norm(rj, axis=1)
1174     ri_dot_ri0 = np.sum(ri*ri0, axis=1)
1175     rj_dot_rj0 = np.sum(rj*rj0, axis=1)
1176     ri0_dot_ei = np.sum(ri0*ei_vec, axis=1)
1177     rj0_dot_ej = np.sum(rj0*ej_vec, axis=1)
1178     ri_dot_ei = np.sum(ri*ei_vec, axis=1)
1179     rj_dot_ej = np.sum(rj*ej_vec, axis=1)
1180     ripri0_dot_ei = np.sum((ri+ri0)*ei_vec, axis=1)
1181     rjprj0_dot_ej = np.sum((rj+rj0)*ej_vec, axis=1)
1182     vi_dot_ri0 = np.sum(vi*ri0, axis=1)
1183     vj_dot_rj0 = np.sum(vj*rj0, axis=1)
1184     vi_dot_ei = np.sum(vi*ei_vec, axis=1)
1185     vj_dot_ej = np.sum(vj*ej_vec, axis=1)
1186     x_i = ri_dot_ri0/b_i**2+ripri0_dot_ei/a_i-ri_dot_ei*ri0_dot_ei/
b_i**2+e_i**2
1187     y_i = -(ri_norm/(a_i*omega_i))*(vi_dot_ri0/b_i**2+vi_dot_ei/a_i
-vi_dot_ei*ri0_dot_ei/b_i**2)
1188     x_j = rj_dot_rj0/b_j**2+rjprj0_dot_ej/a_j-rj_dot_ej*rj0_dot_ej/
b_j**2+e_j**2
1189     y_j = -(rj_norm/(a_j*omega_j))*(vj_dot_rj0/b_j**2+vj_dot_ej/a_j
-vj_dot_ej*rj0_dot_ej/b_j**2)
1190     dE_i = kepSCM.arctan2(y_i, x_i)
1191     dE_j = kepSCM.arctan2(y_j, x_j)
1192     L_i = np.tile(Li[i], (rest, 1))
1193     tcross_i = t0i[i] + dE_i/omega_i - np.sum((np.cross(ei_vec, ri-
ri0, axis=1)/\
1194                                                     (1-e_i**2))*L_i/(self.
mu*self.M[i]), axis=1)
1195     tcross_j = t0j[idx] + dE_j/omega_j - np.sum((np.cross(ej_vec, rj
-rj0, axis=1)/\
1196                                                     (1-e_j[:, None]**2))*
Lj[idx]/\
1197                                                     (inst.mu*inst.M[idx,
None]), axis=1)
1198
1199     #deterministic collision time
1200     N = len(idx)
1201     s_j = inst.S[idx]
1202     T_i = 2*np.pi/self.MnM[i]
1203     T_j = 2*np.pi/inst.MnM[idx]
1204     R_i = np.append(R_i, ri, axis=0)
1205     R_j = np.append(R_j, rj, axis=0)
1206     V_i = np.append(V_i, vi, axis=0)
1207     V_j = np.append(V_j, vj, axis=0)
1208     DE_i = np.append(DE_i, dE_i)
1209     DE_j = np.append(DE_j, dE_j)
1210     usq = np.sum((vj-vi)**2, axis=1)
1211     wsq = np.sum(w**2, axis=1)
1212     Dt = np.abs(tcross_i-tcross_j)
1213     delta = np.sqrt(usq*((s_i+s_j)**2-d**2)/wsq)/Dt
1214     for n, j in enumerate(idx):

```

```

1215     delt = delta[n]
1216     if Dt[n]==0:
1217         tcol_ij.append(tcross_i[n])
1218         sat_i.append(i)
1219         sat_j.append(j)
1220         continue
1221     q0,q1 = (T_i/Dt)[n], (T_j/Dt)[n]
1222     k0= 1
1223     k1 = 0
1224     it = 0
1225     while True and it<=5:
1226         print('\rKEP-->tot: {0:.2f}%', '.format((i+1)*100/
max_index) +
1227             'sat i {0}: {1:.2f}%', '.format(i, (n+1)*100/N) +
1228             'sat j {0}: iter = {1}'.format(j,it),end=' ')
1229     a0 = floor(q0/q1)
1230     q2 = q0-a0*q1
1231     if q2==0:
1232         y = 0
1233         x = np.arange(int((1-delt)/q0), floor((1+delt)/q0)
+1) [0]
1234         if x>=0 and x*q0-y*q1 < delt+1:
1235             k = k0*x-k1*y
1236         else:
1237             k = np.inf
1238         break
1239     k2 = k0-a0*k1
1240     a1 = floor(q1/q2)
1241     q3 = q1-a1*q2
1242     if q3==0:
1243         y = 0
1244         x = np.arange(int((1-delt)/q1), floor((1+delt)/q1)
+1) [0]
1245         if x>=0 and x*q1-y*q2 < delt+1:
1246             k = k1*x-k2*y
1247         else:
1248             k = np.inf
1249         break
1250     k3 = k1-a1*k2
1251     ub = ceil((1+delt)*a0/q2)+1
1252     batchn = ub//self.batchsize
1253     residual = ub%self.batchsize
1254     for i1 in range(batchn+1):
1255         if i1<batchn:
1256             y = np.arange(0, self.batchsize, dtype=np.int32)
1257         else:
1258             y = np.arange(0, residual, dtype=np.int32)
1259     x = np.ceil((q1*y+1-delt)/q0)
1260     difs = x*q0-y*q1
1261     sol = difs<delt+1+i1*self.batchsize*(q0-q1)
1262     solbool = np.any(sol)
1263     if solbool:
1264         sol = np.min(np.where(sol==True) [0])
1265         y = y[sol].astype(np.int64)
1266         x = x[sol].astype(np.int64)

```

```

1267         k = (x+i1*self.batchsize)*k0-(y+i1*self.
batchsize)*k1
1268         break
1269     if solbool:
1270         break
1271     q0,q1 = q2,q3
1272     k0 = k2
1273     k1 = k3
1274     it += 1
1275     if it == 5:
1276         k = np.inf
1277         break
1278     tc = tcross_i[n]+k*T_i
1279     tcol_ij.append(tc)
1280     sat_i.append(i)
1281     sat_j.append(j)
1282
1283     #sorting lists
1284     inf_idx = np.where(np.array(tcol_ij)==np.float('+inf'))[0]
1285     self.tcol = np.delete(tcol_ij,inf_idx,axis=0)
1286     self.si = np.delete(sat_i,inf_idx,axis=0).astype(np.int32)
1287     self.sj = np.delete(sat_j,inf_idx,axis=0).astype(np.int32)
1288     self.Ri = np.delete(R_i,inf_idx,axis=0)
1289     self.Rj = np.delete(R_j,inf_idx,axis=0)
1290     self.Vi = np.delete(V_i,inf_idx,axis=0)
1291     self.Vj = np.delete(V_j,inf_idx,axis=0)
1292     self.DEi = np.delete(DE_i,inf_idx,axis=0)
1293     self.DEj = np.delete(DE_j,inf_idx,axis=0)
1294     self.sortList()
1295     print('\nfinished collision list\n')
1296
1297     def sortList(self,merge=False,other0=None,other1=None):
1298         """sorts collision list as well as corresponding colliding sat.
index,
1299         -position and velocity lists. Either sorts one list or mergesorts
1300         two sorted lists"""
1301         if merge==False:
1302             s_idx = self.tcol.argsort()
1303         else:
1304             self.tcol = np.append(other0.tcol,other1.tcol)
1305             self.si = np.append(other0.si,other1.si)
1306             self.sj = np.append(other0.sj,other1.sj)
1307             self.Ri = np.append(other0.Ri,other1.Ri,axis=0)
1308             self.Rj = np.append(other0.Rj,other1.Rj,axis=0)
1309             self.Vi = np.append(other0.Vi,other1.Vi,axis=0)
1310             self.Vj = np.append(other0.Vj,other1.Vj,axis=0)
1311             self.DEi = np.append(other0.DEi,other1.DEi)
1312             self.DEj = np.append(other0.DEj,other1.DEj)
1313             s_idx = self.tcol.argsort(kind='mergesort')
1314
1315         self.tcol = self.tcol[s_idx]
1316         self.si = self.si[s_idx]
1317         self.sj = self.sj[s_idx]
1318         self.Ri = self.Ri[s_idx]
1319         self.Rj = self.Rj[s_idx]

```

```

1320     self.Vi = self.Vi[s_idx]
1321     self.Vj = self.Vj[s_idx]
1322     self.DEi = self.DEi[s_idx]
1323     self.DEj = self.DEj[s_idx]
1324     max_idx = self.tcol < self.max_t
1325     self.tcol = self.tcol[max_idx]
1326     self.si = self.si[max_idx].astype(np.int64)
1327     self.sj = self.sj[max_idx].astype(np.int64)
1328     self.Ri = self.Ri[max_idx]
1329     self.Rj = self.Rj[max_idx]
1330     self.Vi = self.Vi[max_idx]
1331     self.Vj = self.Vj[max_idx]
1332     self.DEi = self.DEi[max_idx]
1333     self.DEj = self.DEj[max_idx]
1334
1335     def updateSats(self):
1336         """propagates system to desired time t"""
1337         self.t = self.tcol[0] #next collision
1338         idxi_i = self.si[0]
1339         idxi_j = self.sj[0]
1340         self.MnA[idxi_i] += self.MnM[idxi_i]*self.tcol[0]
1341         self.MnA[idxi_j] += self.MnM[idxi_j]*self.tcol[0]
1342         self.E[idxi_i] += self.DEi[0]
1343         self.E[idxi_j] += self.DEj[0]
1344         #even though calculating actual orbit positions is more realistic
1345         ...
1346         # self.R[idxi_i] = self.RfromE(idxi_i)
1347         # self.R[idxi_j] = self.RfromE(idxi_j)
1348         #their approximate collision points will satisfy:
1349         self.R[idxi_i] = self.Ri[0]
1350         self.R[idxi_j] = self.Rj[0]
1351         self.V[idxi_i] = self.VfromE(idxi_i)
1352         self.V[idxi_j] = self.VfromE(idxi_j)
1353
1354     @classmethod
1355     def cols(cls, self):
1356         """creates new instance of kepSCM class for the fragments of a
1357         collision,
1358         excludes frags that escape or collide with central mass, calculates
1359         fragments' orbital elements and creates the fragment collision list
1360         ."""
1361         #create fragment instance of kepSCM class
1362         frags = cls(col=True, Lcmin=self.Lcmin, alfa=self.alfa)
1363         frags.max_t = self.max_t
1364         #this algorithm is 'continuous' and only 1 collision occurs at a
1365         time
1366         #so tcol=[0] (still has to be an array or list) and dt=0
1367         dr_i = np.linalg.norm(self.Ri[0]-self.R[self.si[0]])
1368         dr_j = np.linalg.norm(self.Rj[0]-self.R[self.sj[0]])
1369         if dr_i > 20 or dr_j > 20:
1370             raise ValueError('dr_i, dr_j = {0:e}, {1:e}'
1371                             .format(dr_i, dr_j))
1372         #generate fragments (scattered particles are also considered
1373         fragments)
1374         self.collision(frags, np.array([0]), 0, alg='kep')

```



```

1370     #exclude fragments that escape (hyperbolic orbit) and/or collide
with central mass
1371     Npe,Npccb = frags.excludeFragments()
1372     self.updateColCnt(Npe,Npccb)
1373     L_norm = np.linalg.norm(frags.L,axis=1)
1374     Nfrags = len(frags.S)
1375     h_sq = (L_norm/frags.M)**2 #angular momentum per unit mass
1376     frags.SMA = h_sq/(self.mu*(1-frags.Ecc**2))
1377     #b = frags.SMA*np.sqrt(1-frags.Ecc**2) #semi-minor axis
1378     frags.MnM = np.sqrt(frags.mu/frags.SMA**3)
1379     frags.Inc = np.arccos(frags.L[:,2]/L_norm) # acos(l3/|L|)
1380     frags.AgP = np.arcsin(frags.Ecc_vec[:,2]/(frags.Ecc*np.sin(frags.
Inc))) #asin(e3/(e*sin(I)))
1381     frags.LAN = np.arcsin(frags.L[:,0]/(L_norm*np.sin(frags.Inc))) #
asin(l1/(|L|*sin(I)))
1382     frags.E = frags.EfromR()
1383     frags.MnA = frags.E-frags.Ecc*np.sin(frags.E) #Kepler's equation
1384     frags.t0 = np.array([self.t]*Nfrags)#creation time of frags
1385     frags.Nfrags = Nfrags
1386     if self.inclFragCols:
1387         self.Nfrags = Nfrags
1388     else:
1389         self.Nfrags += Nfrags
1390     #delete collided particles from collision lists and other arrays
1391     #and correct particle counts
1392     self.deleteIndices()
1393     #create collision list for fragments
1394     frags.colList(self)
1395     return frags,Npe,Npccb
1396
1397     def deleteIndices(self):
1398         """deletes (indices of) collided satellites from all data arrays
1399         (collision list) and adjusts satellite and fragment count."""
1400         #remove performed collision and any future collision involving
collided sats
1401         didx_i,didx_j = self.si[0],self.sj[0]
1402         didxs_i = np.append(np.where(self.si==didx_i)[0],
1403                             np.where(self.si==didx_j)[0])
1404         didxs_j = np.append(np.where(self.sj==didx_j)[0],
1405                             np.where(self.sj==didx_i)[0])
1406         didxs = np.union1d(didxs_i,didxs_j)
1407         #since the two colliding particles are deleted
1408         #every collision index larger than the collided indices
1409         #should be shifted too (downwards, following the smaller list of
partciles)
1410         pili = self.si>didx_i
1411         pilj = self.si>didx_j
1412         pjli = self.sj>didx_i
1413         pjlj = self.sj>didx_j
1414         self.si[pili] = self.si[pili]-1
1415         self.si[pilj] = self.si[pilj]-1
1416         self.sj[pjli] = self.sj[pjli]-1
1417         self.sj[pjlj] = self.sj[pjlj]-1
1418         latr = ['si','sj','Ri','Rj','Vi','Vj','DEi','DEj','tcol']
1419         for atr in latr:

```

```

1420         atrval = getattr(self, atr)
1421         setattr(self,atr,np.delete(atrval,didxs,axis=0))
1422     #remove collided particles from all arrays
1423     didx = np.append(didx_i,didx_j)
1424     latr = ['L','R','V','Inc','LAN','AgP','MnA','SMA','Ecc','MnM','S','
M',
1425             't0','E','Ecc_vec']
1426     for atr in latr:
1427         atrval = getattr(self, atr)
1428         setattr(self,atr,np.delete(atrval,didx,axis=0))
1429     #correct number of particles
1430     c1 = didx_i < self.Nsats
1431     c2 = didx_j < self.Nsats
1432     #subtract only collided sats from total sat count
1433     self.Nsats = self.Nsats-c1*1-c2*1 #True*number=number & False*
number=0
1434     #idem for frags
1435     self.Nfragstot[-1] = self.Nfragstot[-1]-(not c1)*1-(not c2)*1
1436     if not self.inclFragCols:
1437         self.Nfrags = self.Nfrags-(not c1)*1-(not c2)*1
1438
1439     @classmethod
1440     def mergeFragms(cls, self, other): #self=fragments, other=satellites
1441         """merges satellite and fragment data arrays and collision lists.
1442         returns new merged class object"""
1443         merge = cls(col=True,Lcmin=other.Lcmin,alfa=other.alfa,max_t=other.
max_t)
1444         merge.t = other.t
1445         merge.max_t = other.max_t
1446         #correct colliding indices of frags
1447         if other.inclFragCols:
1448             merge.Nsats = other.Nsats + other.Nfrags #include frag-frag
cols
1449             merge.N = other.Nsats + other.Nfrags
1450             merge.Nfrags = 0 #reset frag count
1451             self.si = (self.si + other.Nsats).astype(np.int32)
1452             merge.Nfragstot = np.append(other.Nfragstot,other.Nfragstot[-1]
+ other.Nfrags)
1453         else:
1454             merge.Nsats = other.Nsats #update sat count
1455             merge.Nfrags = self.Nfrags + other.Nfrags #increase frag count
1456             merge.N = other.Nsats + other.Nfrags + self.Nfrags
1457             self.si = (self.si + other.Nsats + other.Nfrags).astype(np.
int32)
1458             merge.sortList(merge=True,other0=self,other1=other)
1459             latr = ['L','R','V','Inc','LAN','AgP','MnA','SMA','Ecc','MnM','S','
M',
1460                     't0','E','Ecc_vec']
1461             for atr in latr:
1462                 fragms = getattr(self,atr)
1463                 satls = getattr(other,atr)
1464                 atrval = np.append(satls,fragms,axis=0)
1465                 setattr(merge,atr,atrval)
1466             merge.Nsc = other.Nsc
1467             merge.Nncc = other.Nncc

```

```

1469     merge.Ncc = other.Ncc
1470     merge.Nsct = other.Nsct
1471     merge.Nncct = other.Nncct
1472     merge.Ncct = other.Ncct
1473     merge.Npe = other.Npe
1474     merge.Npccb = other.Npccb
1475     merge.Ncols = other.Ncols
1476     return merge
1477
1478     def MOID_vel(self, L, M, E_vec, l, r):
1479         r_norm = np.linalg.norm(r, axis=1)
1480         return np.cross(L / (M * l), E_vec + r / r_norm[:, None])
1481
1482     @staticmethod
1483     def arctan2(y, x):
1484         """wrapper of the numpy.arctan2 method, which returns angles in the
1485         range [0, 2pi)."""
1486         if len(np.shape(y)) == 0:
1487             if y >= 0:
1488                 return np.arctan2(y, x)
1489             else:
1490                 return 2 * np.pi + np.arctan2(y, x)
1491         else:
1492             out = np.arctan2(y, x)
1493             out[y < 0] += 2 * np.pi
1494             return out
1495
1496     def EfromR(self):
1497         """returns the eccentric anomaly of a satellite given its position
1498         vector, eccentricity longitude of ascending node, argument of
1499         periapsis
1500         and inclination."""
1501         coM = Kessler.cotrans(self.LAN, self.AgP, self.Inc)
1502         coM_inv = np.linalg.inv(coM)
1503         n = np.shape(coM_inv)[0]
1504         r_orb = np.matmul(coM_inv, self.R.reshape(n, 3, 1)).reshape((n, 3))
1505         F = kepsCM.arctan2(r_orb[:, 1], r_orb[:, 0]) #true anomaly
1506         #we have:
1507         #cosE = (self.Ecc + np.cos(F)) / (1 + self.Ecc * np.cos(F))
1508         #sinE = (np.sqrt(1 - self.Ecc**2) * np.sin(F)) / (1 + self.Ecc * np.cos(F))
1509         #But we leave out the denominator, as we devide it out in the
1510         arctangent anyway
1511         cosE = self.Ecc + np.cos(F)
1512         sinE = np.sqrt(1 - self.Ecc**2) * np.sin(F)
1513         E = kepsCM.arctan2(sinE, cosE)
1514         return E
1515
1516     %% KDT subclass
1517     from sklearn.neighbors import KDTree
1518     class kdtSCM(Kessler):
1519         """Subclass of the Kessler class. Contains a method for collision
1520         detection
1521         in an arbitrary system of satellites using a k-d tree"""
1522
1523     def __init__(self, dtype=None, args=None, max_t=None, k=None,

```

```

1520         Lcmin=None, alfa=None, dt=None, col=False,
1521         newdata=False, data=None):
1522     Kessler.__init__(self, Lcmin, alfa)
1523     if not col:
1524         if newdata: #data specifies whether or not data should be
generated
1525             if dtype==None: #if sats are initialised, a data type must
be given
1526                 raise TypeError("specify data type: ['eph','kep','col
','sim','ord','rog','sc','ze']")
1527                 self.load_data(dtype,max_t,args)
1528             else:
1529                 if data==None: #if data is already generated it should be
passed as an argument
1530                     raise TypeError("no data provided")
1531                 latr = ['Nsats', 'Inc', 'LAN', 'AgP', 'MnA', 'SMA',
1532                        'Ecc', 'MnM', 'S', 'M', 'max_t', 'Lcmin', 'alfa']
1533                 for atr in latr:
1534                     data_atr = getattr(data,atr)
1535                     setattr(self,atr,data_atr)
1536                 self.t = 0 #current time
1537                 self.E = self.E_series()
1538                 self.R = self.RfromE()
1539                 self.V = self.VfromE()
1540                 del self.E # we have no other use for E in this algorithm
1541                 if dt == None:
1542                     self.dt = 10
1543                 else:
1544                     self.dt = dt
1545                 if k == None:
1546                     self.k = 6
1547                 else:
1548                     self.k = k
1549                 self.tree = KDTree(self.R,leaf_size=30)
1550                 self.Li,self.Ei = self.physQuant()
1551                 self.Li_normsq = np.sum(self.Li**2)
1552
1553     @classmethod
1554     def fromDataSet(cls,data):
1555         sats = cls(Lcmin=data.Lcmin,alfa=data.alfa,data=data)
1556         return sats
1557
1558     @classmethod
1559     def simulate(cls,self,single=False):
1560         """main method of the kdtSCM class. Consists of a discrete
algorithm
1561         with timestep dt. During each iteration the k-d tree is queried for
NNs
1562         usingthe kepSCM.getNNs method. Motion of these NNss is then
linearised
1563         in order to calcute their collision time and check if a collision
occurs
1564         in the current timestep. Only the earliest collisions are performed
in
1565         case any satellite occurs in multiple collisions (or mulitple

```

```

collisions
1566     involve the same satellite). Then, all the colliding sats are
passed to
1567     the Kessler.collision method, after which the entire system of
satellites
1568     is propagated to the next timestep using the Kessler.Verlet method.
1569     Only now the fragments are appended to the existing set of
satellites.
1570     If no satellites collide during any timestep, the system is
propagated
1571     to the next timestep without performing any additional steps. After
propagation, a new k-d tree is constructed using the
1572     sklearn.neighbors.KDTree method.""
1573     while self.t<self.max_t:#cumcol<num_cols:
1574         NNs = self.getNNs()
1575         n = len(NNs[:,0])
1576         k = self.k
1577         index = np.transpose(np.tile(NNs[:,0],(k-1,1)))
1578         S_i = np.transpose(np.tile(self.S[NNs[:,0]],(k-1,1)))
1579         R_i = np.transpose(np.tile(self.R[NNs[:,0]],(k-1,1,1)),(1,0,2))
1580         V_i = np.transpose(np.tile(self.V[NNs[:,0]],(k-1,1,1)),(1,0,2))
1581         S_j = self.S[NNs[:,1]]
1582         R_j = self.R[NNs[:,1]]
1583         V_j = self.V[NNs[:,1]]
1584         for i in range(2,self.k):
1585             S_j = np.append(S_j,self.S[NNs[:,i]],axis=0)
1586             R_j = np.append(R_j,self.R[NNs[:,i]],axis=1)
1587             V_j = np.append(V_j,self.V[NNs[:,i]],axis=1)
1588         S_j = np.reshape(S_j,(n,k-1))
1589         R_j = np.reshape(R_j,(n,k-1,3))
1590         V_j = np.reshape(V_j,(n,k-1,3))
1591         Ssum = (S_i+S_j)**2
1592         D = R_j-R_i
1593         U = V_j-V_i
1594         u_dot_d = np.sum(U*D,axis=2)
1595         u_norm = np.sum(U**2,axis=2)
1596         zidx = u_norm==0
1597         self_idx = np.where(NNs[:,1:k]==index)
1598         u_norm[zidx] = 1
1599         Tcol = -u_dot_d/u_norm
1600         Tcol[zidx] = np.inf
1601         Tcol[self_idx] = np.inf
1602         a1 = Tcol>0
1603         a2 = Tcol<=self.dt
1604         a = a1&a2
1605         if not np.any(a):
1606             self.updateColCnt(0,0)
1607             self.t += self.dt
1608             self.tree = KDTree(self.R,leaf_size=30)
1609             self.R,self.V = self.Verlet(dt=self.dt)
1610             self.printProgress()
1611             if not single: continue
1612             else: break
1613         else:
1614             u_norm = np.sum(U**2,axis=2)
1615

```

```

1616     d_cross_u_norm = np.sum(np.cross(U,D,axis=2)**2,axis=2)
1617     b = d_cross_u_norm < Ssum*u_norm
1618     c = a&b
1619     if not np.any(c):
1620         self.updateColCnt(0,0)
1621         self.t += self.dt
1622         self.R,self.V = self.Verlet(dt=self.dt)
1623         self.tree = KDTree(self.R,leaf_size=30)
1624         self.printProgress()
1625         if not single: continue
1626         else: break
1627     else:
1628         idxi,idxj = np.where(c==True)
1629         tcol = Tcol[idxi,idxj]
1630         pi = NNs[idxi,0]
1631         pj = NNs[idxi,idxj+1]
1632         pi_s,i_inv,counts_i = np.unique(pi,return_inverse=True,
1633                                         return_counts=True)
1634         pj_s,j_inv,counts_j = np.unique(pj,return_inverse=True,
1635                                         return_counts=True)
1636         n,m = len(pi_s),len(pj_s)
1637         Tcolmat = np.zeros((n,m)).astype(np.float32) #saves
memory
1638         Tcolmat[i_inv,j_inv] = Tcol[idxi,idxj]
1639         Tcolmat[np.where(Tcolmat==0)] = np.inf
1640         #ensure earliest collision for any pair of colliding
sats
1641         pi,pj,tcol = np.array([]),np.array([]),np.array([])
1642         while np.any(Tcolmat!=np.inf):
1643             Tcolmin = np.transpose(np.tile(np.min(Tcolmat,axis
=1),(m,1)))
1644             Tcolmat[np.where(Tcolmat>Tcolmin)] = np.inf
1645             Tcolmin = np.tile(np.min(Tcolmat,axis=0),(n,1))
1646             Tcolmat[np.where(Tcolmat>Tcolmin)] = np.inf
1647             i_inv,j_inv = np.where((Tcolmat>=0)&(Tcolmat<self.
dt))
1648             pi = np.append(pi,pi_s[i_inv]).astype(np.int32)
1649             pj = np.append(pj,pj_s[j_inv]).astype(np.int32)
1650             self.tcol = np.append(tcol,Tcolmat[i_inv,j_inv])
1651             Tcolmat = np.delete(Tcolmat,i_inv,axis=0)
1652             Tcolmat = np.delete(Tcolmat,j_inv,axis=1)
1653             self.si,self.sj = pi,pj
1654             frags = cls(col=True)
1655             self.collision(frags,self.tcol,self.dt)
1656             #exclude fragments that escape (hyperbolic orbit) and/
or collide with central mass
1657             Npe,Npccb = frags.excludeFragments()
1658             self.updateColCnt(Npe,Npccb)
1659             self.t += self.dt
1660             self.R,self.V = self.Verlet(dt=self.dt)
1661             self.mergeFragments(frags)
1662             self.Nsats = len(self.S)
1663             self.tree = KDTree(self.R,leaf_size=30)
1664             self.printProgress()
1665             if not single: continue

```

```

1666         else: break
1667
1668     def getNNs(self):
1669         """retrieves the k-nearest neighbours (NNs) of all the satellites
1670         from the current
1671         k-d tree. The corresponding distances are retrieved as well. These
1672         are used to
1673         exclude any pair of satellites between which no collision is
1674         possible."""
1675         dmax = 2*np.max(np.linalg.norm(self.V,axis=1))*self.dt
1676         dist,nns = self.tree.query(self.R,k=self.k,return_distance=True,
1677         dualtree=False)
1678         N = self.Nsats
1679         nns[np.where(dist>dmax)] = N #set particles larger than dmax to '
infinity' index
1680         nns = np.delete(nns,np.where(nns[:,1]==N),axis=0) #delete particles
with no nns
1681         nns[np.where(nns==N)] = nns[np.where(nns==N)[0],0] #set N+1 idx to
own idx
1682         index = np.transpose(np.tile(nns[:,0],(self.k,1)))
1683         nns[np.where(nns<index)] = nns[np.where(nns<index)[0],0] #avoid
double counting
1684         return nns
1685
1686     def mergeFragments(self,other): #other=frags
1687         """deletes collided satellites and appends fragment data and
1688         checks for collisions with the earth."""
1689         R = np.delete(self.R,self.delarr,axis=0)
1690         V = np.delete(self.V,self.delarr,axis=0)
1691         M = np.delete(self.M,self.delarr)
1692         S = np.delete(self.S,self.delarr)
1693         R = np.append(R,other.R,axis=0)
1694         V = np.append(V,other.V,axis=0)
1695         M = np.append(M,other.M)
1696         S = np.append(S,other.S)
1697         learthR = np.linalg.norm(R,axis=1)>self.earthR
1698         self.R = R[learthR]
1699         self.V = V[learthR]
1700         self.M = M[learthR]
1701         self.S = S[learthR]
1702
1703     def printProgress(self):
1704         """print progress and conserved quantities"""
1705         L,E = self.physQuant()
1706         L_dot_Li = np.sum(L*self.Li)
1707         print(str('\rKDT--> t = {0:.3f} min, L/L_init = {1:.12f},'
1708         +'\nE/E_init = {2:.12f}, k = {3:.0f} '
1709         +'\n#sats: {4} '
1710         +'\n#cc: {5} '
1711         +'\n#ncc: {6} '
1712         +'\n#sc: {7} ')
1713         .format(self.t/self.minute,L_dot_Li/self.Li_normsq,E/self.Ei,
1714         self.k,self.Nsats,np.sum(self.Ncc),np.sum(self.Nncc)
1715         ,np.sum(self.Nsc)),end='')

```

```

1713
1714 #####old method for selecting earliest collisions (too strict)#####
1715 # #ensure only one collision occurs for any sat
1716 # intersect,comi,comj = np.intersect1d(pi,pj,assume_unique=True,
1717 #                                     return_indices=True)
1718 # if intersect.size > 0:
1719 # #at least one sat occurs in two distinct collisions
1720 # #which is impossible (as far as this model is concerned)
1721 #     #occurrence of the satellite in the i'th index is the
1722 #     #collision that happens first if
1723 #     c = (tcol[comi]<tcol[comj]).astype(int)
1724 #     #picks out the correct indices
1725 #     col_idx = np.array([comj,comi])[c,np.arange(len(comi))]
1726 #     com = np.append(comi,comj)s
1727 #     #delete all common indices and append only the correct ones
1728 #     pi = np.append(np.delete(pi,com),pi[col_idx])
1729 #     pj = np.append(np.delete(pj,com),pj[col_idx])
1730 #     tcol = np.append(np.delete(tcol,com),tcol[col_idx])

```