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1 **Fast empirical lab method for performance projections of large-scale** 2 **powdered activated carbon re-circulation plants**

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14 **Abstract**

15 Powdered activated carbon (PAC) for organic micro-pollutant (OMP) removal can be applied
16 effectively on wastewater treatment plant (WWTP) effluents by using re-circulation schemes,
17 accumulating the PAC in the system. This technique is complex because several factors are
18 unknown: (i) the PAC concentration in the system, (ii) specific and average contact times of PAC
19 particles, and (iii) PAC particle loadings with target compounds/competing water constituents.
20 Thus, performance projections (e.g. in the lab) are very challenging. We sampled large-scale PAC
21 plants with PAC sludge re-circulation on eight different WWTPs. The PAC plant-induced OMP
22 removals were notably different, even when considering PAC concentrations in proportion to
23 background organic sum parameters. The variability is likely caused by differing PAC products,
24 varying water composition, differently effective plant/re-circulation operation, and variable
25 biodegradation. Plant PAC samples and parts of the PAC plant influent samples were used in
26 laboratory tests, applying multiples (0.5, 1, 2, 4) of the respective large-scale “fresh” PAC doses, and
27 several fixed contact times (0.5, 1, 2, 4, 48 h). The aim was to empirically identify suitable

28 combinations of lab PAC dose (as multiples of the plant PAC dose) and contact time, which
29 represent the PAC plant performances in removing OMPs (for specific OMPs at single locations, and
30 for averages of different OMPs at all locations). E.g., for five well adsorbing, little biodegradable
31 OMPs, plant performances can be projected by using a lab PAC dose of twice the respective full-scale
32 PAC dose and 4 h lab contact time (standard deviation of 13 %-points).

33 **Keywords**

34 adsorption; powdered activated carbon; organic micro-pollutant; trace organic contaminant;
35 powdered activated carbon recirculation; wastewater treatment plant

36 **Highlights**

- 37 • Variable org. micropollutant (OMP) removal by powdered activated carbon at 8 plants
- 38 • Development of lab test predicting large-scale OMP removals at different locations
- 39 • Lab dose/time combinations identified to project specific & average OMP removals
- 40 • Acceptable scattering; literature comparison reveals transferability

41 **1 Introduction**

42 Adsorption onto powdered activated carbon (PAC) as an advanced step for the removal of organic
43 micro-pollutants (OMPs) is currently being integrated into an increasing number of wastewater
44 treatment plants (WWTPs) in several countries like Switzerland (Boehler et al. 2012), France
45 (Mailler et al. 2015), and Germany (Metzger 2010). Among different design options the most simple
46 ones are (option A) dosing PAC directly into existing secondary treatment steps/biology (Boehler et
47 al. 2012; Evers et al. 2017) or (option B) into secondary effluents/rapid filtration influents (Ruhl et
48 al. 2014; Altmann et al. 2015a; Altmann et al. 2015b; Loewenberg et al. 2016). A more complex
49 option (C) is to build additional tertiary contactor basins which receive secondary effluent and

50 which are followed by tertiary sedimentation/rapid filtration, with re-circulation of the PAC sludge
51 (Garland & Beebe 1970; Nicolet & Rott 1999; Metzger 2010; Boehler et al. 2012; Margot et al. 2013;
52 Mailler et al. 2015; Meinel et al. 2016a; Evers et al. 2017; Karelid et al. 2017a). The higher
53 complexity of option C is usually outweighed by its better performance (Boehler et al. 2012; Evers et
54 al. 2017), ultimately translating into substantially reduced operational costs (Nicolet-Misslbeck
55 2014).

56 Compared to primary effluents (option A), secondary effluents (options B & C) contain less
57 adsorption-competitive background organic matter (BOM), thus reducing adverse BOM competitive
58 effects on OMP removals (Najm et al. 1991; Karanfil et al. 1999; Kilduff & Wigton 1999; Graham et
59 al. 2000; Cook et al. 2001; Matsui et al. 2003; Nowotny et al. 2007; Shimabuku et al. 2014;
60 Zietzschmann et al. 2015b; Hu et al. 2016; Streicher et al. 2016; Zietzschmann et al. 2016a;
61 Shimabuku et al. 2017). Compared to option B, option C substantially increases the residence time
62 of PAC in the system due to the re-circulation design, resulting in very high PAC concentrations (g/L
63 range) during continuous operation (Meinel et al. 2016a) and thus increasing the usage of the PAC
64 capacity. The technique of PAC re-circulation is often termed “two step treatment” which can be
65 somewhat misleading as the PAC is kept continuously in the system while only a small portion of the
66 PAC particles is removed as excess PAC. Thus, PAC particles will be in contact with secondary
67 effluent repeatedly rather than twice (cf. Figure 1). Pilot studies clearly showed the advantage of
68 PAC-recirculation over single-step treatment without re-circulation (Meinel et al. 2016a; Karelid et
69 al. 2017a). Therefore, the current study only examines such PAC plants with PAC sludge re-
70 circulation for PAC enrichment in the adsorption reactor (option C).

71 Typical PAC re-circulation schemes in WWTPs consist of one or more contactors, a separation step,
72 and a pumping system allowing for re-cycling the PAC sludge. Relatively small amounts (mg/L
73 range) of fresh PAC are dosed to the adsorption step influent (effluent from clarification after
74 mechanical-biological treatment). Subsequently, the PAC/water slurry is separated, e.g. via

75 sedimentation (Mailler et al. 2015; Karelid et al. 2017b), hydrocyclones (Meinel et al. 2016a),
76 and/or filtration (Boehler et al. 2012; Loewenberg et al. 2014; Ruhl et al. 2014; Altmann et al.
77 2015a; Altmann et al. 2015b; Krahnstöver & Wintgens 2018). The separation of the PAC/water
78 suspension is enhanced by adding flocculant and polymer at one or more points within the PAC
79 plant. It was shown that dosage of flocculant/coagulant does not affect adsorptive OMP removals
80 (Altmann et al. 2015c). The separated PAC sludge is then re-introduced into the adsorption step
81 influent.

82 In terms of the occurring processes, PAC plants with re-circulation setups are difficult to
83 characterize. The PAC in the re-circulation sludge is normally accumulated over several weeks, to
84 reach the envisaged high PAC concentrations in the g/L range. The differentiation between the
85 sludge components (flocculant/biomass/PAC/inorganic particles) in re-circulation systems is
86 difficult (Dittmann et al. 2018) and the exact PAC concentrations are usually not known (Meinel et
87 al. 2016a); the only known process parameter in this respect is the dose of fresh PAC. Also, an exact
88 contact time cannot be specified. The loading of the PAC (with OMP and BOM) in the system cannot
89 be specified either, because of the different PAC particle residence times within the re-circulation
90 system. In addition, changing water composition may induce partially dynamic competition
91 between OMP and BOM. Therefore the OMP removal performances of large-scale PAC re-circulation
92 setups are difficult to assess without using pilot/demonstration plants. Lab tests with pure water
93 cannot be extrapolated to WWTP effluent applications (Alves et al. 2018). Also, lab tests are largely
94 limited in their capabilities to reproduce the “black box” of large-scale PAC re-circulation because
95 PAC-water separation and PAC re-suspension are elaborate. (Centrifugation of relatively high batch
96 volumes (e.g. 100 mL) is required whilst PAC loss during supernatant removal must be minimized.)
97 An approach using large centrifuge beakers was developed for repeated reuse of PAC
98 (Zietzschmann et al. 2015a) and adopted for PAC reuse with addition of fresh PAC, simulating the
99 start-up phase of a re-circulation system with increasing PAC concentrations (Meinel et al. 2016b).

100 Although this approach allows for detailed examination of the process, it is laborious and time
101 consuming due to repeated PAC-water separation followed by re-suspension and dosage of fresh
102 PAC. Thus, this lab procedure is not useful for scientists and practitioners in need for rapid
103 projections. Therefore, a more practical empirical lab test would be desirable, allowing for quick
104 (several hours) and easy estimation of the adsorptive performance of large-scale PAC re-circulation
105 plants. The assessment of such tests should mainly focus on refractory/poorly biodegradable OMPs:
106 The additional retention time in PAC stages, in combination with favorable conditions for
107 microorganisms, would complicate a concise differentiation of adsorptive/biodegradative removals
108 of biodegradable compounds at different WWTPs/operating conditions.

109 In the present study, large-scale PAC plants equipped with PAC re-circulation, operated on eight
110 WWTPs in the state of Baden-Württemberg (Figure S1 in the Supporting Information, SI), Germany,
111 were sampled and examined regarding OMP removals. The OMP removals at different locations can
112 be compared directly since in real waters (e.g. WWTP effluents), OMP removals are generally
113 independent of the initial OMP concentration (Knappe et al. 1998; Westerhoff et al. 2005; Altmann
114 et al. 2014; Zietzschmann et al. 2016a). Firstly, the similarities and differences of the plant
115 performances in removing several OMPs should be assessed. Secondly, the large-scale OMP
116 removals were compared to OMP removals on the lab-scale – in batch-tests using the sampled large-
117 scale PAC plant influents and the corresponding sampled PACs, with different combinations of PAC
118 doses (as multiples of the respective plant “fresh” PAC doses) and fixed adsorption times. The lab
119 tests were conducted as single-step batches (without PAC-water separation & subsequent PAC re-
120 suspension), in order to use a simple and quick lab procedure. The aim was to identify one or
121 several combinations of lab PAC dose/adsorption time which satisfactorily reproduce the OMP
122 removals reached on the sampled large-scale PAC re-circulation plants. To date, such PAC
123 performance projections are barely examined and the current work targets this gap to facilitate
124 more rapid assessments of PAC as an advanced WWTP effluent treatment step.

125 **2 Materials and Methods**

126 **2.1 PAC plants**

127 The large majority of PAC plants in Germany are operated in the state of Baden-Württemberg which
128 pursues an initiative for advanced OMP removal on WWTPs. Most of these plants are equipped with
129 PAC sludge re-circulation systems (currently 12 full-scale plants). Eight PAC re-circulation plants
130 were included in the tests (map in Figure S1), of which seven are full-scale (Böblingen-Sindelfingen,
131 Kressbronn-Langenargen, Lahr, Laichingen, Mannheim, Neu-Ulm/Steinhäule,
132 Ravensburg/Langwiese) and one is pilot-scale (Kompetenzzentrum Spurenstoffe, Stuttgart). The
133 examined WWTPs, the volumetric flows treated in the respective PAC plants, the water residence
134 times in the adsorption steps, the applied PAC products and doses, the points of PAC sludge re-
135 circulation in the respective plants, and the sampling dates are given in Table 1; PAC manufacturer
136 data are reported in Table S1 in the SI. A general scheme of the PAC adsorption plants amended to
137 the WWTPs is given in Figure 1. In most cases, the adsorption step follows the biological treatment
138 train and receives effluent from the secondary sedimentation/clarifier. (Note that secondary
139 treatment on most plants contains a biological phosphorous removal stage prior to the
140 denitrification which is not shown in Figure 1.) Typically, PAC is dosed into the influent of the
141 adsorption step, flocculant/coagulant is dosed into the adsorption reactor and to the sedimentation
142 basin, and polymer is dosed into the effluent of the adsorption step. In Böblingen-Sindelfingen, PAC
143 is dosed into the secondary effluent; in Lahr, PAC and flocculant are dosed into the secondary
144 effluent; in Laichingen and Ravensburg, flocculant is dosed into the adsorption step and into the
145 effluent of the sedimentation basin, and polymer is dosed into the adsorption step. In all plants, PAC
146 is accumulated in the adsorption reactor, by re-circulating PAC from the sedimentation to the
147 adsorption step influent; excess PAC is returned to the secondary treatment
148 (denitrification/aeration/sedimentation); in Böblingen-Sindelfingen and Mannheim, excess PAC is

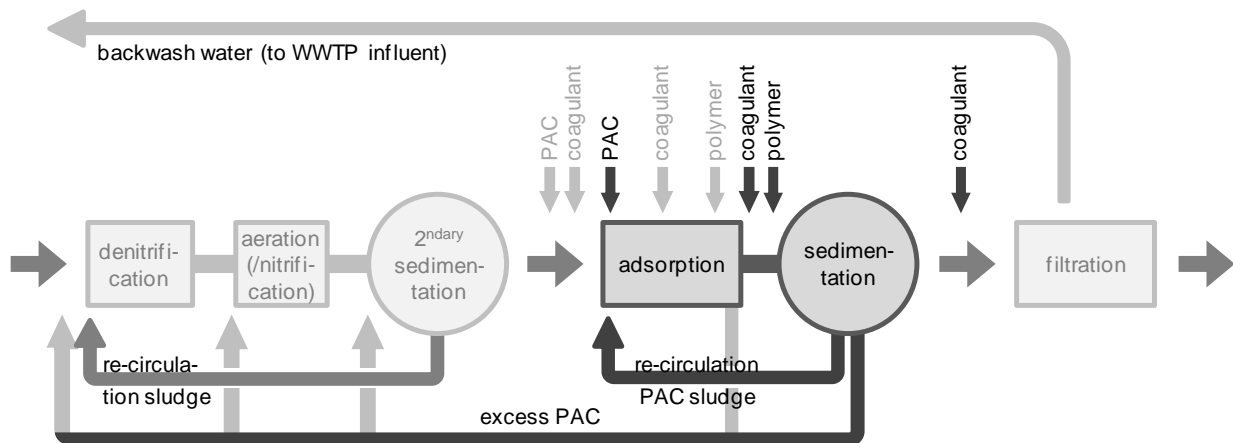
149 withdrawn from the adsorption basin and returned to the oxic tank/aeration; at the KomS pilot,
 150 excess PAC is discarded. More detailed schemes of most plants can be retrieved via KomS (2017).

151

152 **Table 1: Overview of the examined WWTPs and PAC plants, PE – population equivalent, $Q_{max,ads}$ – maximum**
 153 **volumetric flow treated, $t_{resid,water}$ – water residence time in adsorption step, exc. – excess, DOC – dissolved organic**
 154 **carbon.**

	Acronym	Size [PE]	$Q_{max,ads}$ [L/s]	$t_{resid,water}$ [min]	PAC re-circulated to	Applied PAC product	“fresh” PAC dose on sampling day [mg/L]	PAC/DOC [mg/mg]	Day of sampling
Böblingen-Sindelfingen	BöSi	250,000	1,000	30	· adsorption · aeration (exc. PAC)	Cabot Norit SAE Super	12	1.0	18 May 2017
KomS-Pilot (Stuttgart)	KomS	(pilot)	-		· adsorption · (exc. PAC discarded)	Donaucarbon Carbopal AP	10	1.5	16 Nov 2016
Kressbronn-Langenargen	Kress	24,000	250	35	· adsorption · denitrific. (exc. PAC)	Donaucarbon Carbopal AP	5.2	0.7	18 May 2017
Lahr	Lahr	100,000	350	47	· adsorption · denitrific. (exc. PAC)	Carbotech PAK C 880 SR	11	1.4	29 May 2017
Laichingen	Laich	29,200	150	45	· adsorption · denitrific. (exc. PAC)	Carbotech PAK C 880 SR	6	1.3	16 Nov 2016
Mannheim	Mann	725,000	1,500	40	· adsorption · aeration (exc. PAC)	CSC pharma-Clean	10	1.3	19 Jun 2017
Neu-Ulm/Steinhäule	NUlm	445,000	1,600	34	· adsorption · aeration (exc. PAC)	Chemviron Pulsorb WP 235	15	2.7	15 Nov 2016
Ravensburg/Langwiese	Rav	184,000	1,100	57	· adsorption · 2 nd . sedi. (exc. PAC)	CSC pharma-Clean	8.5	1.5	15 Nov 2016

155



156

157 **Figure 1: General plant scheme for the tested PAC re-circulation plants; PAC, coagulant, and polymer dosing**
 158 **points: dark grey – standard, light grey – optional; excess PAC withdrawal in Böblingen-Sindelfingen and**
 159 **Mannheim from adsorption basin – light grey; excess PAC re-circulation points variable – light grey; KomS pilot:**
 160 **excess PAC discarded.**

161

162 **2.2 Sampling**

163 24 h composite samples were taken from the influents and effluents of the PAC plants. At the KomS
164 pilot, Laichingen, Neu-Ulm, and Ravensburg samples were taken, 0.45 μm -filtered, cooled, and used
165 in the laboratory tests within 24 h. The samples of Böblingen-Sindelfingen, Kressbronn, Lahr, and
166 Mannheim were kept frozen until the day before laboratory testing. The effluent samples and parts
167 of the influent samples were analyzed to determine the plant performances in removing DOC,
168 ultraviolet absorption at 254 nm (UV_{254}), and OMPs. The influent samples were further used for the
169 batch tests. Samples of the PACs were obtained directly from the dosing systems of the examined
170 PAC plants on the day of water sampling.

171 **2.3 Batch tests**

172 PAC batch tests were conducted in a typical bottle point method, using 50 mL of the respective
173 water in 300 mL glass bottles in which the desired PAC doses were adjusted by pipetting from PAC
174 stock suspensions, made from dried PAC and ultra pure water (resistivity $>17 \text{ M}\Omega \text{ cm}$, *ELGA*
175 *Berkefeld*, Germany). The adjusted PAC concentrations in the batches were multiples (0.5, 1, 2, and
176 4) of the dosed “fresh” PAC concentration of the respective PAC plant. The PAC stock suspension
177 volumes added to the batches were 100, 200, 400, and 800 μL for all tested waters, by using
178 differently concentrated stock suspensions of the respective sampled PAC (g/L): 3.00 (BöSi), 2.50
179 (KomS), 1.31 (Kress), 2.75 (Lahr), 1.50 (Laich), 2.50 (Mann), 3.75 (NUlm), and 2.13 (Ravensburg),
180 resulting in water dilutions $\leq 1.6\%$ (max. 0.8 mL PAC stock suspension per 50 mL batch volume).
181 Upon dosage, the batches were closed and put on a one-dimensional horizontal shaker for thorough
182 mixing (note the impacts of different mixing techniques on OMP removals at short timescales shown
183 in the SI). The tested adsorption times were 0.5, 1, 2, 4, and 48 h; for each dose/time combination, a
184 separate batch was used. When finished, the batch waters were filtered through 0.45 μm

185 regenerated cellulose membrane syringe filters (*Chromafil X-tra RC 25/45, Macherey-Nagel,*
186 Germany), previously rinsed with ultra pure water. Due to an experimental error, the Böblingen-
187 Sindelfingen batch with a lab dose of 4 times the plant dose and an adsorption time of 0.5 h could
188 not be utilized.

189 Additional data for verification was obtained from preliminary tests which were conducted with
190 additional samples, in an analogous test procedure as described above but with less lab dose/time
191 combinations: (1) Böblingen-Sindelfingen (sampled in May 2014, lab PAC dose multiple of plant
192 PAC dose: 1, i.e. 10 mg/L, SAE Super/Norit Germany, 24 h), (2) Berlin pilot plant at phosphorous
193 elimination plant Tegel (lab PAC dose multiple of plant PAC dose: 1, i.e. 20 mg/L, Aquasorb 5000 P-
194 s/Jacobi Germany, 0.5 & 1 h, cf. Meinel et al. 2016a).

195 **2.4 Water quality analysis**

196 High performance liquid chromatography with tandem mass spectrometry (HPLC-MS/MS) was
197 used for the quantification of OMP, based on an established multi-method (Zietzschmann et al.
198 2015a; Zietzschmann et al. 2015b; Zietzschmann et al. 2016a); details are given in the SI (HPLC
199 conditions, limits of quantification (LoQs), ...). OMPs were only considered if they were detected at
200 all 8 sampled locations. DOC was measured in triplicate by catalytic combustion on a *varioTOC cube*
201 (*elementar Analysensysteme, Germany*). UV_{254} was measured in 1 cm *Suprasil* quartz cuvettes
202 (*Hellma, Germany*) on a *Lambda 12* (*Perkin-Elmer, USA*); specific ultraviolet absorbance (SUVA) was
203 calculated as UV_{254} [1/m] divided by DOC [mg/L]. Fractionized DOC and UV_{254} were measured on a
204 liquid chromatography with online organic carbon detection (LC-OCD, *DOC-Labor Huber, Germany*)
205 with a *HW50S* size exclusion LC column (*Toyopearl, Japan*); data were evaluated using the software
206 *Chromcalc* (*DOC-Labor Huber, Germany*), with typical peak allocation (Huber et al. 2011); the local
207 chromatogram minimum between the fractions of the building blocks and the low molecular weight

208 acids was taken as the integration limit between high/low molecular weight organic compounds (cf.
209 Zietzschmann et al. 2014; Zietzschmann et al. 2016a; Zietzschmann et al. 2016b).

210 2.5 Calculations

211 To determine the combination of lab PAC dose and adsorption time (“lab dose/time combination”)
212 which best represents PAC plant OMP removals, several calculations were conducted, as outlined in
213 the following; a corresponding scheme is included in Table S2. Firstly, differences of the OMP
214 removals achieved in the lab and in the PAC plants were calculated as shown in Equation 1.

$$215 \quad RD_{X,i,k} = R_{X,i,lab,k} - R_{X,i,plant} \quad \text{Equation 1}$$

216 where X is the index for the respective location, i is the index for the OMP under consideration, k is
217 the index for the lab dose/time combination, $RD_{X,i,k}$ is the removal difference in percentage points,
218 $R_{X,i,lab,k}$ is the lab removal in % at dose/time combination k , and $R_{X,i,plant}$ is the PAC plant removal in %,
219 respectively.

220 For each location and OMP (indices X and i in Equation 1), 20 removal differences were calculated as
221 20 lab dose/time combinations k were tested (whereas there is only 1 PAC plant removal for each
222 location and OMP). Negative removal differences mean that the respective plant removal is higher
223 than the lab removal, while positive values mean higher lab than plant removals. Values equal to
224 zero mean that lab and plant removals were the same.

225 For comparisons of average OMP removals, the removal differences $RD_{X,i,k}$ from Equation 1 were
226 averaged over different OMPs i , as shown in Equation 2. This was done for the 10 OMPs found at all
227 plants, and a subset of 5 poorly biodegradable, well adsorbable OMPs (benzotriazole,
228 carbamazepine, diclofenac, methylbenzotriazole, metoprolol) with initial concentrations $>4 \cdot \text{LoQ}$.

$$229 \quad RD_{X,av,k} = \frac{1}{n} \sum_{i=1}^n RD_{X,i,k} \quad \text{Equation 2}$$

230 where $RD_{X,av,k}$ is the OMP-average removal difference at location X for lab dose/time combination k ,
231 n is the number of OMPs included in averaging, i is the index for the respective OMP, and $RD_{X,i,k}$ is the
232 removal difference at location X for OMP i at lab dose/time combination k .

233 Also, averages over the 8 tested locations were calculated for single OMPs, by averaging the removal
234 differences $RD_{X,i,k}$ from Equation 1 over all plants, as shown in Equation 3.

$$235 \quad RD_{av,i,k} = \frac{1}{8} \sum_{X=1}^8 RD_{X,i,k} \quad \text{Equation 3}$$

236 where $RD_{av,i,k}$ is the plant-average removal difference for OMP i at lab dose/time combination k , 8 is
237 the number of locations, X is the index for the respective location, and $RD_{X,i,k}$ is the removal
238 difference at location X for OMP i at lab dose/time combination k .

239 Furthermore, averages over all plants were calculated of the OMP-average removals, by inserting
240 the $RD_{X,av,k}$ from Equation 2 into the right side of Equation 3. Accordingly, plant-average OMP-
241 average removal differences $RD_{av,av,k}$ were determined for all tested lab dose/time combinations k to
242 find the overall best representation when several/all OMPs and all locations are included
243 simultaneously.

244 To estimate scattering of the calculated removal differences, standard deviations were determined
245 for all of the plant-average removal differences resulting when using Equation 3. (Calculating
246 standard deviations from OMP-average removal differences does not make sense because of the
247 strongly variable adsorbability and biodegradability of different OMPs.)

248 **3 Results and Discussion**

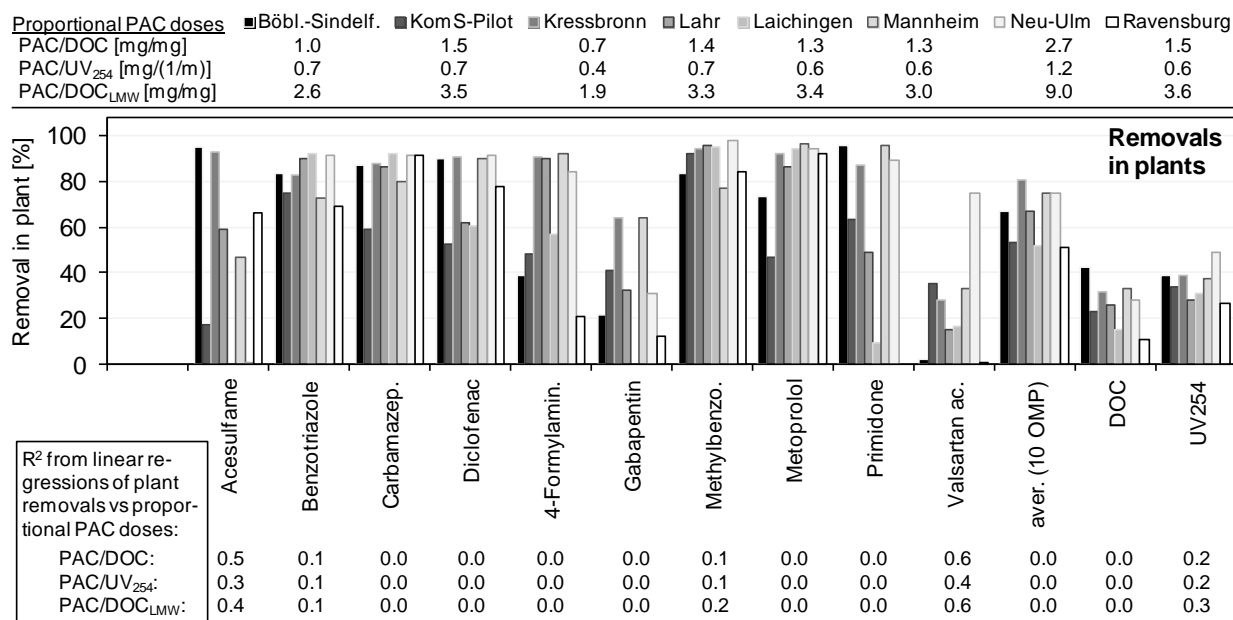
249 **3.1 Water characterization and large-scale OMP removals**

250 The DOC concentrations, UV_{254} , SUVAs, low molecular weight (LMW) DOC concentrations, and OMP
251 concentrations are given in Table S3 (only those OMP that were quantifiable in all PAC plant influent

252 samples are considered). The DOC concentrations range from 4.7 mg/L in Laichingen to 12.8 mg/L
253 in Böblingen-Sindelfingen. The absolute values of UV_{254} are about twice as high as the
254 corresponding DOC values, resulting in SUVAs of 2.1-2.3 L/m/mg, except for Böblingen-Sindelfingen
255 with a lower SUVA of 1.4 L/m/mg. The LMW DOC concentrations are between 1.7-5.0 mg/L, making
256 up a minimum of 31% (Neu-Ulm) and a maximum of 43% (KomS pilot) of the corresponding DOC
257 concentrations. A more detailed insight into the BOM/DOC composition is given in the LC-OCD
258 chromatograms of the tested WWTP effluents (Figure S2). Overall, the BOM/DOC of the tested
259 waters exhibit similar compositions; the WWTP effluent Böblingen-Sindelfingen has an
260 extraordinarily high biopolymer content. The OMP concentrations are in typical $\mu\text{g/L}$ -ranges, with
261 comparatively high values ($>10 \mu\text{g/L}$) for acesulfame (12.1 $\mu\text{g/L}$ in Böblingen-Sindelfingen),
262 benzotriazole (17.1 $\mu\text{g/L}$ in Mannheim), and methylbenzotriazole (16.5 $\mu\text{g/L}$ in Mannheim and
263 12.5 $\mu\text{g/L}$ at the KomS pilot).

264 The large-scale PAC plant removals of the OMPs that were quantifiable in all of the WWTP
265 effluents/PAC plant influents (cf. Table S3) are given in Figure 2 which also shows the average OMP
266 removals (all 10 OMPs included), as well as the corresponding DOC and UV_{254} removals. (Figure 2
267 also includes information on proportional PAC doses, which is discussed further below.) Removals
268 in the range of 50-100% are reached for the well adsorbing compounds benzotriazole,
269 carbamazepine, diclofenac, methylbenzotriazole, and metoprolol. The removals of the moderately
270 adsorbing compounds 4-formylaminoantipyrine and primidone show higher scattering and are
271 generally lower. Acesulfame and gabapentin are generally considered to be poor adsorbates (e.g.
272 Jekel et al. 2015; Zietzschmann et al. 2015b). Their partially high removals (up to 90%) are likely
273 caused by biodegradation (Altmann et al. 2016; Falas et al. 2016; Müller et al. 2017; Kahl et al. 2018)
274 as the biomass can adapt, being re-circulated with the PAC sludge. For valsartan acid, a metabolite
275 of antihypertensive sartan compounds (valsartan, candesartan, olmesartan ...), the removals are the
276 lowest among the measured OMPs. However, slightly better adsorption of this compound as

277 compared to gabapentin was observed in drinking water GAC filters (Sperlich et al. 2017). Although
 278 low removals during bank filtration were observed (Noedler et al. 2013), the biodegradability of
 279 valsartan acid in aerated technical systems was shown to be similar or even higher than that of
 280 gabapentin (Hellauer et al. 2017; Sperlich et al. 2017). Given the relatively low removals observed
 281 here, it is possible that some formation of valsartan acid from its precursors occurs in the PAC
 282 plants, as valsartan acid formation in WWTP activated sludge batch tests was reported (Kern et al.
 283 2010).



284
 285 **Figure 2: OMP, DOC, and UV₂₅₄ removals achieved in the tested PAC plants, with DOC/UV₂₅₄/DOC_{LMW} proportional**
 286 **PAC doses (top), and R² from linear regressions of removals vs. proportional PAC doses (bottom).**

287
 288 In order to obtain higher comparability between the OMP removals reached at different locations,
 289 using PAC doses in proportion to the respective influent DOCs of different PAC plants has been
 290 suggested (Boehler et al. 2012; Altmann et al. 2014). The DOC/UV₂₅₄/DOC_{LMW}-proportional PAC
 291 doses are included in Figure 2 (top), together with R² from linear correlations of the plant removals
 292 versus the proportional doses (bottom). The coefficients of determination are all (very) low,

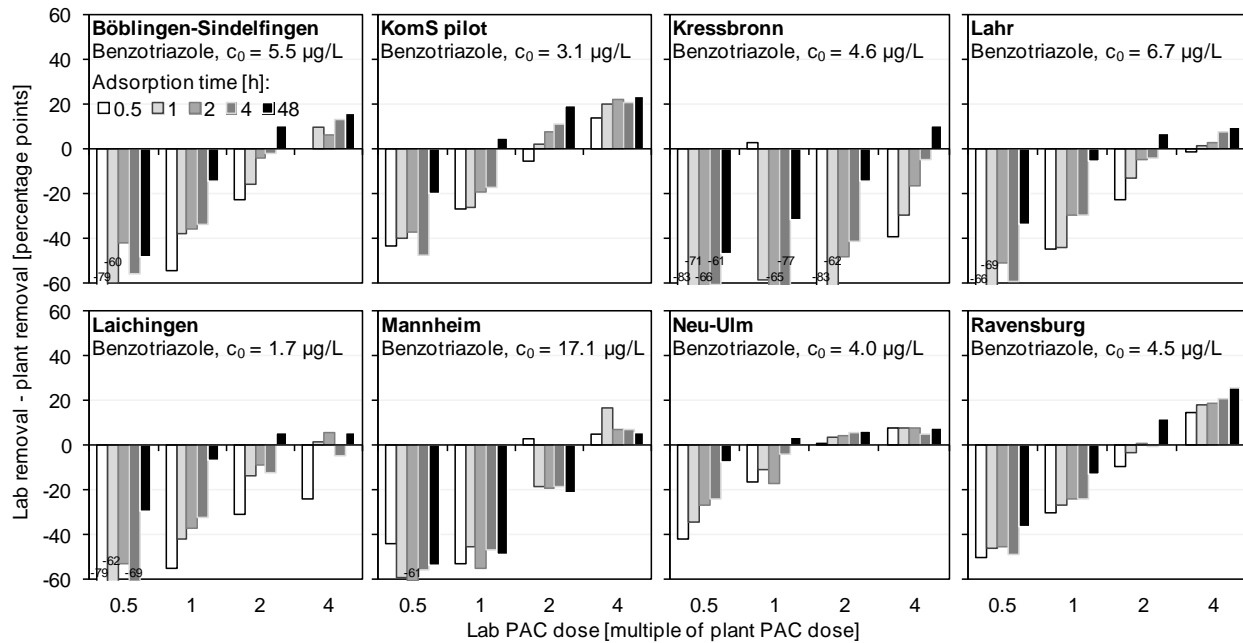
293 indicating no correlations between the proportional PAC doses and the removal of any of the
294 parameters. (Corresponding graphs for some OMPs are included in Figure S3.) It is particularly
295 noteworthy that not even the consideration of the LMW BOM compounds in the proportional PAC
296 dose allows for inferring OMP removals. The LMW compounds have been identified as particularly
297 competitive in OMP adsorption (e.g. Kilduff & Wigton 1999; Zietzschmann et al. 2014; Hu et al.
298 2016; Streicher et al. 2016). Accordingly, the proportional PAC doses do not suffice to estimate OMP
299 or DOC/UV₂₅₄ removals at different locations. This finding can be underlined by the fact that in
300 Kressbronn, the OMP removals were overall the highest (cf. Figure 2), but the DOC-proportional
301 PAC dose was the lowest. Likely, different PAC products, variable water characteristics, the location-
302 specific process design, and varying biodegradation cause the observed disparities. The variable
303 plant performances shown in Figure 2 demonstrate that strong OMP elimination is not always easily
304 achieved. Plant operation should be thoroughly optimized, and PAC products should be well tested
305 prior to selection (Karelid et al. 2017b).

306 **3.2 OMP removals: Lab versus plant**

307 Given the inability of the DOC-/UV₂₅₄/LMW-DOC-proportional PAC dose to project expected OMP
308 removals in PAC plants, other means must be explored. Here, we aim at elucidating the empirical
309 potential of lab batch tests to do so. In order to provide an approach which can be consistently
310 applied to different locations, multiples of the respective plant PAC doses were used in the lab, and
311 combined with fixed adsorption times. To identify the best-fitting combination of lab PAC dose (as a
312 multiple of the respective large-scale PAC dose) and adsorption time, the respective large-scale
313 removals were subtracted from the corresponding OMP removals at all tested lab dose/time
314 combinations. As an example, the differences between the lab and plant benzotriazole removals for
315 all tested lab PAC doses and all tested lab adsorption times at the sampled locations are given in
316 Figure 3, showing four sets (lab doses as multiple of respective full-scale doses) of five columns
317 (adsorption times) for each location. Note that the results directly depend on both, the plant OMP

318 removals and lab OMP removals: For high plant OMP removals, the maximum attainable value of the
 319 difference (lab removal minus plant removal) is close to zero (e.g. 100% lab removal – 95% plant
 320 removal = 5%). It appears logical that the values of the subtractions grow for increasing lab doses
 321 and lab adsorption times in most cases, with some scattering around lower lab PAC doses.

322



323

324 **Figure 3: Differences between lab and plant removals of benzotriazole at the tested lab PAC doses (as multiples of**
 325 **plant PAC doses, cf. x-axis) and the tested adsorption times (grey shades) at the eight sampled locations, with**
 326 **initial concentrations c_0 .**

327

328 For all locations except Kressbronn and Mannheim, the batch tests with lab doses twice the plant
 329 doses and 2 h adsorption time result in average benzotriazole removal differences in the range of
 330 $\pm 10\%$. In Kressbronn, a lab PAC dose of fourfold the large-scale dose results in the best reproduction
 331 of the large-scale OMP removals, and short lab adsorption times (0.5 h, 1 h) are clearly not sufficient
 332 to obtain similar removals as in the plant. According to these results, the benzotriazole removals in
 333 many large-scale PAC plants appear roughly predictable in a lab test by using twice the respective

334 plant PAC dose and a fixed adsorption time of 2 h. Similar observations can be made for other OMPs,
335 as shown in Figures S4 & S5. However, the congruency between different plants depends on the
336 OMP under consideration, with more scattering occurring in the case of biodegradable OMPs (cf.
337 Table S4). The likely reason is that their biodegradation is variable at different locations. With no
338 considerable biodegradation occurring in the lab tests due to short batch adsorption times
339 (meaning no opportunity for microbial build-up as in PAC re-circulation systems), the differences
340 between lab and plant OMP removals are scattering stronger for biodegradable OMPs.

341 To determine which combination of plant PAC dose multiple/adsorption time should be used in the
342 lab for plant OMP removal projections on all plants on average, the lab-minus-plant removal
343 differences can be averaged over all tested plants, as shown in Table 2 (columns third from left to
344 third from right). The lab PAC dose/time combinations which result in values close to zero are the
345 most suitable to estimate OMP-specific plant performances at all tested locations. (Note that this
346 approach does not account for scattering of the data, as will be discussed further below.) For
347 example, in the case of metoprolol, a lab combination of a PAC dose equal to the plant PAC dose and
348 2 h adsorption time results in a removal difference of 2 percentage points on average over all plants.

349 **Table 2: Averages of removal differences (lab removal – plant removal) over the eight tested locations in**
 350 **percentage points, with color intensity corresponding to deviation from zero (“5 selected OMPs”: Benzotriazole,**
 351 **carbamazepine, diclofenac, methylbenzotriazole, metoprolol).**

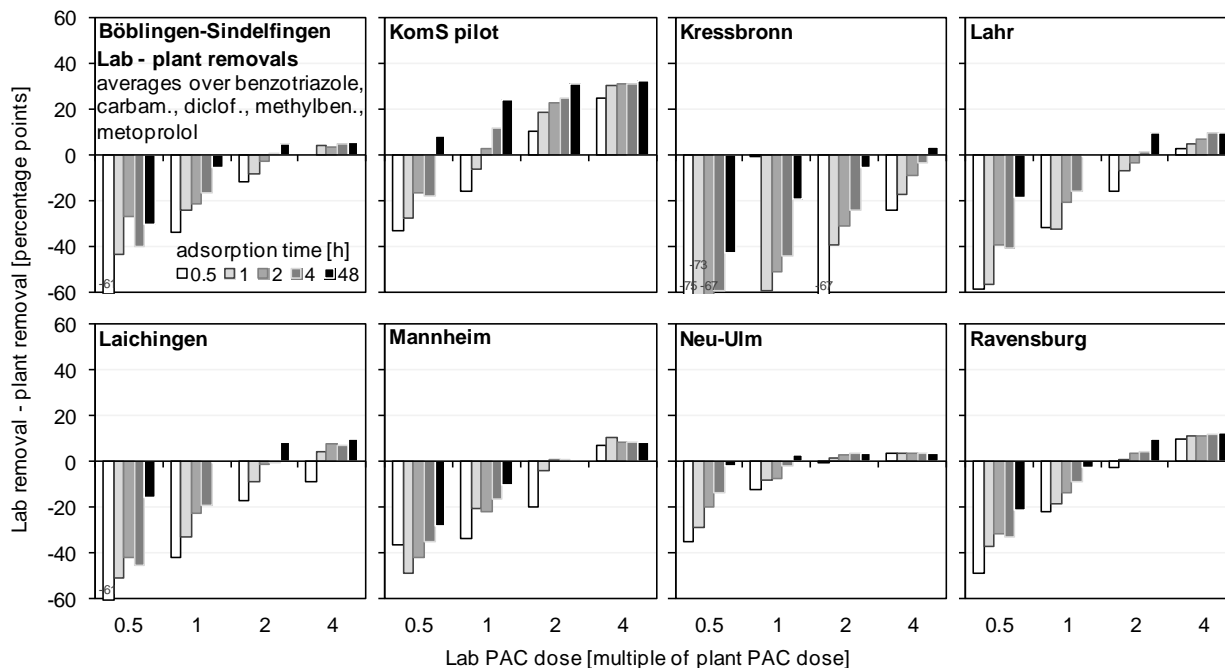
Lab PAC dose [multiple of plant PAC dose]	Adsorption time [h]	OMP										Average	
		Acesulfame	Benzotriazole	Carbamazepine	Diclofenac	4-Formylaminoantipyrine	Gabapentin	Methylbenzotriazole	Metoprolol	Primidone	Valsartan acid	OMP average	OMP average (5 selected OMPs)
0.5	0.5	-42	-61	-49	-56	-50	-26	-56	-35	-18	1	-39	-51
1	0.5	-38	-35	-18	-35	-45	-29	-30	-3	-27	-12	-27	-24
2	0.5	-34	-22	-12	-30	-22	-21	-12	-3	-6	22	-14	-16
4	0.5	-21	-4	4	1	-11	-19	-1	9	13	27	0	2
0.5	1	-33	-56	-41	-57	-54	-29	-54	-23	-40	-7	-39	-46
1	1	-37	-37	-18	-40	-41	-28	-30	-4	-11	-5	-25	-26
2	1	-30	-15	1	-15	-21	-28	-7	6	11	21	-8	-6
4	1	-21	5	7	8	6	-13	4	7	16	36	6	6
0.5	2	-39	-48	-33	-47	-49	-28	-43	-10	-33	-1	-33	-36
1	2	-33	-36	-11	-29	-43	-26	-24	2	-10	-7	-22	-20
2	2	-36	-9	5	-5	-16	-26	-4	7	-9	2	-9	-1
4	2	-25	6	8	13	8	-14	5	8	12	38	6	8
0.5	4	-41	-53	-28	-49	-56	-32	-42	-8	-37	-8	-35	-36
1	4	-35	-33	-5	-23	-43	-29	-17	7	-1	-15	-20	-14
2	4	-34	-8	6	1	-14	-25	-1	8	-3	3	-7	1
4	4	-24	8	8	15	17	-14	5	7	23	42	9	9
0.5	48	-38	-35	-11	-31	-49	-30	-25	6	-11	-19	-24	-19
1	48	-35	-14	6	-2	-31	-28	-5	8	-3	-1	-11	-2
2	48	-30	3	8	15	-3	-23	4	8	7	28	2	7
4	48	-22	13	8	17	20	-8	7	8	22	50	11	10

352

353 To determine which lab combination (multiple of plant PAC dose & adsorption time) gives the best
 354 plant representation independent of the OMP, average OMP removals can be used for each plant, as
 355 shown in Figure 4. Only benzotriazole, carbamazepine, diclofenac, methylbenzotriazole, and
 356 metoprolol are included because of their comparatively low biodegradability and their initial
 357 concentrations being consistently high ($\geq 4 \cdot \text{LoQ}$; Figure S6 includes all OMP). Analogous to Figure 3,
 358 the determined lab OMP removals outweigh the observed plant removals with increasing lab PAC
 359 doses and adsorption times. Furthermore, the variation between the different adsorption times
 360 appears smaller for higher lab PAC doses. This implies that lab procedures applying higher PAC
 361 doses would be less prone to errors from variable adsorption times. In the cases of Böblingen-

362 Sindelfingen, Lahr, Laichingen, Mannheim, Neu-Ulm, and Ravensburg, lab PAC doses twice as high as
 363 the respective plant PAC doses, combined with an adsorption time of 2 h, reach overall the best
 364 results. For the KomS pilot, a lab PAC dose equal to the plant PAC dose, combined with 2 h
 365 adsorption time, achieves the best approximation of the plant performance. The fact that the plant
 366 performance of the KomS pilot is better represented by a lower lab dose than at the other locations
 367 could be related to this plant being pilot-scale. Possibly, the plant may not work as reliably as those
 368 at the other locations. In the case of Kressbronn, a lab combination of four times the plant PAC dose
 369 and 4 h reaches the best simulation of the measured average OMP removals in the plant.
 370 Accordingly, higher lab PAC doses/longer lab adsorption times are necessary to project the plant
 371 performance in this case compared to the other tested locations.

372



373

374 **Figure 4: Differences between lab and plant OMP removals at varying lab PAC doses (as multiples of the**
 375 **respective full-scale PAC doses) & adsorption times, averaged over benzotriazole, carbamazepine, diclofenac,**
 376 **methylbenzotriazole, and metoprolol.**

377

378 The data of Figure 4 can be averaged over all plants, as shown in the rightmost column of Table 2,
379 providing the best suitable lab combination for projections of the tested plants on average. Two lab
380 combinations of PAC dose and adsorption time are well suited to approximate the plant removals of
381 the average of the five selected compounds on average over all plants (double the plant PAC dose
382 and 2 h or 4 h of adsorption time). The average values of all ten measured OMPs are given in the
383 column second from right in Table 2. Here, the best result is a removal difference of 0 percentage
384 points, reached with a lab combination of 4 times the plant PAC dose and 0.5 h of adsorption time.
385 Note that the values in the column first from right in Table 2 are generally slightly higher than those
386 in the column second from right. The reason is that the column second from right includes more
387 biodegradable OMPs whose plant removals are enhanced by biodegradation.

388 **3.3 Scattering of data**

389 According to the results shown in Figure 4, the performances of six out of eight plants can be
390 described with accuracies within a range of $\pm 5\%$ with a lab combination of twice the plant PAC dose
391 and 2 h adsorption time. At this combination however, two of the eight plants differ, by +23 (KomS
392 pilot) and by -31 (Kressbronn) percentage points, respectively. To assess the precision of the
393 suggested approach, Table 2 cannot be used as it does not include information on the scattering of
394 the data. Therefore, the standard deviations associated with the plant averages given in Table 2
395 were calculated (cf. Table S4), for single OMPs as well as for OMP averages. Comparatively high
396 scattering (up to 56 percentage points standard deviation) occurs for acesulfame,
397 4-formylaminoantipyrine, gabapentin, primidone, and valsartan acid. Except for primidone, these
398 substances are biodegradable (Huebner et al. 2012; Altmann et al. 2016; Hellauer et al. 2017; Müller
399 et al. 2017; Sperlich et al. 2017; Kahl et al. 2018) which can explain the strong variability. For
400 primidone, the variability of the plant removals is already very high (cf. Figure 2) and the initial
401 concentrations are low in many cases (cf. Table S3) making the data prone to systematic scattering
402 ($0.5 \cdot \text{LoQ}$ was taken for values $< \text{LoQ}$).

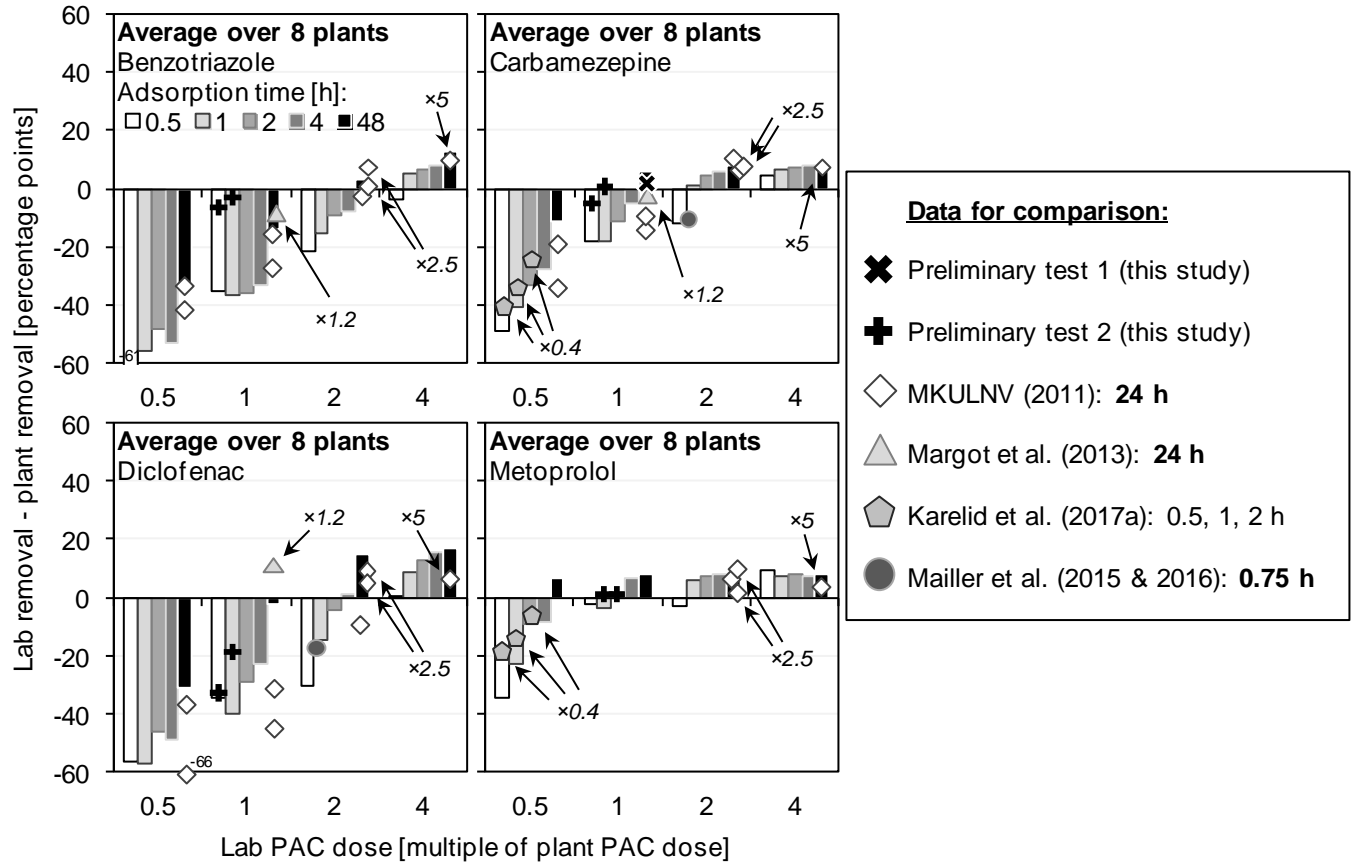
403 The scattering (i.e. standard deviation) for strongly adsorbing substances (benzotriazole,
404 carbamazepine, diclofenac, methylbenzotriazole, metoprolol) declines with increasing lab PAC
405 doses (adsorption times of 2, 4, and 48 h) and with increasing adsorption times. The maximum
406 standard deviation is 30 percentage points (diclofenac, lab dose = 2*plant dose, 0.5 h), the minimum
407 is 7 percentage points (methylbenzotriazole, lab dose = 2*plant dose, 48 h). For high lab doses and
408 adsorption times, lab removals approach 100% and plant PAC removals are already relatively high
409 (for strongly adsorbing OMPs), implying differences near zero. Accordingly, it would be advisable to
410 use comparatively high lab PAC doses/adsorption times to reduce the scattering and increase the
411 precision. However, the corresponding removal differences (cf. Table 2) may be above zero,
412 resulting in over-estimations of the plant removals. (Since the over-estimations are known from
413 Table 2, they could theoretically be subtracted.)

414 Considering Table 2, the most suitable lab combination for predicting the average plant removal of
415 all included OMPs is four times plant PAC dose and 0.5 h adsorption time. The standard deviation
416 for this combination is 18 percentage points (cf. Table S4), meaning that 68% of the tested plants
417 fall in a range of $\pm 18\%$ average OMP removal (assuming normal distribution). The most suitable lab
418 combination for predicting the average plant removal of the five selected well adsorbable/little
419 biodegradable OMPs is twice plant PAC dose and 2 h (or 4 h) of adsorption time (cf. Table 2). The
420 standard deviations of these cases are 15 and 13 percentage points, respectively, meaning that 68%
421 of the tested plants fall in ranges of $\pm 15\%$ and $\pm 13\%$, respectively. These data indicate that rough
422 projections of the average plant performances at different locations are possible.

423 **3.4 Comparison with additional data and other studies**

424 The results of the current study were compared to those of preliminary experiments and other
425 studies fulfilling the following criteria: (1) OMP removal data from both, lab tests and large-
426 scale/pilot tests need to be available, (2) the examined OMPs should be among those found in the

427 current study, (3) the lab tests need to be conducted with the same PAC as used on the respective
428 plant, (4) the same water as tested in the plant should be used in the lab, (5) the lab tests should use
429 adequate multiples of the respective plant doses, and (6) adequate contact times should be applied.
430 The average removal differences (for benzotriazole, carbamazepine, diclofenac, and metoprolol)
431 between lab tests/plants at the eight locations examined in the current study are compared to
432 removal differences from preliminary experiments and from other studies in Figure 5. Despite some
433 studies using PAC dose multiples and/or adsorption times which are not exactly consistent with the
434 current study, they were included in the comparison, in order to give a broader view. Those cases
435 are marked in Figure 5. The SI contains a detailed discussion on the data found in the cited studies.
436 In most cases, the lab-vs.-plant removal differences observed in other studies are very similar to
437 those of the current study. Deviations can be explained by lab dose multiples being slightly higher
438 than in the current study (Margot et al. 2013), or by relatively short lab adsorption times for which
439 results are more prone to systematic variations (e.g. exact length and thoroughness of
440 shaking/mixture, duration of membrane filtration for PAC removal etc. – the dependence of OMP
441 removals on mixing intensities in batch tests is demonstrated in Figure S7.) According to Figure 5, it
442 is advisable to use at least 2 h as contact time in the lab in order to minimize the impacts of such
443 systematic variability. Among the four OMPs shown in Figure 5, diclofenac shows the strongest
444 variations, which is likely due to it being potentially more biodegradable than the other OMPs (Filter
445 et al. 2017). For benzotriazole, carbamazepine, and metoprolol at high lab PAC doses (2 or 4 fold
446 plant PAC dose) and longer lab adsorption times, the lab-plant removal differences from the
447 preliminary tests and from other studies are very close to those observed in the current study (less
448 than 10 percentage points). These comparisons show that large-scale PAC plant performance can be
449 projected in the lab with the developed approach. Practitioners, engineers/planners, authorities,
450 and researchers can use the proposed procedure to quickly assess OMP removal potentials by PAC
451 re-circulation systems at various WWTP sites.



452

453 **Figure 5: Average benzotriazole, carbamazepine, diclofenac, and metoprolol lab minus plant removal differences**
 454 **on the 8 tested PAC re-circulation plants (columns, current study, legend in benzotriazole plot), with data from**
 455 **preliminary tests and other studies at corresponding lab doses (as multiples of respective plant doses) and lab**
 456 **adsorption times, as symbols (legend box at right side); indicators point to data with slight variations of dose**
 457 **multiples in cited studies; differing lab contact times marked bold in legend.**

458

459 **4 Conclusions**

- 460 • Eight different PAC re-circulation plants treating WWTP effluents performed largely differently
- 461 in removing OMPs.
- 462 • Some variability between the BOM compositions of the treated waters could be revealed by LC-
- 463 OCD and might impact PAC plant performance. Additional impacts are likely to arise from
- 464 differing PAC products, plant operation, and biodegradation.

- 465 • DOC-, UV₂₅₄, and LWM-DOC-proportional PAC doses are not suitable to project OMP removals at
466 different locations. This observation is also likely related to PAC products, plant operation, and
467 biodegradation.
- 468 • An empirical lab procedure is proposed to estimate the removals of OMPs in PAC plants at
469 different WWTP sites, by using multiples of the respective plant PAC doses, and fixed
470 adsorption times. The novel approach provides a quick and useful method for scientists,
471 practitioners, and authorities when assessing PAC performance on the large-scale.
- 472 • For single OMPs, specific lab dose-time combinations can be identified to project individual and
473 average plant performances. Analogously, such lab combinations can be identified for
474 projecting plant removals on average over several OMPs.
- 475 • Average OMP removals of well adsorbable, poorly biodegradable OMPs can be roughly
476 estimated in the lab by using twice the respective plant PAC dose, combined with an adsorption
477 time of 4 h (for all plants). The associated standard deviation is 13 percentage points, meaning
478 68% of plants would fall in a range of ±13% over-/underprediction.
- 479 • For projections of the average removals of all OMPs (10 OMPs found at all locations), four times
480 the respective plant PAC dose should be combined with an adsorption time of 0.5 h. The
481 associated standard deviation is 18 percentage points.
- 482 • The observed scattering results mostly from over-/under-predictions at two out of eight sites.
483 However, comparisons with other studies show that the proposed procedure is relatively
484 reliable. We encourage testing additional locations for further precision and reliability checks;
485 however, the substantial effort of obtaining (i) corresponding PAC plant influent & effluent
486 composite samples and (ii) samples of the PAC applied on plants should not go unnoticed.

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498

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