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# Liquid-solid boundaries dominate activity of CO<sub>2</sub> reduction on gas-diffusion electrodes

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## ABSTRACT:

Electrochemical CO<sub>2</sub> electrolysis to produce hydrocarbon fuels or material feedstocks offers a renewable alternative to fossilized carbon sources. Gas diffusion electrodes (GDEs), composed of solid electrocatalysts on porous supports positioned near the interface of a conducting electrolyte and CO<sub>2</sub> gas, have been able to demonstrate the substantial current densities needed for future commercialization. These higher reaction rates have often been ascribed to the presence of a three-phase interface, where solid, liquid, and gas provide electrons, water, and CO<sub>2</sub>, respectively. Conversely, mechanistic work on electrochemical reactions implicates a fully two-phase reaction interface, where gas molecules reach the electrocatalyst's surface by dissolution and diffusion through the electrolyte. Because the discrepancy between an atomistic three-phase vs. two-phase reaction has substantial implications for the design of catalysts, gas-diffusion layers and cell architectures, the nuances of nomenclatures and governing phenomena surrounding the three-phase-region require clarification. Here, we outline the macro, micro and atomistic phenomena occurring within a gas-diffusion electrode to provide a focused discussion on the architecture of the often-discussed three-phase region for CO<sub>2</sub> electrolysis. From this information, we comment on the outlook for the broader CO<sub>2</sub> electro-reduction GDE cell architecture.

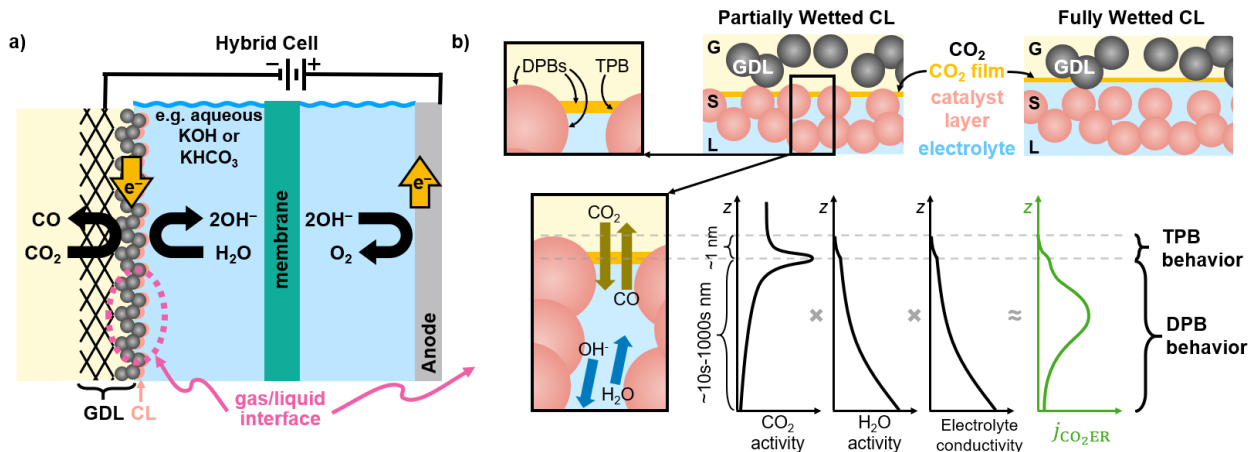
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## 1. Introduction

The electrochemical reduction of CO<sub>2</sub> (CO<sub>2</sub>R) to make high value chemicals, such as fuels or material feedstocks, offers a means to lower net CO<sub>2</sub> emissions into the atmosphere. To reduce costs and maximize this technology's impact, high current densities are needed to balance the cost of infrastructure over the volume of product. Commercial viability is estimated to require over 200 mA cm<sup>-2</sup>,<sup>1,2</sup> while even higher current densities may be needed to minimize the total electrocatalyst surface area and for products that require > 2H<sup>+</sup>/e<sup>-</sup>.<sup>3</sup> To achieve these industrially relevant operating conditions, supporting electrocatalysts on hydrophobic gas diffusion layers (GDLs) to make gas diffusion electrodes (GDEs) has enabled cell designs capable of reaching higher current densities than the conventional aqueous H-cells traditionally used in the CO<sub>2</sub>R literature.

In the classical H-cell configuration, two solid electrodes are immersed in a liquid electrolyte. The electrolyte is typically aqueous, often 0.1 to 1 M KHCO<sub>3</sub>, and is saturated by CO<sub>2</sub> gas.<sup>4</sup> The solubility limit of CO<sub>2</sub> at standard temperature and pressure (STP) (20 °C, 1 atm) is approximately 33 mM, and the diffusion rate of CO<sub>2</sub> is slow enough to limit the current density to approximately 35-100 mA cm<sup>-2</sup> (lower for C1 products, higher for C2).<sup>5</sup> Here, the reaction of CO<sub>2</sub> indisputably occurs at the liquid-solid interface of the electrolyte and the electrocatalyst.

GDE-based cells have three common configurations: microfluidic cells,<sup>6</sup> hybrid cells,<sup>7</sup> and zero-gap cells,<sup>8,9</sup> respectively characterized by a flowing liquid electrolyte between the cathode and anode (Figure S1a), flowing liquid electrolyte between the cathode and anode separated by a membrane (Figure 1a, S1b), and a cathode and anode pressed directly against a membrane with no macroscopic gap for liquid flow (Figure S1c). The GDL, often made of a macroporous



**Figure 1.** (a) Schematic (not to scale) showing the general gas diffusion electrode (GDE) cell architecture for a catalyst layer (CL) that produces CO, with a gas-diffusion layer (GDL) that is hydrophobic to mediate gas transport. (b) (top-left) Pictorial representation of a triple-phase boundary (TPB) in a GDE if the CL was partially wetted, and (top-right) of the exclusive double-phase boundary (DPB) if the CL was fully wetted, (bottom) magnified view of a partially wetted pore showing the direction of diffusion of reactants and products; note: no attempt was made here to speculate at the meniscus shapes. Plots depict qualitative change in CO<sub>2</sub> activity, H<sub>2</sub>O activity, electrolyte conductivity, and the resulting CO<sub>2</sub>R current density as predicted by later sections of this perspective.

carbon paper topped by a microporous layer (MPL) of PTFE and carbon particles, is hydrophobic and provides a barrier to liquid, allowing for it to be filled by gaseous CO<sub>2</sub>. The catalyst layer (CL), often made of a metal film<sup>7</sup> or of catalyst particles mixed with carbon particles and a polymer binder,<sup>10</sup> provides the interface between the electrocatalyst and reactants. The polymer binder is typically either ion and water conducting ionomers, or hydrophobic PTFE. Such GDE-based cells have been able to achieve current densities which are substantially higher than H-cell architectures, such as 750 mA cm<sup>-2</sup> with 63% Faradaic efficiency (F.E.) for ethylene (C<sub>2</sub>H<sub>4</sub>) with a Cu catalyst,<sup>7</sup> 350 mA cm<sup>-2</sup> with 100% F.E. for CO with a Ag catalyst,<sup>11</sup> 200 mA cm<sup>-2</sup> with 84% F.E. for CO with a non-noble Zinc catalyst,<sup>12</sup> and 1.34 A<sub>C<sub>2</sub>H<sub>4</sub></sub> cm<sup>-2</sup> at 68% F.E. for C<sub>2</sub>H<sub>4</sub> for a Nafion-coated Cu catalyst.<sup>13</sup>

When an electrocatalyst, subsequently referred to simply as a catalyst, is supported in a GDE, it can be in close proximity to an interface of gas phase CO<sub>2</sub> and a liquid or solid phase electrolyte. Figure 1a shows the cell architecture for a GDE with a supporting aqueous liquid electrolyte and no ionomer binder. Here, it is widely accepted that the high current density in a GDE is enabled by the close proximity of the catalyst and CO<sub>2</sub> gas, allowing for a plentiful supply of CO<sub>2</sub> for the electrochemical reduction. (This architecture will be the focus of this perspective and the assumed architecture for sections 2-5 unless otherwise specified; section 6 will broadly discuss the effects of adding ionomer or using different GDE-cell configurations.)

Looking at the rapidly expanding body of literature using GDE's, however, the nature of where, and how, CO<sub>2</sub> reacts on the electrocatalytic surface in a GDE configuration is not unanimously understood or described. The ultimate question that differentiates the scientific conclusions of many works of CO<sub>2</sub>R on GDEs is whether CO<sub>2</sub> reduction occurs at a triple-phase boundary (TPB) or a double-phase boundary (DPB) (see Figure 1).<sup>10,14-30</sup> The meaning of "TPB" is also not unanimous and is oftentimes ambiguous, sometimes with an atomistic context and other times a diffusive mass-transport context. The boundary thickness might be determined in the TPB by the fractions of a nanometer needed to transition from gas to liquid or solid, while in the DPB by the 10s to 1000s of nanometers CO<sub>2</sub> might diffuse through liquid from the gas phase before it chemically or electrochemically reacts.

In the case of the atomistic TPB, CO<sub>2</sub> reduction is described or implied to occur via the direct binding of gas phase CO<sub>2</sub> molecules to the catalyst at gas-liquid-solid junctions. In the case of the atomistic DPB, CO<sub>2</sub> is described to diffuse from the gas-phase to the liquid-phase and be reduced at a liquid-solid DPB in a manner similar to in an H-cell. This latter case is equivalent to the TPB defined in a diffusive mass-transport context, which we will refer to as DPBs within a

triple-phase region. Through the rest of this perspective, we use TPB in the atomistic sense. While the need for a clear phenomenological distinction and common nomenclature may seem inconsequential, the ramifications on catalyst, electrode, and cell design are significant. For example, if the catalyst and support are engineered to maximize atomistic 3-phase interfaces when CO<sub>2</sub>R in fact only occurs on the wetted surfaces, then active surface area and reagent transport will be sub-optimal. For this reason, with the rapid rise of literature in this area, we feel that a focused discussion on the nature of CO<sub>2</sub> reduction and its microenvironment near a gas-liquid interface is necessary.

Here, we take a multi-scale approach to clarify the phenomena and nomenclature of the triple-phase region for CO<sub>2</sub> electrolysis on a GDE. **Specifically, this perspective aims to resolve, using well-established scientific theory and observed experimental data, whether an atomistic three-phase or two-phase reaction interface is primarily responsible for the reactivity observed for CO<sub>2</sub>R on GDE's.** After first providing a brief history and context for this work, we will assess these two proposed atomistic reaction interfaces from the perspectives of electrochemical and material observations, mass transport of reagents and atomistic reaction pathways, as well as activity and conductivity of the electrolyte. We then provide an overall perspective and outlook for the broader three-phase region which is composed of various interfaces in close proximity to one another, and enables the elevated current densities observed at GDEs. We conclude that water supply to the catalyst is crucial, and the liquid-solid DPB is primarily responsible for the reactivity observed at GDEs, not the atomistic TPB.

## 2. Background

The first examples of what we term a TPB dates back to H<sub>2</sub> fuel cell work more than a hundred years ago<sup>14</sup>, and was repeatedly used later on in the first refined and practical versions of GDEs.<sup>15,16</sup> The reaction rates of gaseous species were proposed to be increased in flow-through and flow-by reactor designs by enabling the contact of the reactant gas with the liquid electrolyte and porous electrodes at the same time. Gas bubbles were pushed through the electrode in flow-through reactors, while a gas stream was passed along the electrode surface in flow-by architectures. Due to the later start and historically slower growth of the electrochemical CO<sub>2</sub> reduction field, many theories and terms were borrowed from fuel cells and batteries. The goal of achieving “a high population of stable three-phase CO<sub>2</sub>/catalyst/aqueous electrolyte interfacial sites” was first suggested in the original demonstration of a GDE cell architecture for the CO<sub>2</sub>R in 1990.<sup>10</sup> In recent years the same explanation has been used to guide cell design, such as coating Au onto a nanoporous polyethylene hydrophobic film to form “an array of robust and efficient three-phase contact interfaces between gold, H<sub>2</sub>O and CO<sub>2</sub> for CO<sub>2</sub>R.”<sup>17</sup> In another example, Cu powders, dendrites, and wires were supported on both hydrophobic and hydrophilic GDLs to demonstrate whether the hydrophobic support would enhance CO<sub>2</sub>R by allowing CO<sub>2</sub> gas “to contact the Cu surface as gas-phase molecules.”<sup>18</sup> Numerous other works offer similar explanations for CO<sub>2</sub>R activity, many ambiguous on whether the TPB is meant in an atomistic or diffusive mass transport context and which part of the TPB causes the high activity.<sup>19–22</sup>

Other work has recently suggested a TPB is not even present,<sup>23–26</sup> as well as work in 1999 that used a Pt mesh positioned at the interface of CO<sub>2</sub> gas and aqueous electrolyte.<sup>27</sup> They argued “the increase in current at the gas-diffusion electrode is rationalized based on a thin film model. In this model, the pore is considered to be covered by a layer film of electrolyte, which separates



the gas phase from the electrode surface. The gaseous species first dissolves in the outermost layer of the film, and then diffuses to the electrode surface through the electrolyte meniscus. The rate-determining step is the diffusion of gas through the thin layer film, and hence the resulting current should be enhanced as compared with the case of bulk electrolysis in the usual manner.”<sup>27</sup> Follow-up work<sup>28,29</sup> was less detailed on this point, arguing that “CO<sub>2</sub> gas is supplied to the electrode surface *directly* from the gas phase.” (emphasis added) In light of the conflicting examples above, the confusion and controversy in literature thus may benefit from deeper mechanistic, chemical, and physical understanding to provide clarification.

### 3. Electrochemical and Material Observations

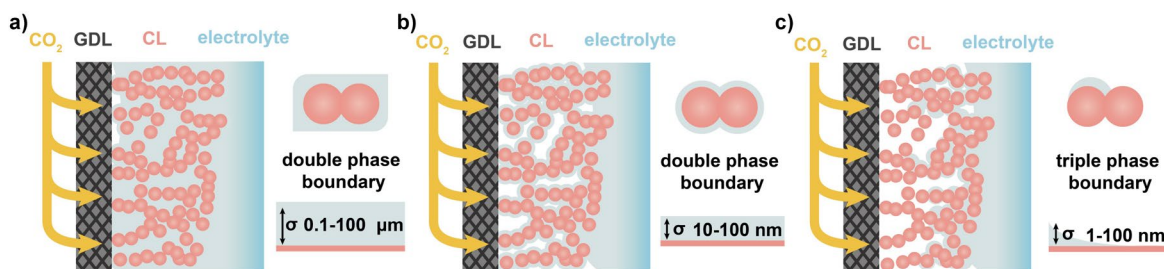
A first perspective for assessing the dominant reaction interface for CO<sub>2</sub> electrolysis is from electrochemical and material observations of the catalyst layer itself. In short, while a GDL is commonly accepted to be hydrophobic and populated with gaseous CO<sub>2</sub>, it is essential to determine from experimental observations whether gas or liquid phases are present in the porous CL. Whether the CL is wetted, partially wetted, or primarily gaseous gives an indication as to the relative availability of three-phase vs two-phase atomistic interfaces within gas-diffusion electrodes.

To begin, we can look at the wettability of flat electrode materials, which describes the preferred affinity of the surface towards water contact or gas contact. While the array of catalysts reported on GDLs is diverse and continuously growing, a substantial percentage of CLs consist of Ag<sup>7,11,23,24</sup> and Cu<sup>7,13,19</sup> deposited onto the MPL of a GDL. We then use these catalysts as a representative case rather than assessing all proposed catalysts. We begin by considering CLs without ionomer.

On an untreated flat metal surface (e.g. metal foil) a water droplet placed on top of these surfaces can exhibit a wide-range of contact angles ( $\Theta$ , where  $\Theta \sim 0^\circ$  and  $\Theta > 90^\circ$ ), indicating that either hydrophilic or hydrophobic surface states are possible.<sup>31</sup> The variability in data is attributed to the presence of different surface-oxide layers,<sup>32</sup> organic contamination, or even contamination from alumina or diamond polishing, all of which can impact wettability. Clean metal surfaces void of these surface layers, however, have been reported to have an effective contact angle of zero ( $\Theta \sim 0^\circ$ ) and are considered to be ‘spontaneously-wetted.’<sup>33,34</sup> During CO<sub>2</sub> electrolysis, the reducing potentials are sufficiently more cathodic than those needed to remove most oxide layers, providing a bare metallic surface under ideal conditions. These findings suggest that metal electrodes in an aqueous electrolyte under a reducing potential will exhibit a low contact angle once any non-metallic surface molecules have been removed.

The question now becomes whether rough, porous metal CLs (e.g. spray-coated, sputtered or evaporated metals) with a wide range of thicknesses (from <100 nm to >10  $\mu\text{m}$ 's) are fully-wetted, or if gas is present within the micro- and nano-pores of a typical CL. In essence, it is necessary to know if gas-liquid interfaces are present only at the boundary of the GDL and CL, or if TPBs are present throughout the entire CL. Here we can use knowledge of the wettability of rough surfaces and capillary action to motivate that the pores of the CL will be wetted if the top layer is hydrophilic under reducing conditions, and there is sufficient electrolyte volume available to fill the porous CL (which may not be the case for zero-gap cells).

Due to the high surface tension of water, a flat material that exhibits hydrophilic contact angles will exhibit increasing hydrophilic contact angles if that same material is roughened, this is termed a Wenzel state.<sup>35</sup> In a Wenzel state, a droplet of water placed on the surface will fill the gas pockets below the top of the rough surface, causing a water droplet to remain physically



**Figure 2.** Schematics of possible water arrangements in porous-metal CLs of CO<sub>2</sub>R GDEs in contact with an aqueous electrolyte, and magnified images depicting the thickness,  $\sigma$ , of the water layer between gas and catalyst. a) Electrolyte fully flooding the nanopores of the CL, creating a very thick diffusion layer through liquid, with the possibility of TPBs only at the GDL/CL interface. b) An electrolyte film uniformly covering the catalyst particles, with gas in the micropores, containing only DPBs. c) Electrolyte films partially wetting catalyst particles in the nanopores, while gas fills the micropores, creating many TPBs.

‘pinned’.<sup>36</sup> For example, Liu et al. showed such pinned droplet states for sputtered Ag and Cu on GDE’s both before and after a reducing potential had been applied.<sup>37</sup> Interestingly, Wenzel states for rough metal electrodes are also indirectly confirmed through most CO<sub>2</sub> reduction tests performed in an H-cell. Once water has penetrated the pores of a rough CL in a Wenzel state, the high surface tension will then result in substantial capillary action for micro- and nanopores leading to continued water penetration of the CL (see SI for capillary pressure values vs. pore size).<sup>38,39</sup> Evidence of flooded catalyst pores has also been shown by the residual presence of potassium after electrolysis on a GDE as measured by focused ion beam scanning electron microscopy (FIB-SEM) tomography, though operando measurements could not be made to explicitly conclude this, and the spatial resolution of the energy-dispersive X-ray spectroscopy (EDS) for potassium detection obscured whether only macro-pores flooded, or nano-pores as well.<sup>40</sup>

Water penetration into the porous media will then continue until movement is no longer energetically favorable, which can occur if water approaches a hydrophobic surface (see Young-

Laplace equation). This can occur once the water reaches the GDL (Figure 2a), or if a hydrophobic surface has intentionally been imposed somewhere within the CL (Figure 2c).<sup>41,42</sup> Water penetration can also be counteracted if the external gas pressure is greater than the capillary pressure, as described extensively through models by Ksenzhek and Chizmadzhev.<sup>43</sup> A typical CL, however, has a wide distribution of pore sizes,<sup>40</sup> which through the Young-Laplace equations leads to a wide range of capillary pressures ( $\sim 291$  kPa for a 500 nm pore with  $\Theta \sim 0^\circ$ ) as shown in the SI. Since the CO<sub>2</sub> gas pressure will be constant, a controlled gas-liquid pressure equilibrium throughout the entirety of the CL is then unlikely to be maintained due to variable pore sizes. Increasing gas pressure could then lead to preferential gas breakthrough in the largest pores and cracks in the CL, while the capillary pressure of the smaller pores is more resistant to gas intrusion, as depicted in Figure 2b. To date, the determination of gaseous void fractions has been the most challenging parameter to estimate while modelling GDEs or interpreting experimental data. Although (electro)wetting and mechanical equilibrium inside the pores suggests most of the pores are filled with electrolyte, operando studies are required to determine the (gaseous) void fraction in the CL during operation.

From the above arguments, we can posit that a TPB (gas-liquid-solid) likely exists at the junction of the CL and GDL, while the remainder of the catalytic surface area has a DPB (liquid-solid) if there is sufficient electrolyte available to fill the CL, as depicted in Figure 2a (in our assumed architecture of Figure 1a the volume of electrolyte between the GDE and membrane should be sufficient to fill the CL). Increasing the thickness of a CL would then increase the electrochemically active surface area of the DPB, but not the area of the TPB. If the TPB were primarily responsible for the observed activity of CO<sub>2</sub>R, we would then expect little decrease in CO<sub>2</sub>R activity as the CL thickness is changed. Unfortunately, no experiments in literature have

specifically been performed to test this hypothesis for CO<sub>2</sub> electrolysis, despite its relative simplicity. In an example of similar experiments,<sup>7</sup> the potential vs. logarithmic current density was compared at different thicknesses of Cu samples (10, 25, 1000 nm sputtered films, and 1000 ug nanoparticle film).<sup>7</sup> As shown in Figure S3, while the slope of log<sub>10</sub>( $j_{\text{geo}}$ ) vs. potential of each CL remains similar, suggesting unchanged reaction kinetics, the thicker CLs exhibit lower overall applied potentials for a given current density. This would be expected due to the increase in active surface area. Such behavior indicates that a TPB is not responsible for the majority of the observed current density, as the activity would be relatively similar for all samples.

To test the veracity of this conclusion in a targeted way, we suggest a possible experiment. First, if a simple GDE could be designed with a controlled separation distance between active catalyst and liquid/gas interface, then the separation could be increased from 0 to hundreds of nanometers, and catalyst activity compared. TPBs would be present for the 0 nm separation, but only DPBs for larger separation. If the change in activity was only due to an increased CO<sub>2</sub> diffusion distance through liquid, there would be strong support for DPB reactions providing the majority of reactivity for GDEs.

Finally, in addition to the above argumentation, we note that a typical CL and GDL system is more inhomogeneous than described idealistically above. In reality, an electrode can have multiple gas-liquid interfaces present depending on the deposition technique of a CL onto a GDL and the system configuration. For example, depending on the size of the nanoparticles deposited, or the solvent used, catalytic particles have been observed deep into the GDL.<sup>44,45</sup> Researchers have also intentionally pushed CO<sub>2</sub> through the CL into the liquid phase instead of relying on CO<sub>2</sub> diffusion through the GDL, thus causing a more complicated dynamic system of gas and liquid throughout the various pore sizes of the CL.<sup>22,46</sup> In each of these cases, however, the

reported voltage vs. current data, selectivity, and maximum current density are not substantially different than simpler catalytic systems, even with the likely increase in static or dynamic TPBs throughout the electrode.

Before moving on to a discussion of the transport in these systems, special consideration also needs to be given to CLs deposited with a binding material such as PTFE, or Nafion or Sustainion ionomers. Using a binder is necessary for unique catalytic structures formed via ligand-exchange or wet chemistry approaches to adhere to the support after drop-casting or spray-coating. In such cases the porous CL then consists of more than just a metal catalyst, and could change the water/gas ratio in the pores of a CL, such as those of Figure 2b or c. This may be especially true for PTFE, known to remain hydrophobic under many operational conditions. However, many of the primary reported binders, particularly ionomers, are hygroscopic, and can readily uptake water and swell.<sup>47,48</sup> A bulk ionomer may then exhibit a high water contact angle in a dry-state, but become fully-wetted if water is able to penetrate and expand the polymer matrix.<sup>49,50</sup> The ability for the polymer to uptake water and restructure will however depend upon the composition, structure and functionality of the ionomer.

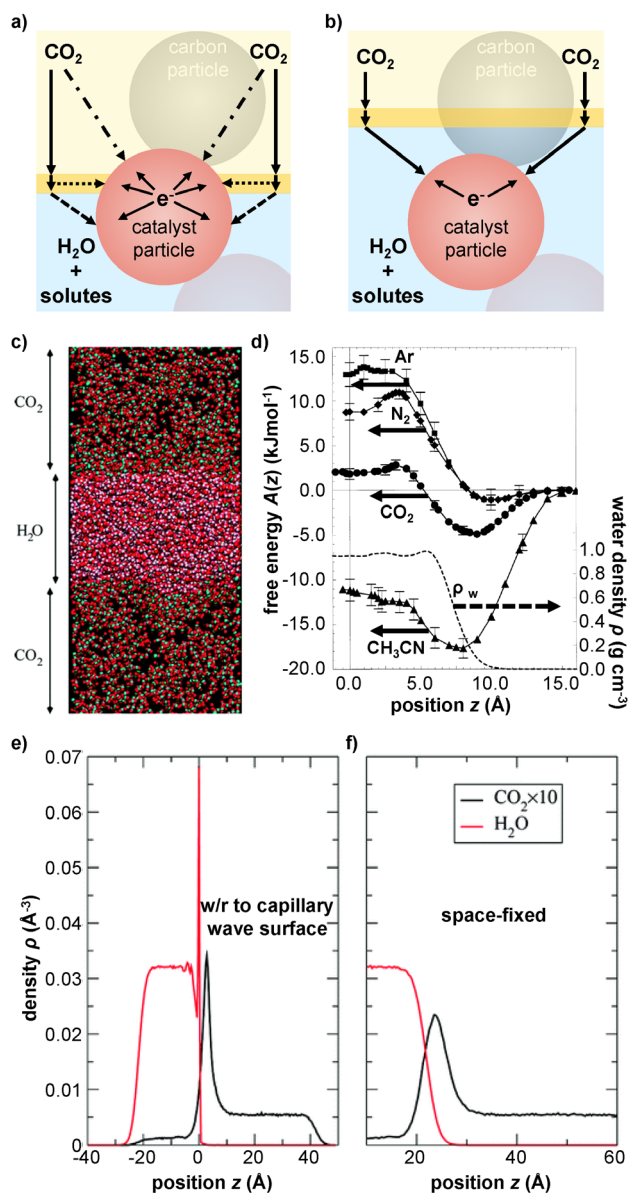
In conclusion, considering the wettability of the CL suggests that the pores of a metallic CL deposited onto a GDL will be fully-wetted by electrolyte when placed under the reducing potentials needed for CO<sub>2</sub> or CO electrolysis, provided there is sufficient electrolyte present. Under these circumstances, a large fraction of a CL's surface area is then composed of atomistic DPBs (liquid-solid), rather than TPBs (gas-liquid-solid). In the event that CO<sub>2</sub> can access the entire CL by diffusing through the liquid electrolyte, these surfaces are likely to be responsible for the majority of the observed CO<sub>2</sub> reduction activity. While these observations alone do not

exclude the possibility that an atomistic TPB is capable of CO<sub>2</sub>R, the discussion here indicates that the primary catalytic activity occurs at a liquid-solid DPB reaction interface.

#### 4. Molecular Perspective on CO<sub>2</sub> Transport Near the TPB

For a better microscopic understanding of CO<sub>2</sub>R near TPB and DPBs, we can compare the atomic-scale phenomena occurring at the individual gas-liquid, gas-solid, and liquid-solid interfaces present within a CL. In this analysis, we consider the concentration/activity, diffusion rate, and energetic stability of reactants and products at these various interfaces, which determines the local CO<sub>2</sub>R reaction rate. **By comparing the CO<sub>2</sub> activity for TPB and DPB's, we can then assess which region is primarily responsible for the CO<sub>2</sub>R reactivity in GDEs.**

To navigate this discussion, we follow the diffusion paths available to a CO<sub>2</sub> molecule on its journey from the gaseous GDL towards a catalyst surface, which can be exposed to either a gas or liquid (Figure 3a and b). First, CO<sub>2</sub> diffuses from the flow channel into the GDL until reaching the CL, where it can reach the catalyst surface through several different pathways. In one scenario, the CO<sub>2</sub> molecule can adsorb to the dry catalyst surface (Section 4.1). The CO<sub>2</sub> molecule can then either react with a surface bound hydride or diffuse along the dry catalyst surface to the liquid phase to react with hydride or water (Section 4.2). Here, the binding energy and diffusion rates of CO<sub>2</sub> and hydride on the dry and wet catalyst are assessed to determine the likelihood of these pathways. In a second scenario, the CO<sub>2</sub> can adsorb to the liquid surface, creating a concentrated film of partially-solvated CO<sub>2</sub> molecules,<sup>51</sup> and either diffuse along this film to the catalyst surface, or cross into the liquid phase to diffuse through the liquid electrolyte to the catalyst surface (Section 4.3). The relative diffusion rates and available surface areas or volumes for each of these paths are assessed to determine their relative CO<sub>2</sub> fluxes, and by



**Figure 3.** Arrangement of water and gas at the TPB, and the possible CO<sub>2</sub> pathways from gas to the catalyst. a) Schematic of partially wetted catalyst particle, with gas phase CO<sub>2</sub> above and aqueous electrolyte around the sides; dash-dot line shows diffusion directly to gas-solid DPB; long solid line shows CO<sub>2</sub> diffusion through gas to liquid surface, dotted line shows CO<sub>2</sub> diffusion along gas-liquid DPB, short solid line shows diffusion across gas-liquid DPB, dashed line shows diffusion through liquid. b) Schematic of a fully wetted catalyst particle, with a film of water between the gas phase CO<sub>2</sub> and the catalyst surface; solid arrows show the approximate CO<sub>2</sub> diffusion path (for full wetting of catalyst particle, adjacent carbon particle of GDL likely becomes partially wetted). In (a,b), faded carbon and catalyst particles are shown adjacent to catalyst particle of focus for context. c) Cross-section of water slab and CO<sub>2</sub> gas. d) (left axis) The free energy barrier for CO<sub>2</sub> and N<sub>2</sub> to enter electrolyte, (right axis) the



density of water. e) Density profile of water (red line) and CO<sub>2</sub> (black line) with respect to the “capillary wave surface” of the water; f) with respect to a space-fixed coordinate system. Panels c,e,f reproduced and modified with permission from [52]. Copyright 2011 American Chemical Society. Panel d reproduced and modified with permission from [51]. Copyright 1999 Royal Society of Chemistry.

extension the CO<sub>2</sub> activity. Assumptions that the catalyst is surrounded by pure water are made when relevant data and simulations for common electrolytes are unavailable in the literature.

#### 4.1 CO<sub>2</sub> binding to dry solid surface

Once the GDL is filled with gaseous CO<sub>2</sub>, we first consider the possibility of a CO<sub>2</sub> molecule binding to a dry catalyst under conditions relevant for CO<sub>2</sub>R (dash-dot path in Figure 3a). Adhesion of CO<sub>2</sub> to a dry electrocatalyst’s surface, and subsequent diffusion of CO<sub>2</sub> along this surface, determines the extent to which the dry surface may act as a reactant feed to the wetted catalyst surface and contribute current density to the net GDE current. In essence, we are trying to determine if a dry electrocatalyst surface can be favorable to have in a CO<sub>2</sub>R system. We again use Cu and Ag as central examples due to their affinity for high CO<sub>2</sub>R activity in GDEs.

Our original intuition was that such adhesion wouldn’t be possible. This was guided by early work<sup>53-57</sup> performed under ultra-high vacuum (UHV) conditions, which found that CO<sub>2</sub> can physisorb directly to Cu and Ag only under low temperatures. Upon warming of the surface, the CO<sub>2</sub> desorbs from Cu at different temperatures depending on the surface facets (21 K (Cu (110)),<sup>55</sup> 130 K (Cu (211)),<sup>54,56,57</sup> and between 80 and 295 K (polycrystalline Cu)).<sup>54</sup> For a clean Ag(111) surface, the CO<sub>2</sub> desorbs above 40 K.<sup>53</sup> Surfaces contaminated by oxygen, however, bound the CO<sub>2</sub> until somewhat higher temperatures, such as 130 K on a Ag(110) surface with

oxygen adatoms.<sup>53</sup> Unfortunately, physisorbed CO<sub>2</sub> is a linear molecule and not useful for CO<sub>2</sub>R, which requires bent chemisorbed CO<sub>2</sub> (b-CO<sub>2</sub>).<sup>58</sup>

More recently, CO<sub>2</sub> adsorption to Cu(111) was studied by ambient pressure X-ray photoelectron spectroscopy (APXPS) measurements and supporting density functional theory (DFT) calculations.<sup>59</sup> Here, b-CO<sub>2</sub> was observed at 298 K under 0.7 Torr, much closer to CO<sub>2</sub>R standard operating conditions than the UHV experiments. Since DFT calculations indicated 33 atm would be necessary for CO<sub>2</sub> to bind to pristine Cu at 298 K, it was hypothesized that b-CO<sub>2</sub> was stabilized on the surface by suboxide layers and the addition of water vapor.

A similar study on Ag found CO<sub>2</sub> binding to both pristine and oxygen coated surfaces, with binding occurring faster in the latter case (Figure S4a).<sup>58</sup> Here the presence of water stabilized b-CO<sub>2</sub> on the Ag in two configurations. DFT calculations predicted one of these to mediate CO<sub>2</sub>R via the same intermediates as Cu, while the other to mediate CO<sub>2</sub>R via a more facile path.

Actual CO<sub>2</sub>R conditions include a reducing potential on the catalyst surface, which could reduce the metal oxide and prevent the O layer from being present during electrolysis. Only operando measurements can then provide an authoritative assessment of the presence of O on the Cu or Ag surface during CO<sub>2</sub>R, since oxidation of the surface could occur as soon as the reducing electric potential is turned off. We are aware of two such operando measurements during CO<sub>2</sub>R, one showing continued presence of O on a Ag catalyst<sup>60</sup> and the other showing its absence.<sup>61</sup> Thus, to answer our initial question, catalytically reactive b-CO<sub>2</sub> adsorption to dry Cu or Ag appears to be possible, and faster in the presence of water vapor.

## 4.2 Transport of CO<sub>2</sub> along a dry and wetted catalyst surface

Once a CO<sub>2</sub> molecule becomes physisorbed or chemisorbed to a dry electrocatalyst surface, **there is the question of whether this adsorption contributes measurably to CO<sub>2</sub>R**. In the case where CO<sub>2</sub> adsorbs in close proximity to an atomistic TPB, there are two conceivable pathways for the bound CO<sub>2</sub> molecule to be electrochemically reduced: (1) diffusion of CO<sub>2</sub> along the dry catalyst surface into the liquid electrolyte phase where it is reduced at the liquid-solid DPB; or (2) diffusion of an adsorbed hydride ion from the liquid phase into the gas phase, where it would react with CO<sub>2</sub> adsorbed at the gas-solid DPB. The expected contribution to CO<sub>2</sub>R, in either case, is then dependent on the relative rate of surface diffusion of CO<sub>2</sub> and hydride ions along dry and wetted catalyst surfaces as compared to other diffusion mechanisms (CO<sub>2</sub> diffusion directly into the liquid phase).

Considering the first case, we can compare the diffusive flux of CO<sub>2</sub> along the catalyst surface to the diffusion flux of CO<sub>2</sub> through the liquid phase to determine their relative rates, for a stagnant capillary height in the porous electrode. Using Arrhenius kinetics to describe the surface diffusion, the energy barrier to surface diffusion can be estimated from the desorption activation energy through a corrugation ratio, explicitly the ratio of diffusion activation energy to desorption activation energy, which typically ranges from 0.25 to 0.30 for gaseous adsorbates on metal surfaces. Assuming a corrugation ratio<sup>62</sup> of 0.25 and a desorption activation energy for CO<sub>2</sub> on Ag<sup>63</sup> of 84 kJ mole<sup>-1</sup> yields a surface diffusion coefficient of  $3 \times 10^{-8}$  cm<sup>2</sup> s<sup>-1</sup> at room temperature. This is characteristic of a weakly chemisorbed system<sup>64</sup> and three orders of magnitude less than the diffusion coefficient of CO<sub>2</sub> in water ( $2 \times 10^{-5}$  cm<sup>2</sup> s<sup>-1</sup>).<sup>65</sup> Calculations in the SI, which account for the differences between surface and bulk diffusion, found that the relative flux of CO<sub>2</sub> along the catalyst surface is more than an order of magnitude smaller than

the flux of CO<sub>2</sub> diffusing through the gas-liquid DPB (assuming a circular 50 nm diameter CL pore). Thus, based on the larger mass transfer rate for CO<sub>2</sub> diffusion directly through the gas-liquid DPB, it is unlikely that surface diffusion of CO<sub>2</sub> along the dry catalyst plays a relevant role in the observed high current densities during CO<sub>2</sub>R on a GDE.

For the second case, we consider the rate that a hydride ion can diffuse from the liquid to the gas phase to react with CO<sub>2</sub>. To determine the maximum CO<sub>2</sub>R current possible from this reaction route, calculations were performed using data for hydride surface diffusion on a Cu(100) surface at a gas-solid DPB.<sup>66-68</sup> These results showed even if hydride surface coverage dropped to zero within 0.5 nm of the atomistic TPB, surface diffusion cannot compensate for measured CO<sub>2</sub>R activities. For a pore of diameter 50 nm, the limiting geometric mass transfer due to surface diffusion was  $2.5 \times 10^{-17}$  mol s<sup>-1</sup> of hydride out of one pore. Normalizing this rate to the 50 x 50 nm square that encompassed the pore yielded a geometric flux of  $2.5 \times 10^{-7}$  mol cm<sup>-2</sup> s<sup>-1</sup>, equivalent to a maximum geometric CO<sub>2</sub>R current density of 24 mA cm<sup>-2</sup>. The calculated limiting current density due to surface diffusion of hydrides is less than measured geometric current densities on porous Cu electrodes with similar pore sizes,<sup>7</sup> and therefore hydride surface diffusion is likely not the main mechanism through which the reaction proceeds at high current densities. The rapid replenishment of surface hydrides at the TPB would require very high water activity close to the TPB, which is unlikely based on the discussion in section 5.1.

In summary, by examining both viable reaction routes originating from the direct binding of CO<sub>2</sub> to a dry catalyst surface, we conclude that the majority of CO<sub>2</sub>R activity must occur from CO<sub>2</sub> reaching the catalyst's surface via the liquid phase, and not the gas phase.

### 4.3 CO<sub>2</sub> transport along liquid surface or through liquid bulk

Finally, a transport mechanism that is relevant to the phenomena occurring at a TPB is the movement of CO<sub>2</sub> into the liquid phase (paths beginning with long solid line in Figure 3a). The phenomena relevant for predicting possible electrochemical activity include (i) the physical properties of the CO<sub>2</sub>-liquid film, (ii) the transport of CO<sub>2</sub> through the film, and (iii) the transport of CO<sub>2</sub> along the film.

Using known energetics of CO<sub>2</sub> molecules near the liquid surface, density functional theory (DFT)<sup>51,52</sup> and molecular dynamics (MD) simulations<sup>69</sup> can describe the CO<sub>2</sub>-water interaction. These simulations show a result not discussed in CO<sub>2</sub>R literature, that a CO<sub>2</sub> film is present at the gas-liquid DPB.

These models typically use a horizontal slab of water with CO<sub>2</sub> gas above and below, as depicted in the 3D illustration of Figure 3c.<sup>52</sup> The calculated free energy of CO<sub>2</sub> showed an energy minima of ~5 kJ/mol, twice the energy of thermal motion for the 300 K system, and sufficient to form the film of CO<sub>2</sub> molecules on the gas-liquid DPB. The calculated density of CO<sub>2</sub> and water are plotted in Figure 3e-f, with each panel using a different mathematical definition for the water surface, showing the water surface transitions from 0 to bulk water density in ~0.2-1 nm and CO<sub>2</sub> adsorbs to the water surface in a ~1 nm thick film. The film has 5-7x the density of CO<sub>2</sub> in the gas phase, and 16-25x the density of CO<sub>2</sub> dissolved in the liquid water. Other common gasses show a similar energy profile, but with different magnitudes of energy wells and barriers; this suggests gas products from CO<sub>2</sub>R may experience different kinetics at the gas-liquid DPB than CO<sub>2</sub>.

It is useful to now consider CO<sub>2</sub> diffusion through the liquid layer to the catalyst surface (short solid line and dashed line in Figure 3a). The flux of CO<sub>2</sub> along this path will depend on the rate

of diffusion across the gas-liquid DPB, the rate of diffusion through liquid, the area of the wet catalyst, and the concentration of CO<sub>2</sub> in the liquid. These diffusion rates have been determined by MD simulations and validated against experiments (see SI for detailed summary).<sup>69</sup> The first question to address is how far into the liquid a gaseous CO<sub>2</sub> molecule can penetrate before it acts like a dissolved CO<sub>2</sub> molecule. MD simulations found that CO<sub>2</sub> molecules thermalize rapidly at the liquid surface, losing their gas-phase kinetic energy in 0.3±0.05 ps, per the simulated decay in average kinetic energy shown in Figure S4c. This thermalization occurred mostly within ~0.5 nm, where the liquid density went from 0 to bulk, per the histogram of velocity reversals of a CO<sub>2</sub> molecule as a function of position in Figure S4d. This rules out any possibility of CO<sub>2</sub> molecules passing through a layer of liquid to reach the wetted catalyst surface before becoming solvated in the liquid, unless the water layer was only several monolayers thick (i.e., <0.5 nm).

Next, it is necessary to understand whether the process of crossing from gas into liquid phase is faster or slower than CO<sub>2</sub> diffusion through the liquid phase. Previous results indicate that this transition between phases is faster,<sup>69</sup> despite the small energy barrier between the CO<sub>2</sub> film and inside the liquid phase (Figure 3d). Thus, in the path in Figure 3a for CO<sub>2</sub> diffusing from gas to the liquid-solid DPB, the dashed line is the slowest path portion.

Lastly, we consider the possible flux of CO<sub>2</sub> along the CO<sub>2</sub> film (dotted line in Figure 3a). It is apparent in Figure 3e that the high concentration of CO<sub>2</sub> corresponding to the CO<sub>2</sub> film is positioned where the water density is below 50%. If we approximate  $D$  by the relation for a 2-dimensional gas,

$$D = \frac{1}{8nd} \sqrt{\frac{2k_B T}{m}}, \quad \text{Eq. 1}$$

where  $n$  is the number density of molecules,  $d$  the molecule diameter,  $k_B$  the Boltzmann constant,  $T$  absolute temperature, and  $m$  the molecule mass, then we can see that  $D$  scales inversely with

density. Thus, a 50% to 90% decrease in water density should increase the diffusion rate by 2 to 10x. This compounds with the 16 to 25x increase in CO<sub>2</sub> concentration in the film compared to that dissolved in liquid, per Figure 3e-f. Combined, these rough values suggest a ~30 to 250x increase in flux per unit area along the CO<sub>2</sub> film compared to the flux in the liquid. On the other hand, the CO<sub>2</sub> film is only ~0.5 nm thick, while the wetted catalyst surface could be anywhere from 10 to over 10,000 nm thick.<sup>40</sup>

In short, this subsection discussed the transport of CO<sub>2</sub> from the gas phase to the liquid phase, which is highly relevant for the discussion of CO<sub>2</sub> electrolysis on GDEs. While a concentrated CO<sub>2</sub> film on the liquid may be a beneficial place to position a catalytic surface due to higher CO<sub>2</sub> concentrations and faster transport than a bulk liquid phase, the cross-sectional area of such a film is relatively small. Thus, the catalytic surface with access to such a film is likely much smaller than the area of the liquid-solid DPB as described in Section 3.

In conclusion, this section has discussed the various transport mechanisms for CO<sub>2</sub> occurring near the gas-liquid interface. While the diffusion rate of CO<sub>2</sub> through the gas phase is faster than any other phase, the diffusion of CO<sub>2</sub><sup>-</sup> and hydride along the catalyst surface is slow relative to other transport mechanisms. While the CO<sub>2</sub> film concentration and diffusion rate are high, its contact area with the catalyst is much smaller than the liquid-solid DPB. In both cases, larger geometric current densities are possible with smaller pores, however, this process would be limited by water activity at the atomistic TPB, as described in the subsequent section. Thus, this section suggests the liquid-solid DPB is primarily responsible for the activity in a CO<sub>2</sub>R GDE and not the TPB.

## 5. Current Distribution in Wet Catalyst Layers

In the previous section, the transport and binding of CO<sub>2</sub> at various interfaces in a CO<sub>2</sub> electrolyzer were discussed, using the fluxes of a CO<sub>2</sub> molecule to infer activity (Figure 1b). Here, the local reaction rate that is possible at the wet catalyst surface is discussed, which may be within a flooded CL (Figure 2a) or may have a thin electrolyte film coating it (Figure 2b or 2c). At this wet catalyst surface, the reaction may be limited by the water activity and the ionic conductivity rather than access to CO<sub>2</sub>. Furthermore, the water activity and electrolyte concentration may control CO<sub>2</sub> transport by affecting its solubility, diffusion, and conversion to (bi)carbonate. When combined with the discussions so far, and using focused literature from the hydrogen oxidation reaction (HOR) and oxygen reduction reaction (ORR) literature as guides, the limitations of electrochemical reactions in thin electrolyte films is discussed below to better understand the length scales important for each parameter affecting electrochemical CO<sub>2</sub>R.

### 5.1 Effect of water activity on reaction rate and CO<sub>2</sub> supply

At the same rate that electrons are transferred to a CO<sub>2</sub> molecule during CO<sub>2</sub> electrolysis, a source of protons is also required. In aqueous electrolytes, water plays a critical role by providing protons to the reaction, but the availability of water in an electrolyte (called the water activity) is finite and may be limited under certain conditions, particularly at elevated electrolyte concentrations and thin film thicknesses.

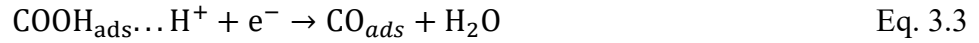
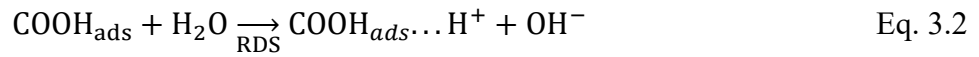
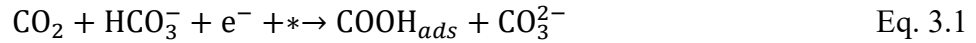
The water activity represents the ability of water molecules to hydrate ions in solution. It is defined to have a value of 1 for pure water and drop toward zero with increasing solute concentration.<sup>70,71</sup>



For neutral pH solutions containing a buffer electrolyte (e.g. 0.5 M NaHCO<sub>3</sub>, pH 7.2) the protons are shown to be supplied by the buffer anions at low overpotentials on Cu electrodes, which results in mass transfer limited current density depending on the convective forces and electrolyte concentration.<sup>72,73</sup> Recent work on Ag electrodes<sup>74</sup> has suggested the first protonation of CO<sub>2</sub> is from HCO<sub>3</sub><sup>-</sup>, and the second protonation from H<sub>2</sub>O, with the second protonation the rate-determining step (RDS) for nanostructured Ag electrodes, as shown in Eq. 3. This corresponds to a reaction of order -1 in CO<sub>3</sub><sup>2-</sup> and of order 1 in  $P_{CO_2}$ , HCO<sub>3</sub><sup>-</sup>, and H<sub>2</sub>O. The overall chemical reaction is then,



Broken down into sequential steps, this is



The corresponding rate expression for CO<sub>2</sub>R to CO is then,

$$i_{CO_2R} = -nFAk^0 \frac{a_{CO_2} a_{H_2O} a_{HCO_3^{2-}}}{a_{CO_3^{2-}}} e^{-\alpha_c f \eta},$$

where  $n$  is the number of electrons per CO<sub>2</sub> molecule,  $F$  the Faraday constant,  $A$  electrode area,  $f=F/RT$ , with  $R$  the gas constant and  $T$  absolute temperature,  $\alpha_c$  is the cathodic charge transfer coefficient, and  $\eta$  is the overpotential. Note that this and alternative RDSs, along with the order of dependence in bicarbonate, were proposed at low potentials since at high potentials mass transport effects were assumed to effect the Tafel slope.<sup>75</sup>

More importantly, at high potentials the protons are considered to be supplied by water molecules as the proton reduction (either from solvated protons or from the buffer electrolyte) reaches to a mass transfer limitation.<sup>72</sup> Therefore, for GDEs most of the protons are likely to be supplied by water molecules at high current densities. Further, due to electrostatic repulsion, the proton donated to a CO<sub>2</sub>R intermediate already bound to the negatively charged cathode is expected to come from the neutral H<sub>2</sub>O molecule rather than the negative HCO<sub>3</sub><sup>-</sup>; thus, many possible rate expressions will include water activity, and water activity will likely be an important factor in the CO<sub>2</sub>R rate expression for GDEs.

To confirm these for GDEs operating in neutral or alkaline electrolytes (e.g. KOH), further work will be necessary. Specifically, the operando activity of solutes and the solvent in the microenvironment of the GDE must be measured to determine the reaction rate dependence on solute activity, and this data coupled with Tafel analysis to evaluate possible RDSs.

The above equation shows a linear dependence of current on water activity,  $i_{\text{CO}_2\text{R}} \sim a_{\text{H}_2\text{O}}$ , (first order reaction in H<sub>2</sub>O) which would preclude significant reaction rates at low water activity. This dependence could affect the current distribution in a CL in two ways. First, water films of ~3 nm or less have been predicted to have low water activity.<sup>76</sup> Second, the accumulation of OH<sup>-</sup> from CO<sub>2</sub>R (and consequent accumulation of cations, e.g. Na<sup>+</sup> or K<sup>+</sup>) in a pore of the CL far from the bulk electrolyte will result in a high local electrolyte concentration,<sup>25</sup> and thereby a low local water activity and CO<sub>2</sub>R reaction rate.

To determine which part of a GDE CL is primarily responsible for the CO<sub>2</sub>R activity, it is apparent that local water activity in the CL microenvironment will favor higher reaction rates closer to the electrolyte bulk (further from the gas-liquid DPB), because there will be less electrolyte ion accumulation and higher water activity.

## 5.2 Electrolyte conductivity

The electrolyte conductivity also has an important effect on the distribution of  $j_{\text{CO}_2\text{R}}$  in the CL. The  $\text{CO}_2\text{R}$  reaction, as with the HOR and ORR, is an electrochemical reaction that requires ions traveling to and from the reaction site in order to complete an electrical circuit. Unlike the electrically neutral  $\text{CO}_2$  molecule, which when near the electrode can only undergo diffusion, charged ions like  $\text{OH}^-$  can be transported by diffusion as well as migration, the latter driven by an electric field. This migration causes a drop in voltage across the electrolyte that is proportional to its ionic resistance, as described by Ohm's law.

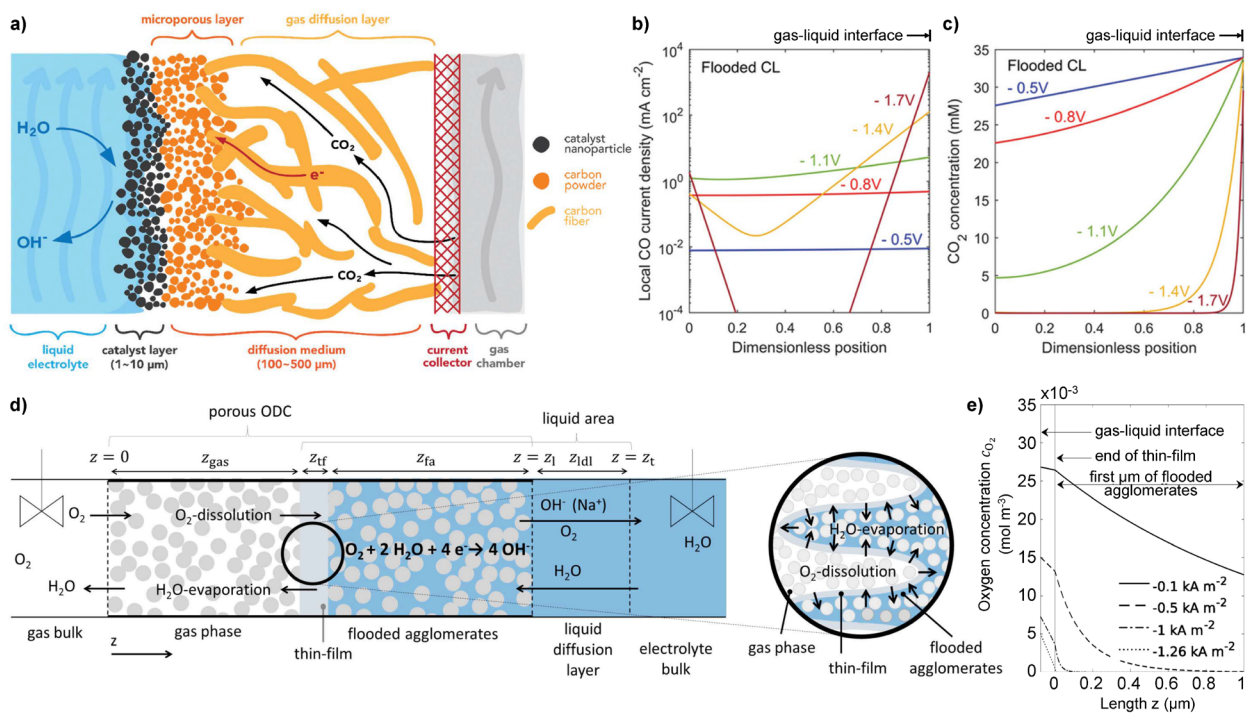
Several conditions could cause low electrolyte conductivity in a CL. Near the tip of an electrolyte meniscus, such a meniscus being found at the TPB of a porous electrode, the electrolyte layer becomes thin and the ionic resistance increases.<sup>77</sup> The ionic resistance in porous electrodes is also greater at points in the electrode further from the electrolyte bulk, due to the long narrow channels of electrolyte that ions must travel through. Partial flooding of porous electrodes means some channels through the electrode will be filled by gas rather than electrolyte, and such channels will have no ionic conductivity, thereby increasing the net ionic resistance of the CL.<sup>43</sup> Finally, the local increase in electrolyte concentration in the CL from production of  $\text{OH}^-$  should increase electrolyte conductivity until 5 M for NaOH, or 7 M for KOH, (25 °C) after which the conductivity will decrease.<sup>78</sup> The high electrolyte conductivity has been suggested as the reason  $\text{CO}_2\text{R}$  at GDEs in 7 M KOH showed low overpotentials for high current densities of approximately  $1 \text{ A cm}^{-2}$  (although  $\text{CO}_2$  consumption by concentrated KOH presents energy efficiency challenges).<sup>13,19,79</sup>

The importance of electrolyte conductivity has recently been experimentally demonstrated for CO<sub>2</sub>R on GDEs at high current densities (>100 mA cm<sup>-2</sup>), although this study only varied the bulk conductivity.<sup>80</sup>

### 5.3 Estimated size of reaction zone

From the above assessment of mass transport and reaction rates at different phases and phase boundaries, we can assess the physical size of the catalyst area that contributes to the majority of the reactivity/current in a GDE, and understand how close it typically is to the gas-liquid DPB. Looking first to the mature field of fuel cells for precedents, we consider a study of the HOR that measured the meniscus shape on a vertical flat Pt electrode partially immersed in 1 N H<sub>2</sub>SO<sub>4</sub>.<sup>76</sup> The meniscus had a finite contact angle (~3°) at its tip (Figure S5a) and extended down approximately 500 μm to where the electrolyte surface became horizontal (Figure S5b). Calculations of the current distribution along the Pt surface found that at 0.91 V vs. RHE, 50% of the current was within the first 1 μm of the meniscus tip, and at 0.39 V vs. RHE, 50% of the current was within 6 μm of it (Figure S5c and d). Thus, the calculated current distribution extended 1000s of nm from the meniscus tip, beneath an electrolyte film ranging from 3 to ~300 nm thick.

Next, we consider modelling of a CO<sub>2</sub>R GDE with a porous Ag CL devoid of ionomer (an inert binder was assumed). The GDE architecture is shown in Figure 4a. For the case of a CL fully flooded by the electrolyte, Figures 4b and 4c show the depth dependence of  $j_{CO}$  and CO<sub>2</sub> concentration in the CL (depth scaled by the CL thickness of ~4 μm, per calculation in the SI). Low current densities see an even distribution of CO<sub>2</sub> and  $j_{CO}$  throughout the CL. High current densities see depletion of CO<sub>2</sub> in the CL, with confinement of dissolved CO<sub>2</sub> and  $j_{CO}$  to the CL



**Figure 4.** Detailed study of  $\text{CO}_2$  and  $\text{O}_2$  diffusion in  $\text{CO}_2\text{R}$  and ORR GDEs, with comparison between experiment and simulation. a) Diagram of the  $\text{CO}_2\text{R}$  GDE. b) Simulated  $\text{CO}_2\text{R}$  to  $\text{CO}$  current density,  $j_{\text{CO}}$ , profile vs. depth into CL. c) Simulated  $\text{CO}_2$  concentration profile vs. depth into CL. b-c) Depth dimensionless position scaled by CL thickness of  $\sim 4 \mu\text{m}$ . d) Diagram of partially flooded CL in the ORR GDE. e) Simulated  $\text{O}_2$  concentration profile for different current densities, in the case of no stirring in the electrolyte bulk. Panels a-c reproduced and modified with permission from [25]. Copyright conveyed through Copyright Clearance Center, Inc. Panels d,e reproduced and modified with permission from [81]. Copyright 2019 Creative Commons License.

portion near the gas-liquid DPB; the highest  $j_{\text{CO}}$  shows a drop in  $j_{\text{CO}}$  of 2 orders of magnitude within  $\sim 200 \text{ nm}$  from the gas-liquid DPB. This model did not include parameters like water activity or variable water concentration. However, it found the electrolyte concentration could surpass  $10 \text{ M KOH}$  in the CL despite a bulk electrolyte of  $0.5 \text{ M KHCO}_3$ ; the authors suggested it would behoove future work to include such parameters.

For insight on the role of water activity on current distribution, we can look to a study of the ORR in the CL of a fuel cell cathode. This study also demonstrates techniques for careful validation of simulations against experiment. The GDE architecture investigated is shown in Figure 4d.<sup>81</sup> In the model, the gas-liquid DPB between dry and flooded agglomerates was contained in the so-called “thin-film” region, assumed to be 80 nm thick, and the reaction zone, where the ORR occurred, was confined to the flooded agglomerate region. Figure 4e shows the simulated O<sub>2</sub> concentration profile throughout the thin-film and flooded agglomerate regions for four values of  $j_{\text{ORR}}$ , in the case of a stagnant (unstirred) electrolyte bulk. The reaction zone, defined by the O<sub>2</sub> profile, shrunk “almost to zero at the mass transport governed current density of  $j_{\text{ORR}} = -1.26 \text{ kA m}^{-2}$ ” ( $-126 \text{ mA cm}^{-2}$ ). However, stirring the electrolyte bulk lowered the accumulation of OH<sup>-</sup> and Na<sup>+</sup> in the flooded agglomerate, maintaining high water activity for high O<sub>2</sub> solubility, and low viscosity for fast O<sub>2</sub> diffusion, preventing the reaction zone from shrinking to zero.

Using the dissolved O<sub>2</sub> concentration profile as a first order approximation for the  $j_{\text{ORR}}$  profile, the reactive area of the CL seems to extend 10s to 1000s of nm from the gas-liquid DPB, depending on the current density. Convolution between the dissolved O<sub>2</sub> concentration profile, electrolyte conductivity, and water activity should shift the region of highest  $j_{\text{ORR}}$  deeper into the flooded agglomerates, further from the O<sub>2</sub> gas. Crucially, by including water activity they demonstrated it dictated the O<sub>2</sub> concentration profile and thereby the  $j_{\text{ORR}}$  profile.

To summarize, relevant models support the assumption that the reaction zone for CO<sub>2</sub>R in a GDE is confined to the wet portion of the CL, and suggest the  $j_{\text{CO}}$  distribution in a fully flooded CL is determined largely by the dissolved CO<sub>2</sub> distribution. Further, this reactant gas distribution is apparently regulated by the electrolyte concentration at high current densities ( $\sim 100 \text{ mA cm}^{-2}$ ).

In the CO<sub>2</sub> case, the CO<sub>2</sub> equilibrium with (bi)carbonate plays an important role, with high local hydroxide concentrations consuming CO<sub>2</sub>, which will limit CO<sub>2</sub> penetration into the CL.<sup>25,82,83</sup> Also, as demonstrated for the ORR,<sup>81</sup> the high electrolyte concentration in the CL will increase viscosity, thereby slowing diffusion of CO<sub>2</sub> and diminishing electrolyte conductivity.<sup>78</sup> Thus, the portion of the CL primarily responsible for the CO<sub>2</sub>R current likely extends 10-1000 nm from the gas-liquid interface, with a thinner reaction zone at higher current densities, and a current distribution as depicted in Figure 1b.

## 6. Assessment and Outlook

The above considerations are focused on porous metal CLs devoid of ionomer and supported on GDLs to form GDEs for electrochemical CO<sub>2</sub>R. From the physics of wetting porous materials, it was established there is a strong propensity of nanopores and micropores in the CL to be flooded by an aqueous electrolyte, such that most reported CLs can be assumed to be fully flooded. From reported increases in GDE activity with increased CL thickness (Figure S3), it was established the electrochemical response of GDEs can be explained by a model where CO<sub>2</sub> diffuses from gas phase, through liquid, to reach the catalyst. Together, these materials and electrochemical observations suggest the **atomistic liquid-solid two-phase reaction interface is primarily responsible for the reactivity observed for CO<sub>2</sub>R on GDE's and not the atomistic triple-phase boundary where most researchers claim.**

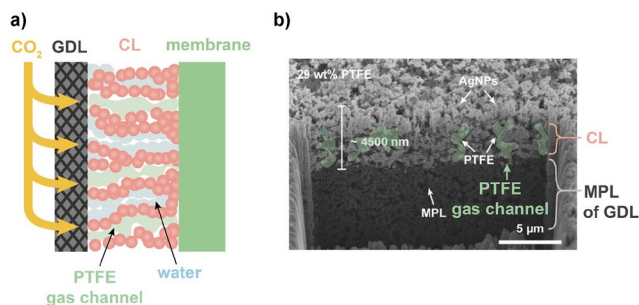
Probing the question from another perspective, molecular mass transport and thermodynamic stability were used to explain how a reaction could happen in different parts of an atomistic TPB. At the dry catalyst surface, a gas-phase CO<sub>2</sub> molecule can chemisorb to the surface in a manner amenable to CO<sub>2</sub>R, given the likely presence of water vapor in close proximity to the TPB.

However, calculations of the approximate diffusion rate of bound CO<sub>2</sub> or hydride on the metal surface suggested the reaction zone would be limited to ~1 nm from the TPB, and that diffusion rates would be slower than CO<sub>2</sub> dissolving into the water and then to the catalyst surface.

At the DPB of CO<sub>2</sub> gas and liquid water there is a concentrated film of CO<sub>2</sub> at the water surface, which is calculated by DFT to be about 1 nm thick, with a CO<sub>2</sub> diffusion constant between that of the gas and liquid phases. While this implies a greater per-unit-surface-area supply of CO<sub>2</sub> to the TPB than the wet catalyst surface, the reaction zone will be only 1 nm thick, while the wet catalyst surface extends 10s-1000s of nanometers depending on the CL composition and the total current density.

Due to the dependency on protons for CO<sub>2</sub>R, CO<sub>2</sub> supply is only half the story for determining the CO<sub>2</sub>R reaction rate. In alkaline electrolytes, H<sub>2</sub>O is consumed and OH<sup>-</sup> produced by CO<sub>2</sub>R. Thus, the low water activity in thin water films and concentrated electrolytes should slow the reaction at the thin electrolyte layer of a meniscus, or deep in a porous electrode (far from bulk electrolyte) where OH<sup>-</sup>, and therefore cations such as K<sup>+</sup>, accumulate in high concentrations such as 10 M KOH.<sup>25</sup> This high local electrolyte concentration should also lower the CO<sub>2</sub> solubility and diffusion rate, and lowers local ionic conductivity in highly concentrated electrolytes (above 7 M for KOH). Furthermore, thin water films, partially wetted CL pores, and pores far from the electrolyte bulk have low ionic conductivity, causing ohmic resistance to OH<sup>-</sup> migration from the reaction zone. Thus, these two parameters, water activity and electrolyte conductivity, favor a higher reaction rate near the electrolyte bulk, away from the TPB. Combining the limitations of CO<sub>2</sub> supply, H<sub>2</sub>O supply, and OH<sup>-</sup> migration, **we expect CO<sub>2</sub>R current to be primarily at the catalyst surface in the liquid phase, with a reaction zone extending 10s-1000s nm from the**





**Figure 5.** Zero-gap GDE cathode. a) Schematic of expected water and CO<sub>2</sub> distribution in the CL. b) SEM image of cross-section of CL, showing segregated regions of Ag catalyst and PTFE; annotations show the expected gas channels in the PTFE regions. Panel b) reproduced and modified with permission from [8]. Copyright 2020 American Chemical Society.

**gas phase**, a similar size to that of the HOR and ORR systems discussed, with a current distribution approximately as shown in Figure 1b.

The utility of the above conclusions is in understanding different GDE cells' performance and pathways to improvement. As already discussed, for GDE cells that rely on liquid water infiltration of the CL to supply water and ionic conductivity to the catalyst, cells fall into three categories: microfluidic cells with flowing electrolyte and no membrane, hybrid cells with a gap for liquid flow between the GDE and membrane, and zero-gap cells with the GDE pressed directly against the membrane. For all three architectures, the water distribution in the CL is a function of pore size, (hydrophobic) PTFE content, and availability of water for pore filling. Given the expected physics of pore flooding, a nanoporous metal CL in contact with a liquid electrolyte would have a fully flooded CL and fully dry GDL, as depicted in Figure 2a. If the pores were large enough, then it is possible the catalyst surface may fully wet without flooding the pores, to create a CL as depicted in Figure 2b. Finally, if PTFE was included in the CL (as it sometimes is for binding together catalyst particles or managing CL flooding), it could create dry regions, and look like the CL as depicted in Figure 2c. This last water arrangement is especially

likely for zero-gap cells, since the water for filling the CL is constrained to condensation from a humidified gas stream and water diffusion through the membrane. High loading of PTFE in a CL made of carbon particles, PTFE, and Ag particles has shown the Ag and PTFE to segregate into  $\sim 1 \mu\text{m}$  regions,<sup>8</sup> and in zero-gap cells these regions may mediate adjacent flooded catalyst-filled regions and dry PTFE regions, as depicted in Figure 5.

The CO<sub>2</sub>R current distribution in the CL can be surmised from the above summary of CL flooding and the results of Section 5 on the wet CL current distribution in triple-phase regions. In a fully flooded CL, on a fully dry GDL, sufficiently low current densities would render 1000s of nanometers of CL thickness active towards CO<sub>2</sub>R, while sufficiently high current densities would likely confine the activity to the first 10-100 nm from the gas phase (because CO<sub>2</sub> diffusing through the liquid would be consumed by the first 10-100 nm of catalyst due to the high reaction rate). For zero-gap cells, partial flooding of the CL could explain the sensitivity of these cells to process conditions. Insufficient flooding of the CL may cause low water supply and low ionic conductivity to the catalyst, while excessive flooding may reduce the CO<sub>2</sub> supply to the wet catalyst regions. Optimum CO<sub>2</sub>R may be achieved from flooded  $\sim 1 \mu\text{m}$  wide channels of catalyst filled regions with interdigitating  $\sim 1 \mu\text{m}$  wide channels of dry PTFE filled regions. Since ionomers, also often used as a catalyst binder, conduct water and ions, their inclusion in the flooded channels of the CL in Figure 5 may benefit GDE performance, although we are not aware of any studies on this combination of PTFE and ionomer. These ionomer binders, such as Nafion, also support CO<sub>2</sub> diffusion<sup>84</sup> and have enabled some of the best-performing CO<sub>2</sub>R GDEs.<sup>13</sup>

For both liquid electrolyte and zero-gap cells, flooding of the GDL is likely to diminish CO<sub>2</sub> supply to the CL with no benefit to water activity or electrolyte conductivity. This GDL flooding

is a widely reported issue in GDE cells,<sup>8,17,45</sup> but unfortunately there are no definitive studies that can distinguish how much flooding occurs in the CL vs. the GDL. Operando tomography, demonstrated in adjacent research fields on Ag GDEs<sup>85</sup> and polymer electrolyte fuel cells,<sup>86</sup> could provide useful insight into CO<sub>2</sub>R GDEs.

Going forward, the apparent advantage of a TPB near the catalyst is providing a short diffusion path for the gas, through liquid, to the catalyst. As higher current densities are reached, and GDEs are devised that provide significant catalyst surface area near the CO<sub>2</sub> gas, water management to ensure a plentiful water supply will likely become increasingly important. Likewise, any such electrodes will require high ionic conductivity with the current well-distributed over the surface to avoid high localized current densities with corresponding high ohmic drops. Finally, creating stable gas channels and liquid channels in the CL of a zero-gap cell may provide an optimal supply of CO<sub>2</sub>, H<sub>2</sub>O, and ion conductivity to the catalyst, although ensuring the catalyst was in the liquid phase would be necessary to maximize its utilization.

## **Conclusions**

To conclude, there is uncertainty in the literature of CO<sub>2</sub>R on the role of the TPB in GDEs. We have considered wetting properties of GDEs to suggest what the likely water distribution within them is, and electrochemical observations of CO<sub>2</sub>R GDEs that support a picture of CO<sub>2</sub> diffusing a short distance from gas, through liquid, to the catalyst. Further, we considered molecular scale mass transport and energetics of reactants and products in the different phases and their boundaries, which supported a picture where CO<sub>2</sub>R occurs primarily in the liquid covered catalyst. This result is similar to the conventional models for the spatial current distribution for HOR and ORR electrodes used in fuel cells, a more mature and well-studied technology than

CO<sub>2</sub>R. These electrochemical systems were chosen as parallels to CO<sub>2</sub>R because they consume reactant gases, and ORR in particular consumes water and produces OH<sup>-</sup>, similar to CO<sub>2</sub>R. These systems showed the reaction to occur primarily within 10-1000 nm of the gas-liquid interface. At higher current densities, the current was confined closer to that interface.

From our analysis, CO<sub>2</sub>R should be regulated by the water activity and electrolyte concentration similarly to the HOR and ORR. Thus, the above results suggest that, in going forward to higher current density CO<sub>2</sub>R reactors, there should be a focus on both CO<sub>2</sub> diffusion to the catalyst, as well as maintaining a moderate electrolyte concentration that has slow CO<sub>2</sub> conversion to (bi)carbonate with high water activity and ionic conductivity in the microenvironment of the catalyst surface. Finally, our analysis indicates that a majority of the reactivity and current distribution in GDEs for CO<sub>2</sub>R occur at a double-phase boundary and not a triple-phase boundary, contrary to what the majority of literature reports.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

## ASSOCIATED CONTENT

### **Supporting Information.**

The following files are available free of charge. Supporting Figure S1 showing different cell architectures, Supporting Figure S2 showing capillary pressure vs. pore size, Supporting Figure S3 showing the topography and current of ethylene producing GDEs, a summary of DFT and MD simulations of CO<sub>2</sub> at a gas-liquid boundary with corresponding Supporting Figure S4, the model used for surface diffusion of CO<sub>2</sub>, Supporting Figure S5 showing an electrolyte meniscus on Pt and the HOR current through it, and Supporting Table S1 showing values of parameters used in surface diffusion transport estimates, (PDF)

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## ABBREVIATIONS

CO<sub>2</sub>, carbon dioxide; CO<sub>2</sub>R, CO<sub>2</sub> reduction; GDL, gas diffusion layer; GDE, gas diffusion electrodes; STP, standard temperature and pressure; MPL, microporous layer; PTFE, polytetrafluoroethylene; CL, catalyst layer; F.E., Faradaic efficient; TPB, triple-phase boundary; DPB, double-phase boundary; UHV, ultra-high vacuum; b-CO<sub>2</sub>, bent CO<sub>2</sub>; APXPS, ambient pressure X-ray photoelectron spectroscopy; DFT, density functional theory; MD, molecular dynamics; HOR, hydrogen oxidation reaction; ORR, oxygen reduction reaction.

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