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Plokker, M. P., Bergkamp, S. W., & Hintzen, H. T. (2026). Evidence for Tm²⁺→Tm³⁺ energy transfer in Tm-doped BaCl₂ for potential use in opto-electronic devices. *Optical Materials: X*, 29, Article 100432. <https://doi.org/10.1016/j.omx.2025.100432>

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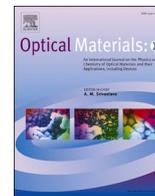
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Evidence for $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in Tm-doped BaCl_2 for potential use in opto-electronic devices

M.P. Plokker^{a,b,*}, S.W. Bergkamp^a, H.T. Hintzen^a

^a Luminescence Materials Research Group, Delft University of Technology, Mekelweg 15, 2629 JB, Delft, the Netherlands

^b Rheinisch-Westfälisches Elektrizitätswerk, RWE Generation NL, Amerweg 1, 4931 NC, Geertruidenberg, the Netherlands

ABSTRACT

The energy transfer from Tm^{2+} to Tm^{3+} , which has not yet been reported before, has now been observed for the first time. It was found that orthorhombic BaCl_2 : Tm^{2+} , Tm^{3+} with the PbCl_2 cotunnite structure shows the luminescence properties to enable $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. Evaluation of the luminescence properties of BaCl_2 : Tm^{2+} , Tm^{3+} in detail and other Tm^{2+} / Tm^{3+} -activated phosphors in general makes clear that the conditions for $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer are a strong overlap of the Tm^{2+} spin-allowed $4f^{12}5d^1 \rightarrow 4f^{13}$ emission with Tm^{3+} $^3\text{H}_6 \rightarrow ^3\text{F}_3$ or $^3\text{H}_6 \rightarrow ^3\text{H}_4$ ($4f^{12} \rightarrow 4f^{12}$) excitations or overlap of the Tm^{2+} spin-forbidden $4f^{12}5d^1 \rightarrow 4f^{13}$ emission with Tm^{3+} $^3\text{H}_6 \rightarrow ^3\text{H}_4$ ($4f^{12} \rightarrow 4f^{12}$) excitation, resulting in both cases in interconfigurational transitions, while the Tm^{2+} spin-allowed $4f^{12}5d^1 \rightarrow 4f^{13}$ emission should not overlap with the Tm^{2+} spin-forbidden $4f^{13} \rightarrow 4f^{12}5d^1$ excitation. In addition, the Tm^{2+} - Tm^{3+} distance has to be small, preferably for a high Tm^{2+} concentration to increase the absorption of excitation radiation in combination with a low Tm^{3+} concentration in order to avoid concentration quenching of the luminescence. Finally, implications of $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer for applications such as luminescent solar concentrators are discussed.

1. Introduction

Lanthanide-doped materials are well known because of their special luminescence properties which are used in applications such as e.g. LED, afterglow, scintillation, anti-counterfeiting and thermometric phosphors. All lanthanide ions are stable in the trivalent state, while many of them also occur in the divalent state, such as Eu, Yb, Sm, Tm, Dy and Nd [1].

Eu is the metal of which the trivalent state can be most easily reduced to the divalent state [2]. Both Eu valencies are well known for application in commercial phosphors, e.g. Y_2O_3 : Eu^{3+} and $\text{BaMgAl}_{10}\text{O}_{17}$: Eu^{2+} (traditional TL fluorescent lamps phosphors) Gd_2O_3 : Eu^{3+} (scintillation phosphor), Sr_2SiO_4 : Eu^{2+} , β - Sialon : Eu^{2+} and $(\text{Ca},\text{Sr},\text{Ba})_2\text{Si}_5\text{N}_8$: Eu^{2+} (LED conversion phosphors). Coexistence of Eu^{2+} and Eu^{3+} in a single host lattice may result in beneficial effects (Eu^{2+} can act as a sensitizer of Eu^{3+} luminescence [3,4]) or detrimental effects (Eu^{3+} can act as a killer centre for Eu^{2+} luminescence [5,6]). Sensitization of Eu^{3+} luminescence by energy transfer from Eu^{2+} to Eu^{3+} has been demonstrated for several mixed valency Eu-doped phosphors, such as YF_3 : Eu^{2+} , Eu^{3+} [7] and $\text{CaAl}_2\text{Si}_2\text{O}_8$: Eu^{2+} , Eu^{3+} [8]. A white light emitting phosphor can be realized by combining the characteristic red Eu^{3+} emission with blue-green Eu^{2+} emission (at 490–500 nm) in a single host lattice, as has been shown for e.g. $\text{MgSrLa}_8(\text{SiO}_4)_6\text{O}_2$: Eu^{2+} , Eu^{3+} [9] and $\text{Na}_5\text{Al}(\text{PO}_4)_2\text{F}_2$: Eu^{2+} , Eu^{3+} [10]. Recently the change of the characteristics of

Eu^{2+} versus Eu^{3+} emissions (such as $\text{Eu}^{2+}/\text{Eu}^{3+}$ intensity ratio), as a function of temperature, attracts attention for the design of thermo-sensitive phosphors for application in the field of remote temperature sensing [11].

After Eu, Yb gets most easily reduced, followed by Sm and then Tm [2]. Energy transfer from Ln^{2+} to Ln^{3+} has also been found for $\text{Ln} = \text{Yb}$ [12] and $\text{Ln} = \text{Sm}$ [13], but has not yet been reported for $\text{Ln} = \text{Tm}$. Tm reduction is much more difficult and special conditions are required to convert Tm^{3+} into Tm^{2+} . Therefore Tm^{2+} -doped materials are normally contaminated with some smaller or larger amount of Tm^{3+} if starting with Tm^{3+} raw materials. This can influence the luminescence of Tm^{2+} -doped phosphors which are investigated because of promising properties for maser [14–17] and upconversion [18–25] applications, luminescent solar concentrators [26–30], luminescent thermometers [31–36], etc. An example is Tm-doped BaCl_2 , with the orthorhombic cotunnite structure, in which Tm^{2+} and Tm^{3+} do coexist, and which was recently studied by us with respect to quenching dynamics [37] and thermal quenching behaviour [38]. In this follow-up research on BaCl_2 : Tm^{2+} , Tm^{3+} we report for the first time the energy transfer from Tm^{2+} to Tm^{3+} and in addition discuss the implications for the luminescence characteristics and potential applications.

* Corresponding author. Luminescence Materials Research Group, Delft University of Technology, Mekelweg 15, 2629 JB, Delft, the Netherlands.

E-mail address: maartenplokker@hotmail.com (M.P. Plokker).

2. Experimental section

2.1. Sample synthesis and characterisation

The synthesis conditions for the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample are described in our recent work on the Tm^{2+} relaxation dynamics in orthorhombic BaCl_2 [37], whereas those of the $\text{BaCl}_2:\text{Tm}^{3+}$ sample are provided in our article on the relative positioning of the Tm^{2+} energy levels versus the BaCl_2 bandgap [38]. As described in these works, X-Ray Diffraction (XRD) measurements (Philips X'pert-Pro, Eindhoven, The Netherlands), with sealed sample holders, revealed that both samples exhibit the orthorhombic cotunnite PbCl_2 structure with space group $Pnma$ (No. 62). This implies that the Tm^{2+} and/or Tm^{3+} ions that occupy the Ba^{2+} -site have C_s point group site symmetry, combined with a 9-fold anion coordination geometry [39]. Furthermore, ICP-OES measurements (PerkinElmer Optima 4300DV, Waltham Massachusetts, USA) combined with Kubelka-Munk (K-M) absorption spectroscopy measurements (Bruker Vertex V80, Karlsruhe, Germany) previously enabled us [37,38] to determine that the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample contained around 0.3 mol% Tm^{2+} and 0.8 mol% Tm^{3+} , whereas the $\text{BaCl}_2:\text{Tm}^{3+}$ sample contained 1.5 mol% Tm^{3+} .

2.2. Luminescence measurements

The low temperature excitation and emission spectra were obtained

using a Xenon lamp coupled to a double excitation monochromator with three gratings and a R7600U-20HV-800V PMT, H1033A-75 NIR-PMT or C9100-13 EM-CCD (all Hamamatsu Photonics, Hamamatsu, Japan) that was in turn attached to a single emission monochromator with three gratings. A calibrated EPLAB NBS 1000W Quartz Iodine lamp was used to acquire the wavelength dependent sensitivity of the detectors. In addition, the samples were heated and cooled by an APD Cryogenic Helium cooler (APD Cryogenics, Allentown Pennsylvania, USA) and Lakeshore temperature controller (Lakeshore Cryotronics, Westerville Ohio, USA). Special hygroscopic sampleholders were used during all measurements to prevent unwanted hydrolysis and oxidation reactions [40].

3. Results and discussion

3.1. Tm^{2+} and Tm^{3+} Energy levels and luminescence

For the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample, the top panel in Fig. 1 shows the Tm^{2+} excitation spectrum (dark blue) as acquired on the ${}^2F_{5/2} \rightarrow {}^2F_{7/2}$ ($4f^{13} \rightarrow 4f^{13}$) emission at 20 K. Various Tm^{2+} $4f^{12}5d^1$ excitation bands can be observed. Due to the small Tm^{2+} $4f^{12}5d^1$ crystal field splitting, as a consequence of the large and asymmetric Ba^{2+} -site, the excitation bands are closely spaced in energy, making it difficult to separate between them. In our previous work on orthorhombic $\text{BaCl}_2:\text{Tm}^{2+}$ [37], we were therefore only able to identify the lowest energy Tm^{2+} $4f^{12}5d^1$

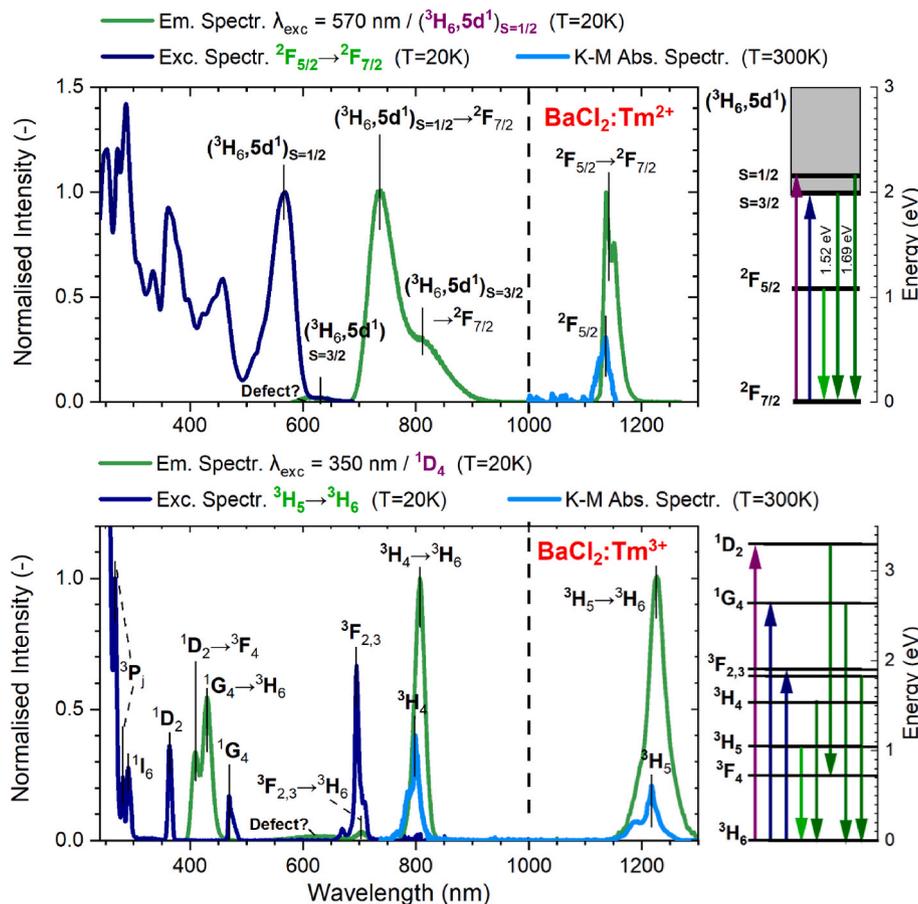


Fig. 1. Top – $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample: Room-temperature KM absorption spectrum (light blue), the 20 K excitation spectrum as acquired on the Tm^{2+} ${}^2F_{5/2} \rightarrow {}^2F_{7/2}$ emission (dark blue), and the 20 K emission spectrum after photoexcitation at 570 nm into the Tm^{2+} $({}^3H_6, 5d^1)_{S=1/2}$ levels (green). The herein observed Tm^{2+} excitation levels and Tm^{2+} emissions have been labelled in accordance to our previous work [37] with a scaled levels scheme provided to the right. **Bottom – $\text{BaCl}_2:\text{Tm}^{3+}$ sample:** Room-temperature KM absorption spectrum (light blue), the 20 K excitation spectrum as acquired on the Tm^{3+} ${}^3H_5 \rightarrow {}^3H_6$ emission (dark blue), and the 20 K emission spectrum after photoexcitation at 360 nm into the Tm^{3+} 1D_2 level (green). The herein observed Tm^{3+} excitation levels and Tm^{3+} emissions have been labelled in accordance to the work of Carnall et al. [41] and our previous work on $\text{BaCl}_2:\text{Tm}^{3+}$ [38], with a scaled levels scheme provided to the right.

excitation levels. As such, the broad excitation band at around 570 nm was found to represent the $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2}$ levels, whereas the weak band positioned at about 630 nm is connected to the $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2}$ levels. In addition, a small part of the room temperature K-M absorption spectrum (light blue) is added to the figure to reveal the precise location of the $\text{Tm}^{2+} \text{ } ^2\text{F}_{5/2}$ (4f^{13}) absorption level. An overview of the different $\text{Tm}^{2+} \text{ } 4\text{f}^{12}5\text{d}^1$ and 4f^{13} levels is provided in the scaled level scheme at the top right of Fig. 1.

After exciting into the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \text{ } 4\text{f}^{12}5\text{d}^1$ -levels at 20 K, an emission spectrum was captured (green) in which three distinct Tm^{2+} emissions are observed. These emissions were previously classified and labelled by us in accordance to their transition [37]. The broad emission feature near 815 nm was associated with the spin-forbidden $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ transition, whereas the emission peak at about 735 nm was found to correspond to the spin-allowed $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ transition. Both emissions represent $\text{Tm}^{2+} \text{ } 4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$ emissions. Furthermore, the sharp emission peak near 1140 nm could be related to the $^2\text{F}_{5/2} \rightarrow ^2\text{F}_{7/2}$ transition and represents the $\text{Tm}^{2+} \text{ } 4\text{f}^{13} \rightarrow 4\text{f}^{13}$ line-emission. For convenience, the three Tm^{2+} emissions are appended to the scaled level scheme at the top right of Fig. 1.

For the $\text{BaCl}_2:\text{Tm}^{3+}$ sample, the lower panel in Fig. 1 displays the Tm^{3+} excitation spectrum (dark blue) as obtained on the $^3\text{H}_5 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) emission at 20 K. A great variety of sharp excitation peaks can be perceived, which can be classified with help of the Dieke diagram [42] and the tabulated work of Carnall et al. [41]. As such, the excitation peaks at around 700 nm can be coupled to the $^3\text{F}_{2,3}$ levels, the peak at 470 nm to the $^1\text{G}_4$ level, the peak at 360 nm to the $^1\text{D}_2$ level, the peak at 280 nm to the $^1\text{I}_6$ level and the peaks at 280 and 265 nm to the $^3\text{P}_j$ levels. Moreover, a part of the room-temperature K-M absorption spectrum (light blue) is added showing the locations of the $^3\text{H}_4$ and $^3\text{H}_5$ levels at 195 and 1215 nm, respectively. An overview of these observed $\text{Tm}^{3+} \text{ } 4\text{f}^{12}$ excitation levels is offered by the scaled level scheme at the bottom right of Fig. 1.

Upon exciting into the $\text{Tm}^{3+} \text{ } ^1\text{D}_2 \text{ } 4\text{f}^{12}$ -level at 20 K, an emission spectrum was recorded (green) that reveals five groups of sharp $\text{Tm}^{3+} \text{ } 4\text{f}^{12} \rightarrow 4\text{f}^{12}$ line-emissions. These five emissions were classified to their transition with help of our previous work on $\text{BaCl}_2:\text{Tm}^{3+}$ [38]. The related transition labels are added to the figure. Accordingly, the emission close by 1225 nm can be connected to the $^3\text{H}_5 \rightarrow ^3\text{H}_6$ transition, the emission near 805 nm can be related to the $^3\text{H}_4 \rightarrow ^3\text{H}_6$ transition, the emission at 705 nm matches the position of the $^3\text{F}_{2,3} \rightarrow ^3\text{H}_6$ transition, the emission at 430 nm is associated with the $^1\text{G}_4 \rightarrow ^3\text{H}_6$ transition and the emission at 410 nm to the $^1\text{D}_2 \rightarrow ^3\text{F}_4$ transition. For convenience, the Tm^{3+} emissions are appended to the scaled level scheme at the bottom right of Fig. 1.

3.1.1. Luminescence feature at 580–675 nm

The emission spectra of both the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ and the $\text{BaCl}_2:\text{Tm}^{3+}$ sample display a very weak bump centred near 640 nm. This feature has recently also been observed by Gareev et al. [43] while studying the sonoluminescence of TmCl_2 and TmCl_3 nanoparticles with a size of 20–35 nm suspended in dodecane. It can therefore not be attributed to an artifact e.g. originating from our sampleholder, as was suggested by us previously [37]. However, Gareev et al. [43] ascribe the emission at about 600 nm to a $\text{Tm}^{2+} \text{ } 5\text{d}^1 4\text{f}^{12} \rightarrow 4\text{f}^{13}$ transition, suggesting that our emission at about 640 nm may be due to Tm^{2+} located in irregular sites at or near the surface.

3.2. $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in orthorhombic Tm-doped BaCl_2

With the Tm^{2+} and Tm^{3+} levels classified and the emissions and excitations labelled in accordance to their transition, it can be perceived that the Tm^{2+} emission spectrum (green) of the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample and the Tm^{3+} excitation (dark blue) and absorption (light blue) spectrum of the $\text{BaCl}_2:\text{Tm}^{3+}$ sample share a spectral overlap in the wavelength range of 680–830 nm. This can also be observed based on

the scaled level schemes to the right of Fig. 1. The broad $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ and $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ emissions cover an energy range in which also the $\text{Tm}^{3+} \text{ } ^3\text{H}_6 \rightarrow ^3\text{F}_{2,3}$ and $^3\text{H}_6 \rightarrow ^3\text{H}_4$ excitation levels are situated. For a mixed valent sample, $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$, this would make it possible to have energy transfer from Tm^{2+} to Tm^{3+} , with the prerequisite of sufficiently small distances between both ions. In this scenario, Tm^{2+} will act as the sensitiser, whereas the Tm^{3+} will be the activator.

3.2.1. Schematic overview

The anticipated $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in orthorhombic BaCl_2 , is indicated in Fig. 2, where photoexcitation at 570 nm will excite the $\text{Tm}^{2+} \text{ } ^2\text{F}_{7/2}$ ground state to the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \text{ } 4\text{f}^{12}5\text{d}^1$ -levels at 2.17 eV or 17545 cm^{-1} . This will trigger Tm^{2+} spin-allowed $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$) luminescence, present within the range of $11445\text{--}15365 \text{ cm}^{-1}$, with its peak located at an energy of 13605 cm^{-1} or 1.69 eV. The small energy gap of 1675 cm^{-1} , corresponding with 8 phonons [44], between the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2}$ and $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \text{ } 4\text{f}^{12}5\text{d}^1$ -levels will result in relaxation towards the $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2}$ levels via multiphonon relaxation, inducing spin-forbidden $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$) luminescence over an energy range of $9550\text{--}15080 \text{ cm}^{-1}$ with its peak centred at 12270 cm^{-1} or 1.52 eV. When a Tm^{3+} ion is in close proximity, energy can be transferred from Tm^{2+} to Tm^{3+} via the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$) transition, exciting the Tm^{3+} ion from the $^3\text{H}_6$ ground state to the $^3\text{F}_{2,3}$ and $^3\text{H}_4$ levels, respectively situated at 15190 , 14555 and 12705 cm^{-1} . This will result in the appearance of $\text{Tm}^{3+} \text{ } ^3\text{F}_{2,3} \rightarrow ^3\text{H}_6$ and $^3\text{H}_4 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) emissions alongside the aforementioned $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ emission. In a much similar fashion, the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$) luminescence will trigger $\text{Tm}^{3+} \text{ } ^3\text{F}_3 \rightarrow ^3\text{H}_6$ and $^3\text{H}_4 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) luminescence of respectively around 14185 and 12420 cm^{-1} . Summarizing, $\text{Tm}^{2+} \text{ } ^2\text{F}_{7/2} \rightarrow (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \text{ } (4\text{f}^{13} \rightarrow 4\text{f}^{12}5\text{d}^1)$ excitation leads to $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer as evidenced by an observation of the $\text{Tm}^{3+} \text{ } ^3\text{F}_{2,3} \rightarrow ^3\text{H}_6$ and $^3\text{H}_4 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) emissions. At low temperatures, the $\text{Tm}^{3+} \text{ } ^3\text{F}_{2,3} \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) narrow line emissions can be easily discriminated from the broad $\text{Tm}^{2+} \text{ } 4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{12}$ emissions, but as Fig. 1 shows, the $\text{Tm}^{3+} \text{ } ^3\text{H}_4 \rightarrow ^3\text{H}_6$ emission overlaps with the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{12}$) emission. A separation would be less complicated at a temperature where the Tm^{2+} luminescence has quenched and is hardly observed anymore in the emission spectra. We have therefore decided to investigate the $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer at room temperature, despite the potentially strong presence of thermal quenching effects, as detailed in section 9.1 in the Supplementary Info (SI) [37,38].

3.2.2. Emission spectra

Fig. 3 shows the normalised room-temperature emission spectrum for both the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ and $\text{BaCl}_2:\text{Tm}^{3+}$ samples, after photoexcitation at 570 nm or 17545 cm^{-1} (about 2.2 eV). For the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample (red), the photoexcitation energy matches with the required energy for the $\text{Tm}^{2+} \text{ } ^2\text{F}_{7/2} \rightarrow (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \text{ } (4\text{f}^{13} \rightarrow 4\text{f}^{12}5\text{d}^1)$ transition, whereas for the $\text{BaCl}_2:\text{Tm}^{3+}$ sample no direct excitations can occur as there are no $\text{Tm}^{3+} \text{ } 4\text{f}^{13}$ -levels located between 21310 ($^1\text{G}_4$) and 15190 cm^{-1} ($^3\text{F}_2$) [42,41]. As is observed in the emission spectrum of the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample, weak peaks of the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 1/2} \rightarrow ^2\text{F}_{7/2}$ and $(^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ ($4\text{f}^{12}5\text{d}^1 \rightarrow 4\text{f}^{13}$) emissions remain observable near 13700 and 12255 cm^{-1} , respectively. They are located on top or close to the previously discussed luminescence feature near 610 nm. In addition, small but clear signatures of the $\text{Tm}^{3+} \text{ } ^3\text{F}_2 \rightarrow ^3\text{H}_6$ and $^3\text{F}_3 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) emissions at about 14515 and 14245 cm^{-1} are respectively observed, and possibly also of the $\text{Tm}^{3+} \text{ } ^3\text{H}_4 \rightarrow ^3\text{H}_6$ ($4\text{f}^{12} \rightarrow 4\text{f}^{12}$) emission which would be hidden in the tail of the $\text{Tm}^{2+} \text{ } (^3\text{H}_6, 5 \text{ d}^1)_{\text{S} = 3/2} \rightarrow ^2\text{F}_{7/2}$ emission. Moreover, for confirmation, these Tm^{3+} emissions are not observed in the emission spectrum of the $\text{BaCl}_2:\text{Tm}^{3+}$ sample (blue). It provides a strong indication of the anticipated $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer.

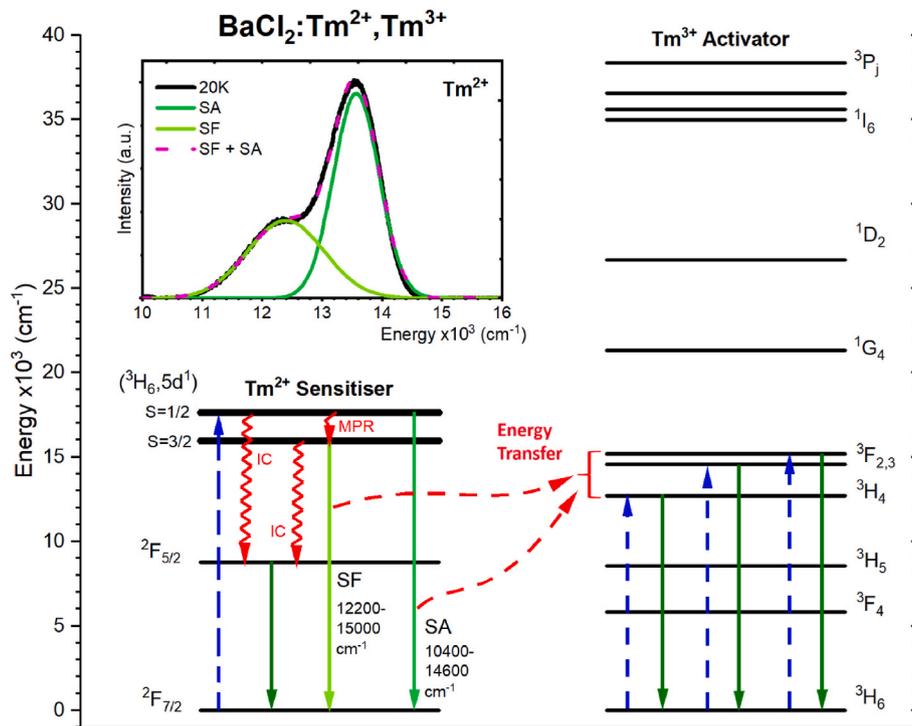


Fig. 2. Diagram illustrating the energy transfer from Tm^{2+} (sensitiser) to Tm^{3+} (activator) in $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$. **Left bottom:** upon exciting into the Tm^{2+} (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2}$ levels three emissions are observed at low temperature: the Spin-Allowed (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2} \rightarrow {}^2\text{F}_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission with energy 11445-15365 cm^{-1} , the Spin-Forbidden (${}^3\text{H}_6,5\text{d}^1$) $_{S=3/2} \rightarrow {}^2\text{F}_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission with energy 9550-15080 cm^{-1} and the ${}^2\text{F}_{5/2} \rightarrow {}^2\text{F}_{7/2}$ ($4f^{13} \rightarrow 4f^{13}$) emission [36]. At higher temperatures, the two $4f^{12}5d^1 \rightarrow 4f^{13}$ emissions undergo quenching and feed the ${}^2\text{F}_{5/2}$ $4f^{13}$ level from which the ${}^2\text{F}_{5/2} \rightarrow {}^2\text{F}_{7/2}$ emission emerges [36]. **Left top:** deconvoluted emission spectra of the SA (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2} \rightarrow {}^2\text{F}_{7/2}$ and SF (${}^3\text{H}_6,5\text{d}^1$) $_{S=3/2} \rightarrow {}^2\text{F}_{7/2}$ emissions with energy scale on the x-axis. **Right:** the energy of the Tm^{2+} (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2} \rightarrow {}^2\text{F}_{7/2}$ and SF (${}^3\text{H}_6,5\text{d}^1$) $_{S=3/2} \rightarrow {}^2\text{F}_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions matches with the excitation energy of the Tm^{3+} ${}^3\text{F}_{2,3}$ and ${}^3\text{H}_4$ $4f^{12}$ -levels at respectively 15190, 14555 and 12705 cm^{-1} [37,38], enabling $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. This transfer process would result in the observation of Tm^{3+} ${}^3\text{F}_{2,3} \rightarrow {}^3\text{H}_6$ and ${}^3\text{H}_4 \rightarrow {}^3\text{H}_6$ luminescence.

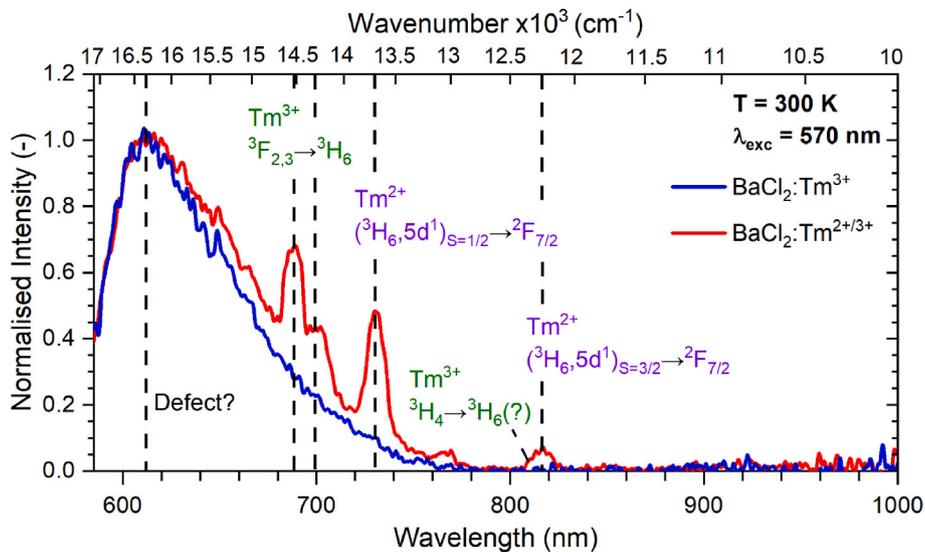


Fig. 3. Normalised room temperature emission spectra for the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample (red curve) and $\text{BaCl}_2:\text{Tm}^{3+}$ sample (blue curve). After photoexcitation at 570 nm or 17545 cm^{-1} , corresponding to the energy of the Tm^{2+} (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2}$ LS levels, several Tm^{2+} and Tm^{3+} emissions appear in the spectrum of the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample, as respectively indicated by the violet and green transitions. For the $\text{BaCl}_2:\text{Tm}^{3+}$ sample no Tm^{3+} emissions appear after exciting at 570 nm or 17545 cm^{-1} .

3.2.3. Excitation spectra

As a next step, excitation spectra were measured on most of the observed Tm^{3+} and Tm^{2+} emission peaks and compared to the excitation spectrum of the Tm^{2+} ${}^2\text{F}_{5/2} \rightarrow {}^2\text{F}_{7/2}$ ($4f^{13} \rightarrow 4f^{13}$) emission. These

spectra were all acquired with the $\text{BaCl}_2:\text{Tm}^{2+},\text{Tm}^{3+}$ sample and are presented in Fig. 4. As this figure shows, the excitation spectra related to the Tm^{2+} ${}^2\text{F}_{5/2} \rightarrow {}^2\text{F}_{7/2}$ (purple) and (${}^3\text{H}_6,5\text{d}^1$) $_{S=1/2} \rightarrow {}^2\text{F}_{7/2}$ (red) ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions show much resemblance (apart from a slight

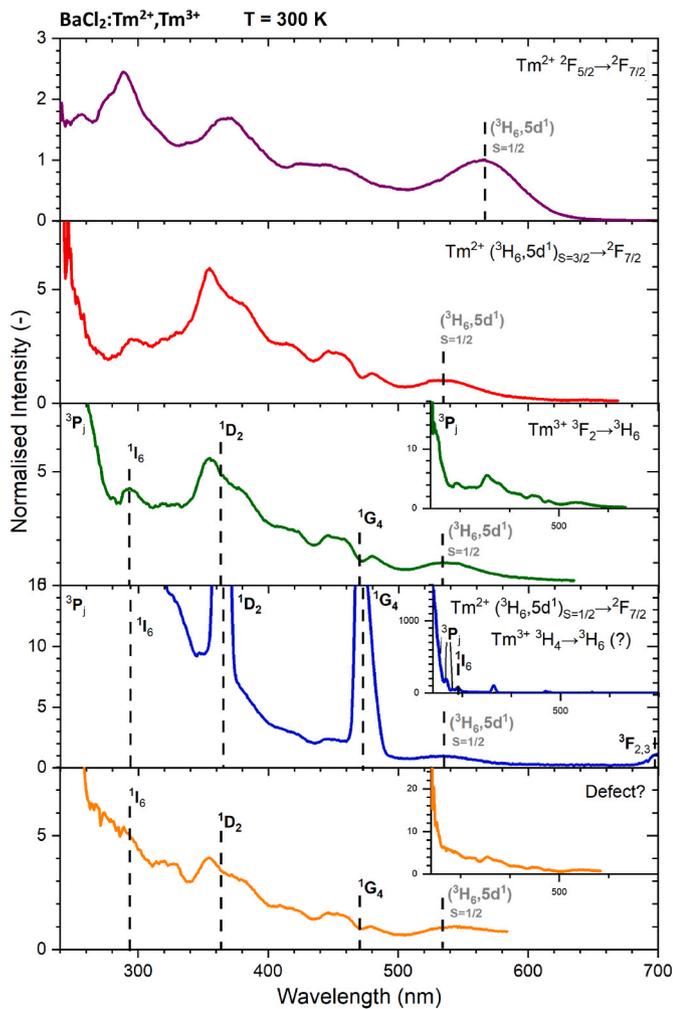


Fig. 4. Normalised room temperature excitation spectra of the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample as acquired on the $\text{Tm}^{2+} 2F_{5/2} \rightarrow 2F_{7/2}$ (purple), $(^3\text{H}_6, 5d^1)_{S=1/2} \rightarrow 2F_{7/2}$ (red) and $(^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ (blue) emissions and the $\text{Tm}^{3+} 3F_2 \rightarrow 3H_6$ (green) and possibly $3H_4 \rightarrow 3H_6$ (blue) emissions. Also displayed is an excitation spectrum on the presumed defect emission centred at 610 nm (orange). The purple spectrum was measured with a $1 \mu\text{m}$ 300 g/mm emission monochromator grating, whereas the other spectra were acquired with a 300 nm 300 g/mm grating; giving rise to a slight wavelength displacement upon comparing the spectra. In the excitation spectrum of the $\text{Tm}^{3+} 3F_2 \rightarrow 3H_6$ emission (green), signatures of Tm^{3+} excitations are observed; but also the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2}$ and higher energy $4f^{12}5d^1$ -levels.

wavelength displacement due to the use of monochromators with different gratings), with a clear depiction of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2}$ levels and the $4f^{12}5d^1$ -levels at higher energy.

The excitation spectrum acquired on the $\text{Tm}^{3+} 3F_2 \rightarrow 3H_6$ ($4f^{12} \rightarrow 4f^{12}$) emission (green) is highly similar in shape to that obtained for the Tm^{2+} emissions, with weak narrow signature features of the $\text{Tm}^{3+} 1G_4, 1D_2, 1I_6$ and $3P_1$ levels present. The clear observation of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2} 4f^{12}5d^1$ -levels in the excitation spectrum of Tm^{3+} indicates that these Tm^{2+} levels contribute to and feed the $\text{Tm}^{3+} 3F_2 \rightarrow 3H_6$ emission, providing further evidence for the $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. With the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ emission located at almost the same wavelength as the $\text{Tm}^{3+} 3H_4 \rightarrow 3H_6$ emission, the corresponding excitation spectrum (blue) shows clear signs of both the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2}$ and higher energy $4f^{12}5d^1$ -levels, as well as the $\text{Tm}^{3+} 1G_4, 1D_2, 1I_6$ and $3P_1$ levels. This proves that the $\text{Tm}^{3+} 3H_4 \rightarrow 3H_6$ emission is definitely present in the red spectrum in Fig. 3, and hidden in the tail of the broad $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ emission.

The excitation spectrum acquired on the luminescence feature

located near 610 nm, portrayed in orange, largely resembles that of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ emission. This shows it being related to Tm^{2+} luminescence, likely coming from Tm^{2+} ions present at or near the surface of the $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample.

3.2.4. Estimation of the $\text{Tm}^{2+}-\text{Tm}^{3+}$ distance

For efficient energy transfer, the average Tm^{2+} and Tm^{3+} ions should be not too far from each other. Assuming a random distribution of Tm^{2+} and Tm^{3+} in the BaCl_2 lattice, the average distance R can be estimated using the formula proposed by Blasse [45]:

$$R = 2 \left(\frac{3V}{4\pi Zx} \right)^{1/3}$$

In this formula, V is the volume of the unit cell of BaCl_2 ($V = 359.81 \text{ \AA}^3$), Z is the number of formula units BaCl_2 in a unit cell ($Z = 4$), and x is the Tm concentration $0 < x < 1$ (corresponding with $0 < \text{mol.}\% < 100$ %).

In this way the average $\text{Tm}^{2+}-\text{Tm}^{2+}$ and $\text{Tm}^{3+}-\text{Tm}^{3+}$ distances are calculated to be respectively about 39 \AA (Tm^{2+} -concentration = 0.3 mol. %) and 28 \AA (Tm^{3+} -concentration = 0.8 mol. %), indicating that the average minimum distance between Tm^{2+} and Tm^{3+} is smaller than 28 \AA . A somewhat smaller $\text{Tm}^{2+}-\text{Tm}^{3+}$ distance of about 25 \AA results by taking the total ($\text{Tm}^{2+} + \text{Tm}^{3+}$) concentration of 1.1 mol.%. So the distance between Tm^{2+} and Tm^{3+} is considerably larger than the critical distances for energy transfer by the multipole-multipole mechanism and in particular the exchange interaction, making the energy transfer from Tm^{2+} to Tm^{3+} quite inefficient for the Tm^{2+} - and Tm^{3+} - concentrations of the studied $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ sample. The energy transfer efficiency will strongly increase for higher Tm concentrations. For a total ($\text{Tm}^{2+} + \text{Tm}^{3+}$) concentration of e.g. 10 mol.% the average distance has dropped to about 12 \AA , a reasonable distance for efficient energy transfer by multipole-multipole interaction. Simultaneously, for a constant ($\text{Tm}^{2+} + \text{Tm}^{3+}$) concentration, a large Tm^{2+} concentration is favorable for obtaining a high absorption of excitation radiation, while a sufficiently small Tm^{3+} concentration will avoid concentration quenching of the Tm^{3+} emission.

3.3. Exploring the possibility of $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in other Tm-doped halides

As illustrated for the case of Tm-doped orthorhombic BaCl_2 , the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2} \rightarrow 2F_{7/2}$ Spin-Allowed (SA) ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission plays a leading role in the energy transfer to Tm^{3+} , since its energy matches with the energy of the $\text{Tm}^{3+} 3H_6 \rightarrow 3F_2, 3H_6 \rightarrow 3F_3$ and $3H_6 \rightarrow 3H_4$ ($4f^{12} \rightarrow 4f^{12}$) transitions. The lower energy of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ Spin-Forbidden (SF) ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission, on the other hand, matches with the energy of the $\text{Tm}^{3+} 3H_6 \rightarrow 3H_4$ and $3H_6 \rightarrow 3F_3$ ($4f^{12} \rightarrow 4f^{12}$) transitions. However, the energy of both the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2} \rightarrow 2F_{7/2}$ SA and $(^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ SF ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions are host lattice dependent, whereas the energy of the aforementioned $\text{Tm}^{3+} 3H_6 \rightarrow 3F_{2,3}$, and $3H_6 \rightarrow 3H_4$ ($4f^{12} \rightarrow 4f^{12}$) transitions is, more or less, constant for different halide compounds [42]. Using previously collected data on the energy of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2} \rightarrow 2F_{7/2}$ and $(^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions, we have explored the option of $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in Tm-doped halides beyond BaCl_2 [27–30,37].

In Fig. 5, the lower part shows the peak energy of the broad $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2} \rightarrow 2F_{7/2}$ and $(^3\text{H}_6, 5d^1)_{S=3/2} \rightarrow 2F_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions (symbols) and their full energy range (+ and -, coloured strokes) with FWHM indicated (X and *); all for different Tm-doped halides. The upper part in Fig. 5 provides the complementary energies of the $\text{Tm}^{2+} (^3\text{H}_6, 5d^1)_{S=1/2}$ and $(^3\text{H}_6, 5d^1)_{S=3/2}$ ($4f^{12}5d^1$) excitation levels (symbols), including the minimal energy from which $4f^{13} \rightarrow 4f^{12}5d^1$ excitations occur (-, coloured strokes). The excitation energies of the $\text{Tm}^{3+} 3H_6 \rightarrow 3F_2, 3H_6 \rightarrow 3F_3$ and $3H_6 \rightarrow 3H_4$ ($4f^{12} \rightarrow 4f^{12}$)

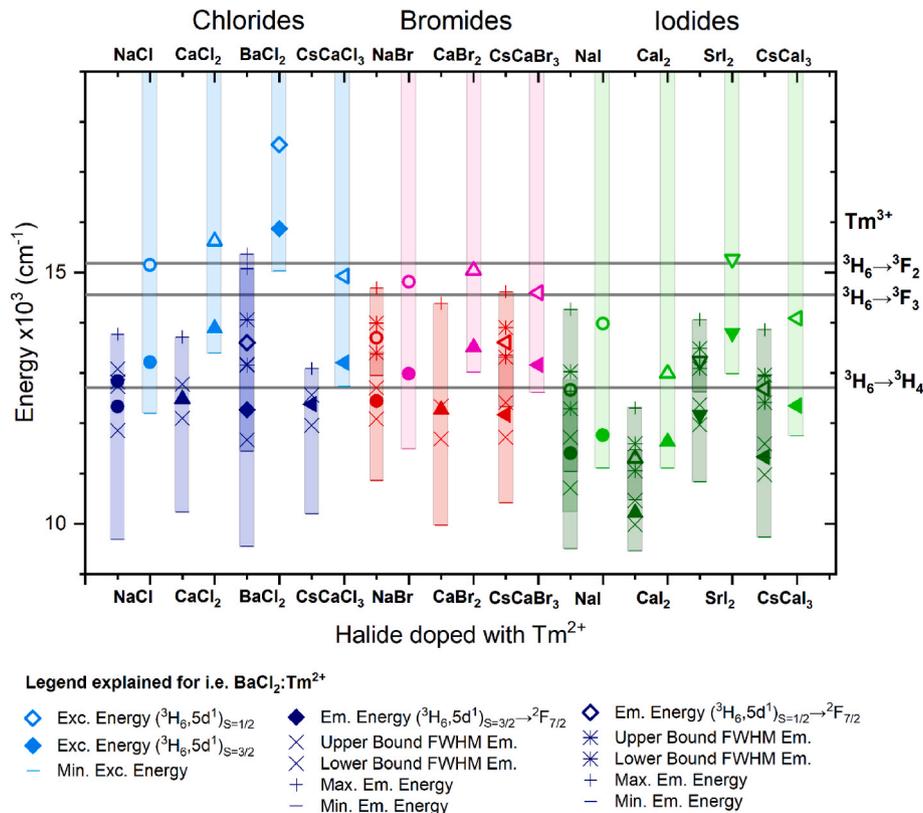


Fig. 5. Diagram showing the excitation energy of the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_2$, $\ ^3\text{H}_6 \rightarrow \ ^3\text{F}_3$ and $\ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ transitions (solid black lines), the energy range of the $\text{Tm}^{2+} \ 4f^{12}5d^1$ excitation levels (light colours) with the peak energies of the $(^3\text{H}_6, 5d^1)_{s=1/2}$ (open symbols) and $(^3\text{H}_6, 5d^1)_{s=3/2}$ (closed symbols) levels marked, and the emission energies of the $\text{Tm}^{2+} \ 4f^{12}5d^1 \rightarrow 4f^{13}$ emissions (dark colours) with the broad $(^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ (open symbols) and $(^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ (closed symbols) emission displayed over their full energy range and FWHM indicated; all for known Tm-doped chlorides (blueish), bromides (reddish) and iodides (greenish) [27–30, 37].

Note 1: For $\text{NaCl}:\text{Tm}^{2+}$, two $(^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ emission peaks were previously found, which are most likely related to two different Tm^{2+} centres due to the necessity of charge compensation effects as Tm^{2+} enters the Na^+ -site [27].

Note 2: Absence of $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ emission (open symbols) in $\text{NaCl}:\text{Tm}^{2+}$, $\text{CaCl}_2:\text{Tm}^{2+}$, $\text{CsCaCl}_3:\text{Tm}^{2+}$, $\text{CaBr}_2:\text{Tm}^{2+}$.

transitions are added as horizontal black lines. A quantification of the data is provided in Table S1 in section 9.2 of the SI, including a ratio between the peak intensities of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ and $(^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) emissions after excitation into the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \ 4f^{12}5d^1$ -levels at 20 K.

As Fig. 5 shows, only for $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$ there is a sizeable overlap in energy between the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission (open blue diamond) and the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_3$ and $\ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ transitions (middle and lower black lines); enabling $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. In addition, there is even a small overlap in energy with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_2$ transition (upper black line). For $\text{CsCaBr}_3:\text{Tm}^{2+}, \text{Tm}^{3+}$ and $\text{NaBr}:\text{Tm}^{2+}, \text{Tm}^{3+}$; the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission shares a small overlap with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_3$ transition. In case of $\text{CsCaBr}_3:\text{Tm}^{2+}, \text{Tm}^{3+}$ the aforementioned Tm^{2+} emission also shares a small overlap with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ transition. However, as can be perceived from Fig. 5, the energy of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission of both compounds has a much stronger overlap with the energy of the $\text{Tm}^{2+} \ ^2\text{F}_{7/2} \rightarrow \ (^3\text{H}_6, 5d^1)_{s=3/2}$ excitation transitions, resulting in a strong non-radiative energy transfer from the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2}$ level to $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2}$ level, as described in our previous study [37]. This strong process explains why the intensity of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission is weak in both compounds and weak or absent in other Tm-doped halides. The absence of this process in orthorhombic $\text{BaCl}_2:\text{Tm}^{2+}$ results in strong $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission. We therefore suspect that the $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in Tm-doped CsCaBr_3 and NaBr , via the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission, will only be very weakly observed.

For $\text{BaCl}_2:\text{Tm}^{2+}, \text{Tm}^{3+}$; the energy of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF emission (blue diamond) overlaps with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_3$ and $\ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ transitions (middle and lower black lines); allowing for $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. For Tm-doped NaCl , NaBr , CaCl_2 , CaBr_2 , SrI_2 , CsCaCl_3 , CsCaBr_3 and CsCaI_3 ; the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF emission also overlaps with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ transition. In case of Tm-doped NaCl , NaBr and CaCl_2 ; the overlap occurs within the FWHM of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF emission peak, making it likely to encounter relatively strong signatures of $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer. The $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in these compounds would, however, be competing with thermal quenching of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF emission [27,29].

These processes will be much weaker at low temperatures. The $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer in the aforementioned compounds would manifest itself via the appearance of the $\text{Tm}^{3+} \ ^3\text{H}_4 \rightarrow \ ^3\text{H}_6$ emission. However, at low temperatures, the sharp $\text{Tm}^{3+} \ ^3\text{H}_4 \rightarrow \ ^3\text{H}_6$ ($4f^{12} \rightarrow 4f^{12}$) emission peak would be positioned on top of the broad $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission, making it difficult to separate between the two.

Summarizing, conditions for efficient $\text{Tm}^{2+} \rightarrow \text{Tm}^{3+}$ energy transfer are strong overlap of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{F}_3$ or $\ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ ($4f^{12} \rightarrow 4f^{12}$) transitions, or of the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \rightarrow \ ^2\text{F}_{7/2}$ SF ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission with the $\text{Tm}^{3+} \ ^3\text{H}_6 \rightarrow \ ^3\text{H}_4$ ($4f^{12} \rightarrow 4f^{12}$) transition; while the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=1/2} \rightarrow \ ^2\text{F}_{7/2}$ SA emission should not overlap with the $\text{Tm}^{2+} \ (^3\text{H}_6, 5d^1)_{s=3/2} \ 4f^{12}5d^1$ excitation levels.

3.4. Practical use of $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer

The $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer in Tm-doped halides, as demonstrated for orthorhombic $BaCl_2:Tm^{2+}, Tm^{3+}$, may be useful in various opto-electronic devices. For example, the $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer can add special features to Tm^{2+}/Tm^{3+} -co-doped phosphors that are being used in high-sensitivity optical thermometers. Moreover, it can also be used in high-sensitivity optical thermometers that are based on the luminescence of divalent and trivalent thulium ions in $CaAl_4O_7:Tm^{2+/3+}$ that operate in cryogenic and high temperature ranges [36]. In such a device, the thermal evolution of the $Tm^{3+} {}^3F_{2,3} \rightarrow {}^3H_6$ and ${}^3H_4 \rightarrow {}^3H_6$ luminescence can be remotely monitored to establish the surrounding temperature.

In addition, the $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer can be used in the design optimization of spectral conversion layers, such as Luminescence Solar Concentrators (LSCs). LSC devices ideally absorb sunlight over a broad wavelength range, to subsequently emit light of a different wavelength that is optically guided towards a solar cell [26,46,47]. An LSC device based on the $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer in Tm-doped halides, could focus the $Tm^{3+} {}^3F_{2,3} \rightarrow {}^3H_6$ and ${}^3H_4 \rightarrow {}^3H_6$ luminescence, with respective energies of 1.76 and 1.54 eV, onto $MASn(Br)_3$ perovskite solar cells with bandgap energies ranging from 1.30 to 2.15 eV [48]. Previous research by Ten Kate et al. [26] has shown that Tm^{2+} as doped in halides are able to absorb a vast percentage of the AM1.5 solar spectrum. Although halides are hygroscopic, glass-glass lamination techniques [49] and the incorporation of fluorine [50], creating halide solid solutions, would protect the material against degradation. Similar hydrolysis-sensitive materials such as $LaBr_3:Ce^{3+}$ are successfully used in commercial scintillator applications for harsh environmental conditions by encapsulating it in an aluminium housing with fused silica optical window.

4. Summary and conclusions

In this study, we have demonstrated for the very first time $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer. It was found to occur in orthorhombic $BaCl_2:Tm^{2+}, Tm^{3+}$ with the cotunnite structure. $Tm^{2+} {}^2F_{7/2} \rightarrow ({}^3H_6, 5 d^1)_S = 1/2$ ($4f^{13} \rightarrow 4f^{12}5d^1$) photoexcitation leads to relatively strong $({}^3H_6, 5 d^1)_S = 1/2 \rightarrow {}^2F_{7/2}$ SA ($4f^{12}5d^1 \rightarrow 4f^{13}$) luminescence with subsequent energy transfer to nearby Tm^{3+} ions triggering ${}^3H_6 \rightarrow {}^3F_{2,3}$ and ${}^3H_6 \rightarrow {}^3H_4$ ($4f^{12} \rightarrow 4f^{12}$) excitation and resulting in $Tm^{3+} {}^3F_{2,3} \rightarrow {}^3H_6$ and ${}^3H_4 \rightarrow {}^3H_6$ ($4f^{12} \rightarrow 4f^{12}$) luminescence. In addition, $Tm^{2+} ({}^3H_6, 5 d^1)_S = 1/2 \rightarrow ({}^3H_6, 5 d^1)_S = 3/2$ ($4f^{12}5d^1 \rightarrow 4f^{12}5d^1$) multiphonon relaxation leads to $Tm^{2+} ({}^3H_6, 5 d^1)_S = 3/2 \rightarrow {}^2F_{7/2}$ SF ($4f^{12}5d^1 \rightarrow 4f^{13}$) luminescence, with energy being transferred to Tm^{3+} ions at close distance leading to ${}^3H_6 \rightarrow {}^3F_3$ and ${}^3H_6 \rightarrow {}^3H_4$ ($4f^{12} \rightarrow 4f^{12}$) excitation, followed by $Tm^{3+} {}^3F_3 \rightarrow {}^3H_6$ and ${}^3H_4 \rightarrow {}^3H_6$ ($4f^{12} \rightarrow 4f^{12}$) luminescence.

However, an overlap of the $Tm^{2+} ({}^3H_6, 5 d^1)_S = 1/2 \rightarrow {}^2F_{7/2}$ SA ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission with the $Tm^{2+} {}^2F_{7/2} \rightarrow ({}^3H_6, 5 d^1)_S = 3/2$ ($4f^{13} \rightarrow 4f^{12}5d^1$) excitation, will result in strong non-radiative energy transfer from the $({}^3H_6, 5 d^1)_S = 1/2$ level to $({}^3H_6, 5 d^1)_S = 3/2$ level, competing with the aforementioned $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer. $BaCl_2:Tm^{2+}, Tm^{3+}$ is the only Tm-doped halide found so far for which there is a negligible overlap between $Tm^{2+} ({}^3H_6, 5 d^1)_S = 1/2 \rightarrow {}^2F_{7/2}$ SA emission and $Tm^{2+} {}^2F_{7/2} \rightarrow ({}^3H_6, 5 d^1)_S = 3/2$ excitation, enabling the possibility for efficient $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer. Despite this, we do expect to see $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer based on the $Tm^{2+} ({}^3H_6, 5 d^1)_S = 3/2 \rightarrow {}^2F_{7/2}$ SF emission in Tm-doped NaX , CaX_2 , $CsCaX_3$ ($X = Cl, Br$), $CsCaI_3$ and SrI_2 . It would be most strongly present in Tm-doped $NaCl$, $NaBr$ and $CaCl_2$; to be confirmed by a clear observation of the $Tm^{3+} {}^3H_4 \rightarrow {}^3H_6$ ($4f^{12} \rightarrow 4f^{12}$) emission on top of the broad $Tm^{2+} ({}^3H_6, 5 d^1)_S = 3/2 \rightarrow {}^2F_{7/2}$ SF ($4f^{12}5d^1 \rightarrow 4f^{13}$) emission band.

The $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer, demonstrated in this work for $BaCl_2:Tm^{2+}, Tm^{3+}$ and possibly also present in some other halide compounds, competes with: the thermal quenching of the $Tm^{2+} ({}^3H_6, 5 d^1)_S = 1/2 \rightarrow {}^2F_{7/2}$ and $({}^3H_6, 5 d^1)_S = 3/2 \rightarrow {}^2F_{7/2}$ emissions via $({}^3H_6, 5 d^1)_S = 1/2$

$\rightarrow {}^2F_{5/2}$ and $({}^3H_6, 5 d^1)_S = 3/2 \rightarrow {}^2F_{5/2}$ ($4f^{12}5d^1 \rightarrow 4f^{13}$) interband crossing, and thermal quenching via the conduction band. In order to minimize the quenching effects, competing with the $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer, additional research is needed into the engineering of the $Tm^{2+} 4f^{12}5d^1$ energy levels via for instance halide solid solutions. In addition, time-resolved spectroscopy measurements should be performed to gather more insights into the mechanism and efficiency of the $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer as well as research on optimization of the interatomic distances between the Tm^{2+} and Tm^{3+} ions, via a finetuning of the Tm^{2+} and Tm^{3+} doping concentrations.

The discovered $Tm^{2+} \rightarrow Tm^{3+}$ energy transfer that takes place in Tm-doped halides may be useful in high-sensitivity optical thermometers and in the design of spectral conversion layers, such as luminescence solar concentrators.

CRedit authorship contribution statement

M.P. Plokker: Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Software, Resources, Project administration, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **S.W. Bergkamp:** Visualization, Validation, Software, Investigation, Formal analysis, Conceptualization. **H.T. Hintzen:** Writing – review & editing, Writing – original draft, Supervision, Methodology, Investigation, Formal analysis, Conceptualization.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgement

The authors would like to thank J.T.M. de Haas (TU Delft) for experimental support with the luminescence measurements.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.omx.2025.100432>.

Data availability

Data will be made available on request.

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