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# Quantifying $\text{NO}_x$ Emissions from U.S. Oil and Gas Production Regions Using TROPOMI $\text{NO}_2$

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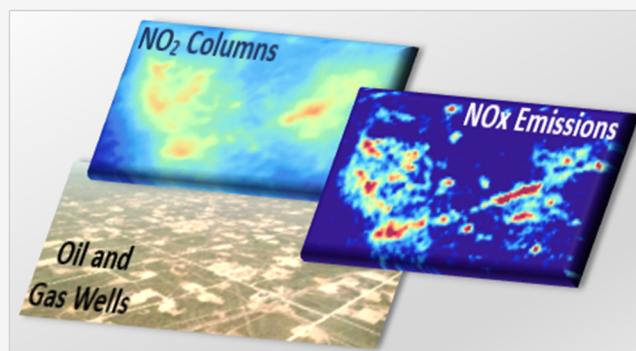
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**ABSTRACT:** The production of crude oil and natural gas is associated with emissions of air pollutants, such as nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) and volatile organic compounds, which are precursors for the formation of ground-level ozone. Knowledge of these emissions is critical to the understanding and mitigation of local air quality.  $\text{NO}_x$  emissions from oil and gas production activities are not well described in commonly used emission inventories, and discrepancies of several factors have been found in the past. Here we present an easy and computationally efficient method to quantify  $\text{NO}_x$  emissions from satellite  $\text{NO}_2$  observations that can be applied to evaluate common emission inventories and provide timely input for chemistry transport models. Using  $\text{NO}_2$  columns from the TROPospheric Monitoring Instrument (TROPOMI), we calculated annually averaged  $\text{NO}_x$  emissions from the oil and gas production regions in the United States. Derived  $\text{NO}_x$  emissions for the years 2018 to 2020 range between 4.8 and 81.1 t/day, and observed trends over time are consistent with changes in industrial activity. To evaluate the method, we compared our results with the fuel-based oil and gas  $\text{NO}_x$  inventory (FOG) and performed sensitivity studies using model output from the Weather Research Forecasting model with Chemistry (WRF-Chem). We found that annually averaged  $\text{NO}_x$  emissions from oil and gas production activities can in most cases be calculated within an uncertainty of 50%, while simultaneously derived emission maps show the spatial distribution of  $\text{NO}_x$  emissions with a high level of detail. For future use, this method can easily be applied globally.

**KEYWORDS:** oil and gas, emissions, nitrogen oxides, air quality, satellite, remote sensing



## 1. INTRODUCTION

The widespread use of hydraulic fracturing and horizontal drilling since the mid-2000s has led to a steep rise in the production of crude oil and natural gas in the United States. Despite the economic impacts of the COVID-19 pandemic, the current national production is still near an all-time high. Associated with this activity are emissions of methane and air pollutants, such as nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) and volatile organic compounds (VOCs).  $\text{NO}_x$  and VOCs are precursors for the formation of ground-level ozone, with adverse effects on human health.<sup>1</sup> Several oil and gas production fields in the United States are in remote areas with little or no regular air quality monitoring. In the contiguous United States,  $\text{NO}_x$  emissions from oil and gas production contribute about 5% to the total  $\text{NO}_x$  emissions,<sup>2</sup> but regionally, these emissions can be a significantly larger source that affects local air quality. While methane emissions from oil and gas fields have received much recent attention,<sup>3–9</sup>  $\text{NO}_x$  and VOC emissions are more poorly described. For example, a modeling study showed that  $\text{NO}_x$  emissions were overestimated by a factor of about 4, while VOC emissions

were underestimated by a factor of about 2, in the regulatory emission inventory for the Uintah basin in Utah.<sup>10</sup> These discrepancies were shown to be critical in describing the occurrence of winter ozone in this basin.<sup>11</sup>

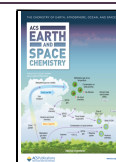
Bottom-up emission inventories for oil and gas operations are typically based on reported activities, which contain a high level of uncertainty. Also, being a labor-intensive product, emission inventories are often several years behind by the time of their completion. Satellites can provide easier and more up-to-date observations of emissions. Remote sensing measurements have been successfully used to infer trends in  $\text{NO}_x$  emissions over oil and gas production regions.<sup>12–14</sup> We use  $\text{NO}_2$  observations from the TROPospheric Monitoring Instrument (TROPOMI) to quantify annually averaged  $\text{NO}_x$

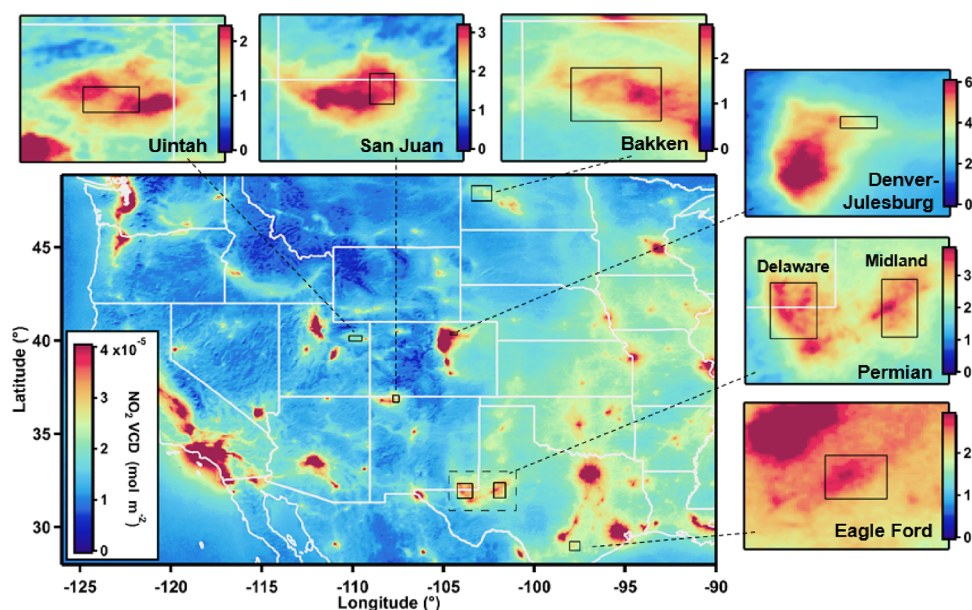
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**Figure 1.** Tropospheric  $\text{NO}_2$  VCDs observed by the TROPOMI satellite instrument over the continental United States averaged from 1 May 2018 to 27 December 2020. Insets provide color scale optimized maps of the oil and gas production areas analyzed here; numbers are in units of  $10^{-5}$   $\text{mol m}^{-2}$ . Note that the color scales differ between figure panels.

emissions from six oil and gas production regions in the United States for the years 2018, 2019, and 2020. Our method is based on recent studies by Beirle et al. that used the divergence of  $\text{NO}_2$  column fluxes to detect and quantify  $\text{NO}_x$  emissions from point sources, such as power plants.<sup>15,16</sup> Here, we apply this technique to quantify  $\text{NO}_x$  area emissions from oil and gas fields. While Beirle et al. (2019) also looked at citywide emissions, to our knowledge, the  $\text{NO}_x$  divergence method has not yet been systematically evaluated by a chemistry transport model nor widely applied to calculate area emissions. We also introduce utilizing parameterized OH concentrations to calculate  $\text{NO}_x$  lifetimes, which eliminates the need for chemical model input. The advantages of this approach, referred to as the divergence method in the following, are that it is comparatively simple and computationally efficient. It is independent from atmospheric chemistry models and provides annually updated emission estimates. To evaluate our method, we compared our top-down derived emissions to  $\text{NO}_x$  emission estimates from the fuel-based inventory of oil and gas (FOG) and performed sensitivity studies on model output from the regional Weather Research Forecasting model with Chemistry (WRF-Chem). In contrast to conventional emission inventories, the FOG inventory bases its  $\text{NO}_x$  emission estimates on the volume of fuels used for drill rigs and various other engines used in pump jacks, separators, dehydrators, and compressor stations as described by Francoeur et al. (2021) and Gorchoy Negron et al. (2018).<sup>2,17</sup> The FOG inventory was found to describe the above-mentioned  $\text{NO}_x$  emissions in the Uintah basin well and serves here as a benchmark for comparison with our satellite-derived results. To assess the accuracy of the divergence method, we ran sensitivity studies on the WRF-Chem model output and investigated the effects of individual analysis parameters such as wind fields,  $\text{NO}_x$  lifetime, or background correction. A recent study has used a similar approach to evaluate and quantify methane emissions from TROPOMI mean methane mixing ratios.<sup>18</sup>

Our study is structured as follows: In Section 2, we introduce data and explain our application of the divergence

method. Section 3 presents the TROPOMI  $\text{NO}_x$  emission results and the comparison with the FOG inventory. In Section 4, we analyze the WRF-Chem model output and discuss error sensitivities, and final conclusions are drawn in Section 5.

## 2. DATA AND METHODS

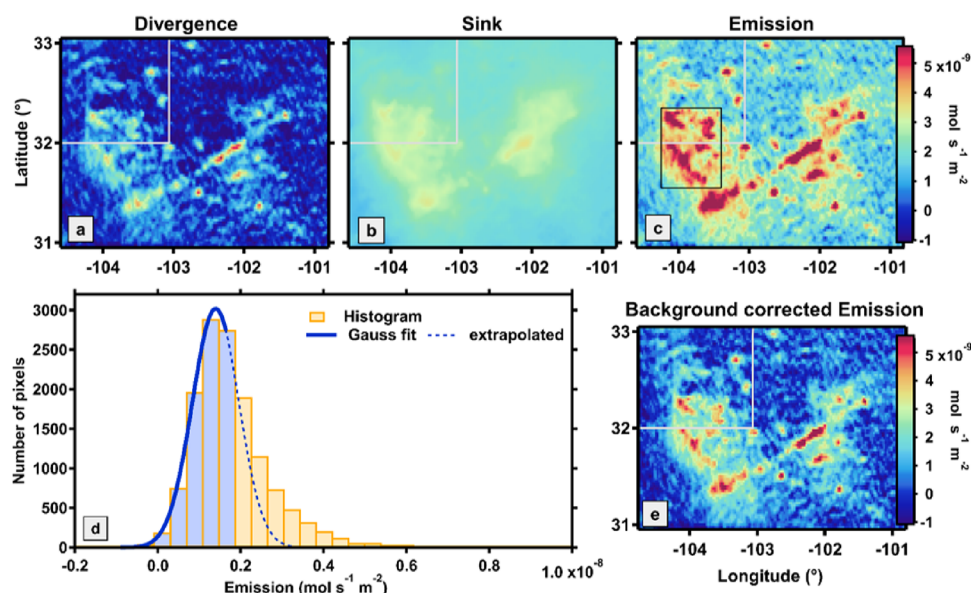
The divergence method is based on the continuity equation: on a pixel-by-pixel basis, the mass flow into a given pixel plus the emissions equals the mass flow out of the pixel plus the chemical loss. The divergence of the mass flux  $F$ ,  $\nabla F$ , describes changes in the mass flow. A positive divergence value indicates a source. Assuming a steady state,  $\text{NO}_x$  emissions,  $E$ , can be quantified as the sum of the sources and sinks,  $S$ , as follows:

$$E = \nabla F + S = \nabla(\text{sf} \cdot \text{VCD} \cdot w) + \text{sf} \cdot \text{VCD} / \tau \quad (1)$$

Here, the mass flux,  $F$ , is two-dimensional and given by multiplication of the  $\text{NO}_2$  vertical column density (VCD) with the horizontal wind field,  $w$ . The sink term describes a chemical loss with a constant lifetime  $\tau$ .  $\text{NO}_2$  VCDs are scaled to  $\text{NO}_x$  by a constant scaling factor,  $\text{sf}$ , of  $1.32 \pm 0.26$ , which represents a typical value for the TROPOMI overpass time and a generally polluted boundary layer.<sup>15</sup> Remote observations of  $\text{NO}_2$  are frequently used as proxy for  $\text{NO}_x$ ,<sup>13,19–26</sup> because most  $\text{NO}_x$  is emitted as NO and then rapidly converted to  $\text{NO}_2$ . In the following paragraphs, we will describe data used and our application of the method in more detail.

**2.1. Data.** **2.1.1. Satellite Data.** TROPOMI is a spectrometer on ESA's Copernicus Sentinel-5 Precursor satellite.<sup>27</sup> It has a UV–visible, a near-infrared, and a shortwave IR channel that provide simultaneous measurements of several trace gases, such as  $\text{NO}_2$ , formaldehyde, carbon monoxide, and methane. Launched in October 2017, the instrument provides daily global coverage.  $\text{NO}_2$  is observed with a spatial resolution at nadir of  $3.5 \times 5.5 \text{ km}^2$  ( $3.5 \times 7.0 \text{ km}^2$  before 6 August 2019) and analyzed in the visible wavelength range.<sup>28</sup> Data from 1 May 2018 onward are publicly available (<http://doi.org/10.5270/SSP-9bnp8q8>). Here we use version 1 of the level 2 reprocessed and offline tropospheric  $\text{NO}_2$  VCDs from 1 May





**Figure 2.** Calculation of  $\text{NO}_x$  emissions using TROPOMI  $\text{NO}_2$  and the divergence method. White lines denote state lines. (a) Calculated divergence. (b) Calculated sink. (c) Calculated emissions (divergence + sink). (d) Histogram of the emissions in the Delaware basin (black box in panel c) and surrounding area and determination of the background by using a Gauss fit on the blue shaded part of the data. (e) Background corrected emissions. The data shown here are an average over the full data set from 1 May 2018 to 27 December 2020.

2018 to 27 December 2020. Tropospheric columns are derived by subtracting the stratospheric portion from the total column and are available for download as a separate operational product.<sup>29</sup>

$\text{NO}_2$  VCDs from daily orbit files are gridded on a regular latitude/longitude grid applying a surface weight. The grid has a resolution of  $0.025^\circ \times 0.025^\circ$ , corresponding to about 2.8 km in latitudinal direction and 2.5 km to 1.7 km in longitudinal direction between  $25^\circ$  and  $50^\circ$  latitude. Only data with a qa value (a measure for data quality) larger 0.75 are used, filtering out, among other things, cloud-covered scenes that tend to shield  $\text{NO}_2$  close to the ground. Additionally, a solar zenith angle (SZA) filter is applied, removing data with  $\text{SZA} > 60^\circ$ . The SZA filter minimizes tropospheric VCD errors caused by the stratospheric correction and low signal-to-noise in low light conditions.

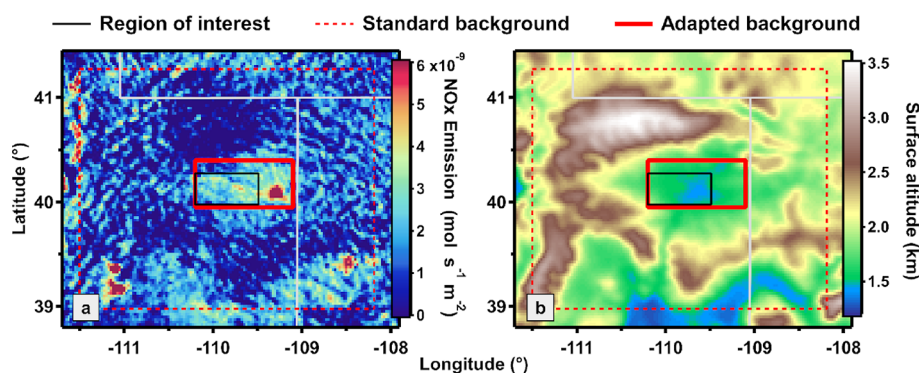
Figure 1 shows tropospheric  $\text{NO}_2$  VCDs averaged between 1 May 2018 and 27 December 2020. The locations of oil and gas production areas analyzed here are indicated by black boxes. For some regions, the area of interest is smaller than the production region to avoid including other  $\text{NO}_x$  sources in the analysis. For example, in the Denver-Julesburg basin, the oil and gas production region extends well into the metropolitan area, but we focus here on an area in the northeastern part of the basin where oil and gas emissions dominate over urban emissions. Likewise, power plants in the San Juan and Uintah basins dominate over oil and gas emissions and our areas of interest avoid these sources. Insets show close-ups for each study area. All selected areas show increased  $\text{NO}_2$  columns indicative of the  $\text{NO}_x$  emissions associated with the local oil and gas production activities.

**2.1.2. Meteorological Data.** Meridional and zonal wind components, as well as pressure and temperature, are from the ERA-5 reanalysis from the European Centre for Medium-Range Weather Forecasts (ECMWF).<sup>30</sup> These data are publicly available. ERA-5 data have an hourly temporal resolution and a spatial resolution of  $0.25^\circ \times 0.25^\circ$  latitude/

longitude. We interpolate ERA-5 data onto the  $\text{NO}_2$  spatial grid at a constant level of 100 m above ground and interpolate in time to the local TROPOMI overpass for each study region.

**2.1.3. FOG  $\text{NO}_x$  Inventory.** The fuel-based inventory of oil and gas (FOG) calculates  $\text{NO}_x$  emissions for individual processes in oil and natural gas production, such as drilling, production, and processing, based on the amount of fuel used during these processes.<sup>17</sup> FOG data were evaluated for several oil and gas basins using aircraft measurements made during the NOAA SONGNEX study.<sup>2</sup> Here we use FOG inventory data for 2018 to 2020, which cover the contiguous United States and include  $\text{NO}_x$  emission estimates from natural gas flaring. For a comparison of spatial distribution maps with TROPOMI and WRF-Chem results, FOG data are gridded on the respective matching latitude/longitude grid and a two-time and one-time binomial smoothing filter is applied for TROPOMI and WRF-Chem comparisons, respectively.

**2.1.4. WRF-Chem.** The Weather Research and Forecasting (WRF) model coupled with Chemistry simulates emission, mixing, and chemical transformation of trace gases and aerosols simultaneously with the meteorology. Here we use the WRF-Chem output for the Permian basin (see Figure 1) from 1 June 2018 to 30 August 2018 interpolated from a  $12 \times 12 \text{ km}^2$  grid and a Lambert conformal projection onto a rectilinear latitude/longitude grid of  $0.1^\circ \times 0.125^\circ$  between  $30^\circ$  to  $36^\circ$  latitude and  $-106^\circ$  to  $-100^\circ$  longitude. The following anthropogenic emission inventories in addition to FOG are used in the WRF-Chem simulations: the Fuel-based Inventory of Vehicle Emissions (FIVE) for mobile sources,<sup>31,32</sup> updated power plant emissions based on stack monitoring data derived from the Continuous Emission Monitoring Systems (CEMS), and emissions for other point and areal sources from the National Emissions Inventory (NEI 2017). All WRF-Chem output data are interpolated to TROPOMI overpass times and sampled following TROPOMI  $\text{NO}_2$  VCDs to simulate comparable cloud filtering and data coverage. WRF-Chem tropospheric  $\text{NO}_2$  VCDs were calculated from the modeled



**Figure 3.** (a) Calculated  $\text{NO}_x$  emissions for the year 2020 and (b) surface altitude for the Uintah basin. White lines denote state lines. The adapted background borders (solid red lines) follow emissions deemed representative for the valley  $\text{NO}_2$  background and keep surface altitude changes to within 550 m.  $\text{NO}_x$  emissions outside the updated background border quickly fall off and even drop below zero, which would create a high bias in the calculated  $\text{NO}_x$  emissions if the standard background area (red dashed lines) was used.

$\text{NO}_2$  vertical profiles, summing partial VCDs from the surface to the tropopause temperature minimum. Boundary layer VCDs were cut off at the boundary layer height provided by the WRF-Chem output. Displayed WRF-Chem other anthropogenic  $\text{NO}_x$  emissions are weekday emissions from July 2018 averaged over 3 h around the TROPOMI overpass time.

**2.2. Methods. 2.2.1. Divergence.** The divergence term in eq 1 is calculated as the derivative of the zonal and meridional scaled  $\text{NO}_2$  column fluxes. The derivative is approximated as a second-order central difference. The divergence is calculated for daily  $\text{NO}_x$  fluxes and averaged annually. We assume that the free tropospheric  $\text{NO}_2$  fraction above the planetary boundary layer, PBL, is sufficiently constant over each study area to not significantly affect the divergence. The absolute magnitude of the column above the PBL is irrelevant, because the derivative of a constant is zero. Accordingly, we treat the divergence as indicative of emissions in the PBL.

Figure 2a shows an example of a divergence map, calculated for the Permian basin (see Figure 1), which contains the largest oil and natural gas production in the United States. Positive divergence values indicate net source areas. Negative values mostly arise from the chemical loss, which will be accounted for by the sink term, but can also be artifacts caused by errors in the wind fields, statistical noise, or violation of the steady state.

**2.2.2. Sink.** The overpass time of the TROPOMI satellite instrument around 13:30 local time allows the assumption that the chemical loss of  $\text{NO}_x$  is dominated by the reaction with the hydroxyl radical, OH.<sup>15</sup> We approximate an effective lifetime,  $\tau$ , as first-order loss to OH:

$$\tau = 1/(k_{\text{OH}+\text{NO}_2}[\text{OH}]) \quad (2)$$

The rate constant  $k_{\text{OH}+\text{NO}_2}$  is calculated following the Master Chemical Mechanism,<sup>33,34</sup> MCM, using pressure and temperature from ECMWF. The sink term is then calculated according to eqs 1 and 2. Even though the instantaneous  $\text{NO}_x$  lifetime is a complex function of  $\text{NO}_x$  and VOC concentrations as well as temperature, pressure, and photolysis frequencies, constant  $\text{NO}_x$  lifetimes have been found to be a suitable concept to relate remotely observed  $\text{NO}_2$  VCDs to  $\text{NO}_x$  emissions.<sup>20,22,25</sup>

To keep our approach simple and independent from atmospheric chemistry models, OH concentrations are calculated based on the empirical relationship between the photolysis frequency of ozone and OH as observed and

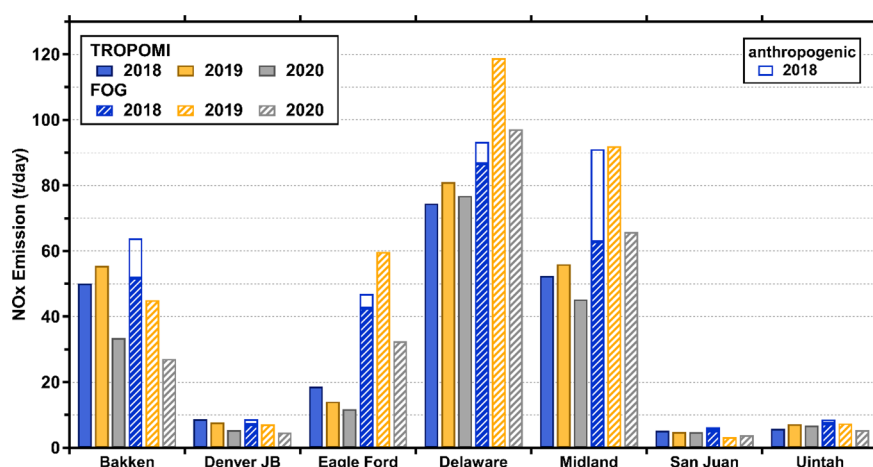
described by Rohrer and Berresheim.<sup>35</sup> Ozone photolysis with a frequency  $J(\text{O}(^1\text{D}))$  produces excited  $\text{O}(^1\text{D})$  atoms, which form OH in reaction with water. The authors empirically derived a mostly linear correlation between  $J(\text{O}(^1\text{D}))$  and OH that holds true across different seasons and locations, although slopes and offsets of the applied line fits vary. For our study, we use line fit parameters averaged across all described campaigns:

$$[\text{OH}] = 3.38 \cdot 10^6 \cdot (J(\text{O}(^1\text{D})) \cdot 10^5)^{0.956} + 0.162 \cdot 10^6 \quad (3)$$

where  $[\text{OH}]$  is in units of  $10^6 \text{ cm}^{-3}$  and  $J(\text{O}(^1\text{D}))$  is in  $10^{-5} \text{ s}^{-1}$ .  $J(\text{O}(^1\text{D}))$  itself is a function of SZA and calculated following the MCM.<sup>34,36</sup> Here, the SZA cutoff at  $60^\circ$  applied to the  $\text{NO}_2$  VCDs is important to exclude the very long  $\text{NO}_2$  lifetimes at high SZAs. The calculated sink term for the Permian basin is shown in Figure 2b, and the resulting  $\text{NO}_x$  emissions for the same area (divergence plus sink) are displayed in Figure 2c. Negative values in the divergence map (Figure 2a) caused by chemical loss are mostly accounted for by the addition of the sink term. The sink term is calculated from the tropospheric  $\text{NO}_2$  VCDs and therefore contains a boundary layer and free tropospheric  $\text{NO}_2$  background, which needs to be subtracted to get net emissions from the oil and gas production activities. We quantify this background based on the  $\text{NO}_x$  emissions maps as explained in the following.

**2.2.3. Background Correction.** For each region of interest (black boxes in Figure 1), we take the surrounding area as a measure for the  $\text{NO}_2$  background contained in the VCDs. Based on sensitivity studies, we extend the region of interest by 111 km in each direction ( $1^\circ$  latitude and corresponding longitude) and create a histogram from the  $\text{NO}_x$  emission data as shown in Figure 2d. A constant regional background appears as a normal distribution with local emissions on top of the regional background, creating a tail toward higher values, as seen in Figure 2d. We apply a Gauss fit to the left side of the histogram by constraining the fit on the right side to the y-maximum plus one more point, as indicated by the blue shaded area in Figure 2d. The fitted peak value quantifies the regional background. Details on histogram settings are provided in Section S1. The background corrected  $\text{NO}_x$  emissions map is presented in Figure 2e. As expected, emissions of the surrounding area are now around zero.

While the divergence is calculated daily, background correction values for the annual  $\text{NO}_x$  emission averages presented in Section 3 are derived from their corresponding annually averaged emission maps. Annual data inherently



**Figure 4.** NO<sub>x</sub> emissions for the years 2018 to 2020, calculated from TROPOMI data (solid bars) and from the FOG inventory (striped bars), and other anthropogenic NO<sub>x</sub> emissions for 2018 (open bars).

average over the seasonal cycle in NO<sub>x</sub>. The 2018 NO<sub>2</sub> data, however, only start in May, which affect the magnitude of the sink term and background correction. To assess and account for this effect, we calculated NO<sub>x</sub> emissions for 2019 from May to December for each study area and used the difference to the 2019 12-month average as a correction factor for the 2018 data. Correction factors range between −23 and +28%.

Local topography and surrounding NO<sub>2</sub> conditions can complicate the background corrections. For example, the San Juan and Uintah production regions are both located in mountain valleys, where boundary layer air can get trapped. Slopes at the valley sides create complex meteorological patterns that are not well resolved by the 0.25° resolution of the ECMWF wind fields, and strong altitude gradients add to the uncertainty of the TROPOMI NO<sub>2</sub> VCD retrievals. These factors increase the uncertainty in the divergence and sink terms. Further, NO<sub>2</sub> VCDs over the surrounding mountains do not contain the background NO<sub>2</sub> inside the valley, which create a low bias in the subtracted background and lead to a high bias in the calculated NO<sub>x</sub> emissions. Figure 3a shows NO<sub>x</sub> emissions that are not yet background corrected, calculated for the Uintah basin for 2020 next to the surface elevation in panel b. Red dashed lines indicate the standard background area, while the red solid line shows what we call an adapted background region, which includes only emissions that are on average larger than  $2 \times 10^{-9} \text{ mol s}^{-1} \text{ m}^{-2}$ , and surface altitude changes inside the adapted background region are within 550 m of the region of interest (black box in Figure 3). NO<sub>x</sub> emissions outside the updated background border quickly fall off and even drop below zero. Emissions for the Uintah, San Juan, and Delaware basins were all calculated with an updated background (see Figures S1 and S2 for the San Juan and Delaware adapted backgrounds).

**2.2.4. Quantification of NO<sub>x</sub> Emissions.** The net NO<sub>x</sub> emissions for each study area are quantified as the sum of background corrected emissions above zero within the region of interest. The borders of each study area were optimized to include significant signals over production areas and exclude other significant NO<sub>x</sub> sources, but some contamination from other sources might remain. As part of our analysis for the Permian basin, we also quantified selected point sources. This was done by fitting a two-dimensional Gaussian to the point source area within a radius of 12 km and calculating emissions as the volume of the fitted Gaussian.

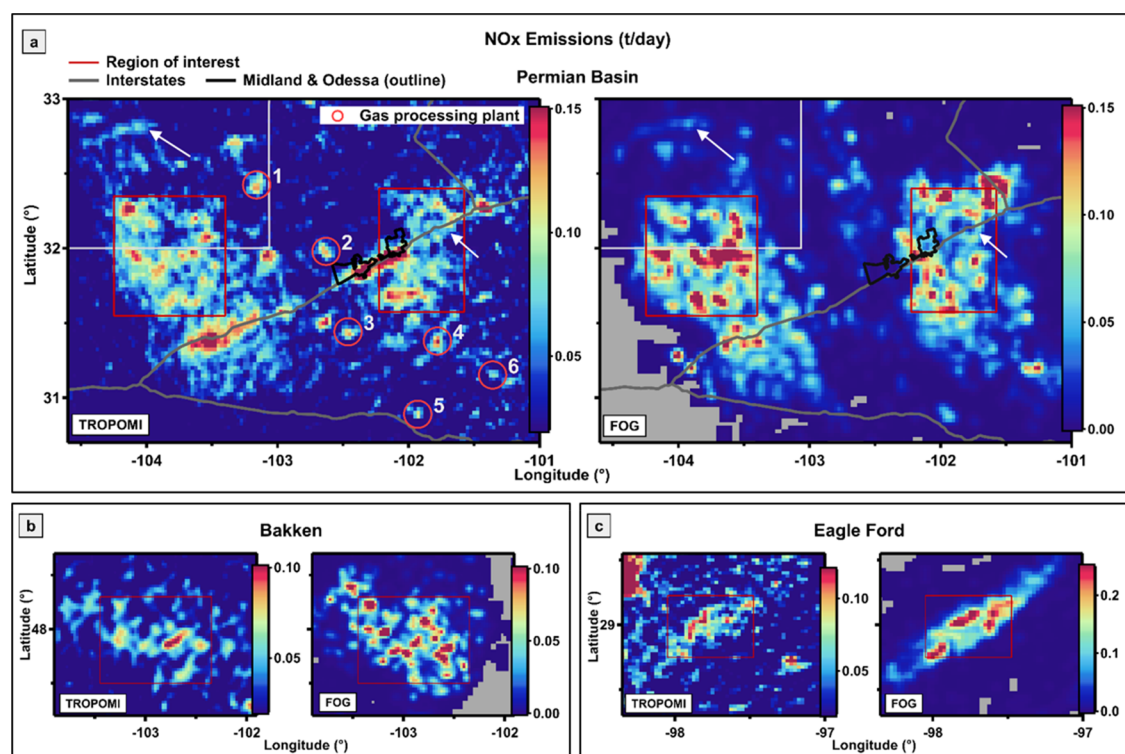
### 3. RESULTS

**3.1. TROPOMI Results and Comparison with the FOG NO<sub>x</sub> Inventory.** Applying the methods described above, we calculated annually averaged NO<sub>x</sub> emissions for 2018, 2019, and 2020 for six oil and natural gas production areas in the United States (see Figure 1). Results are displayed in Figure 4 as solid bars (see Figure S3 for uncorrected TROPOMI 2018 data).

Emissions calculated from the TROPOMI analysis over all 3 years range between 4.8 and 81.1 t/day. The Bakken, Delaware, Midland, and Uintah basins show increasing emissions from 2018 to 2019 followed by a decrease from 2019 to 2020. These trends are consistent with the continued growth of U.S. oil and natural gas production up until the start of the lockdowns in March 2020 due to the COVID-19 pandemic.<sup>37,38</sup> While the consumption of natural gas was less affected in 2020, the demand for oil dropped rapidly, leading to a particularly stark decline in the drilling of new wells and reduced production.<sup>39</sup> With a drop of 40%, the Bakken basin, which is predominantly an oil-producing region, shows the strongest observed decline in 2020. Denver-Julesburg, Eagle Ford, and San Juan show declining NO<sub>x</sub> emissions from 2019 to 2020 as well, but here, and in the Uintah basin, the observed differences are small and might also be affected by the general decline of anthropogenic NO<sub>x</sub> in 2020 due to the COVID-19 pandemic. In contrast, the Permian (Delaware and Midland) and Bakken basins are located in more remote areas and have comparatively high NO<sub>x</sub> emissions. Here, it is safe to assume that observed trends are more directly correlated with changes in the local oil and gas production activities. The TROPOMI tropospheric NO<sub>2</sub> VCDs used here tend to have a low bias over polluted regions, which is on the order of 20% for areas comparable to our study areas<sup>40</sup> and possibly more when used with the divergence method to retrieve NO<sub>x</sub> emissions close to the ground.<sup>15,16</sup> Our calculated NO<sub>x</sub> emissions are therefore likely a lower limit.

FOG NO<sub>x</sub> emissions for the same years and locations are included in Figure 4, as well as other anthropogenic NO<sub>x</sub> emissions for 2018 (see Section 2.1). TROPOMI and FOG NO<sub>x</sub> emissions track each other well across study areas and over time. Emissions agree on average within  $12 \pm 24\%$  (2018),  $11 \pm 41\%$  (2019), and  $4 \pm 35\%$  (2020). The agreement in 2018/2019/2020 is better for the Bakken (3/23/





**Figure 5.**  $\text{NO}_x$  emissions calculated from 2018 TROPOMI  $\text{NO}_2$  VCDs next to FOG emissions (i.e., oil and gas emissions only) for the (a) Permian (Midland and Delaware) basin, (b) Bakken basin, and (c) Eagle Ford basin. White lines denote state lines. TROPOMI results for the Bakken basin have a one-time binomial smoothing applied. Regions of interest are labeled with red boxes. The TROPOMI Permian basin map is created with the Delaware background correction, and red circles with white numbers indicate gas processing plants for which  $\text{NO}_x$  emissions have been calculated (see Section 3.2). White arrows point out unique spatial features in the Permian basin that exist in both TROPOMI-calculated and FOG  $\text{NO}_x$  emissions.

23%) and Delaware (14/32/21%) basins than for the Midland (17/40/31%) and San Juan (45% in 2019) regions, likely because other  $\text{NO}_x$  sources are relatively more important (Midland) or because of the mountain topography (San Juan). Eagle Ford shows the strongest deviation from FOG, being smaller by 56/76/64%. The Eagle Ford basin is located close to San Antonio and is surrounded by a comparatively high  $\text{NO}_2$  background (see Figure 1). At the same time, FOG  $\text{NO}_x$  emissions here have the highest emission per area average compared to other study areas. It is possible that a fraction of such high-density emissions is diluted into the background before it has a chance to appear on top of the background as structured emissions plumes, which get quantified by the divergence. As a result, this fraction would be mostly canceled out during the background correction.

In 2018, the fraction of anthropogenic  $\text{NO}_x$  emissions compared to FOG is below 24% for all basins except for the Midland basin (37%), which supports that  $\text{NO}_x$  emissions from oil and gas production are the dominant  $\text{NO}_x$  source in our selected study areas. These other emissions from transportation and industrial activity are not expected to significantly change in 2019 or 2020.

To illustrate the spatial information contained in the calculated emission maps, Figure 5 shows  $\text{NO}_x$  emissions calculated from TROPOMI 2018  $\text{NO}_2$  VCDs next to FOG  $\text{NO}_x$  emissions for the Permian (Midland and Delaware), Bakken, and Eagle Ford basins. Note that TROPOMI calculated emission maps include the detection of other  $\text{NO}_x$  sources as well, while FOG emission maps only show the oil and gas production related  $\text{NO}_x$  emissions. For all three basins,

we find agreements between TROPOMI and FOG in co-location of gradients and emission hot spots within the regions of interest. The Permian basin (Figure 5a) shows a particularly high level of spatial detail and excellent agreement with FOG  $\text{NO}_x$  emissions. Exemplary features are pointed out by white arrows in both maps: an "arc" of  $\text{NO}_x$  emissions to the northwest of the Delaware basin and a ring-shaped emission pattern in the north of the Midland basin (the interstate, gray line, is going through the lower half of the ring). The largest cities in the Permian basin are Midland and Odessa (black outlines in Figure 5a). Midland is located within the Midland region of interest, but has small  $\text{NO}_x$  emissions within city limits compared to Odessa, which was excluded from the region of interest. As the TROPOMI map shows, most urban  $\text{NO}_x$  emissions are located along the interstate. The Midland region of interest boundaries are a compromise between including areas with high oil and gas production (see FOG map), while still excluding significant urban signals. Compared to the Delaware basin though, calculated Midland  $\text{NO}_x$  emissions contain a higher fraction of urban  $\text{NO}_x$  emissions, which could explain the stronger  $\text{NO}_x$  emission fall off seen in 2020 (Figure 4). The TROPOMI-derived  $\text{NO}_x$  emission map also indicates the presence of point sources in the Permian basin, some of which are gas processing plants. Red circles mark gas plants for which we quantified  $\text{NO}_x$  emissions from the TROPOMI  $\text{NO}_2$  observations. Results are presented and discussed in Section 3.2.  $\text{NO}_x$  emissions calculated for the Bakken basin (Figure 5b) agree well with FOG in tracing the contours of the highest production emissions and show co-located hotspots despite having poorer data statistics compared

to other basins. Being farthest north, NO<sub>2</sub> VCDs over the Bakken basin are most impacted by SZA and snow/ice filtering. The comparison for the Eagle Ford basin (Figure 5c) shows some discrepancies in the location of hot spots, and calculated emissions are overall lower than FOG. However, sharp gradients in the emission maps are detected and quantified by the divergence term, whereas features in the sink term are diluted by atmospheric transport. While the sink term is important for the accuracy of the total NO<sub>x</sub> emission estimates, it is safe to assume that gradients on sub-basin scales can be detected with a precision that exceeds the accuracy of the absolute NO<sub>x</sub> quantification.

Generally, differences between TROPOMI and FOG can be caused by several reasons: Cloud and SZA filtering leads to uneven data statistics in TROPOMI NO<sub>2</sub> VCDs, leaving some areas within a region of interest possibly undersampled. Another reason could be that drill rigs are not stationary NO<sub>x</sub> sources, because the drilling of a new well takes on the order of weeks to months, after which the drill rig moves to another site. Uneven sampling of the NO<sub>2</sub> VCDs could miss some of the drilling activity. With respect to the FOG inventory, possible reasons for discrepancies could be that emissions are assumed to be consistent across a 24-h daily cycle, which might not be the case. Further, state-level fuel volumes are distributed across wells by production as a proxy. Especially for a large state like Texas with multiple oil and gas production basins, this could be an important source of spatial uncertainty. Despite these potential uncertainties, the TROPOMI-derived emission maps provide valuable information on the spatial distribution of NO<sub>x</sub> emissions from oil and gas production activities, especially for areas with higher emissions, such as the Permian basin.

**3.2. NO<sub>x</sub> Emissions from Gas Processing Plants.** Gas processing plants are an integral part of the infrastructure in areas with natural gas production. In the Permian basin, NO<sub>x</sub> emissions of some gas plants are sufficiently large to appear as a point source in the TROPOMI NO<sub>x</sub> emission map (red circles in Figure 5a). We quantified NO<sub>x</sub> emissions for those gas plants that have no other known significant NO<sub>x</sub> sources close by. Calculated annual emissions for 2018 to 2020 are given in Table 1 together with emissions from the National Emissions Inventory 2017 (NEI 2017).

**Table 1. NO<sub>x</sub> Emissions of Gas Processing Plants Reported in t/day**

number in Figure 5a	NEI 2017	TROPOMI 2018	TROPOMI 2019	TROPOMI 2020
1	3.3	3.3	2.3	1.6
2	2.0 <sup>a</sup>	2.1	1.6	1.6
3	3.2	1.5		0.9
4	0.7 <sup>b</sup>	1.6	1.6	2.2
5	1.8	0.7	1.0	1.3
6	0.2	0.8	1.3	1.2

<sup>a</sup>Two plants side by side: (1.85 + 0.15) t/day. <sup>b</sup>Two plants side by side: (0.6 + 0.1) t/day.

NO<sub>x</sub> emissions calculated from TROPOMI observations for the selected gas processing plants are in the same order of magnitude as NEI stated emissions and agree on average within 1 t/day. Given the reported low bias of TROPOMI NO<sub>2</sub> columns (see above), the calculated NO<sub>x</sub> emissions for the gas processing plants are likely a lower limit. The total NO<sub>x</sub>

emissions for these six gas processing plants are 9.9, 7.6, and 8.7 t/day for the years 2018, 2019, and 2020. Figure S4 shows all NEI 2017 gas processing plant emissions together with the FOG emissions for the Permian basin. Based on the NEI 2017, total NO<sub>x</sub> emissions from all gas processing plants shown in Figure S4 are 36.9 t/day, with 0.8 t/day inside the Delaware region of interest and 5.1 t/day inside the Midland region of interest. These results indicate that gas processing plants are a significant source of NO<sub>x</sub> emissions in the Permian basin.

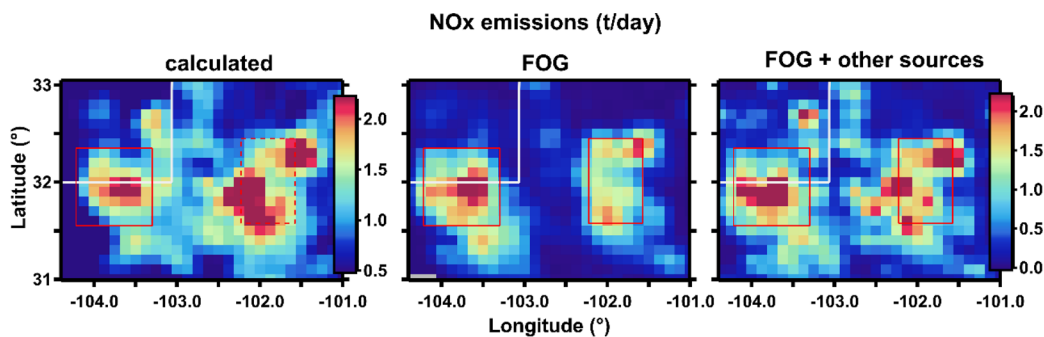
#### 4. EVALUATION OF THE DIVERGENCE METHOD USING THE WRF-CHEM OUTPUT

The WRF-Chem model output provides the opportunity to evaluate the accuracy of total area NO<sub>x</sub> emissions calculated with the divergence method since the model input emissions are known. It also allows one to individually study the effect of analysis parameters on retrieved NO<sub>x</sub>. Figure 6 shows an example of NO<sub>x</sub> emissions calculated for the Delaware basin using the divergence method on WRF-Chem modeled NO<sub>2</sub> VCDs. Derived emissions are shown in the left panel next to FOG emissions (middle panel) and FOG together with all other NO<sub>x</sub> sources (right panel) that were included in the WRF-Chem run as input. The WRF grid does not allow one to resolve a lot of details on sub-basin scales, but the main features are still reproduced with the divergence method. The WRF-Chem modeled NO<sub>2</sub> VCDs change more strongly across the Permian basin in east–west direction compared to what is observed in the annual TROPOMI VCDs, which explains the seemingly overestimated NO<sub>x</sub> emissions in the Midland basin in Figure 6 when shown with the Delaware background correction. Because of this stronger gradient, the background region for the Delaware basin was readjusted (see Figure S5). The comparatively coarse resolution of the WRF-Chem model also leads to poor histogram data statistics. To account for the resulting larger uncertainties, the background correction value was determined by taking the median value over several histogram fits with slightly different settings. For further details, see Section S2.

Calculated NO<sub>x</sub> emissions for the Delaware (Figure 6) and Midland (Figure S6) basins agree with the FOG input emission to within 2 and 8%, respectively. Uncertainties created by moving the region of interest borders within plus and minus one of the now larger pixels are on the order of 5%. The map of FOG and other NO<sub>x</sub> sources in Figure 6 illustrates the dominance of oil and gas production NO<sub>x</sub> sources, especially in the Delaware basin, where FOG emissions account for 83% of the total NO<sub>x</sub> emissions. The NO<sub>x</sub> emissions displayed in Figure 6 and Figure S6 were calculated using WRF-Chem wind fields at 100 m above ground. The NO<sub>x</sub> lifetime was calculated following eq 2, using WRF-Chem OH, pressure, and temperature at wind level altitude. WRF-Chem NO and NO<sub>2</sub> concentrations at wind level altitude determined the NO<sub>x</sub> scaling factors. We call this setup the base case. To study the effect of individual parameters on divergence method results, single parameters were systematically changed from the base case as outlined in Table 2.

**4.1. Results of the WRF-Chem Sensitivity Studies.** The results of the sensitivity studies are summarized in Figure 7. Calculated NO<sub>x</sub> emissions for the Delaware and Midland basins are presented as percent changes to the FOG input emissions. Results across all sensitivity studies remain within 20% of the input emissions, which are highly encouraging with respect to using the divergence method for the quantification

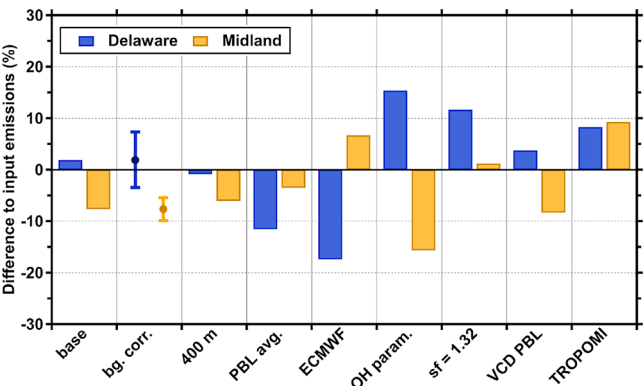




**Figure 6.** NO<sub>x</sub> emissions calculated with the divergence method for the Delaware basin from the WRF-Chem output next to the model input NO<sub>x</sub> emissions. White lines denote state lines. Red boxes mark regions of interest. NO<sub>x</sub> emissions shown here are calculated with the background correction of the Delaware basin, which creates the appearance of a high bias for the Midland basin in this figure (red dashed line).

**Table 2.** WRF-Chem Sensitivity Studies

case study	background correction	wind level	wind source	NO <sub>x</sub> lifetime	NO <sub>x</sub> scaling	NO <sub>2</sub> VCD
base	median	100 m	WRF	[OH]	[NO <sub>x</sub> ]/[NO <sub>2</sub> ]	tropospheric
Changes to base case						
1) bg. corr.	± sdev					
2) 400 m		400 m				
3) PBL avg.		PBL avg.				
4) ECMWF			ECMWF			
5) OH param.				param. OH		
6) sf = 1.32					1.32	
7) VCD PBL						PBL
8) TROPOMI			ECMWF	param. OH	1.32	



**Figure 7.** Results of the WRF-Chem sensitivity studies for the Delaware and Midland basins for all cases stated in Table 2 and expressed as percent difference to FOG input emissions.

of area sources. The strongest deviations from the input emissions are seen for changes in the wind fields and in the NO<sub>x</sub> lifetime. In the following, we will explain these results in more detail and discuss them in the context of our TROPOMI analysis.

- 1) Background correction: The standard deviation across the set of histogram fits that determine the background value (see Section S2) is used to calculate the random error caused by the background correction. Shown in Figure 7, in the "bg. corr." column, are the uncertainties for the base case, which are 5.4 and 2.2% for the Delaware and Midland basin, respectively. Background uncertainties for all WRF-Chem cases expressed as differences to the originally calculated NO<sub>x</sub> emissions are on average  $11 \pm 5\%$  (Delaware) and  $4 \pm 1\%$  (Midland).

- 2,3) Wind level: Changes in calculated NO<sub>x</sub> emissions from using different wind levels ("400 m" and "PBL avg.") are <13%. The small spread in results indicates that using wind speeds at fixed levels is a suitable approximation of the model boundary layer wind fields and that the actual choice of altitude does not lead to significant changes. These findings are consistent with previous studies.<sup>15,16</sup> The boundary layer height used to create the PBL averaged wind fields is from WRF-Chem itself and therefore consistent with the modeled NO<sub>2</sub> VCDs. When using modeled PBL heights in combination with satellite data, resulting errors could be larger when real and modeled PBL heights do not match.
- 4) Wind source: Using ECMWF wind fields tests the effects of deviations from the model wind. Over the Midland basin and most of its background area, ECMWF wind speeds are generally higher than WRF wind speeds, i.e., the actual wind in the model, but are mostly consistent in direction. Resulting NO<sub>x</sub> emissions are higher compared to the base case. Over the Delaware basin, conditions are more complex and the ECMWF and WRF wind fields differ in speed and direction. The calculated NO<sub>x</sub> emission map for this case, see Figure S7, shows that NO<sub>x</sub> emissions from the Delaware basin appear to be shifted and partially moved out of the region of interest, which create a low bias and poor collocation with the FOG input emissions.
- 5) NO<sub>x</sub> lifetime: Here we investigate the effect of using either OH parameterized by the solar zenith angle or WRF-Chem modeled OH concentrations that include the effects of atmospheric chemistry and show a dependency on NO<sub>x</sub> concentrations and surface altitude. We assume here that the difference is also representative

for the difference between parameterized and actual OH in the atmosphere. NO<sub>x</sub> lifetimes based on WRF-Chem OH concentrations are on average 5.1 and 3.0 h for the Delaware and Midland basin, respectively, with lifetimes increasing from 3 to 7 h across the Delaware background region. Lifetimes based on parameterized OH concentrations are 3.7 and 2.9 h, respectively, with negligible dependence on surface altitude (see Figure S8 for lifetimes). Changes in the NO<sub>x</sub> lifetime only affect the sink term, where a shorter lifetime leads to a larger sink term. The effects on the calculated total NO<sub>x</sub> emissions seen in Figure 7 are determined by the relative changes in lifetime between the region of interest and the background areas. For the Delaware basin, the increase of the sink term leads to an increase in total NO<sub>x</sub> emissions, while for the Midland basin, a net decrease in calculated NO<sub>x</sub> emissions is created by the now relatively larger background. Despite the large lifetime differences, retrieved NO<sub>x</sub> emissions are within 16% of FOG input emissions, which indicate that our background correction does compensate even for larger differences and that using parameterized OH concentrations is a suitable method for our application.

- 6) NO<sub>x</sub> scaling factor: The scaling factor based on the WRF-Chem simulated NO and NO<sub>2</sub> concentrations is on average 1.22 for both the Delaware and Midland basins for the base case and thus within the given range of  $\pm 0.26$  for the constant NO<sub>x</sub> scaling factor of 1.32 used in the TROPOMI analysis (see Section 2). The difference of 8% in the scaling factor translates to a difference in calculated NO<sub>x</sub> emissions of the same magnitude. We assume that this difference is also representative of the difference between the factor of 1.32 used in the divergence method vs the actual NO<sub>x</sub>–NO<sub>2</sub> ratio in the atmosphere.
- 7) Tropospheric vs PBL VCDs: The divergence method uses tropospheric VCDs as a representation of NO<sub>2</sub> in the boundary layer, mainly because VCDs containing only boundary layer NO<sub>2</sub> are not a product that is easily available. Calculated NO<sub>x</sub> emissions using WRF-Chem PBL VCDs are less than 2% different from the base case, indicating that the free tropospheric fraction contained in the tropospheric NO<sub>2</sub> VCDs is approximately constant and canceled out by the background correction. This premise might not hold true for TROPOMI NO<sub>2</sub> VCDs across the board, but the magnitude of the background uncertainty (see above) is a good indicator for the stability of the NO<sub>2</sub> background across the region of interest and background area.
- 8) TROPOMI settings: Here we tested the effect of applying all parameters used for TROPOMI analysis simultaneously, i.e., ECMWF wind fields, parameterized OH, and a NO<sub>x</sub> scaling factor of 1.32. Deviations in resulting NO<sub>x</sub> emissions from the base case are smaller than some of the individual deviations, e.g., case "ECMWF" or "OH param.", which indicate that individual errors with a different sign can compensate each other.

**4.2. Discussion of Sensitivity Study Results in the Context of TROPOMI Data.** Our sensitivity studies show that the choice of wind level altitude and the NO<sub>x</sub> to NO<sub>2</sub> scaling factor are smaller potential error sources, which are

consistent with prior studies.<sup>15,16</sup> The background correction, choice of wind fields, and OH lifetime can create larger errors in the calculated NO<sub>x</sub> emissions. The calculated uncertainty of the background correction is a good indicator to assess the magnitude of the error created by the background correction. Background uncertainties in TROPOMI data expressed as differences to the originally calculated NO<sub>x</sub> emissions are  $15 \pm 9\%$ , averaged over all study areas and years, or  $3 \pm 2$  t/day, which is a bit larger than what the WRF-Chem sensitivity study shows. Smaller study areas are more sensitive to the background uncertainty as well as study areas with strongly changing topography. For the latter, this effect can be mitigated by adapting the background region. Errors in the wind fields can create artifacts in the divergence map<sup>15,16</sup> or lead to poor spatial correlation between the calculated and input emissions locations, such as for the Delaware basin "ECMWF" case. Both indicators can be used for data quality filtering. The application of the divergence method to calculate NO<sub>x</sub> emissions assumes a steady state, which means no changes in the wind direction and constant NO<sub>x</sub> emission across the region of interest. Even though the divergence is calculated daily, this assumption does not hold true everywhere. Areas with known systematic diurnal cycles of wind direction are not well suited for application of the divergence method. Likewise, areas with a complex mesoscale meteorology that is not resolved by the spatial resolution of the wind fields are not good candidates for the divergence method either. Annual NO<sub>x</sub> lifetimes calculated for the TROPOMI regions of interest range between 3.9 and 4.6 h, which are consistent with other studies that use effective NO<sub>x</sub> lifetimes to relate NO<sub>2</sub> VCDs to NO<sub>x</sub> emissions.<sup>20,22,25</sup> The WRF-Chem sensitivity study for the Delaware basin showed that results using the parameterized OH are within 13% of using WRF-Chem OH, even though the latter has a much larger variability across the region of interest and background area. Uncertainties in the lifetime affect only the sink term. Therefore, the error on the calculated NO<sub>x</sub> emissions is mitigated by the fraction that the sink term contributed to the total emissions. All significant error sources identified here are systematic and would not be reduced by increased time averaging.

## 5. CONCLUSIONS

We calculated annually averaged total NO<sub>x</sub> emissions for six oil and gas production areas in the United States. The comparison of our results to the FOG NO<sub>x</sub> inventory showed good agreement in magnitude and trends over time. NO<sub>x</sub> emissions agreed within  $12 \pm 24\%$  (2018),  $11 \pm 41\%$  (2019), and  $4 \pm 35\%$  (2020). The general consistency between TROPOMI-calculated NO<sub>x</sub> emissions and FOG speaks to the fact that NO<sub>x</sub> emission retrievals using the divergence method on TROPOMI NO<sub>2</sub> tropospheric VCDs are successful across a range of different meteorological and atmospheric conditions (between latitude 28 and 49°) and across challenging topography. The observed consistency of local gradients between FOG and TROPOMI demonstrates the value of the spatial information contained in the calculated emission maps and confirms that enhancements in NO<sub>2</sub> VCDs indeed correlate with the intensity of industrial activity, as have been shown in prior studies.<sup>12–14</sup> The evaluation of our method with WRF-Chem model results led us to conclude that the divergence method is a useful tool for the retrieval of total NO<sub>x</sub> emissions from oil and gas production activities for a

range of different analysis parameter settings. Based on the combined analysis from Sections 3 and 4, we conclude that total NO<sub>x</sub> emissions from oil and gas production areas can be calculated on an annual basis for most cases within an uncertainty of 50%. Further, calculated NO<sub>x</sub> emission maps can provide a high level of spatial detail with a precision that likely exceeds the accuracy of the total NO<sub>x</sub> quantification. Given the large uncertainties and time lag in general bottom-up emission inventories for oil and gas operations, the divergence method is an excellent tool to 1) provide timely emissions data, e.g., for the use in chemistry transport models; 2) evaluate bottom-up emission inventories; 3) follow trends in time, such as the effects caused by the COVID-19 lockdowns; and 4) provide information on the spatial distribution of NO<sub>x</sub> emissions with a high level of detail. For future use, this analysis can easily be expanded globally to quantify NO<sub>x</sub> emissions from oil and gas production activities in areas where no other emission information is available. Next steps will include building and expanding upon prior work<sup>2,41</sup> that uses observed NO<sub>2</sub> to methane ratios to scale from NO<sub>x</sub> to methane emissions.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsearthspacechem.1c00387>.

Information on background correction; uncorrected TROPOMI NO<sub>x</sub> emissions for 2018; NEI 2017 gas processing plants emissions; WRF-Chem sensitivity study results for base case Midland basin and case 4); NO<sub>x</sub> lifetime comparison (Sections S1 and S2, Figures S1–S8) (PDF)

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## Notes

The authors declare no competing financial interest.

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