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### **CASPT 2015**

## Robust train routing in station areas with reducing capacity utilization

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Rotterdam, 20-07-2015

### Outline

- Introduction
- Motivation
- Solution approach
  - Preprocessing
  - Original Train Routing Problem (TRP)
  - Extension to Robust Train Routing Problem (RTRP)
  - RTRP heuristics
- Case study



### Introduction

- High capacity consumption (sometimes over recommended norms)
- Growing demand (e.g., NL a train every 5')
- Stations as bottlenecks
- New planning methods and algorithms that should provide:
  - High-quality and reliable service,
  - Improved experience for planners and dispatchers
  - Satisfied customers
- Train routing problem:

- 1. platform assignment
- 2. route selection



## State-of-the-art of Train Routing Problem (TRP)

#### • TRP is NP-hard

- So far:
  - Aggregated routes
  - Only platforming
  - Node/set packing
  - Conflict graph
  - Multi-commodity flow
  - Fixed/flexible event times
- Still missing:
  - Not proven operational feasibility
  - Infrastructure occupation and maintenance not considered



Sels et al. (2014), Cacchiani et al. (2014)

### Robust train routing problem (RTRP)

#### Problem:

Find the feasible, stable and robust route plan (RP), i.e., platform allocation and routing, that uses the infrastructure more evenly within a station area

#### Input:

- Station topology
- Train lines
- Set of alternative routes
- Fixed event times (arrivals and departures) output from a macroscopic timetabling model
- Preferred platforms for train lines
- Passenger connections



### Some definitions



- Station topology detailed infrastructure
- Resource subset of infrastructure elements
  - Track section, switch, crossing
- A train route set of resources
- Blocking time a time that a resource is reserved exclusively for a single train

Blocking time > running time over a resource



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  - RTRP model
  - RTRP heuristics
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Resource trees Blocking times Conflict constraints

Building a graph...



# Preprocessing (1)

#### Resource trees Blocking times Conflict constraints



 Resource tree – acyclic graph that includes all alternative routes





# Preprocessing (1)

#### Resource trees Blocking times Conflict constraints





 Resource tree – acyclic graph that includes all alternative routes



# Preprocessing (1)

#### Resource trees Blocking times Conflict constraints





## Preprocessing (2)

Resource trees

### Blocking times

**Conflict constraints** 





## Preprocessing (2)

#### Resource trees

### Blocking times

**Conflict constraints** 



# Preprocessing (3)

#### Resource trees Blocking times Conflict constraints





# Preprocessing (3)

#### Resource trees Blocking times Conflict constraints





#### • Introduction

#### Motivation

- Solution approach
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- Multi-commodity flow problem
- Train = commodity
- Objective
  - Max quality of chosen routes (short running times)
- Constraints
  - Capacity constraints
  - Flow conservation
  - Conflict constraints











#### • Introduction

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Extension of TRP model 1. robustness
 2. capacity occupation

• Robustness = increase buffers between train routes (Caprara et al. 2011)

• For two train routes

 $Buffer cost = \begin{cases} big M, & independent routes, \\ minimum headway, & otherwise. \end{cases}$ 

• Buffer costs are assigned between leaves of resource trees



- Capacity occupation is a summation of critical processes as minimum headways, scheduled running or dwell times
- Capacity occupation = critical (longest) path over *chosen* routes
- Lower capacity occupation provides more time allowances (i.e., better stability)
- To evaluate capacity occupation minimum headways are needed
- Add arcs with weights that correspond to minimum headways







- Headway arcs  $h_{x_{ir}x_{jr}}$
- Add source and sink nodes





- Active headways depend on selected routes
- Active headway arcs  $Active hw = \begin{cases} 1, & both resources selected, \\ 0, & otherwise. \end{cases}$





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- Active headways depend on selected routes
- Active headway arcs  $Active hw = \begin{cases} 1, & both resources selected, \\ 0, & otherwise. \end{cases}$ 
  - Directed acyclic graph
  - Find the critical path
  - Adjusted LP formulation for shortest path problem





- Objectives
  - Max quality of chosen routes
  - Max robustness
  - Min capacity occupation (critical path)
- Constraints
  - Capacity constraints
  - Flow conversation
  - Conflict constraints
  - Active headways
  - Shortest path constraints
  - Maximum permitted capacity occupation
- Developed heuristics for solving RTRP



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### **RTRP** heuristics

- Local search algorithm
- Main components
  - Capacity assessment (CA)
  - Robustness evaluation (RE)
  - Improvement rules (IR)
- Algorithm of the RTRP heuristics

Input: route plan RPInitialize  $bestRP \coloneqq RP$ While iter < maxIter OR not convergedCompute capacity occupation CA Compute delay propagation RE totalCost(RP) := cost(CA) + cost(RE)if totalCost(RP) < totalCost(bestRP)  $bestRP \coloneqq RP$ end if vary routes in RP (IR)

#### **End while**



### **RTRP** heuristics

- Capacity assessment
  - Compression method (UIC 406)
  - Microscopic model
  - Algebraic approach = *Max-plus automaton*
  - All train dependencies naturally considered
  - Output: capacity occupation, resources at the critical path, occupation of each resource
- Robustness evaluation
  - Delay propagation model
  - Input: set of delay realisations R
  - Output: average delay D





# Methodology

Route permutations

- Substitute *bad* train routes
- Exclusion (E) and inclusion (I) rules for alternating routes in the route plan
- *E-rules.* Choose a route that:
  - has a resource is on the critical path
  - uses a platform with the highest occupation
  - generates the most delays
- *I-rules.* Choose a route that:
  - Does not use a resource on a critical path
  - Does not use the highest utilised platform
  - Is not conflicting with existing routes in the route plan



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• Station Den Bosch

**T**UDelft

- 14 trains lines with periodicity of 2 trains/h
- Input: computed timetable

Besinovic et al. 2015

#### Table 1. Input parameters

Period (s)	1800
# of platforms	6
# of routes	91
maxIter	500
convIter (iterations without improvement)	100



#### Initial results

- Heuristics performance
- 30 repeated runs of RTRP heuristics
- Weights for CA and RE are equal

Parameters	Mean (s)	Standard deviation (%)				
Total cost (s)	1135	0.73				
# of iterations	165	34.57				

Table 1 Ileuristics conversions



Initial results

- Test single submodels CA and RE vs CA+RE
- CA = original TRP

	Capacity occupation (s)	Average delay (s)	Total cost (s)	Number of resources used		
Only CA	801	796	1597	72		
Only RE	956	301	1257	58		
CA & RE	821	314	1135	70		

Table 2. Results of individual submodels



### Conclusion

- New multiobjective MILP formulation for robust train routing problem
- Promising heuristics for solving RTRP
- Optimized route plan fulfils
  - Proven feasibility (at microscopic level)
  - Capacity consumption reduced
  - Improved robustness
  - More even resource usage => less frequent maintenance
- Future work
  - Compare results with the optimal solution
  - Flexible event times
  - Evaluate the effect of different weights for CA and RE







### Thank you for your kind attention

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#### Optimized route plan



