

REGENERATION YOUNG GENERATION

PROMOTE LIVABILITY FOR YOUNG GRADUATES THROUGH URBAN REGENERATION IN SHENZHEN

Colophon

P5 report graduation thesis

Delft University of Technology, The Netherlands Faculty of Architecture and the Built Environment, Department of Urbanism

Graduation studio Planning Complex Cities

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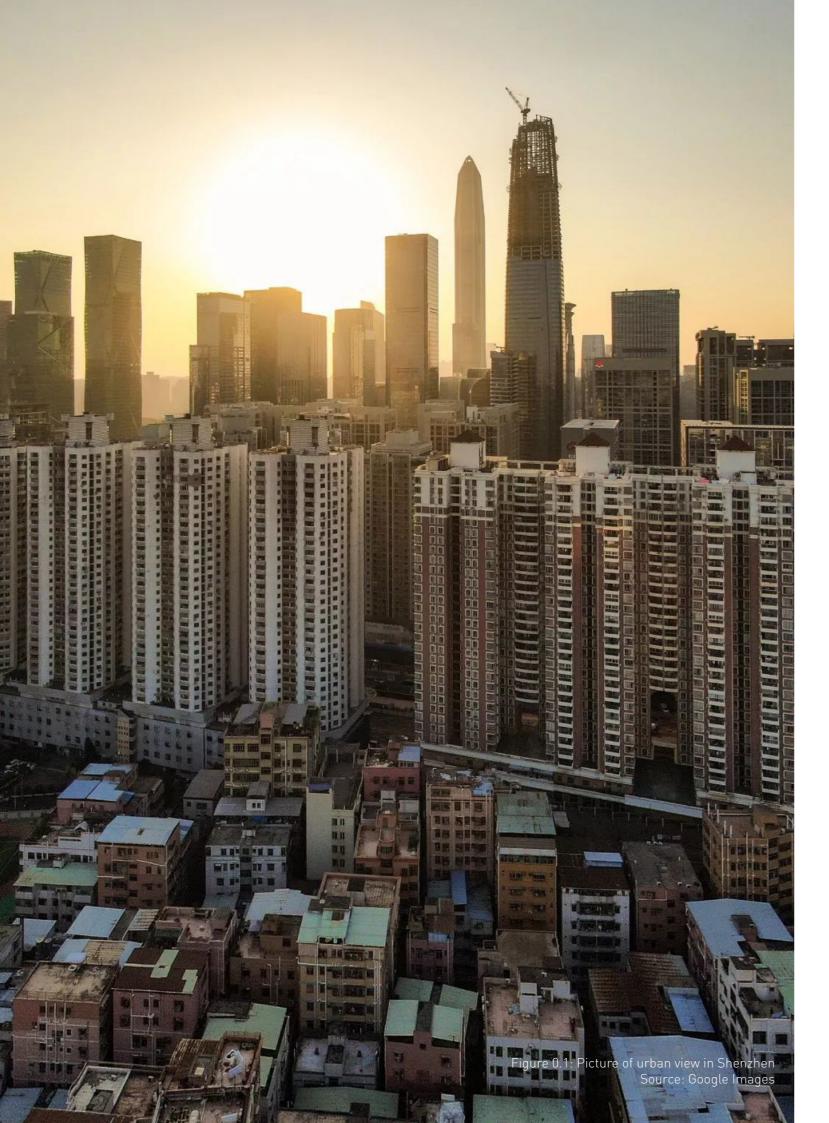
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Abstract

Young graduates are facing housing difficulties in the Chinese metropolis. The Chinese government published new policies to encourage regeneration of the land stock resources as affordable rental housing targeting young people. This study aims to explore how urban regeneration can benefit young graduates' livability. Specifically, it investigates the possibility to regenerate urban villages as affordable rental housing provisions. In this context, affordable rental housing tackles spatial inequities and should be located in opportunity-rich areas.

To test the assumption that urban villages can be regenerated as affordable rental housing, spatial analysis on multiple scales was conducted and four well-located urban villages were chosen as cases to study. The villages were evaluated based on the indicators from young graduates' demand analysis, and different governance models were analyzed to explore the possibilities for regeneration. The results shows that the conflict between the private interest in densification and public interest in open space is the main challenge for regeneration, and not only the spatial intervention but also the operation mechanism need to be proposed.

These results suggest that encouraging spontaneous regeneration by combining top-down and bottom-up strategies may be an approach for future regeneration as well as a chance to legalize the informal village settlement. This requires not only the efforts of the village collective but also the support and active participation of the public sector. By improving the public space network and doing some experiments on strategic projects, the regeneration strategy can be tested and applied to other well-located urban villages in Shenzhen, providing more affordable housing options for young graduates.

Keywords:

urban regeneration, livability, affordable housing, urban village, young graduates, Shenzhen

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Motivation

Young people in China are facing severe housing difficulties, and the sky-high property prices have become obstacles for young people to move to big cities. In Shenzhen, the city with the most intensive urbanization and economic growth in China, housing prices are rapidly rising along with GDP.

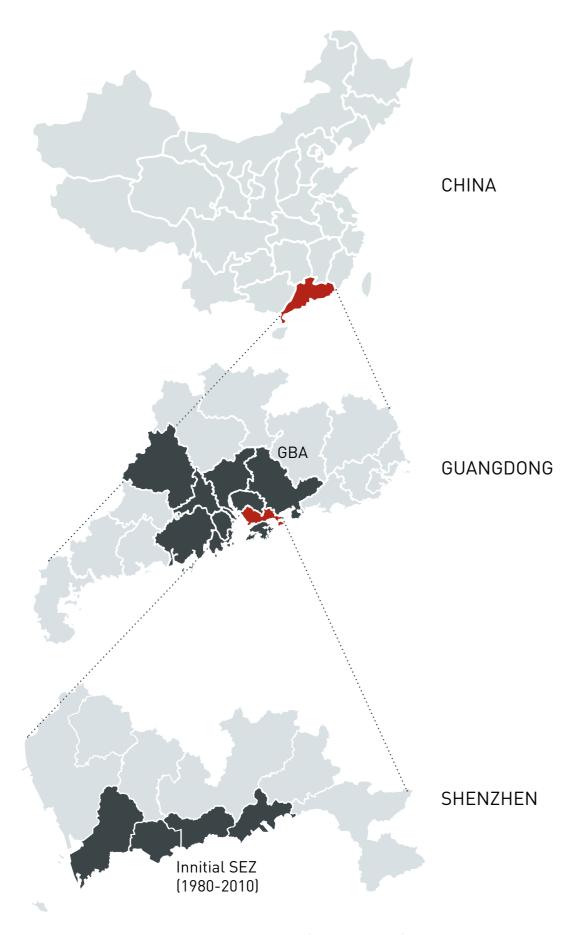
Many young people are becoming the 'lay-flat' generation. Even if they are highly educated, graduate from prestigious universities, when they move to big cities, they easily find that the convenience of the urban resources cannot offset the pressure brought by skyhigh property prices. No matter how hard they try, the salary growth cannot keep up with the rising housing prices. Without support from family, it is impossible to own a house in the big cities. Frustrated with the metropolitan life, they chose to 'lay-flat'.

However, the development of the city cannot be achieved without the contribution of the young generation, and the fruits of urban development should not be shared by only a few citizens. Young people also have the right to share in the resources and opportunities brought by economic prosperity. Therefore, how to improve the livability of young people and ease the housing pressure in big cities has become the motivation of this thesis.

01 PROBLEM FIELD

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Intro of Shenzhen



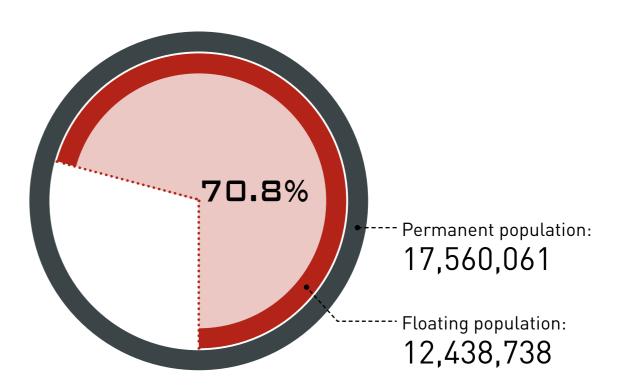


Figure 1.2: Demographic structure in Shenzhen (Made by author) Reference: Shenzhen seventh national census bulletin, 2021

Located in southern China, adjacent to Hong Kong, Shenzhen is one of the largest cities in China and an important part of the Guangdong-Hong Kong-Macao Greater Bay Area.

Shenzhen was established in 1979, becoming the first Special Economic Zone (SEZ) of China in 1980. Due to the reform and opening-up policy, Shenzhen witnessed a rapid development, transforming from a small village into a metropolis. ("Shenzhen," 2022) With the process of the rapid urbanization, a large number of migrants flocked to Shenzhen to seek opportunities, making Shenzhen an immigration city. According to the Shenzhen seventh national census bulletin, more than 70 percent of the permanent population are floating population without a household registration in Shenzhen municipality. (Statistics Bureau of Shenzhen Municipality, 2021a, 2021b)

Figure 1.1: Mapping the location of Shenzhen (Made by author)

Young Graduates

The changes of professionals' degree in Shenzhen

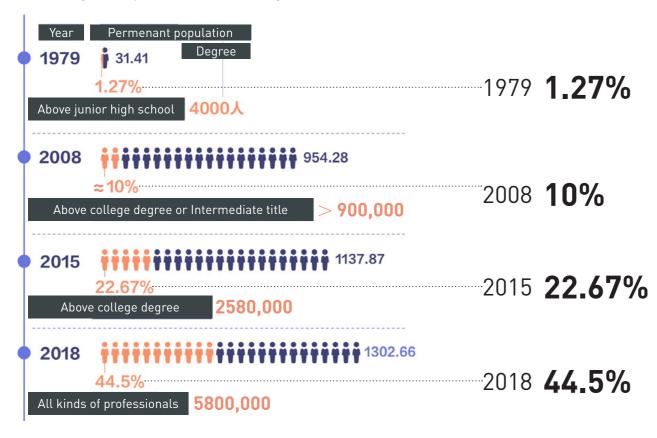


Figure 1.3: Demographic change in Shenzhen (Modified by author)
Source: https://m.21jingji.com/article/20200826/herald/8c62b38c7feb9c425a39494e3f348bfb zaker.html

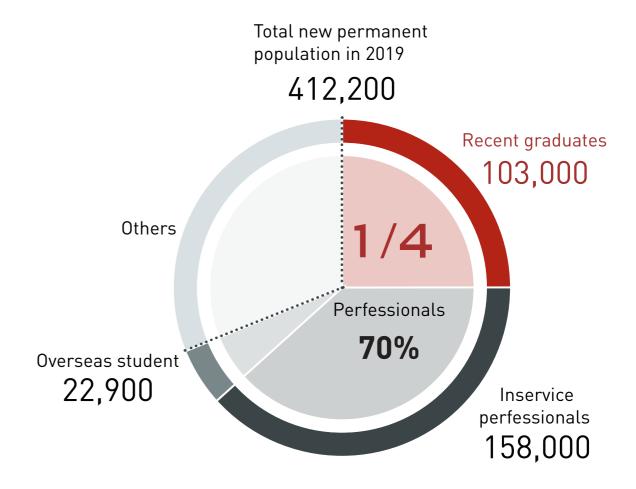
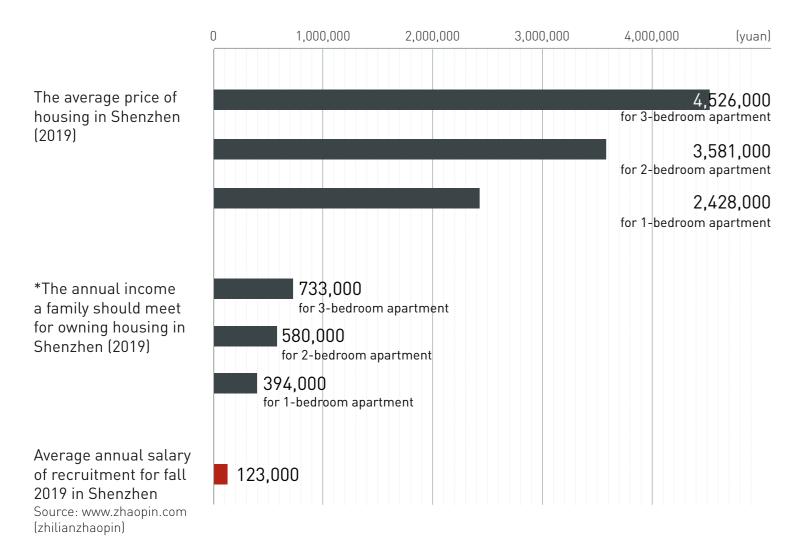


Figure 1.4: Demographic structure of new immigrants to Shenzhen in 2019 (Made by author) Reference: Shenzhen 2019 National Economic and Social Development Statistical Bulletin, 2020

In recent years, Shenzhen has been undergoing industrial transformation and upgrading, along with demographic changes in migrant workers. More and more young professionals are coming to Shenzhen. According to the Shenzhen 2019 National Economic and Social Development Statistical Bulletin, among the 400,000 new permanent residents in the city, around 70% are professionals with higher education, and more than 100,000 are young recent graduates, which means that one out of every four new immigrants to Shenzhen is a young graduate. (Statistics Bureau of Shenzhen Municipality, 2020)

Housing Difficulties

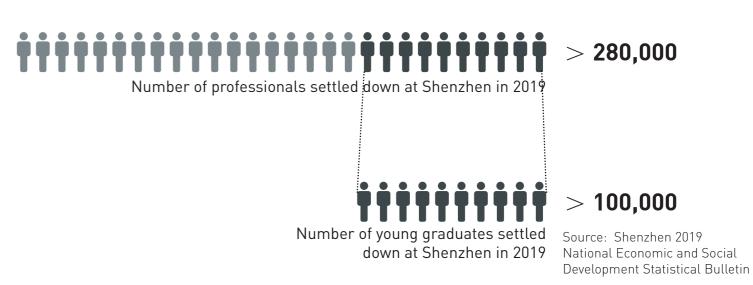


^{*}Calculation of the required household annual income: based on the average housing price in 2019, consider the loan for 25 years with the rate (4.9%), and assume that 30% of household income goes on monthly payments.

Figure 1.5: Comparison of housing prices, required annual income, and annual salary in 2019 (Made by author) Reference: Report of Young People Residential Consumption Trend in China, 2020

Homeownership

However, these new citizens and young people are facing housing difficulties. They cannot afford to buy their own house as the housing price is sky-high in Shenzhen. According to the Report of Young People Residential Consumption Trend in China 2020, the average price of a one-bedroom apartment in Shenzhen was over 2 million yuan in 2019, which requires an annual income of nearly 400,000 yuan for a family to afford the monthly mortgage. (DTCJ & Lianjia, 2020) However, the average salary of recruitment for fall 2019 in Shenzhen was around 10,276 per month, which was equal to 123,000 per year (Zhaopin, 2020), accounting only one third of the required annual income.





22,700

Number of affordable housing supplement for professionals in 2019

Source: Annual implementation plan for Shenzhen housing development in 2020

Figure 1.6: Gap between affordable housing demand and provision (Made by author) Reference: mentioned on the above figure

Public housing

The allocation of the existing public rental housing for professionals (the Rencai Apartment) requires household registration in Shenzhen municipality, which is not practical for new citizens. Additionally, there is a huge gap between the demand and the provision of the public rental housing. More than 200,000 professionals came to Shenzhen (Statistics Bureau of Shenzhen Municipality, 2020), while only 22,700 Rencai apartments were added in 2019. (Housing and Construction Bureau of Shenzhen Municipality, 2020)

Housing Difficulties

Renting

Most of the young graduates have to rent a room for living. But this is not a pleasant choice for them. According to the Report of Rental Market in Graduation Season 2021, young graduates in Shenzhen were paying more than 40% of their income on the smallest average rental area for a shared room (12.3 m²) over the country. (Beike Research Institute, 2021)

Besides affordability problem, they are also facing other troubles, like the unregulated rental market, lack of security of tenure, unsatisfying living quality, and so on.

Take the case of the Danke Apartment as an example. Launched in 2015, Danke Apartment was an instant hit as it provided relatively affordable housing to young people in big cities. However, during the coronavirus pandemic, the rental market cooled, and the company could not afford to pay landlords the rent, which they had collected from tenants in advance, leading to the eviction of the tenants. (Wang, 2021)

Unregulated market

Security of tenure

Affordability

Living quality

Interpersonal relationship

*find reliable housing

*avoid eviction

Danke Apartment: The 'broken eggshell' that left young Chinese homeless

By Waiyee Yip and Fan Wang

() 12 January



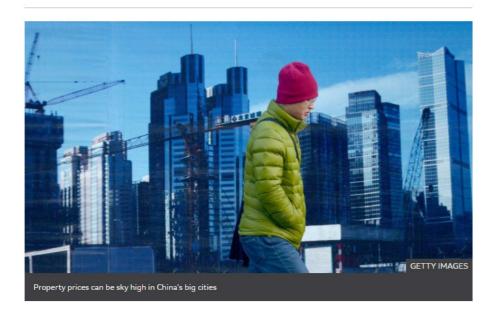


Figure 1.7: News screenshots of the Danke Apartment incident Source: https://www.bbc.com/news/world-asia-china-55571813 18

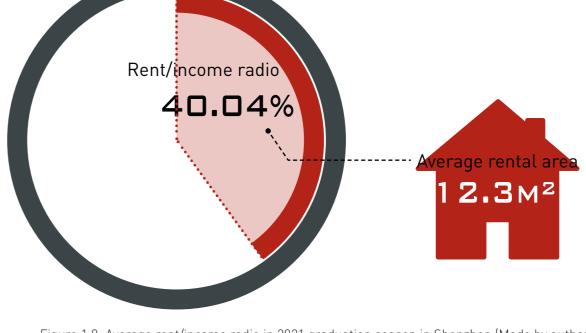


Figure 1.8: Average rent/income radio in 2021 graduation season in Shenzhen (Made by author)
Reference: Report of Rental Market in Graduation Season, 2021

Factors that impact on young people's rental behaviours



Figure 1.9: Factors that impact on young people's rental behaviours (Modified by author)
Source: Report of Young People Residential Consumption, 2021

Affordable rental housing plan targeting young people

In order to ease the pressure brought by the housing problems in metropolitan area, the national government came up with new policy to encourage multiple investors, especially the private sector, to make use of the land stock resources for the development of affordable rental housing targeting young people. (Xinhua, 2020; Zhao, 2021) Unlike most public housing built far from the city center, affordable rental housing "should be located in downtown areas with convenient transportation." Meanwhile, urban regeneration will be the main tool for the development (Zhao, 2021), because in the highly-built city center there is almost no left-over space for new construction, and urban regeneration will be an opportunity to transform the decaying urban area, making it adaptable to the new demands and functions.



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Rental housing plan targets young people

Zhao Yimeng

Updated: Jul 09,2021 09:16 China Daily

Figure 1.10: News screenshots of the newly published policy

Source: http://english.www.gov.cn/news/pressbriefings/202107/09/content_WS60e7a382c6d0df57f98dca52.html

"A new guideline aimed at accelerating the development of affordable rental housing is poised to ease the pressure on new urban residents and young people,"

"The new guideline underscores the need for multiple investors and channels for the supply of affordable rental housing and encourages them to use the **stock of land resources**,"

"Different from cheap public rental housing provided for low-income tenants, which is mainly located on city outskirts, ...the housing should be located in downtown areas with convenient transportation."

-- China Daily

Problem definition

Spatial problem

The existing spatial condition cannot meet young graduates' demands and expectation for both housing type and living environment. How to transform the existing urban environment to meet the demands of young people will be a major challenge in spatial design. Take urban village, one of the main bodies of urban regeneration, as an example, the high-density built environment and the incomplete infrastructure will bring many difficulties to the regeneration.

Governance problem

The existing governance model cannot balance the interests among different stakeholders.

Urban regeneration projects usually face complicated property ownership, which gets many stakeholders involved and brings great challenge to governance. As different stakeholders have different interests and expectation, urban regeneration project has become a complicated and long process, which is often accompanied by capital turnover problems in the development and management process.

For example, the" One-Thousand Village" project, focusing on transforming the urban villages to long-term rental apartments, which was operated by Vanke, one of the most influential developers in China, has been announced that it is no longer feasible. One of the reasons for the failure was that the villagers (houseowners) were not cooperating, as they did not want to give their property to developers, and they believed that leasing their own property by themselves would be more profitable.





Figure 1.11 (upper): Dense urban village Figure 1.12: Poor living quality inside urban village Source: Google Images

"我们不想给万科改造"

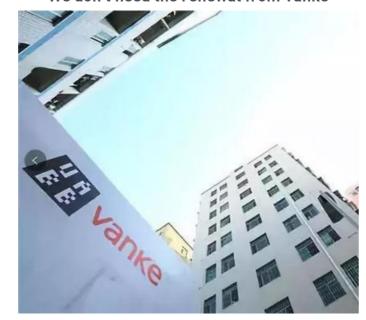
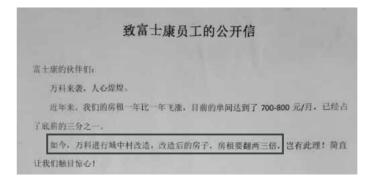


Figure 1.13: The" One-Thousand Village" project by Vanke Source: http://dsrmth.com/wealth/201811-195705.html



Figure 1.14: Vulnerable group living in the urban villages
Source: Google Images



"Now, Vanke is going to renew the urban village, and the rent of the renewed housing will **double or triple**."

——A letter to Foxconn employees

Figure 1.15: A letter to Foxconn employees Source: https://www.cls.cn/detail/248916

Social problem

Urban regeneration may also cause considerable rent rises as well as displacement of the existing tenants. These decaying urban areas used to be, and still are, the consist of the "Arrival City" for immigrants. How to make the process more inclusive is also a challenge that urban regeneration is facing.

Problem statement (Conclusion)

To conclude, young graduates are facing housing difficulties in big cities. In order to ease the pressure, a new guideline was published by Chinese government to encourage urban regeneration as a provision for affordable rental housing. However, it is a challenge to spatial design and governance, as the existing built environment cannot meet the changing demands and expectations, and the current governance model cannot balance the interests among different stakeholders. Moreover, the urban regeneration projects are often accompanied by social problems such as residential displacement.

Research Questions

Problem

Urban regeneration as affordable rental housing for young people is a challenge to spatial design and governance, often accompanied by residential displacement.

Research aim

The aim of this thesis is to gain an understanding of how to implement urban regeneration in an inclusive approach as well as the impacts on young graduates' livability.

Main question

How to facilitate the implementation of **urban regeneration** in an **inclusive approach** to enhance **livability** for young graduates?

Sub questions

SPATIAL

- · What kind of urban areas have the potential to be regenerated as affordable rental housing provision?
- What are the spatial conditions that contribute to the livability for young graduates?
 (What is young graduates' expectation and demands for housing and living environment?)
- · How can the existing spatial condition be transformed to adapt the expectation and demands of young graduates?

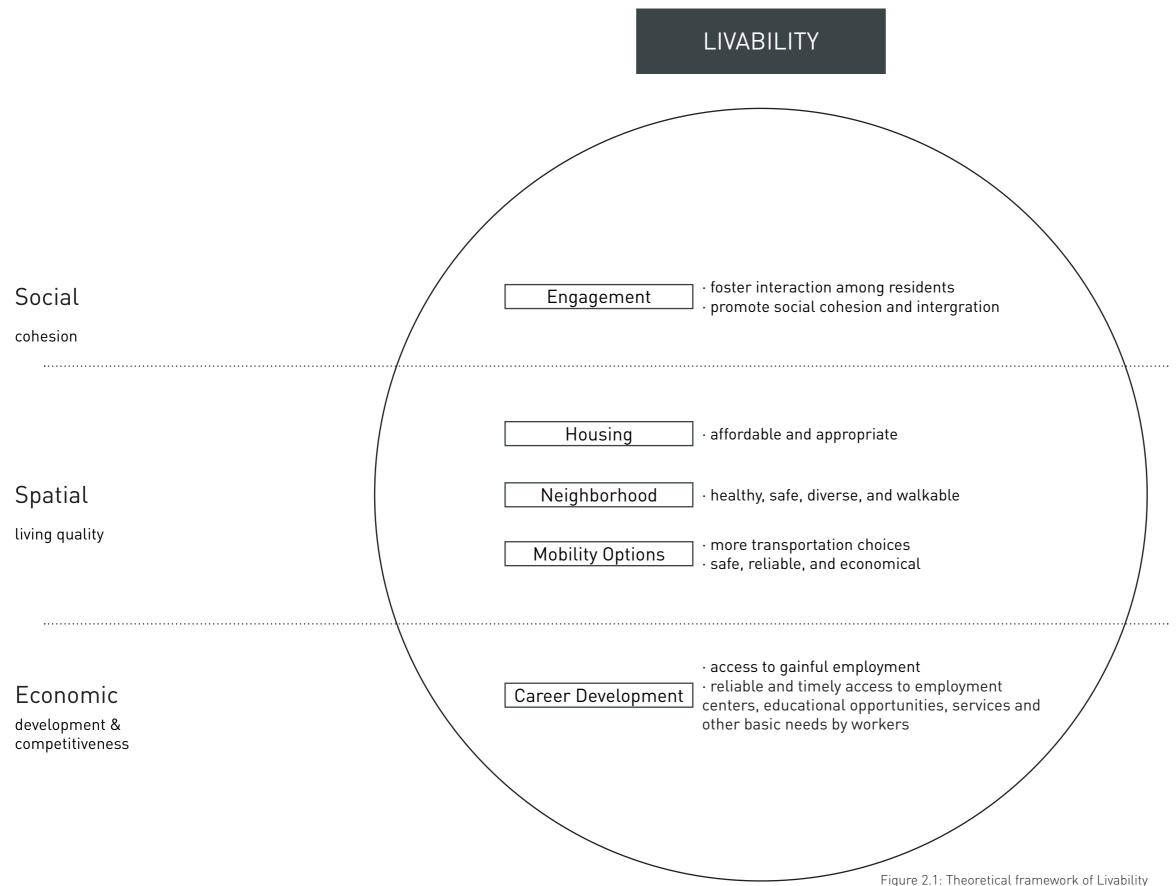
GOVERNANCE

· How to achieve a win-win situation among different stakeholders and get necessary stakeholders on board?

SOCIAL

· How to promote inclusiveness for other vulnerable groups to reduce residential displacement while enhance livability for young graduates?





Partners for Livable Communities (n.d.) defined livability as "the sum of the factors that contribute to community's quality of life". In this thesis, the chosen relevant livability indicators are divided into three categories: social, spatial, and economic.

In the social aspect, livability is related to the extent of people's desire to enhance their community. (Partners for Livable Communities, n.d.) Therefore, engagement will be an important indicator to foster the interaction among residents and promote social cohesion and integration. (AARP, 2018)

In the spatial aspect, which is related to the living quality, housing is a core indicator mentioned in most relevant research, which should be affordable and appropriate. (AARP, 2018; Young & Hermanson, 2013) Neighborhood is another indicator, which should be healthy, safe, walkable, and can provide diverse facilities and services, so that the unique characteristic of communities can be enhanced. (AARP, 2018; Young & Hermanson, 2013) Spatial livability also requires adequate mobility options, which should be safe, reliable, and economical. (AARP, 2018; Partners for Livable Communities, n.d.; Young & Hermanson, 2013)

Livability can also be represented by economic development and competitiveness, which is related to accessibility to gainful employment and other needs by workers. (Partners for Livable Communities, n.d.; Young & Hermanson, 2013)

(Made by author)

INCLUSIVE CITY entitlement to decent and affordable Sustainable Migration accommodation and protection from forced eviction Social Inclusion · public's concern about social affairs and the Social Participation guarantee equal rights and level of social acceptance and integration participation of all (World Bank, n.d.) Affordable Housing **Spatial Inclusion** Public Space physically and socially open to all equal access to the essential living environment for all individuals · improve urban infrastructures to increase Basic Infrastructures (Liang et al., 2021) accessibility to public spaces and housing for all equal access to job opportunities, labor market Employment information and reasonable distribution of **Economic Inclusion** Opportunities income share economic growth in a fair and reasonable way; create economic as a way of urban economic regeneration Informal Economy opportunities and benefit all (e.g., street vending) (Zuo et al., 2021)

Lindfield and Steinberg (2011) defined "inclusive" as "ensuring the poor and vulnerable have access to the services they need to better their quality of life". Therefore, an inclusive approach is implementing urban regeneration in a way that can ensure the services for better living quality can be accessible for all, including the poor and vulnerable. The relevant theory is inclusive cities, and the indicators can also be divided into three categories.

Social inclusion means the equal rights and participation of all can be guaranteed. (World Bank, n.d.) The relevant indicators are sustainable migration and social participation. (Liang et al., 2021)

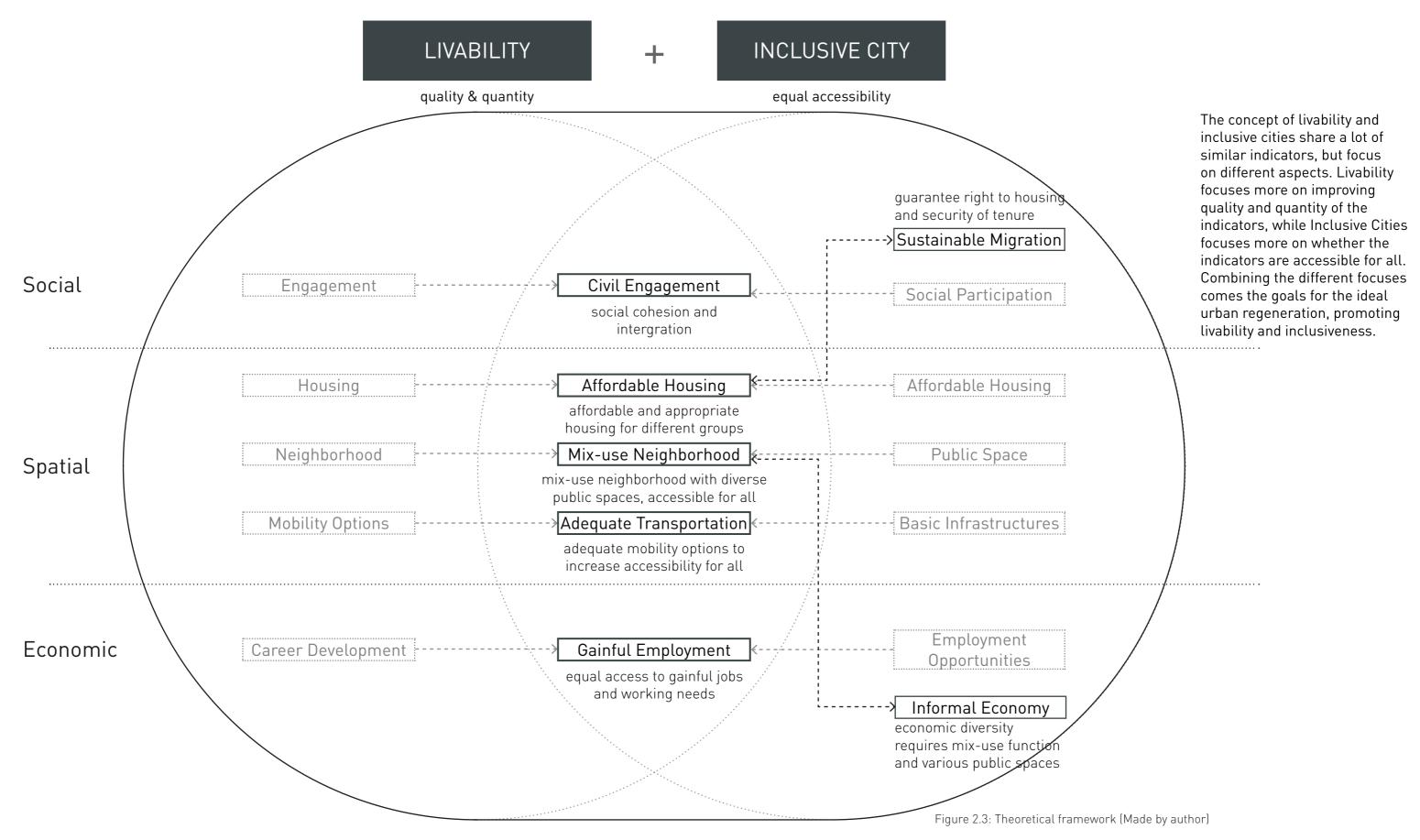
Spatial inclusion means that everyone can access to the essential environment equally. The relevant indicators are affordable housing, public space, and basic infrastructure that can be accessible for all. (Liang et al., 2021)

Economic inclusion means the economic growth brought by urbanization can be shared in a fair and reasonable way, while economic opportunities can be created and benefit all. (Zuo et al., 2021) The relevant indicators are employment opportunities and informal economy. The informal economy can be seen as part of economic diversity and as a way of economic regeneration. (Liang et al., 2021)

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Figure 2.2: Theoretical framework of Inclusive Cities (Made by author)

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AFFORDABLE HOUSING

Affordable rental housing is an instrument to alleviate the housing affordability problem for new citizens and young generation in metropolitan areas. (State Council General Office, 2021)

The concept of housing affordability in the context of intensive urbanization focuses on the spatial inequities in access to urban resources; not only the affordability problem of the poor, but also the low and middle-income as well as the young generation. (Haffner & Hulse, 2019)

Affordability

Housing expenditure

30% as a red line

UN-Habitat (2020) calculates unaffordability as a net monthly expenditure on housing cost that exceeds 30% of the total monthly income of the household

Spatial inequities

Mismatch between accessibility and affordability

lack of affordability in opportunityrich areas: seperation of home and workplace; long commuting time

'Spatial lock-in'

lack of residential mobility: not be able to move with the change in emplyment or family circustances

Ideal performance

monthly housing expenditure ≤ 30% of the total household income

increase housing affordability in opportunity-rich areas

adding different affordable housing typologies to adapt to changing demands

Composit affordablility measures

Tradiational measures

focus on consumer demand: housing expenditure

Advanced measures

focus on supply, availability and adequacy; measure the supply of housing available to households on different incomes as well as living

quality

Indicator

Affordability index

Opportunity accessibility

Density

spatial indicators

Housing diversity

Description

Housing expenditure costs no more than 30% of the family income (UN-Habitat, 2020)

proximity to employment, living facilities, and public transportation (Walter & Wang, 2016)

related to both housing supply and quality. Higher density can bring an increase in supply, but a fall in quality (Fingleton, 2008)

related to availability to households on different incomes

Among the inclusive-livable indicators, affordable housing is the core. The concept of housing affordability in the context of intensive urbanization focuses on the spatial inequities in access to urban resources; not only the affordability problem of the poor, but also the low and middle-income as well as the young generation. (Haffner & Hulse, 2019) In that case, affordable housing is not only about controlling the housing expenditure, but also should deal with the spatial inequities, which are the 'mismatch between accessibility and affordability' and 'spatial lock-in'. (Haffner & Hulse, 2019)

The ideal performance of affordable housing in metropolitan areas should not only take the housing expenditure under control, but also deal with the spatial inequities, which requires:

- 1. The monthly housing expenditure should be less than 30 percent of the total household income;
- 2. To deal with the 'mismatch between accessibility and affordability', housing affordability in opportunity-rich area should be increased;
- 3. To deal with the 'spatial lock-in', different types of affordable housing should be added to adapt to the changing demands.

When measuring affordability, besides the traditional measurement, some advanced measurements should be taken into account, which are supply, availability, and adequacy. (Haffner & Hulse, 2019) The indicators for affordability are concluded and listed below.

- Affordable index: housing expenditure/income radio, should not exceed 30%. (UN-Habitat, 2020)
- Opportunity accessibility: proximity to employment, living facilities, and public transportation (Walter & Wang, 2016), Measuring whether the location is opportunity-rich.
- Density: related to both housing supply and adequacy. Higher density can bring an increase in supply, but a fall in quality (Fingleton, 2008)
- Housing diversity: related to availability to households on different incomes, and residential mobility for changing demands

Conceptual Framework

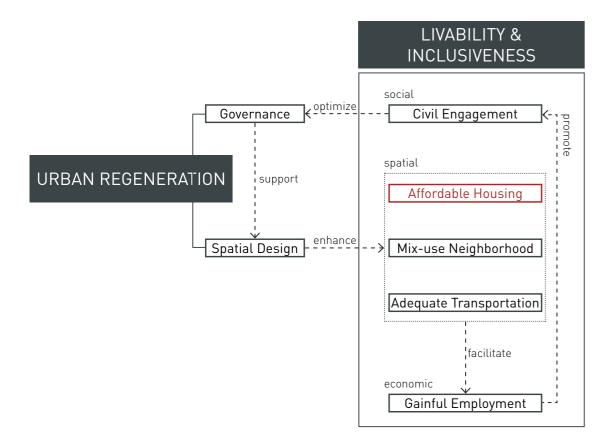


Figure 2.4: Conceptual framework part 1 (Made by author)

The relationship between conceptual notions

Based on the above theoretical research, the goal of the project can be set as 'livability and inclusiveness', and the means to be used is 'urban regeneration'. 'Livability and inclusiveness' can be divided into three aspects, social, spatial, and economic, while 'urban regeneration' consists of two parts, governance, and spatial design.

Urban regeneration governance can support the implementation of spatial design, which can enhance the spatial aspect of livability and inclusiveness. Mix-use neighborhoods and adequate transportation can improve the accessibility to gainful employment. With the ability to get gainful employment, and other advantages brought by the improvement of space quality, people's sense of place can be aroused, leading to a desire to participate in the civil engagement, (Partners for Livable Communities, n.d.) which will be an opportunity to optimize the existing governance model.

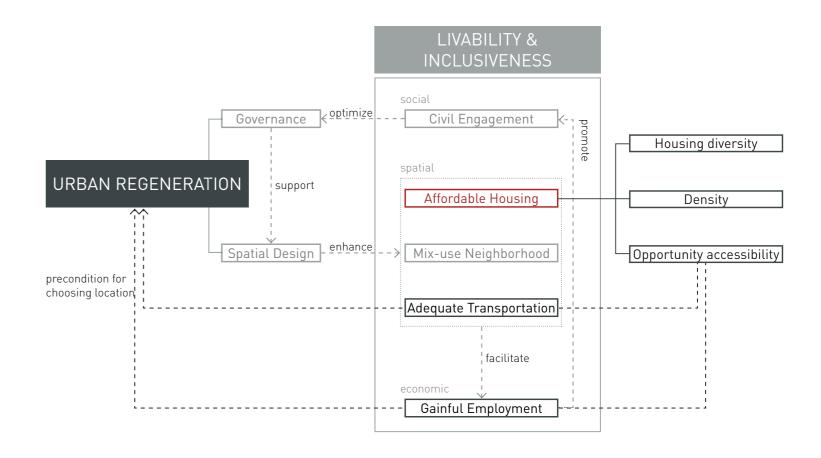


Figure 2.5: Conceptual framework part 2 (Made by author)

Opportunity-rich areas as the precondition

As housing affordability is being discussed in the metropolitan context, affordable housing built in the opportunity-rich area becomes the precondition for choosing the site. Therefore, opportunity accessibility, which is related to adequate transportation and gainful employment, will be the factor for filtering the potential site(s), rather than a goal for the project to achieve.

Conceptual Framework

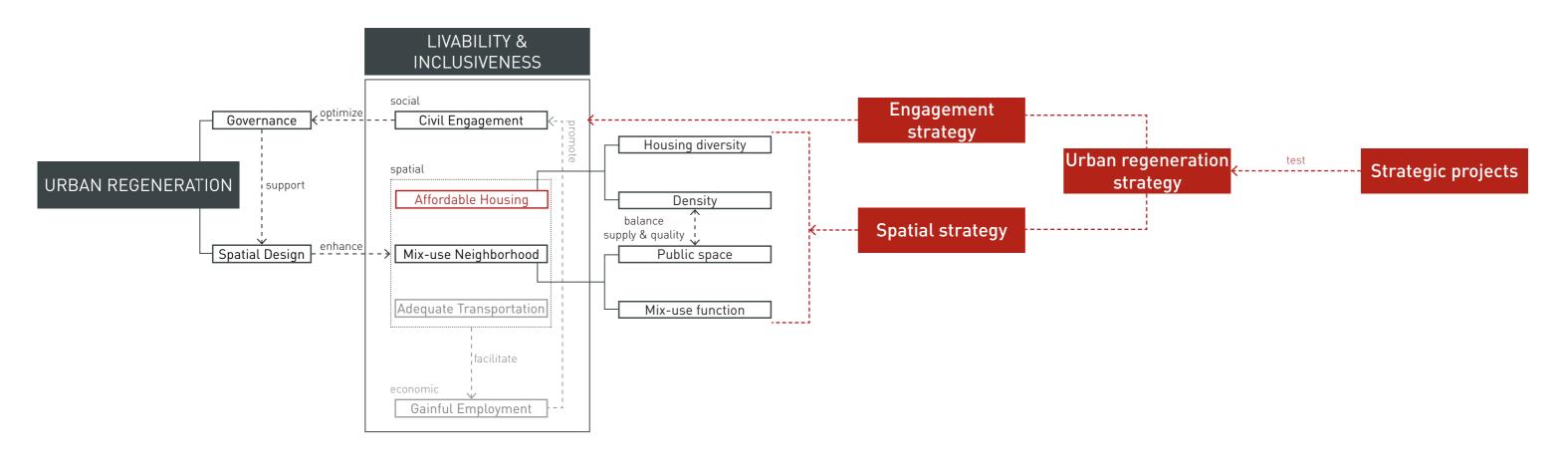


Figure 2.6: Conceptual framework part 3 (Made by author)

Focus of the project

The project will mainly focus on affordable housing, mix-use neighborhoods, and an optimized governance model supported by civil engagement. For affordable housing, based on the above research, the focuses will be housing diversity and density. For mix-use neighborhoods, the focuses will be mix-use function and public spaces. In research from Fingleton (2008), density is a two-sided factor, which can bring an increase in quantity but a decrease in quality. In this case, the consideration of public spaces could be compensation for the decrease of living quality brought by density, which can balance the housing supply and the quality.

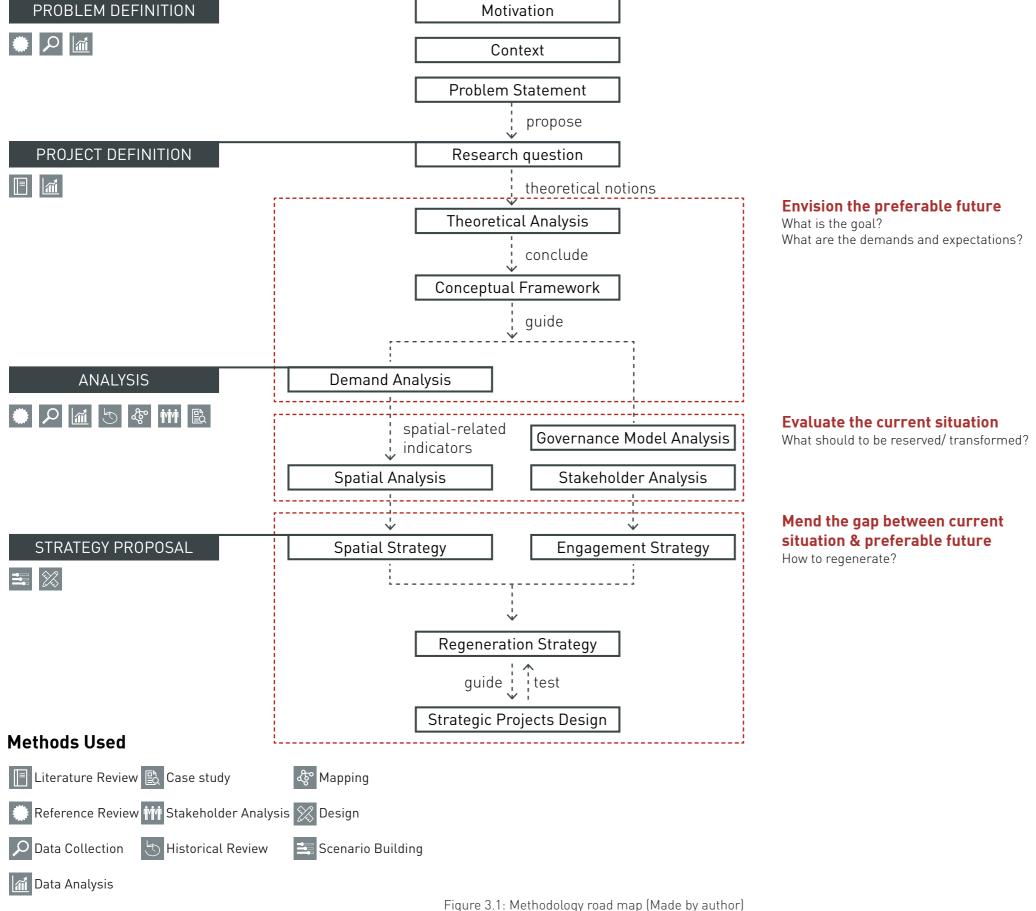
Intended Outcome

The ideal performance of the project is that **young graduates will be able to access to affordable accommodation which meets their demands in opportunity-rich areas**. The intended outcome consists of the urban regeneration strategy and some strategic projects on the neighborhood scale, testing the implementation of the strategy.

The urban regeneration strategy consists of an engagement strategy and a spatial strategy, which is the exploration of planning and design intervention.



Methodology



The road map shows the methodology used for the thesis. The key part of the methodology can be concluded into three steps: 1. Envision the preferable future; 2. Evaluate the current situation; 3. Make use of planning and design to mend the gap between the preferable future and the current situation.

To envision the preferable future is to understand the key theoretical notions and analyze young graduates' demands and expectations. Then, these demands and expectations need to be translated into spatial representation, which can be listed as indicators.

To evaluate the current situation is to use the indicators to explore and filter the potential areas, and then evaluate the spatial conditions to understand which indicator can meet the demands and which needs to be improved.

Making use of design and planning is to ask 'how' questions. Based on the understanding of the current situation, the strategy can be proposed based on the principle that the preferable spatial quality can be saved while the deficiencies need to be improved. With some strategic projects design, the strategy can be tested to see whether the preferable future can be achieved.

Methodology

Aspect	Questions	Outcome	Method	Purpose	End product
Spatial	What kind of urban areas have the potential to be regenerated as affordable housing provision?	mapping: filtering the opportunity-rich area	literature review	understand the concept of affordable housing	spatial adaptation strategy
			policy document review	understand the criteria for choosing the potential regeneration area	pilot project
			demographic analysis	explore where young graduates gather	
			mapping	highlight the critical area	
	What are the spatial conditions that contribute to the livability for young graduates?	understand the spatial quality that contribute to young graduates' livability	policy document review	understand the regulation for affordable housing construction	
			literature review	understand the concept of livability for young graduates	
			rental market report review	understand young graduates' demand and expectation for rental housing	
	How can the existing spatial condition be transformed to adapt the expectation and demands of young graduates?	explore the relative elements; save the desirable quality and improve the deficiencies	multi-scale mapping	map the elements that formulate the spatial quality on different scales	
			historical review	understand the historical development of the area; explore the opportunities for adaptation and transformation	
			scenario building	explore different possibilities	
			case study	refer to the design and planning interventions in other projects	
			design	test different scenarios; evaluate the performance of the strategy	
Governance	How to balance the interests among different stakeholders and get necessary stakeholders on board?	new governance model (engagement strategy)	stakeholder analysis	understand the relationship among different stakeholders; their capacity and interest; understand the existing governance model	engagement strategy
			comparative case study	learn from good practice; the pros and cons of other governance model; get knowledge for optimize the existing governance model	
Social	How to promote inclusiveness for other vulnerable groups to reduce residential displacement while enhance livability for young graduates?	complement the spatial and governance outcome	literature review	understand the concept of inclusiveness	
			stakeholder analysis	understand the roles they play in the process	

Figure 3.2: Table of methodology (Made by author)

Method Description

Literature Review

Literature review means looking up the academic paper to gain an understanding of the theoretical notions. In this project, this method is mainly used to understand the notion of 'livability', 'inclusiveness', and 'affordable housing'. By reading the relative academic paper, the indicators of different notions are collected and compared.

Policy Document Review

Policy document review is looking up policy documents to understand the relative regulation and the criteria for assessment, which could become the reference for the project. In the project, this method is used to understand the criteria for exploring potential regeneration areas and the regulation for affordable housing construction. The purposes are 1. To get the reference for choosing site(s); 2. To see to what extent can the project challenge the existing regulations.

Rental Market Report Review

Rental market report review is to understand young graduates' demands and expectations for rental housing and living environment through collecting and analyzing the data from reports and surveys conducted by China's mainstream rental companies. As the field trip and faceto-face interviews are unavailable during the coronavirus pandemic, reviewing the rental market report is an efficient and helpful alternative.

Demographic Data Analysis

Demographic data analysis is to collect the demographic data and link it with the spatial object, so as to see the distribution of the specific group. In this project, the data is from the 7th national census of Shenzhen, and QGIS is used to visualize the data on the map of the city.

(Comparative) Case Study

The case study is used to get references for the project. The comparative case study is to compare the pros and cons of different cases, as well as the similarities and differences between the cases and the precondition of the project, so that to understand which parts of the different cases are worth referring to for the project.

(Multi-scale) Mapping

Mapping is to highlight the spatial distribution of the relative elements. By synthesizing different layers of elements, the critical area can be shown on the mapping. Multi-scale mapping is to zoom in to a smaller scale after mapping the critical area on the bigger scale, which can ensure that on every scale the site(s) is strategically chosen and can be related to the other scales, so that the intervention on the smaller scale can reflect and be applied to the bigger scale.

Historical Review

A historical review is to understand the historical development of the site(s). First of all, the key points of the development timeline need to be identified. Then, by mapping the same elements in different time periods, we can understand what has been changed and what remains all the time. Using this method, we can identify what should be kept, what can be adaptable to new demands, and what should be transformed into a new form or function.

Scenario Building

Scenario building is a method to explore the different future possibilities. The method will be used to show different ideas and to explore suitable interventions.

Design

Design is a tool to test the performance of the scenarios. Using different spatial interventions, it is possible to test whether the preferable future can be achieved.

Stakeholder Analysis

Stakeholder analysis is to understand the existing governance model by analyzing the relationship between different stakeholders and their power-interest-attitude. Based on the analysis, it is possible to see how the governance model can be optimized and which stakeholders need to be engaged.

Scheme

The schematic diagram shows the timeline and the different phases of the project. Before P2, it is the project definition stage. The post-P2 process mainly focus on the analysis phase, and the planning and design phase begins near the end. Between P3 to P4, the focus is planning and design, while reflection takes place after P4.

The purpose of demand analysis is to provide a list of indicators for the project, which is significant as it is the criteria for choosing site(s) and the spatial evaluation. It should be reflected many times during the whole process to ensure that it is appropriate and the project is in the correct direction.

The end products are the urban regeneration strategy, which consists of spatial strategy and engagement strategy, as well as strategic project(s) design, which can test the implementation of the strategy.

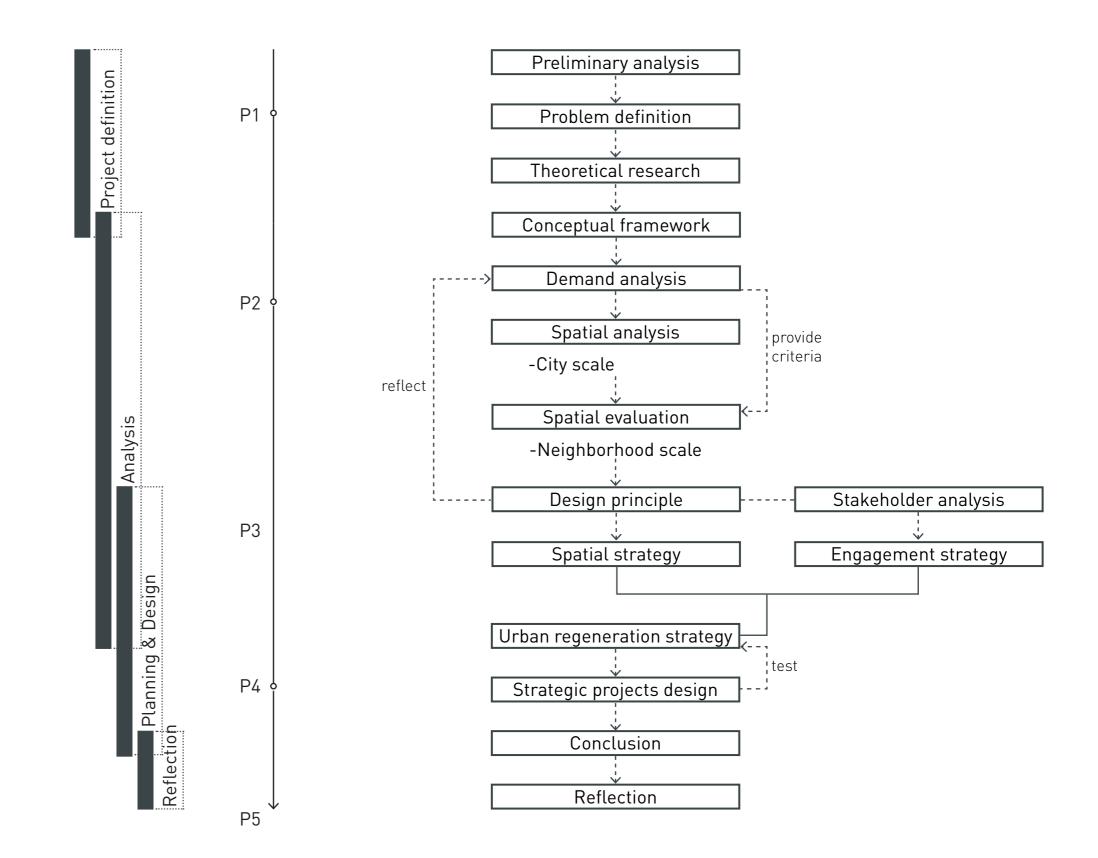


Figure 3.3: Diagram of scheme (Made by author)

04 ANALYSIS

Shenzhen City: Mapping Critical Area

The first step of the spatial analysis is to explore the opportunity-rich areas. According to the theoretical research and conceptual framework, transportation and job opportunities would be two key elements for exploring opportunity-rich areas. There are also two extra elements. The first one is the required proportion of affordable housing in urban regeneration projects, which can show areas where the demand for affordable housing is more urgent. The other one is the demographic distribution, which can show areas where young graduates gather.

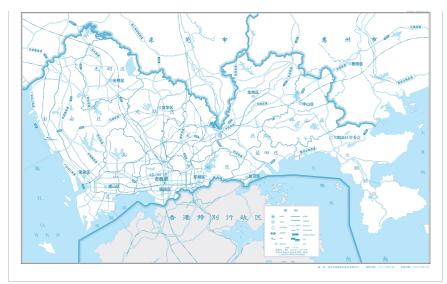
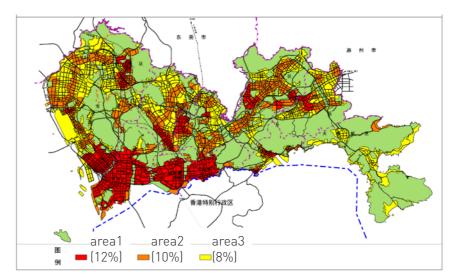


Figure 4.1.1: Map of mobility network Source: https://guangdong.tianditu.gov.cn/shenzhen/bzmap

Figure 4.1.2: Map of employment intensive area Source: Report on commuting in major Chinese cities in 2020

Mobility network

The map of the mobility network shows the distribution of the infrastructure. The denser the mobility network is, the more convenient the transportation will



Source: http://pnr.sz.gov.cn/xxgk/zcwj/zcjd/content/post_5839235.html

Figure 4.1.3: Map of required proportion of affordable housing in urban regeneration projects

The heat map of the distribution of

Employment intensive area

the working population shows the employment-intensive area. The redder area shows a higher concentration of employed population, indicating that employment is more intensive in the area.

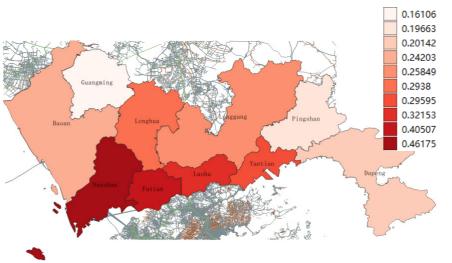


Figure 4.1.4: Map of percentage of residents with college education Source: The 7th national census of Shenzhen, 2021

Demographic distribution

Strategic area for building

Housing Allotment of Urban

Refer to the Provision on Affordable

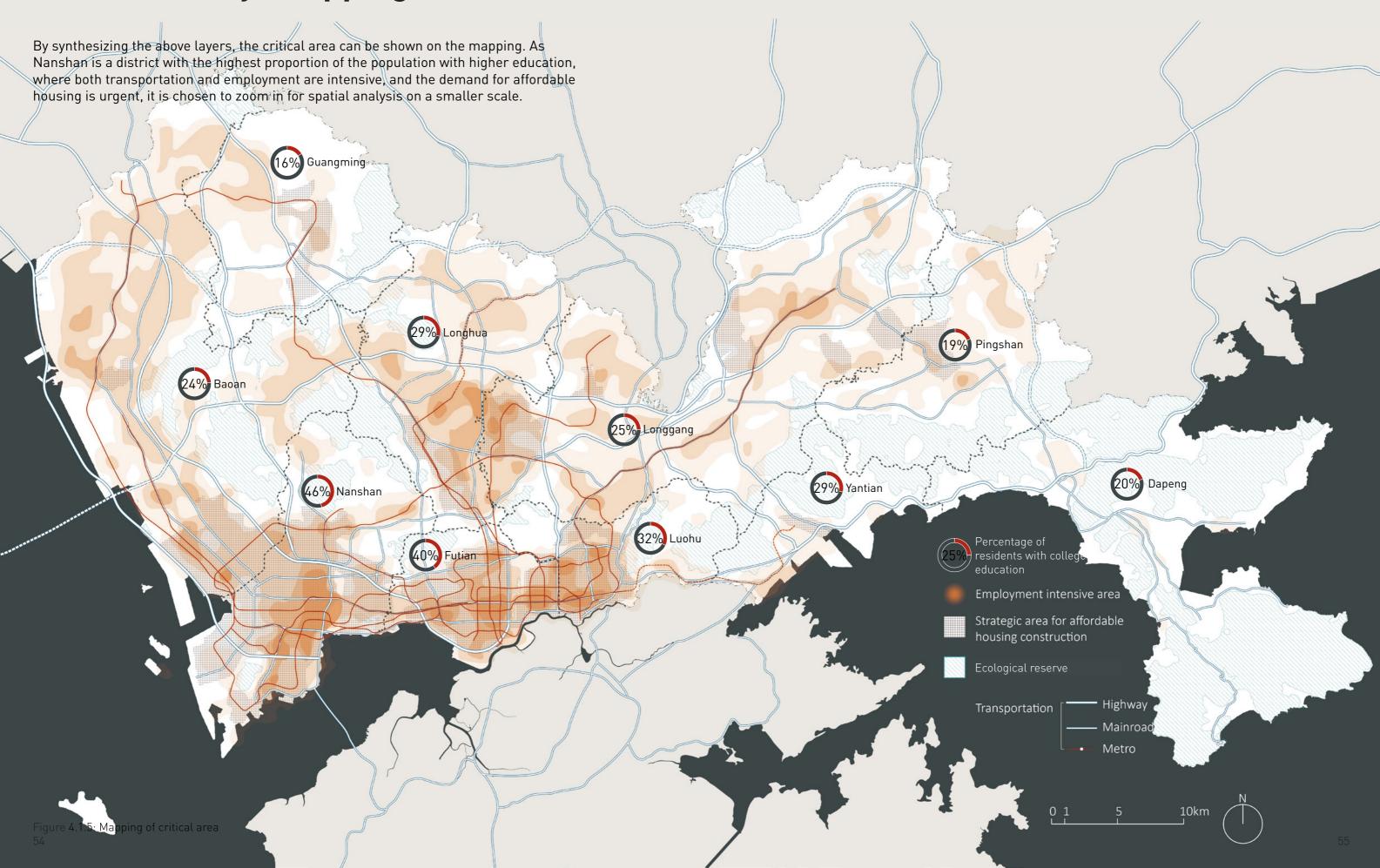
Regeneration Project in Shenzhen, area 1, where is close to the city centers with the better infrastructure, needs to meet the highest proportion (12%) of affordable housing. Therefore, these areas will be more strategic for the

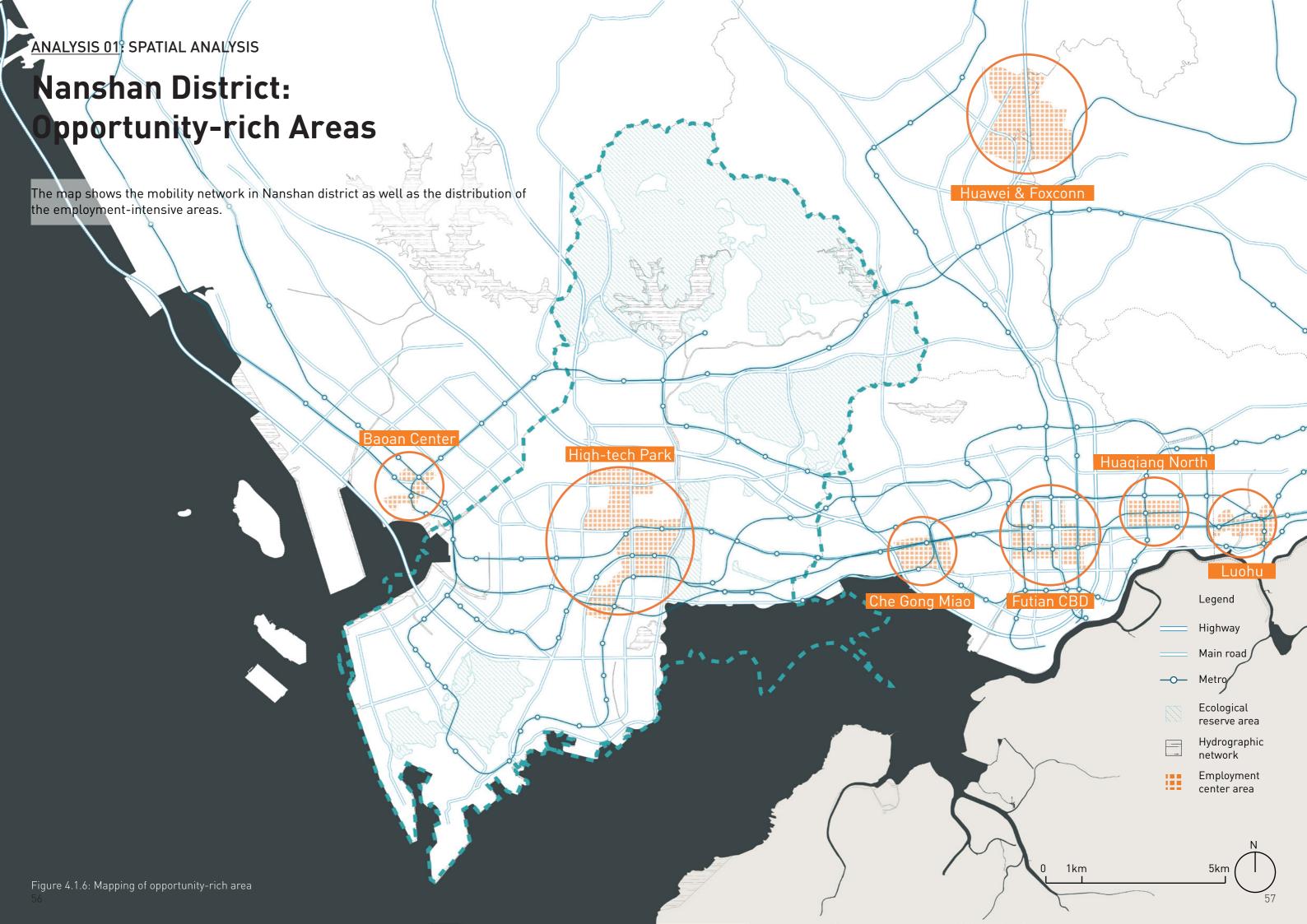
affordable housing

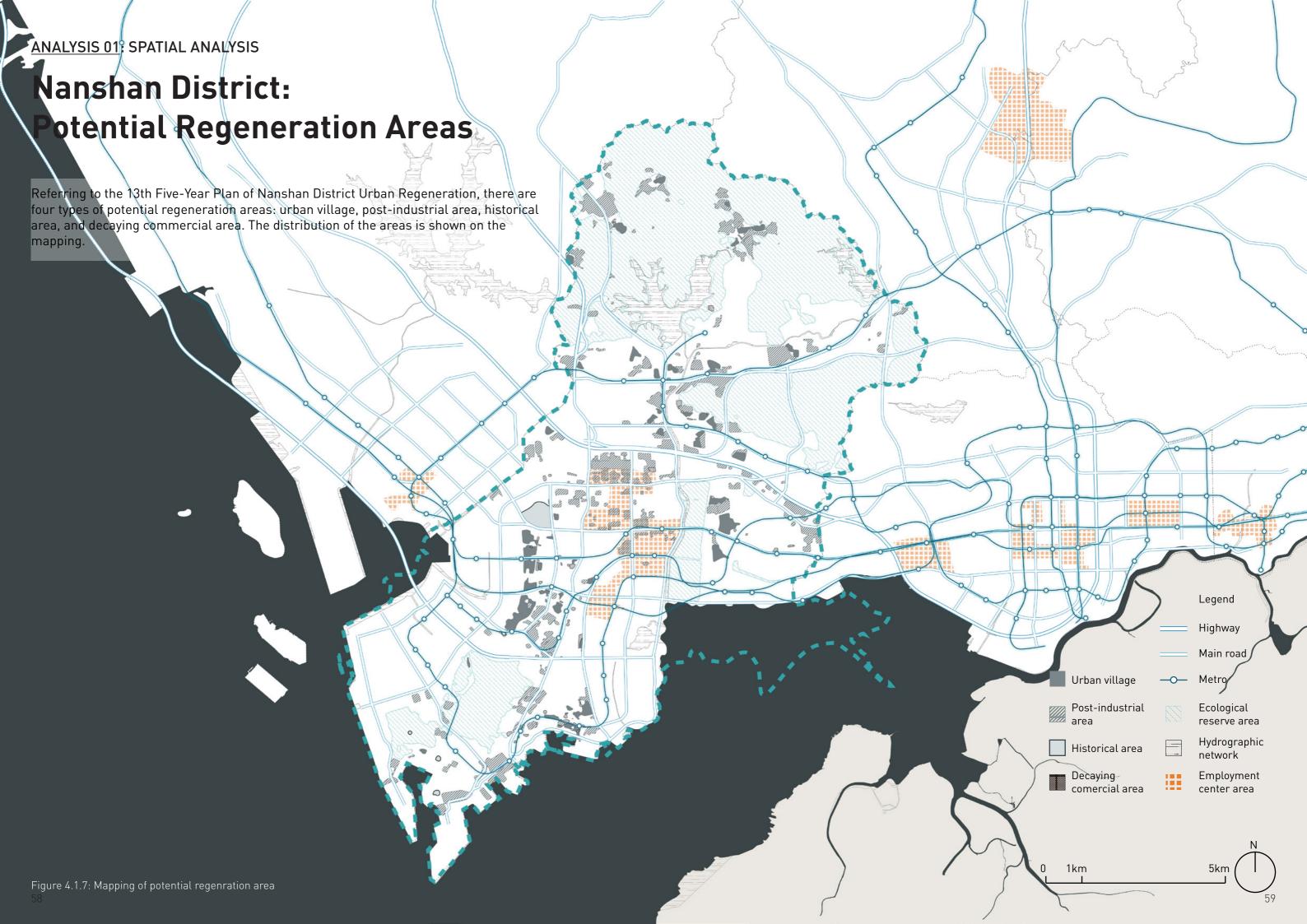
project.

The map shows the distribution of residents with higher education levels. Due to the lack of age distribution data, further synthesis of a more accurate distribution of young graduates cannot be done. Therefore, the map of the percentage of residents with an above college degree is used to represent the distribution of the target group.

Shenzhen City: Mapping Critical Area





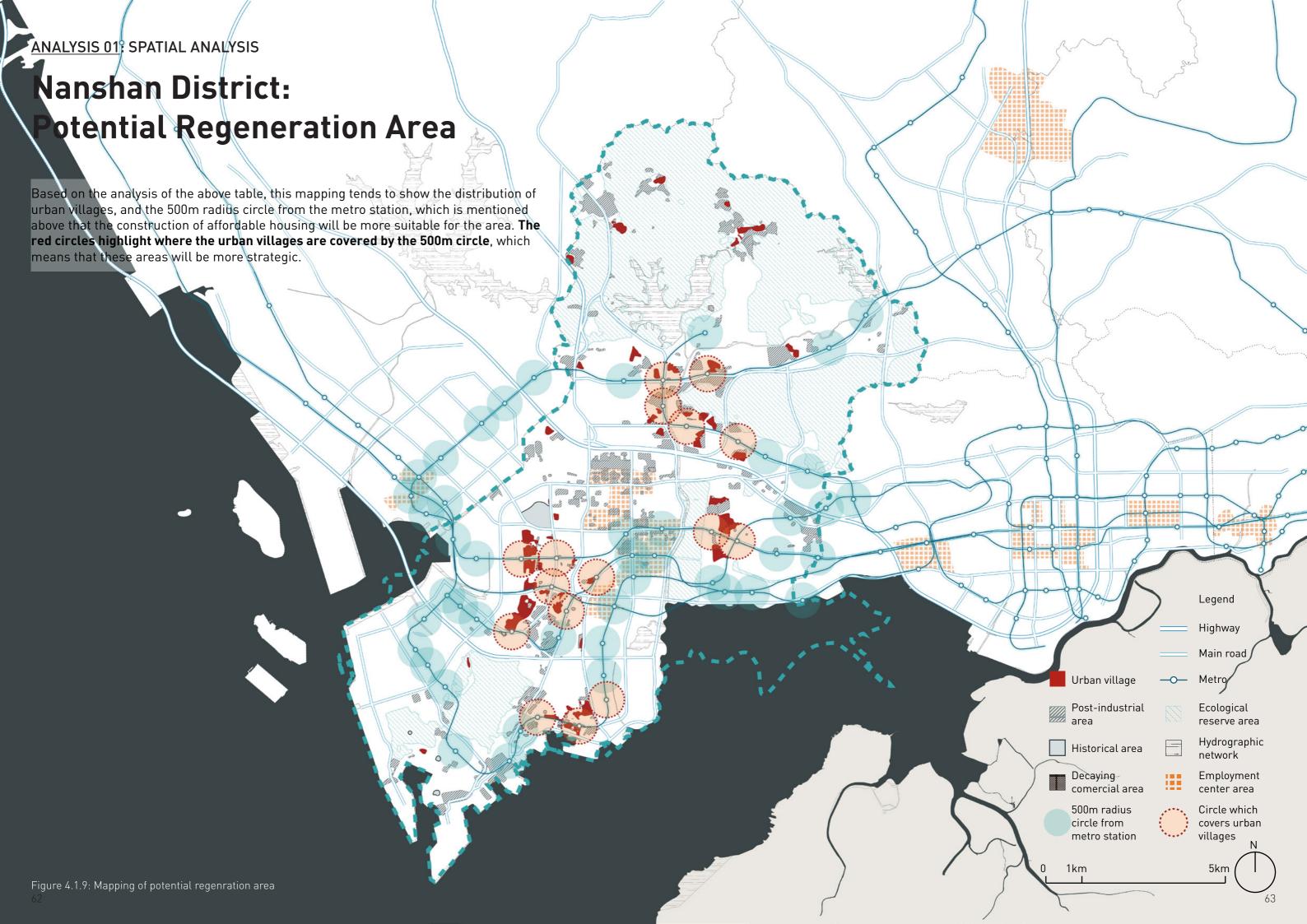


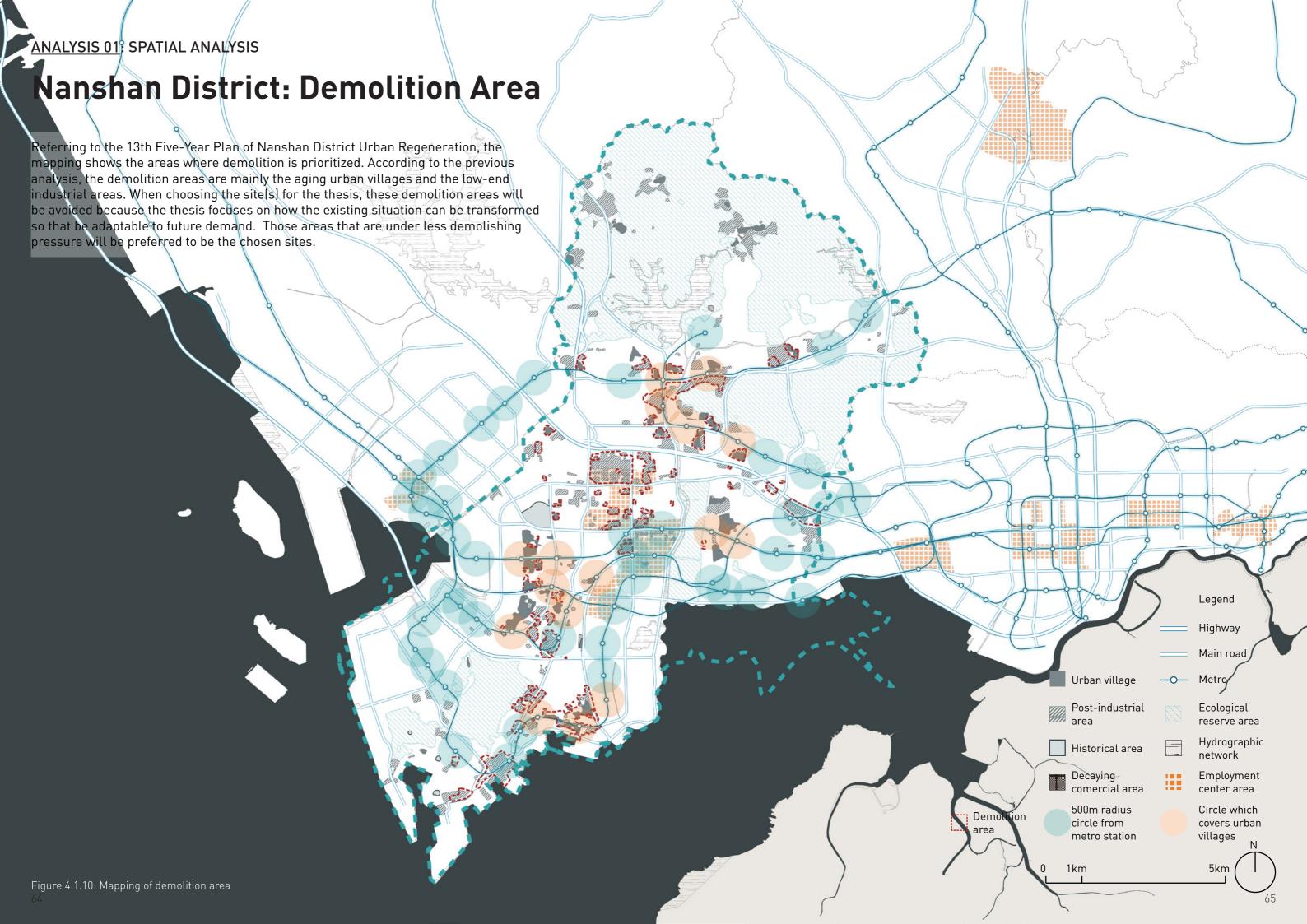
Nanshan District: Potential Regeneration Area

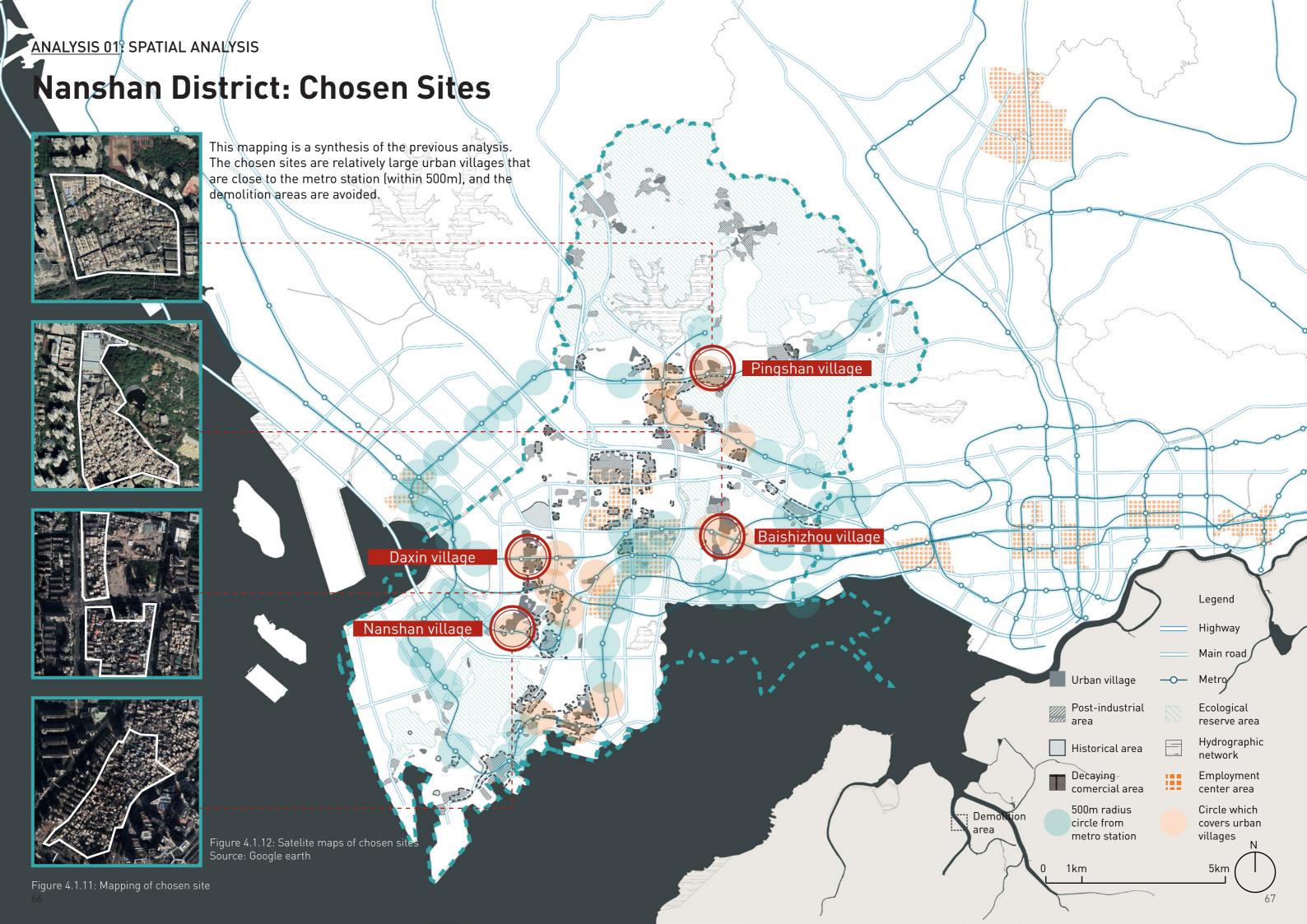
The following table is made in order to understand the criteria for the municipality to choose the potential areas and the intended outcome for the regeneration of different types of areas. To draw a conclusion, the urban village is a preferable type of area for the project, because it can provide a relatively larger amount of housing. Moreover, the original function and the intended outcome for regeneration are similar to the expectation of the thesis.

	Distribution	Existing Functions	Category	Intended Outcome of Regeneration
Urban Village	Concentrated (mostly)	Mix of residential and commercial functions	Located inside the original SEZ; newly-built urban villages with relatively high quality	Comprehensive improvement; enhance the quality of the environment
			Located within the 500m radiu circle from the metro station	Appropriate demolition and reconstruction; increase the proportion of affordable housing
			Located inside the industrial park	Comprehensive improvement; provide housing and supporting facilities for the industry
			Urban villages with aging buildings and potential safety hazard	Encourage demolition and reconstruction
Post-Industrial Area	Concentrated (mostly)	Low-end industry		Encourage demolition and reconstruction; support industrial upgrading and innovation; provide a certain proportion of housing and supportive facilities
Historical Area	Concentrated and scattered	Historic preservation		Demolition is forbidden; preserve and revitalize the historic area
Decaying Commercial Area	Scattered	Commercial function		Can be retrofitted as an add-on object

Figure 4.1.8: Table of criteria for choosing regeneration potential area (Made by author) Reference: Mid-term adjustment of the 13th Five-Year Plan of urban regeneration in Shenzhen, 2019







Historical development

Urban village is a product of the special period, and it has already provided affordable well-located housing for migrant workers.

Before the establishment of SEZ, Shenzhen was a rural area where 18% of the total area was covered by farmland (Hao et al., 2011). The government expropriated the rural land from villagers, who were peasants during that period, to develop urban construction. Villagers lost their lands, which affected their long-term livelihood. Meanwhile, migrant workers started to flow into Shenzhen as many factories were built and the industry needed labor forces, so the villagers started to densify and rent extra rooms for migrant workers to make a profit. During that period, the government has neither enough money to build the infrastructure nor the ability to provide jobs for the villagers who lost their land, so the government acquiesced in the villagers' actions (Hao et al., 2011; Li, 2018).

With the further development of urbanization, more and more agricultural land was converted into urban land, and the village settlement inside SEZ was gradually surrounded by the newly built city center. The rental business became the main source of income for the villagers, so they continued to densify their property to make a greater profit. As the property price kept going high in the surrounding urban area, these informally constructed, well-located urban villages became the last affordable housing for migrant workers in the city center.



Figure 4.2.1: Historical photo of Shenzhen before 1980

Source: https://www.sohu.com/a/230394490_355757



Figure 4.2.2: Historical photo of Luohu, Shenzhen, 1996

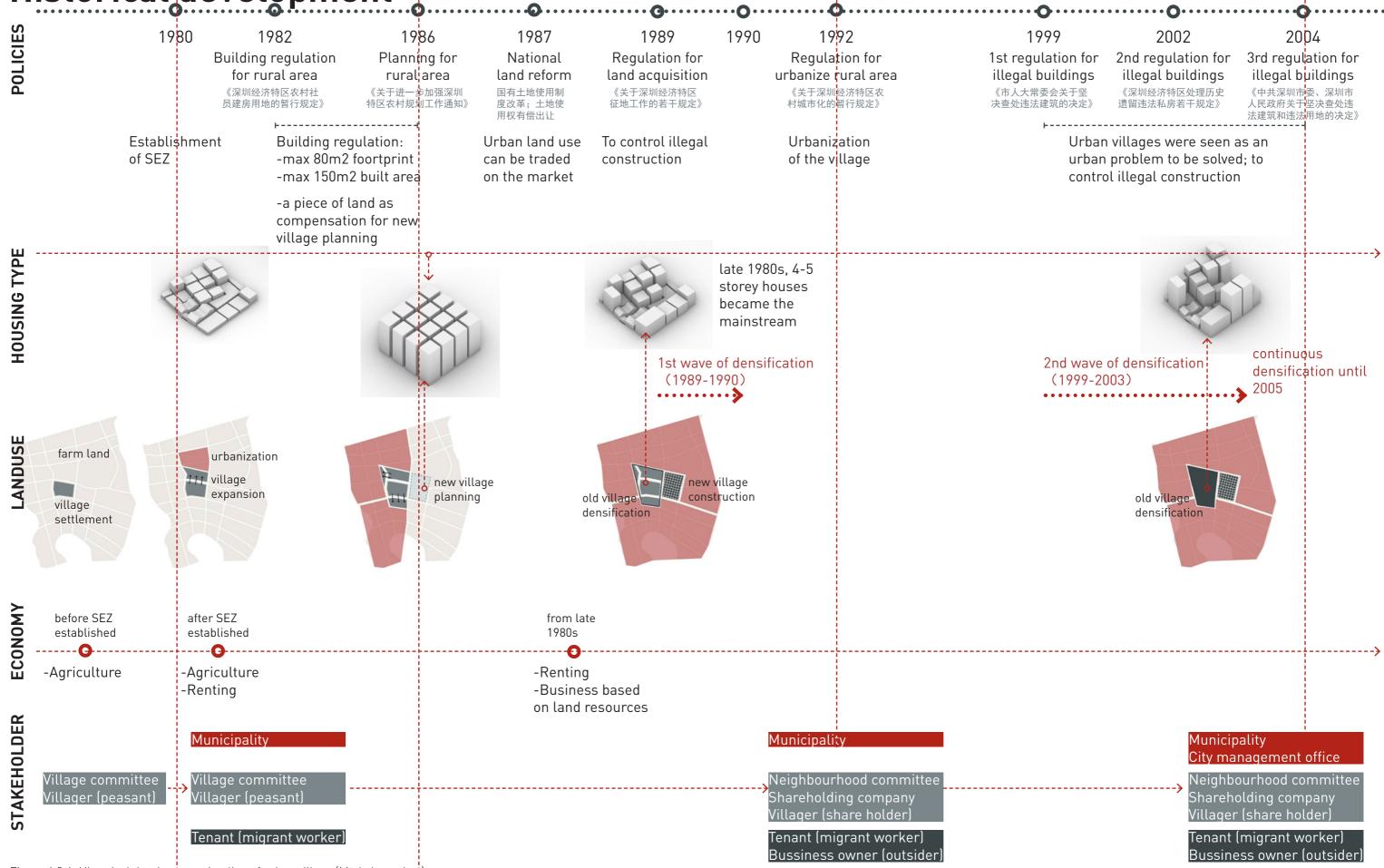
Source: https://www.sohu.com/a/230394490_355757



Figure 4.2.3: Village surrounded by urban area

Source: https://read01.com/mEKEAoB.html#.Ykq8MejP2Uk

Historical development



Demand analysis



Figure 4.3.1: Report of Rental Market in Graduation Season Source: https://www.meadin.com/yj/230513.html

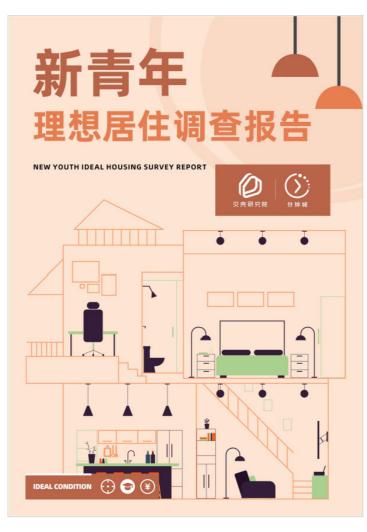


Figure 4.3.2: New Youth Ideal Housing Survey Report Source: https://research.ke.com/121/ArticleDetail?id=457

From theory	From report		Conclude: spatial element	
transportation	metro station		metro station	Distance to job
employment	(distance to) work place		office buildings	and transportation
housing	construction	year	housing typology	
	distance betw	veen buildings		Building form
	building qual	ity]	
neighborhood	surrounding	play ground	play ground	
	environment			Surrounding
		park	park	environment
	commercial	food street	commercial street inside the	
	area	supermarket	village	
		salon		
		commercial center	shopping mall	
		entertainment center		1
		gymnasium		Living facilities
		cinema		
	educational	kingdagarten	kingdagarten	
	institution		school	
	Others	parking lot	parking lot	
		bank and post office	bank and post office	
		hospital	hospital	

Figure 4.3.3: Table of young graduates' housing demands (Made by author)

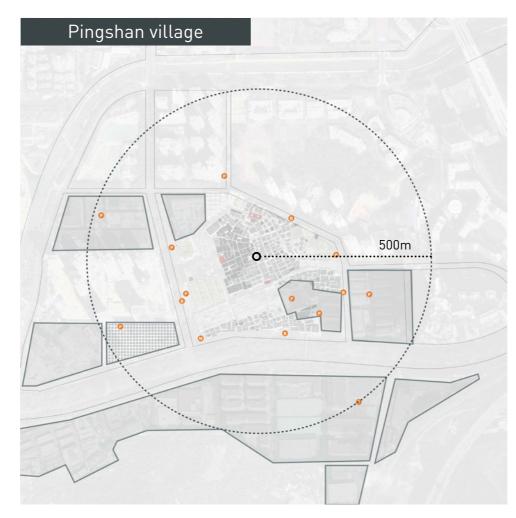
Demand Analysis

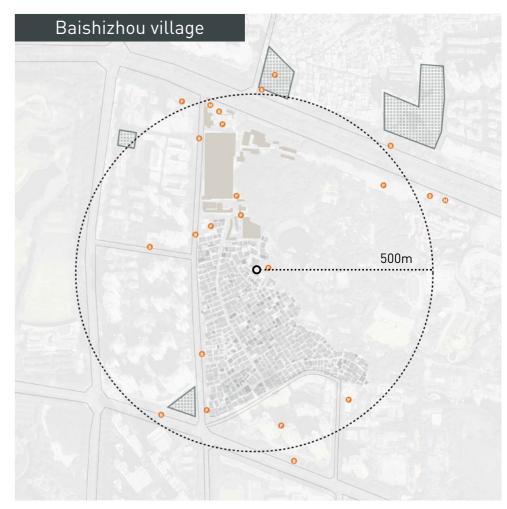
Although the urban village has provided affordable well-located housing, it may not satisfy young graduates' demands and expectations.

KE Holdings (贝壳网), one of the most influential platforms for housing services in China, conducted several online research to analyze the housing demands of young people. From the Report of Rental Market in Graduation Season (Beike Research Institute, 2021) and the New Youth Ideal Housing Survey Report (Beike Research Institute, 2021), young people's housing demands can be summarized as distance to jobs and transportation, building form, surrounding environment, and living facilities (see Figure 4.3.3). These will be the indicators guiding that spatial analysis on the local scale.

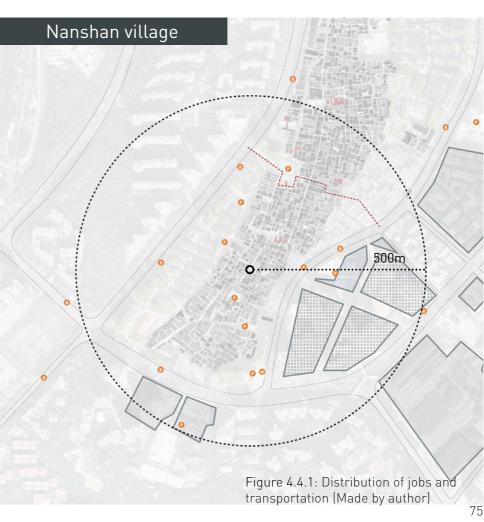
Distance to jobs and transportation

As infrastructure-intensive and employment-intensive areas are the precondition for choosing the site, the selected villages are all close to work place or public transportation.









transportation M metro station

B bus station

parking lot

workspace

industrial area

office building area

Building Form

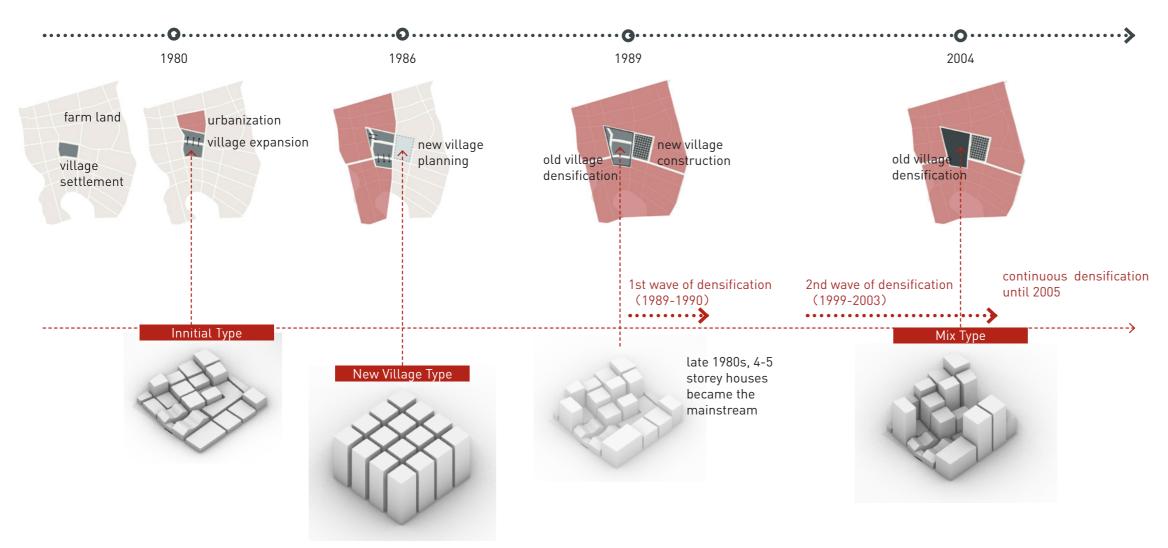


Figure 4.4.2: Historical timeline of building form development (Made by author)

There are three main building forms in the welllocated urban village.

Initial type

The first one is the initial type, which was constructed before or during the early 1980s. The initial type of buildings is in low density, which is mostly no more than 3 storeys. Generally, on the ground floor, there will be a store on the street side and a living space at the back. The upper floors are usually for residential use.

Not all urban villages have this building form. It is more likely to appear in urban villages with less urbanization pressure and it is often located at the center of the village, as it was the basis for the village to expand.

Among the four villages studied in the research, a number of initial type buildings can be seen in Pingshan village and Daxin village, while in Nanshan village there are a few scattered initial type buildings, and in Baishizhou village there is almost no initial type.

Figure 4.4.3: Initial type buildings

Source: Study on the Spontaneous Transformation of the RoadwaySpace in Pingshan Village, Shenzhen, Sun, 2019

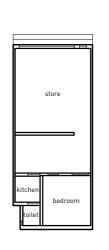


Figure 4.4.4: initial type building floor plan example 1



Figure 4.4.5: initial type building floor plan example 2

Building Form



Figure 4.4.6: New village type buildings

Source: Baidu street view



Figure 4.4.7: New village type building floor plan example 1



Figure 4.4.8: New village type building floor plan example 2

housing type A:

housing type A:

two-bedroom



Figure 4.4.9: Mix type buildings

78

Source: https://www.jianshu.com/p/c7529f8ffecb





New village type

The new village type was constructed in the late 1980s. During that time the village got a piece of land from the government as compensation for land expropriation, and the new village was planned and developed on the land (Li, 2018).

During that period, villagers had started to make a profit from leasing their property to migrant workers. Most of the new village type buildings were built not for self-occupation, but for rental business. Therefore, villagers built as many as they could (8-9 storeys). The ground floor was often for commercial function and the housing types were mostly one or two-bedroom apartments for small households.

Not every village has this kind of building, for example, it cannot be seen in Baishizhou village, and they are often located on the outskirts of the village.

Mix type

The third type is the mix type, which was formed by continuous densification from the initial type. In the late 1980s, four to five-storey buildings replaced the initial type and became the mainstream in the villages (Li, 2018). By 2005, before illegal construction was largely brought under control, buildings of more than ten storeys had appeared in urban villages.

The buildings and housing types are very diverse in the mix type building form. Both decaying lowdensity buildings and newly-built buildings can be seen, and from the one-bedroom apartment for a single household to four-bedroom housing for a whole family are possible to be found in the village.

The mix type is very common and can be seen in almost every urban village.

79

Figure 4.4.10: Mix type building floor plan example 1 Figure 4.4.11: Mix type building floor plan example 2

Building Form



Figure 4.4.12: Satellite map of post-industrial building

Source: Google earth



Figure 4.4.13: Post-industrial buildings

Source: http://www.archcollege.com/archcollege/2018/8/41304.html



Figure 4.4.14: Satellite map of old residential neighborhood

Source: Google earth



Figure 4.4.15: Old residential neighborhood

Source: Baidu street view

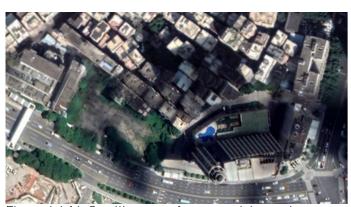


Figure 4.4.16: Satellite map of commercial complex

Source: Google earth



Figure 4.4.17: Commercial complex

Source: Baidu street view

Other buildings

There are also some other buildings that can be seen in the urban village.

Post-industrial building
At the dawn of the reform and opening-up
process, many villages built factories nearby
the village settlement to develop processing

the village settlement to develop processing and manufacturing industries. Compared to the buildings inside the village settlement, the post-industrial buildings have the characteristic of large volume and low density.

Old residential neighborhood

Some old residential neighborhoods can be seen in some of the urban villages. Different from the self-constructed village housing, they were planned to be built with more reasonable building forms. Generally, they are the early residential community or dormitories for employees of state-owned companies.

Commercial complex

Some commercial complexes can be seen on the main streets where urban villages meet urban land. They were developed from the original village settlement or industrial area. They have similar characteristics to other commercial complexes in the urban areas.

Building Form- Distribution

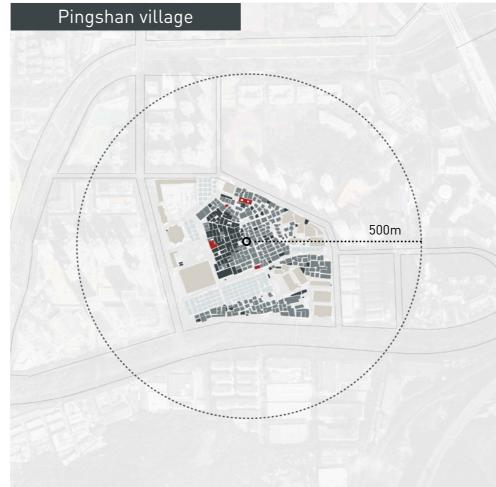
initial type (≤3 storeys)

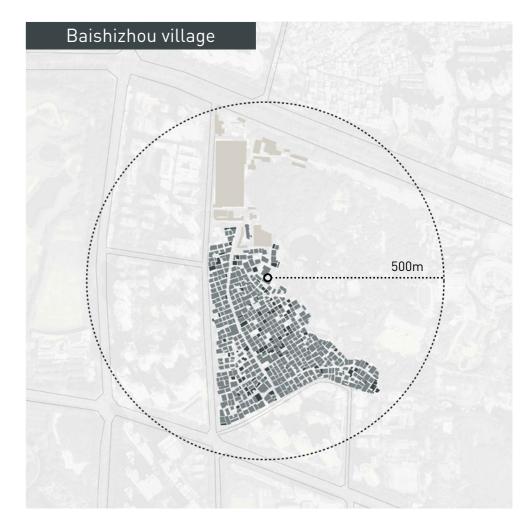
new village type

other building

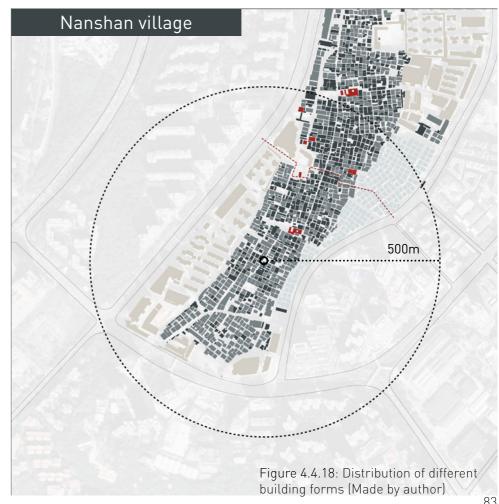
historical building

mix type









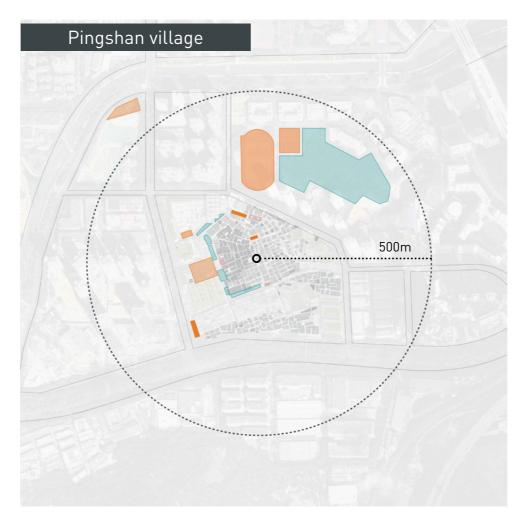
Surrounding environment

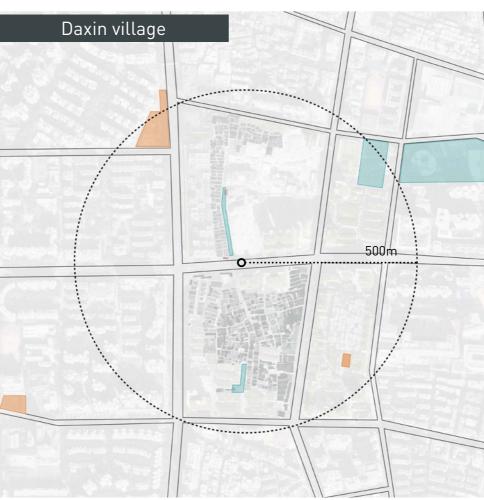
The surrounding environment focuses on open space and green space, which are actually contradictory to the driving force of the urban village development. As villagers tend to build as many as possible, there is almost no open space inside many urban villages.

In many villages, residents often occupy some vacant land and the street to fulfill their daily and social needs for public space. For example, in Pingshan village, clothes were hung along the streets; furniture was put outdoor and residents sited, chatted, and picked vegetables along the streets (Sun, 2019).



Figure 4.4.20: Streets occupied by residents source: Study on the Spontaneous Transformation of the RoadwaySpace in Pingshan Village, Shenzhen, Sun, 2019 84





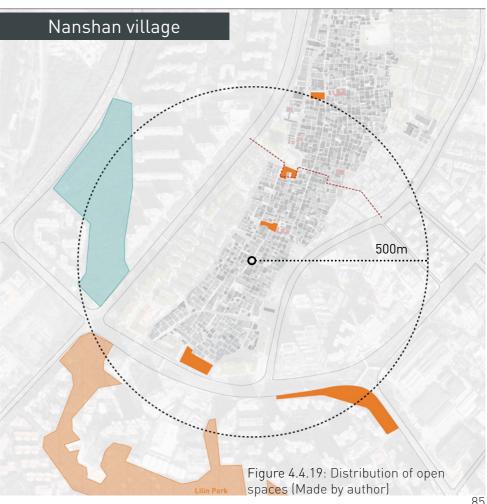
green space

playground

open space

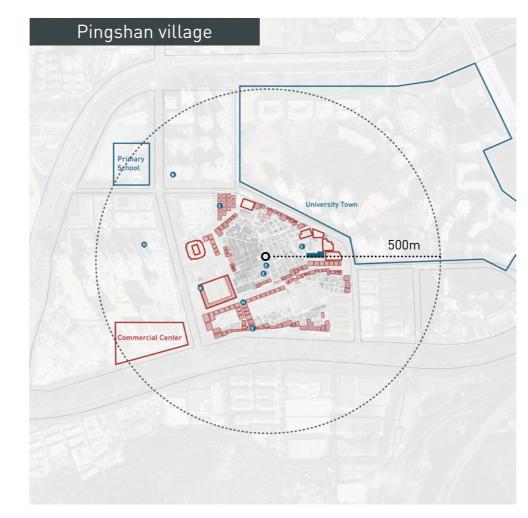
park

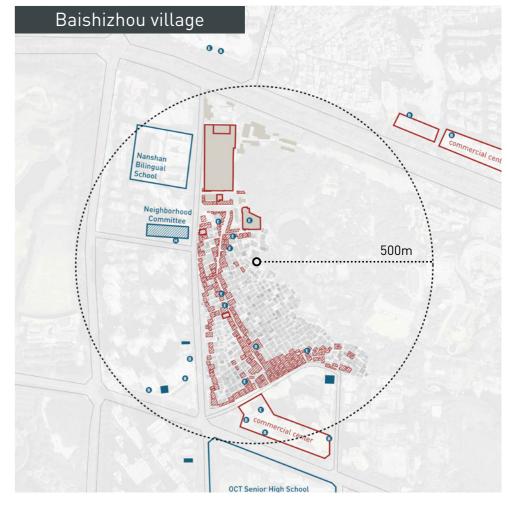


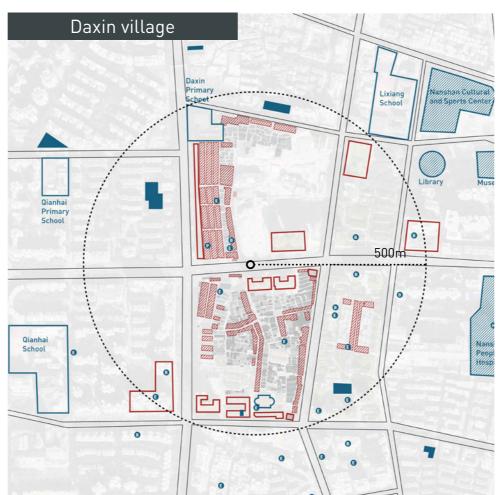


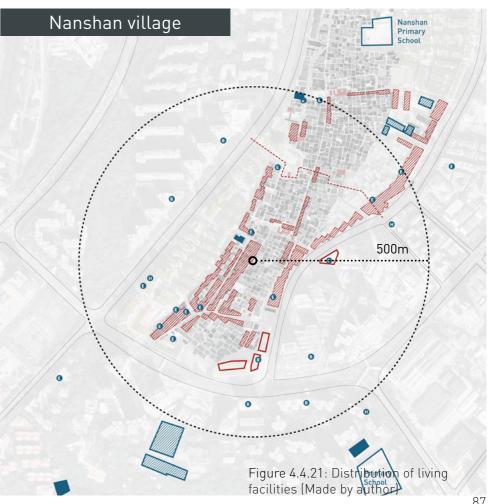
Living facilities

The previous analysis has showed that most of the buildings inside the village are mix-used: commercial function on the ground floor and residential area on the upstairs. Therefore, the living facilities can satisfy most of young graduates' demands. Moreover, because the villages are well-located, residents can get access to public facilities like big commercial centers easily by foot and public transportation.











Conclusion

To conclude, the common characteristics of the well-located urban villages are:

- Dense built environment;
- Limited open space;
- Footpath connection that being occupied;
- Convenient living facilities

The main principle for regeneration should be to balance density and public space, to increase living environment to fulfill young graduates' demands.

Certainly, there are different situations in different villages.

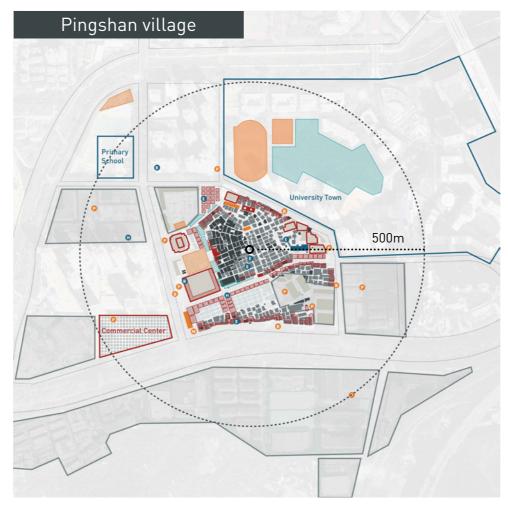
Pingshan village: rely on the development of university towns; has more open space and is relatively well-structured;

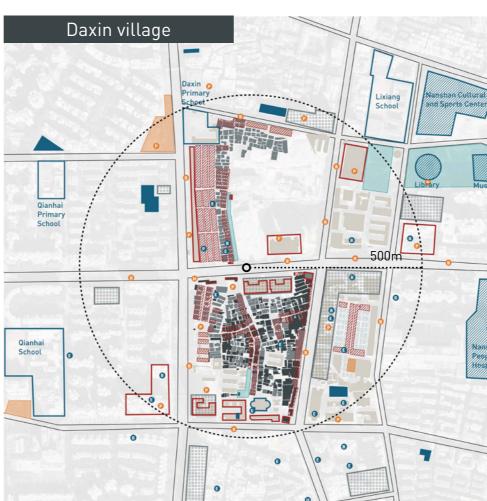
Baishizhou village: surrounded by multiple opportunity-rich areas; with a prosperous main commercial street; almost has no open space; only has mix type building form;

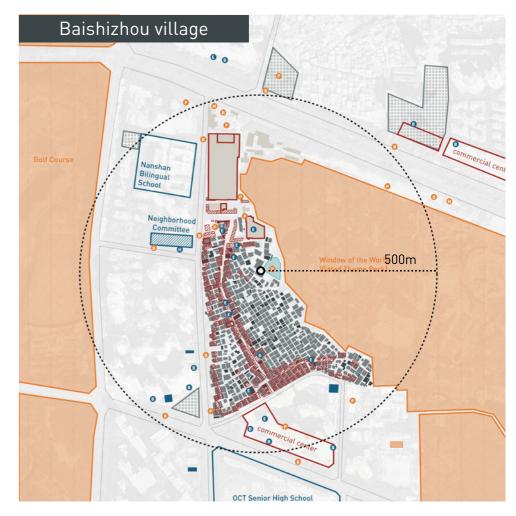
Daxin village: divided into several parts by the roads; does not have a main commercial street;

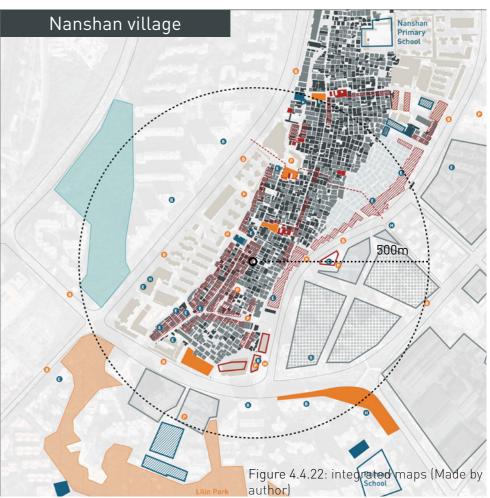
Nanshan village: has many historical buildings with a square; no clear boundary with other adjacent villages.

Generally speaking, the common characteristics of the urban villages are quite obvious, which can be the base for the strategy proposal. When it comes to concrete design, the different situations in different villages should be taken into account.









Stakeholder analysis

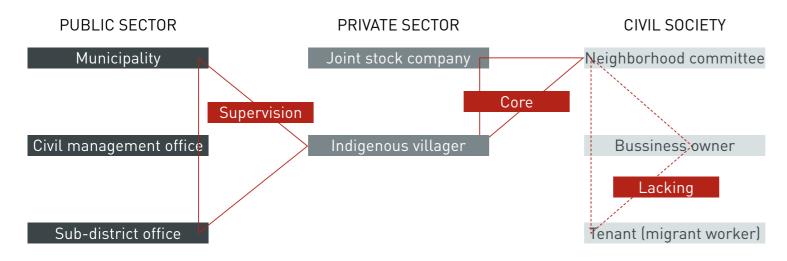


Figure 4.5.1: Stakeholders involved in the daily operation (Made by author)

Stakeholders involved in the daily operation of the village can be divided into three sectors: public sector, private sector, and civil society.

Private sector

The private sector includes the joint-stock company and the indigenous villager. They are the core stakeholders in the village.

The joint-stock company was established in 1992 when the government decided to include the urban village in the urban management system. The original village committee was replaced by a neighborhood committee (which is a stakeholder in the civil society) and a joint-stock company. The neighborhood committee is the basic unit of self-governance in the urban area, and the joint-stock company takes the responsibility of the original village committee, including operating the

collective property, investing in the infrastructure, managing the daily affair, and distributing the social welfare for the indigenous villagers. Villagers are not only the landlords who own the land use right, but also shareholders who can get dividends from the joint-stock company. (Hao et al., 2011; Zhou & Yan, 2009)

Public sector

Although the land of the urban village is stateowned in the law, the public sector does not have much power in the village. The infrastructure inside the village was invested by the joint-stock company, and it is also the company that pays for the daily affair of the village. The municipality only gives some guidance for the management process and supervises the illegal construction to avoid large-scale densification to happen again.

responsable to democratic vote Neighborhood Villagers manag committee Construction & operation of the same same input provide village group group democratic vote Joint-stock Shareholders company distribute dividends Operation & nanagement of the improve input company performance money

Figure 4.5.2: The relationship of village based on the collective economy

Source: The Reframing Ligament at the End of Villages: Collective Economy———A Case Study on the Urban- Villages in Shenzhen, Zhou & Yan, 2009

Civil society

The civil society, which includes business owners and tenants, is the most vulnerable group. In other urban areas, the neighborhood committee is responsible for protecting residents' rights. However, in the urban village, the neighborhood committee is so dependent on the joint-stock company in the financial aspect that it only considers villagers' right but ignore the tenants. Therefore, the business owners and tenants, who are the main user of the village, actually have little power and their voice cannot be heard.

Stakeholders in redevelopment project

The main stakeholders involved in the redevelopment project are government, landlords (the village), and developers. The existing tenants are hardly ever taken into account.

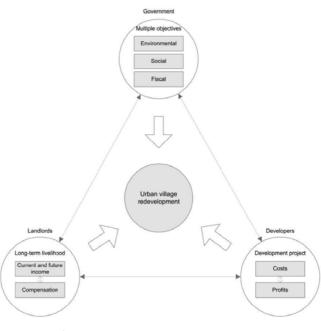


Figure 4.5.3: The interplay between the main actors in urban village redevelopment

Source: The development and redevelopment of urban villages in Shenzhen, Hao, P., Sliuzas, R., & Geertman, S. .2011

Demolition and reconstruction

Dachong Village

Construction year: 2011 Location: Nanshan district (inside original SEZ) Area: 685,000m²









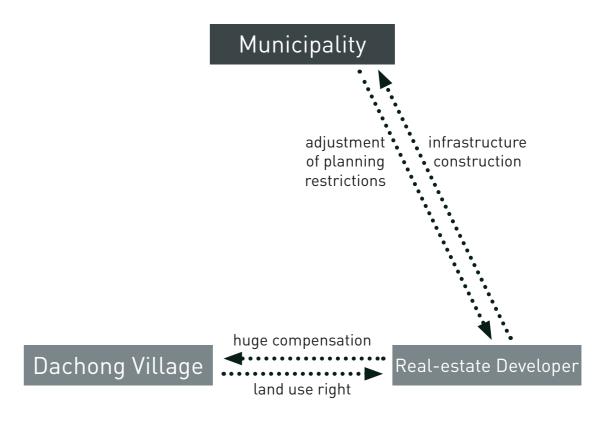


Figure 4.5.6: Governance model of redevelopment of Dachong village (Made by author)

Demolition and reconstruction used to be the mainstream for redevelopment. One of the most representative cases is the redevelopment of Dachong village. The urban village was demolished and be turned into luxury housing and fancy shopping malls.

The main stakeholders involved are the village and the real estate developer. The government just played the role as a guide. Both the villagers and the developer have a high interest in this kind of project because villagers can get huge compensation while developers can get great profit.

It is obvious that this governance model will lead to gentrification. The original tenants, who were mainly low-income migrant workers, lost their place in the city center.

 \mathcal{A}^{2}

Rent and regenerate as a whole

Shuiwei Village

Construction year: 2017 Location: Futian district (inside original SEZ) Area: 8,000m²











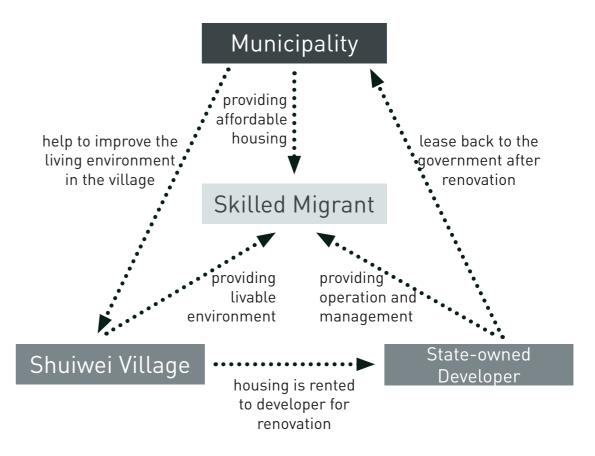


Figure 4.5.9: Governance model of regeneration of Shuiwei village (Made by author)

Reference: Shenzhen Futian District Shuiwei Ningmeng Talent apartment construction project application report, 2018

Shenzhen municipality also tried on other approaches for regeneration. One of the successful cases is the regeneration of Shuiwei village. This new village type urban village was rented by the government, and was transformed by the state-owned developer into more than 500 affordable housing for young people.

The project cannot be successful without the leadership and support from the government. It took years for the joint-stock company to convince the villagers to participate. Moreover, although it benefits young people, this governance model still leads to resident displacement of the original tenants.

Regeneration based on public event

Nantou Village

Construction year: 2016 Location: Nanshan district (inside original SEZ) Area: 34,070m²











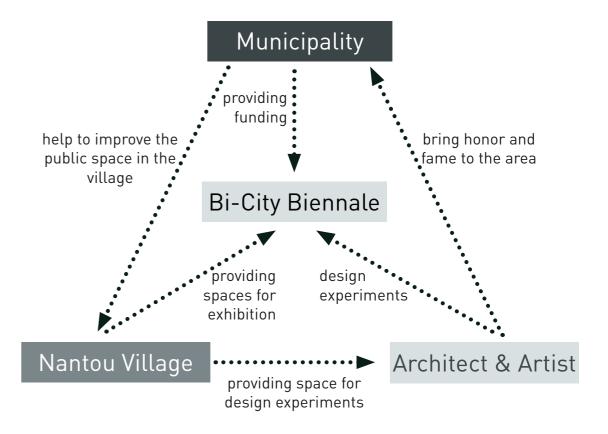


Figure 4.5.13: Governance model of regeneration of Nantou village (Made by author)

The regeneration of Nantou village was motivated by the Bi-City Biennale of Urbanism/Architecture in 2017. It is an urban village with a long history and many valuable historical buildings, so Shenzhen municipality selected the village to be the exhibition area, and cooperated with professional architects to regenerate the public space.

The first round of regeneration has brought a lot of fame and attention to the village, but did not tackle the private space much, so the residents and the function of the private space in the village were not affected much. In 2019, the government started the second round of the regeneration, and wanted to copy the model to rent and regenerate the village as a whole. As the negotiation among government, village, and developer is still undergoing, it is unclear now whether this will result in resident replacement like Shuiwei village.

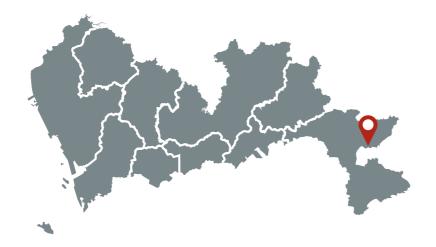
Spontaneous regeneration

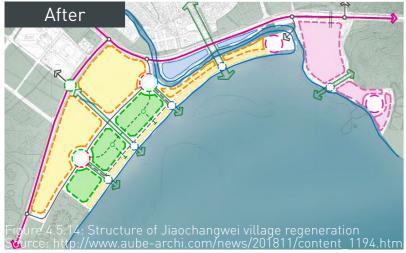
Jiaochangwei Village

Construction year: start from 2013

Location: Dapeng district (outside original SEZ)
Area: 470,000m²











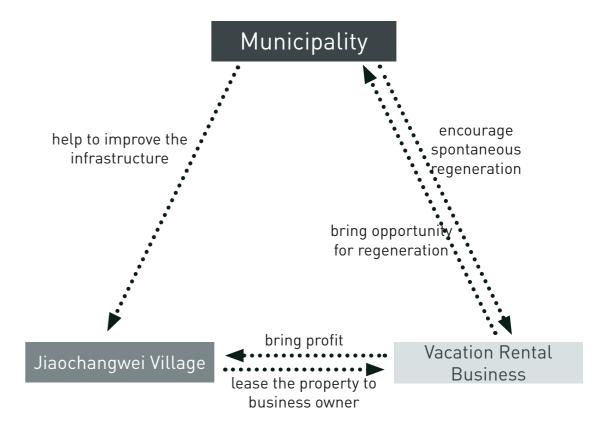


Figure 4.5.17: Governance model of regeneration of Jiaochangwei village (Made by author)

The regeneration of Jiaochangwei village is an exploration of spontaneous regeneration.

Jiaochangwei village is different from the above cases. It is located at the seaside, far away from the city center, and under less urbanization pressure. Tourists came for the beach and promoted the development of the vacation rental business. But the unplanned development brought chaos to the village and the environment. The government decided to regenerate the village.

Instead of transforming the village into luxury hotels, the government chose to encourage villagers and business owners to invest and regenerate their property. The government funded the upgrading of infrastructure and public facilities, as well as organized some design competitions to encourage spontaneous regeneration.

The process is still going on. Although the function and structure of the village did not change a lot, the living environment and infrastructure have been already improved.



Goal





Figure 5.1.1: Transformation of pillar industry in Shenzhen
Source: Google earth & google picture









Looking back to history, the formulation of the urban villages shows their adaptation to the changing demands of the surrounding environment. The pillar industry in Shenzhen was transformed from agriculture to the processing industry after the establishment of SEZ, and the residents of the village changed from peasants to migrant workers. Urban villages spontaneously adapted to the transformation and flexibly met the new demands during that period.

Currently, Shenzhen is undergoing industrial upgrading. In the future, the innovative industry will gradually replace the processing industry, and the high-tech industrial parks will replace the low-end factories. It is foreseeable that more and more highly-educated young graduates will move to the city center, while some low-income migrant workers will leave as factories move.

Therefore,

instead of regenerating the urban villages from a top-down perspective, guiding the villagers to regenerate their property spontaneously so that the village can adapt to the changing demand may be an approach for future regeneration.

Stakeholder analysis

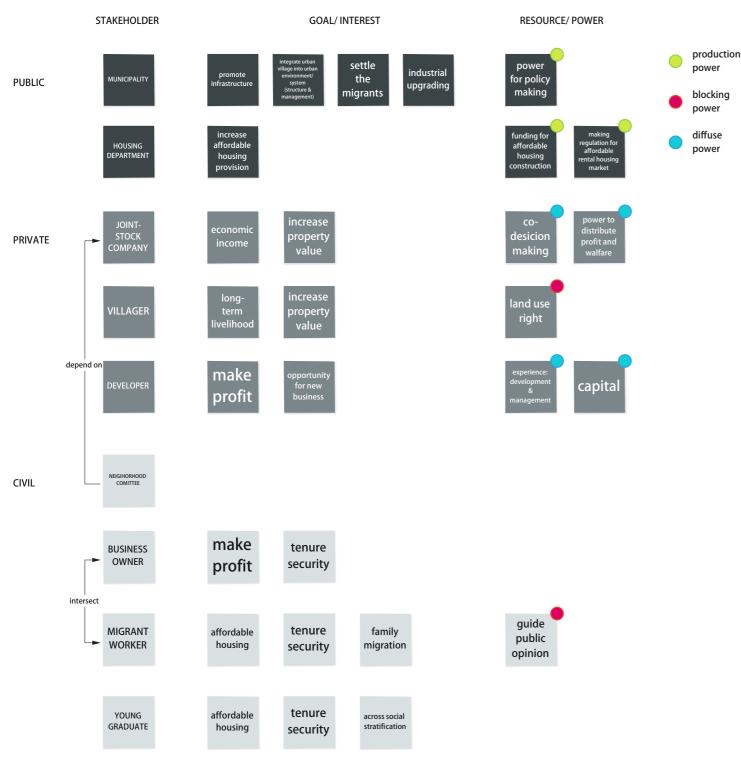


Figure 5.2.1: Power/ interest analysis (Made by author)

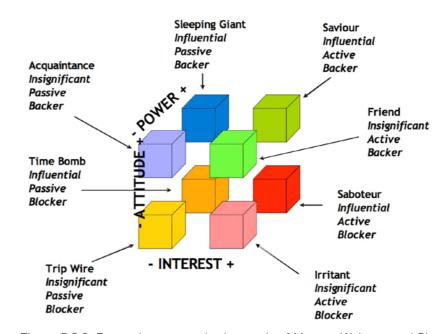


Figure 5.2.2: Power-interest-attitude matrix of Murray-Webster and Simon

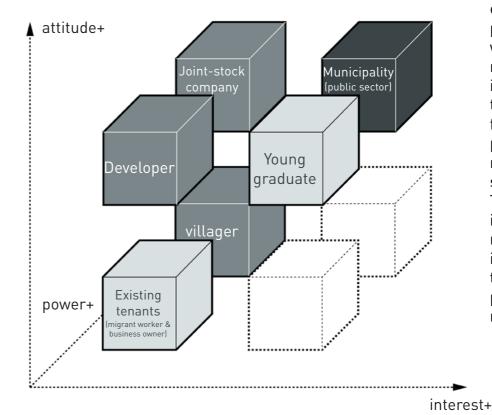


Figure 5.2.3: Power-interest-attitude matrix of involved stakeholders (Made by author)

Power-interest-attitude

In order to motivate the villagers to take part in the spontaneous regeneration, the power-interestattitude matrix was used to analyze the involved stakeholders.

The stakeholders that need the most attention in the matrix are the jointstock company and the indigenous villager. They own the land use right of the village, which means that they have a lot of power. However, they may not have much interest to regenerate their properties as affordable rental housing because they focus more on financial income. The regeneration will definitely promote the living environment, which will increase the property value, but for the villagers who only own the limited property rights, they cannot trade the housing in the market or get a mortgage from the bank. Leasing is the main way for them to make a profit from their properties, while leasing as affordable rental housing will not bring a significant increase to their profit. Therefore, in addition to the rental income, regenerated as affordable rental housing should bring extra incentives to the villagers: legalizing their housing and giving them more property rights after regeneration may be an attractive option.

Stakeholder analysis



Figure 5.2.4: Buildings with full property rights Source: Google picture

Figure 5.2.5: Buildings with limited property rights Source: Google picture

Type of property right	Land ownership	Payment of fee	Certificate issued by	Right for commercial activities (mortgage etc.)	• .	Tenure of use
full property rights	state-owned	land transfer fees	state government housing department	yes (different for different housing type: affordable housing/ social housing may also have limited rights)	commercial housing; affordable housing; capped-price housing etc.	70 years for residential use
limited property rights	collective- owned	none	village government	no	regular housing	no specific time; can be terminated at any time

Figure 5.2.6: Table of different property rights Source: Baidu baike

Property right

The table below shows different types of property rights in the Chinese context. In 1992, the Shenzhen government published a regulation to convert all land in SEZ into state-owned land. However, during the process, the land was only nominally converted into state ownership, while the land use right still belongs to the indigenous villagers. The informal construction they built in the village settlement did not get registered. (National School of Development, Peking University, 2013) Therefore, different from the general condition, although their properties are built on state-owned land, the villagers still only own limited property rights.

Mechanism design

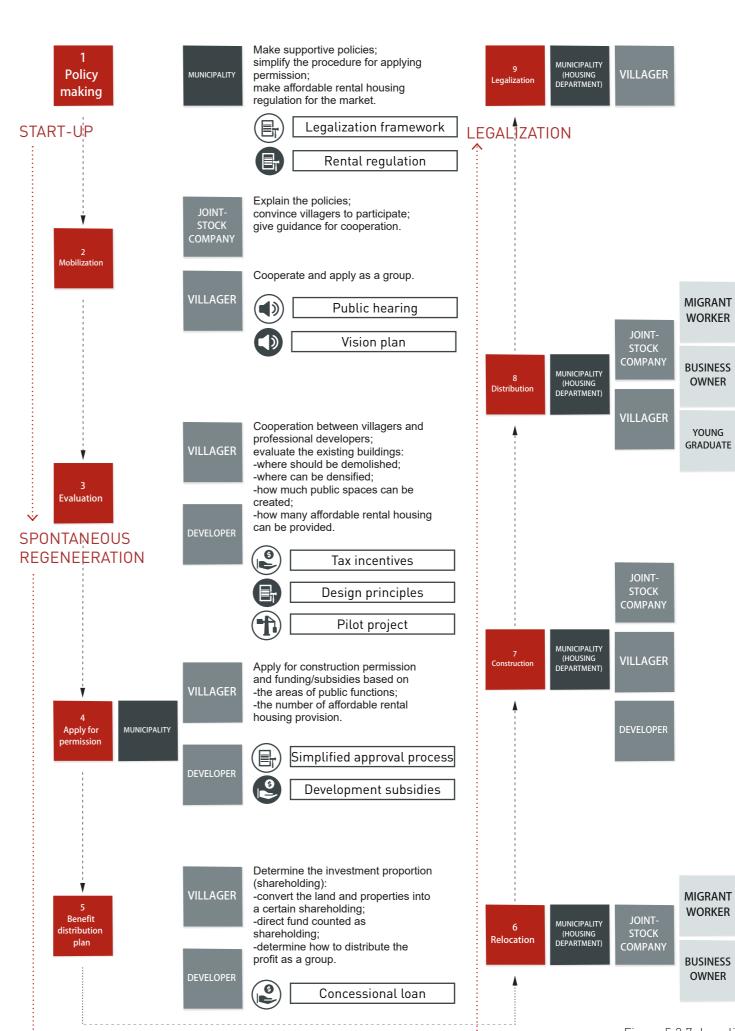
The regeneration can be an approach to legalize the informal construction that is of good quality, making urban villages a source of affordable housing with increased living quality. The mechanism for the legalization process needs to be designed.

This mechanism can be concluded into three stages.

Stage 1 is start-up. The public sector will cooperate with the joint-stock company to set the rules, regenerate as pioneer, and mobilize the villagers to take part.

Stage 2 is the spontaneous regeneration. Villagers will cooperate with the developers, following the instruction from stage 1, to develop and manage the affordable rental housing.

Stage 3 is legalization. After contributing as affordable rental housing for certain years, their property can be legalized and included into affordable housing system. They can choose to maintain as affordable rental housing or sell it on capped price. The original tenants will get the pre-emptive rights to buy it.



Planning tools applied

NODALITY

Public hearing

introduce the related policies

PROCEDURAL

AUTHORITY

Legalization framework

introduce the legalization process

Simplified approval process

make it more efficient to apply for permission

TREASURE



Tax incentives

encourage developers to participate



Concessional loan

Pilot project

encourage villagers to participate



108

show a sample

Spatial intervention improve the living environment

SUBSTANTIVE

Vision plan

Design principles

Rental regulation regulate and supervise the

Development subsidies

help the villagers to start the

Rental subsidies

compensate the rental income

affordable rental market

show the vision to the

guide the construction

stakeholders

regeneration

regeneration.

After contributing as affordable

can choose to maintain their

properties as affordable rental housing, or sell them to the

be included into the affordable

housing system.

rental housing for certain years, the

housing can be legalized. Villagers

government on capped price. The

housing in urban village can finally

Distribute the affordable housing to

-the rest can be rented to other new

Rental regulation

-the origial tenants have the pre-

citizens and young graduates.

Invest, construct, and come into

-housing department giving rental

supervision of the affordable rental

-villagers can pay for the joint-stock

Rental subsidies

Rental regulation

Spatial intervention

Help the existing tenants to relocate:

-register the original tenants for pre-

Rental regulation

-inform the tenants in advance:

emptive right to rent after

-provide guidence for relocation;

company to hire the developer for

-being operated under the

the management services.

service:

subsidies;

housing system;

emptive right to move in;

Legalization framework

Governance model

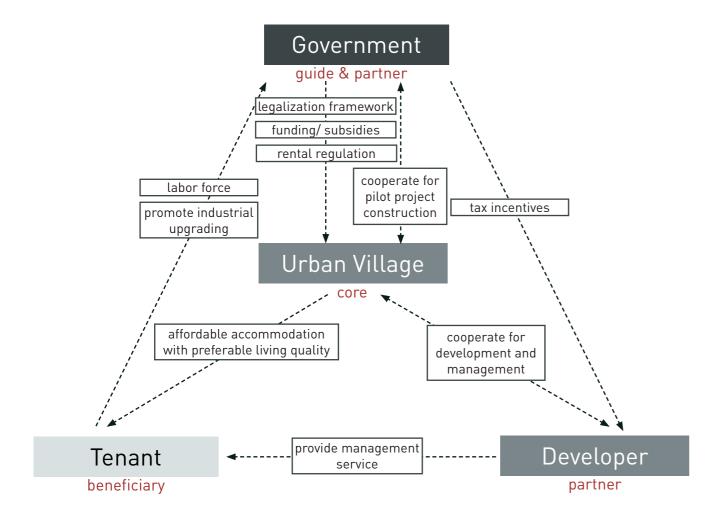


Figure 5.2.8: Governance model of spontaneous regeneration (Made by author)

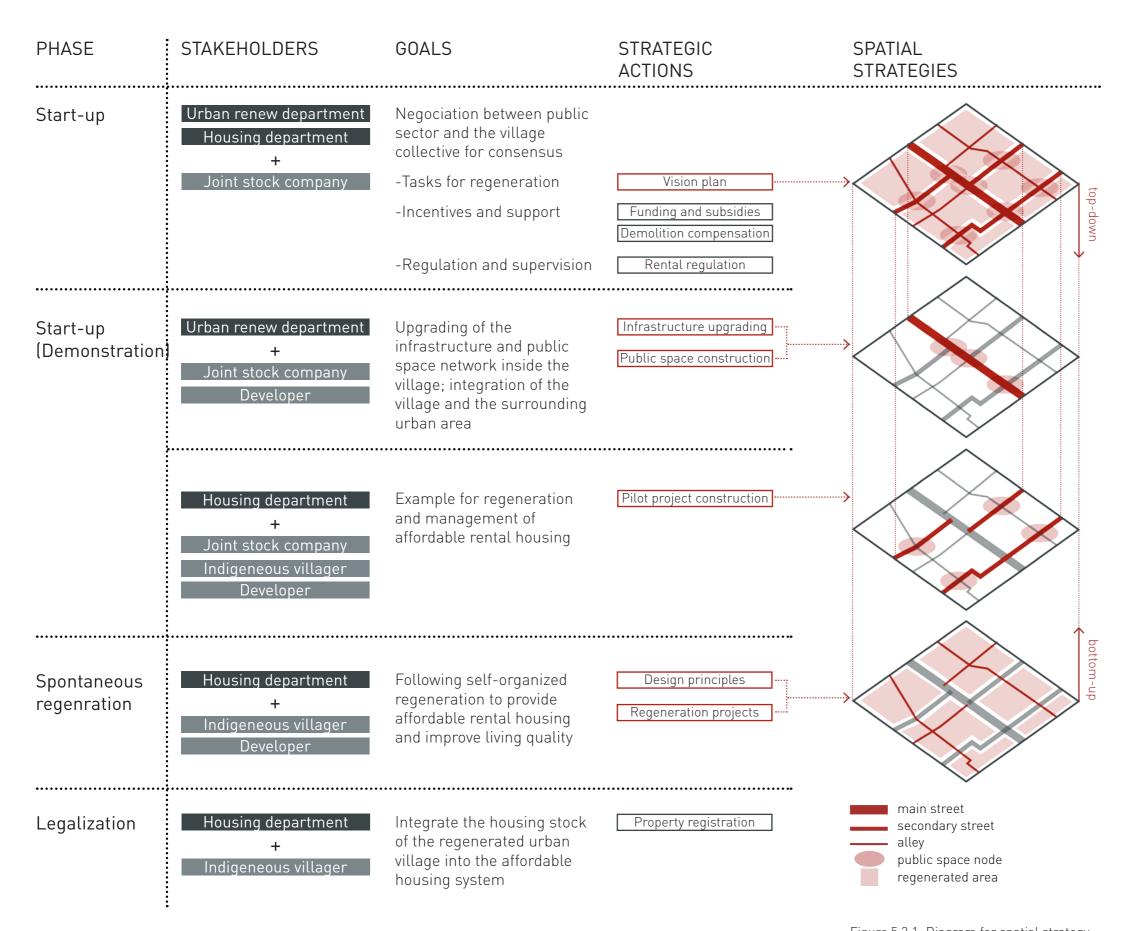
An updated governance model for spontaneous regeneration can be proposed.

The government will act as the 'guide' and the 'partner', making a legalization framework to guide the whole process, giving funding, subsidies, and tax incentives to engage other stakeholders, publishing regulations for the affordable rental housing market, as well as participate directly into the construction.

The urban village, including the joint-stock company and the indigenous villagers, will be the 'core' of the model. They will cooperate with the 'partner', the developer, to regenerate the urban village as affordable accommodation with preferable living quality and provide management service to the tenants.

The tenants, consisting of migrant workers and young graduates, will be the 'beneficiary'. In short term, they can access affordable rental housing; in long term, when the housing in the urban village is legalized and can be traded at a capped price, it will be their opportunity to own the first property in the city. Tenants may have less power and interest to participate at present, but when they gradually find that it is possible to settle down in the regenerated urban village, they will be more willing to participate.

From mechanism to strategy



The table on the left shows the main involved stakeholders and the goals of the different phases of the mechanism. To achieve the goals, some strategic actions will be taken, among which the space-related actions are extracted and become the spatial strategies.

In response to the mechanism, the spatial strategies will also be a combination of top-down and bottom-up strategies.

The top-down strategies, which will mainly be led by the public sectors and the joint-stock company, contain vision map making, infrastructure upgrading, public space construction, and pilot project construction. These will be a signal to the villagers that the large-scale demolition will not take place in the village, as well as set a model for the following spontaneous regeneration.

The bottom-up strategies are some design principles to guide the regeneration projects led by the villagers. Encouraged by the pilot projects, villagers will be motivated to collaborate and regenerate their property as a group.

Although the structure and the function of the urban village may not change much, there will be a better connection with the city, improved living quality and infrastructure, and the village can be developed in a more orderly approach and will be able to adapt to new demands flexibly.

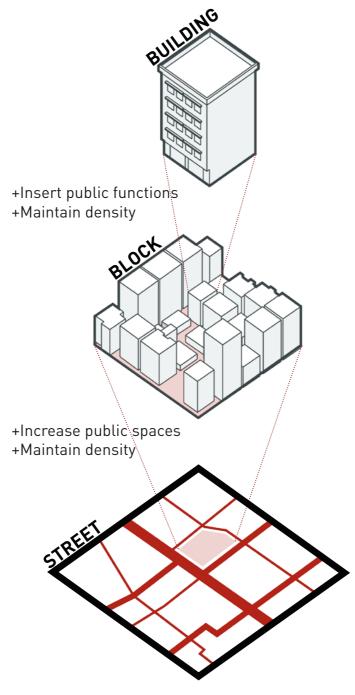
Figure 5.3.1: Diagram for spatial strategy (Made by author)

Design principles

There are three main elements that need to be concerned for the design principles: street, block, and building.

The main principle for the regeneration of buildings and blocks is to liberate public spaces while maintaining the density, which means that there will be more open space in the block and there will be more public functions in the building.

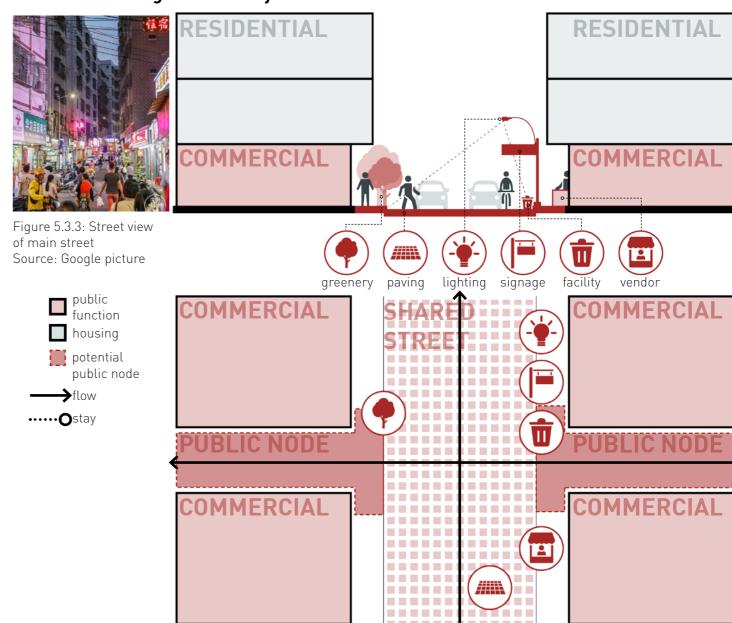
Principles for the regeneration of the streets are different for the three types of streets. For the main street of the village, the principle is to integrate it with the city network; for the secondary street, the principle is to insert more public functions to enrich social activities in the village; for the alley, the principle is to improve infrastructure to enhance accessibility and bring pedestrians a sense of safety.



- +Main street: integrate with city network +Secondary street: enrich social activities
- +Alley: improve accessibility

Figure 5.3.2: Category for design principles (Made by author)

Main street: integrate with city network

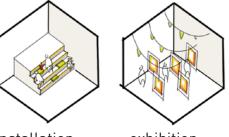


The main street is the main connection between the village and the city, and also the most public area inside the village, usually dominated by various commercial activities. Not only the residents of the village but also people living in the surrounding come to the main street. By adding infrastructures like lighting, signage, and public health facilities, the main street has great potential to be integrated into the city network, so that the accessibility will be improved, and the urban village will not be separated from the urban environment.

Some public space nodes can be seen on the main street, which can installation be the gateway of the village. The program inserted should focus on public functions, like some installations and exhibitions.

Figure 5.3.4: Diagram for main street regeneration principles (Made by author)

Programs: public functions



exhibition

Figure 5.3.5: Programs for public functions (Made by author)

Design principles-street

Secondary street: enrich social activities



Figure 5.3.6: Street view of secondary street Source: Google picture

public function housing potential potential public node **→**flow •••••Ostay

The secondary street is mostly 3 to 5 meters wide. Compared to the main street it is less public but still has the potential to carry some social activities for the tenants, because there are some stores and potential public spaces on the secondary street. By inserting public programs on the ground floor, and regenerating the vacant lands along the street. there will be more mix-use functions on the secondary street, and the social activities of the village can be enhanced.

The programs for public space nodes on the secondary street should focus more on social demands, like sports, playgrounds, and chess and cards.

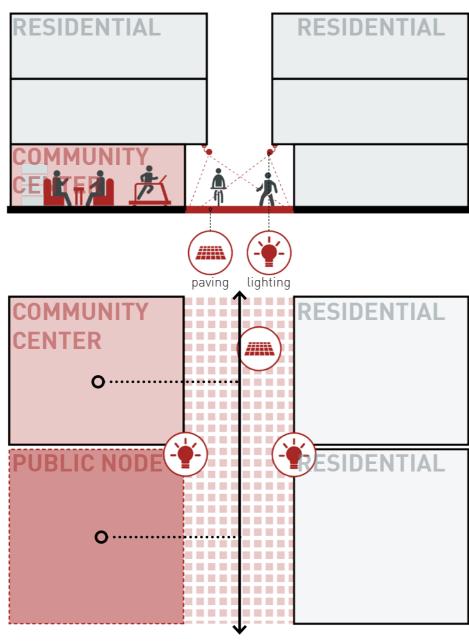


Figure 5.3.7: Diagram for secondary street regeneration principles (Made by author)



Figure 5.3.8: Programs for social demands (Made by author)

Alley: improve accessibility



Figure 5.3.9: Street view of alley

Source: Google picture

public function housing

potential public node

•••••Ostay

The alley is the footpath less than 3 meters wide. Because of the lack of sunlight and the narrow space, the alley often gives people the impression of insecurity. There is almost no space for social activities in the narrow alley, as people just pass through and get home. Improving infrastructure, like lighting, signage, and paving, may help people to feel safer and guide them better toward their destination, so the accessibility inside the village can be improved. If there are some extra spaces for public use, the program should focus on residents' daily and basic needs.

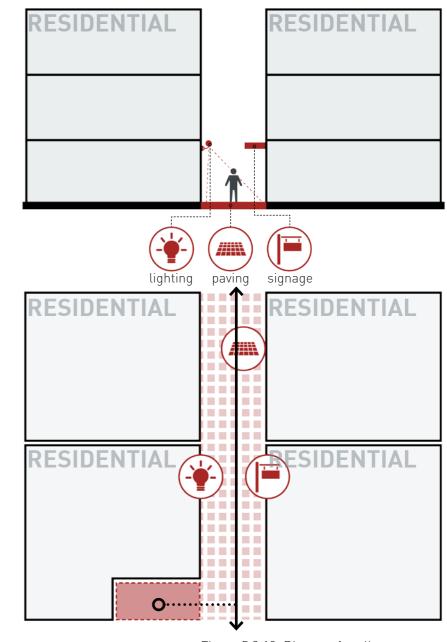


Figure 5.3.10: Diagram for alley regeneration principles (Made by author)

Programs: daily needs

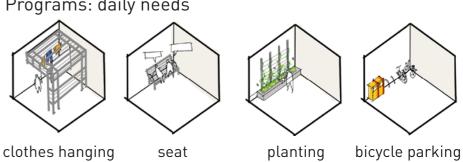


Figure 5.3.11: Programs for daily needs (Made by author)

Design principles-block

Block: increase public space while maintaining density

The public space is the trickiest one as it conflicts with the driving force of the densification in the urban village. Therefore, the design principles for the block should focus on increasing public spaces while maintaining density.

New village type

The new village type buildings are relatively well-planned with high density. The potential for adding public space is by adding aisles, making use of the rooftop, and reorganizing indoor space. The case of Shuiwei village is an example.

After the regeneration, some indoor public spaces can be created while the rooftop can also become open spaces for residents.

Initial type

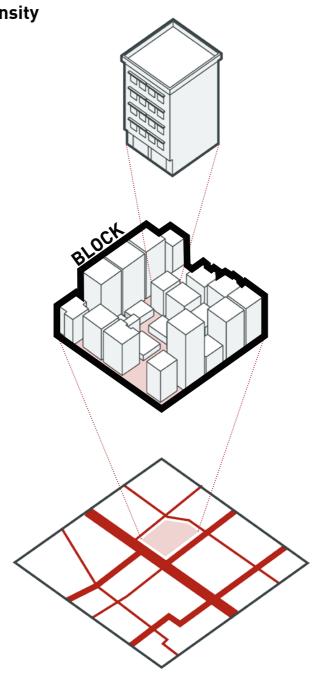
Initial type buildings are low-density in general, and some buildings are built a long time ago with poor quality, so small-scale demolition can take place. The buildings with historical value should be preserved with no doubt while other low-density buildings that are at risk can be demolished to release some space for public use and new construction.

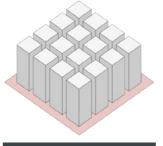
After the regeneration, the potential safety hazard can be eliminated while both open space and the density of the block can be increased.

Mix type

The mix type buildings have the most potential for spontaneous regeneration. Villagers can cooperate by turning their properties into shareholding and investing as a group. Villagers who hold vacant land or low-density housing free their land, and the density can be added to the surrounding building owned by their partners, which can be seen as the shareholding they invest. They can manage their properties as a group and distribute the profit according to the shareholding.

In this way, some open spaces can be liberated from the dense block, while the density will not decrease a lot.

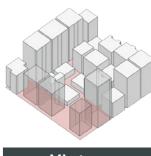




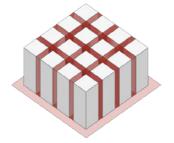




Initial type



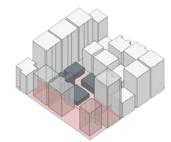
Mix type



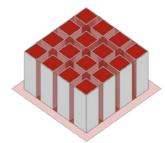
add aisle



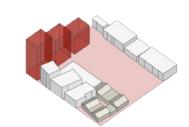
evaluate the buidling



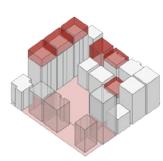
regenerate as a group; demolish at-risk buildings



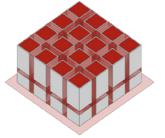
make use of rooftop



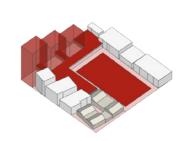
preserve historical building; reconstruct at-risk building



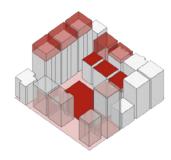
add density to the surrounding



reorganize indoor space for public use



release open space



release open space

Figure 5.3.12: Diagrams for block regeneration principles (Made by author)

Design principles-building

For a single building, the potentials for regeneration are adding extra construction, making use of the rooftop, and reorganizing the indoor space.

Add extra construction

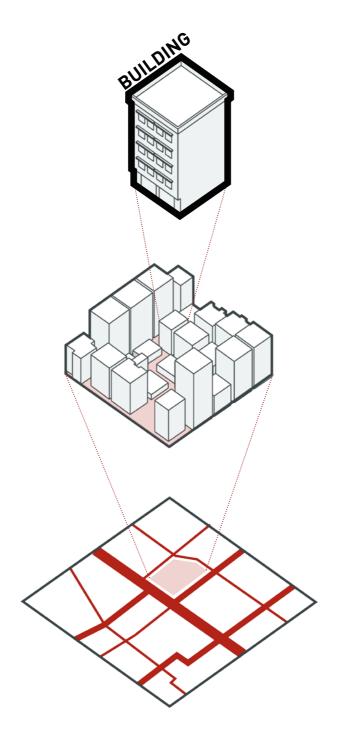
Extra floors, balconies and aisles, and infrastructure like the elevator, can be added according to the condition of every single building so that the accessibility inside the building can be improved.

Make use of rooftop

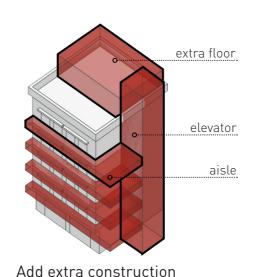
Rooftop can be regenerated as open spaces for residents' demands like cloth hanging and planting. Some social activities like barbecues can also happen on the rooftop.

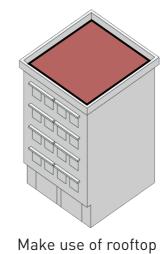
Reorganize indoor space

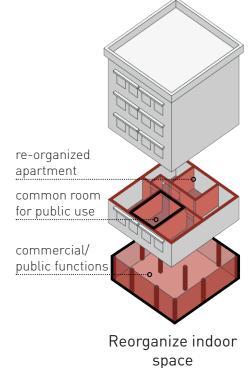
Some of the functions in each household can be extracted and placed into the common space. For example, many young graduates do not need an extra kitchen or a big living room. These functions can be extracted and put into a common living room. The ground floor of the building, where the sunlight is not sufficient, can be regenerated as commercial areas for convenience stores and laundry to provide services to the residents. After the regeneration, there will be more mix-use functions inside the building.



Building: insert public functions while maintain density







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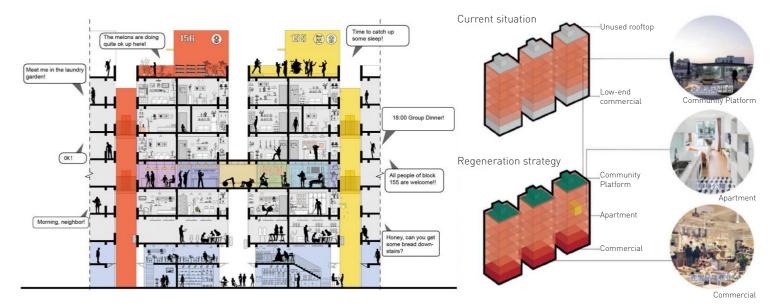


Figure 5.3.14: Section of regenerated Shuiwei village Source: https://www.gooood.cn/lm-youth-community-china-by-doffice.htm

Figure 5.3.15: Regeneration strategy for urban village Source: Village-City Symbiosis: Research on Urban Village Renovation in Shenzhen, Yang et al., 2020

Case of Shuiwei village: insert aisles and elevators to increase accessibility; create indoor public spaces by rearrangement; transform the rooftop into a community platform.

Phasing

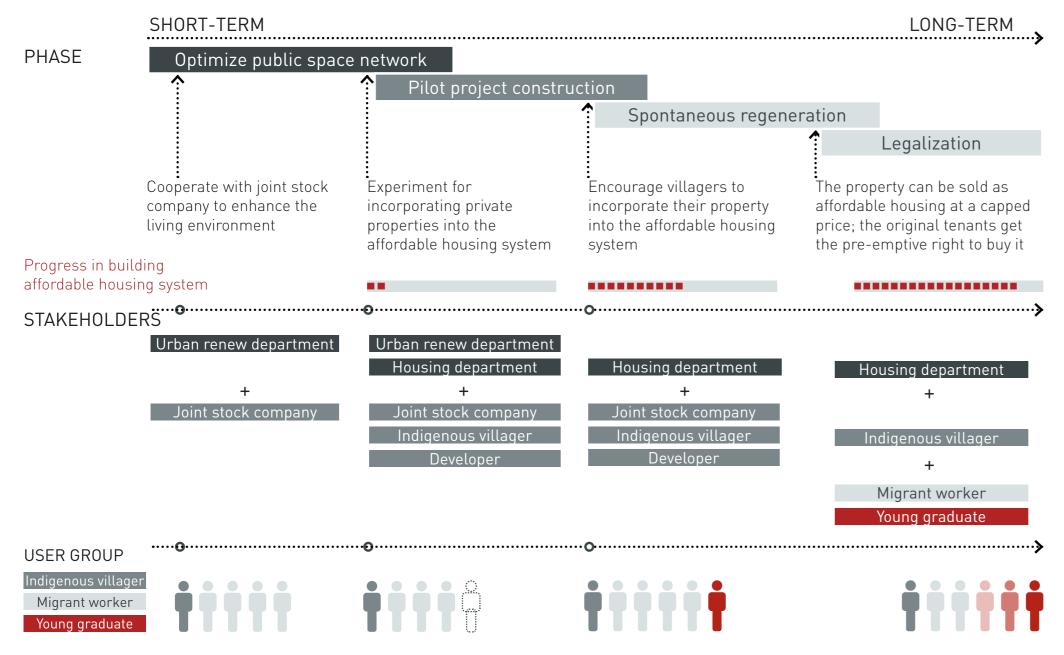


Figure 5.4.1: Phasing of regeneration strategy (Made by author)

User group of the village

Intensive resident displacement will be avoided in the process. During the construction, some residents may have to move, but most residents will not be affected. The regeneration will increase a small amount of densification to introduce young graduates to the urban village. In the future, with the spontaneous regeneration along with the industrial upgrading, more and more young graduates will move in, but there will always be some places for migrant workers and business owners who work in or nearby the village to stay.

Optimizing public space network and pilot project construction are the short-term strategies led by the public sector and the joint-stock company. The improvement of the public space network will be the first phase of the strategy. The public space of the urban village is collectively owned and managed by the joint-stock company, which makes it easier for the regeneration as the municipality can cooperate with the joint-stock company directly by providing funding.

The pilot project construction will be the second phase. It is not only the spatial exploration, but also the experiment for the operation of affordable rental housing. During this period some individual villagers will get involved to rent out their property for regeneration, so it may take a longer time to coordinate and balance the interests among different stakeholders. The housing department will become an important stakeholder in the public sector. The pilot project can be the experiment for including private properties into the affordable housing system. Therefore, at this stage, the public sector will still play a relatively leading role by providing funding and renting subsidies to encourage villagers to get on board and transform their private properties into affordable housing.

Spontaneous regeneration is the long-term strategy. Hopefully, the experiment of the pilot projects can generate an effective governance model and a series of interventions that can be used as toolkits. At this stage, the public sector will gradually withdraw from the process, and the leading force of regeneration will turn out to be the villagers, and some small developers may also take part in the cooperation. Encouraged by the policy, villagers will regenerate their properties according to the quality of the buildings and the changing demands.

Legalization is the last phase. After contributing as affordable rental housing for a certain period, the property owner can get more property rights. The housing can be maintained as affordable rental housing to get rental income for the villagers, or they can also choose to sell their properties at a capped price while the original tenants get the pre-emptive right to buy. The properties will become affordable housing that can be owned by eligible new citizens. In the future, the trading of these properties will need to meet the regulations of the affordable housing system, such as making a profit from the housing transaction will be forbidden.

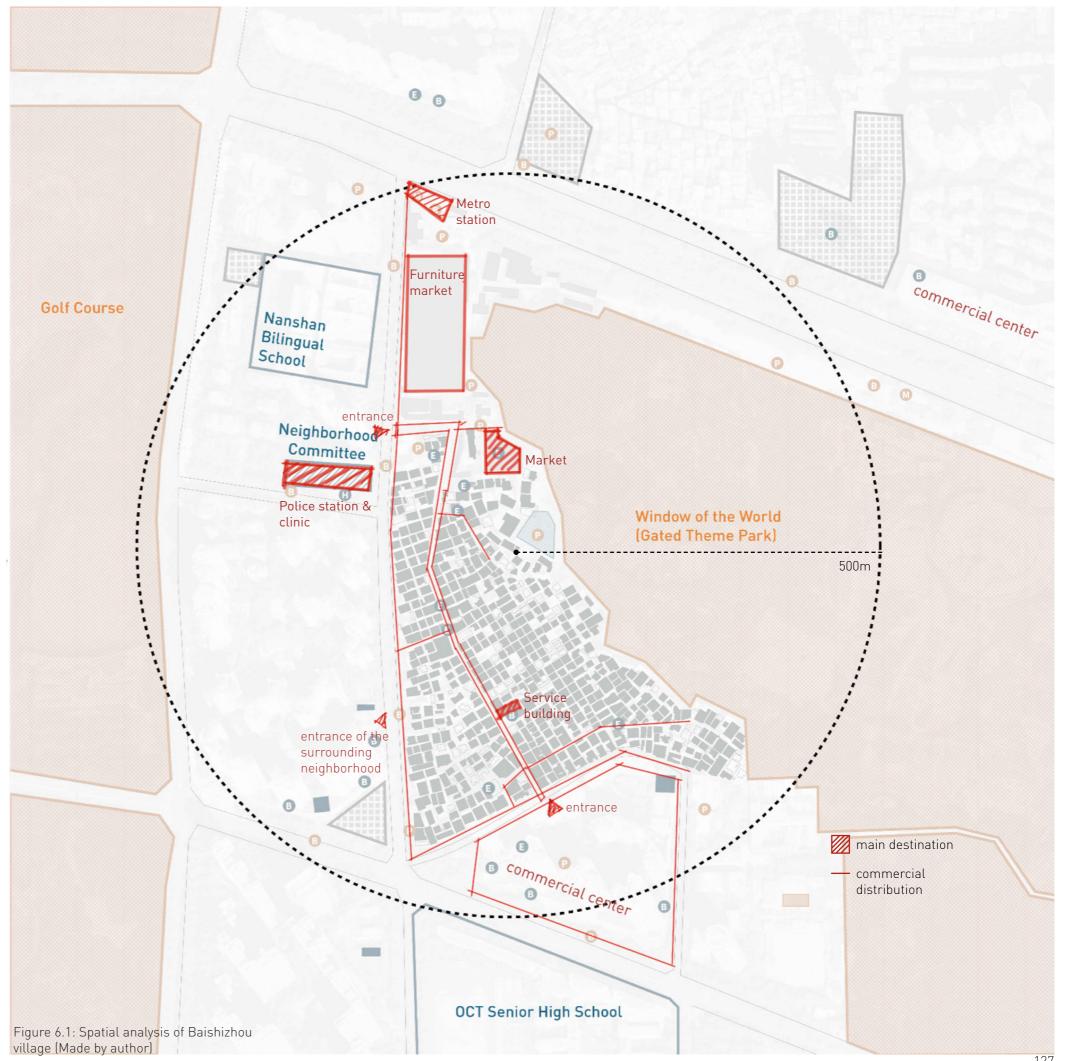
The phasing will be embedded in the process of building the affordable housing system. The improvement of the affordable housing system will bring more guidance for the construction and management of affordable rental housing, while the spontaneous regeneration and legalization of urban villages will become a source of housing supply for the affordable housing system.

06 <u>DESIGN PROPOSAL</u>

Case: Baishizhou village

In order to test the implementation of the strategy, Baishizhou village is chosen as a case for the design proposal. The first step is to analyze the existing network in the village: mapping the important destinations around and inside the village, as well as the main footpath connections.

Mapping shows that the west part of the village, which is close to the main city road, is more public and better connected to the urban area; the east part of the village, which is adjacent to the gated theme park, is less attractive with poor accessibility.



DESIGN PROPOSAL

Vision map

As Baishizhou village is located in the city center, providing affordable housing to tens of thousands of migrant workers and young graduates, a vision of the regenerated village is proposed:

After the regeneration, Baishizhou village will become an affordable living community that can be intergrated into the city with better accessibility and higher living quality.

The main strategy for the regeneration will be

- 1. Activate the public gateway to bring better connection to the main street of the village and the city network;
- 2. Insert living axis to connect several public spaces, so as to make the east part of the village as livable community;
- 3. Bring better connection among different public space to optimize the network;
- 4. Fill the leftover vacant lands and the gap between the gated theme park and village with green spaces, making them the sideyards and courtyards of the village;
- 5. Densify the post-industrial area to compensate the demolished areas.



Section

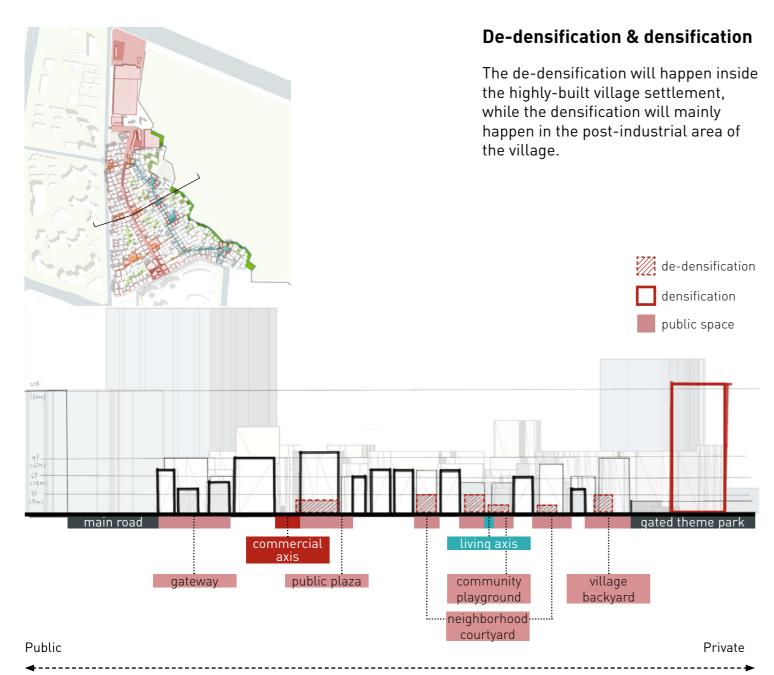


Figure 6.3: Section design (Made by author)

The west part of the village, which is close to the main city road, will remain more public with commercial activities, while the east part of the village, which is adjacent to the gated theme park, will be regenerated as a living community where the atmosphere will be more quiet and private.

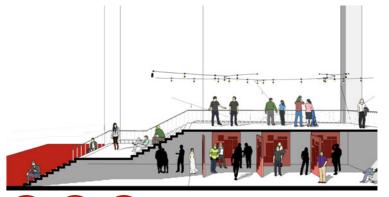


Program & atmosphere





Gateway: guide visitors to enter the village





Public plaza: provide freezone for people to gather; insert the exhibition area to strengthen the character of the village







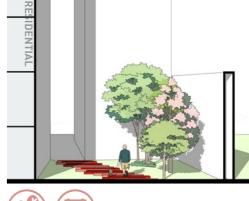


Community playground: provide public space for residents' social demands





Neighborhood courtyard: provide public space for residents' daily need





Village backyard: fill the gap between the gated theme park and village with green space Public

Semi-public (social need)



Private (daily need)

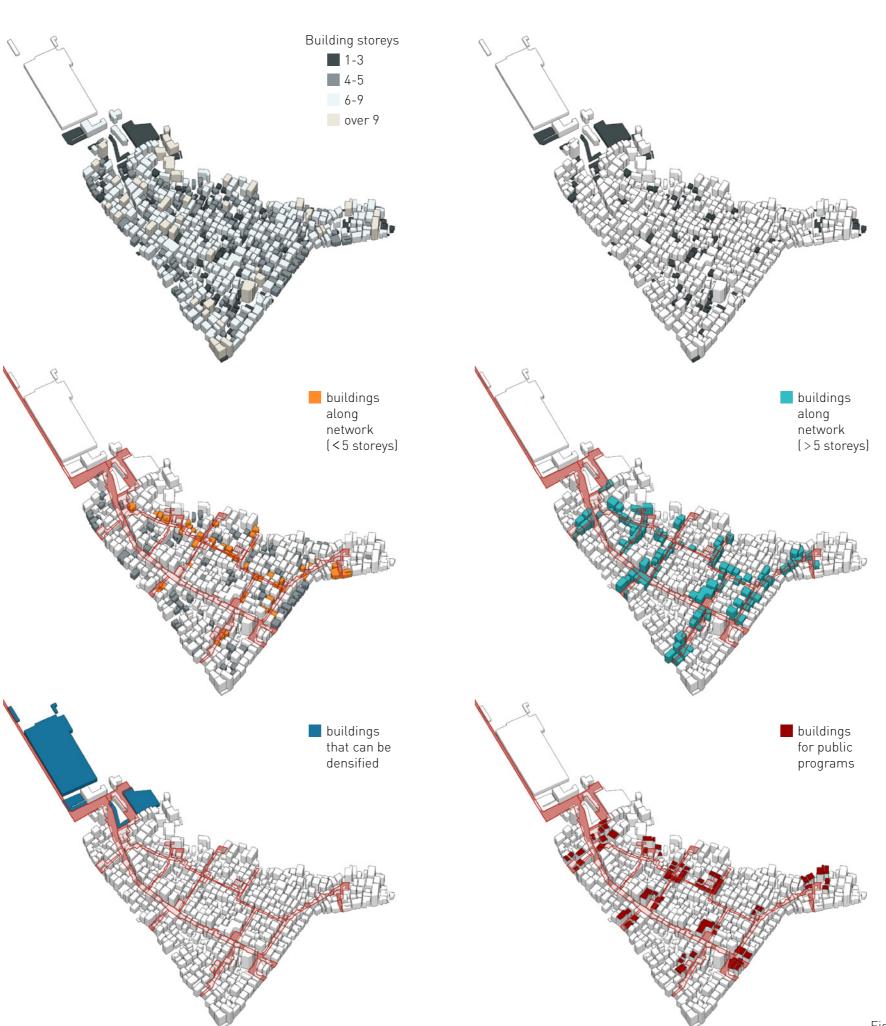
Building code

01 Building height

1-3 storeys: were mostly built before or during the early 1980s;

4-5 storeys: were mostly built during the late 1980s; did not exceed the regulatory floor area limit of 480m²;

6-9/ over 9: Newly built with better quality relatively; the areas that exceed 480 m² are considered illegal construction.

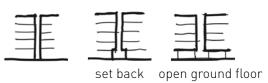


02 Demolition

Buildings below 3 storeys with poor quality or at risk will be demolished as a priority when necessary.

03 Set back/ open ground floor

When the street width is too narrow (<3m), the buildings along the network need to take a setback on the ground floor or have an open ground floor. -4-5 storeys: property owners can get compensation for the liberated area. -over 5 storeys: property owners will not get compensation; free space on the ground floor will be a pre-condition for the



legalization.

05 Insert public program

Insert public program to the ground floor of the buildings surrounding the main public spaces.

industrial area with low density as compensation for the demolished and liberated area.

Densify the collective-owned post-

04 Densification as compensation

Densification

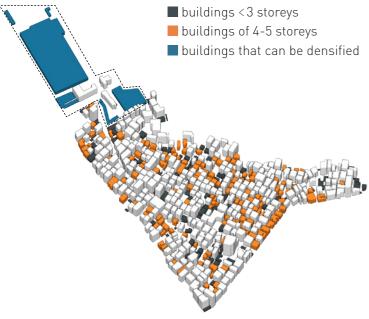


Figure 6.6: Building code applied (Made by author)

Demolished	floor	area (m²)	total area (m²)
	1	4722.87	22 23. 3. 3. 3. (111)
	2	2730.82	
	3		25342.12
Transformed (ground floor)	4	3886.09	
	5	10412.77	14298.86
Added volume (residential)			39640.98
Initial volumn			70133.41
commercial	1	1574.07	
	3	7270.11	
	4	52083.76	
residential	6	2336.58	
	7	4869.2	
	7	1999.69	
gross floor area			109774.39
plan area			36880.37
FAR			2.98
Total residential area		48846.45	45%
Other function		60927.94	55%

Figure 6.7: Calculation of demolition and densification (Made by author)

In order to compensate the demolished areas, densification is needed and the new housing typology may be applied.

The first step is to calculate the amount of densification. A hypothesis is made based on the zoning code:

it is assumed that

- -all buildings under 3 storeys will be demolished;
- -all the ground floor of the buildings of 4 to 5 storeys will be liberated for public functions

The same amount of these areas needs to be densified as compensation, while the same amount of original function needs to be kept for the joint-stock company to maintain the daily management service of the village.

From the calculation, the FAR of the postindustrial area after the densification will be around 3, and 45% of the area will be for the residential function, while the rest will be for commercial function.

Comparative case study

In order to explore the building form, a comparative case study of the existing social housing project in China is made. FAR around 3 is reasonable, which means it is possible to add the needed amount of densification. The building form can be a combination of the high-rise tower and mid-rise building block.







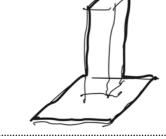
Longnan Garden Social Housing

Construction area:146,106 m² Plan area: 48,112 m² FAR: 2.2

2.2

Building form

FAR



3.2









Baiziwan Social Housing

Floor area: 303,351 m² Plan area: 93,900 m²

FAR: 3.2



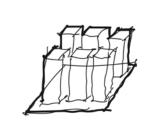




LM Youth Community

floor area: ≈25,200 m² plan area: ≈7,000 m²

FAR: 3.6



3.6



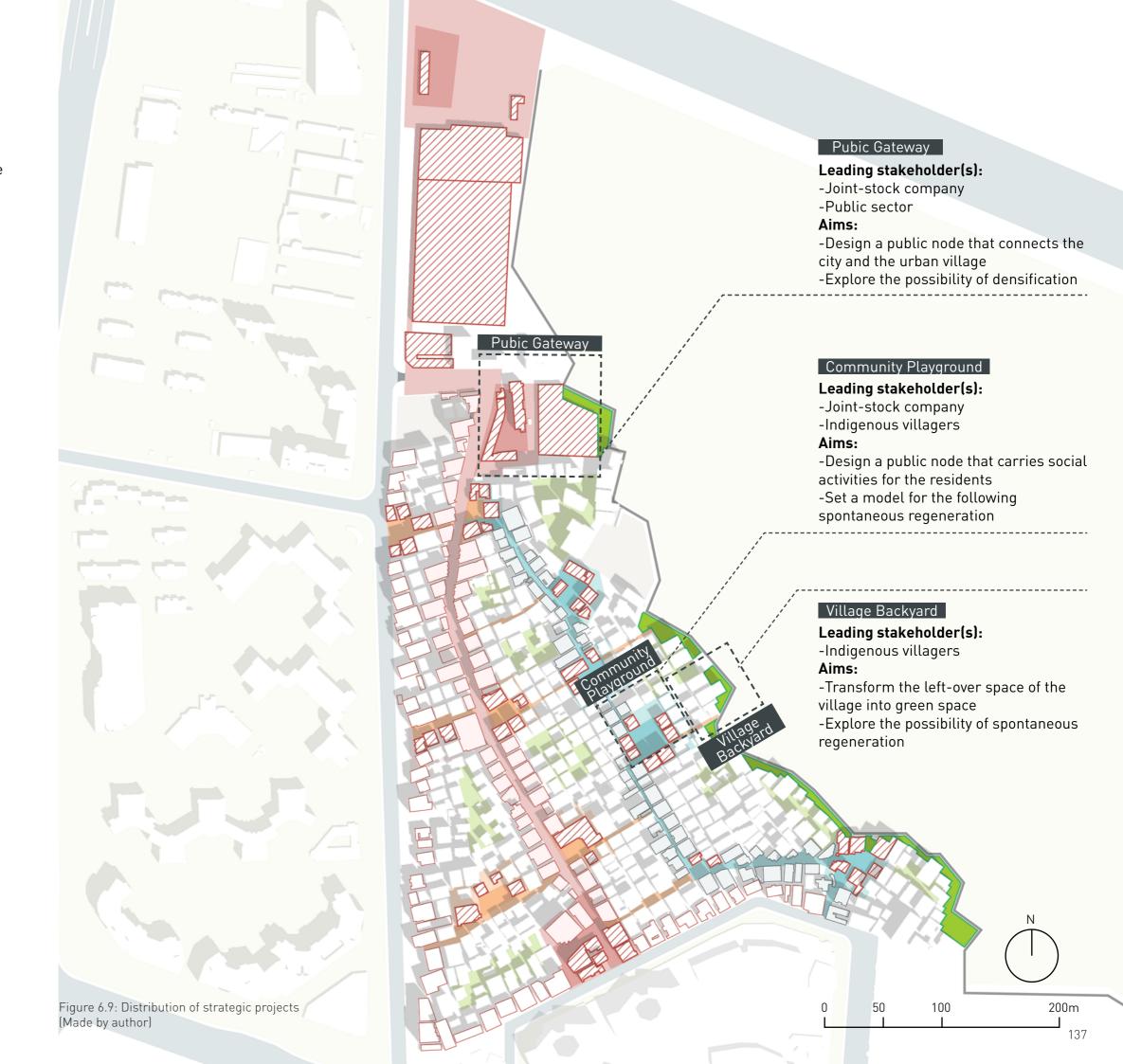
Figure 6.8: Building forms of different social housing projects (Made by author)

Source: https://www.goood.cn/

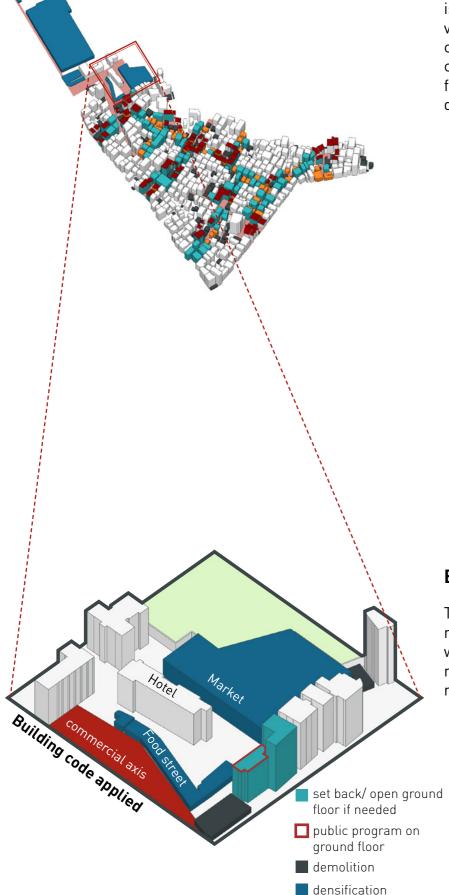
DESIGN PROPOSAL

Strategic projects

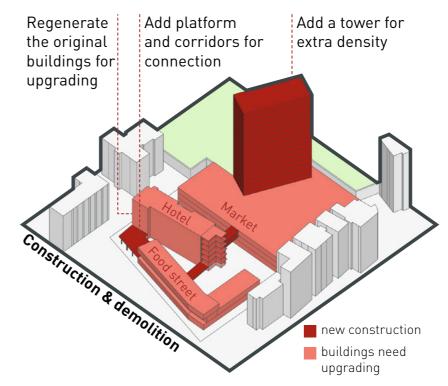
In order to explore the implementation detailly, three strategic projects are chosen for design on block scale. They will be led by different stakeholders and provide public spaces with different functions and atmosphere after regeneration.



Strategic projects: Public Gateway



The first one is the public gateway, which is located at the main entrance of the village, along the commercial axis. It is a collectively owned post-industrial area, consisting of a market, a hotel, and a food street. Apart from the regeneration, densification will also happen here.



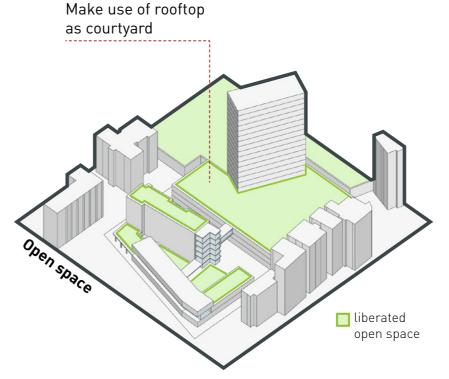
Construction and demolition

A tower will be added for densification and some aisles and platform will be constructed to connect the buildings and lead the users toward the public spaces on the rooftop of the market.

The original buildings will also be regenerated. The hotel will be rearranged as youth apartment and the food street will be densified to carry more commercial activities.

Building code applied

The existing function of the buildings will mostly be kept and upgraded. The market will be regenerated and densified as a mix-use complex for both commercial and residential functions.



Open space

The rooftop of the market will be regenerated as an open space, which can not only be used by the residents of the block but also other people in the village. The rooftop can provide a relatively large open space for different functions, which is rare in the dense urban village.

Figure 6.10: Regeneration approach of public gateway (Made by author)

Strategic projects: Public Gateway

This project will mainly be led by the joint-stock company and the public sector because the properties are collectively owned and it is located in a public node. The functions and the building forms of the project will be similar to the urban areas as it is the connector between the city and the village.

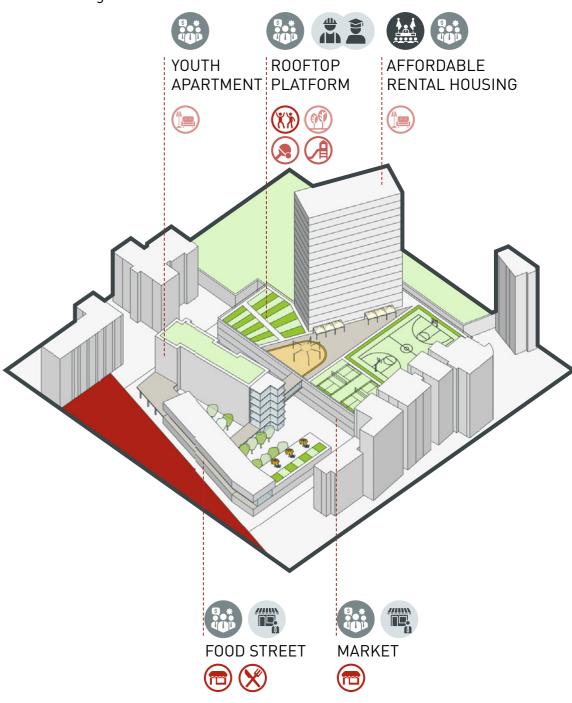


Figure 6.12: Visualisation of public gateway (Made by author)

Daily

Involved stakeholders















Public

Functions



Social







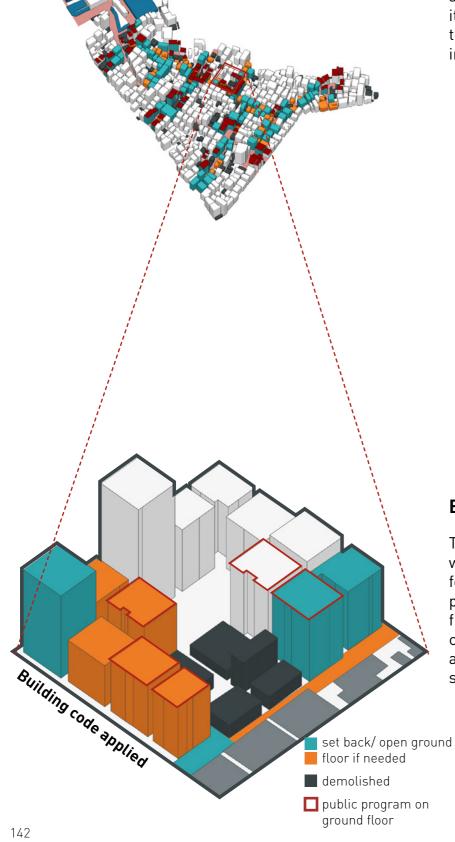


x restaurant freezone

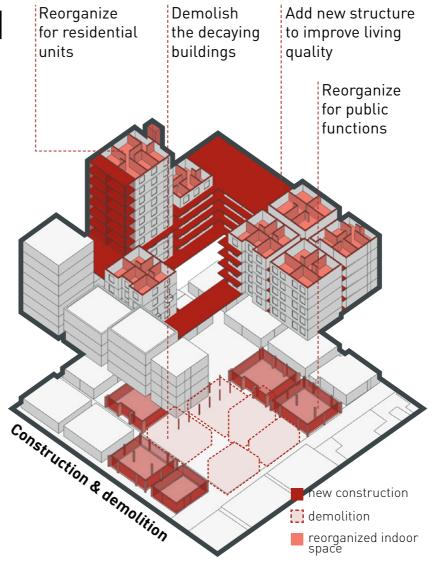
playground

(ential)

Strategic projects: Community Playground



The second one is the community playground, which is located along the living axis. The original buildings here are privately owned. Located in this strategic area with a relatively large vacant land, it can be regenerated as a pilot project to explore the possibilities of different interventions.



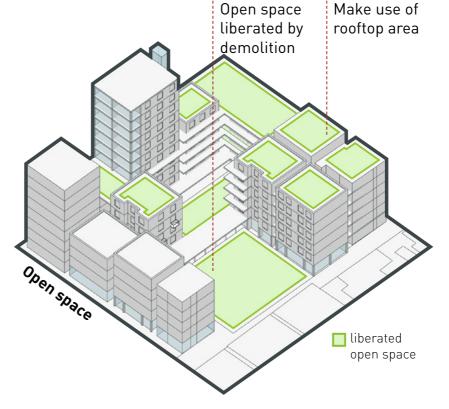
Construction and demolition

The low-density buildings will be demolished to liberate open space while some aisles, balconies, and elevators will be added to bring connections among each building, making them as a whole and increasing accessibility.

The ground floor of the building will be rearranged for public programs as well as the upper floor will be reorganized as residential apartments.

Building code applied

There is a group of low-density buildings which has the potential to be demolished for liberating open spaces. Public programs can be inserted on the ground floor of the surrounding buildings to cooperate with the open space and create a community playground for different social activities.



Open space

On the ground floor, a courtyard will be created, and combined with the surrounding public function, it will become a community playground for the whole village. The rooftop will also be regenerated as public spaces for the residents of the building.

Figure 6.13: Regeneration approach of community playground (Made by author)

Strategic projects: Community Playground



This project will mainly be led by the joint-stock company and the villagers. The public sector will also take part as this can be seen as a pilot project. After the regeneration, this area will be a place for residents' daily recreation and social activities.



Involved stakeholders

villager joint-stock

Figure 6.15: Visualisation of community playground (Made by author)

Functions

retail retail

freezone

Public

Daily

reading room green space

Social

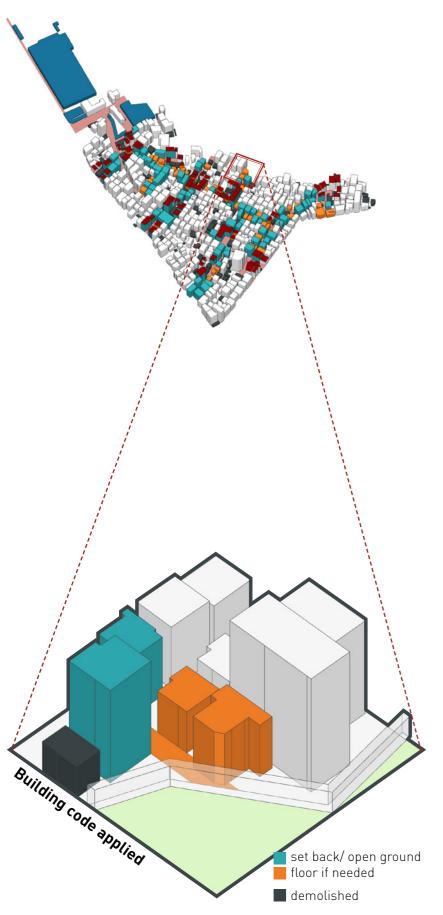
sport field

hanging clothes

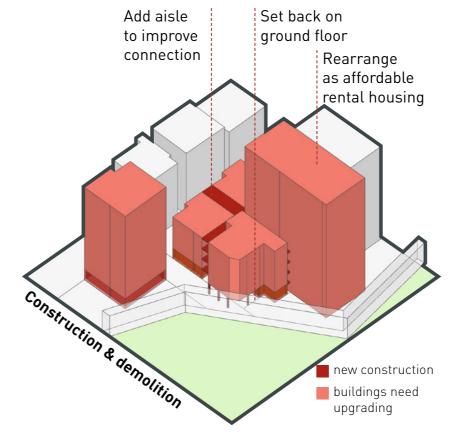
card & chess (==) resting space



Strategic projects: Village Backyard



The third one is the village backyard, which is located in between the gated theme park and the urban village. These areas can be seen as the dead-end of the street now, but after the regeneration, they can become the backyard for the surrounding buildings.

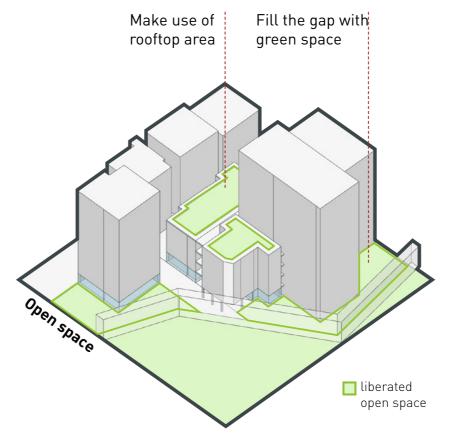


Construction and demolition

There will not be much construction and demolition. Most of the buildings will be regenerated as affordable rental housing by reorganizing indoor spaces. The ground floor will be rearranged for public functions like convenience stores and laundry room. Some aisles will be added to improve the accessibility among different buildings.

Building code applied

There will not be many public programs inserted here as the atmosphere of the public spaces in this area is relatively quiet. The building that blocks the street should have a setback on the ground floor so that the backyard is accessible.



Open space

The gap between the gated theme park and the buildings will be regenerated as green space for the residents living in the surrounding, and it is also accessible for other people in the village. The rooftop will also be regenerated as open spaces for residents' daily demands.

Figure 6.16: Regeneration approach of village backyard (Made by author)

Strategic projects: Village Backyard

This project will be mainly led by the villagers themselves. It is an exploration of how spontaneous regeneration can happen. Compared to the other two projects it is less public, and the functions of the public space focus more on the residents who live in the surrounding buildings.

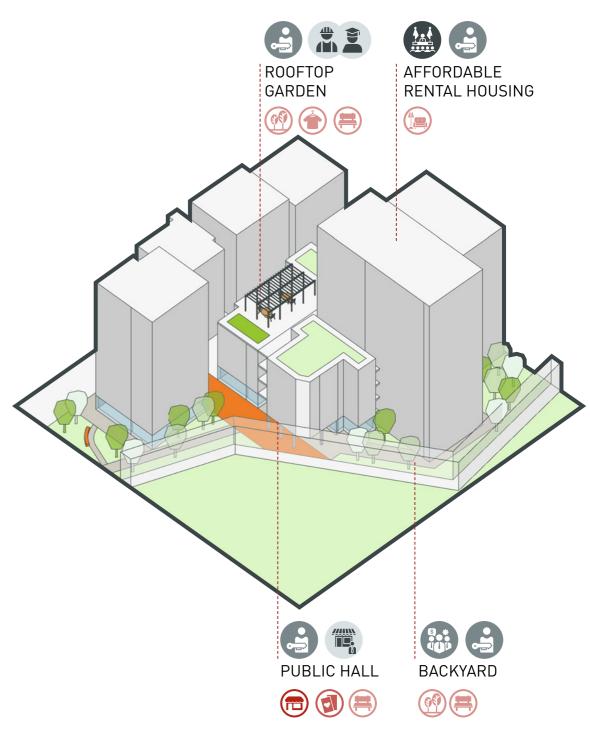


Figure 6.18: Visualisation of village backyard (Made by author)

Involved stakeholders











Functions







Daily



Social



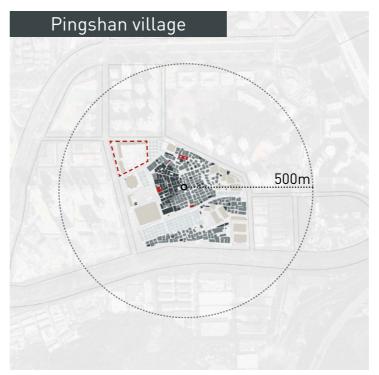
Conclusion

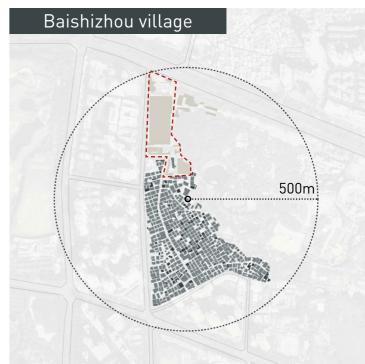
From the above design exploration, it is possible to draw several conclusions: 1. The highly-built village settlement needs de-densification to improve the spatial quality. In order to compensate for the demolished property, extra land apart from the original village settlement will be needed;

- 2. The collective-owned industrial/commercial area has potential for densification, while the amount of the original function should be kept to maintain the management of the joint-stock company;
- 3. In some villages where the post-industrial area has already been developed or transformed into urban function, the government should help the village to get another piece of land as a replacement. As urban villages have the potential to provide a considerable amount of affordable housing after the regeneration, which can relieve the pressure on the government to build new affordable housing, it is the government's duty to compensate the villagers who contribute their properties.
- 4. The new development for replacement can be combined with the construction of the city sub-centers, where the opportunity accessibility will be improved in the upcoming future, to make the quality equal to or better than the regenerated urban village.

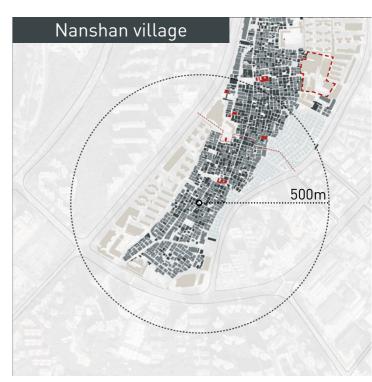


Figure 6.19: Planning of spatial structure and center system of Shenzhen Source: Territorial Spatial Master Planning of Shenzhen from 2020 to 2035, Planning and Naturural Resources Bureau of Shenzhen Municipality, 2021





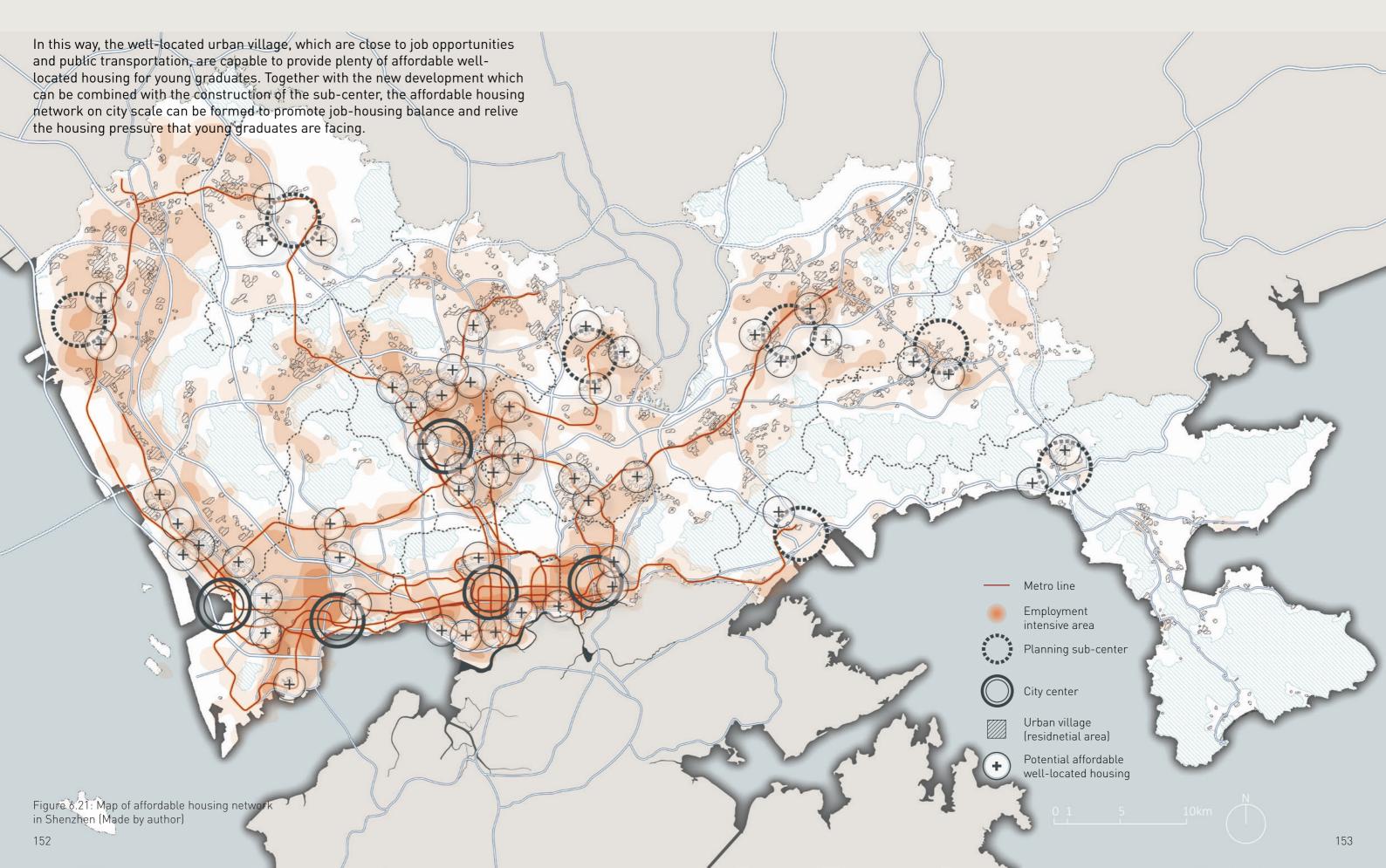




Existing post-industrial area

Figure 6.20: Distribution of post-industrial areas in 4 villages (Made by author)

Affordable housing network



08 <u>DISCUSSION</u>

Reflection

I was born and raised in the Pearl River delta. Our generation witnessed how the elder generation seized the opportunity of reform and opening up and accumulated their wealth in the past two decades. However, when we step into the society, we find that it is impossible for us to achieve their success with our own effort, as they have taken most of the resources and become the privilege in the city. This is true for local young people, not to mention the migrant ones. Therefore, in the thesis, I try to take the housing problem as the main subject to explore how urban planning and design can respond to the inequitable distribution of social resources.

Methodology

The methodology I used can be concluded into 3 steps: 1. Envision a preferable future; 2. Evaluate the current situation; 3. Use planning and design to mend the gap between the current situation and the preferable future.

Research guides the design part of my thesis. In order to envision a preferable future, I did some research including theoretical analysis and demands analysis to understand what young graduates need, and extracted the space-related indicators to evaluate the current situation. After the evaluation, I understood what should be transformed to meet the new demands and what can be kept, which became the base for the following planning and design.

Limitation of data collection

The methodology was quite straightforward, but the data collection for the spatial evaluation was a huge challenge. Due to the covid, I was not able to do the field trip. I collected most of the data from the street view and the POI information from the Baidu map, which may be not sufficiently accurate. Thanks to some of my friends who did similar research before and who work in the local planning institute, I have the opportunity to access some noun-public data, but this also decreases the applicability of my approach.

Moreover, as I did not do a field trip, the data for demand analysis are mostly from the rental market reports of some online platforms for housing services. The research groups are mainly the users of these platforms, which means that the result may have some bias. Local villagers' and tenants' real attitude toward the regeneration is not clear to me. Therefore, I feel that I am proposing a possibility, which may be not sufficiently practical.

Societal relevance

My motivation for the graduation project is the housing difficulties that young graduates are facing in metropolitan areas. Before P1 I was wondering, as the accommodating capacity of the city is limited, whether the high property price is a means of selection so that the uncompetitive people leave to make room for the new wave of young graduates. But the prosperity of urbanization should not be shared by only some privileged people; others should at least have the opportunity to choose rather than being forced to leave by the sky-high property price. In that case, the urban village plays a role as the buffer to accommodate the migrants.

The spontaneous regeneration of the urban village will not only benefit the migrants and young graduates, but also the indigenous villagers, whose long-term livelihood will be affected. Under some existing redevelopment models, villagers may get rich in a short time due to the huge compensation, but their long-term livelihood may be affected negatively, as they rely too much on the rental income but do not have the skill to make a living. Instead of expropriating their properties, the spontaneous regeneration will be a chance to legalize their housing, regulate the rental market, and avoids the leap of the gap between rich and poor caused by overnight wealth from compensation.

Moreover, the legalization process will further promote the unfinished urbanization, helping the urban villages to be integrated better into the urban environment and planning system. After the regeneration and legalization, the informal village settlements will become a considerable amount of well-located affordable housing that can be added to the housing security system. Therefore, the government should play an active role because the regeneration is not only the affairs of the village but also the responsibility of the public sector.

Scientific relevance

The thesis contributes to the concept of affordable housing in the context of intensive urbanization. Different from most of the discussion of affordable housing which focuses on poverty, the target group of my study is the young graduates, who are not actually poor, but still suffer from the inequitable distribution of social resources. Therefore, in my thesis, affordable housing for young graduates should be well-located with satisfying spatial quality.

I also try to explore the implementation of urban village regeneration and find that the low-density post-industrial area has the potential for densification to compensate for the demolished areas in the dense village settlement. In some existing regeneration projects, the post-industrial areas were redeveloped separately in priority, making the regeneration of the settlement more tricky. Therefore, the implementation of regeneration should not be divided into separated areas but should be considered as a whole.

Transferability and applicability

The governance model for spontaneous regeneration and the mechanism for legalization can be applied to other urban villages, even other cities. They will contribute to the further promotion of urbanization, as well as transform the informal settlements into a source of affordable housing.

But there are preconditions for the applicability. Without the construction and improvement of the housing security system, it is impossible to

achieve the goal with only planning and design. The public sector needs to realize that the regeneration of urban villages is not only a matter for the village collective, because it is the government that indulged in the initial development of the urban village and benefited from the labor force settled in the informal construction. It is also their responsibility to make a contribution to the regeneration.

Relationship with studio topic

The reason I chose the studio Planning Complex Cities was that I think the housing problem cannot be solved by the urban design itself, but needs the cooperation of policy and governance. During the past year, I have learned a lot from the methods and theories introduced in the studio lectures, which guides me to analyze the stakeholders and the governance model. I applied different planning tools to design a legalization mechanism, which leads to the following spatial strategy, and the design aims to test the implementation of the strategy. The outcome of my thesis is a package combining mechanism design, governance model design, regeneration strategy, and urban design, which responds to the studio topic: planning for the 'complex' system of the city.

Reflection

Ethical issues and dilemmas

I propose a new governance model in the thesis in order to balance the conflicting public and private interests. However, compared to the huge compensation that villagers may get from the past redevelopment model, the incentives proposed in my thesis may be less attractive for them, which may be a dilemma for the potential applications. The huge compensation brought by the mass demolition intensified the social injustice and the privilege of the indigenous villagers. It may take some time for the villagers, who believe they can make great profits from the regeneration, to accept the new model. The improvement of the housing security system and some pilot projects construction may help the villagers change their mindset.

In the thesis, I also try to explore a model for the bottom-up strategy which can benefit the civil society, but I doubt whether the civil society can be an active stakeholder in the process. The absence of the civil society may be seen as a problem for the governance, but do they actually have the interest and power to take part? The political system and the operation of the village show that the indigenous villagers have the most power, and as most of the tenants think of the village as temporary accommodation, they may not have much interest to participate. Instead of emphasizing civil engagement, I think it is more effective to arouse the awareness of the public sector to pay more attention to the civil groups, so as to protect their rights and ensure that their voice can be heard. In long term, when the civil society finds that it is possible for them to settle down in the village and be integrated into city life, they may gradually become more willing to participate in the civil engagement.

Moreover, in research by Fingleton (2008), adding affordable housing in the opportunity-rich area may not increase affordability, but on the contrary, may even make it worse as the demands for housing will also increase. The municipality stated in the Territorial Spatial

Master Planning of Shenzhen that several new sub-centers will be built to alleviate the housing pressure in city centers, and the employment opportunities will be spread, so as to achieve a job-housing balance in the city (Planning and Natural Resources Bureau of Shenzhen Municipal People's Government, 2021). It is to say that there is not only one answer to the housing problems that young people are facing, and I am not looking for the best solution, but just want to explore one of the possibilities to respond to the problems.

09 <u>APPENDIX</u>

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