# Appendix

- A.1 Approach for data collection and analysis across different studies
- A.2 Participant selection
- A.3 Ethics and anonymity of participants
- A.4 Interview guides and materials used
- A.5 Approved project brief



## A.1 Approach for data collection and analysis across different studies

A.1.1 Data collection and analysis of observations
During the observations and conversations held with
participants, the researcher has made notes. These
notes were used for data analysis. In the SURFdrive,
the collected data is stored in the folder named initial
observations. The data consists of notes taken during
and right after the shadow shifts and unstructured
conversations with the different participants.
The data was analyzed using the analysis on the wall
method, which is especially useful for collecting
insights from research results with different forms and
that are not structured [53]. By formulating the insights
onto post-its and clustering these insights into themes.
This was done in the online FigJam tool.

A.1.2 Data collection and analysis of interview transcripts

The interview transcripts can be found in the SURFdrive, in the folder called Research Transcripts - In-depth Interviews. The interviews were held in Dutch; therefore, the transcripts are also in Dutch.

For the semi-structured interviews, two different interview guides were used, and the interviews were conducted by one researcher. The interviews are audio-recorded and transcribed. Furthermore, the researcher collects some personal information, including the expertise level and job title.

All the data collected during the context research was analyzed using reflexive thematic analysis [10].

Analysis was conducted by the main researcher according to the following workflow based on the work of Braun and Clarke [11]:

- Eliminate errors in the auto-transcription
- · Read the full transcription (familiarization)
- Start coding across entire dataset by grouping quotes in codes and code groups
- · Search for themes and sub-themes
- · Review themes and sub-themes
- Define and name themes
- · Write and finalize analysis

Credibility was optimized by applying triangulation. The data and coding process will be supervised by two additional researchers.

## **A.2 Participant selection**

For the selection of participants, we have used purposeful sampling, more specifically key informants sampling [48]. The key informants are employees of RSG with responsibilities regarding the management of the arrival passenger flow at Schiphol Airport.Besides the data mentioned above, we have collected data regarding the seniority (i.e., experience years) of the interviewees, but this was not a criterion for recruitment. Note that the main researcher has direct access to the company as well as its intranet and documentation. The researcher came by the workplace of the key informants at a moment approved by the manager and asked potential participants in person after explaining the purpose and practicalities of the study (i.e., purposeful sampling).

The voluntary and anonymous nature of participation was highlighted to the participants. And to protect anonymity, the possibility to backtrace quotes to specific participants was minimized by only showing the function title and experience level of participants in the final report.

For the recruitment of the FLM, a similar approach was followed. For the Marechaussee, recruitment was more challenging. And the desired participants, the OKP employees, were not interviewed as there was no permission given for this. To account for this perspective, another employee of the Marechaussee was interviewed, who currently is not working in this function anymore, but previously has performed this role.

## A.3 Ethics and anonymity of participants

This study was approved by the TU Delft Human Research Ethics Committee (reference number 4838). None of the authors had any hierarchical relation with the participants before the study. All participants were given an informed consent form, which was presented by the first author and signed before the interview. Participants were informed that participation was voluntary and withdrawal could be made at any point. Opinions expressed during the interview were confidential and anonymised, allowing participants to speak freely.

## A.4 Interview guides and materials used

## A.4.1 Interview guide PC PAX

- (1) Introduction of the research and researchers
- (2) Inform the participant about anonymity, and make sure to sign consent form.
- (3) Icebreaker question: how areyou doing?
- (4) Can you explain about your function and your role in PAX flow management in the arrival process?
- (5) Please think about a recent situation where you had to apply flow balancing? Can you elaborate on this situation, starting from the moment you noticed a problem might occur towards the problem was completely resolved. The participant is asked to write this information down on post-its and stick those on the printed template, which can be seen in the Figure below. During the description of the process, the researcher will ask questions to guide the participant through the process. And once the participant is finished, some of the following questions will be asked to probe for richer information. Follow-up questions:
- (a) What triggered you to start collecting more information?
- (b) What information did you receive and include? Where did you retrieve the information from?
- (c) How did you notice that an action might be needed?

- (a) Which stakeholders are involved in this process? Which information do you exchange with these stakeholders and through whichchannels?
- (b) How is your relationship with these stakeholders?
- (c) What were your specific goals in this situation?
- (d) How did you determine the groups to flow balance and the destination?
- (e) What problems did you encounter during the process?
- (2) Do you have any final remarks or other comments that you think could be relevant?



## A.4.2 Interview Guide for kMar and FLM

- (1) Introduction of the research and researcher
- (2) Icebreaker question: How are you doing?
- (3) Inform the participant about anonymity, and make sure to sign consent form.
- (4) Can you explain about your function and your role in PAX flow management in the arrival process?
- (5) Wat is voor jullie belangrijk in de communicatie met de andere actoren (PC PAX and kMar or FLM)? Possible follow-up questions:
- (a) Op welke manier wordt er gecommuniceerd?
- (b) Welke informatie willen jullie ontvangen?
- (c) Welke informatie willen jullie geven?
- (d) Hoe zou de communicatie verbeterd kunnen worden?
- (6) Zou je een concreet voorbeeld kunnen geven van een situatie waarin jullie de samenwerking slecht vonden? En wat, in jouw beleving, zorgdeer toen voor dat de samenwerking slechtwas?
- (7) Zou je een concreet voorbeeld kunnen geven van een situatie waarin jullie de samenwerking juist heel goed vonden? En wat, in jouw belevingzorgde er toen voor dat de samenwerking goed was?
- (8) Wat is het belangrijkste voor jullie bij het aankomstproces van passagiers? Waar moet een dag aan voldoen om het succesvol te laten zijn?
- (9) Do you have any final remarks or other comments that you think could be relevant?

## A.4.3 Workshop with designers

The purpose of this study is to formulate guidelines to improve the likelihood of adoption of a DSS in the multi-stakeholder system. To formulate these guidelines, we first aim to design interactions that could address the adoption barriers and subsequently formulate the guidelines.

From two different sources, input was gathered for the ideation of scenarios, first from literature. Several papers where similar adoption barriers are discussed have already proposed solutions for overcoming these barriers, from which inspiration was taken. This is explained later in this section.

And the second source was a workshop that was organized with system developers. This workshop was organized with two aims:

- 1. Validation and iteration on the potential adoption barriers in the context
- Receiving input from the DSS developers for potential solution directions for the adoption barriers

The feedback received and discussion that took place during the workshop has resulted in an iteration on the adoption barriers. The barriers, as presented in the previous section, are the final version. An additional goal of this feedback and iteration moment was to make sure the design and development team of Wilbur and ADM are taken along in the process, which should result in more adherence to the project and its outcomes. This adherence is important to the researchers, as this should increase the chances of the organizations actually gaining benefits from this research.

Second aim of the workshop was to gain inspiration and ideas for addressing the identified potential adoption barriers. The system developers were chosen to participate in this workshop as they are fully embedded in the context, which was found to be valuable next to the solutions from the literature.

The research questions we aimed to answer in this workshop are the following:

- 1. Do the participants recognize the phrased adoption barriers in the context?
- 2. What improvements can be made to the adoption barriers based on the experience of the participants?
- 3. What would be ideas for user-DSS interactions and the stakeholder interactions to account for the potential adoption barriers?

Four system developers are recruited for this workshop. This is a difficult-to-access group, as the group is small and has little time available. Therefore, only one workshop session was organized. The participants are listed in Table 3.

This group has been chosen because of their knowledge about ADM and the different stakeholders. As the context is complex and specific, we have chosen to involve the system experts in this workshop as they have the knowledge about the limitations and boundaries of the system.

In the figure below the different steps taken during the workshop are visualized as well as the composition of participants during the different steps as some steps were executed individually and some in groups.

During the workshop, participants were presented with the three potential adoption barriers with explanations; this was shown on slides presented by the researcher. These slides are added in on the next page.

For the brainwriting activity, post-its and pens were handed out. And for the activity of formulating design directions, a template was printed out that the participants could fill in. This template is also added in on the next page.

For the data collection, the researcher made notes during the session of the group discussion.

Furthermore, the post-its and how they were clustered were photographed, and the filled-in templates were collected as data. In the SURFdrive, the collected data is stored in the folder named initial observations.

The data was analyzed using the analysis on the wall method. By formulating the insights onto post-its and clustering these insights into themes.

A.4.4 Interview guide for validation sessions with key users of the DSS

The study will employ semi-structured interviews with DSS designers. First the goal and purpose of the guidelines is explain, before participants will be presented with the guidelines and asked:

How would you use these guidelines in your current work or project? Do you maybe think of changes that you would make?

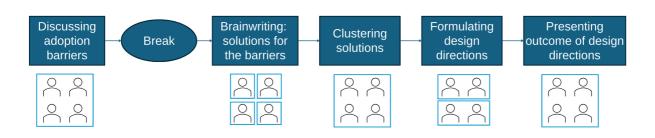
How do you envision these guidelines assisting you? When do you envision yourself using or referring to these guidelines?

And what format would be appropriate for these quidelines?

What improvements do you think could be made to these guidelines to enhance their usability?

The design of this study is based on the validation method used by Cila et al. [16]; also, inspiration was taken from Uga [70] to make participants think about how they would apply the guidelines in practice. All participants have experience in designing or being involved in the design process of DSS. Therefore they are familiar with the context and to some extend the content of the guidelines and extensive sensitizing was found to be unnecessary. Merely the purpose and aim of the guidelines was explained to participants.

Characteristics	Distribution
Gender Occupation	2 Male, 2 Female 2 Designers, 2 other roles within
	design team
Experience	1 junior (0 - 5 years), 1 medior (5 - 10
level	years, 2 seniors (10+ years)



## Different goals for flow balancing





- OKP wil de paspoortcontroles zorgvuldig uitvoeren om de veiligheid te
- PC PAX daarentegen wil dat passagiers zo snel mogelijk door de filters
- · Vanwege hun verantwoordelijkheid voor de kwaliteit van de controles wil OKP invloed hebben op de beslissingen rondom flowbalancing. Op dit moment wordt deze invloed niet door alle PC PAX volledig geaccepteerd, wat spanningen veroorzaakt tussen beide partijen.

O10: "Marechaussee wants to have insight and a voice in flow balance actions taken, because it matters to them from which filter is forwarded to which filter."

- · ADM heeft als hoofddoel het verbeteren van de doorstroom van passagiers, wat in lijn is met het doel van PC PAX.
- rdoor kan ADM de positie van PC PAX versterken, omdat zij met behulp van objectieve data hun keuzes voor flowbalancing beter kunnen onderbouw
- Echter, ADM houdt geen rekening met de veiligheidsdoelstellingen en operationele

- Adoptiebarriere
  De introductie van ADM kan leiden tot een verdere verslechtering van de (informele) relatie tussen PC PAX en OKP. Waardoor ook het delen van informatie over de bezetting van de kMar kan verminderen, aangezien dit nu gebeurd op basis van
- En dit kan ertoe leiden dat OKP de aanbevelingen van ADM als een bedreiging ziet voor hun veiligheidsprioriteiten en zich in hun autonomie aangetast voele

### Waarom is dit een probleem?

Een goede samenwerking tussen PC PAX en OKP is cruciaal, aangezien OKP direct invloed heeft op zowel de doorstroom als de veiligheid van passagiers. Wanneer de relatie tussen beide partijen verstoord is, kan dit leiden tot inefficiënte processen en een verminderde effectiviteit van zowel de doorstroom als de veiligheidscontroles.

## Using subjective information



· PC PAX gebruikt nu zowel objectieve informatie (uit Wilbur) als subjectieve informatie (van camerabeelden, OKP en FLM) ove bijvoorbeeld toekomstige bezetting van kMar, meningen van FLM,

 Met ADM wordt de objectieve data door een algoritme geanalyseerd en komt er een aanbeveling voor flow balancing, die de eerde genoemde subjectieve informatie niet meeneemt.

- PC PAX vertrouwt de voorspelling en voorgestelde acties niet (of minder), omdat eerder genoemde subjectieve factoren niet worden
- Als ADM-advies en FLM-advies botsen, moet PC PAX kiezen, wat de relatie tussen deze twee partijen kan schaden of de adoptie kan beperken.

## Waarom is dit een probleem?

Als PC PAX de aanbevelingen van ADM niet volledig vertrouwt dan is de kans dat zij het systeem gaan gebruiken erg klein.

De relatie tussen PC PAX en FLM is belangrijk om te behouden omdat FLM deels verantwoordelijk zijn voor de uitvoering van de beslissingen en daarom is het belangrijk dat ze hier ook achter staan

## **Accuracy of ADM recommendations**



- De informatie over de verwachte bezetting van de kMar, een essentiële factor voor het voorspellen van de drukte in de filters, is voor PC PAX slechts gedeeltelijk beschikbaar. De Marechaussee beschouwt deze
- gegevens als gevoelig en deelt ze daarom formeel niet met Schiphol.

  Hoewel er op dit moment beperkte informatie wordt verstrekt via informele relaties, is formele datadeling en verwerking hiervan door Schiphol niet
- Daarnaast varieert de kwaliteit van de uitvoering van flowbalancing-acties door PA's aanzienlijk, vanwege verschillen in hun bekwaamheid en

FLM P6: So it's up to you as floor manager to take that into account as well. And then to point out to the PA that you should listen carefully to your radio, because we are now entering a peak and it is important that when you are called to stop, you stop immediately.

kMar P10: Marechaussee indicates whether capacity could cause a bottleneck that day or not, capacity is not communicated in exact

- een realistische voorspelling van de drukte in de filters te maken
- Wanneer deze input ontbreekt of onbetrouwbaar is, zullen de voorspellingen en aanbevelingen van ADM mogelijk niet aansluiten op de werkeliike situatie.
- van flowbalancing-acties. Als deze acties door PA's niet zoals verwacht worden uitgevoerd, ontstaat een verschil tussen wat ADM voorspelt en wat er daadwerkelijk gebeurt.

## Adontiebarriere

- Wanneer de voorspellingen en aanbevelingen van ADM inconsistent of onbetrouwbaar blijken te zijn, kan dit leiden tot een gebrek aan vertrouwen bij PC PAX.
- Zonder vertrouwen in de adviezen kan de bereidheid om ADM te gebruiken laag ziin.
- mogelijkheid om de beloofde voordelen van ADM, zoals betere doorstroming en efficiëntere controleprocessen, te realiseren

## A.4.6 Template used during workshop

How might we? (What problem do we want to solve?)
What do we want to achieve? (what is the key outcome we aim to achieve?)
What are different ideas to achieve this? (What are some possible solutions for achieving this outcome?)
Who should be involved in this?
When and where should this be implemented?

## Guidelines for integration in multistakeholder system

### Insight in decision making process

Flow processor and decision executors should be able to know which decisions are made and what the reason for these decisions is, to enable them to pursue their operational goals.

## At standard interaction moments

Flow processor and decision executors should have standard interaction moments, that fit within their and the decision makers current workflows and are formally agreed on.

### Have opportunity to voice desires

Flow processor and decision executors should have the opportunity to give input and voice their desires supporting their goals during the decision making process, at an early stage where the initial plan is made, but also right before the execution.

## Discussing options for integral benefit

Decision makers should discuss different decision options with flow processor and decision executors to reach a consensus about a decision that has integral benefits.

## **Guidelines for user interaction design**

## Make plan before crucial moment

The DSS should enable decision makers to make an initial decision plan and record this in the DSS before the critical moments, that can be adjusted in case of large changes in predictions.

## Altering in case of possible change of plan

DSS should filter changes according to relevance on previously made plan and only alerts decision maker in case it might require reconsideration of the plan.

## Confidence in predictions is shown

The DSS should show the quality of the predictions made based on the quality and certainty of the data input used that should enable decision makers to determine whether they can trust the recommendations made.

## Non-crucial moments for entering insights

The DSS should make sure decision makers can enter contextual insight at non-crucial moments, to ensure this does not disrupt their workflow.

## Show consequences of decisions beforehand

The DSS should provide decision makers with the option to simulate the consequences of flow balancing decisions through simulation of the effects and therefore select the most effectiv...

## Explanation of reasoning for decision made

DSS should provide explanations to decision makers that explain why decisions are taken, based on the effect these decisions on the situation.

## Gathering subjective insights

The DSS should stimulate decision makers to gather subjective insights, either from their own experience or from stakeholders, helping them to maintain their contextual awareness.

## Give feedback after decision-making

The DSS should enable decision makers to give feedback on the recommendations generated by ADM after peak moment has passed, which are used to improve the algorithm further.

## A.5 Approved project brief



In this document the agreements made between student and supervisory team about the student's IDE Master Graduation Project are set out. This document may also include involvement of an external client, however does not cover any legal matters student and client (might) agree upon. Next to that, this document facilitates the required procedural checks:

**TU**Delft

- Student defines the team, what the student is going to do/deliver and how that will come about
- Chair of the supervisory team signs, to formally approve the project's setup / Project brief
- SSC E&SA (Shared Service Centre, Education & Student Affairs) report on the student's registration and study progress
- IDE's Board of Examiners confirms the proposed supervisory team on their eligibility, and whether the student is allowed to

	DATA & MASTER PROGRAM I fields and indicate which mas				
Family n	name Cleton		IDE master(s) IPD		DfI SPD 🗸
In	sitials S.H.		2 <sup>nd</sup> non-IDE master		
Given n	name Sophie Huguette		Individual programme (date of approval)		
Student nur	mber 4838300		Medisign		
			HPM		
SUPERVISO Fill in he req		ory team members. If a	applicable, company mentor is add	led as :	2 <sup>nd</sup> mentor
Fill in he req				led as :	Ensure a heterogeneous
Fill in he req	uired information of supervisc	dept./section	applicable, company mentor is add	led as ?	Ensure a heterogeneous team. In case you wish to include team members from
Chair A	uired information of superviso	dept./section	applicable, company mentor is add	led as ?	Ensure a heterogeneous team. In case you wish to
Chair A mentor G	uired information of superviso Alessandro Bozzon Garoa Gomez Beldarrain	dept./section	applicable, company mentor is add	led as :	Ensure a heterogeneous team. In case you wish to include team members from the same section, explain why.  Chair should request the IDE
Chair A mentor G 2nd mentor C client: R	uired information of superviso Alessandro Bozzon Garoa Gomez Beldarrain Carien de Heus	dept./section	Sustainable Design Engineering  Design, Organisation and	led as :	Ensure a heterogeneous team. In case you wish to include team members from the same section, explain why.  Chair should request the IDE Board of Examiners for approval when a non-IDE
Chair A mentor G client: R	uired information of superviso Alessandro Bozzon Garoa Gomez Beldarrain Carien de Heus Royal Schiphol Group	dept./section dept./section	Sustainable Design Engineering  Design, Organisation and	led as ?	Ensure a heterogeneous team. In case you wish to include team members from the same section, explain why.  Chair should request the IDE Board of Examiners for

APPROVAL OF CHAIR on PROJECT PROPOSAL / PROJECT BRIEF -> to be filled in by the Chair of the supervisory team

Sign for approval (Chair)		
<sub>Name</sub> Prof. A. Bozzon	Date 17/10/2024	Signature Do 3 Pro An-

Master electives no. of EC accumulated in total  Of which, taking conditional requirements into account, can be part of the exam programme	EC EC	YES all 1st year master courses  NO missing 1st year courses	passed
		Comments:	
Sign for approval (SSC E&SA)			
Name	Date	Signature	

Does the com	position of the Supervisory Team egulations?	Comments:	
YES	Supervisory Team approved		
NO	Supervisory Team not approved		
Based on stu	dy progress, students is	Comments:	
	ALLOWED to start the graduation project		
	NOT allowed to start the graduation project		
Sign for a	approval (BoEx)		
Name	Date		Signature





## Personal Project Brief - IDE Master Graduation Project

Name student Sophie Cleton Student number 4838300

## PROJECT TITLE, INTRODUCTION, PROBLEM DEFINITION and ASSIGNMENT

Complete all fields, keep information clear, specific and concise

Investigating adoption of DSS in a multi-stakeholder system: a case study in flow management at Project title

Please state the title of your graduation project (above). Keep the title compact and simple. Do not use abbreviations. The remainder of this document allows you to define and clarify your graduation project.

### Introduction

Describe the context of your project here; What is the domain in which your project takes place? Who are the main stakeholders and what interests are at stake? Describe the opportunities (and limitations) in this domain to better serve the stakeholder interests. (max 250 words)

Automation of work is a rising trend in many organisations, for example decision support systems (DSS) that have the capability to automate or augment decision-making processes. Many organisations invest resources into the development of these systems, but despite the promised benefits of the systems the adoption is still lacking. Royal Schiphol Group (RSG) is developing different DSSs to improve processes in their operation. Due to the limited space and rising amount of passengers, Schiphol is often running into capacity problems, therefore an augmenting DSS promises a valueable application to support in solving these problems. For instance, we take into account the Passenger's Arrival flow, where the capacity of space is limited in and around the arrival filters (AF). In the AF the Royal Marechaussee (KMar) perform passport checks, in changing speeds, additionally due to flight schedules passengers arrive in peaks, both causing large queues in the terminal. Process Coordinator Passenger (PC PAX), working in the Airport Control Center (ACC), are responsible to coordinate the passenger flows in the airport, their goal is to reduce the amount of fire safety norm incidents and to minimize waiting and walking time for passengers in the airport. Currently, they control passenger flows by rerouting selected groups of people to different AFs, a management measure called: flow balancing. Flow balancing is performed within Wilbur, an inhouse developed software-product, that uses a range of predictive and realtime datasources to show crowdiness of passengers in certain areas. See image 1 for the arrival flow for passengers.

RSG wants to use augmented decision making in Wilbur in the decision making to control crowdiness in the AFs, providing PC PAX with several recommendations of possible flow balancing actions and a simulation that shows the effect of certain options. This should optimize the effect on the passenger flow through the arrival filters, decreasing the waiting or walking time for passengers and improving the work of PC PAX. This specific context will be used as a case study to investigate the adoption issue of DSS. See image 2 for an overview of the current stakeholder system.

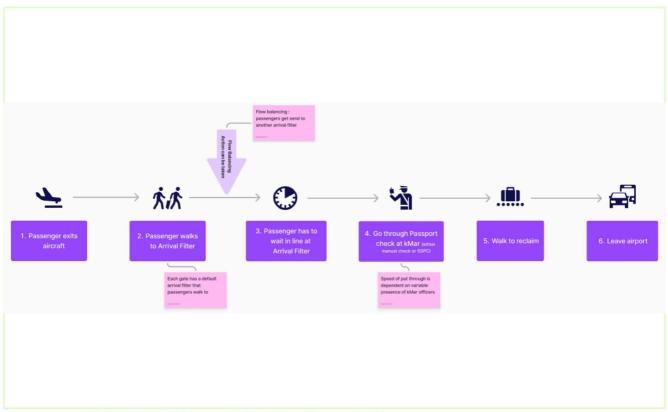


image / figure 1 Landside PAX Flows and Flowbalancing explained

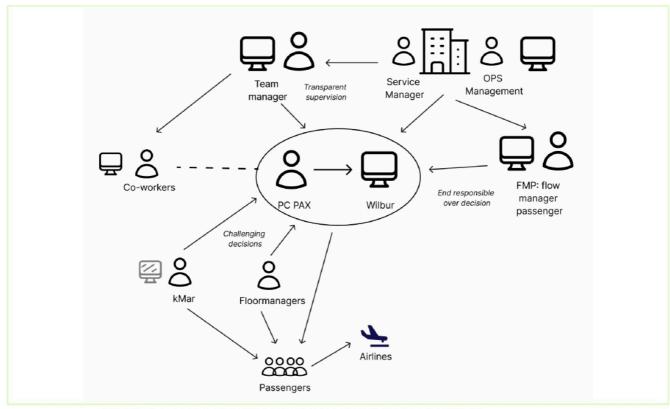


image / figure 2 Simplified overview of current stakeholder system for flow balancing





## Personal Project Brief - IDE Master Graduation Project

### Problem Definition

What problem do you want to solve in the context described in the introduction, and within the available time frame of 100 working days? (= Master Graduation Project of 30 EC). What opportunities do you see to create added value for the described stakeholders? Substantiate your choice. (max 200 words)

Adoption is often lacking for DSS, and therefore this also is foreseen for the adoption in the specific context. In a previously performed literature search (1), several barriers and facilitators have been identified for the adoption of DSS. For instance, considering the context of decision-making and involvement of stakeholders in the design process facilitate the adoption by workers.

In the context of our case study, as explain in the introduction, the decision-making performed by the user, PC PAX, is dependent on and contested by other stakeholders. Especially the kMar, have both influence on the processtime of the passenger flows and therefore the occupancy in the AF, but also contest flow balancing actions as it affects their work load. Besides, the kMar and Floormanagers are currently onboarded as new users in Wilbur and therefore Wilbur is becoming a multi-stakeholder system.

The research question of this project is as following:

What should the future work practices of and interaction between key stakeholders in flowbalancing actions taken for arriving passengers at Schiphol Airport look like, given the introduction of augmented decision making in Wilbur?

## Assignment

This is the most important part of the project brief because it will give a clear direction of what you are heading for.

Formulate an assignment to yourself regarding what you expect to deliver as result at the end of your project. (1 sentence)

As you graduate as an industrial design engineer, your assignment will start with a verb (Design/Investigate/Validate/Create), and you may use the green text format:

Design guidelines for the interaction/role of key stakeholders in the decision-making process due to the introduction of augmenting functionalities into a decision support system

Then explain your project approach to carrying out your graduation project and what research and design methods you plan to use to generate your design solution (max 150 words)

For this project I will use the double diamond approach as a method.

The aim of the first diamond will be to understand the decision-making process for flow balancing, the dynamics between stakeholders around this process, the entire stakeholder system (stakeholder values) and the ethonografics of the important stakeholders. In order to achieve this, I will perform qualitative research with the different stakeholders, consisting of interviews, observations and shadow shifts. Besides, I will conduct a literature study on strategies to fit DSS (or other AI, automation technologies) into stakeholder systems by aiming for adoption. Next, the findings will be sythesised, by using different methods like persona's, stakeholder mapping and formulating insights.

The aim of the second diamond is to prototype and validate the guidelines with users and stakeholders. In order to achieve this, I will develop guidelines and prototype them to validate and test these with the key users and different stakeholders in the second converging stage.

## Project planning and key moments

To make visible how you plan to spend your time, you must make a planning for the full project. You are advised to use a Gantt chart format to show the different phases of your project, deliverables you have in mind, meetings and in-between deadlines. Keep in mind that all activities should fit within the given run time of 100 working days. Your planning should include a kick-off meeting, mid-term evaluation meeting, green light meeting and graduation ceremony. Please indicate periods of part-time activities and/or periods of not spending time on your graduation project, if any (for instance because of holidays or parallel course activities).

Make sure to attach the full plan to this project brief. The four key moment dates must be filled in below

Mid-term evaluation 2 Dec

Green light meeting 13 feb 2025

Graduation ceremony 13 maart 2025

Part of	project	schedule	ed part-t	time	
1	For how	many pr	oject we	eeks	
Num	ber of pr	oject da	ys per w	/eek	

## Motivation and personal ambitions

Explain why you wish to start this project, what competencies you want to prove or develop (e.g. competencies acquired in your MSc programme, electives, extra-curricular activities or other).

Optionally, describe whether you have some personal learning ambitions which you explicitly want to address in this project, on top of the learning objectives of the Graduation Project itself. You might think of e.g. acquiring in depth knowledge on a specific subject, broadening your competencies or experimenting with a specific tool or methodology. Personal learning ambitions are limited to a maximum number of five.

(200 words max)

I am excited to start this project, the past weeks I have been getting acquainted with both the topic of decision support tools and the context of Schiphol Airport. Which appeal to me greatly, the complexity of the operations context within the airport is interesting and I am excited to learn more about how to innovate and implement technologies into it. Furthermore, I am excited to learn more about the development of augmented decision making, the technical difficulties and how to match technology with needs and desires of users. And meanwhile managing stakeholder interests, values and opinions. Besides, I am looking forward to experiencing the work of a service designer within a product development team. By working closely together with such a team.

# Appendix

- B.1 Scoping review: Adoption of Decision Support Systems by workers
- B.2 Affinity mapping of results different studies
- B.3 Business process map of decision making process for flow balancing
- B.4 Reasoning for guidelines

## **B.1 Scoping review paper**

## Adoption of decision support systems by workers: A scoping review

Sophie Cleton\*\* s.h.cleton@student.tudelft.nl TU Delft Delft, The Netherlands Garoa Gomez Beldarrain TU Delft Delft, The Netherlands g.gomez.beldarrain@tudelft.nl

## **ABSTRACT**

13

18

23

24

27

28

29

31

33

34

37

38

39

41

42

43

44

46

47

48

49

50

51 52

53

54

56

57

Organisations see opportunities to automate part of the work done by employees, one example is decision making. Decision Support Systems (DSS) are used for that, complexity of decision making can be reduced and performance increased due to the usage of DSS. But adoption by workers is still lacking often, causing resources put into the development to be lost and benefits not gained. Therefore, this work studies the barriers and facilitators of the adoption of DSS through a scoping literature review. Adoption of DSS is understudied outside of the isolated medical field, where a compilation of barriers and facilitators is not available in the literature. Barriers and facilitators in three different phases are found, namely in the design process of the DSS, in the attitude of workers towards the DSS, and the social and technical support for the usage of the DSS. By providing this compilation of barriers and facilitators, this work aims to contribute to the development of DSS that are successfully adopted by workers, ensuring the potential benefits are exploited.

### CCS CONCEPTS

• Do Not Use This Code → Generate the Correct Terms for Your Paper; Generate the Correct Terms for Your Paper; Generate the Correct Terms for Your Paper; Generate the Correct Terms for Your Paper.

## **KEYWORDS**

Decision support systems, adoption, workers, barriers, facilitators

## **ACM Reference Format:**

Sophie Cleton and Garoa Gomez Beldarrain. 2024. Adoption of decision support systems by workers: A scoping review. In *Proceedings of Make sure to enter the correct conference title from your rights confirmation emai (Conference acronym 'XX)*. ACM, New York, NY, USA, 9 pages. https://doi.org/XXXXXXXXXXXXXXX

## 1 INTRODUCTION

In recent years, many organisations saw the opportunity to automate part of the work done by employees [4]. In this process, Artificial Intelligence (AI) is often used [13]. These organizations

\*Both authors contributed equally to this research.

## Unpublished working draft. Not for distribution.

for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

\*\*Conference acronym\*\* XX Tune 03–05. 2018. Woodstock NY

© 2024 Copyright held by the owner/author(s). Publication rights licensed to ACM ACM ISBN 978-1-4503-XXXX-X/18/06...\$15.00

2024-11-27 09:15. Page 1 of 1-9.

see automation as a potential to increase productivity and release employees from repetitive tasks. Therefore not only the benefits of the organisation itself, in terms of profit increase, but also the interests of individual employees should be taken into account for improving their job. [4]

66

76

77

87

106

107

108

111

112

115

One example of tasks that are automated or enhanced by AI is decision-making. In many organisations, decision-making is a crucial task that is often associated with high complexity and large potential effects. Therefore, there is a lot to win in this field. [13] Decision support systems (DSS) are used to automate decision-making. DSS are computerized information tools or systems that support humans in making decisions by collecting, presenting and integrating useful information from an array of sources and modalities [23]. This way the human user is lead to one or more plausible courses of action, which reduces the complexity of the task. [23]

Although the benefits for different stakeholders in organisations are obvious, the usage of DSS in practice is still low. Even if the performance of the system is higher than workers could accomplish themselves, the adoption by workers is still often lacking [1]. The actual problem is often more tacit [30]. For instance, frequent pitfalls are poor system design, misuse, and reluctance to adopt systems by workers, these all can cause the benefits of the DSS to be undermined [25].

To make sure DSSs can be used to their full potential, we take a close look into the implementation and more specifically adoption phase of DSSs. [13] Adoption is defined by Rogers [29] as the decision made by the envisioned user to make full use of an innovation as the best cause of action. Adoption can be seen as one step further than implementation, ensuring that the system is not only deployed but also embedded within the organisation [28].

Within the literature a lot of attention is given to the development and adoption of Clinical Decision Support Systems (CDSS) [12]. However, a structured overview of barriers and facilitators for adoption for workers in general is still lacking. Such an overview would be relevant for organizations in all domains that are aiming to develop DSS. As this would result in tangible factors to take into account in the design and implementation of such systems. Therefore, a scoping literature review has been performed to map the existing literature and the barriers and facilitators that it describes. This review aims to contribute to the success of worker adoption of DSS in organisations. In order to do so, the following research question will be answered:

RQ: What are barriers and facilitators for the adoption of decision support systems by workers?

The aim of this paper is to understand the process and requirements of the adoption process of DSS by workers into their jobs. In order

to understand this process better, a scoping review [24] will be performed to identify the key barriers and facilitators for the adoption of DSS. The scoping was conducted in the ACM digital library. The barriers and facilitators of the adoption will be of great help to get a better understanding of how to design DSS for successful usage by workers and use the full potential they can offer. This paper is structured as following: first, prior work is described, second the method is explained; in the third part, the results of the scoping review are presented, after which we present the discussion and conclusions.

## 2 PRIOR WORK

## 2.1 Usage of Decision Support Systems by Workers

Decision support systems are computerized information tools or systems that support humans in making decisions by collecting, presenting and integrating useful information from an array of sources and modalities [23]. DSS aim to reduce errors made by workers and to improve the output of the work they deliver [27].

The application of DSS in the medical field has been extensively studied. For instance, the paper of Sutton et al. [35] provides an overview of the different applications that DSS are used for in medical practice. But it also highlights the downfalls and risks associated with the usage [35].

Decision support systems are often introduced into decisionmaking processes that require workers to process complex information in a short amount of time. The added value is reducing the complexity and being able to go through more possible scenarios. Aiming to increase the decision quality and efficiency [21].

The usage of artificial intelligence in these systems is common in the past years. It enables the system to analyse and interpret the information to, for example, formulate recommendations to the user. These systems are called Intelligent DST. Often the aim is to create a collaboration between the DSS and the worker that operates it, called augmented DSS. This could be an in between step towards automation. Automation refers to automating the decision-process and removing the human control. Augmentation refers to the addition of a system that support the human decision [21].

Besides the medical field, applications can also be found in the public sector, where DSS are used to screen for child maltreatments [20]. And the aviation domain, to assist pilots in the critical decision to divert to an alternative airport [41].

The scope of this scoping review is adoption by workers, therefore only DSS that are implemented in work environments and used by workers are studied, other application fields, as home applications or applications for customers are out of scope.

## 2.2 Adoption of decision support systems by workers

Adoption is defined by Rogers [29] as the decision made by the envisioned user to make full use of an innovation as the best cause of action. Adoption can be seen as one step further than implementation, ensuring that the system is not only deployed but also embedded within the organisation [28]. Improving adoption is key

if organisations want to make sure the full extent of benefits are exploited for DSS [13].

Adoption of automation in general is still lacking in many fields. Many causes can be assigned for this like non-intuitive interfacing, users lacking programming skills, and high complexity in the systems in place [36]. The paper by Fenwick et al. [14] proposes that often development teams of DSS do not have insight into the human factors that are often key in the adoption of technology by workers. Development and design teams of DSS tend to focus on improving the quality of output of the DSS [2]. For this reason more research should be done in these human factors. As human factors are influencing the adoption to a large part. By listing the barriers and facilitators to adoption experienced by workers, designers and developers of DSS are provided more knowledge to include these human factors into the DSS. The aim is to, thereby improve the adoption of these systems within organization.

## 3 METHOD

In this section, the method for conducting the scoping review is outlined. A scoping review [24] was used to collect sources discussing the adoption of DSS by workers. Followed by a reflexive thematic analysis to construct an overview of the related barriers and facilitators of adoption from the literature.

## 3.1 Data Collection and Search Strategy

This literature review is used to map the current knowledge on the adoption of DSS in general. More specifically, the review is used to identify key factors related to the investigated concept, therefore the scoping review was the most suited method for answering the research question [24].

For the search the ACM Digital Library was used for identifying literature papers. This database is focused to the topic of computer science and has a large selection of papers focused on human computer interaction (HCI). The choice to only use the ACM database was made as the aim of the study is to scrutinize how adoption is studied within this community, as well as identify the barriers and facilitators for adoption related to human computer interaction. With this decision, other relevant studies published outside this database are excluded from this review; however, this is justified as the purpose of a scoping review is to map key concepts and identify factors within the specific field of HCI.

The exact ACM search is as follows:

[All: adopt\*] AND [[All: "decision support system"]

OR [All: "decision support tool"] OR
[All: "decision support technology"]] AND

[All: facilitators enablers] AND

[All: barriers challenges] AND [All: workers]

The results were collected, checked for duplicates, but none were found. Also a number of papers identified through snowballing were included, these papers were found in the Scopus database, but are highly relevant to the research question and HCI research domain and therefore also included. Afterwards, through manual screening on records' titles and abstracts for focusing on adoption of DSS, non-relevant papers were excluded. The resulting set was assessed for eligibility on the basis of the full text. The following criteria were used to check results. The papers must:

Figure 1: Flow of records through different phases of the data

- · Focus on adoption by workers
- Mention barriers and/or facilitators for the adoption of DSS by workers
- · Be an empirical study

The resulting inclusions were once again assessed for eligibility. We then performed one final round of snowballing, screening, and qualitative assessment on the new inclusions. Figure 1 shows the stages of the scoping review with the exact number of papers in every stage.

## Data Analysis and Synthesis

For data analysis, reflective thematic analysis was used, as described by Braun and Clarke [7]. First, all selected papers used were loaded into Zotero were the analysis was performed. All sources were read and passages including a barrier or facilitator were marked as such. Similar passages were grouped and labelled, resulting in themes. Afterwards, these themes were synthesized into the final barriers and facilitators.

2024-11-27 09:15. Page 3 of 1-9.

## 4 RESULTS

This section describes the identified barriers and facilitators that were uncovered in the included literature. Table 1 lists and categorizes the papers based on their domain. The largest part of papers are within the healthcare domain, where most research in this field has been done. Furthermore, in Figure 2 the distribution of publishing years of the included papers is visualized.

Table 1: General categories for focus of the papers, based on their domain

Study Focus	Papers
Healthcare	[5, 8, 9, 16-
~~.	18, 22, 26, 27, 31-
	34, 37, 38]
Healthcare in	[34, 39]
Development countries	
Procurement	[19]
Aviation	[42]
Different domains	[23]

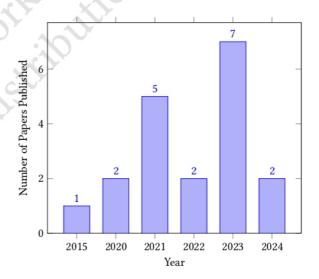


Figure 2: Distribution of papers according to publishing year

## **Barriers of Adoption**

The design of DSS lacks consideration of the needs, expectations and concerns of stakeholders. Studies have shown that a failure to align the design of DSS with the needs, expectations, and concerns of workers can lead to poor adoption and adherence rates [9, 16, 32, 38]. Not only focus on the users, in this case clinicians but also indirect users, such as patients in healthcare are important to involve in the design process. In the paper by Hussain et al. [16] clinicians raise concerns about the usefulness of the model investigated for lacking patient-centeredness.

410

411

412

413

415

416

417

418

420

421

422

423

425

426

427

428

430

431

432

433

435

436

437

440

441

442

443

445

446

447

448

450

451

452

453

455

456

457

458

460

461

462

463

464

4.1.2 The DSS is not adjusted to the knowledge, workflow, and prac-349 350 tises of workers. Both in the output and in the interaction with 351 the DSS the user should be able to understand terminology used in the system [5]. But also the guidelines used and the steps the 353 DSS goes through should be inline with what the worker is used to. Sivaraman et al. [33] concluded that users become sceptical of 354 DSS when it deviates from their common practices or even disrupts 355 their workflows [32, 33, 38, 39]. But also, the information provided 356 by the DSS to the worker should be inline with their needs at that 357 certain moment. [37] Misalignment by not adjusting the system 358 to the worker could lead to misunderstanding of the users, which 359 consequently could mean distrust and therefore cause a barrier to 360 adoption [17]. In order to align and fit a DSS in the context, designers should have a deep understanding of the contextual system, 362 363 relationships between stakeholders and key concepts [38]. 364 365 367 368

372

373

374

375

376

377

378

379

380

382

383

384

385

386

387

388

389

392

393

394

395

396

397

398

399

401 402

403

404

406

- 4.1.3 Workers have a lack of understanding of the functioning and capabilities of DSS. This is also often referred to as 'black box' algorithms [39]. Workers do not know how the output is generated by the DSS as it does not provide justification. Therefore, users are unable to collaborate with the system and possibly contest the output [27, 39]. Resulting in significantly lower trust in the DSS and therefore adoption is less likely [27]. In the paper of Jung and Shim, for instance, too much tacit knowledge was transferred into the system, increasing its complexity to a level its users could not comprehend [19]. Besides, workers can be unaware of the extent of the usability and capabilities of the DSS [19].
- 4.1.4 Workers have a low expectation of added value to their performance. This can occur due to different reasons, for example low accuracy [39], uncertainty about the performance [26] or a general lack of confidence about decision support interventions [38]. And more practical concerns, like bugs and errors [34] and low general reliance of the system [16]. Users are critical and even minor mistakes by the DSS can cause them to reject it [19].
- 4.1.5 Workers experience a perceived loss of professional autonomy due to the introduction of the DSS. This is highlighted as a significant barrier to adoption in several papers [18, 26, 39]. As the system takes over certain tasks with high levels of complexity, this could leave workers with decreased skills [9]. And consequently, the fear of eventually being outdated in the long run [26]. Especially for junior workers this barrier is recognized, as they still have to learn specific capabilities and gain expertise, they might be inclined to over-rely on the technology, which prevents them to learn necessary skills for the job [23]. Besides, Jo et al. [18] found that more senior workers experience undermining of their expertise due to the the system, which hindered their adoption of it.
- 4.1.6 Workers have high effort expectations for using the DSS. This is especially problematic for workers, for example clinicians in healthcare environments, that have high work pressure and are very limited in time. As they do not have time for extra steps in their workflows and the decision-making processes [17, 19, 39, 42]. For these workers, but also workers with less work pressure, not being able to work efficiently with the system is a barrier to its adoption. Distractions that the delay the users in achieving their goal, adding extensive data work and adding on the task of inputting data are

examples mentioned in the literature of DSS that requires more time from workers, leaving them to abandon the DSS [5, 32, 37]

- 4.1.7 Workers have a resistance to change regarding the introduction of the DSS. Workers rather continue with old ways of working even though the DSS is available as a better alternative [26].
- 4.1.8 Organizations do not provide the necessary support for using DSS. This includes inadequate training and resources [5, 39], but also a lack of available resources, both human, knowledge-related and financial resources, within the organisation for developing and maintaining a DSS [5, 8, 39]. Having a reliable IT infrastructure and having access to high quality data input for the DSS are important
- 4.1.9 Workers have a lack of clarity regarding the liability and accountability consequences associated with the usage of the DSS. Related to organisational support, but focused on the hesitation to use the DSS if it is not clear to workers what the legal and accountability implications of usage of the DSS or following of the recommendation given by the system are [8, 16, 26, 39].

## 4.2 Facilitators of Adoption

- 4.2.1 The challenges, values and needs of users are taken into account in the design process of DSS. By understanding and addressing the challenges faced by users, designers can create more intuitive and relevant tools that facilitate adoption [] . Design processes that take into account the specific challenges and values of the users facilitate higher adoption rates [] . When DSS is tailored to meet the actual needs of the users, it leads to greater user satisfaction and engagement [33, 37]. More specifically, it could be necessary to include flexibility in the design of the DSS to accommodate for different user needs [18, 27].
- 4.2.2 Role of DSS is supportive, decision-making happens in collaboration between DSS and worker. Designing DSS with a focus on human-AI cooperation allows for a more integrated decisionmaking process. Systems that enable collaboration between humans and AI, for example by negotiating, are more likely to be perceived as supportive tools rather than replacements, enhancing user acceptance [33]. Also mentioned in several papers is that leaving the worker in control over the end-decision facilitates in improving their adoption [23, 31, 33, 38, 39].
- 4.2.3 The DSS is designed to be appropriate and adaptive to the specific context in which the decision-making happens. In the literature, different examples of failed adoption due to a poor contextual fit in the ecosystem that a DSS is placed in are explained [38, 39]. Decision-making is dynamic and not every possible situation can be predicted by designers, leading to the necessity for DSS to be adaptive but still appropriate [22, 42]. In order to accomplish this, the specific context and decision-making process should be investigated and understood thoroughly by the designers.
- 4.2.4 Workers are able to understand the outcomes and functioning of the DSS. When users have clarity on how the system operates and reasons and know its capabilities and limitations, they are more likely to trust and adopt its recommendations, providing transparency in output is one way of achieving this [5, 23, 31].

536 537 538

539

542

543

544

547

548

549

554

555

556

558

559

560

561

565

570

571

572

576

577

578

465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511

512

513

514

517 518

519

520

2024-11-27 09:15. Page 5 of 1-9.

Barriers to Adoption	Reference Papers
1. The design of DSS lacks consideration of the needs, expectations and concerns of stakeholders	[9, 16, 32, 38]
2. The DSS is not adjusted to the knowledge, workflow and practices of workers	[5, 17, 32, 33, 37-39]
3. Workers have a lack of understanding of the functioning and capabilities of the DSS	[19, 27, 39]
4. Workers have a low expectation of added value to their performance	[5, 16, 19, 26, 32, 34, 37-
	39, 42]
5. Workers experience a perceived loss of professional autonomy due to the introduction of the DSS	[23]
6. Workers have high effort expectations for using the DSS	[17, 19, 39, 42?]
7. Workers have a resistance to change regarding the introduction of the DSS	[23]
8. Organizations do not provide the necessary support for using DSS	[8]
9. Workers have a lack of clarity regarding the liability and accountability consequences associated	[8, 16, 26, 39]
with the usage of the DSS	

Table 2: Barriers to Adoption of DSS by workers

Transparency in the data and parameters used by DSS to users [27, 37] and including a degree of uncertainty [5]. Other ways are providing training and supervision to workers [27, 34] as system and computers skills of workers are a key facilitator [34].

4.2.5 Alignment and integration with existing practices and workflow of workers. Facilitating a smooth integration of DSS into the existing context and workflows of users ensures minimal disruption and encourages adoption. Systems that align well with current practices are more likely accepted by users [37, 39]. For example, in the paper by van Berkel et al. [37], the DSS supported collaboration between team members, which aligned well given the collaborative nature of medical practice. Additionally, defining a clear problem within the context for DSS to solve is a facilitator to adoption [31]. Part of this alignment is the presentation of the right information at the right moment. Encounters that workers have with the DSS should be timed correctly, when and where is it needed by the user [37, 40]. The DSS should fit in the existing work practices and workflow in a seamless and inter operative way [5, 37] Besides, reasoning of DSS should be based on reasoning used by the users of the system, such that workers can interact with the system using their natural information processing strategies [17, 23, 37]. And lastly, the fit within the existing IT system and the integration with other systems already in place is important [18, 27, 39].

4.2.6 During the design of DSS stakeholders are involved. This helps to align the system's functionalities with the needs of all relevant stakeholders [27, 31]. Also, building relationships and closely involving stakeholders in the design process, improves their understanding in the DSS [31]. In the paper of Jacobs et al. [17], the DSS is designed as multi-stakeholder system, improving the service delivered by users and to improve the adherence to recommendations.

4.2.7 Workers have a high performance expectancy for DSS. Users are more likely to adopt a DSS if they perceive that it will significantly enhance their performance, both in efficiency and quality [5, 9, 26, 34, 39]. For instance, in decision-making specifically workers often have to deal with an information overload, DSS can filter and prioritize information reducing this overload [40]. And the recommendations are clearly connected to actionable next steps for the user [17]. Or by enabling a learning curve for workers in their job, the DSS can increase their performance [5, 23, 31].

4.2.8 Workers expect minor effort is needed for using the DSS. When users find the system easy to use and understand, they are more inclined to adopt it [9]. However in the paper by Prakash and Das [26], effort expectancy is not significantly linked as a facilitator to the acceptance of clinicians. Different possible explanations are suggested in the paper, as clinicians might be used to the usage of complex software tools.

4.2.9 Workers trust in the technology and functioning of DSS. According to Verma et al. [38] this is dependent on their contestable experiences with the technology. Prakash and Das [26] found trust to be the main determiner for the likelihood of adoption, as for several other papers [27, 37]. Trust is influenced by the other facilitators mentioned in this review.

4.2.10 Resources are available for the implementation and usage of DSS in the organisation. This plays a critical role in facilitating the adoption of DSS [5, 34]. The papers of Sukums et al. [34], Wang et al. [39], both investigating in development countries, specifically the availability of IT infrastructure, support and adequate computers is highlighted [34, 39]. But also in the paper by Cao et al. [9], facilitating conditions is found to be a facilitator for the adoption.

4.2.11 DSS is approved and endorsed by prominent experts, superiors and co-workers. This boost the credibility of DSS, increasing users' confidence and acceptance of the system [8]. But also social influences within the organisation are important, support and acceptance of superiors and co-workers facilitate the adoption [26, 27]. The identification of early adopters can be a way to increase diffusion [5].

## 5 DISCUSSION

In this section, we discuss the results presented in the previous section. The findings of this study highlight several key barriers and facilitators for the adoption of decision support systems (DSS) by workers. We could group them in three main categories: methods

Facilitators to Adoption	Reference Papers
1. The challenges, values and needs of users are taken into account in the design process of the DSS	[18, 27, 33, 37]
2. Role of DSS is supportive, decision-making happens in collaboration between DSS and worker	[23, 31, 33, 38, 39]
3. The DSS is designed to be appropriate and adaptive to the specific context in which decision-making	[22, 38, 39, 42]
happens	
4. Workers are able to understand the outcomes and functioning of DSS	[5, 23, 27, 31, 34, 37]
5. Consideration of the context of decision-making in the design process	[18, 27, 31, 37, 39]
6. DSS fits within the existing workflow of users	[5, 17, 23, 37, 40]
7. During the design of DSS stakeholders are involved	[17, 27, 31]
8. Workers have a high performance expectancy for DSS	[5, 9, 17, 23, 26, 31, 34,
	39, 40]
9. Workers expect minor effort is needed for using the DSS	[9, 26]
10. Workers trust in the technology and functioning of DSS	[26, 27, 37, 38]
12. Resources are available for the implementation and usage of DSS in the organisation	[5, 9, 34, 39]
12. DSS is approved and endorsed by prominent experts, superiors and co-workers	[5, 8, 26, 27]

Table 3: Facilitators to Adoption of DSS by workers

and actor involvement in the design phase, attitudes of workers towards DSS, and contextual support for DSS usage. The groups are

presented in Table 4. These categories underscore the multifaceted nature of DSS adoption, emphasizing the critical role of the design process, user perceptions, and organizational support. Below the different groups are further explained in detail.

## 5.1 Design Methods and Actor Involvement in DSS

The first cluster of factors influencing adoption of DST by workers is about how the tool is designed and the actor involvement in the design. These findings resonate with the growing emphasis on user-centred design principles in technology adoption literature [36], which suggest that systems designed with a deep understanding of user needs and perspectives are more likely to be accepted and integrated into workflows. The design phase is likely to influence the other group of factors related to the adoption, the attitude of users towards the DSS. The attitude of users is formed during the design, implementation and usage phase and, partly, influenced by the design of the tool. Furthermore, the factor related to the role of the DST is interesting, as this is implying that full automation is not desirable for decision-making processes. This notion is support by the article of Bradshaw et al. [6], that states that no system could even be capable enough to operate fully autonomous.

## 5.2 Workers' Attitudes Towards DSS

The second cluster of factors is related to the attitude of users towards the DST, in this case specifically workers. This cluster underscores the importance of addressing cognitive and emotional factors that shape workers' attitudes both during and beyond the design phase of DSS. This notion is supported by the paper of Althuizen et al. [1], that found a negative link between user evaluation and the actual performance of DSS, suggesting that users attitudes towards DSS are not linked to its actual performance and therefore are formed by other factors, as discussed as well in this review.

Besides, the concept of trust, stands out as a central determinant in the adoption process, aligning with prior research that highlights trust as a crucial enabler in human-computer interactions [3]. Ensuring that the system's outputs are interpretable and transparent to users can significantly bolster trust, thereby increasing the system's acceptance and utilization. Question whether understanding the work and the needs of workers would be enough to positively influence the user attitudes or that maybe extra training or attention should be given to shaping attitudes. Also difference between different user groups are not explained.

## 5.3 Social and Technical Organisational Support

Beyond the intrinsic design and user attitudes, the study also identifies social and technical contextual support as a foundational element for DSS adoption. These aspects serve as the infrastructural backbone that supports the transition from system design to real-world application. This insight aligns with the theory by Rogers [29] on diffusion of innovation theories, which suggest that the social context, including peer influence and organizational backing, plays a significant role in the adoption of new technologies.

Besides, Cheon et al. [10] states that besides the effects on workers, the introduction of DSS into organizations also has influence on power and social dynamics within workplaces. Besides taking this into account in the design, acknowledgement of these changes and risks by the organization is a first step.

We can conclude that organizational support is important for the adoption by workers, the ambition to implement such systems is present but is remains challenging to make it common in daily operations [15].

## 5.4 Implications for Practice

Synthesizing these findings, it becomes evident that successful adoption of DSS is contingent upon a cohesive strategy that integrates design considerations, user engagement, and organizational support. The interaction between users and the DSS, facilitated through a design that accounts for their specific challenges and workflows, sets the foundation for building a positive user attitude. This, in turn, is reinforced by trust in the technology, which is

2024-11-27 09:15. Page 6 of 1-9

Design methods and Actor involvement in DSS	Workers' Attitudes Towards DSS	Social and Technical Organisational Support
Consideration of needs, expectations and concerns of workers and other stakeholders	Performance expectancy of workers	
Adjustment of DSS to knowledge, workflow and practices of workers	Effort expectancy of workers	Clarity regarding liability and account- ability among workers
Appropriate and adaptive to the context specific situation	Trust in the technology and output of DSS	Organizational support for usage of DSS
Role of DSS is supportive, decision-making happens in collaboration between DSS and worker	Resistance to change among workers	Availability of resources for the implementation and usage of DSS
Involvement of stakeholders in the design of DSS	Perceived loss of professional autonomy	Approval of DSS by prominent experts
DSS fits within the existing workflow of users	Fear of deskilling due to the usage of DSS Understanding of outcomes and functioning of DSS	CX.

Table 4: Categories of factors influencing adoption of DSS by workers

shaped not only during the design phase but also through continuous interaction and feedback during its use.

Figure 4 suggests that achieving a high level of trust and alignment between DSS and user expectations is critical for overcoming resistance and fostering acceptance. Furthermore, providing adequate support, resources, and expert endorsement acts as a catalyst that propels the transition from initial resistance to sustained adoption. This paper contributes to the human computer interaction (HCI) community by providing a cohesive list of factors influencing the adoption of decision support systems by workers. Besides, the many papers written about the medical field, these factors are domain wide and therefore an addition to the research community.

Organizations aiming to implement such systems can benefit if the factors are taken into account in the design and implementation phase, as this will improve the benefits gained from the DSS brought to use. Such as, the business value delivered by these systems, which is one of the main strategic purposes [11].

## 5.5 Limitations and Future Research

While this study provides a comprehensive overview of the barriers and facilitators in DSS adoption, it is important to acknowledge its limitations. The literature search was performed in the ACM database only, therefore relevant studies published in other databases might have been missed. Furthermore, the analysis predominantly focuses on worker perspectives, which may not fully capture the organizational and technical complexities that influence adoption. As this research was a scoping review the search within the focused ACM database and specified to the perspective of workers is justified as this gives an image of the research performed in this area. Future research could explore these dimensions in greater detail, particularly with a larger diversity of domains. Additionally, longitudinal studies examining the evolution of user attitudes over time could yield insights into how trust in DSS develops and changes with prolonged usage.

2024-11-27 09:15. Page 7 of 1-9

## A FUTURE IMPROVEMENTS

The literature search performed in this paper is not perfect as a selection relevant papers exists in the ACM library, but were not included in the search. This is caused by a low quality search query. To improve the quality it can be improved by adding more synonyms for the words facilitators and barrier. And besides synonyms also the neutral version should be included, such as factors, influences etc. But also included that adoption (and conjugations) and DSS (and alternatives) should be present in the abstract, making sure that this is really the focus of the papers. Especially for adoption this is important, as in a high number of papers in the search adoption was mentioned but in another context and not related to the adoption of the DSS itself. Also the AND in between facilitators and barriers is not necessary, as papers only mentioning barriers or facilitators could also be relevant. Also include the word operator.

Abstract:(Adopt\*) AND Abstract:("decision support system" OR "decision support tool" OR "decision support technology") AND All-Field:(factors OR enablers OR facilitators OR prohibitors OR barriers OR challenges) AND AllField:(worker OR operator)

This search query gives 27 results, which is a significantly lower amount compared to the one used in the scoping review. This is caused by the restriction of adoption and DSS having to be present within the abstract of the papers. The query above results in 217 papers in the Scopus database. The original query, using in the scoping review, results in 1372 papers in the Scopus database. Interestingly, the search in the Scopus database, gives, besides healthcare applications, also a relative high number of papers in the agricultural domain.

Another alternative query for the ACM database is the following, where the requirement of adoption and DSS in the abstract is removed. This query resulted in 1755 papers within the ACM database. The quality of the papers in this search might be lower, as this is a relatively high amount.

AllField:(Adopt\*) AND AllField:("decision support system" OR "decision support tool" OR "decision support technology") AND AllField:(factors OR enablers OR facilitators OR prohibitors OR barriers OR challenges) AND AllField:(worker OR operator)

872

874

875

876

877

878

882

883

884

887

888

889

894

895

898

899

900

901

902

907

910

911

912

913

916

917

918

919

921

922

923

924

925

926

927

The last approach that could be taken to improve the search quality is to widen the search, so to reduce the number of search terms. This would generate a significantly larger amount of results, but make sure that no relevant papers are missed. The search query would look as following:

AllField:(Adopt\*) AND AllField:("decision support")

### REFERENCES

813

814

815

816

817

818

819

820

821

823

824

825

826

828

829

830

831

832

834

835

836

837

838

840

841

842

843

844

846

847

848

849

850

851 852

853

854

855

858

859

860

861

862

863

864

865

866

867

870

- Niek Althuizen, A. Reichel, and B. Wierenga. 2012. Help that is not recognized: Harmful neglect of decision support systems. *Decis. Support Syst.* 54 (2012), 719–728. https://doi.org/10.1016/j.dss.2012.08.016
- [2] Niek Althuizen, Astrid Reichel, and Berend Wierenga. 2012. Help that is not recognized: Harmful neglect of decision support systems. Decision Support Systems 54, 1 (Dec. 2012), 719–728. https://doi.org/10.1016/j.dss.2012.08.016
- [3] T. A. Bach, A. Khan, H. Hallock, G. Beltrão, and S. Sousa. 2022. A Systematic Literature Review of User Trust in AI-Enabled Systems: An HCI Perspective. International Journal of Human—Computer Interaction 40 (05 2022), 1251–1266. https://doi.org/10.1080/10447318.2022.2138826
- [4] Matthias Baldauf, Peter Fröhlich, Shadan Sadeghian, Philippe Palanque, Virpi Roto, Wendy Ju, Lynne Baillie, and Manfred Tscheligi. 2021. Automation Experience at the Workplace. In Extended Abstracts of the 2021 CHI Conference on Human Factors in Computing Systems (Yokohama, Japan) (CHI EA '21). Association for Computing Machinery, New York, NY, USA, Article 89, 6 pages. https://doi.org/10.1145/3411763.3441332
- [5] Maura Bellio, Dominic Furniss, Neil P. Oxtoby, Sara Garbarino, Nicholas C. Firth, Annemie Ribbens, Daniel C. Alexander, and Ann Blandford. 2021. Opportunities and Barriers for Adoption of a Decision-Support Tool for Alzheimer's Disease. ACM Trans. Comput. Healthcare 2, 4, Article 32 (sep 2021), 19 pages. https://doi.org/10.1145/3462764
- [6] J. M. Bradshaw, R. R. Hoffman, M. Johnson, and D. D. Woods. 2013. The Seven Deadly Myths of "Autonomous Systems". *IEEE Intelligent Systems* 28, 3 (May 2013), 54–61. https://doi.org/10.1109/MIS.2013.70
- [7] V. Braun and V. Clarke. 2006. Using thematic analysis in psychology. Qualitative Research in Psychology 3, 2 (July 2006), 77–101. https://doi.org/10.1191/1478088706qp0630a
- [8] Carrie J. Cai, Samantha Winter, David Steiner, Lauren Wilcox, and Michael Terry. 2019. "Hello AI": Uncovering the Onboarding Needs of Medical Practitioners for Human-AI Collaborative Decision-Making. Proc. ACM Hum.-Comput. Interact. 3, CSCW, Article 104 (nov 2019), 24 pages. https://doi.org/10.1145/3359206
- [9] Guangming Cao, Yanqing Duan, John S. Edwards, and Yogesh K. Dwivedi. 2021. Understanding managers' attitudes and behavioral intentions towards using artificial intelligence for organizational decision-making. *Technovation* 106 (aug 2021), 102312 pages. https://doi.org/10.1016/j.technovation.2021.102312
- [10] EunJeong Cheon, Cristina Zaga, Hee Rin Lee, Maria Luce Lupetti, Lynn Dombrowski, and Malte F. Jung. 2021. Human-Machine Partnerships in the Future of Work: Exploring the Role of Emerging Technologies in Future Work-places. In Companion Publication of the 2021 Conference on Computer Supported Cooperative Work and Social Computing. ACM, Virtual Event USA, 323–326. https://doi.org/10.1145/3462204.3481726
- [11] Crispin Coombs, Donald Hislop, Stanimira K. Taneva, and Sarah Barnard. 2020. The strategic impacts of Intelligent Automation for knowledge and service work: An interdisciplinary review. The Journal of Strategic Information Systems 29, 4 (Dec. 2020), 101600. https://doi.org/10.1016/j.jsis.2020.101600
- [12] S. Devaraj, S. K. Sharma, D. J. Fausto, S. Viernes, and H. Kharrazi. 2014. Barriers and Facilitators to Clinical Decision Support Systems adoption: A Systematic review. *Journal of Business Administration Research* 3, 2 (jul 2014). https://doi. org/10.5430/jbar.v3n2p36
- [13] Y. Duan, J.S. Edwards, and Y.K. Dwivedi. 2019. Artificial intelligence for decision making in the era of big data – evolution, challenges and research agenda. *Int. J. Inf. Manag.* 48 (01 2019), 63–71. https://doi.org/10.1016/j.ijinfomgt.2019.01.021
- Inf. Manag. 48 (01 2019), 63–71. https://doi.org/10.1016/j.ijinfomgt.2019.01.021
  [14] Ali Fenwick, Gabor Molnar, and Piper Frangos. 2024. The critical role of HRM in Al-driven digital transformation: a paradigm shift to enable firms to move from AI implementation to human-centric adoption. Discover Artificial Intelligence 4 (05 2024), 34. https://doi.org/10.1007/s44163-024-00125-4
- [15] Garoa Gomez-Beldarrain, Himanshu Verma, Euiyoung Kim, and Alessandro Bozzon. 2024. Revealing the Challenges to Automation Adoption in Organizations: Examining Practitioner Perspectives From an International Airport. In Extended Abstracts of the CHI Conference on Human Factors in Computing Systems. ACM, Honolulu HI USA, 1–7. https://doi.org/10.1145/3613905.3650964
- [16] Muhammad Hussain, Ioanna Iacovides, Tom Lawton, Vishal Sharma, Zoe Porter, Alice Cunningham, Ibrahim Habli, Shireen Hickey, Yan Jia, Phillip Morgan, and Nee Ling Wong. 2024. Development and translation of human-AI interaction models into working prototypes for clinical decision-making. In Proceedings of the 2024 ACM Designing Interactive Systems Conference (Copenhagen, Denmark) (DIS '24). Association for Computing Machinery, New York, NY, USA, 1607–1619.

- https://doi.org/10.1145/3643834.3660697
- [17] Maia Jacobs, Jeffrey He, Melanie F. Pradier, Barbara Lam, Andrew C. Ahn, Thomas H. McCoy, Roy H. Perlis, Finale Doshi-Velez, and Krzysztof Z. Gajos. 2021. Designing AI for Trust and Collaboration in Time-Constrained Medical Decisions: A Sociotechnical Lens. In Proceedings of the 2021 CHI Conference on Human Factors in Computing Systems (Yokohama, Japan) (CHI '21). Association for Computing Machinery, New York, NY, USA, Article 659, 14 pages. https://doi.org/10.1145/3411764.3445385
- [18] Eunkyung Jo, Myeonghan Ryu, Georgia Kenderova, Samuel So, Bryan Shapiro, Alexandra Papoutsaki, and Daniel A. Epstein. 2022. Designing Flexible Longitudinal Regimens: Supporting Clinician Planning for Discontinuation of Psychiatric Drugs. In Proceedings of the 2022 CHI Conference on Human Factors in Computing Systems (New Orleans, LA, USA) (CHI '22). Association for Computing Machinery, New York, NY, USA, Article 352, 17 pages. https://doi.org/10.1145/3491102. 3502206
- [19] Gwangjae Jung and Seonyoung Shim. 2011. Transferring workers' knowledge into the information system: a case of recommendation system for supplier selection in e-procurement service company. In Proceedings of the 13th International Conference on Electronic Commerce (Liverpool, United Kingdom) (ICEC '11). Association for Computing Machinery, New York, NY, USA, Article 15, 10 pages. https://doi.org/10.1145/2378104.2378119
- [20] Anna Kawakami, Venkatesh Sivaraman, Hao-Fei Cheng, Logan Stapleton, Yanghuidi Cheng, Diana Qing, Adam Perer, Zhiwei Steven Wu, Haiyi Zhu, and Kenneth Holstein. 2022. Improving Human-Al Partnerships in Child Welfare: Understanding Worker Practices, Challenges, and Desires for Algorithmic Decision Support. In CHI Conference on Human Factors in Computing Systems. ACM, New Orleans LA USA, 1–18. https://doi.org/10.1145/3491102.3517439
- [21] Markus Langer and Richard N. Landers. 2021. The future of artificial intelligence at work: A review on effects of decision automation and augmentation on workers targeted by algorithms and third-party observers. Computers in Human Behavior 123, 1 (may 2021). https://doi.org/10.1016/j.chb.2021.106878
- [22] Angela Mastrianni, Aleksandra Sarcevic, Allison Hu, Lynn Almengor, Peyton Tempel, Sarah Gao, and Randall S. Burd. 2023. Transitioning Cognitive Aids into Decision Support Platforms: Requirements and Design Guidelines. ACM Trans. Comput.-Hum. Interact. 30, 3, Article 41 (jun 2023), 28 pages. https://doi.org/10.1145/3582431
- [23] B. W. Morrison, K. Bergin, J. Kelson, N. M. V. Morrison, J. M. Innes, G. Zelic, Y. Al-Saggaf, and M. Paul. 2023. Decision Support Systems (DSSs) 'In the Wild': The Factors That Influence Users' Acceptance of DSSs in Naturalistic Settings. Journal of Cognitive Engineering and Decision Making 17, 4 (aug 2023). https://doi.org/10.1177/15553434231191385
- [24] Z. Munn, M. D. J. Peters, C. Stern, C. Tufanaru, A. McArthur, and E. Aromataris. 2018. Systematic review or scoping review? Guidance for authors when choosing between a systematic or scoping review approach. *BMC Medical Research Methodology* 18, 1 (nov 2018). https://doi.org/10.1186/s12874-018-0611-x
- [25] R. Parasuraman and V. Riley. 1997. Humans and automation: Use, misuse, disuse, abuse. Human Factors 39 (02 1997), 230–253. https://doi.org/10.1518/001872097778543886
- [26] A.V. Prakash and S. Das. 2021. Medical practitioner's adoption of intelligent clinical diagnostic decision support systems: A mixed-methods study. *Information and Management* 58, 7 (aug 2021). https://doi.org/10.1016/j.im.2021.103524
- [27] Niroop Channa Rajashekar, Yeo Eun Shin, Yuan Pu, Sunny Chung, Kisung You, Mauro Giuffre, Colleen E Chan, Theo Saarinen, Allen Hsiao, Jasjeet Sekhon, Ambrose H Wong, Leigh V Evans, Rene F. Kizilcec, Loren Laine, Terika Mccall, and Dennis Shung. 2024. Human-Algorithmic Interaction Using a Large Language Model-Augmented Artificial Intelligence Clinical Decision Support System. In Proceedings of the CHI Conference on Human Factors in Computing Systems (Honolulu, HI, USA) (CHI '24). Association for Computing Machinery, New York, NY, USA, Article 442, 20 pages. https://doi.org/10.1145/3613904.3642026
- [28] Abhiramini Rajiv. 2023. Elucidating a 'black-box' transcends explaining the algorithm. Master's thesis. Wageningen University (WU) and Technical University Delft (TUD).
- [29] E.M. Rogers. 1995. Diffusion of Innovations: Modifications of a model for telecommunications. Springer eBooks (jan 1995). https://doi.org/10.1007/978-3-642-79868-9\_2
- [30] David Rose, Caroline Parker, Caroline Park, Joe Fodey, William Sutherland, and Lynn Dicks. 2018. Involving Stakeholders in Agricultural Decision Support Systems: Improving User-Centred Design. International Journal of Agricultural Management 6 (02 2018), 80–89. https://doi.org/10.5836/ijam/2017-06-80
- [31] Mark Sendak, Madeleine Clare Elish, Michael, Futoma Gao Joseph, William Ratliff, Marshall Nichols, Armando Bedoya, Suresh Balu, and Cara O'Brien. 2020. "The human body is a black box": supporting clinical decision-making with deep learning. In Proceedings of the 2020 Conference on Fairness, Accountability, and Transparency (Barcelona, Spain) (FAT\* '20). Association for Computing Machinery, New York, NY, USA, 99–109. https://doi.org/10.1145/3351095.3372827
- [32] Irina Bianca Serban, Dimitra Dritsa, Jurado Israel Campero, Steven Houben, Aarnout Brombacher, David Ten Cate, Loes Janssen, and Margot Heijmans.

932

933

934

935

936

937

938

939

940

942

943

944

945

946

947

948

949

952

953

954

957

958

959

962

963

964

966

967

968

972

973

974

977

978

982

983

984

985

986

987

990

991

992

993

994

995

996

997

1001

1002

1005

1006

1007

1010

1011 1012

1015

1016

1017

1020

1021

1022 1023

1024

1025

1026 1027

1030

1031

1032

1035

1036 1037

1040

1041

1042

1043

1044

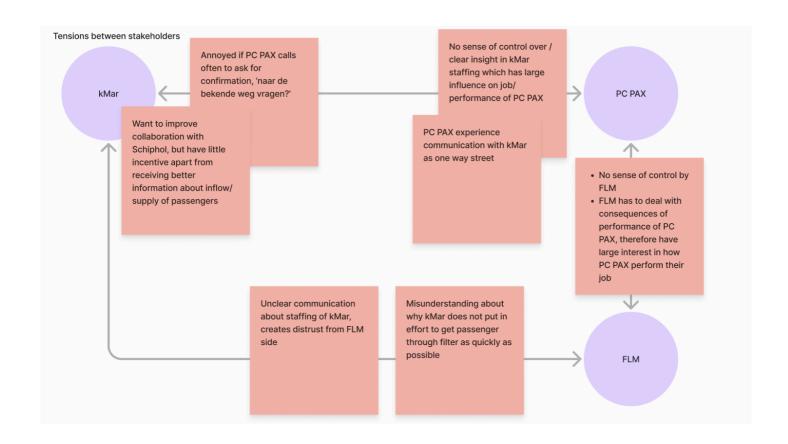
- 2023. "I just see numbers, but how do you feel about your training?": Clinicians' Data Needs in Telemonitoring for Colorectal Cancer Surgery Prehabilitation. In Companion Publication of the 2023 Conference on Computer Supported Cooperative Work and Social Computing (Minneapolis, MN, USA) (CSCW '23 Companion). Association for Computing Machinery, New York, NY, USA, 267–272. https://doi.org/10.1145/3584931.3607006
- [33] Venkatesh Sivaraman, Leigh A Bukowski, Joel Levin, Jeremy M. Kahn, and Adam Perer. 2023. Ignore, Trust, or Negotiate: Understanding Clinician Acceptance of AI-Based Treatment Recommendations in Health Care. In Proceedings of the 2023 CHI Conference on Human Factors in Computing Systems (Hamburg, Germany) (CHI '23). Association for Computing Machinery, New York, NY, USA, Article 54, 18 pages. https://doi.org/10.1145/3544548.3581075
- [34] Felix Sukums, Nathan Mensah, Rose Mpembeni, Siriel Massawe, Els Duysburgh, Afua Williams, Jens Kaltschmidt, Svetla Loukanova, Walter E. Haefeli, and Antje Blank, 2015. Promising adoption of an electronic clinical decision support system for antenatal and intrapartum care in rural primary healthcare facilities in sub-Saharan Africa: The QUALMAT experience. International Journal of Medical
- Informatics (2015), 647–657. https://doi.org/10.1016/j.ijmedinf.2015.05.002 [35] Reed T. Sutton, David Pincock, Daniel C. Baumgart, Daniel C. Sadowski, Richard N. Fedorak, and Karen I. Kroeker. 2020. An overview of clinical de cision support systems: benefits, risks, and strategies for success. npj Digital
- Medicine 3 (01 2020), 17. https://doi.org/10.1038/s41746-020-0221-y [36] Carlos Toxtli. 2024. Human-Centered Automation. (05 2024). https://doi.org/10. 13140/RG.2.2.19634.21442
- Ara Appropriative 28th II ... Aps://doi.org/10.

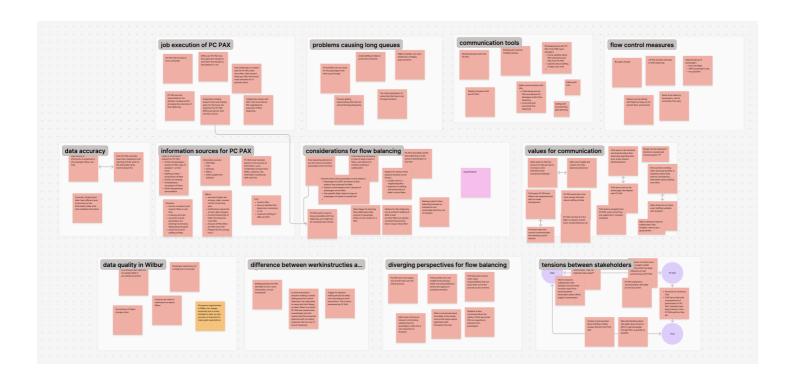
  a 9 October 2024; revisor Niels van Berkel, Maura Bellio, Mikael B. Skov, and Ann Blandford. 2023. Measurements, Algorithms, and Presentations of Reality: Framing Interactions with AI-Enabled Decision Support. ACM Trans. Comput.-Hum. Interact. 30, 2, Article 32 (mar 2023), 33 pages. https://doi.org/10.1145/3571815

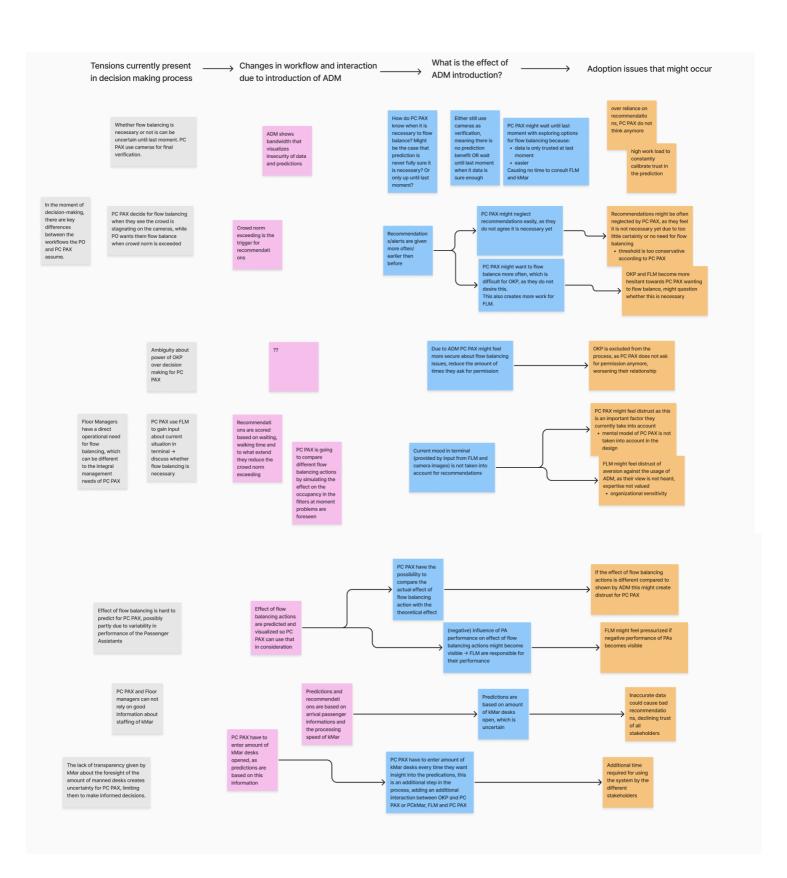
- [38] H. Verma, I. Mlynar, R. Schaer, J. Reichenbach, M. Jreige, J. Prior, F. Evéquoz, and A. Depeursinge. 2023. Rethinking the role of AI with physicians in oncology: revealing perspectives from clinical and research workflows. Proceedings of the 2023 CHI Conference on Human Factors in Computing Systems (apr 2023). https://doi.org/10.1145/3544548.3581506
- Dakuo Wang, Liuping Wang, Zhan Zhang, Ding Wang, Haiyi Zhu, Yvonne Gao, Xiangmin Fan, and Feng Tian. 2021. "Brilliant AI Doctor" in Rural Clinics: Challenges in AI-Powered Clinical Decision Support System Deployment. In Proceedings of the 2021 CHI Conference on Human Factors in Computing Systems (Yokohama, Japan) (CHI '21). Association for Computing Machinery, New York, NY, USA, Article 697, 18 pages. https://doi.org/10.1145/3411764.3445432
- [40] Minfan Zhang, Daniel Ehrmann, Mjaye Mazwi, Danny Eytan, Marzyeh Ghassemi, and Fanny Chevalier. 2022. Get To The Point! Problem-Based Curated Data Views To Augment Care For Critically Ill Patients. In Proceedings of the 2022 CHI Conference on Human Factors in Computing Systems (New Orleans, LA, USA) (CHI '22). Association for Computing Machinery, New York, NY, USA, Article 278, 13 pages. https://doi.org/10.1145/3491102.3501887
- [41] Zelun Tony Zhang, Cara Storath, Yuanting Liu, and Andreas Butz. 2023. Resilience Through Appropriation: Pilots' View on Complex Decision Support. In Proceedings of the 28th International Conference on Intelligent User Interfaces. ACM, Sydney NSW Australia, 397–409. https://doi.org/10.1145/3581641.3584056
- Zelun Tony Zhang, Cara Storath, Yuanting Liu, and Andreas Butz. 2023. Resilience Through Appropriation: Pilots' View on Complex Decision Support. In Proceedings of the 28th International Conference on Intelligent User Interfaces. 397-409. https://doi.org/10.1145/3581641.3584056

Received 9 October 2024; revised 9 October 2024; accepted 9 October 2024

## **B.2 Affinity mapping of interview results**



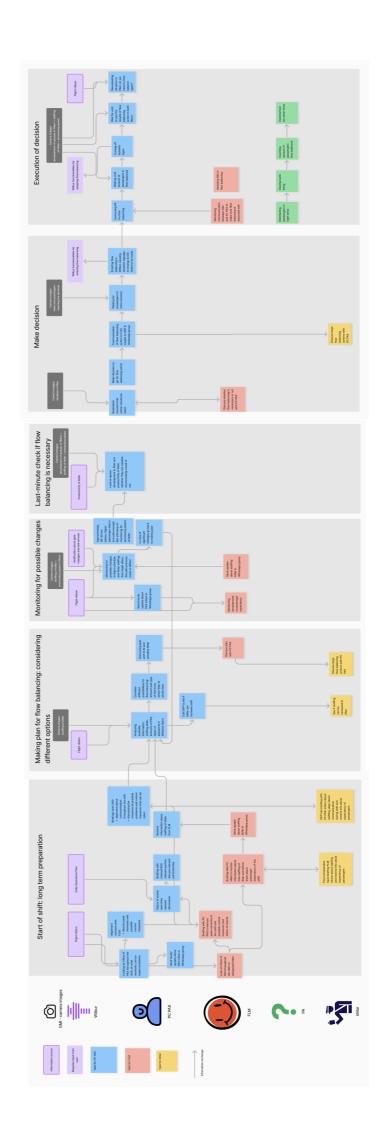




## B.2.1 Insights from workshop used in ideation

- Including all subjective data that is currently being used by PC PAX in the reasoning of ADM, by objectifying it.
- Enable Floor managers to give feedback on the recommendations of ADM based on their expertise, to improve their adherence to ADM.
- Enabling PC PAX to explain decisions made to FLM with help of ADM.
- Also enable OKP to contest and voice their restrictions.
- Convincing kMar of the benefit of ADM and the benefits their involvement would have for their operation as well. Also by showing how Schiphol will account for their data safety concerns.
- Improving trust in relationship between PC PAX and OKP, to finally make sure kMar will share more information with PC PAX.
- Enable PC PAX to evaluate on the decisions they have made themselves, but also together with the stakeholders (FLM and OKP).
- Entering and updating the amount of open kMar desks can not be done everytime.

# B.3 Business process map for flow balancing



## **B.4 Reasoning for guidelines**

Insight 7: For security reasons, Marechaussee does not want Schiphol to collect and analyze any data about the division and scheduling of personnel from the Marechaussee, as this could contain sensitive information.

Factor 5: Opaqueness for stakeholders regarding the decision-making process might exacerbate existing tensions.

Tension 4: The lack of transparency provided by flow moderator about the predicted productivity of the flow creates uncertainty for the flow controller, limiting them to make informed decisions.

Barrier 2: Due to a lack of transparency and understanding, flow processors might create resistance towards the usage of the DSS.



## Have transparency in decision making

Border control authority and decision executors should be able to know which decisions are made and what the reason for these decisions is, to enable them to pursue their operational goals.

Factor 5: Involvement of stakeholders in the design of DSS.

Opportunity 9: A DSS could facilitate mutual understanding and more involvement of stakeholders in the decision making process through stakeholder access and involvement.

Insight 3: Interactions that PC PAX have with stakeholders are not only for the benefit of exchanging information, but also adds to f.e. alignment and other social aspect adhering to the decision-making process.

Tension 3: Due to the lack of formal agreements between flow controller and flow moderator, there is a large dependency on informal relationships, causing a lack of uniformity and uncertainties how decision are taken and whether decisions can be contested.



## Engage in the decision making process

Border control authority and decision executors should have the opportunity to give input supporting their goals during the decision making process, at an early stage where the initial plan...

Factor 7: Introducing a DSS in a multi-stakeholder system might cause increased need and pressure on communication between stakeholders.

Barrier 3: The introduction of a DSS might cause a reduction in interactions between decision-makers and flow processors and decision executors, limiting the exchangeof important information that is exchanged during these interactions.



Border control authority and decision executors should have standard interaction moments, that fit within their and the decision makers current workflows and are formally agreed on.

Factor 6: Conflicting goals for the functionalities of a DSS between stakeholders can obstruct its adoption.

Tension 2: Flow controller and flow moderator have different goals for the passenger flow, flow controller wants to minimize waiting and walking times of passengers and flow moderator wants to protect the border security by performing thorough passport checks. These goals can be conflicting.

Tension 1: In some situations flow guiders have a direct need for flow balancing in their own filters, which is not awarded by the flow controllers as this has an overall negative effect for all the others filters.

Opportunity 1: Flow balancing could be more flexible regarding meeting needs of stakeholders.

Barrier 1: The introduction of a DSS may lead to deterioration of the relationship between decision-maker, flow processor and decision executors, if their operational goals are not incorporated in the decisions made.

## Negotiate with the flow controller

Decision makers should discuss different decision options with border control authority and decision executors to reach a consensus about a decision that has integral benefits. Factor 3: DSS should be appropriate and adaptive to the context specific situation.

Insight 1: During the decision-making process PC PAX is using other information sources in addition to information from Wilbur such as their own expertise, information from stakeholders and camera footage.

Opportunity 8: DSS should be appropriate for the user and their needs, this can be done through enabling users to adjust the output and continuous support such as a warning system.

Barrier 5: Because certain subjective information, valued by the decision-makers, is missing in the algorithm of the DSS, as well as the opportunity for verification, decision-makers may perceive its recommendations as inadequate or not trustworthy.

Barrier 4: Junior decision-makers may over-rely on the DSS and its recommendations without contextual understanding. In case of high uncertainty in the data input, it might be difficult for them to judge whether or not to trust the recommendations.

## Gather subjective insights alongside predictions

The DSS should stimulate decision makers to gather subjective insights, either from their own experience or from stakeholders, helping them to maintain their contextual awareness and validate recommendations.

## Give feedback on recommendations

The DSS should enable decision makers to give feedback on the recommendations generated by ADM after peak moment has passed, which are used to improve the algorithm.

Factor 2: Adjustment of DSS to knowledge, workflow and practices of workers.

Opportunity 2: DSS should provide explanations with recommendations, to gain understanding and trust from users.

Opportunity 3: PC PAX could gain the ability to argue their decisions in a better and more uniform way towards stakeholders.

## **Receive explanation of recommendations**

DSS should provide explanations to decision makers that explain why decisions are taken, based on the effect these decisions on the situation.

Factor 2: Adjustment of DSS to knowledge, workflow and practices of workers.

Insight 2: PC PAX do make a plan upfront but wait until the last moment with finalizing their decision-making, as important information can change up until the last moment.

## Plan decisions ahead

The DSS should enable decision makers to make an initial decision plan and record this in the DSS before the critical moments, that can be adjusted in case of large changes in predictions.

Factor 1: Consideration of needs, expectations and concerns of workers and other stakeholders in the design of a DSS.

Insight 5: The effectiveness of flow balance actions is negatively impacted by the variability of the quality of the work executed by PA's.

Barrier 6: If important data input is not accurate, it may cause large uncertainties shown in the DSS predictions. This mightcause decision-makers to not see the addedvalue of the DSS for their job.

Opportunity 7: The bandwidth allows PC PAX to calibrate their trust in the predictions generated by ADM.

## Know the confidence of predictions

The DSS should show the quality of the predictions made based on the quality and certainty of the data input used that should enable decision makers to determine whether they can trust the recommendations made.

Factor 4: Role of DSS is supportive, decision-making happens in collaboration between DSS and worker.

Opportunity 8: DSS should be appropriate for the user and their needs, this can be done through enabling users to adjust the output and continuous support such as a warning system.

Opportunity 4: ADM might make it easier for PC PAX to monitor changes and also to filter whether changes would have a significant impact.

## Receive alert in case of change

DSS should filter changes in information according to relevance on previously made plan and only alert decision maker in case it might require reconsideration of the plan.

Factor 1: Consideration of needs, expectations and concerns of workers and other stakeholders in the design of a DSS.

Opportunity 6: ADM might give PC PAX the opportunity to evaluate and learn about the effects of flow balancing decisions on the passenger flow.

## Decide based on consequences of actions

The DSS should provide decision makers with the option to simulate the consequences of flow balancing decisions through simulation of the effects and therefore select the most effective option.