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In-Field 3D Wheat Head Instance Segmentation from TLS Point Clouds Using Deep Learning without Manual Labels

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Abstract

3D instance segmentation for laser scanning (LiDAR) point clouds remains a challenge in many remote sensing-related domains. Successful solutions typically rely on supervised deep learning and manual annotations, and consequently focus on objects that can be well delineated through visual inspection and manual labeling of point clouds. However, for tasks with more complex and cluttered scenes, such as in-field plant phenotyping in agriculture, such approaches are often infeasible. In this study, we tackle the task of in-field wheat head instance segmentation directly from terrestrial laser scanning (TLS) point clouds. To address the problem and circumvent the need for manual annotations, we propose a novel two-stage pipeline. To obtain the initial 3D instance proposals, the first stage uses 3D-to-2D multi-view projections, the Grounded SAM pipeline for zero-shot 2D object-centric segmentation, and multi-view label fusion. The second stage uses these initial proposals as noisy pseudo-labels to train a supervised 3D panoptic-style segmentation neural network. Our results demonstrate the feasibility of the proposed approach and show performance improvements relative to Wheat3DGS, a recent alternative solution for in-field wheat head instance segmentation without manual 3D annotations based on multi-view RGB images and 3D Gaussian Splatting, showcasing TLS as a competitive sensing alternative. Moreover, the results show that both stages of the proposed pipeline can deliver usable 3D instance segmentation without manual annotations, indicating promising, low-effort transferability to other comparable TLS-based point cloud segmentation tasks.

1. Introduction

Automated in-field 3D plant phenotyping remains a challenge in agriculture, especially when aiming for high-throughput field phenotyping (HTFP). LiDAR, and terrestrial laser scanners (TLS) in particular, have emerged as promising sensing technologies that offer a good trade-off between throughput and geometric data quality (Jin et al., 2021, Medic et al., 2023), providing a viable option for 3D HTFP. However, a crucial yet unsolved problem in the data processing chain is effective 3D instance segmentation of plant organs in point clouds, a necessary prerequisite for analyzing detailed plant morphology. This challenge becomes increasingly difficult to solve for crops with intricate canopies, such as wheat.

Recent efforts of 3D instance segmentation of plant organs have mostly focused on point clouds derived from multi-view photogrammetric reconstruction using dedicated camera setups (not LiDAR), individual plants (rather than canopies), stem vs. leaf segmentation (without finer organ-level detail), and indoor data acquisition (opposed to in-field) (Song et al., 2025, Jin et al., 2025). When it comes to in-field acquired TLS point clouds, most efforts have focused on stem-leaf segmentation for maize plants (Jin et al., 2019, Jin et al., 2025) or tiller counting for wheat plants (Gu et al., 2023). The former was targeted due to a relatively simple scene geometry (large plants and plant organs, clear spacing between plants), while the latter benefits from problem simplification and approximation (it is easier to roughly identify and count than to isolate complete instances for detailed morphological analysis). These promising first results have not yet been extended to broader 3D plant phenotyping or to more complex crops such as wheat.

Despite the undeniable importance of wheat and the relevance of wheat head morphology to key traits such as yield (Hund

et al., 2019), 3D wheat head instance segmentation has not yet been attempted using LiDAR (including TLS) point clouds. A few related works reported success using structured light and laser triangulation scanners (Liu et al., 2023, Wang et al., 2022), which provide point clouds of exceptionally high resolution and quality. However, these technologies require specialized infrastructure (e.g., field phenotyping platforms), which limits their usability, and their throughput is comparably lower than that of LiDAR platforms such as TLS (Paulus, 2019). Hence, there is considerable value in achieving comparable results using a LiDAR-based platform like TLS, enabling more effective HTFP.

As an alternative to scanning-based solutions for in-field 3D wheat head instance segmentation, (Zhang et al., 2025) presented Wheat3DGS, an approach using a dedicated field phenotyping platform with a multi-camera rig and 3D Gaussian Splatting based 3D scene representation (Kerbl et al., 2023). The approach demonstrated competitive performance in both throughput and quality and arguably presents the state-of-the-art solution for this particular phenotyping task. In this work, we use Wheat3DGS as a baseline and aim to match its performance, using TLS point clouds instead of multi-view RGB images.

Existing scanning-based solutions for 3D plant phenotyping typically tackle instance segmentation of plant organs either using supervised 3D deep learning, unsupervised machine learning (clustering) with geometry-based and hand-crafted rules, or a combination of both. However, these strategies are not directly transferable to 3D wheat head instance segmentation in TLS point clouds, either due to a lack of annotated data or due to large differences in point cloud characteristics, particularly resolution and quality (Paulus, 2019). Hence, to solve this task, we extended our search for an appropriate solution beyond the agricultural domain.

In general, 3D instance segmentation has advanced rapidly across domains with different constraints. In robotics, typically characterized by indoor and camera-rich environments, many pipelines reduce the 3D problem to 2D by segmenting instances in images and then associating them across views back into 3D using multi-view consistency, as done in (Yan et al., 2024, He et al., 2025). These pipelines can operate with minimal or no manual annotations by leveraging strong pre-trained (foundation) image models, alleviating the labeling bottleneck. However, they rely on dense coverage and may degrade under clutter, occlusion, repetitive structures, or limited overlapping views, conditions commonly encountered in HTFP.

Alternative pipelines rely on supervised deep learning algorithms operating directly on 3D data (mostly point clouds). They can be primarily categorized into 3D bounding box proposals (common in autonomous driving) and learned point grouping (clustering) approaches (common in remote sensing) (Xiang et al., 2023b). Successful applications in remote sensing include airborne and terrestrial mobile mapping of urban areas and infrastructure, and tree-level instance segmentation in forestry (Xiang et al., 2023b, Xiang et al., 2024). However, these approaches remain fully supervised, requiring labor-intensive, per-point 3D annotations that are difficult and expensive to obtain. This limits their applicability to cases where manual labeling is feasible, which is not the case for HTFP of complex canopies.

In this study, we tackle the problem of in-field 3D instance segmentation of wheat heads using TLS point clouds. To address it, we combine and adapt two complementary 3D instance segmentation strategies into a two-stage pipeline. We adapt a 3D-to-2D projection approach for TLS point clouds (thereof derived 2D image representations) and employ the Grounded SAM framework (Ren et al., 2024) to eliminate the need for manual annotations. This forms Stage-I of our pipeline, which already provides promising results. To further relax the requirement for strict multi-view consistency, which is difficult to guarantee in cluttered or complex canopies, we introduce Stage-II, where Stage-I outputs are used as pseudo-labels to train a 3D panoptic-style deep neural network. Although these labels are noisy and contain missed detections, we hypothesize that the network can still learn the discriminative 3D features of wheat heads and recover them even in cluttered areas. Our results confirmed this hypothesis.

To verify the practical relevance of our results for wheat HTFP, we compared our approach with Wheat3DGS. The comparison demonstrates that our TLS-based pipeline provides a viable and competitive alternative to the state of the art in in-field 3D wheat head instance segmentation and phenotyping.

2. Implemented 3D Instance Segmentation Pipeline

Our 3D instance segmentation pipeline comprises two stages: a multi-view projection-based stage (Stage-I, Sec. 2.1) and a panoptic-style neural network stage (Stage-II, Sec. 2.2). The pipeline is general purpose and does not incorporate any task-specific priors for wheat head segmentation.

2.1 Multi-View Projection-Based 3D Instance Segmentation

The Stage-I of our pipeline uses a multi-view projection-based 3D instance segmentation strategy that follows the mentioned works on indoor 3D scene understanding in robotics, e.g., see (Yan

et al., 2024, He et al., 2025). The overview of Stage-I is provided in Fig. 1. The necessary input consists of: (i) per-scan-station (multi-view) TLS point clouds (XYZ+intensity values) that were previously aligned in a common local coordinate system (LCS), (ii) a text prompt defining the objects of interest within the scene, i.e., the targets of the 3D instance segmentation, and (iii) hyper-parameters that are steering the behavior of the pipeline. The main hyper-parameters are: d_p - the desired point spacing (resolution) of the output point cloud and r_{max} - the maximal range r of interest (explanation follows).

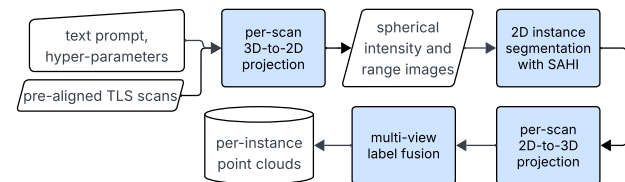


Figure 1. Flowchart of the implemented multi-view projection-based 3D instance segmentation (white: I/O, blue: data processing modules).

The first module (Fig. 1, blue boxes) projects the per-station point clouds into native spherical (panoramic) range and intensity monochromatic images (x- and y- image axes correspond to horizontal and vertical angle measurements). Initially this is done losslessly with a resolution corresponding to the scan resolution. Subsequently, the image resolution is reduced by image compression using Lanczos resampling algorithm (Duchon, 1979) to a target ground sampling distance of d_p at the maximum range r_{max} . This reduces the computational burden and facilitates efficient image processing in the following steps. An example of the resulting intensity and range images is shown in Fig. 2.

These images are fed into the 2D instance segmentation module which relies on Grounded-SAM (Ren et al., 2024) inference pipeline, which incorporates two foundational image-processing models: Grounding DINO (Liu et al., 2024) - an open-set object detector steered by an arbitrary textual prompt as input, and Segment Anything Model (SAM) (Kirillov et al., 2023) which can transform object detections (bounding boxes) into high-quality instance segmentation masks. We adopted the Grounded-SAM implementation which substitutes SAM, with SAM2 (Ravi et al., 2024) for increased mask quality and incorporates Slicing-Aided-Hyper-Inference or SAHI (Akyon et al., 2022) to allow for processing large panoramic images, which essentially breaks large images into smaller overlapping image patches, runs inference on individual patches, and combines the results using the Non Maximum Suppression (NMS).

As the text prompt is used to define the objects of interest within the scene (prompt example: "house. window. car."), the output of this module is per-instance 2D masks paired with corresponding semantic classes for each of the input images (two images per scan - range and intensity, and one class per object defined within the text prompt). We do not fuse the segmentation results of the corresponding intensity and range images, e.g., using NMS, but keep them as independent observations of the scene (i.e., independent views), as they refer to different observation modalities and bring somewhat independent evidence of the observed instances. The obtained 2D instance segmentation masks are projected back into the originally acquired 3D points in LCS, using known point-to-pixel correspondences. Each resulting 3D instance represents only a partial view of an

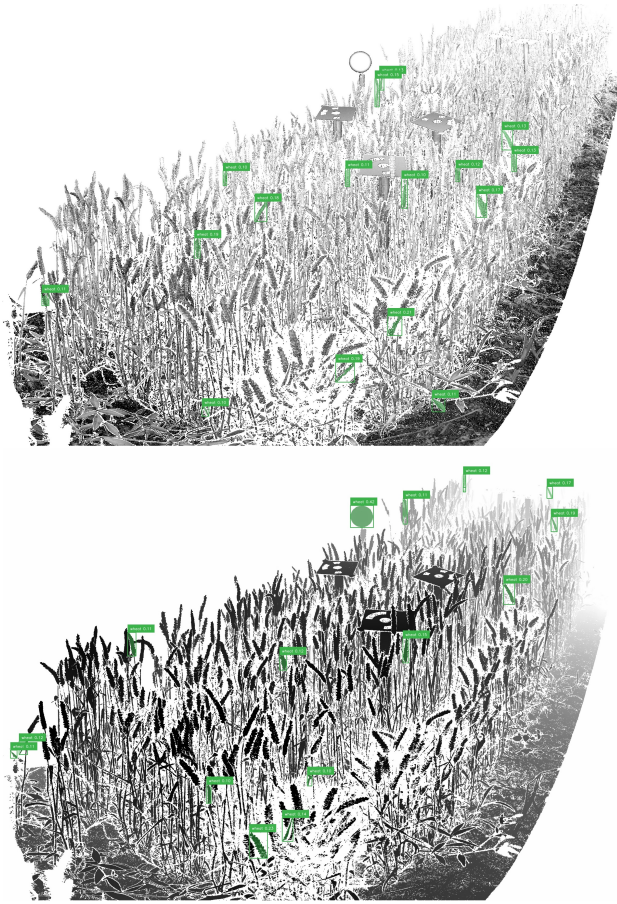


Figure 2. Examples of intensity (top) and range (bottom) images generated by the per-scan 3D-to-2D projection module, with a few examples of 2D instance masks generated by the 2D instance segmentation module. For clarity, only a small subset of the masks is shown.

object, with potentially corresponding detections across multiple views (scan-stations) or multiple modalities (intensity and range images).

The 3D instance correspondence search and multi-view + multi-modal label fusion (Fig. 1, last module) relies on a graph-based clustering technique that was largely inspired by MaskClustering (Yan et al., 2024) and adapted for our use case. We build a sparse undirected weighted connectivity-graph $G = (V, E)$ where each node or vertex $i, j, l, \dots \in V$ is a per-3D-instance oriented bounding box B ; edges E are first given by k -nearest neighbors considering $d(B_i, B_j)$ centroid distances in the Euclidean space and then pruned by the intersection over union (IoU) using a threshold τ , so

$$(i, j) \in E \iff (i, j) \in E_{kNN} \wedge \text{IoU}(B_i, B_j) \geq \tau. \quad (1)$$

For each retained edge (i, j) , the weight is the number of “supporter” nodes l that have valid edges with both elements of the inspected edge (i, j) , i.e., it is the number of masks B_l that have an $\text{IoU} \geq \tau$ with both B_i and B_j , which can be expressed as:

$$w_{ij} = |\{l \in V \setminus \{i, j\} : (i, l) \in E \wedge (j, l) \in E\}|. \quad (2)$$

Hence, in our implementation w_{ij} represents the count of triangles (i, j, l) in the pruned graph, which is a simplification

relative to the MaskClustering approach (Yan et al., 2024). The clustering of the nodes is then realized using the Highly Connected Sub-graphs (HCS) algorithm (Hartuv and Shamir, 2000). The graph-based clustering output is a set of merged 3D instance point clouds obtained by uniting the instances associated with nodes $\{i, j, l, \dots\} \in C$ for each cluster C . The merged point clouds are then subsampled to the target point spacing d_p and expressed in the LCS. The Stage-I yields a standalone 3D instance segmentation result that serves both as input to Stage-II and as another baseline solution for comparison in Sec. 4.

Remark: Some of the implementation details are omitted for brevity and to adhere to the demands of this science communication format. They primarily comprise: a full list of hyperparameters, details on implemented outlier removal strategies, and details on auxiliary point cloud processing steps. In short, we implemented outlier removal strategies at several locations within the pipeline relying on either data-driven or a priori defined thresholds. The implemented point cloud processing steps mostly support generating spherical images and oriented bounding boxes with higher quality. Collectively, these steps help improve the computational efficiency and the quality of the results, but are non-essential for the functioning of the presented pipeline. Interested readers can find the details in the following public GitHub repository: <https://github.com/tomedic/tls2dseg>.

2.2 Instance Segmentation using a Panoptic-Style Neural Network

Extending our pipeline with Stage-II can be viewed as a task of 2D-to-3D label transfer and knowledge distillation, where we transfer the knowledge of 2D foundational teacher models (Grounding DINO and SAM2) to a model operating in 3D, but with a simultaneous distillation, focusing only on the objects of interest defined by the text-prompt provided as an input in Stage-I. The Stage-II adopts an algorithm for 3D panoptic segmentation for large-scale LiDAR point clouds introduced in (Xiang et al., 2023a). We chose this algorithm, as it was proven to be effective for 3D instance segmentation in large-scale mobile mapping point clouds (similar to TLS), and effective for tree instance segmentation in forestry domain (similar to HTPF in agriculture). In this section, we provide a brief description of the algorithm, and the description of the interface between Stage-I and -II of our pipeline (i.e., producing the input for deep learning and generating noisy pseudo-labels for training).

Algorithm. The input point clouds are converted into regular sparse voxel grids and processed with a U-Net-style backbone built on Minkowski Engine (Choy et al., 2019) for feature extraction. On top, there are three MLP heads: (i) a semantic segmentation head trained with cross-entropy; (ii) a PointGroup-style instance clustering head (Jiang et al., 2020); and (iii) a discriminative-embedding-based instance clustering head (De Bra-bandere et al., 2017). Semantic predictions act as a logical gate to restrict instance search only to points related to preselected semantic classes. The two instance segmentation heads produce independent cluster proposals that are scored by a lightweight U-Net (“ScoreNet”), as in (Jiang et al., 2020). Final instances are obtained by thresholding the predicted scores and applying the NMS. Because scenes (point clouds) are too large to process at once, they are sampled by overlapping spheres of a fixed radius and resolution for training and inference. These sphere-level outputs (model predictions) are merged into a unified result using threshold-based rules. For implementation de-

tails, we strictly follow (Xiang et al., 2023a) and its respective public codebase. Deviations are limited to dataset-specific hyper-parameters, out of which, the most relevant ones are listed in Sec. 3.

Interface of Stage-I and -II. We build the unlabeled target point cloud P_t by merging all per-scan-station point clouds in the LCS and subsampling it to spacing d_p . We merge all 3D instance point clouds (Stage-I output) into a query point cloud P_q . Semantic and instance labels are transferred from P_q to P_t via nearest-neighbor interpolation with radius d_p , where points in P_t without a neighbor from P_q within a radius d_p receive null labels for both semantic class and instance ID, and are marked as background. After transfer, labels are refined by: (i) weighted majority voting within a radius $d_p \cdot 1.2 \cdot \sqrt{3}$ ($\approx$ 3D voxel reachability with a small jitter margin of 1.2), and (ii) strict instance-wise majority voting: if $\geq 80\%$ of points in an instance share the same semantic class, all points in that instance get that class label; otherwise the instance is reset to null labels. This produces P_q^t , which serves as pseudo-labeled input for Stage-II.

At inference, the network predicts semantic and instance labels for all points in P_t ; during training and evaluation (testing) it predicts on P_q^t , where the predicted labels are compared to the initial pseudo-labels for computing loss terms and evaluation metrics. We report the merged scene-level predictions (not per sampled sphere) and compare them against Stage-I outputs and our baseline (Wheat3DGS) in Sec. 4. Although we use an algorithm for panoptic segmentation, we do not claim panoptic segmentation with our implemented pipeline, as our pipeline provides exactly one non-informative “stuff” (uncountable, without instances) semantic class, the background class. Hence, the algorithm output reduces to 3D instance segmentation, and we report instance-level metrics accordingly (Sec. 4).

3. Experiment

In Sec. 3.1, we present the dataset used to test the implemented pipeline on the targeted use case of in-field 3D wheat-head instance segmentation. Additionally, we briefly explain how the baseline Wheat3DGS results are generated. In Sec. 3.2, we summarize the main data processing details, primarily main hyper-parameter choices and dataset-specific implementation details related to our pipeline.

3.1 Data Collection

Our study uses an open-access dataset captured for the development and validation of the Wheat3DGS algorithm (Zhang et al., 2025), a baseline solution used in Sec. 4. The dataset comprises multi-sensor observations (RGB images and TLS scans) of a small-scale wheat phenotyping experiment with 7 plots, each measuring approx. 1.5 m^2 (Fig. 3). The plots contain wheat plants with 42 different genetic varieties to induce variability and provide a minimal assessment of generalizability of the results. Each plot contains several hundred wheat heads, resulting in a few thousand instances overall.

The data used for Wheat3DGS consists of RGB images acquired by the Field Phenotyping Platform (FIP) (Kirchgeßner et al., 2017), which carries a multi-view camera rig equipped with 13 cameras (12 MP). Image-based 3D reconstruction of each of the 7 plots was done using 36 images and was supported by 3 photogrammetric targets (coded markers) per plot to aid

Structure from Motion (SfM), set the scale, and enable alignment with TLS scans. Based on this data, Wheat3DGS does 3D scene reconstruction using 3D Gaussian Splatting (Kerbl et al., 2023), and subsequently performs 3D instance segmentation relying on a pipeline which resembles our Stage-I: it uses YOLOv5 2D object detector specifically trained to detect wheat heads (David et al., 2021), SAM to retrieve masks per detected instance; and 2D-to-3D projections, IoU scores and greedy correspondence search to solve the multi-view label fusion problem (further details in (Zhang et al., 2025)).

The data used for testing our pipeline consists of TLS scans that were obtained using a FARO Focus 3D S 120 (FARO Technologies, Inc., FL, USA) operating with full resolution (1.6mm @ 10m) and the highest quality setting (“1x” = no internal point averaging). The scanning setup comprised multiple scan positions (19), including upside-down scans from a custom mount installed approximately 1 m above the canopy, and side-scans from a tripod at several meters distance to ensure a comprehensive coverage. Reference spheres (6 x 15 cm in diameter) were placed within the scene to facilitate scan registration. The scans were registered within a common local coordinate system (LCS) in the FARO SCENE 2022.1.0 software using a target-or sphere-based algorithm, with a mean alignment error (sphere centroids mismatch post registration) of 3 mm (max. 10 mm). Such per-scan-station point clouds expressed in the LCS served as an input to our pipeline.

Additionally, to allow for comparison between TLS scans and Wheat3DGS, the authors in (Zhang et al., 2025) additionally aligned the registered scans with the image-based 3D scene reconstruction. The alignment of the corresponding wheat plants between the TLS and Wheat3DGS was assessed to be on average within 10 mm based on visual inspection, while we have observed the maximal misalignment of up to 30 mm. Further improvement in the alignment would require non-rigid transformations of the point clouds to compensate for plant motion, e.g. due to wind (Medic et al., 2023). However, this was not done due to a lack of readily available algorithms, and developing such algorithms would be beyond the scope of this work.

3.2 Data Processing

As mentioned in Sec. 2.1, the Stage-I requires 3 inputs: (i) TLS point clouds in a common LCS; (ii) a text prompt defining the instance segmentation targets, in this case a single word prompt “wheat”; (iii) a set of hyper-parameters steering the Stage-I, where the main ones are d_p of 3 mm, r_{max} of 6 m, τ of 0.15, k for knn equal to the number of scans (19).

Once the results of Stage-I are transferred to the merged and subsampled point cloud P_t , in Stage-II, this dataset requires solving a 2-class semantic segmentation (wheat vs. background) and an instance segmentation for the wheat class. To limit the overfitting and demonstrate some generalizability of the Stage-II, we spatially split the dataset according to the plots as shown in Fig. 3, with 5 plots for training, 1 for validation and hyper-parameter tuning, and 1 for testing. For training and inference, we sample spherical point cloud samples (Sec. 2.2) of 0.12 m radius and d_p resolution. During training, each sampled sphere passed through data augmentation (regularization strategy) that introduces randomized point cloud transformations consisting of: applying random noise (0 mean, 0.3 mm stdev), random rotations of up to 180 degrees about the Z-axis, random anisotropic scaling with a scale factor in the range of 0.9-1.1, and random flips about the X-axis. To facilitate efficient training,

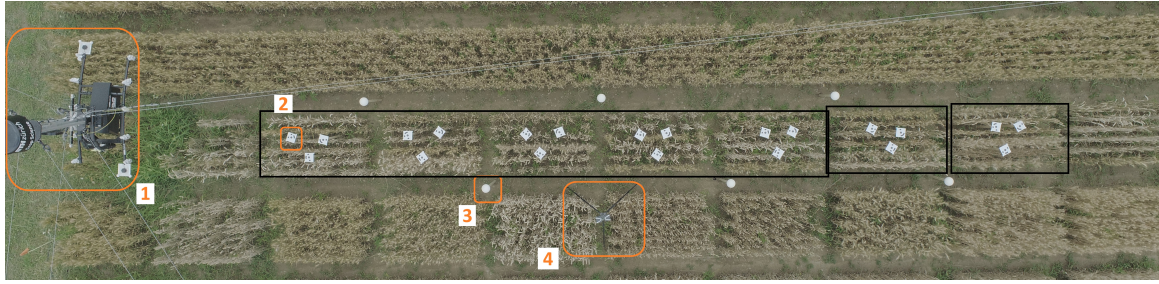


Figure 3. Overview of experiment setup and data collection. Orange rectangles: (1) field phenotyping platform with a camera rig, (2) photogrammetric target (coded marker), (3) reference sphere, (4) TLS. Black rectangles: 7 wheat plots covered within a measurement campaign, from left to right - 5 training, 1 validation, 1 test / hold-out plot.

we used batches of 16 spheres and accordingly adjusted the learning rate to 0.004. All other parameters and settings (incl. e.g., the choice of the optimizer, number of epochs, and learning rate schedule) were kept as in the original publication and can be found in the related codebase. Training was run on a workstation with an NVIDIA GeForce RTX 3090 Ti (24 GB RAM, CUDA 11.5) and took 12 hours. Remarks: Parameters d_p , r_{max} , and sphere radius are set empirically, while the parameters τ and k are chosen experimentally based on trial and error or educated guesses.

4. Results

We evaluated the quality of the 3D wheat head instance segmentation against a set of sparse reference labels. Due to the high occlusion and complexity of the canopy, producing a dense per-point ground truth and computing 3D IoU-based shape evaluation was infeasible. Instead, for each visually identifiable wheat head, we manually annotated a single 3D point g_j , yielding a sparse set G where $|G|$ equals the total number of wheat heads in the scene. Thus, each $g_j \in G$ should lie within one predicted wheat-head instance in P_t . All metrics are computed only for the test / hold-out plot (Fig. 3), which was not used for training in Stage-II. The related point cloud P_t along with the corresponding reference annotations g_j are presented in Fig. 4.

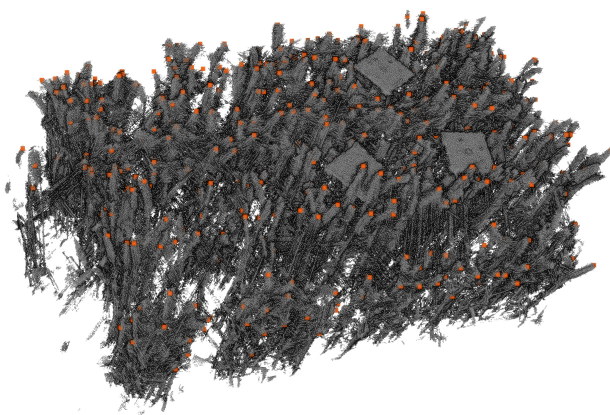


Figure 4. Sparse reference 3D instance annotations (red) overlaid over P_t point cloud of the test / hold-out plot.

For quantification, we computed the following values. For each segmented 3D instance $I_k \subset P_t$ (with points $p \in I_k$) and each reference point $g_j \in G$, we compute a spatial matching distance $d_{jk} = \min_{p \in I_k} \|p - g_j\|_2$. With a fixed distance threshold $d_\tau = 30$ mm, we solve a one-to-one assignment using the Hungarian algorithm (Kuhn, 1955) on the matrix D_{jk} , disallowing

matches with $d_{jk} > d_\tau$. Matched pairs are considered true positives (TP), unmatched predictions as false positives (FP), and unmatched references as false negatives (FN). We report $F1$ score, precision, and recall next to the TP/FP/FN counts. For completeness, we also report absolute and relative counting errors (CE and RCE) relative to the number of manual reference detections.

We computed those metrics for four 3D wheat head instance segmentation realizations: (1) Wheat3DGS as a baseline approach, (2) Stage-I results as own possible independent solution, (3) Stage-II results as the intended end result of our pipeline, but also (4) the joint results of Stage-I and Stage-II (merged using NMS with 3D IoU, with 0.1 threshold). All results are summarized in Tab. 1 and the corresponding data is illustrated in Fig. 5. The analysis of the results is separated in the following three subsections.

Metric	W3DGS	Stage-I	Stage-II	I+II
TP	83	267	296	348
FP	228	109	103	165
FN	375	191	162	110
P	0.27	0.71	0.74	0.68
R	0.18	0.58	0.64	0.76
F1	0.22	0.64	0.69	0.72
CE	-147	-82	-59	55
RCE	-0.32	-0.18	-0.13	0.12

Table 1. 3D instance segmentation evaluation metrics for Wheat3DGS (W3DGS) and our pipeline; separately for Stage-I, -II and combined I+II. Legend: TP - true positives, FP - false positives, FN - false negatives, P - precision, R - recall, F1 - F1 score, CE - counting error, RCE - relative counting error, USR - under segmentation ratio in percent.

Remark: We mitigate potential misalignment issues by using a generous correspondence threshold $d_\tau = 30$ mm, which was chosen to exceed the maximum observed alignment error between the TLS and Wheat3DGS datasets (Sec. 3.1). While the sparse nature and limited quality of the manual reference annotations should be considered, the reported metrics are consistent with visual inspection and provide a sufficiently robust quantitative basis for comparing the methods.

4.1 Comparison Against the Benchmark

The data in Tab. 1 suggests that our TLS-based pipeline (any solution) outperforms the image-based Wheat3DGS baseline on the selected metrics, best indicated by the noticeably higher F1-score. This showcases TLS, in conjunction with our method, as a competitive sensing modality for 3D high-throughput field

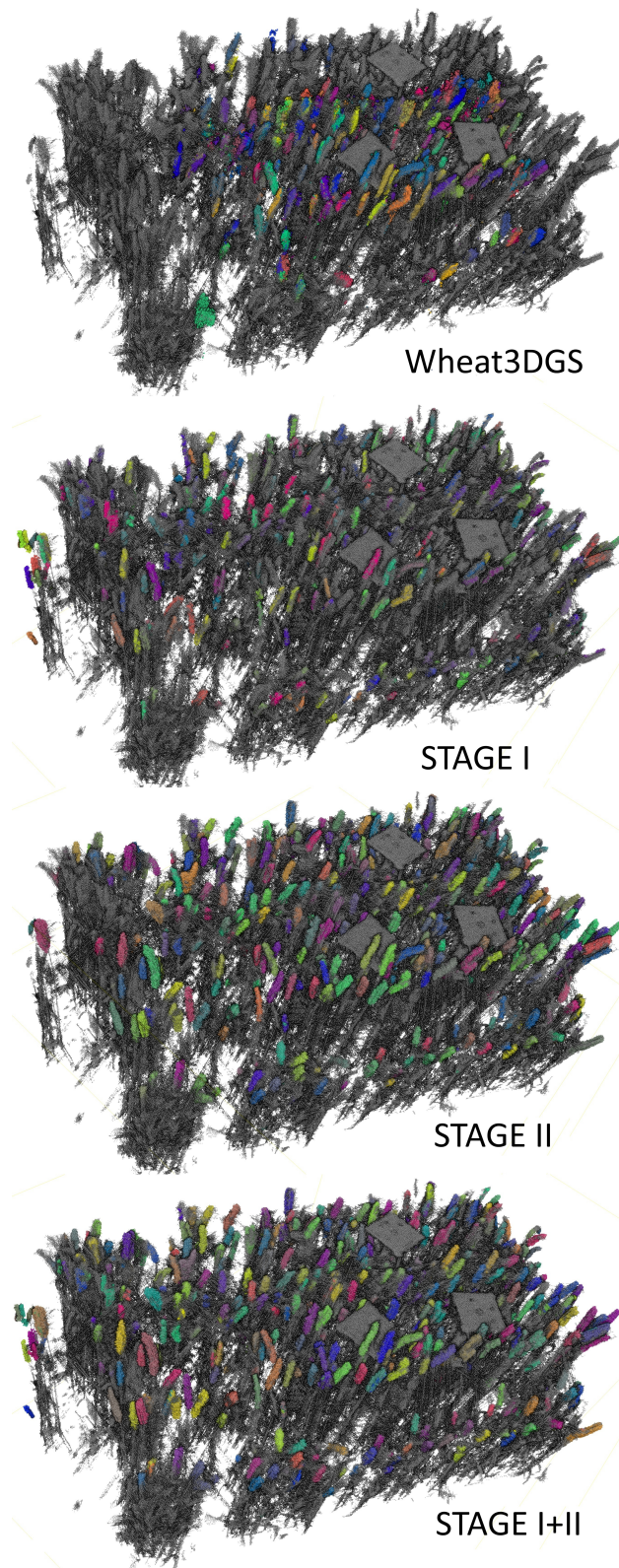


Figure 5. Detected 3D wheat head instances (random color per instance alignment) overlaid over P_t point cloud of the hold-out (test) plot. A few side views are shown in Appendix A.

phenotyping. Pinpointing exact causes for the divergence between Wheat3DGS- and TLS-based results is beyond the scope of this work. It is possible that it is partially caused by a superior distribution of TLS viewpoints relative to the distribution of camera

viewpoints used for Wheat3DGS. Consequently, it is unclear which method would overperform under unbiased conditions, and, hence, the reported differences in the performance should in this case be interpreted as indicative rather than definitive. Nevertheless, what we want to highlight is that our method is evidently effective. It at least matches and potentially further elevates the bar relative to a very recent SOTA technical solution for in-field wheat head 3D instance segmentation and phenotyping.

On one hand, our solution presents a viable, and rather easily re-usable methodology for a specific agriculture domain problem, namely the in-field assessment of wheat head morphology at scale (with high throughput), where 3D instance segmentation is a necessary prerequisite. The presented solution does require a rather costly instrument (TLS), but does not require a dedicated permanently installed infrastructure, like common field phenotyping platforms, as used e.g., in (Liu et al., 2023). Moreover, the existence of such a TLS-based technical solution offers, if not a reference, at least an independent control that can be used for further development and evaluation of the image-based approaches for 3D phenotyping and morphology analysis at scale. In many other domains, this has proven itself to be a cornerstone of efficient algorithmic development, where TLS point clouds are considered to be an undisputed reference for 3D scene geometry.

On the other hand, our results demonstrate that it is possible to solve rather challenging cases of 3D instance segmentation in TLS (LiDAR) point clouds mostly by relying on the existing 2D image domain foundational models, circumventing the need for manual annotations. In other words, our results demonstrate a zero-shot solution for 3D data. This is especially important for similar use cases where creating dense ground truth is infeasible or prohibitively costly. In our case, for example, it is virtually infeasible for a human annotator to solve this annotation problem, mostly due to a high level of occlusions in a complex canopy structure and a high ratio of erroneous and noisy points that stem from mixed pixels (Medic et al., 2023). For any comparable task, our pipeline might present a viable way forward. Moreover, as the method is a zero-shot method, our results promise a potential for a rather broad applicability and generalizability of the implemented pipeline. However, confirming that will be a part of future efforts.

4.2 Comparison of Stage-I and -II

While the performance of Stage-I and Stage-II is comparable, Stage-II provides a measurable improvement in all evaluation metrics (Tab. 1). More importantly, visual inspection revealed that some of the wheat heads that were not detected in Stage-I, were detected in Stage-II and vice versa (Fig. 5 and Fig. A.1). This is not surprising, as our Stage-I has a hard requirement of having at least 3 independent observations of a single instance for it to be accepted as a valid 3D instance (see Sec. 2.1). This is a requirement that can be hard to satisfy for all wheat heads due to the heavy occlusions. However, the segmentation model in Stage-II learns from Stage-I examples to recognize wheat heads in 3D, independent of the number of observations, and is capable of detecting instances that were initially missed (e.g. regions 2 and 3 in Fig. A.1). Unfortunately, it also misses some instances that were detected by Stage-I (e.g. regions 4 and 5 in Fig. A.1). This can be quantified and observed if we compare the true positives and recall values for all solutions, including the combined results of Stages I- and II (I+II). If in-

stances detected by both stages are combined, we can find noticeably more wheat heads, which is best reflected in the true positives count, recall and F1-score in Tab. 1 (also visible in Fig. 5 and Fig. A.1). Hence, we conclude the following: (i) introducing Stage-II does have its merits; (ii) both Stage-I and -II have their own advantages; (iii) combining the results of both might provide the best 3D instance segmentation (and counting) performance.

4.3 Analysis of Failure Cases

By visual inspection, we identified some common instance segmentation problems. For once, as anticipated, Stage-II has difficulty coping with out-of-the-distribution samples, e.g., with rare wheat heads that are very large, curved, and hanging more horizontally than vertically. This partially explains why Stage-II is not clearly superior to Stage-I regarding metrics in Tab. 1 (Stage-I is more immune to this problem). Addressing it either requires a deployment of a suitable strategy, e.g., identification of such cases and corresponding data augmentation, or it can be partially resolved by our "hotfix", by combining Stage-I and -II predictions.

Also, most of the missed detections (FN) can be related to very cluttered portions of the scene, having multiple (≥ 5) wheat heads forming a dense cluster. In these cases, correctly discerning individual wheat heads can be overly challenging for the proposed pipeline (again primarily for Stage-II), which sometimes results in under-segmentation, i.e., having multiple wheat heads being assigned to one 3D instance (e.g. region 3 in Fig. A.1). To confirm that we computed an under segmentation ratio USR as follows: for each segmented instance I_k , we search for reference labels $G_k = \{g_j \in G : d_{jk} \leq d_\tau\}$. If the condition $|G_k| \geq 2$ is fulfilled, I_k is considered to be under-segmented, i.e., it likely contains more than one wheat head within one 3D instance I_k . For this analysis, we focus on our pipeline and skip the analysis of Wheat3DGS results. As a result, we deploy a stricter d_τ of only 10 mm (instead of the initial 30 mm). The corresponding USR for Stage-I -II and -I+II were 2.4%, 9.5%, and 7.5% respectively. These values confirm our claims for Stage-II results, as this $\approx 10\%$ USR value accounts for a substantial portion of the false negatives ($\approx 30\%$) and the counting error (CE and RCE in Tab. 1). This issue may be mitigated by improved post-processing, particularly in the NMS step and the strategy for merging overlapping sphere predictions (see Sec. 3.2). An in-depth analysis of this bottleneck is left for future work. In the case of Stage-I, the large portion of false negatives and the counting errors are rather linked to the aforementioned strict multi-observation requirement, which cannot be satisfied for all instances. Again, our claims are supported by the fact that the combined I+II solution provides better metrics than the individual Stage-I and -II solutions, indicating different causes for the detection errors.

Furthermore, a part of the drop in the Tab. 1 values relates to the quality of our ground truth, especially the false positive values can be impacted, if our pipeline managed to identify the instances that the human annotators missed. Finally, some errors, especially those related to the combined I+II results, relate to overly simplified data-processing strategies. Namely, I+II results have too many overall detections (CE and RCE), false positives, and they exhibit a corresponding drop in precision, i.e., they indicate detecting many more wheat heads than are present within the scene. This can, to a large degree, be attributed to a naive Stage I and II results merging strategy, which

sometimes fails to merge corresponding instances, introducing two detections per one ground-truth label, which in turn introduces false positives. However, further improvements are left for future work.

5. Conclusion

This study presented a novel, two-stage pipeline for zero-shot 3D instance segmentation in TLS point clouds, effectively eliminating the dependency on manual annotation. Our approach synergistically combines (i) a multi-view projection-based stage that leverages the zero-shot capability of foundational 2D models (Grounded-SAM) for initial instance proposal generation, with (ii) a second stage that distills this 2D knowledge into a supervised 3D panoptic-style neural network trained on pseudo-labels. We validated our method on the challenging agricultural task of in-field 3D wheat head instance segmentation. Our analysis on a hold-out test plot suggests that, under given experiment conditions, our TLS-based approach outperforms Wheat3DGS, a state-of-the-art image-based baseline, noticeably improving the F1-score. This compelling result underscores two key contributions: (i) it establishes TLS coupled with our processing pipeline as a highly competitive technology for high-throughput field phenotyping; and (ii) it demonstrates the feasibility of high-quality zero-shot 3D instance segmentation by effectively transferring knowledge from powerful 2D vision foundation models to the 3D domain.

We acknowledge two primary limitations of this study: the use of sparse reference labels for evaluation and the performance ceiling of the chosen baseline. Furthermore, the pipeline has identifiable bottlenecks, including residual under-segmentation in dense instance clusters, sensitivity to out-of-the-distribution samples, and dependence on the heuristics used for merging overlapping predictions. Our future work will focus on addressing these limitations by refining the post-processing and fusion strategies, and exploring human-in-the-loop or active few-shot learning to efficiently correct errors in challenging cases. As one specific venue, we will investigate substituting naive NMS with more advanced merging strategies, such as Soft-NMS (Bodla et al., 2017) or Weighted Boxes Fusion (Wang, 2021).

Looking ahead, we will validate the generalizability of the pipeline across different plant phenotyping and remote sensing tasks. Moreover, considering plant phenotyping, we will also investigate i) how different sensing solutions, e.g. TLS and RGB imagery, coupled with our or similar data processing pipelines withstand more challenging conditions, e.g. strong winds; but also ii) how to adapt our data processing pipeline for novel sensing solutions for integrated plant phenotyping of 3D structural and biochemical traits, such as for hyperspectral scanning (Medic et al., 2024, Ray et al., 2024).

In summary, this work provides a significant step forward in both the domain of annotation-efficient 3D instance segmentation and the practical application of 3D remote sensing for high-throughput agricultural phenotyping.

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Appendix A

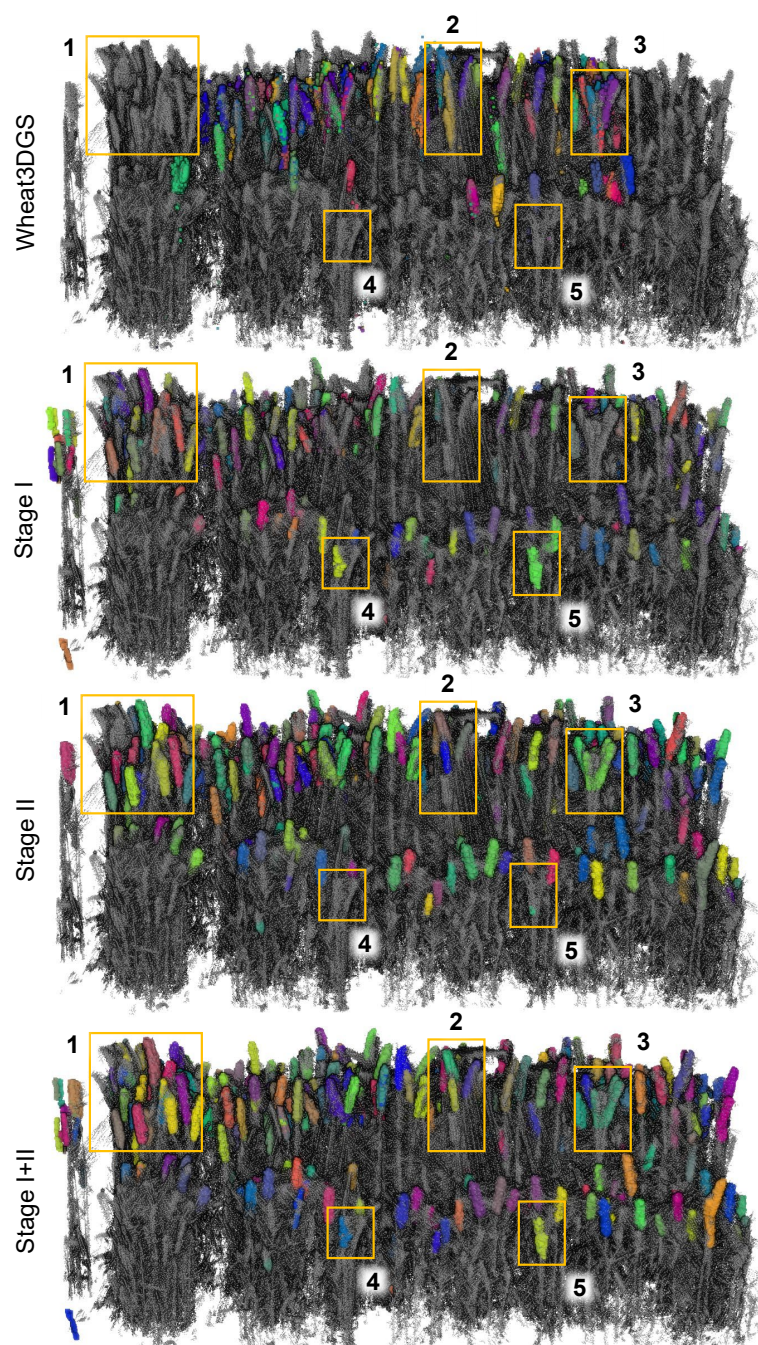


Figure A.1. Additional side-view of the detected 3D wheat head instances (random color per instance alignment) overlaid over P_t point cloud of the hold-out (test) plot; orange rectangles (1-5) marking regions with visually perceivable differences in instance segmentation performance between the realizations.