# UWB Antenna Design for Polarimetric Imaging Radar

MASTER OF SCIENCE IN ELECTRICAL ENGINEERING

AT

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## UWB Antenna Design for Polarimetric Imaging Radar

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## Abstract

Imaging radar has become a keen research topic in recent years. UWB technology provides many advantages to imaging radar such as fine resolution and high power efficiency. The performance of a UWB imaging radar can be further improved by applying polarimetric diversity. The polarimetric signature of objects can be used to enhance the quality of target recognition. Like any other wireless systems, antennas are key factors of radar systems. The focus of this thesis is to develop a dual polarized antenna for UWB imaging radar. Comparative study of six different UWB antenna types is performed. It is concluded that the X-band UWB antenna recently developed at IRCTR has most potential for this project. The antenna element was optimized for the Ku-band and an impedance bandwidth from 8 GHz to 24 GHz was achieved. An orthogonal coax-to-coplanar transition has been developed during this project and this transition is used to feed the antenna element. The antenna elements are successfully applied in two different array configurations. It is demonstrated that these sub-arrays have over 100% fractional bandwidth, good impedance matching, linear phase (almost constant group delay) and uni-directional pattern. These aspects collectively account for the novelty in design. In future, these sub-arrays will be implemented inside a complete array structure of UWB imaging radar.

Supervisor Professors: **Prof. dr. A. G. Yarovoy** Supervisor: **B. Yang** 

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## Chapter 1

## Introduction

Radar systems use electromagnetic wave to detect and identify targets. Most conventional radar operates in a relative narrow band. Harmonics or sinusoidal signals are used as transmit signal. These narrow band radar systems are capable of target detection and tracking but target imaging is beyond their capability due to the insufficient bandwidth. Therefore, recently numerous research works have been carried out to improve bandwidth of radar systems and use UWB signals for target imaging. Widening the bandwidth will translate into high spatial resolution which will help to distinguish between closely spaced targets or targets from background clutters. Besides, UWB radar provides low probability of interception, better target information recovery, non-interfering waveform and opportunity to perform time analysis [1].

Examples of UWB imaging are the through-wall imaging radar and through-dress imaging radar [2,3,4]. These imaging radars have become an important research topic in the recent years. The through wall imaging radar provides the law enforcement officers enhanced situation awareness in urban warfare or hostage rescue scenarios. This radar system is also of great interest for earthquake rescue or determining the position of people inside a smoky building. Through dress imaging (TDI) radar are used to detect concealed weapon in protected areas. The signal attenuation through wall or cloth can be higher at specific frequency bands. In general the transmission losses for different frequencies are not a priori knowledge and therefore ultra wideband signal are often used. This ensures that at least some part of the frequency band will get through the wall and radar will be able to detect the target reflected signal. Moreover, UWB technology has the ability to resolve multipath delay in nanosecond range. To avoid interference, the time window of observation can be adjusted based on the expected time of arrival of the wanted signal. Besides the above mentioned scenarios, the UWB imaging has been also found to be a useful tool for early breast cancer detection [5] and for ground penetrating radar (GPR) [6].

#### **1.1 Research problem**

Traditional radars measure amplitude, phase and frequency shift of the reflected wave. These parameters are used to detect a target or to determine the velocity of a moving target. However, these radar systems only use scalar wave description and do not make a full use of the properties of the electromagnetic field which is a vector by nature [7]. Polarimetric radar utilizes this electormagnetic field property. It transmits and receives both horizontal and vertical pulses. While linear polarization can be used to estimate the size and the distance of a scatter, dual or circular polarization can in addition help to determine the shape and the orientation of the target.

Furthermore, if same polarization is used for the transmit and receive antenna (either both horizontal or both vertical), it does not mean that the system is receiving the total scattered field. This is because when an EM wave incidents upon an object, the object transforms the incident wave into a scattered wave and during this transformation the polarization state of the wave may change. Consequently, a linearly polarized radar system is only able to retrieve a portion of the reflected wave.

Polarimetry is an essential feature for radar systems. On the other hand, UWB has been shown as a useful feature of the radar too, which provides higher resolution and thus also helps with target classification. It is a very attractive idea to combine these both features (polarimetry and UWB) into the same system and benefit from both in the target classification. However, there are a number of difficulties here. One of them is the absence of dual-polarized UWB antennas.

#### **1.2** Objective of the thesis

Several UWB imaging systems have been recently developed at IRCTR. These systems are not using polarimetric data. Polarimetric diversity will provide more complete description of targets. Specific polarization signature of some object can be used for the recognition of its shape and this will enhance target classification. Therefore, the main goal of this project is to develop dual or circularly polarized UWB antenna for high resolution imaging.

The second important requirement for this antenna is a uni-directional radiation pattern (front-to-back ratio more than 10 dB). In future state the antenna element is intended to be used in an array structure. Therefore a uni-directional pattern is needed to maximize the forward gain and to shield the antenna from

the electronics mounted on the back side. Besides, this antenna should cover large portion of the X and Ku-band. In this band a good impedance matching is required which means return loss below -10dB. Stable radiation pattern should be observed within this band. The main lobe of the pattern should be in the broad side direction, the side lobe levels should be low and good radiation efficiency is important.

Regarding the antenna structure, a planar antenna is more preferable to simplify the implementation of the elements in an array. In addition, the required area of the elements should be small enough to fit within the allowable element spacing. Roughly the size needs to be half of the free-space wavelength at the center frequency. The gain of each element should be at least positive in the operating frequency band and the beamwidth should be at least 30° in both E and H planes to illuminate sufficient area. Moreover, the antenna should have a suitable time domain behavior with low group delay and ringing.

#### **1.3** Challenges in UWB antenna design

There are many definitions of an antenna. An antenna is defined by Webster's Dictionary as "a usually metallic device for radiating or receiving radio waves". The *IEEE standard Definitions of Terms for Antennas* defines the antenna as "That part of a transmitting or receiving system which is designed to radiate or to receive electromagnetic waves". Another commonly used definition is "A transition structure between a guiding device and the free space". Ultra wideband (UWB) antenna is defined as an antenna with a fractional bandwidth larger that 20% or absolute bandwidth ( $f_{UP} - f_{LO}$ ) larger than 500 MHz. The fractional bandwidth is defined as the ratio of the absolute bandwidth to the centre frequency  $\Delta f_{fract} = (f_{UP} - f_{LO})/f_c$ . The centre frequency is either the arithmetic average of the upper and lower frequencies,  $f_c = (f_{UP} + f_{LO})/2$  or the geometric average  $f_c = \sqrt{f_{UP} f_{LO}}$ .

An antenna plays a very crucial role in narrowband as well as UWB systems. However, designing an antenna for UWB application has additional challenges. An UWB antenna must be able to receive or transmit an extensively large range of frequencies at a same time and therefore the antenna performance should be satisfactory throughout the operational band. The antenna must provide a good impedance match which correspond to voltage standing wave ratio (VSWR) less than 2 over the entire band. Apart from obtaining a sufficient impedance bandwidth, a non-dispersive behavior is also required for optimal wave reception and transmission. A non-dispersive behavior is achieved by maintaining a linear phase

which corresponds to constant group delay. However, in practice if the group delay is near constant and the changes occur in a predicated manner, provides acceptable pulse characteristics.

Aside from these requirements, the radiation pattern should be also consistent throughout the bandwidth. To achieve a wide impedance bandwidth and still maintain high radiation efficiency is one of the main challenges in UWB antenna design. Simple antennas, such as the finite-length dipole, are not capable of maintaining constant characteristics over wide bandwidths. More sophisticated antenna structures are needed to meet these requirements.

#### **1.4** Novelties of the work and approach

During this thesis project, two possibilities of a dual-polarized antenna design have been foreseen: a single antenna with possibility to activate one of two orthogonal polarizations and a full-poalrimetric sub array with linearly polarized elements. Finally, an UWB antenna is used in an array structure for dual polarization , which is a novel approach. Two different types of arrays are used: the conventional array configuration and the Huang array configuration. Both of these array structures are able to acquire an extremely wideband, uni-directional patter, sub-nano second group delay and good radiation characteristics. These two array configurations account for the novelty in the design. Further, the novelty of this thesis lies also in the design of the orthogonal coax-to-coplanar antenna feeding for the antenna elements, optimization of the antenna element within the array and optimization of the complete sub-array. Finally, a new antenna design for Ku-band has been made.

#### 1.5 Thesis organization

This thesis is organized in seven chapters as follows:

**Chapter 2:** After this introduction to the objective of this thesis, a comparative study of different candidate antennas is followed. The advantages and disadvantages of these antennas are presented in this chapter. This comparative study is based on recent publications and the simulation results.

**Chapter 3:** In this chapter the basic concept of the dual orthogonal polarization is pointed out. Followed by advantages of using an array, two different array types are presented. Furthermore, the basic concept of one of these arrays, the Huang array is clarified.

**Chapter 4:** This chapter is devoted to the design of an antenna element for 8 to 24 GHz frequency band. Here the simulated model, the scaling effect and the parametric study of this antenna element are presented.

**Chapter 5:** In this chapter the orthogonal coax-to-coplanar transition is evaluated. This chapter also contains the explanation concerning the necessity of the back feeding technique, difficulty in realizing this transition and the final outcome.

**Chapter 6:** The design of the dual polarized array with the linearly polarized elements is detailed in this chapter. Simulation results are presented and the performance of different array structures is also analyzed.

**Chapter 7:** This chapter concludes the researches that have been done during the thesis and summarizes the final results. Recommendation for future work is also presented here.

## Chapter 2

# **Comparative Analysis of Different Antenna Types**

#### 2.1 Introduction

Recently UWB antennas have received considerable attention in different fields due to their many advantages over conventional narrow band antennas. A wide variety of antennas have been designed in last ten years which are suitable for UWB applications. For this thesis project we need an antenna structure which either radiates a circularly polarized field or dual orthogonal linear fields. A number of antennas are considered as a candidate for this project. In this chapter a comparative study of these antennas is presented.

#### 2.2 Planar spiral antenna

Spiral antennas are one of the most commonly used UWB antennas when circular polarization is required. A spiral antenna can provide a large bandwidth with relatively constant input impedance, antenna gain and radiation pattern. The main cause of this wideband behavior is the travelling wave of the current which propagates along the spiral arms and brings energy from the feed point into the radiation area. There are two major types of spiral antenna: the logarithmic spiral [8] and the Archimedes spiral [9]. The shape of the infinite logarithmic spiral can be determined only in term of the angle and therefore according to Rumsey's principle the impedance and the pattern properties of this antenna will be frequency-independent. For a finite logarithmic spiral, the operational band is limited within the wavelength shorter than the circumference and the wavelength greater than the diameter of the feed point. The Archimedes

spiral is not regarded as a true frequency independent antenna. However, a tight Archimedes spiral is a close approximation of a tightly wound logarithmic spiral and we can consider it as a frequency-independent antenna.



Figure 2-1 Spiral antennas. Left: Logarithmic spiral, Right: Archimedes spiral

For a spiral antenna the lower and the upper cutoff frequencies are independent of each other. Upper cutoff frequency is dependent on the fineness of the construction at the feed point and the lower cutoff frequency is a function of the overall diameter. Typical gain of a planar spiral antenna is about 5 to 6 dBi. The maximum diameter of a conventional spiral is one-third of the wavelength of the lowest frequency. Size reduction of spiral can be achieved by material loading but this increases the material loss and the weight. Slow wave spiral techniques can be used to minimize the size up to 16.6% (for examples, square spiral and star spiral).

One of the major disadvantages of this antenna is its dispersive behavior. The higher frequency components of the signal radiate near the spiral center and the lower frequency components radiate near the end of the spiral arms (the radiated signal wavelength is equal to the spiral circumference). Therefore, the higher frequency components radiate first and the group delay of the transmitted signal decreases with the frequency. This causes signal dispersion. Furthermore, spiral antennas are bi-directional. To transform the bi-directional beam to a unidirectional beam a ground plane or a cavity with absorbing strip can be used. The disadvantage of an absorbed material is that only half of the input power will be radiated. On the other hand, the antenna performance becomes highly frequency dependent when a floating ground plane is used. The effect of a ground plane can be seen as a short circuited transmission line connected to the antenna. The input impedance of the ground is in parallel with the antenna impedance. The frequency for which the distance between the antenna and the ground plane is  $\lambda/4$ , there is a constructive interference

between the incident wave and the wave reflected by the ground plane. For any other frequency this interference will not be completely constructive and the reflection coefficient will increase.

#### 2.3 Helical antenna

The axial mode helical antenna was first invented by John Kraus at Ohio State University in 1964 [9]. This is a traveling wave type antenna. The helical antenna consists of conducting wire wound in the form of a screw on top of a ground plane. The center conductor of the coaxial cable is usually attached to the antenna and the outer conductor is connected to the ground plane. For end-fire mode, the circumference of the antenna has to be such that the phase difference of current on two opposite side is half-wavelength. Now due to the winding the field radiated from this two ends will be in phase along the axis. The back radiation of a helical



Figure 2-2 Hellical antenna

antenna is very low if axial mode is used. The most important parameters of a helix are the beamwidth, gain, impedance and axial ratio. These parameters of a helical antenna depends on various parameters: wire radius, location of the feeding point, number of turn, pitch angle and the size of the conducting wire near the feed. Generally antenna with thicker conductors gives better broadband performance for the same antenna length and the bandwidth decreases slightly when the antenna length or the number of turns increases [10]. The input impedance of the helix in the axial mode is nearly resistive over a wideband. The number of turns of a helical antenna has a great influence on the axial ratio, beamwidth and directivity.

Axial ratio,  $AR = \frac{2N+1}{2N}$ .

Half-power-beamwidth,  $HPBW = \frac{52^0}{C_\lambda \sqrt{NS_\lambda}}$ 

Directivity,  $D = 12C_{\lambda}^2 NS_{\lambda}$ 

Here, N is the number of turns,  $C_{\lambda}$  and  $S_{\lambda}$  are in free-space wavelength the circumference and spacing between two turns respectively. For a sufficient numbers of turns the axial ratio becomes almost one and

the polarization becomes almost circular. From the above equation we can see that the beamwidth decreases with the number of turns and on the other hand the directivity increases.

The main disadvantage of the helical antenna is its large dimension. As an example we can consider a 5turn helix at 6 GHz center frequency. If the antenna operates in axial mode then by using the above equations we know that the axial ratio will be about 1.1 or 0.41 dB, half power beamwidth will be  $48.4^{\circ}$ and directivity will be 12.4 dB.

The length of this antenna, L=5\*S (Here, S is the spacing between turns in mm)

=5\*0.231\*  $\lambda_0$  ( $\lambda_0$  is wavelength for the center frequency)

=58 mm

Typically, the diameter of the antenna is  $\lambda_0/\pi$  or about one-third of the free-space wavelength at the center frequency but the diameter of the ground plane should be  $3\lambda_0/4$ . Moreover, the length of this antenna should be  $1.16 * \lambda_0$ . Above parameters indicate the large dimensions of this antenna.

#### 2.4 Stacked microstrip antenna

A microstrip antenna is a low-profile antenna that has a number of advantages over other antennas – it is lightweight, inexpensive and easy to integrate with accompanying electronics. Another advantage of a patch antenna is the ability to have polarization diversity. Patch antenna can easily be designed to have vertical, horizontal, right hand circular (RHCP) or left hand circular (LHCP) polarizations, using multiple feed points or a single feed point with asymmetric patch structures. Moreover, a microstrip antenna is relatively easy to design and simulate. Conventional microstrip antennas are resonance type antennas and have narrow bandwidth. By using a parasitic element over a radiating patch, the antenna with wide bandwidth can be realized [12]. These types of antennas are known as the stacked microstrip antenna. Typically they have two patches (upper and lower patch) and the radiation is mainly due to the coupling between these two patches. For an aperture stacked microstrip antenna the field is coupled from the microstrip feed line to the radiating patch (other side of the ground plane) through an electrically small slot in the ground plane. Multi-layer substrates with varying thickness are often used. Aperture coupled configuration provides the advantage of isolating spurious feed radiation by use of a common ground. For this type of antenna a front to back ratio of about 10 dB over the entire band can be achieved. The major

disadvantage of stacked antenna is its limited bandwidth (maximum 70%). Moreover, if for dual or circular polarization cross slot is used, this will cause additional 5 to 10% decrease in the bandwidth.

#### 2.5 Dual orthogonal polarized UWB antenna

A dual orthogonal polarized UWB antenna was recently developed at Karlsruhe University [13]. This antenna is designed for medical application and is based on the wave interference in the antenna structure. For linear polarization, the antenna has to be fed at two opposite gaps of the slot lines. The wave propagates in the slot lines towards the center. When correctly arranged, the phase of the wave travelling from two opposite direction will be exactly the same in the middle point. This interference can be used to produce a pure linearly polarized radiation. The wideband characteristic of this antenna is due to the fact that the phase of both signals at the center point is same over a very wide frequency. The antenna presented in this publication [13] has many aspects which make it an attractive candidate. The main features are stated below-

- 1. The -10dB impedance bandwidth is from 6GHz to 8.2GHz (EU mask). Nevertheless, the principle can be applied for wider frequency band.
- 2. Good polarization purity
- 3. The phase center remains exactly the same for the whole frequency band
- 4. Both polarization have the same phase center of radiation
- 5. The isolation between two ports is larger than 35dB
- 6. Antenna radiates perpendicular to the surface. The radiation is bi-directional and nearly symmetric with respect to the surface



Figure 2-3 Dual orthogonal polarized UWB antenna

A FEKO model of this proposed antenna structure was constructed to get some initial understanding and is presented in Figure 2-3. In this FEKO model, the same substrate material was used as in [17] (Duroid 5880 with  $\varepsilon_r$ =2.2 and thickness 0.79 mm). Here, the dimension of the antenna is 10x10 mm and wire feeds were used at the end of the slots. The S-parameters study for the antenna is presented in Figure 2-4. For this model, the -10dB impedance bandwidth is about 1.8:1. It is worth mentioning here that less than -10dB return loss corresponds with VSWR value less than 2 which means due to impedance mismatch at the input terminal, the power loss is less than 10%.



Figure 2-4 Magnitude of S11

As noted before, a uni-directional radiation pattern is one of the requirements and the antenna described in [17] has a bi-directional radiation pattern. In order to transform the bi-directional radiation to unidirectional, a ground plane is needed. The model presented in Figure 2-3 was modified and an infinite ground plane was placed below the dielectric substrate to block the back radiation. Here the distance between the ground plane and the antenna is 3.37 mm which is quarter wavelength for the center frequency (15GHz) in the substrate material ( $\varepsilon_r = 2.2$ ). In the following figures, the modified model and the radiation pattern for the center frequency is presented. The antenna gain at the broadside direction is 7.7 dB. As expected, the magnitude of S11 was affected by the ground plane as indicted in Figure 2-6. Now the impedance bandwidth is reduced to about 1.5:1.



Figure 2-5 FEKO model of the antenna with ground plane at the center frequency



Figure 2-6 Magnitude of S11 for ground plane at 3.37mm away from the antenna

#### 2.6 Cross bowtie antenna

A dipole antenna created by Heinrich Rudolph Hertz is the simplest practical antenna. The radiation of the thin wire dipole is caused by the incident field from the feed and the strong diffractions from the edges. The magnitude and the phase of these fields determine the bandwidth and the radiation characteristics. A simple dipole antenna has a narrow operational bandwidth. It is well known that thickening the dipole wire (decreasing the l/d ratio) will increase its bandwidth. It has been documented in [14] that l/d  $\approx$  5000 provides a bandwidth of about 3% while l/d  $\approx$  260 has a bandwidth close to 30%.

Over the years many work has been done to transform a simple dipole to a wideband antenna and the result is the many variations of the dipole, such as bow tie, butterfly and diamond dipole. Bow-tie antenna is one of the most commonly used variants. Due to their broadband characteristic and simple geometry, the bow-tie antenna has become an important candidate for UWB applications. It is basically a planar cross section of the biconical antenna. The broadband characteristic of the bow-tie antenna is caused by the incoherent diffractions of four corners and two edges. The diffracted fields have different phase at a specific frequency which causes a wider bandwidth [15]. The realization of a dual orthogonal polarized bow-tie antenna with common phase center can be obtained by placing two linear polarized elements orthogonal to each other as presented in Figure 2-7.



Figure 2-7 Dual polarized cross bowtie antenna, left: basic configuration, right: rounded edge configuration

A simulation model of two orthogonal bow-tie antennas for the basic configuration and for the rounded edge configuration (Figure 2-7) was created. For these simplified models the substrate material was removed and the antennas were fed by wire ports. In this model the length of each arm is 15 mm, the width is 8.7 mm and the flare angle is 60°. Simulation result presented in Figure 2-8, indicates that the

input impedance is very high for this structure. Therefore, it becomes very difficult to obtain a good impedance match for a broad band. In Figure 2-9, the magnitude of S11for the rounded edge bow tie is presented. Here, the reference impedance was set to 100  $\Omega$  and this provides a return loss below -10 dB only between 12 and 14 GHz.



Figure 2-8 Input impedance of the rounded edge bow-tie



Figure 2-9 Magnitude of S11of the rounded edge bow-tie

This limited bandwidth of the bow-tie antenna is a drawback. Even without a ground plane it is difficult to receive sufficient bandwidth. Simulation results indicated an increase in this band when the gap between the two arms is reduced (g in Figure 2-10) or when the width of feed point is increased (w in Figure 2-10). As in this case two antenna elements are inserted orthogonally, reducing the gap or increasing the feed width is not a practical solution. It is well known that the bandwidth of a bow tie antenna can be significantly increased by using resistive loading. However, this will reduce the efficiency.



Figure 2-10 Bowtie antenna element

### 2.7 Tran antenna

In recent time, a novel X-band antenna for phase array application was developed at Delft University (IRCTR) by D. P. Tran, et. al [16]. I shall call it as the Tran antenna in the thesis. This antenna possesses very good UWB characteristics in the designed spectrum (5 to 15 GHz). These include a nearly perfect linear phase, sub-nanosecond deviations in the group delay and a very wide bandwidth (about 100% fractional bandwidth). Another favorable aspect of this antenna is its uni-directional radiation pattern which is one of the major requirements for this project. This antenna is compact, 13x13mm which corresponds to less than half of the free-space wavelength at the center frequency. Therefore, this antenna is very suitable to use in an array application. In [16] it has been stated that the antenna is able to provide constant and high transmission power with in-band deviation of 0.1dB. The X-band transmission efficiency of this radiator is over 94%.



Figure 2-11 Tran antenna model implemented in CST

The antenna proposed in [16] can be regarded as a quasi electromagnetic antenna. A detail discussion on the definition of electric and magnetic antenna can be found in [17]. When the magnetic field of a radiator is orthogonal to the antenna plane over most of the antenna aperture, it can be denoted as the quasi-magnetic antenna. On the other hand, when the electric field is orthogonal to the antenna plane over most of the aperture, it is denoted as the quasi-electric antenna. For the antenna presented in this section not only the magnetic field but also the electric field is normal to the antenna plane due to the presence of the ground plane underneath the substrate and therefore referred to as a quasi electromagnetic antenna.

As demonstrated in Figure 2-11, the antenna is formed by a path encircled by a ring. Four stubs are attached to the upper side of the ring. The inner stubs are important for the lower resonance. These stubs are producing additional path length for the current and the S11 pattern shifts towards the lower frequency band. The length of the outer stubs is important for the higher resonant frequency. By introducing these outer stubs, we are reducing the loop area and moving the operational band towards the higher frequencies. The notch length at the patch has a great influence on balancing the reflection. Both the notch and the inner stubs are forcing the current to flow to the end of the patch which counteracts with the resonant behavior.

A major advantage of the Tran antenna is its uni-directional radiation pattern. Most UWB antennas are bidirectional. A uni-directional radiation pattern is often achieved by placing a ground plane at a certain distance (usually at quarter wavelength at the center frequency) from the antenna. As mentioned before, the back plane causes a total reflection of the wave when it is quarter wavelength away from the antenna plane. For other frequencies the reflection mostly increased which degrades the impedance bandwidth. For the above antenna structure a ground plane is placed directly under the lower substrate and all the multiple reflections are totally or partially compensated by the added shape.

A feeding technique has a major effect on the overall antenna performance. The proposed antenna is fed by a grounded coplanar waveguide (CPWG). Here, the signal and gap width values are chosen such that characteristic impedance of the line will be 50  $\Omega$ . It has been demonstrated in [18] that CPW feed structure has many advantages for wideband applications. Coplanar waveguide has simple structure, constant effective permittivity, low radiation and conductance losses and very wide operational bandwidth. Moreover, coplanar waveguide can be easily integrated with microwave integrated circuit and surface mount devices. This gives the possibility to avoid expensive through-hole technology and thus reduce the manufacturing cost. However, in array application this surface feeding arrangement has some practical disadvantages when strict element spacing is needed. The simulation model of the antenna structure is presented in Figure 2-11. This model was simulated by using the commercial simulation tool "CST microwave studio". Both the CPW-feed and the antenna sections are constructed on top of a high frequency laminate RT5880 ( $\varepsilon_r$ =2.2 and thickness 5.537 mm). All the dimensions of the antenna resembled the original design in [15]. The performance of this antenna also corresponded very well with the published results. Here, the two most important UWB characteristics are demonstrated, the return loss and the group delay. In Figure 2-12, we can deduce the presence of two resonances in the S11 curve which resembles very well the results published in [16]. The phase characteristic of the simulated antenna is almost linear and hence the group delay is almost constant as shown in Figure 2-13. This assures that the antenna can be used for UWB imaging.



Figure 2-12 Magnitude of S11 of Tran antenna



Figure 2-13 S11 group delay of Tran antenna

#### 2.8 Comparison of antennas

To provide a clear overview of advantages and disadvantages of different antennas discussed above, a summary of the comparative analysis of the candidate antennas is presented in *table 1*. The spiral antenna is a good candidate as a circular polarized UWB antenna. The major disadvantage is its dispersive behavior and its bi-directional radiation pattern. Helix on the other hand gives uni-directional pattern but its non-planar geometry makes it less attractive for our application.

Aperture stacked antenna and cross bowtie antenna have geometrical advantages due to their planar structures but the disadvantage is their limited bandwidth. Dual orthogonal polarized UWB antenna has the advantage of wide impedance bandwidth and planar structure. However, it also provides a bidirectional radiation pattern. Finally the Tran antenna meets most of the design requirements. The only drawback of this antenna is the linear polarization. Therefore, if it is feasible to generate circular or dual polarized fields with this antenna structure, we will be able to design an antenna which has a very wide bandwidth, uni-directional pattern and nearly perfect linear phase.

EM Characteristics	Planar Spiral antenna	Helical antenna	DOP UWBA	SMSA	Cross Bowtie antenna	Tran antenna
Impedance BW	+ + +	++	+	+	-	+ + +
Circular or Dual Polarized	+++	+++	+++	+++	+ ++	
Dispersion free			+ +	-	-	+ + +
Uni-directional		+ + +		+++		+ + +
Gain	+ + +	+ + +	+++	+++	+ +	+ + +
Size	++		+ +	++	+ +	+ + +

**Table 1** Applicable degrees of antennas ("+++" stands for excellent, "---" stands for unacceptable and the other are different degree in between)

#### 2.9 Conclusions

In this chapter a comparative analysis of a number of UWB antennas has been performed. In order to get sufficient data over the antennas, simulation models for a number of them was developed and their simulations was performed. From these simulations it can be concluded that the Tran antenna shows most potentials. Thus, it is chosen as the final candidate antenna due to its many advantages over the other antennas. Nevertheless, this antenna has to be modified for circular or dual linear polarization. For circular polarization, the amount of data is less compared to dual polarization and hence the data processing will be faster. However, the advantage of dual orthogonal polarization over circular polarization is that it provides the full scattering matrix of the target and from two orthogonal polarized fields it is possible in post-processing to synthesize the circularly polarized field. Therefore, for this project a dual polarized antenna is more suitable than a circularly polarized antenna. In the next step of my research I am going to investigate on sub-array which consists of a number of linearly polarized UWB antenna elements and can be used for creating dual-polarized antennas.

## Chapter 3

# **Dual Orthogonal Polarization with an Array Structure**

#### 3.1 Introduction

In the previous chapter a detailed overview of UWB antennas which can provide circular or dual linear polarization was presented. Advantages and disadvantages of these antennas were compared and finally it was found that the Tran antenna meets most design requirements. The only drawback of this antenna is that it was designed for linear polarization. Next stage of the project was devoted to obtain the dual orthogonal polarization by using this same antenna design.

#### **3.2** Criteria for the dual polarization

There is an increasing demand of dual orthogonally polarization for many applications to provide polarization diversity. Therefore, dual polarized antennas have received an extensive research interest. Designing dual polarized antenna has many challenges. Obtaining high isolations and low cross polarized levels could be considered as the most important ones. The task becomes more difficult when a wide bandwidth has to be realized in addition.

The isolation between two input ports corresponding with two orthogonal polarizations is a very important figure of merit for the dual polarization. The isolation can be defined as a ratio of power leaving one port to the power entering the other port and ideally should be 0 or  $-\infty$  dB. Degradation in isolation can be

caused by energy leakage in the feeding network or intra-element coupling. In practical cases isolation below -20dB is considered as good isolation.

Polarization purity of an antenna is an important aspect and this can be defined as the ability of an antenna to radiate in one desired polarization. An alternative definition can be the ratio of directivity of two orthogonal polarizations. Good polarization purity provides high polarization efficiency. For a linearly polarized antenna it is desired to radiate all the energy in one particular polarization (co-pol component). In practice always some portion of the energy will be radiated in different polarization and will decrease the polarization efficiency.

The polarization is described by the locus of the endpoint of the electric field on the axis of propagation as time progresses. In theory, the reference polarization is the intended polarization while the cross polarization is the polarization orthogonal to the reference polarization. However, in practice the definition of reference polarization and cross polarization is not straightforward. Different ways of measuring the antenna pattern or different coordinate gives different definitions of polarization. An antenna electric field can be de-composed in different ways. A commonly used de-composition is based on the spherical coordinate system which is used for describing the far field pattern. In spherical coordinate systems the bases are the two unit vectors ( $\theta, \Phi$ ) tangent to the sphere surface. Another commonly used definition, co and cross-polarization are related to antenna measurement and is widely used in anechoic chamber measurements [20]. If we know the magnitude and phase of a spherical coordinate system, the components in the Ludwig3 are expressed as-

$$E_{a} = E_{\theta} \sin \phi + E_{\phi} \cos \phi$$
$$E_{b} = E_{\theta} \cos \phi - E_{\phi} \sin \phi$$

Here, one component (either  $E_a$  or  $E_b$ ) is the desired co-pol component while the other is the cross-pol component. For dual orthogonally polarized antenna it is crucial to have low cross-polarization level. High cross-polarization level will not give the correct polarimetric information of the target and will provide a corrupted image.

#### **3.3** Two approaches for dual orthogonal polarization generation

There are basically two fundamental approaches to realize the dual orthogonal polarization-

- 1. Dual polarized antenna elements are used to realize the dual polarization
- 2. Linear polarized antenna elements are arranged in a group such a way that together they provide dual polarization

In the first approach, the dual polarization is obtained from a single antenna element which is able to radiate field in both polarization. For this approach two phase center associated to the two orthogonal polarizations coincide. An example of this group can be a single patch antenna radiating in both polarization. Another example is the cross dipole antenna which can be seen as a single dual polarized element. Here, two linear polarized antenna elements are  $90^{\circ}$  rotated and orthogonally inserted inside each other. A demonstration of this approach for bow tie antenna was presented in chapter 2.6 where the radiating arms of two bow tie dipoles are orthogonally inserted to assemble the cross bow tie antenna.

In the second approach, linearly polarized antenna are grouped together and fed in such a way that they can radiate fields in two orthogonal planes. For this approach the antenna elements have to be carefully arranged in order to radiate symmetric patterns in both planes. Moreover, all the elements must be fed with equal amplitude and with a correct phase to ensure the symmetry of both polarizations. A weakly excited element will degrade the performance severely.

There are some design challenges associated with the first approach where a single dual polarized antenna element is used. For example, it is extremely difficult to maintain a reasonably low isolation between two orthogonal ports when a single element is used for the dual orthogonal polarization. For this project we would like to use the Tran antenna and modify this element in such a way that it can provide dual polarizations.

A simulation model of a dual-fed Tran antenna element was designed to explore the possibilities to use the first approach explained above. The antenna model is illustrated in Figure 3-1. This model posses a geometrical equality when viewed from the horizontal port or from the vertical port. The major disadvantage of this model is the extremely high coupling between the ports. In the surface current distribution it is observed that most current from one port is coupled to the other port. Even placing a diagonal slot to separate the ports did not provide much improvement.



Figure 3-1 Dual feed Tran antenna, Left: Antenna model, Right: Surface current distribution

To solve the poor isolation of the antenna model demonstrated above, an alternative feeding technique is needed. For example, the proximity-coupled feed or the aperture-coupled feed can be used. This might increase the isolation between two ports. However, these feeding techniques do not provide as wide band as the CPW feed. Moreover, they are difficult to fabricate as two substrate layers are required.

An alternative approach for obtaining the dual orthogonal polarization is to use the linearly polarized antenna elements in a sub-array structure. This solution will increase the total size but will ensure better isolation and wider bandwidth. As wide bandwidth is the prime goal of this project, the second approach provides a better solution.

### 3.4 Dual polarization with linearly polarized elements

An array structure can provide circular or dual polarization even though when the elements are linearly polarized. A sub-array of two orthogonal linearly polarized elements can be used to create dual or circular polarization (Figure 3-2). The angular orientation between the elements is introduced to produce the orthogonal fields. For dual polarization the elements are fed with equal phase while for circular polarization they are fed with 90° phase difference. The disadvantage of this configuration is that the phase centers correspond to two polarizations do not overlap and the 0° or 90° phase relation of the elements are effected for the off broadside direction. The phase difference of the elements is disturbed by the spatial phase delay at an angle ( $\theta$ ) greater than 5°.



Figure 3-2 (a) Two-element array, (b) Spatial phase delay

This limitation of the two element array can be solved by a four element (2x2) array. The elements of this array are arranged in such a way that both polarizations have the same phase centre. Alternatively to this, in 1986 John Huang presented a technique to use linearly polarized element to generate circular polarization [21]. This array is now known as the Huang array. A conventional and a Huang array created with microstrip antennas is presented in Figure 3-3. For both configurations the phase centers of each polarization lie on the origin of the coordinate system (0,0).



Figure 3-3 2x2 microstrip antenna array (a) Conventional array (b) Huang array

In this 2x2 array structures, we overcome the problem of spatial phase delay of the two elements array. For the Huang array the spatial phase delay of one row or column is in the opposite sense of the other row or column and therefore will cancel each other. The only exception is in the diagonal plane. For the diagonal plane, the far field can be considered as composed of three elements where the amplitude of the center element is two and the amplitude of the end elements is one. Therefore, there will phase and polarization imbalances in the diagonal plane and cross-pol level will be high.

It was presented in [21] that Huang array suffers a high cross polarization level in the diagonal plane. To understand the basic concept of the Huang array and to realize the performance, a simulation model was created. In Figure 3-4, the simulated antenna diagram is presented. The patches are printed on a high quality substrate (Rogers RO4003,  $\varepsilon_r$ =3.38). These patches are designed for 24GHz frequency band. The separation between the elements is  $0.6 * \lambda_0$  or 7.5 mm where  $\lambda_0$  is the wavelength in the substrate at 24GHz. To create the circular polarization the phases of port 1, 2, 3 and 4 are set as 0°, 90°, 180° and 270° respectively.



Figure 3-4 CST Microwave studio design of 4-element Huang array



Figure 3-5 Axial ratio of the four element array in the principle plane

In the above figure, the axial ratio of the Huang array is plotted. Here we see that the axial ratio of the circularly polarized wave is indeed less than 3 dB for theta angle less than 40°. This simulation result verifies that the Huang array overcomes the shortcoming of the spatial phase delay problem of the two element array and can maintain the necessary phase relation between two orthogonally polarized fields for a large angle. This gives the possibility to scan the main beam of the antenna in the principle plane to a large angle from the broadside direction.

The circular polarization is composed of two orthogonal and independent components- Left hand circular and right hand circular. The desired sense of rotation (in this simulation LHCP) is the co-polarized component and the undesired component (here RHCP) is the cross polarized component. Figure 3-6 illustrates the co-polarized (LHCP) and the cross-polarized (RHCP) part of the farfield. In the principle plane the cross-pol component is very low. At the broadside direction the cross-pol is 40 dB lower than the co-pol. However, high cross polarized lobes exist in the diagonal planes. Especially when we move from the broadside direction in the diagonal plane, the cross polarized field becomes high. Nevertheless, for this model the cross-pol level is still lower than the co-pol level for an angle less than 33°. Furthermore, this phenomenon can be solved in a large array by averaging the imbalances. Therefore, this Huang array structure is considered as an attractive solution for this project. So, in this project two different types of sub-array arrangement will be investigated: the conventional array where the polarization planes lies on the two principle planes and the Huang array.



Figure 3-6 Co and cross polarized far-field radiation pattern of the Huang array



Figure 3-7 Co and Cross polarized field for phi=45° plane
### 3.5 Conclusions

In this chapter the fundamental concept of generation of the dual orthogonal polarization was presented. Two different approaches were discussed. The first approach uses a single dual polarized element, while the second approach uses several linear polarized antenna elements in an array. It was pointed out that the second approach will provide a better solution. The poor isolation between two ports made the first approach unsuitable for this project. In the later stage of this project an array structure of the Tran antenna was used for the dual polarization.

Furthermore, in this chapter we presented two possible solutions for the array structure: the conventional array and the Huang array. The advantage of the Huang array is the possibility to bring the antenna elements closer than in a conventional arrangement. This will reduce the total size of the array. Another major advantage of the Huang array is the relatively low mutual coupling due to the relative orientation of the adjacent elements. For the conventional array structure, the element spacing is higher and it is known that when the separation between elements is increased, more power will be transferred from the main lobe to the side lobe which will decrease the beam-width and side lobes will appear. On the other hand, the conventional array provides a simple configuration and good polarization purity. Therefore, in this project both the conventional array and the Huang array was used to create the wideband dual polarized sub-array. The design procedure and the outcomes are presented in chapter 6.

# Chapter 4

# Antenna Element for 8 to 24 GHz Frequency Band

# 4.1 Introduction

In chapter 2, the Tran antenna was introduced. Due to its many promising features it has been chosen as the most interesting antenna structure for this project. This antenna structure can be used inside an antenna array to create the desired dual orthogonal polarization. The Tran antenna was designed for the X-band phased array applications. For many other applications, such as the concealed weapon detection, the Kuband is of great interest. In this chapter, the antenna element will be re-designed to shift the operational bandwidth towards the higher frequency band.

It is well known that the operating frequency band of an antenna structure can be shifted towards a lower frequency band by enlarging its geometrical dimensions. On the other hand, the operating frequency band can be shifted towards a higher frequency band by shrinking its geometrical dimensions. In many practical situations, scaling all the parameters of an antenna by a same scaling factor cannot ensure the optimum solution and the performance might decrease drastically. Therefore, a careful analysis of different parameters is required. In this chapter, the scaling factor will be illustrated followed by the parametric study of a new antenna. After that, the performance of the new antenna will be studied in details. In the following numerical methods will be used for analysis and optimization of antenna structure. Here CST microwave studio was used as the EM solver as it can provide good accuracy with low computation cost (time and memory). Detail discussion on the choice of the EM solver is presented in *Appendix B*.

### 4.2 Scale factor

As mentioned in the previous section, firstly we will have to reduce the dimension of the antenna element to shift the impedance bandwidth towards a higher frequency band. Initially most parameters of the element where scale down by the same scaling factor. However, some values cannot be scaled down due to manufacturing limitations and thus remained the same. For example the thickness of the substrate (H in Figure 4-1) remained the same as in [16]. The signal width (P5) was also unchanged. If the signal width was altered, the gap width (P6) should be changed also to ensure the 50  $\Omega$  impedance of the coplanar waveguide. Nevertheless, due to the limitation in manufacturing capabilities it was not possible to reduce the gap width any further. Therefore both the signal width (P5) and the gap width (P6) remained unchanged. Furthermore the ring width (P19) was also kept constant (1mm).



Figure 4-1 Parameter overview of a single element

In Figure 4-2, the effect of different scaling factor on the frequency band is presented. For scale factor equal to 0.9 the return loss is less than -10 dB between 6.5 GHz and 16 GHz. To shift the frequency further towards the higher band, the scale factor is reduced to 0.8. In this case, (blue curve in Figure 4-2) the return loss is low from 9.2 to 22.7 GHz. When the scaling factor is further reduced to 0.73 and 0.7, the S11 is less than -10 dB from 10.5 GHz and 12 GHz respectively. From the above analysis it is clear that a scale factor of 0.8 provides the sufficient shift in the frequency band.



Frequency / GHz Figure 4-2 Frequency band for different scaling factor

# 4.3 Parametric study

From the previous investigation it is clear that to design an antenna element for the Ku-band, 0.8 is the optimum value for the scaling factor. However, simulation result shows that the return loss is not sufficiently low (< -10dB) within the entire operating band. Therefore, some important parameters of the element need to be optimized to reduce the return loss.

A parametric study has been conducted to evaluate the influence of different parameters of the antenna element. For this study the "parameter Sweep" of CST was used as using "Optimizer" is not a practical solution due to the large number of parameters. In the following section, the parametric study of five significant parameters are presented which is the patch length (P3), patch width (p4), the curve radius (P13), the impedance transformer width (P9) and the outer stub length (P15).

Firstly, the patch length was varied from 3 to 4.25 mm. In Figure 4-3, we can see that the patch length has a great influence on the lower frequency band. After scaling the patch length was 3.96 mm (orange curve in Figure 4-3). When the length was increased to 4.25 mm, the return loss increased for the lower frequency band. On the other hand when the length was decreased, the antenna shows clear resonance around 10GHz which makes the structure dispersive. P3=3.5 mm provides a good balance between return loss and group delay.

Secondly, the effect of the patch width was investigated (Figure 4-4). The patch width has a clear balancing effect between the lower and higher frequency bands. On the other hand, the curve radius P13 (Figure 4-5) has a balancing effect between the lower, centre and higher frequency bands. P4=6.25 mm and P13=0.8 mm provides a good balance.

Next, the impedance transformer width was changed. The purpose of the impedance transformer is to provide a good matching between the 50  $\Omega$  coax cable and the antenna input impedance over a large frequency band. Figure 4-6 shows that the transformer width has most effect on the centre frequency. The return loss at the centre frequency is minimized when the transformer width is increased or p9 is decreased. Therefore, P9 was set to zero in the final design. In Figure 4-7, the outer stub length's effect is illustrated. This parameter is more important for the centre and higher frequencies.



Figure 4-3 The patch length's effect



Frequency / GHz Figure 4-4 The patch width's effect



Frequency / GHz

Figure 4-5 The curve radius's effect





Frequency / GHz

Figure 4-6 Transformer width's effect



Frequency / GHz

Figure 4-7 The outer stub length's effect

## 4.4 Analysis of the 8 to 24GHz antenna element

In the previous section the parametric study for the Ku-band antenna elements is presented. After carefully observing the effect of each parameter, the optimized values were chosen and listed in Table 2. The final design is presented in Figure 4-8. The dimension of this antenna element is 10x10 mm which is  $0.54* \lambda$ . Here  $\lambda$  is the wavelength in free-space at the center frequency (16GHz). The impedance bandwidth of this antenna element is from 8 to 24.3GHz (Figure 4-9) and the group delay is in the sub-nanosecond level (Figure 4-10).

Parameter	Dimension	Description
P3	3.5	Patch length
P4	6.25	Patch width
P13	0.8	Curve radius
P9	0	Transformer width difference
P15	0.672	Outer stub length

 Table 2 Optimized Parameters



Figure 4-8 Antenna element for 8 to 24 GHz frequency band



S-Parameter Magnitude in dB

Figure 4-9 Magnitude of S11 of the antenna element



Figure 4-10 Group delay of the antenna element

Simulation results show that when the antenna element was scaled by a factor 0.8 the return loss was below -8dB from 9.2 to 22.7 GHz. Afterwards the effect of different parameters were studied and optimized. At the end of this parametric study an extremely wide impedance bandwidth was achieved. The return loss is now reduced to less than -10 dB from 8 to 24.3 GHz. During this parametric study the group delay was also taken into consideration. It was of prime interest to maintain the unique time-dispersive characteristic of the initial design and minimize the group delay as far as possible. Figure 4-10 shows a negligible group delay with sub-nanosecond level deviation.



Figure 4-11 Radiation pattern of the array structure in H-plane for different frequencies

Besides the reflection coefficient and the time-dispersive behavior, the radiation characteristic is also very important. In Figure 4-11, the radiation pattern of the antenna element for different frequencies is plotted. Here we notice that the beam is pointing in the same direction over an extremely wideband. The pattern also does not contain any side loop up to 22 GHz. The back radiation is high for the lower band, for example at 10 GHz the front-to-back ratio (FBR) is 2.2 dB. The FBR gradually increases with frequency. At 16 GHz and 18GHz the FBR is 10.8 dB and 13 dB respectively. The antenna element also possesses a wide beam width. The half power beam width at 16GHz is 104°. In Figure 4-12, the co-polarized and the cross-polarized field at the center frequency (16 GHz) is presented. While the polarization is extremely pure in the E plane (phi=90°), a significant amount of cross polarization level is observed in the H plane (phi=0°). For determining the co and cross polarized field the Ludwig 3 coordination system was used.



Figure 4-12 Fairfield radiation pattern at the center frequency (16GHz) of the Ku-band antenna element, Left: copolarized field. Right: cross-polarized field

In Figure 4-12 the co and cross polarized fields are illustrated. From this figure we know that the cross-pol level is minimum in the E-plane (phi=90°) and maximum in the H-plane (phi=0°). In the following figure co and cross-pol fields for different frequencies in H-plane is presented. Cross-pol level is extremely low in the broad side direction over the entire band. For theta angle greater than  $30^{\circ}$  the cross-pol level increases with frequency.



Figure 4-13 Co and cross polarized fields in H-plane (phi=0°) at different frequencies as a function of theta angle

## 4.5 Conclusions

In this chapter, I have designed the Ku-band Tran antenna. As a first step in this design, the geometrical dimensions of the X-band antenna were scaled down. This provided the necessary shift in the impedance band but fail to provide a low return loss over the entire bandwidth of interest. After that a parametric study was performed. During this study the most important geometric parameters were investigated and their effects on the antenna input impedance were very carefully monitored. For each parameter an optimum value was chosen. An antenna model containing these optimum values was designed. Finally, the performance of this antenna has been studied. The antenna model satisfies the requirements in terms of impedance bandwidth (3:1), size (half of the free-space wavelength at the center frequency), time-dispersive characteristic and radiation pattern.

# Chapter 5

# Wideband Perpendicular Coax-tocoplanar Transition

## 5.1 Introduction

In the previous chapters the antenna element and the array configurations are discussed. In practice besides designing the antenna geometry, feeding these antennas is also a challenge and a competitive research area. The antenna elements designed during this project are fed by coplanar waveguide. However, in this way antennas cannot be connected to the measurement system. The measurement of these antennas can only be performed with a coaxial measurement system. Therefore, there is an unavoidable need of coaxial-to-coplanar transition which can assure minimum transmission loss for a wideband. Traditionally surface feeding arrangement is used for the transition of coaxial to coplanar where the axis of the coaxial connector is aligned with the end of the coplanar line. The inner connector of the coaxial cable is connected with the centre signal line of the coplanar waveguide and the outer connector with the coplanar ground plates as shown Figure 5-1 (a). This end-launch geometry has some practical disadvantages. The major disadvantage for this project is the addition space required for the connector which will increase the total surface area required for each element. This might become a challenge when in future state the antenna elements should be placed inside an array structure especially when strict element spacing has to be maintained. Therefore, during this thesis project attention has been paid to design a perpendicular transition of coaxial to coplanar transmission line.

In Figure 5-1 (b), an example of a right-angle coax-to-coplanar transition is illustrated. Here the radial electric field of the coax directly couples on the same plane with the electric field in the gap between the

CPW centre conductor and ground plane. This transition is independent of the gap and width of the coplanar line. In the surface feeding arrangement the electric fields in the coax and CPW has a onedimensional cross section and this makes the quality of the transition highly depended on the width and the gap of the CPW line. For example, if the gap of the CPW is very small this might diminish the performance of the transition. In the past some wideband perpendicular coax to coplanar or microstrip transitions have been developed [22, 23, 24]. The transition presented in this report differs from the others as in this case a thick substrate was used. In most of the designs a substrate thickness of 0.1 to 1 mm is used where in this design the substrate thickness is 5.537mm. This thick substrate makes it extremely difficult to maintain a low transmission loss over a wide frequency band. In this chapter, the wideband transition which will be used to feed the Tran antenna is designed.



Figure 5-1 (a) Conventional coaxial-to-coplanar transition (b) Perpendicular coaxial-to-coplanar transition

# 5.2 The perpendicular transition design

In the previous section the necessity of the coax-to-coplanar transition was presented. In this section, the initial step of this transition is presented. In Figure 5-2, the X-band Tran antenna is fed by a coaxial connector from the back side. The centre pin of the SMA connector passes through the substrate and is soldered to the metal pad on the other side (Figure 5-3). The diameter of the inner conductor of the 50  $\Omega$  coax is 1.28mm while the diameter of the outer conductor is 4.1mm and the dielectric material is teflon ( $\varepsilon_r$ =2.08). The line width of the 50  $\Omega$  CPW is 1.94mm and gap width is 0.1mm. The copper layer is 17 $\mu$ m thick printed on a high quality substrate (Rogers RT5880,  $\varepsilon_r$ =2.2 and 5.537mm thickness). At first, the pad diameter was set to 2.91mm which is one and half times larger than the width of the 50  $\Omega$  CPW line.



Figure 5-2 Back-fed Tran antenna



Figure 5-3 Transparent view of the coax-to-coplanar transition, (a) front view (b) side view



Figure 5-4 S-parameter for the design presented in Figure 5-2

In the above figure the reflection coefficient of the antenna element fed by the orthogonal transition is presented. The transition presented above is the preliminary model and from the S11 diagram (Figure 5-4) it is clear that only for a very limited bandwidth the transmission loss is low enough.

# 5.3 The truncated crown of vias

In the previous transition the top and the bottom ground planes are not connected. Simulation results showed that only connecting the top and the bottom ground planes with one or two vias is not sufficient. Instead a truncated crown of vias provides a much better transition (Figure 5-5). This prevents the undesired propagation in the substrate [25, 26]. From the return loss graph (Figure 5-6) we can see that here multiple resonance are present. Simulation results shows that an optimum value for the diameter of the truncated vias is 0.5mm. Reducing the diameter value will increase the manufacturing cost while increasing this value will degrade the performance.



Figure 5-5 Coax-to-coplanar transition with truncated crown of vias



Figure 5-6 Return loss of the transition presented in Figure 5-5

The truncated crown of vias introduced in the previous design behaves like a coaxial line where the vias create the outer conductor. In that case we will get the best match when the impedance of this coax line is also 50  $\Omega$ . Here the dielectric material is Rogers RT5880 and the relative permittivity is 2.2.



Figure 5-7 Coaxial line model

The impedance of coaxial cable,  $Z = \frac{1}{2\pi} \sqrt{\frac{\mu}{\varepsilon}} * \ln(\frac{b}{a})$ 

Where,

a = diameter of the inner conductor

b= diameter of the outer conductor

 $\varepsilon$  = permittivity of the dielectric

 $\mu$ = permeability of the dielectric

$$b = a * \exp(2\pi Z \sqrt{\frac{\varepsilon}{\mu}}) = 1.28 * \exp(2\pi * 50 \sqrt{\frac{8.854 * 10^{-12} * 2.2}{4\pi * 10^{-7}}}) = 4.4mm$$

So, the diameter of the outer connector or in this case the distance between the center connector and the truncated vias should be 4.4mm to bring the impedance close to 50  $\Omega$ .

A model of the antenna was created where the distance between the center pin and the truncated vias was increased to 4.4 mm (Figure 5-8). In this model, 13 vias are used and the distance between two vias is 0.47 mm. This modification provides a better impedance match between the coax and the coplanar line. For this transition with the 50  $\Omega$  truncated crown of vias the real part of the input impedance periodically tends to go to 50  $\Omega$ . Therefore, the mismatch also reduces periodically.



Figure 5-8 The truncated crown of vias are used to make a 50  $\Omega$  coax line inside the Rogers material



Figure 5-9 Return loss of the transition presented in Figure 5-8



**Figure 5-10** Input impedance of the transition presented in Figure 5-8 (green curve) and input impedance of the transition presented in Figure 5-2 (red curve)

In the design presented in Figure 5-8 the vias are shifted so that the distance between the center connector and the truncated vias is 4.4mm but in this design these vias did not circulate the inner conductor completely. As a coplanar line is connecting the transition pad to the radiating antenna patch, in that region no vias could be placed. On the other hand, as our substrate material is made of three layers it gives us the opportunity to place blind vias underneath the coplanar waveguide as illustrated in Figure 5-11 and Figure 5-12. Blind vias are via holes which are used to connect the outer layer with inner layers, but do not go through the entire board. This will provide a better prevention against the undesired propagation in the substrate.



Figure 5-11 Top view of the truncated crown of vias



Figure 5-12 Side view of the vias placed beneath the coplanar waveguide

The thickness of the laminate used in this antenna design is 5.537mm. This thickness is achieved by combining three layers of laminates which has a thickness 0.787mm, 1.575mm and 3.175mm, respectively. This multilayer substrate gives us the opportunity to insert vias below the coplanar line. Two models were simulated, in one design the gap between the end of the via and the coplanar line was 0.787 mm while for the other this was 1.575 mm. In the following graph the return losses of these models are shown. For a gap distance of 1.575mm, we noticed an improvement especially around 10 GHz.



Figure 5-13 Return loss for different gap between the end of the via and the coplanar line

# 5.4 The parametric study of the pad diameter and position

A crucial component of this right angle coaxial-to-coplanar transition is the pad diameter. The initial value of the pad diameter is 2.91mm. This results in low input impedance which is not well match to  $50\Omega$  over the entire band. The pad can be considered as a cross section of a coaxial line where the pad is the inner conductor. It is well known that the impedance of a coaxial line can be increased by reducing the diameter of the inner conductor or in this case the pad diameter. Simulation result shows that when the pad diameter was reduced, the real part of the input impedance shifted towards 50  $\Omega$  (Figure 5-15) and this provided a significant improvement in impedance bandwidth (Figure 5-16).



Figure 5-14 Coax-to-coplanar transition with pad diameter 1.48mm

Real Part of V/A Matrix Coefficients in Z



Figure 5-15 Real part of the input impedance for different pad diameters



Figure 5-16 Return loss for different pad diameters

Besides the pad diameter, the feed position is also a critical parameter. It was observed that offsetting the feed can greatly improve the performance of the transition [24]. This redistributes the parasitic capacitance, concentrating the fields on that side of the coax line nearest to the outer conductor. It also reduces the inductance of the signal line by shortening the distance current must flow to get from the centre pin to the 50  $\Omega$  coplanar line.



**Figure 5-17** Left: Redistribution of the field by offsetting the pad position, Right: Antenna model where the feed is 0.5 mm shifted towards the coplanar line

From the following graphs it can be observed that the feed position has an impact on the transition performance. Especially for the lower frequency band (between 7 and 10 GHz), the real part of the input impedance is close to 50  $\Omega$  when the feed is 0.5 mm shifted. By offsetting this feed position we are also able to diminish the capacitive or inductive reactance. Figure 5-20 shows that for x=0.5 mm the return loss is less than -10 dB from 7 to 10.5 GHz and less than -6 dB from 6.5 to 14.5 GHz.



Frequency / GHz



Figure 5-18 Real and imaginary part of the input impedance for different offsets (x) of the feed towards the coplanar line



Figure 5-19 Return loss for different feed position



Figure 5-20 Return loss for feed 0.5 mm shifted towards the coplanar line

In the above discussions the perpendicular transition was attached with the antenna element. Some separate simulations of the right angle coax-to-coplanar transition were carried out to observe transmission loss caused by the orthogonal transition itself. These results are presented below. Figure 5-22 shows that for the initial design the return loss is less than -10dB from 6 to 9 GHz, while for the final design it is less than -15dB over the entire bandwidth of interest. From these analyses it is clear that the right angle coax-to-coplanar transition maintains a good performance over the entire bandwidth of the antenna element. However, when this transition was applied to the antenna element the return loss increased as illustrated in the previous section. The main reason for this is the field inter-action between vias placed beneath the coplanar line and the step discontinuity.



Figure 5-21 Simulation model of the orthogonal coax-to-coplanar transition (a) initial design (b) final design



Frequency / GHz

Figure 5-22 S-parameter of the orthogonal coax-to-coplanar transition (a) final design (b) initial design

# 5.5 Conclusions

This chapter was devoted to the antenna feeding problem. At first, the reasons to change the conventional end-launch geometry were pointed out. The simulation model of the orthogonal transition was presented in this chapter. The performance of this model was enhanced by the truncated crown of vias. To further improve the performance, a parametric study of the pad diameter and its position were conducted. At the end of these investigations a coax-to-coplanar waveguide transition was designed which showed outstanding performance from DC to millimeter wave frequencies. Together with antenna optimization described in the previous chapter, new antenna feeding results in a novel antenna design.

# Chapter 6

# **The Antenna Array Design**

# 6.1 Introduction

Chapter 3 was devoted to the basic concept of dual polarization. It was concluded that for this project, using linearly polarized antenna element in a sub-array is probably the best choice to obtain the dual polarization. In this chapter, the simulated models and results of some sub-array structures will be presented. Here the Tran antenna will be used as the antenna element which has been introduced in chapter 2 and further analyzed in chapter 4. As noted before, two types of arrays can be used, the conventional array and the Huang array. In this chapter, the conventional and the Huang array for the 5 to 15 GHz band will be presented first, followed by the conventional and the Huang array designs for the 8 to 24 GHz frequency band.

Often it is assumed that the magnitude, phase and distribution of currents and fields of an isolated element will remain the same when placed inside an array. In practice, it might change drastically due to the mutual coupling between elements. Therefore, neither the wide impedance bandwidth nor the negligible group delay of an element can be guaranteed in an array structure without addition investigations. Hence in the following sections, beside the geometrical construction topology of an array, its wideband performance will remain under careful consideration.

# 6.2 The conventional array structure

In chapter 3, the basic concept of the four element (2x2) conventional array topology has been pointed out. It has been explained how a 2x2 array can overcome the problem of spatial phase delay of the two elements array. In the following section, the simulated models and results of the conventional array configuration will be presented. The analysis of the conventional array will start with its initial topology (Figure 6-1). The performance and the limitation of this structure will be pointed out. One of the most crucial challenges here is to miniaturize the array. During this process the geometrical structure of the array will change and this might have positive or negative influence on the performance. In chapter 4, the influence of different parameters (such as the patch and stub dimensions) of the Tran antenna element was shown. This knowledge was applied in the miniaturization process to avoid performance degradation.

In the conventional array structure, the size of the model is two to three times larger than that of the single element. Moreover, due to the array structure we are not able to define any electric or magnetic symmetry. Therefore, the computation time will expand radically. CST microwave studio can provide accurate analysis with reasonably low computation time (compared to FEKO). Therefore, was chosen as the EM solver for the following analysis.

# 6.2.1 The initial topology of the conventional array



Port 1

Figure 6-1 Initial structure of the 2x2 conventional sub-array

In Figure 6-1 the initial structure of the conventional array configuration is shown. This sub-array is designed for 5 to 15 GHz operation band. Here two horizontally and two vertically oriented antenna elements are used. At this point, the traditional surface feeding arrangement was applied to simplify the design as well as to reduce the computational time. The elements of the same polarization are physically rotated to simplify feeding. Therefore,  $180^{\circ}$  phase difference between two opposite ports is introduced. An advantage of having out-of-phase fed array is the low level of cross polarization and less coupling between the antenna elements. The dimensions of the sub-array in Figure 6-1 are 40 x 40 mm which is  $1.34 \lambda$  in both directions where  $\lambda$  is the wavelength in free-space at the center frequency (10GHz).

In Figure 6-2 the S-parameters of the sub-array are presented. Here we see that the impedance bandwidth of the elements increased after placing them in the conventional array structure. As presented in chapter 2, -10 dB impedance bandwidth of an isolated element is from 6.5 to 14.5 GHz while in the array structure it is from 5.5 to 15.5 GHz. This deviation is mainly caused by the mutual coupling among the elements. Besides influencing the impedance matching, the array geometry also caused two additional resonances in the S11 curve. Furthermore, it can be deduced from Figure 6-2 that the coupling between the elements is low. On the other hand, the array arrangement has a negative influence on the time-dispersive characteristic. Figure 6-3 illustrates that the group delay increased from sub nanosecond level (in an isolated element) to more than 1 ns in the array.



**Figure 6-2** S-parameters of the sub-array in Figure 6-1



Figure 6-3 S11 group delay of the sub-array in Figure 6-1

A three dimensional view of the far-field radiation pattern at 11.5 GHz is presented in Figure 6-4 (11.5 GHz is the center frequency of the simulated 5 to 18 GHz frequency band). The total field for the horizontal or the vertical polarization is composed of field radiated from two opposite elements. These two fields are combined in post-processing and illustrated in Figure 6-4. Simulation results indicate a similar radiation pattern for both polarizations. It can be deduced from Figure 6-4 that the maximum of the co-polarized pattern is not exactly in the broadside direction. This phenomenon is caused by the large element spacing in the array structure. The Co-polarization and the cross-polarization are defined by Ludwig 3 coordinate system [19]. In the principle planes the polarization is extremely pure. However, the cross polarization is high in the diagonal plane for theta angle greater than 30°. In Figure 6-5, the polar plot representation of the reference and the cross polarization are shown. It can be inferred from these graphs that the back radiation is extremely low. The front-to-back ration is about 28 dB at 11.5 GHz. Furthermore, we notice the presence of side lobes which is also caused by the high element spacing. Here the sidelobe level is 13 dB lower than the main lobe.



Figure 6-4 Radiation pattern at 11.5GHz, Left: Co-pol, Right: Cross-pol



Figure 6-5 Polar plot of the co and cross polarization at 11.5GHz, Left: for phi=0° plane, Right: for phi=45° plane

In the second simulation ground planes of the antenna elements were joined together. In this case, all the antenna elements share a common ground plane on the top side. This affected the return loss as presented in Figure 6-7. The impedance bandwidth is now decreased to 3.5 GHz (from 9 to 12.5 GHz). Moreover the coupling between the elements increased significantly. From this simulation, it is clear that not only the shape of the radiating patch but also the shape and the size of each ground plane is critical for the wideband characteristic.



Figure 6-6 Conventional sub-array with joined ground plane



Figure 6-7 S-parameters of the sub-array presented in Figure 6-6

## 6.2.2 Miniaturizing the sub-array

The size of the sub-array shown in Figure 6-1 is  $40 \times 40$  mm. As in the future state this sub-array will be used in a complete array structure, it is our foremost interest to reduce the size of the sub-array as much as possible without allowing excessive coupling between the elements. In this section the process of miniaturization of the antenna and its consequences are discussed.

In the first step of the miniaturizing process the top ground plane of the antenna elements are kept separate which assures less mutual coupling. The corner of each ground plane is mitered and each element is shifted towards the center of the structure (Figure 6-8). Here the total size of the sub-array is 36 x 36 mm. In Figure 6-9 the s-parameters of this array structure are presented. Due to the geometrical alteration the current and field distribution will vary and this causes changes in matching condition. The impedance bandwidth is now from 5 to 16 GHz and the coupling between two adjacent elements is about -15dB. However, around 8.5 GHz the S11 curve shows a strong resonance which causes a peak in group delay



Figure 6-8 Sub-array of dimension 36 x 36 mm



Figure 6-9 S-parameters of the sub-array in Figure 6-8



Figure 6-10 Group delay of the sub-array in Figure 6-8

From the preceding analysis it is obvious that changes in the array structure can introduce dispersion in the signal and the array will become unsuitable for UWB imaging application. Therefore the miniaturizing of the array has to be done in such a way that no strong resonance in the S11 curve is present.

In the next step the size of the sub-array was decreased to 32 x 32 mm which is  $1.07\lambda$  ( $\lambda$  = free-space wavelength at 10GHz). This sub-array is presented in Figure 6-11. Here the shape of the outer stub was changed from previous designs and was placed parallel to the gap between two ground planes. The outer stub length reduces the loop area encircled by each ground plane and by changing this parameter we can provide a better match as previously demonstrated in Figure 4-7. In the following graphs we can see that the impedance bandwidth of this sub-array structure is from 5.5 GHz to 14.5 GHz while the coupling did not increase much from the previous step. The average coupling between two adjacent elements is -15dB and the total radiation efficiency is about -0.6 dB. Nevertheless, the most important quality of this design is the very smooth S11 curve which means that the impedance matching is nearly constant over the operational band.



Figure 6-11 Sub-array of dimension 32 x 32 mm



Frequency / GHz

Figure 6-12 S-parameters of the sub-array in Figure 6-11



Figure 6-13 Group delay of the sub-array in Figure 6-11

Figure 6-13 verifies the non-resonance behavior of the structure where it is shown that the group delay is within 0.3 ns. The co and the cross polarized fields for different frequencies are illustrated in Figure 6-14. The level of cross polarization is extremely low for the principle planes. However, in the diagonal plane the cross polarization is not negligible. Therefore in Figure 6-14, the polarization purity in the diagonal plane is demonstrated. Over the entire frequency band the polarization is very pure for the broadside. The cross polarized component only becomes high for an angle greater than 30°.

For the lower frequency band (for example, at 6 GHz), the cross-pol is low for the front plane. At 6 GHz the difference between co and cross pol level at  $\theta$ =30° is about 15 dB. However, for the lower frequency band the cross-pol level is high for the back radiation and this degrade the front-to-back ratio to about 10 dB. In Figure 6-14, we notice that the cross-pol level increases with frequency for the front radiation while it decreases for the back radiation. At 11.5 GHz the co-pol and the cross-pol level is equal at  $\theta$ =30° and the FBR is increased to 17 dB. Furthermore, it is clear from Figure 6-14 that there is no side lobe for this array configuration. For the previous configuration, demonstrated in Figure 6-1and Figure 6-8, side lobes existed. In this case the side lobe vanishes due to the reduction of the elements spacing.


Figure 6-14 Co and cross polarized fields at different frequencies for phi=45° plane

The sub-array presented in Figure 6-11 has an adequate level of performance. It satisfies most of the design requirements. However, the size of this array is 32 x 32 mm which is  $1.07\lambda$  ( $\lambda$  = free-space wavelength at 10GHz). For some applications where strict element spacing needs to be realized this size could be still too large. For that reason, it is our goal to design another array configuration where the size is about one free-space wavelength at 10GHz. To achieve this goal the size of the sub-array is further reduced to 28 x 28 mm in the following step. This means that the dimension of the array is 0.94 $\lambda$  in each direction. In this design the shape of the antenna elements is kept as similar as possible to the initial structure. The corners of the radiating patches are blended to reduce the coupling between two

neighboring elements. At first the central ground plane was attach to the rest of the ground planes (Figure 6-15 a). Unfortunately for this structure the return loss increased drastically as shown in Figure 6-16(a). Afterwards, the central ground plane was detached from the rest of the ground planes. In this case the impedance bandwidth improved compared to the previous step but it is still not sufficient. In both cases, the coupling increased. The average coupling between two adjacent elements is -18 dB when the ground plane was attached. For the second design the average coupling increased to about -12 dB. In the second design the coupling is more due to the absence of the ground plane between two radiating elements.



**Figure 6-15** Sub-array of dimension 28 x 28 mm, (a) middle ground plane is attached, (b) middle ground plane is detached



**Figure 6-16** (a) S-parameters of the sub-array shown in Figure 6-15(a), (b) S-parameters of the sub-array shown in Figure 6-15(b)

The impedance bandwidth of the sub-array structure presented in Figure 6-16 (b) is about 3 GHz. Simulation results shows that the bandwidth can be improved by blending the sharp corners of the ground

plane especially for frequencies between 10 and 15 GHz. Due to this blending the abrupt discontinuities are avoided and the strong diffractions are reduced. In this case the impedance bandwidth increased while the coupling remained the same. From Figure 6-18 it is clear that the impedance bandwidth is considerably improved by curving the sharp corners of the ground plane. Nevertheless, for a large curve radius (r > 2 mm) we notice strong resonances and hence the S11 group delay increases. Taking the curve radius, r = 2 mm we are able to obtain a wide bandwidth and a smooth S11 curve.



Figure 6-17 Sub-array of dimension 28 x 28 mm with blended ground corners



Figure 6-18 S-parameters of the sub-array for different curve radius (r)



Figure 6-19 Group delay of the sub-array for different curve radius (r)

Simulation results presented in Figure 6-18 indicates that for r = 2 mm, the return loss is well below -10dB except between 5 and 8 GHz it becomes more than -10 dB. To reduce this high return loss, a parametric study of the patch length (p3) and patch width (p4) was conducted. It is found that for p3 = 5.5 mm and p4 = 7 mm, the return loss is less that -10 dB from 4.5 to 15 GHz (Figure 6-21) and the group delay deviation is less than half nanosecond from 5.5 to 18 GHz (Figure 6-22). In the following figure the CST simulation model of this array structure is presented.



Figure 6-20 Sub-array for p3=5.5mm and p4=7mm







Figure 6-22 S11 group delay of the sub-array shown in Figure 6-20

In Figure 6-23, the top view of the three dimensional far-field radiation patterns is shown. As noted before, the radiation patterns from two opposite elements are combined in post-processing with  $180^{\circ}$  phase difference which gives the overall pattern for two orthogonal polarizations. These two patters resemble each other and they are symmetric around  $0^{\circ}$ . This implies that both polarizations radiate with similar performance in all direction. Furthermore, now the maximum of the pattern is exactly in the broadside direction which was not the case for the first array configuration. This improvement is caused by the minimized spacing between the elements. However, there is also a drawback of this miniaturization. The isolation between two patches becomes low. Now some part of the power fed to one

port will couple to another port. This will reduce radiation efficiency. Furthermore, if the coupling is too high, this might cause some trouble to the electronic components connected to the antenna. For the proposed array structure, the coupling is less than -10 dB which means less than 10% of the input power of a port can enter another port.



**Figure 6-23** Fairfield radiation patterns at 10 GHz of the array structure presented in Figure 6-20. Left: antenna elements along X axis. Right: antenna elements along Y axis



Figure 6-24 Cross polarized field at 10GHz of the array structure presented in Figure 6-20



Figure 6-25 Co and cross polarized fields for phi=45° plane at different frequencies

In Figure 6-25 the polarization purity of the array is analyzed. Above figure shows that for the broadside direction the cross polarization level is below -30 dB for the entire impedance bandwidth. However, the cross polarization is high in the diagonal plane for off broadside. At 8 GHz the difference between the co and cross polarization is 31 dB for 15° off broadside. As illustrated above the cross polarization level increases with frequency and at 12 GHz and 14 GHz the difference between the co and cross polarization for 15° off broadside is 16.7 dB and 6.2 dB respectively. Moreover, above graphs illustrate that for this configuration a low back radiation is observed over the entire operation band.



Figure 6-26 Radiation pattern of the array structure for different frequencies



Figure 6-27 Absolute gain (broad-side) versus frequency

The antenna gain is a function of the observation point position over the far field sphere but it is also a function of frequency. In Figure 6-26 the radiation patterns of the array structure for different frequencies are presented. Here the radiation pattern is taken for the diagonal plane. In Figure 6-27 the broadside gain versus the frequency is plotted. For frequency above 6.5 GHz and below 13.5 GHz the gain is positive. The deviation in the gain is less than 5 dB between 6.5 GHz and 13 GHz.



Figure 6-28 HPBW versus frequency (E-plane)

In Figure 6-28 the half power beamwidth (HPBW) of the array versus frequency is plotted. Here we notice wide beamwidth for the lower frequency band. The HPBW decreases to about  $70^{\circ}$  for 6 to 9 GHz and decreases further to about  $40^{\circ}$  for frequency higher than 10 GHz. It can be concluded here that the HPBW meets the requirement (more than  $30^{\circ}$ ) throughout the band.

To summarize the research described in this section, I can conclude that a polarimetric array with conventional topology and Tran antennas for the frequency band from 5 to 15 GHz has been designed. Dimensions of this array are 28mm in both directions. The antenna elements as well as their mutual positions have been optimized in order to achieve large bandwidth (the impedance bandwidth is more than 10GHz), low coupling between elements (less than -10dB), both reflection and transmission group delay deviation within sub-nanosecond range, stable radiation pattern, low cross polarization level, sufficient gain and beamwidth.

### 6.3 The Huang array structure

In chapter 3, the basic concept of the Huang array was detailed and the performance of this array structure was evaluated. This evaluation was based on the simulation results of a Huang array composed of patch antenna elements. It was concluded that this array configuration has some advantages such as, smaller size and low mutual coupling. This section is devoted to the design procedure of the Huang array with Tran antenna element. To investigate the feasibility of this topology firstly an initial array structure will be simulated and designed. Simulation results of this structure can provide good indication of the potentials of this design and its benefits and limitations compared with the conventional array topology. Further investigation on this Huang array with the Tran antenna element will be carried out, if the benefits of this structure are considerably high and the limitations are not major or can be improved.



### 6.3.1 The initial topology of the conventional array

Figure 6-29 Huang array for element spacing  $0.5^* \lambda$ 

In Figure 6-29, the simulated Huang array structure is illustrated. Here the distance between the elements is half wavelength (in free-space) at 10 GHz. From the S-parameter graph (Figure 6-30) we can notice that for this array structure the S11 curve changed slightly from the single antenna element. Now the -10 dB impedance bandwidth is from 5.5 GHz to 15.5 GHz. We further notice that the coupling between the antenna elements is much lower compared to the conventional array. Here the element spacing is only 15 mm (0.5\*  $\lambda$ ). The element spacing for the conventional array structure in Figure 6-20 was 14.35 mm but

shows much higher coupling. The main reason for this low coupling for the Huang array is the fact that here separate ground loops are placed around each element where for the conventional array structure the ground loops are not clearly separate in the miniaturized designs. Moreover for the Huang array, the relative orientation of the elements also reduces the coupling. Due to this reduced coupling this array structure has much higher radiation efficiency. In Figure 6-31, the far-field radiation pattern is presented. The overall pattern for the horizontal or the vertical polarization is composed of fields radiated from two opposite elements in the diagonal axis. In the broadside the gain is about 7 dB for the reference polarization. One major disadvantage of this array structure is its high cross polarization level. For the conventional array structure the cross polarization level is extremely low for broad side direction which is unfortunately not the case for the Hunag array. Here we notice a considerable amount of the cross polarization even in the broad side direction as presented in the following figures.



Figure 6-31 Fairfield radiation pattern at 11.5 GHz of the Huang array structure, Left: Co-pol. Right: Cross-pol

To provide a clear demonstration of the reference and cross polarization, the antenna gain is presented in a Cartesian plot in the following graph. For theta =  $0^{\circ}$ , the difference between co-pol and cross-pol is 10.6 dB. In phi =  $0^{\circ}$  plane, the cross-pol level is maximum and when theta =  $32^{\circ}$  both polarization have equal amplitude. For phi =  $135^{\circ}$  plane, the cross-pol level is much lower in the off broadside.



Figure 6-32 Co and cross polarized fields for phi=0° plane (top) and for phi=135° plane (bottom) at 11.5 GHz

From the above analysis we can conclude that the investigated array shows many promising features such as extremely large bandwidth, low coupling, good radiation properties and compact size. The high cross polarization level is the main limiting factor of this design. In the following section the geometrical structure and the element spacing will be optimized to increase polarization purity.

### 6.3.2 Element spacing vs. cross polarization for the Huang array

It has been investigated by John Huang and his fellow researchers that the cross polarization level is critically dependent on the element spacing [27]. In this publication it has been demonstrated that the cross polarization level can be significantly suppressed by reducing the element spacing at the expense of increased mutual coupling. Furthermore, the cross polarization level can also be reduced by increasing the number of element due to the increased suppression of the cross polarization lobes by the array factor. For this project the number of elements in the sub-array cannot be higher than four as this will increase the size. Therefore, only the effect of element spacing on cross polarization will be investigated in this section.



Figure 6-33 Huang array for element spacing  $0.46* \lambda$ 

In Figure 6-33 the distance between the elements is reduced to  $0.46^* \lambda$  (free-space  $\lambda$  at 10 GHz). Furthermore, in antenna design, sharp edges are not preferable and they are often rounded or mitered to reduce the diffraction. Hence, in this design the corners of each element were mitered. As a result, we notice couple of changes in the S-parameter. First of all, the coupling between two adjacent element has

increased (S2,1 or S4,1 in Figure 6-34). This change is most visible between 10 and 15 GHz frequency band. The average coupling in this band is now about -17 dB which was about -20 dB when the element spacing was  $0.5^* \lambda$  as shown in Figure 6-30. However, this reduction of the element spacing has a positive influence on the cross-pol level. It can be inferred from Figure 6-35 that in the broadside the difference between the co and cross-pol is about 14 dB which is 3.5 dB improvement compared to the array configuration where the element spacing was  $0.5^* \lambda$ .



Figure 6-34 S-parameters of the sub-array shown in Figure 6-33



Figure 6-35 Co and cross polarized fields for phi=0° plane at 11.5 GHz

In the next step, the distance between the elements is further reduced to  $0.43 * \lambda$ . Now the size of the array is 26.3 x 26.3 mm which is  $0.88*\lambda$  at the centre frequency (10 GHz). To minimize the distance between the elements in this design the ring width of each element was reduced to 0.5 mm which was 1 mm for the previous designs. The S-parameters are plotted in Figure 6-37. Here the impedance bandwidth is from 5.2 to 15 GHz. This design modification did not have much effect on the coupling between two adjacent elements. Only for the diagonal element (S3,1) the coupling increased for the lower frequency band. In Figure 6-38, the far-field radiation pattern is presented. Form these graphs it is clear that the difference between the co and cross-pol is now about 19.5 dB which is about 9 dB improvement from the initial design.



Figure 6-36 Huang array for element spacing  $0.43^* \lambda$ 



Figure 6-37 S-parameters of the sub-array shown in Figure 6-36



Figure 6-38 Co and cross polarized gains for phi=0° plane (top) and phi=135° plane (bottom) at 11.5 GHz

Above graphs show that for phi=0° plane, the cross-pol level increases rapidly with theta. However, as it has been demonstrated in Figure 6-31, this high cross-pol level only exists for a limited phi angle. For phi=135° plane, the different in co and cross-pol gain is large (> 10 dB) for theta less than  $60^{\circ}$ .



Figure 6-39 Group delay of the sub-array shown in Figure 6-37

The array structure also has a linear phase characteristic and hence low deviation in group delay as presented in the above figure. In Figure 6-38, the far-field gain at 11.5GHz is illustrated. In Figure 6-40, the antenna radiation pattern for different frequencies is presented in a polar plot. From these plots the characteristics of the antenna can be summarized as follows. Both in E and H plane the cross-pol level of the antenna is low for the lower frequency band. While frequency increases, the cross-pol level remains low for the E-plane but increases in the H plane.



Figure 6-40 Co and cross polarized fields for E-plane and H-plane at different frequencies



Figure 6-41 Radiation pattern of the Huang array structure for different frequencies



Figure 6-42 Frequency versus broadside and backside gain

In Figure 6-41 the radiation patterns of the array structure for different frequencies are presented. In Figure 6-42 the frequency versus the broadside and backside gains are plotted. The broadside gain is quite flat in the operational band. The deviation in the gain in the broadside is less than 5 dB between 5 GHz and 15 GHz. The front-to-back ratio (FBR) is more than 6 dB for low frequencies. For frequencies higher than 9 GHz the FBR increases to more than 10 dB which is despite being less than the requirement, is an acceptable level.

To summarize the investigation presented in this section we can say that the Huang array composed of Tran antenna elements is an attractive solution to accomplish UWB and dual polarization. It has 9.8 GHz impedance bandwidth (5.2 to 15 GHz), less than -15 dB coupling between antenna elements, stable radiation pattern over the operational band, linear phase, sufficient antenna gain and low back radiation. The high cross polarization level which was observed for the initial design has also been improved significantly and good polarization purity is achieved. Therefore, it can be an alternative solution of the conventional array topology presented in the previous section.

## 6.4 Dual orthogonal polarized array structure for 8 – 24 GHz

In the following section some simulation results of the dual linear polarized array structure for 8 to 24 GHz band will be presented. The design process of the conventional and the Huang array has been extensively discussed in the previous section. Therefore, this sector only contains a brief demonstration of the final design.



Figure 6-43 The Ku-band conventional array

Similar to the X-band array, here four antenna elements were used in a conventional and a Hung array structure. During the design process of these arrays special attention was paid to maintain the wide impedance bandwidth and the minimized group delay of the single element. The total size of the conventional array was reduced to 24.6 mm which is  $1.29*\lambda$  ( $\lambda$  is free-space wavelength at 16GHz). In Figure 6-43, the three dimensional view of this array is presented. Figure 6-44 indicates that the impedance bandwidth of this array structure is 16 GHz (from 9 to 25 GHz) and the coupling in this band is

well below -10 dB. Besides, the time-dispersive character of the group delay is negligible as shown in Figure 6-45. Figure 6-46 shows the radiation characteristic of the proposed array sructure. This array presents a stable and uni-directional radiation characteristic along the frequency band. However, here the back radiation is high. The front-to-back ratio is between 7 and 14 dB over the bandwidth.





Figure 6-44 The S-parameter of the Ku-band conventional array



Figure 6-45 Group delay of the Ku-band conventional array



Figure 6-46 Radiation pattern of the Ku-band conventional array for different frequencies

In Figure 6-47, the Ku-band Huang array is presented. Here the size of the sub-array is 20.5 mm which is  $1.09*\lambda$  ( $\lambda$  is free-space wavelength at 16GHz). Similar to the conventional array, the impedance bandwidth of this array structure is 16 GHz (from 8.5 to 24.5 GHz) and also the coupling between two elements is well below -10 dB. Moreover, the group delay deviation is less than half nanosecond as shown in Figure 6-49.



Figure 6-47 The Ku-band Huang array



Figure 6-48 The S-parameter of the Ku-band Huang array



Figure 6-49 Group delay of the Ku-band Huang array



Figure 6-50 Radiation pattern of the Ku-band Huang array for different frequencies

The radiation pattern of the array sructure for different frequency is compared in Figure 6-50. From this figure it can be concluded that the radiation characteristics is stable in the E plane but in the H plane some deviation in the pattern is noticed for the higher frequency band. This could be caused by a secondary mode. Besides, front-to-back ratio is between 5 and 15 dB over the bandwidth which indicats a high back radiation.

It has been mentioned before that unlike the conventional array, the cross polarization level is not negligible for the Huang array. In the following figures the polarization purity of the proposed array structure is demonstrated. At the center frequency (16 GHz) the difference betwen the co and cross polarization gain is more than 15 dB in the broadside which is an acceptable value. Furthermore, similar to the X-band Huang array, the cross pol level increases with theta angle in the H plane. However, the reference polarization is dominating for theta less than 30°.



Figure 6-51 Co and cross polarized gains of the Ku-band Huang array for the E plane



Figure 6-52 Co and cross polarized gains of the Ku-band Huang array for the H plane

To summarize the research in this section we can say that above simulation results provide enough evidences that the proposed Ku-band arrays are good solutions for the UWB imaging application. For both the conventional array shown in Figure 6-43 and the Huang array shown in Figure 6-47 the impedance bandwidth is 16 GHz which is an extraordinarily large bandwidth for a planar and uni-directional antenna.

### 6.5 Fabrication

The antenna elements and antenna arrays designed during this thesis project will be fabricated to verify the characteristics of the antenna. For that reason, throughout the design procedure practical issues regarding the manufacturing process, such as tolerance, via diameter and minimum spacing, were carefully considered. For manufacturing a panel has been created which will be fabricated in near future. The fabricated designs have the same dimensions as indicated in the previous sections. The top view of the layout is shown in Figure 6-53. In this layout three types of antenna elements and six types of arrays are placed. Among the three antenna elements there are the X and the Ku-band antenna element with surface feeding arrangement and the X-band antenna element with back feeding arrangement. The six types of arrays consist of two types X-band conventional array, two types X-band Huang array, one type of Ku-band conventional array and one type Ku-band Huang array. All the antennas and arrays are designed using a 5.537 mm thick Rogers material. PCB manufacturers do not usually offer boards with this thickness. Hence, three layers of laminates were combined. On the other side of the substrate a solid metal ground plane is used.



Figure 6-53 Front view of the fabrication layout

### 6.6 Conclusions

In this chapter I have designed four polarimetric UWB arrays: the X-band conventional array, the X-band Huang array, the Ku-band conventional array and the Ku-band Huang array. The first array type is the X-band conventional array. For this type of array two solutions are discussed in detail in section 6.2.2. The first solution has a larger size. Its size is 1.07 times while the later is 0.94 times the free-space wavelength at the center frequency (10 GHz). These two designs are illustrated in Figure 6-11 and in Figure 6-20. The second type array was the X-band Huang array. The outcome of this investigation provided more compact solution where the dimension of the array was only 0.88 times the free-space wavelength at the center frequency. Both the conventional array and the Huang array showed good results. After that the focus of this project was placed on Ku-band array design. For this band also a conventional and a Huang array was designed and both designs showed satisfying outcomes. Results of some additional research on antenna arrays are shown in Appendix A.

# Chapter 7

# **Conclusions and Recommendations**

The goal of this master thesis project was to design a dual orthogonal polarized antenna for UWB high resolution imaging radar. This antenna will enhance the performance of the radar by providing polarimetric diversity. Furthermore, this antenna should fulfill some additional requirements such as, large bandwidth, uni-directional pattern, less dispersion, sufficient gain and compact size.

Six different antennas were considered as potential candidates and were carefully investigated. This investigation provided us a clear overview of the advantages of each candidate along with their limitations. Based on the comparative study of these antennas, it has been decided that Tran antenna fits in the best way for the goals of this research.

The original antenna operates in X-band, so a Ku-band antenna has been designed based on the same principles as the X-band one. The impedance bandwidth of this element is 16 GHz. The size of this antenna element is half of the free-space wavelength at the centre frequency. Besides, this antenna element has extremely low group delay, uni-directional pattern and over 90% radiation efficiency.

The original antennas are fed by surface feeding arrangement which is not a suitable feeding for the applications in mind. Thus a new back feeding structure for the antenna has been investigated, optimized and implemented. This feeding provides less than -15 dB return loss from very low frequency till up to 16 GHz. By summarizing all the changes in the antenna with respect to the original design I can say that I have developed a new antenna.

The drawback of Tran antenna is that it transmits a single linear polarization. In order to reach the goals of the project, a sub-array with four antenna elements has been investigated for dual-orthogonal polarization implementation. Two different types of arrays were studied - conventional array and Huang array topologies. The Tran antenna was used to create these two types of arrays for both X-band and Ku-band. I have designed these arrays by optimizing their topology as well as optimizing the antenna elements geometry and size. These array structures show very promising features. Firstly, they offer extremely wide bandwidth. The fractional bandwidth for all these array structures is more than 100%. The impedance bandwidth for the X-band arrays is about 10GHz and for the Ku-band array is about 16GHz. In recent time some antenna structures have been published which provides more than 100% fractional bandwidth. However, the antennas designed in this thesis are unique as they can provide this very wide bandwidth in combination with the uni-directional pattern (about 10 dB front-to-back ratio) and the planar structure. Furthermore, these antennas have negligible group delay (sub-nanosecond level), compact size (less than one free-space wavelength at center frequency) and low cross polarization level (less than -15dB). The conventional array has the advantage over the Huang array in terms of low cross-pol level. On the other hand, the Huang array provides better size reduction, less coupling between the elements and therefore better radiation efficiency.

It was my intension to provide experimental verifications of the simulation results. Hence throughout this project practical issues regarding the manufacturing process remain under firm consideration. Over the last six months there were regular contacts with a manufacturer of microwave circuits. In October 2009 data files of the antennas and arrays were sent to this manufacturer for fabrication. Unfortunately due to their extremely busy schedule the fabrication process is not yet complete. However, in near future all the antenna elements and antenna arrays presented in this report will be tested at IRCTR. The simulation results presented in this report were computed with CST. It is a well known simulation tool which has been developed and tested by many researchers. Therefore, even without the experimental verifications we can still claim that the results presented in this report are very accurate.

Based on the results presented, one can conclude that the goal of this project - a dual polarized UWB antenna which can be used for high resolution imaging application, has been reached. I can say that the antennas designed during this project are exclusive as they posses some antenna characteristics which are rarely found in the same design.

The future work in the direction of UWB dual-polarized antennas can be carried out in the following areas:

- 1. Experimentally verify the simulations results
- 2. Development of a Tran antenna for S and C band
- 3. An orthogonal coax-to-coplanar transition which provides good performance up to Ku-band
- 4. Investigation on the Ku-band Huang array structures to obtain higher front-to-back ratio
- 5. Further improve the polarization purity and decrease the coupling between the antenna elements

# **Appendix A: Some Additional Simulations**

### Step discontinuity

At the junction of two CPW lines having different widths w1 and w2 an impedance step is formed. Field discontinuities are introduced due to the increase in current density in the center region and due to the electric fringing field on the front edge of the wider conductor. These step discontinuities have been analyzed using finite difference time domain (FDTD) technique in [28]. The equivalent lumped circuit for this discontinuity can be modeled as a T-network consists of two series inductances (L1, L2), accounting for the current distortion and a parallel capacitance (C), accounting for the fringing field. The influence of the capacitance is to lengthen the center conductor line. These equivalent lumped elements are assumed to be at the plane of the discontinuity. It has been investigated in [29] and [30] that for a fixed metallization thickness as the step ratio increases both L and C increase. These values can be reduced if instead of a single step multiple steps are used or even better if a smooth step is used.



Figure A.1 The step and its equivalent lump circuit



Figure A.2 Step discontinuity of the center conductor of the CPW (a) Smooth step (b) Multi step (c) Single step



Figure A.3 Model of the antenna element with smooth step

Initially in the antenna design a single step was used for the impedance transformer. The effect of a smooth step was also investigated during this project. Simulation result shows that when the smooth step was implemented the real part of the input impedance was better match to the 50 $\Omega$  (Figure A.4). Furthermore, the inductive and capacitive reactance slightly reduces. These changes of the input impedance, results a smooth S11 curve which as a result provides less group delay.



Figure A.4 Input impedances (real part) for the single step and the smooth step



Figure A.5 Return loss for the single step and the smooth step



Figure A.6 Group delay for the single step and the smooth step

Appendix

### **Rectangular slots**

There are mainly three major types of coupling between two antenna elements. Lateral coupling (dielectric coupling), surface coupling and free-space coupling. To reduce the free-space coupling some slots were placed inside the antenna ring. The results are presented in Figure A. 8. For frequencies between 7 and 18 GHz the average value of S21 is 0.5dB reduced when a=0.1mm and b=0.3mm.



Figure A. 7 Huang Array with slots



Figure A. 8 Coupling between the adjacent elements for different slot size. Here "a" is the width and "b" is the length of the slots

#### Appendix

#### Additional vias for the conventional array structure

In chapter 6, antenna arrays were developed to obtain dual polarization. In this chapter it was demonstrated that it is possible to reduce the size of the X-band conventional array to less than one free-space wavelength at the center frequency. For this miniaturized array structure, presented in Figure 6-17, the middle structure was detached from rest of the ground planes. As an additional test, vias were used to connect this plane to the back ground plane. In Figure A. 9, the simulated models are shown where four and eight vias are used. Results show that by using these vias we are not achieving much larger bandwidth and on the contrary the group delay is increasing significantly. Therefore the implementation of via in the array structure has been avoided in later design.



Figure A. 9 Four (left) or eight (right) vias are used to connected the middle structure to the back ground plane



Figure A. 10 S-parameters of the sub-array in Figure A. 9



Figure A. 11 S-parameters of the sub-array in Figure A. 9

### Transmission group delay

Group delay is the transit time of a signal through a device-under-test (DUT) versus frequency. Mathematically, group delay is the negative derivative of the phase response with respect to frequency.

group delay = 
$$-\frac{\Delta\varphi}{\Delta\omega}$$

When a signal pass through a device, part of the signal is transmitted and the other part is reflected. The group delay corresponding with the phase response of the reflected signal, tells us about the presence of resonance in the s11 curve. On the other hand, the group delay calculated from the phase of the transmitted signal shows the distortion in the transmitted pulse. When the transmission phase response is linear, the group delay variation is less and there will be no or little distortion of a transmitted pulse. In the previous chapters, the reflection group delay of different antenna elements and arrays has been discussed in detail. In this section the transmission group delay will be analyzed. The group delay was calculated with an E-field (far-field) probe. The probe was placed at 10 cm away from the antenna. The front view of the probe and the calculated group delays are presented below.



Figure A.12 E-field probe at the broad side of the X-band antenna element



Figure A.13 Transmission Group delay of the X-band antenna element



Figure A.14 Transmission group delay of the Ku-band antenna element



Figure A. 15 Transmission group delay of the X-band array presented in Figure 6-20



Figure A. 16 Transmission group delay of the Ku-band array presented in Figure 6-43

From the above graphs we can conclude that the deviation in group delay for the X-band antenna element is 0.15 ns and for the Ku-band antenna element it is 0.4 ns. For the array structures distortion in the signal slightly increases. For both the X-band and Ku-band array the maximum deviation in the group delay is about 0.6 ns. However, the group delay of the X-band array is almost constant between 7 and 18 GHz. For the Ku-band array we notice some pick in the group delay at 11.5 and 16.5 GHz.

# **Appendix B: Selection of the Electromagnetic Solver**

In 1886 James C. Maxwell accumulated the Faraday's Law, Ampere's Law and Gauss's Law into a set of equations which form the basis of EM theory. Maxwell's equation represents one of the most elegant and concise ways to state the fundamentals of electricity and magnetism.

Maxwell's equation in vacuum can be written as [31],

$$-\nabla \times \vec{H} + \varepsilon_0 \partial_t \vec{E} = 0$$
$$\nabla \times \vec{E} + \mu_0 \partial_t \vec{H} = 0$$

Where E is the electric field strength (V/m), H is the magnetic field strength (A/m),  $\varepsilon_0$  is the permittivity in vacuum (F/m) and  $\mu_0$  is the permeability in vacuum (H/m).

Analytical solutions of Maxwell's equations only exist for a very few situations. For all other cases we approximate the Maxwell's equation numerically. This is known as the *computational electromagnetic* (CEM). In recent time the numerical solution has become an important phase in antenna design. Although the simulation itself is performed by a computer, some understanding of the numerical method is required to achieve accurate results.

There are two major types of numerical models- integral method and differential method. Integral methods (MOM), replaces the radiating/scattering structures by equivalent currents, usually surface currents. These surface currents are discretized into wire segments or surface patches. A matrix equation is then derived from which the effect of each segment on every other segment can be found. These interaction are computed with the use Green function. Green function gives the response in space to a spatially impulsive current source. Differential methods (FEM, FDTD, TLM), based on the discretization of Maxwell's equations over the entire domain and compute the unknown variables over the entire domain [32]. Unlike integral method here one doesn't need a green function. Each method has advantages and disadvantages and the selection is based on accuracy of the solutions, computational time and resources. The proper choice depends on the type of the problem. The problem of this thesis can be characterized as following-

**Important parameters:** The main parameters that we are interested to analysis are the input impedance and the S-parameters of the antenna. For computing the input impedance, S-parameter, reflection coefficient etc the *FDTD* is a good tool. *IE* might also provide accurate results but the convergence needs to be checked.

**Open problem:** We have an open problem. *FDTD* is good for closed problem where the computational space is limited by the physical boundaries. For open problem *FDTD* needs to artificially close the computation domain by applying boundary or radiation problem. Therefore, *IE* is more suitable for open problems.

**Homogenous medium:** *FDTD* is more suitable than *IE* when the media is inhomogeneous. As in our approximated model we consider that the antenna is in free space, we will get similar accuracy from both methods.

**Far-field:** We are interested in the farfield radiation pattern and antenna gain. *IE* computes these parameters more accurately. *FDTD* uses near to far field transformation (NFFT) which can be less accurate.

**Wideband:** In our simulation we will need to cover a large frequency band. Integral equation does not scale linearly with the frequency. The scaling of integral equation is  $O(f^6)$  [32]. Therefore, the computation time will be much more for integral equation.

Commercially available simulation tool FEKO uses the Method of Moments (MoM) and Computer Simulation Technology MicroWave Studio (CST MWS) is based on the finite integration technique (FIT) which is very closely related to FDTD. FEKO is more suitable for open problem and for the computation of the far-field, while CST is more accurate for calculating the near-field terms such as, input impedance and S-parameters. However, the main advantage of CST over FEKO for this project was the less computational time. During this project many array structures are investigated and better computation efficiency in term of time and memory was essential. Therefore, CST was used as the EM solver for most analysis in this project.

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