

Fast Loss of Effectiveness Detection on a Quadrotor Using Onboard Sensors and a Kalman Estimation Approach

Strack Van Schijndel, B.A.; Sun, S.; de Visser, C.C.

DOI

[10.1109/ICUAS57906.2023.10156464](https://doi.org/10.1109/ICUAS57906.2023.10156464)

Publication date

2023

Document Version

Final published version

Published in

2023 International Conference on Unmanned Aircraft Systems, ICUAS 2023

Citation (APA)

Strack Van Schijndel, B. A., Sun, S., & de Visser, C. C. (2023). Fast Loss of Effectiveness Detection on a Quadrotor Using Onboard Sensors and a Kalman Estimation Approach. In *2023 International Conference on Unmanned Aircraft Systems, ICUAS 2023* IEEE. <https://doi.org/10.1109/ICUAS57906.2023.10156464>

Important note

To cite this publication, please use the final published version (if applicable). Please check the document version above.

Copyright

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

Takedown policy

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.

Green Open Access added to TU Delft Institutional Repository

'You share, we take care!' - Taverne project

<https://www.openaccess.nl/en/you-share-we-take-care>

Otherwise as indicated in the copyright section: the publisher is the copyright holder of this work and the author uses the Dutch legislation to make this work public.

Fast Loss of Effectiveness Detection on a Quadrotor using Onboard Sensors and a Kalman Estimation Approach

B.A. Strack van Schijndel¹, S. Sun² and C.C. de Visser³

Abstract—This paper presents a novel method for fast and robust detection of actuator failures on quadrotors. The proposed algorithm has very little model dependency. A Kalman estimator estimates a stochastic effectiveness factor for every actuator, using only onboard RPM, gyro and accelerometer measurements. Then, a hypothesis test identifies the failed actuator. This algorithm is validated online in real-time, also as part of an active fault tolerant control system. Loss of actuator effectiveness is induced by ejecting the propellers from the motors. The robustness of this algorithm is further investigated offline over a range of parameter settings by replaying real flight data containing 26 propeller ejections. The detection delays are found to be in the 30~130 ms range, without missed detections or false alarms occurring.

I. INTRODUCTION

Loss of actuator effectiveness (LOE) is one of the many *system failures* [1] that could happen. LOE can happen suddenly due to, for example, propeller faults or other structural failures such as motor arm or assembly breakage. Quadrotors especially lack redundancy in their actuators making actuator faults risky. One way to cope with (single) actuator failures on quadrotors is to sacrifice yaw control and use the remaining rotors to land directly [2], or maintain forward flight [3]. In order to apply an appropriate control strategy, these active fault tolerant control methods require a quick loss of effectiveness detection. Also without sacrificing overall system reliability.

More generally, a fault tolerant control system should prevent simple failures from developing into catastrophic failures. Instead, the system should gracefully degrade by giving up certain functions while maintaining (some) control over other. This is a desirable property of a quadrotor because it improves its safety.

The detection scheme presented in this paper takes RPM, gyro and accelerometer measurements as inputs and dependents on a very limited control effectiveness model. A Kalman estimator estimates a probabilistic LOE-factor for each actuator. Then, a hypothesis test identifies the faulty actuator. In order to arrive at the limited model assumptions had to be made. In order to test these assumptions flight

tests were done on a Parrot Bebop 2 quadrotor unmanned air vehicle (UAV), validating the detection scheme.

In summary, the contributions of this research is:

- 1) Split the actuator fault detection problem in an actuator dynamics part and an actuator effectiveness part (as in INDI control [4]), by utilizing RPM feedback measurements. Then provide a solution to the loss of actuator effectiveness detection problem, by first applying a Kalman estimator, then a hypothesis test. (Section IV)
- 2) Validate its working in both real-time online and replayed offline environments, at scale across many different flights and varying parameters. (Section V)

II. RELATED WORK

Most work on LOE-detection for quadrotors has been on partial (10%-60%) LOE. Recently Nguyen and Hong proposed a method based on a sliding mode Thau observer [5]. Zhong, Zhang et al. proposed a three-stage Kalman filter approach to deal with external disturbances [6]. Avram et al. systematically designed and flight tested a method based on a fault detection and isolation framework [7]. Lu and Van Kampen estimated LOE-factors by taking the pseudoinverse of the effectiveness matrix [8], this method is unfortunately very sensitivity noise. Some methods require model information such as quadrotor inertia or mass [6], [8]–[11], knowledge that is often not readily available in real-world scenarios. Also the availability of inputs such as attitude [6], [8], [9] or position [6], [9] is often assumed.

Regarding other types of multirotor UAV, Frangenberg et al. developed and flight tested a method based on a bank of WLS-estimators on a octorotor [12]. Vey and Lunze investigated an approach based on a Luenberger observer on a hexarotor UAV [13].

There are techniques for detecting other classes of actuator faults that not *necessarily* result in loss of effectiveness. Vibration based methods exploit propeller or motor imbalances, challenging is the isolation to a specific actuator. Jiang et al. [14] propose a method that includes feature extraction using wavelets. These features then serve as an input to train an artificial neural network, after which this network can detect fractured and distorted propellers. Ghalamchi and Mueller have taken steps to detect *and isolate* faults by applying a Fourier transform [15]. Future work is to do the fault detection automatically in real-time. Other authors exploit motor current and/or acoustics measurements [16], [17].

Unrelated to UAVs, Wu et al. [18] applied a Kalman estimator for estimating the changes in control effectiveness of the control surfaces of civil aircraft.

¹Graduate student, Section Control and Simulation, Faculty of Aerospace Engineering, Delft University of Technology, The Netherlands bramsvs@gmail.com

²Ph.D. student, Section Control and Simulation, Faculty of Aerospace Engineering, Delft University of Technology, The Netherlands s.sun-4@tudelft.nl

³Assistant professor, Section Control and Simulation, Faculty of Aerospace Engineering, Delft University of Technology, The Netherlands c.c.devisser@tudelft.nl

III. PROBLEM FORMULATION

The aim of this work is to detect loss of actuator effectiveness, by using onboard sensor measurements only, and validate the method on a real quadrotor in real world conditions. As a starting point a fault scenario is assumed.

A. Fault scenario

An actuator fault often does not happen spontaneously. It could be the result of an impact from another flying, static or moving object. One could think of an in-flight collision with another UAV.

In this research, we do not simulate an actuator failure by corrupting the rotor speed setpoint ω_{sp} , but instead we induce a real actuator failure by ejecting the propeller in-flight. This has the following two important implications: 1) the RPM-measurements *resulting* from the rotor speed setpoint are also *not* corrupted, thus can be used as input to the detection algorithm, and 2) the loss of effectiveness of an actuator is sudden and total, so no spin-down lag or percentage reduction of effectiveness.

For these reasons the propeller ejection method is critical to the detection method presented in this research.

B. Propeller ejection method

The propeller ejection method was developed specifically for the Bebop 2, yet it can be generalized. The main idea is to remove the friction in the locking mechanism (Figure 1). Then keep the propeller attached using the aerodynamic forces, and eject it by quickly reducing the motor speed significantly. The assumption is that if the rotational deceleration of the propeller only subject to the aerodynamic torque:

$$(\dot{\omega}_{prop})_{aero} = \frac{(\tau_{prop})_{aero}}{I_{prop}}$$

is less than the deceleration of the motor:

$$\dot{\omega}_{motor} < (\dot{\omega}_{prop})_{aero}$$

the propeller will eject.



Fig. 1. Nominal locking mechanism (left), frictionless mechanism (right).

The motor speed can be reduced sufficiently fast to cause an ejection using one of the following three methods: 1) by setting a lower altitude setpoint, 2) by giving yaw rate commands, or 3) by adding a sawtooth signal to the actuator setpoint ω_{sp} . On the contrary, an ejection can be prevented by artificially lowering the control gains.

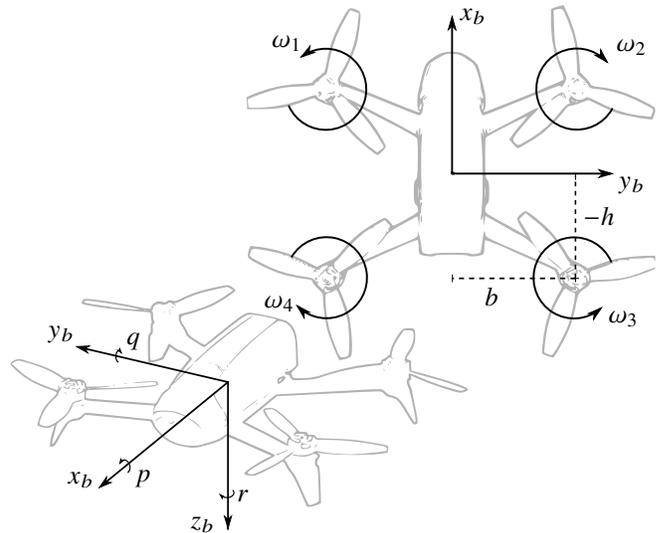


Fig. 2. Geometry of the Parrot Bebop 2 quadrotor with rotor speeds ω_i , geometry b and h , body axes $(\cdot)_b$ and angular rates p, q, r .

C. Control effectiveness model

All quantities are projected onto the body frame. Figure 2 defines its axes, the geometry b, h and the angular velocities of the actuators ω . Assuming in flight, (1) and (2) describe the dynamics of the quadrotor:

$$\mathbf{M}_{act} + \mathbf{M}_{aero} + \mathbf{M}_{gyro} = \mathbf{I}_v \dot{\boldsymbol{\Omega}} + \boldsymbol{\Omega} \times \mathbf{I}_v \boldsymbol{\Omega} \quad (1)$$

with the summed actuator moments \mathbf{M}_{act} , aerodynamic moment \mathbf{M}_{aero} , gyroscopic moments \mathbf{M}_{gyro} , inertia matrix \mathbf{I}_v and angular rate vector $\boldsymbol{\Omega} = [p \ q \ r]$.

Also assuming in flight, the linear acceleration in z -direction can be modeled as:

$$-\sum_{i=1}^4 (T_{act})_i + (F_{aero})_z = m \cdot a_z \quad (2)$$

with actuator thrust force T_{act} , aerodynamic force F_{aero} , quadrotor mass m and proper acceleration a_z . That acceleration is measured by the accelerometer. Considering accelerometer measurements, only the measurements in z -direction are of interest assuming the actuator thrust points in that direction.

The actuator thrust forces are assumed to be linear with respect to the square of the rotor speed:

$$(T_{act})_i = c_T k_i \omega_i^2 \quad (3)$$

with actuator thrust coefficient c_T and actuator effectiveness scaling factors k_i . A value of $k_i = 1$ corresponds to nominal effectiveness while $k_i = 0$ corresponds to complete loss of effectiveness. These scaling factors k_i will be estimated within the LOE-detection algorithm as explained in Section IV.

The moment generated by the actuator thrust forces can be modeled as:

$$\mathbf{M}_{act} = \sum_{i=1}^4 \left((\mathbf{r}_{act})_i \times \begin{bmatrix} 0 \\ 0 \\ -(T_{act})_i \end{bmatrix} \right) \quad (4)$$

with position vectors of the actuators $(r_{act})_i$:

$$\mathbf{r}_1 = \begin{bmatrix} h \\ -b \\ 0 \end{bmatrix}, \mathbf{r}_2 = \begin{bmatrix} h \\ b \\ 0 \end{bmatrix}, \mathbf{r}_3 = \begin{bmatrix} -h \\ b \\ 0 \end{bmatrix}, \mathbf{r}_4 = \begin{bmatrix} -h \\ -b \\ 0 \end{bmatrix}$$

Solving (1) for rotational acceleration gives:

$$\dot{\boldsymbol{\Omega}} = \mathbf{I}_v^{-1}(\boldsymbol{\Omega} \times \mathbf{I}_v \boldsymbol{\Omega} - \mathbf{M}_{act} - \mathbf{M}_{aero} - \mathbf{M}_{gyro}) \quad (5)$$

This model can be simplified to:

$$\dot{\boldsymbol{\Omega}} = \mathbf{I}_v^{-1}(\mathbf{M}_{act}) + \mathbf{d} \quad (6)$$

with disturbance \mathbf{d} containing \mathbf{M}_{aero} , \mathbf{M}_{gyro} the coupling term $\boldsymbol{\Omega} \times \mathbf{I}_v \boldsymbol{\Omega}$ and other phenomena such as propeller imbalances, body deformations and center of gravity offsets. We assume that under normal flight conditions $\mathbf{d} \ll \mathbf{I}_v^{-1}(\mathbf{M}_{act})$.

Likewise, (2) can be solved for a_z and simplified to:

$$a_z = m^{-1} \sum_{i=1}^4 (T_{act})_i + \mathbf{d} \quad (7)$$

By assuming a diagonal vehicle inertia matrix \mathbf{I}_v , substituting (4) in (6), (3) in (7) and working out the result in a single matrix equation, the rotational and linear accelerations can be modeled as:

$$\begin{bmatrix} \dot{p} \\ \dot{q} \\ a_z \end{bmatrix} = c_T \text{diag} \left(\begin{bmatrix} I_x \\ I_y \\ m \end{bmatrix} \right)^{-1} \begin{bmatrix} b & -b & -b & b \\ h & h & -h & -h \\ -1 & -1 & -1 & -1 \end{bmatrix} \text{diag}(\mathbf{k}) \begin{bmatrix} \omega_1^2 \\ \omega_2^2 \\ \omega_3^2 \\ \omega_4^2 \end{bmatrix} + \mathbf{d} \quad (8)$$

with $\mathbf{k} = [k_1 \ k_2 \ k_3 \ k_4]$, which by defining:

$$\mathbf{G}_p := \frac{c_T b}{I_x}, \mathbf{G}_q := \frac{c_T h}{I_y}, \mathbf{G}_{a_z} := \frac{c_T}{m}$$

can be rewritten as:

$$\begin{bmatrix} \dot{p} \\ \dot{q} \\ a_z \end{bmatrix} = \text{diag}(\mathbf{G}) \begin{bmatrix} 1 & -1 & -1 & 1 \\ 1 & 1 & -1 & -1 \\ -1 & -1 & -1 & -1 \end{bmatrix} \text{diag}(\mathbf{k}) \omega^2 + \mathbf{d} \quad (9)$$

with $\mathbf{G} = [\mathbf{G}_p \ \mathbf{G}_q \ \mathbf{G}_{a_z}]$. These control effectiveness parameters \mathbf{G} must be estimated. This can be done by using a LMS-estimator [4], or similarly to how in this research the actuator effectiveness factors k_i are estimated, using a Kalman estimator, as explained in the following section. Other stochastic gradient descent methods [19] could also be considered. This simple model serves as basis of the LOE-detection method.

IV. METHODOLOGY

A block diagram of the loss of effectiveness (LOE) detection system is given in Figure 3. The following subsections describe each step, starting with the filtering of the inputs. These signals are listed in Table I.

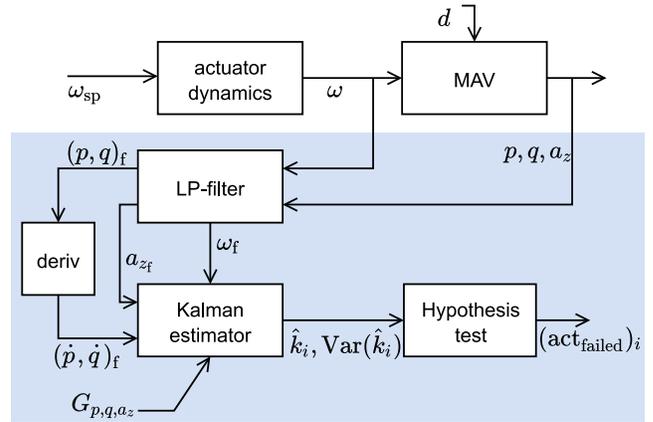


Fig. 3. Overview of the loss of effectiveness detection system (blue). The rotor speeds ω are measured by the ESCs, the rates p , q , and acceleration a_z are measured by the IMU. Only the rates are differentiated, yet all measurements are filtered with the same bandwidth to keep them synchronized.

TABLE I
SIGNALS USED FOR LOE-DETECTION.

Signal	Symbol	Unit	Source	Contamination
Angular rate	p, q, r	rad/s	IMU	Noise, bias
Angular accel.	$\dot{p}, \dot{q}, \dot{r}$	rad/s ²	IMU + filter	Noise, delay
Proper accel.	a_z	m/s ²	IMU	Noise, bias
Rotor speed	ω	rad/s	ESC	None

A. Filtering of accelerations and motor speed measurements

We have to take the derivative of the measured rotational rates to get the angular accelerations. This is a risky operation because of the noise and vibrations. A second order, low-pass filter effectively filters out these disturbances. The same method as in [4] is applied, being a filter of the form:

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

with parameters $\omega_n = 50$ rad/s $\zeta = 0.55$. Note that by inspecting the raw sensor data — sampled at 500 Hz — it was observed that most vibrations are in the ~50-200 Hz range, corresponding to a minimum and maximum rotor speed of the Bebop 2 of ~3000 RPM, resp. ~12000 RPM. As in [4], this same filtering is also applied to the rotor speed ω and accelerometer a_z measurements in order to keep them synchronized with the gyro measurements.

The angular accelerations are simply approximated by taking the backward difference quotient of the filtered rotational rates:

$$(\dot{\boldsymbol{\Omega}}_f)_k = \frac{(\boldsymbol{\Omega}_f)_k - (\boldsymbol{\Omega}_f)_{k-1}}{\Delta t} \quad (10)$$

this approach is similar to a washout filter [20].

B. Kalman estimator

We propose a Kalman estimator, its state vector:

$$\mathbf{x} = \hat{\mathbf{k}} = [\hat{k}_1 \ \hat{k}_2 \ \hat{k}_3 \ \hat{k}_4]^T \quad (11)$$

contains the estimate of the actuator effectiveness scaling factors k_i as defined in Section III. The state transition is

modeled as a random walk:

$$\dot{\mathbf{x}} = \mathbf{0}$$

The control effectiveness model (9) can be rewritten into the following observation model:

$$\begin{aligned} \mathbf{z} &= \mathbf{H}(\omega)\mathbf{x} \\ &= \text{diag}(\mathbf{G}) \begin{bmatrix} 1 & -1 & -1 & 1 \\ 1 & 1 & -1 & -1 \\ -1 & -1 & -1 & -1 \end{bmatrix} \text{diag}(\omega^2)\mathbf{x} \\ &= \begin{bmatrix} G_p\omega_1^2 & -G_p\omega_2^2 & -G_p\omega_3^2 & G_p\omega_4^2 \\ G_q\omega_1^2 & G_q\omega_2^2 & -G_q\omega_3^2 & -G_q\omega_4^2 \\ -G_{a_z}\omega_1^2 & -G_{a_z}\omega_2^2 & -G_{a_z}\omega_3^2 & -G_{a_z}\omega_4^2 \end{bmatrix} \mathbf{x} \end{aligned} \quad (12)$$

This model is linear in the state parameters \mathbf{x} . The rotor speeds ω are measured. Its observation vector is:

$$\mathbf{z} = [\dot{p} \quad \dot{q} \quad a_z]^\top \quad (13)$$

The whole Kalman estimation algorithm is then defined by algorithm 1.

Input : $\mathbf{x}_{k-1}, \mathbf{P}_{k-1}, \mathbf{z}_k$

$$\begin{aligned} \mathbf{P}_{k|k-1} &= \mathbf{P}_{k-1} + \mathbf{Q} & \mathbf{K} &= \mathbf{P}_{k|k-1}\mathbf{H}_k^\top/\mathbf{S} \\ \mathbf{y} &= \mathbf{z}_k - \mathbf{H}_k\mathbf{x}_{k-1} & \mathbf{x}_k &= \mathbf{x}_{k-1} + \mathbf{K}\mathbf{y} \\ \mathbf{S} &= \mathbf{R} + \mathbf{H}_k\mathbf{P}_{k|k-1}\mathbf{H}_k^\top & \mathbf{P}_{k|k} &= (\mathbf{I} - \mathbf{K}\mathbf{H}_k)\mathbf{P}_{k|k-1} \\ & & \text{return } & \mathbf{x}_k, \mathbf{P}_{k|k} \end{aligned}$$

Algorithm 1: KALMAN ESTIMATOR

To increase the robustness of the algorithm the scaling factors k_i were bound to $[0, 1.5]$.

C. Hypothesis test

The estimated scaling factors \hat{k}_i are stochastic variables of which the variances can be found in the diagonal of the covariance matrix:

$$\text{diag}(\mathbf{P}) = \text{Var}(\mathbf{x}) = \text{Var}(\{\hat{k}_1 \quad \hat{k}_2 \quad \hat{k}_3 \quad \hat{k}_4\}) \quad (14)$$

Now the failure probability per actuator i can be calculated:

$$(P_{\text{fail}})_i = P(\hat{k}_i < k_{\text{thres}})$$

with the estimated scaling factor \hat{k}_i , by assuming a parameter k_{thres} and by making the same Gaussian distribution assumption as done in designing the Kalman estimator, implying:

$$P(\hat{k}_i < k_{\text{thres}}) = 1 - \frac{1}{2} \left[1 + \text{erf} \left(\frac{k_{\text{thres}} - \hat{k}_i}{\sigma_{k_i}^2 \sqrt{2}} \right) \right] \quad (15)$$

with variance $\sigma_{k_i}^2 = \text{Var}(\hat{k}_i)$ and $\text{erf}(\cdot)$ being the Gauss error function.

Given the failure probability, a decision can be made on actuator failure:

$$(\text{act}_{\text{failed}})_i = \begin{cases} 1 & \text{if } (P_{\text{fail}})_i > (P_{\text{fail}})_{\text{thres}} \\ 0 & \text{if } (P_{\text{fail}})_i < (P_{\text{fail}})_{\text{thres}} \end{cases} \quad (16)$$

The selection of these thresholds will be discussed in the following section.

V. EXPERIMENTS AND RESULTS

The LOE-detection algorithm was validated *offline* as well as *online* in real-time as part of an active fault tolerant control system (AFTC). Figure 4 gives an overview of this process.

The Bebop 2 quadrotor used in the experiments runs PX4 [21]. The fault detection algorithm is embedded in PX4 via a C++ library generated with Simulink Coder.

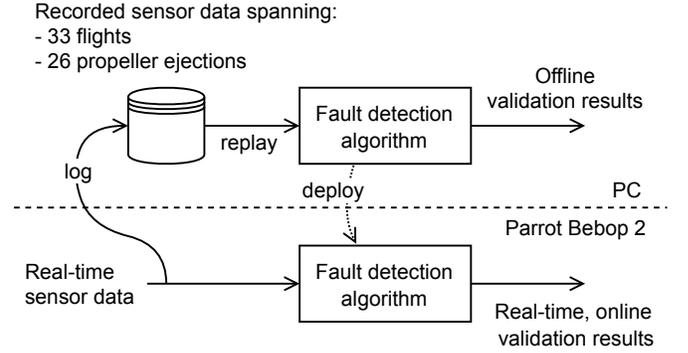


Fig. 4. The process used in validating the fault detection algorithm. The exact same algorithm is used in both the offline (PC) environment as in the online real-time environment (Bebop 2). All recorded sensor data is run through the algorithm with 19 different parameter sets.

In the following subsections, first of all, a close-up of a single flight is presented, showing the inner workings of the system (V-A). Then, the offline replay lets us vary parameters while giving real sensor data as inputs (V-B). We conclude with the key performance metrics (V-C) and a brief discussion on ground contact (V-D).

A. Close up of single flight

We study flight 30. In this flight the LOE-detector served as input to the AFTC, thus together preventing catastrophic failure. The applied parameters are given in Table II, Figure 5 shows some of the key states of the whole, short, flight. The frames in Figure 6 show the moment propeller number three gets ejected and the moment this failure gets detected.

Zooming in on both the failure itself, as well as the detection event, Figure 7 shows the accelerations that serve as the observations 13 to the Kalman estimator. The measured and filtered rotor speeds are shown in Figure 8. Then moving to the Kalman estimator itself, in Figure 9 the Kalman state vector (11), its variances (14) and failure probabilities (15) are shown. Figure 10 shows the probability density function (PDF) of the scaling factors k_i at the indicated timestamps. Following the failure, a clear and distinct shift of the PDF can be observed. Note that when the excitation of the system is low, the variances are trending upward. This is because the observation model (12) is an underdetermined system.

B. Sensitivity analysis across multiple flights

The purpose of this analysis is two-fold: 1) to investigate the robustness of the output of the algorithm against a variety of flight dynamics and uncertainties that occur during real flights, and 2) to identify the sensitivity of the parameters.

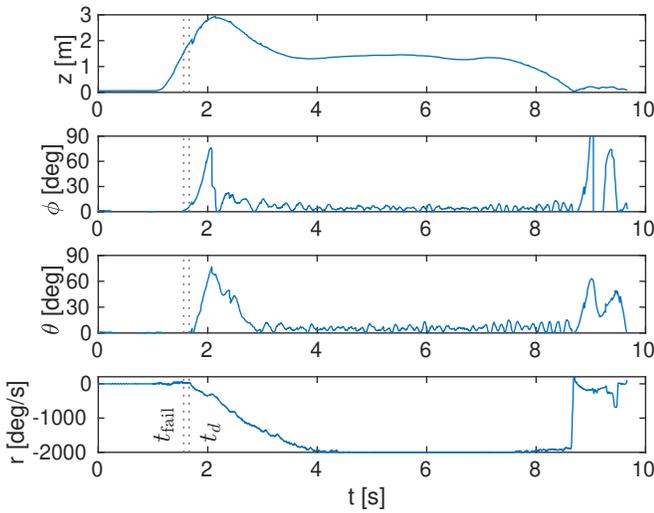


Fig. 5. Key states of flight 30. From top to bottom: altitude z , roll ϕ , pitch θ , yaw rate r . Actuator 3 fails at $t_{\text{fail}} = 1.56$, detection is at $t_d = 1.66$. After detection and during increased pitch and roll angles, apogee is reached. Then, the vehicle levels off and reaches a very impressive yaw rate of at least -2000 deg/s, hitting the angular rate limit of the MPU-6050 gyroscope onboard the Bebop 2 MAV.

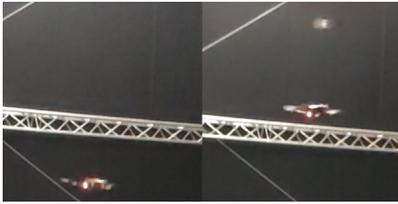


Fig. 6. Video frames of the quadrotor at t_{fail} (left) and the time of detection t_d (right). The ejected propeller is visible in the right frame, in the top right corner.

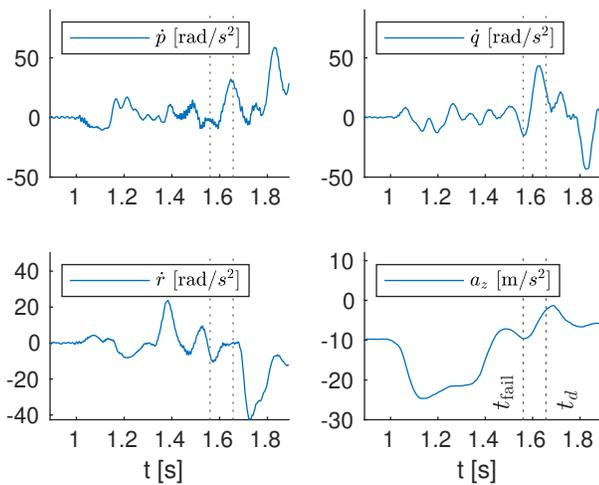


Fig. 7. The rotational and linear accelerations, \dot{p} , \dot{q} and a_z are the observations to the Kalman estimator. t_{fail} and t_d are indicated with the dotted lines. After failure, a positive roll and pitching acceleration can be observed, as well as a reduction in acceleration and an increasingly negative acceleration around the yaw-axis.

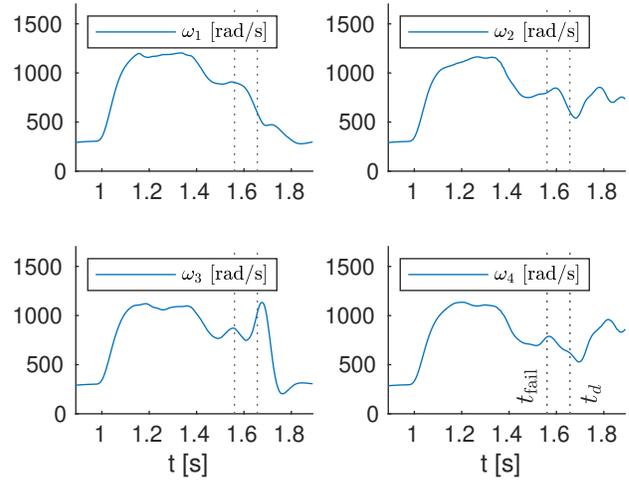


Fig. 8. Motor speed measurements during a propeller ejection. In this case, number 3 is the failed one. t_{fail} and t_d are indicated with the dotted lines. At detection its setpoint is set to idle by the controller. Actuator 1 is opposite to actuator 3, thus going to idle already *before* detection in an attempt to reach the commanded roll and pitch rates.

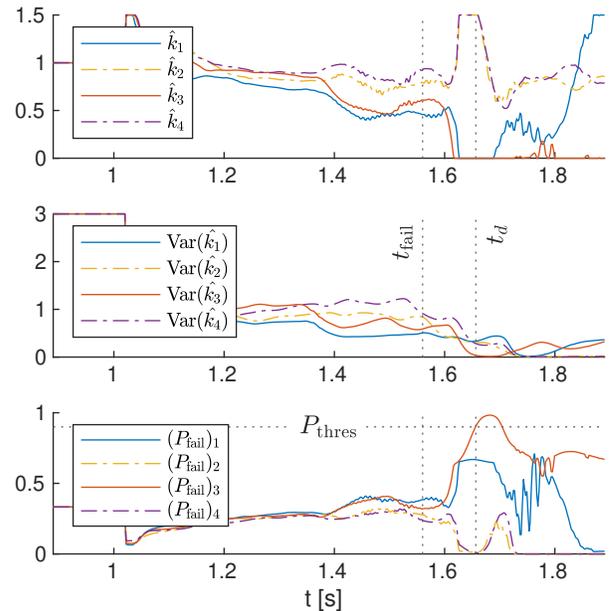


Fig. 9. Scaling factors \hat{k}_i with variances $\text{Var}(\hat{k}_i)$ are the Kalman estimator outputs. The failure probabilities $(P_{\text{fail}})_i = P(\hat{k}_i < k_{\text{thres}})$ with $k_{\text{thres}} = 0.25$ is the generated signal that triggers the detection. $(P_{\text{fail}})_{\text{thres}} = 0.9$ gives the indicated fault detection time $t_d = 1.66$ s.

The sensitivity analysis was done by replaying the algorithm with recorded flight data and varying *one* of the parameters, keeping the others as in Table II. The recorded flight data contains 33 flights, of which 26 experienced a failure per the method described in Section III. Some of these flights were done inside a wind tunnel experiencing wind speeds up to 10 m/s.

Figure 11 presents the detection delays for varying parameters. The control effectiveness parameters \mathbf{G} vary a lot between airframes but can be identified automatically [4]. They were varied by $\pm 20\%$ and show little sensitivity, therefore they are not considered critical. \mathbf{Q} , $(P_{\text{fail}})_{\text{thres}}$ and

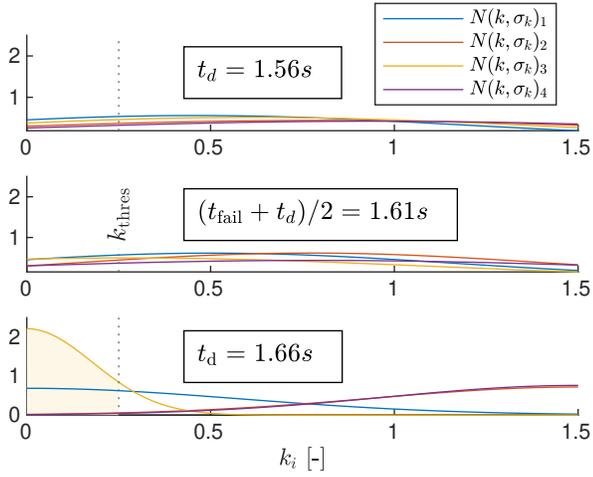


Fig. 10. The probability density functions of the actuator effectiveness scaling factors k_i at t_{fail} (top), $(t_{\text{fail}} + t_d)/2$ (middle) and t_d (bottom). With k_{thres} indicated at $k = 0.25$. The shaded area is $(P_{\text{fail}})_3 = (P_{\text{fail}})_{\text{thres}} = 0.9$.

TABLE II
USED PARAMETERS AND VALUES.

Parameter	Symbol	Value
Roll control effectiveness	G_p	100×10^{-6}
Pitch control effectiveness	G_q	100×10^{-6}
Z-acceleration effectiveness	G_{a_z}	5×10^{-6}
Process noise	Q	0.1
Measurement noise	R	1
Scaling factor failure threshold	k_{thres}	0.25
Failure probability threshold	$(P_{\text{fail}})_{\text{thres}}$	0.9
Step size	ΔT	0.02

k_{thres} should vary less across airframes, are design variables currently set manually, thus are considered more critical.

Also little sensitivity was observed in the false alarm and missed detection rates against changes in parameter settings. Though, high detection delays t_d tend to correlate with an increasing probability in missed detections.

C. Final results and real-time performance

The following performance metrics are of interest in evaluating fault detection systems [22]: 1) detection delay, 2) false alarm rate, and 3) missed detection rate.

Note that for our fault scenario — a sudden failure — the *detection delay* is of special importance because a quadrotor's lack of redundancy requires fast control reconfiguration to prevent upset conditions. Figure 12 shows the detection delays, no missed detections and no false alarms occurred, with the parameters as in Table II. The 95% confidence bounds of the detection delay is [28, 132] ms.

On the Bebop 2 UAV, equipped with a ARM Cortex-A9 processor, one step of the whole LOE-detection algorithm takes 18 μs . Thus, the total running time is $500 \text{ Hz} \cdot 18 \mu\text{s} = 9 \text{ ms/sec}$.

D. Discussion on ground contact

The models in Section III all assume the UAV is in flight. Ground contact will trigger false alarms because the perceived effectiveness of an actuator will be zero. Therefore, we implemented a simple take-off detector that activates

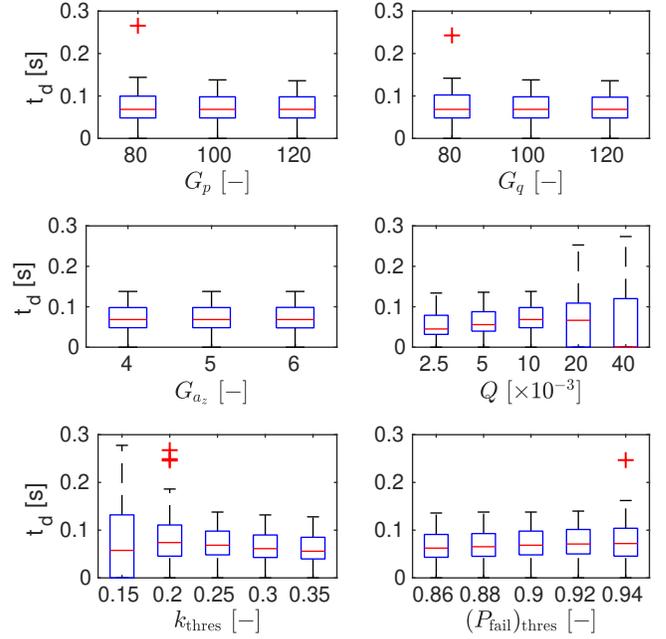


Fig. 11. Box plots of the detection delays for varying parameters. The box plots contain the detection delays for the set of 26 flights experiencing an actuator failure. Red crosses indicate the outliers.

the fault detection algorithm after a certain thrust level is reached. Although this works sufficiently in a research setting, more work on land detection is needed to make the system robust against repeated take-offs and landings.

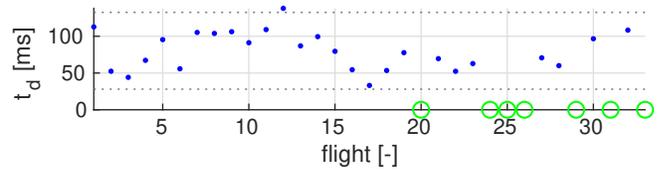


Fig. 12. The detection delay t_d of all 26 flights experiencing a propeller ejection. The flights not experiencing a propeller ejection and without any false alarms are indicated as a green circle. The dotted lines indicate the 95% confidence interval of the detection delays.

VI. FUTURE WORK

Future work should be aimed at maximizing the efficiency and reliability of the method, especially while integrated within the UAV-system:

- Investigate possibilities for simplifying the algorithm, ideally reducing the parameter count.
- Find better methods for dealing with ground contact.
- Evaluate the method under a wider range of flight conditions, properly taking into account the aerodynamic moment occurring during high-speed flight.

ACKNOWLEDGMENTS

We would like to thank the TU Delft MAVLab for making the drone testing facility available to us, and the PX4-community for building excellent open-source flight control software.

REFERENCES

- [1] C. M. Belcastro, D. H. Klyde, M. J. Logan, R. L. Newman, and J. V. Foster, "Experimental Flight Testing for Assessing the Safety of Unmanned Aircraft System Safety-Critical Operations," in *17th AIAA Aviation Technology, Integration, and Operations Conference*, Denver, Colorado: American Institute of Aeronautics and Astronautics, Jun. 5, 2017, ISBN: 978-1-62410-508-1. DOI: 10.2514/6.2017-3274.
- [2] M. W. Mueller and R. D'Andrea, "Stability and control of a quadrotor despite the complete loss of one, two, or three propellers," in *2014 IEEE International Conference on Robotics and Automation (ICRA)*, May 2014, pp. 45–52. DOI: 10.1109/ICRA.2014.6906588.
- [3] S. Sun, L. Sijbers, X. Wang, and C. de Visser, "High-Speed Flight of Quadrotor Despite Loss of Single Rotor," *IEEE Robotics and Automation Letters*, vol. 3, no. 4, pp. 3201–3207, Oct. 2018, ISSN: 2377-3766. DOI: 10.1109/LRA.2018.2851028.
- [4] E. J. Smeur, Q. P. Chu, and G. C. de Croon, "Adaptive Incremental Nonlinear Dynamic Inversion for Attitude Control of Micro Aerial Vehicles," in *AIAA Guidance, Navigation, and Control Conference*, American Institute of Aeronautics and Astronautics. DOI: 10.2514/6.2016-1390.
- [5] N. P. Nguyen and S. K. Hong, "Sliding Mode Thau Observer for Actuator Fault Diagnosis of Quadcopter UAVs," *Applied Sciences*, vol. 8, no. 10, p. 1893, Oct. 11, 2018, ISSN: 2076-3417. DOI: 10.3390/app8101893.
- [6] Y. Zhong, Y. Zhang, W. Zhang, J. Zuo, and H. Zhan, "Robust Actuator Fault Detection and Diagnosis for a Quadrotor UAV With External Disturbances," *IEEE Access*, vol. 6, pp. 48 169–48 180, 2018, ISSN: 2169-3536. DOI: 10.1109/ACCESS.2018.2867574.
- [7] R. C. Avram, X. Zhang, and J. Muse, "Quadrotor Actuator Fault Diagnosis and Accommodation Using Nonlinear Adaptive Estimators," *IEEE Transactions on Control Systems Technology*, vol. 25, no. 6, pp. 2219–2226, Nov. 2017, ISSN: 1063-6536. DOI: 10.1109/TCST.2016.2640941.
- [8] P. Lu and E.-J. van Kampen, "Active fault-tolerant control for quadrotors subjected to a complete rotor failure," in *2015 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*, Hamburg, Germany: IEEE, Sep. 2015, pp. 4698–4703, ISBN: 978-1-4799-9994-1. DOI: 10.1109/IROS.2015.7354046.
- [9] A. Freddi, S. Longhi, and A. Monterù, "Actuator fault detection system for a mini-quadrotor," in *2010 IEEE International Symposium on Industrial Electronics*, Jul. 2010, pp. 2055–2060. DOI: 10.1109/ISIE.2010.5637750.
- [10] R. C. Avram, X. Zhang, and J. Muse, "Quadrotor Actuator Fault Diagnosis and Accommodation Using Nonlinear Adaptive Estimators," *IEEE Transactions on Control Systems Technology*, vol. 25, no. 6, pp. 2219–2226, Nov. 2017, ISSN: 1063-6536. DOI: 10.1109/TCST.2016.2640941.
- [11] A. Hasan and T. A. Johansen, "Model-Based Actuator Fault Diagnosis in Multirotor UAVs," in *2018 International Conference on Unmanned Aircraft Systems (ICUAS)*, Dallas, TX: IEEE, Jun. 2018, pp. 1017–1024, ISBN: 978-1-5386-1354-2. DOI: 10.1109/ICUAS.2018.8453420.
- [12] M. Frangenberg, J. Stephan, and W. Fichter, "Fast Actuator Fault Detection and Reconfiguration for Multicopters," in *AIAA Guidance, Navigation, and Control Conference*, ser. AIAA SciTech Forum, 0 vols., American Institute of Aeronautics and Astronautics, Jan. 2, 2015. DOI: 10.2514/6.2015-1766.
- [13] D. Vey and J. Lunze, "Experimental evaluation of an active fault-tolerant control scheme for multirotor UAVs," in *2016 3rd Conference on Control and Fault-Tolerant Systems (SysTol)*, Sep. 2016, pp. 125–132. DOI: 10.1109/SYSTOL.2016.7739739.
- [14] Y. Jiang, Z. Zhiyao, L. Haoxiang, and Q. Quan, "Fault detection and identification for quadrotor based on airframe vibration signals: A data-driven method," in *2015 34th Chinese Control Conference (CCC)*, Jul. 2015, pp. 6356–6361. DOI: 10.1109/ChiCC.2015.7260639.
- [15] B. Ghalamchi and M. Mueller, "Vibration-Based Propeller Fault Diagnosis for Multicopters," *2018 International Conference on Unmanned Aircraft Systems (ICUAS)*, Jun. 2018.

- [16] J. M. Brown, J. A. Coffey, D. Harvey, and J. M. Thayer, "Characterization and Prognosis of Multicopter Failures," in *Structural Health Monitoring and Damage Detection, Volume 7*, C. Niezrecki, Ed., ser. Conference Proceedings of the Society for Experimental Mechanics Series, Springer International Publishing, 2015, pp. 157–173, ISBN: 978-3-319-15230-1.
- [17] P. Misra, G. Kandaswamy, P. Mohapatra, K. Kumar, and P. Balamuralidhar, "Structural Health Monitoring of Multi-Rotor Micro Aerial Vehicles," in *Proceedings of the 4th ACM Workshop on Micro Aerial Vehicle Networks, Systems, and Applications - DroNet'18*, Munich, Germany: ACM Press, 2018, pp. 21–26, ISBN: 978-1-4503-5839-2. DOI: 10.1145/3213526.3213531.
- [18] N. E. Wu, Y. Zhang, and K. Zhou, "Control effectiveness estimation using an adaptive Kalman estimator," in *Proceedings of the 1998 IEEE International Symposium on Intelligent Control (ISIC) Held Jointly with IEEE International Symposium on Computational Intelligence in Robotics and Automation (CIRA) Intell*, Sep. 1998, pp. 181–186. DOI: 10.1109/ISIC.1998.713657.
- [19] D. P. Mandic, S. Kanna, and A. G. Constantinides, "On the Intrinsic Relationship Between the Least Mean Square and Kalman Filters [Lecture Notes]," *IEEE Signal Processing Magazine*, vol. 32, no. 6, pp. 117–122, Nov. 2015, ISSN: 1053-5888. DOI: 10.1109/MSP.2015.2461733.
- [20] B. J. Bacon, A. J. Ostroff, and S. M. Joshi, "Reconfigurable NDI controller using inertial sensor failure detection and isolation," *IEEE Transactions on Aerospace and Electronic Systems*, vol. 37, no. 4, pp. 1373–1383, Oct. 2001, ISSN: 0018-9251. DOI: 10.1109/7.976972.
- [21] L. Meier, D. Honegger, and M. Pollefeys, "PX4: A node-based multithreaded open source robotics framework for deeply embedded platforms," in *2015 IEEE International Conference on Robotics and Automation (ICRA)*, May 2015, pp. 6235–6240. DOI: 10.1109/ICRA.2015.7140074.
- [22] R. J. Patton, "FAULT-TOLERANT CONTROL SYSTEMS: THE 1997 SITUATION," p. 22,