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LiDAR point-cloud mapping of building façades for building energy performance simulation

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Abstract

Current processes that create Building Energy Performance Simulation (BEPS) models are time consuming and costly, primarily due to the extensive manual inputs required for model population. In particular, generation of geometric inputs for existing building models requires significant manual intervention due to the absence, or outdated nature of available data or digital measurements. Additionally, solutions based on Building Information Modelling (BIM) also require high quality and precise geometrically-based models, which are not typically available for existing buildings. As such, this work introduces a semi-automated BEPS input solution for existing building exteriors that can be integrated with other related technologies (such as BIM or CityGML) and deployed across an entire building stock. Within the overarching approach, a novel sub-process automatically transforms a point cloud obtained from a terrestrial laser scanner into a representation of a building's exterior façade geometry as input data for a BEPS engine.

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Semantic enrichment is performed manually. This novel solution extends two existing approaches: (1) an angle criterion in boundary detection and (2) a voxelisation representation to improve performance. The use of laser scanning data reduces temporal costs and improves input accuracy for BEPS model generation of existing buildings. The approach is tested herein on two example cases. Vertical and horizontal accuracies of 1% and 7% were generated, respectively, when compared against independently produced, measured drawings. The approach showed variation in accuracy of model generation, particularly for upper floors of the test case buildings. However, the energy impacts resulting from these variations represented less than 1% of the energy consumption for both cases.

Keywords: Light Detection And Ranging (LiDAR), Laser Scanning, City-Scale Modelling, Building Energy Performance Simulation (BEPS), Retrofit, Semi-Automated Façades Generation

1. Introduction

The advantages of creating and using Building Energy Performance Simulation (BEPS) models for existing buildings are well known. Such models can be used during design to predict energy usage, calibrated to reflect current building consumption, and employed to aid optimisation procedures [1]. However, there is a significant performance gap between predicted and actual performance for the majority of existing buildings [2, 3, 4] - implying limitations of existing models - which may be ascribable to discrepancies in their geometric representation. Other BEPS related factors include modelling assumptions, modeller errors, calculation errors within the programme and the absence of model updates that mirror changes to the building over its life cycle. Typically, BEPS models are associated with the design phase of the building life-cycle (BLC) and are not usually updated to reflect changes that occur to the building over time [5]. In cases where the model has been updated to reflect such changes in the physical building and its operations and subsequently calibrated, BEPS models have been shown to be instrumental in achieving greater operational efficiency [6]. Furthermore, as a decision making tool, BEPS models can compare alternatives during retrofit design scenarios [7]. Consequently, such tools are desperately needed as countries struggle to meet carbon emission and energy consumption targets within the context of the existing building stock.

22 For example, within Europe, authorities predict that 80% of the cur-
23 rent building stock will still exist in 2050. Thus, scalable solutions must be
24 developed to serve the extensive retrofitting market associated with these
25 buildings [8]. BEPS tools can serve as an enabling mechanism for the im-
26 proved operational performance of such buildings and groups of buildings [9].
27 Unfortunately, the process of creating relevant models is complex, expensive,
28 and time consuming and involves the manual input of a significant amount of
29 data [10]. Automating the process of entering building geometry into BEPS
30 tools could be a critical motivator to encourage building practitioners to use
31 such tools throughout the BLCs of existing buildings.

32 Notably, there have been many recent advances in automated building
33 model generation, particularly in BIM based solutions, whereby BIM geom-
34 etry is traced over a point cloud captured by laser scanning, also known as
35 Light Detection and Ranging (LiDAR). In BIM based solutions, the model
36 is generated in BIM authoring tools such as Revit or ArchiCAD or through
37 hybrid methods. Such hybrid methods tend to have significant manual input
38 requirements [11, 12] and are, thus, not easily extendable to district-level or
39 city-level investigations. Additionally, while the hybrid approaches have been
40 used in an energy optimisation context, solutions using point clouds have not
41 been used as a basis for automated geometric parameter input within the
42 BEPS sphere, despite its widespread availability for building groups [13] and
43 its potential impact in facilitating cost-effective BEPS models of individual
44 buildings.

45 From a BEPS model development perspective, the key issue is the cre-
46 ation of the BEPS geometry [14]. Automated and semi-automated solutions
47 aim to ease the burden for BEPS modellers, but such approaches require
48 precisely defined inputs in a BIM format such as IFC [15, 16, 17]. Thus,
49 creating a BIM for an existing building, especially with the view to BEPS
50 modelling is a challenging task. Given the nature and need for large-scale
51 building retrofits and a parallel move towards interlinked digital models that
52 capture and represent the built environment over time, proposed solutions
53 must concentrate on efficient and cost-effective BEPS model development.
54 Such a model must represent a building’s status and be updated with rela-
55 tive ease to account for retrofit design alternatives, thereby, allowing for the
56 quantification of the proposed impact of individual and combined retrofit
57 measures, as well as changes in user behaviours. To date, leveraging LiDAR
58 data for BEPS has not been considered feasible for energy simulation tools.
59 Although Garwood et al. [18, 19] presented interesting advances and a large-

scale case study in the area of point cloud processing, their process requires manual intervention during the interpretation of the point cloud data. Since the fundamental inputs for BEPS tools are two-dimensional (2D) planes representing zone surfaces enclosed by a series of connected lines, BEPS tools are incapable of interpreting a point cloud associated with its attributes without post-processing. Traditional approaches use intermediary programmes, especially those that are based on Computer Aided Drawing (CAD) programs. However, they require significant manual intervention to convert point clouds into the lines and planes required for BEPS inputs, and production difficulties may arise due to having to manage massive quantities of data points.

Several methods have been developed to automate the reconstruction of internal building components, e.g. walls, doors, ceilings and floors. For example, Budroni et al. proposed a plane-based sweep algorithm to detect the walls, ceilings and floors [20]. In this implementation, the vertical sweep initially extracted horizontal planes, and vertical walls were detected using a horizontal sweep. By using the advantages of a voxelisation model, Valero et al. decomposed a point cloud into a voxel space [21]. By examining the distribution of voxels in the horizontal plane, the floors and ceilings were contained a large number of voxels, and the point clouds within these voxels became inputs for the floors and ceilings. The remaining data points were projected onto a 2D horizontal plane to create a binary image. The walls were then identified by using a Hough Transform. An approach presented by Sanchez et. al. extracted point clouds of floors, ceilings and walls by using normal vectors of the points [22]. Then alpha shapes were used to fit the floors, ceilings and walls. In contrast, Shi et. al. combined a region-based segmentation and model-based segmentation to extract building surfaces [23].

In summary, the cost for reconstructing a 3D building model is still high. For example, when Garwood et. al. combined external and internal scanning to reconstruct building geometry and to determine the thickness of the building components (e.g. thickness of the walls and ceilings or floors), the process took several weeks to create a 3D geometry model using Leica Cyclone software [19]. In response, this paper proposes a seamless, efficient, and robust process for generating geometrically accurate models for BEPS from terrestrial laser scanning data. Notably, the proposed method can work with multiple building shapes and components and can overcome missing data.

This paper addresses current research gaps that persist when using intermediate data formats such as IFC or gbXML, as further transformation processes from these formats have limitations that result in data loss and er-

108 rors when applied at scale. IFC to BEPS tools are currently at the prototype
109 stage only and require consistent, high quality inputs. They are, therefore,
110 not sufficiently robust. As a result, such approaches are not yet ready for
111 wide-scale deployment. Approaches that first map to gbXML prior to en-
112 ergy modelling have a systematic limitation, as gbXML uses the centre-line
113 for representation of geometry. In the context of energy simulation in build-
114 ings, this centre-line convention typically results in discrepancies in calculated
115 surface areas and volumes, which significantly exceed standard engineering
116 tolerances and cause over estimations of building energy consumption, as
117 defined by Bazjanac et. al. [24].

118 Consequently, the aim of this work is to develop a new methodology for
119 extracting the exterior geometry of a building appropriate for BEPS mod-
120 elling. This is to be achieved through the use of a terrestrial laser scanner
121 (TLS) and an automatic, seamless, scalable, and robust method for recon-
122 structing 3D building models without any third party software or manual
123 intervention. This representation is subsequently transformed using a short,
124 semi-automatic process into a format that is directly usable for building en-
125 ergy performance simulation. In doing so, the proposed solution overcomes
126 many limitations such as (1) incorrect geometric representations in gbXML
127 and (2) extremely precise geometric definitions in the IFC format when pro-
128 cessing IFC for energy modelling conversions. In this case, the transformation
129 is for BEPS modelling of existing buildings. The semi-automated nature of
130 the approach represents an important step towards deploying BEPS mod-
131 elling across groups of buildings, an important consideration as cities move
132 towards interlinked digital representations of all building assets. The remain-
133 der of the paper is organised into five sections.

Section 2 describes the state-of-the-art in this area, while Section 3 out-
lines the methodology behind the semi-automated process for building façade
generation. The novel method to transform the resulting data into the format
required for building simulation purposes is then illustrated (Section 4). The
validation methods for the models are discussed, and a demonstration of the
process is given (Section 5). Finally, in Section 6, conclusions, limitations,
and possible future work in this area are addressed.

131 2. Related Work

132 Western societies have a pressing need for scalable, building-specific so-
133 lutions that address a largely energy inefficient building stock [8]. Recent

134 developments in the area of building innovation for energy use reduction
 135 have primarily focused on identifying retrofitting measures, while dismissing
 136 the use of BEPS tools because of the cost, time, and effort needed to de-
 137 velop and calibrate such models. Additionally, BEPS tools typically gener-
 138 ate entity-averaged outputs, as opposed to identifying localised discrepancies
 139 [25] (i.e. average wall heat flux (W/m^2), or identifying wall segments with
 140 poor insulation levels). However, BEPS can be an enormously useful tool
 141 when evaluating a range of retrofit alternatives. Since the goal of the paper
 142 is to develop an automatic process to create 3D building models for BEPS
 143 from LiDAR data, the related work section is restricted to the creation of
 144 3D building thermal profiles and building energy modelling. Input data for
 145 those purposes can be thermographic imagery and/or laser scanning data.
 146 For other aspects relating to building energy modelling, readers are referred
 147 elsewhere (e.g. Cho et al. [10]).

148

149 **Three-dimensional building thermal profiles**

150 Increasingly, efforts to visualise building energy at a city scale are being
 151 undertaken. Two notable projects of significant size include the Energy Atlas
 152 Berlin [26] and SEMANCO [27]. Both projects predict a rudimentary annual
 153 energy usage for each building within the study area. The Berlin project in-
 154 cludes approximately 500,000 buildings [26]. The geometric building models
 155 were created from a combination of aerial laser scanning (ALS) data and
 156 2D footprints, that were then textured with photographs of the building
 157 façade. In contrast, the SEMANCO project proposed a platform support-
 158 ing improved energy analysis based on existing data, which was applied to
 159 three urban areas with a combined population exceeding 150,000 people [27].
 160 In this project, a set of tools were also developed to automatically create
 161 3D maps from aerial photography and a digital earth model (DEM) or dig-
 162 ital terrain model (DTM), with the corresponding energy usage integrated
 163 for visualisation. In related work, López et al. [28] presented a complete
 164 methodology for generating thermographic 3D point clouds of urban areas
 165 by using a thermographic camera to acquire thermal data and mobile laser
 166 scanning to collect building topography. Similarly, a combination of TLS
 167 data and aerial ortho-photography was used to manually create 3D build-
 168 ing models with level of detail (LoD) 2 for computational fluid dynamics
 169 (CFD) analysis to estimate temperatures in urban environments [29], but
 170 the method proved time consuming in both data acquisition and building
 171 model generation.

172 In an effort to explore detailed energy consumption of the building, Lagüela
 173 et al. [30] mapped thermal images onto the point cloud of the building to
 174 measure energy efficiency directly. Similarly, González-Aguilera et al. [31]
 175 textured thermographic images onto a 3D point cloud of a building, which
 176 was generated from matching thermographic images. Lagüela et. al.. [32]
 177 textured as-built models with RGB and thermographic images to diagnose
 178 and analyse building energy usage. The proposed method created the build-
 179 ing model as a set of intersection lines between the building surfaces, where
 180 the doors and windows were not included. Focusing on interiors, Borrmann
 181 et al. [33] used a mobile robot to integrate a thermal camera and laser scan-
 182 ning sensor for automatic data acquisition and generated a full thermal model
 183 of a building’s interior. Additionally, Cho and Wang [34] used a TLS and
 184 a thermal camera to generate 3D point cloud models for building envelopes
 185 with the corresponding temperature values at the individual point level.

186 Significant research initiatives have developed efficient methods to create
 187 accurate 3D building energy profiles, but these methods do not explicitly
 188 exploit building geometry. As such, the models for mapping thermal infor-
 189 mation therein cannot be used directly in energy tools. Work in that area is
 190 described in the next section.

191

192 **Building Energy Modelling**

193 A geometric building model is a crucial part of BEPS. With recent de-
 194 velopments in point cloud acquisition and processing, 3D geometric building
 195 models have the potential to support building energy modelling. However,
 196 in practice, 2D geometric information is still primarily used for energy mod-
 197 elling. For example, Moran et al. [35] applied the Passive House Planning
 198 Package tool to assess energy usage and CO₂ emissions in historic buildings
 199 in the city of Bath. For that effort, manually collected, 2D geometric data
 200 of building components were used. Similarly, to evaluate energy retrofiting
 201 results, Morelli et al. [36] created building envelopes of existing structures
 202 by employing the software Be10, where the geometric building model was
 203 derived from building planning documents.

204 Three-dimensional building models are increasingly being used to improve
 205 the accuracy of energy simulation. For example, Ham and Goparvar-Fard [37]
 206 evaluated predicted building energy performance derived from a combination
 207 of CFD and EnergyPlus software against actual measurements from digital
 208 and thermal imagery. Thermal image information was mapped onto building
 209 models created from a large number of digital images, which allowed users to

visualise and compare actual measurements and simulated results in a common 3D environment. Several automatic algorithms have been developed to create either exterior or interior 3D building models, but certain limitations persist: (1) primarily applicable to relatively simple buildings [38]; (2) require a priori knowledge [39]; and/or (3) give relatively low geometric accuracy [40]. Amongst the methods for exterior building model reconstruction, Zolanvari and Laefer [41] recently proposed a slicing method to divide the building façade into the number of independence horizontal and vertical strips. The points of the strip were then compressed into line segments, and the end points of the line segments were known as boundary points. The method provides relatively high levels of accuracy for the boundary points and single façades but does not create automatically complete building models. Similarly, Li et al. detected the corners of openings based on the gradient of the number of points within the sliding window search along both horizontal and vertical directions [42]. Although the method can work properly for a case of partial missing data, it is limited for façades with rectilinear and repetitive openings.

Moreover, with a recent demand for BIM and indoor navigation, recent methods mostly focus on generating indoor building models but accurate detection and generation of openings (doors and windows) is still difficult for older and complex structures [43]. For example, in reconstructing openings from interior point clouds, Adan and Huber used the Hough transform to detect boundary lines based on boundary points of openings extracted from an binary image of a wall [44]. This work reported an average absolute error of 5.39cm for window dimensions with a standard deviation of 5.70cm when compared to the ground truth. Díaz-Vilariño et al. generated building models from TLS data that had door and window errors typically ranging from 2.8% to 6.5%, with a maximum error of 34.3% [40]. Wang and Cho used data points on the edges of the building and its windows for BEPS tools [45]. However, the generated building geometry had a relatively low accuracy with average errors of around 16.9% and 12.5% for the window widths and lengths, respectively.

Jung et al. used boundary tracing to extract boundary points of interior walls and openings from binary images [46]. Subsequently, a constrained least-squares adjustment approach generated boundary lines from these boundary points – the accuracy of the interior building components depends on grid size and threshold distances. The study also calculated a root mean square error (RMSE) between a 3D wireframe model’s vertices

248 and a ground truth derived from total station measurement of about 4.8cm.
 249 Tamke et al. used supervised learning techniques to detect and classify open-
 250 ings from a point cloud of interior building walls [47]. The method can detect
 251 openings with a success rate 91.2% for doors and 72.8% for the windows but
 252 with 39.5% classification error. The extracted doors and windows averaged
 253 9.8% smaller than ground truth in a terms of area. Ochmann et al. presented
 254 a method that can detect openings with an accuracy about 85.2% [48]. As
 255 an alternative, Quintana et al. decomposed a point cloud into voxels, which
 256 are classified as occupied, occluded and opening voxels [49] and used Otsu’s
 257 global histogram threshold technique and the Canny edge detector to iden-
 258 tify openings from colour and depth images, respectively. An accuracy of
 259 98.3% in terms of opening areas was achieved, but only for rectangular open-
 260 ings. Similarly, Jung et al. converted the point cloud of wall segments into
 261 a binary image to detect hollow areas potentially representing openings and
 262 boundaries [43]. The shape and size of the hollow areas were used to remove
 263 incorrect openings. The algorithm successfully generated all walls and de-
 264 tected all openings but was sensitive to grid cell size. The study reported
 265 root mean square errors between reference points and reconstructed wall ver-
 266 tices of 8.9cm and 7.4cm but detailed geometric accuracy of openings was
 267 not been reported.

268 By deploying a spatial representation, Staats [50] used a voxelisation
 269 model to detect doors for indoor navigation from handheld indoor laser scan-
 270 ning data. The doors were detected with a high fluctuation of voxels in both
 271 vertical and horizontal directions. The authors determined that the method
 272 can detect about 84.2% actual doors. Li et al. decomposed a point cloud of
 273 a story into cells and the line fitting was used to create the wall edges from a
 274 binary image of the wall [51]. The maximum errors varied from $4.29 \pm 0.53cm$
 275 to $45.74 \pm 5.52cm$ in terms of distance between vertices, or from $-0.86 m^2$
 276 to $4.51 m^2$ in terms of area. In the worst case, the algorithm detected about
 277 82% of the walls and doors in the model.

278 In other related work, Tran et al. proposed a shape grammar to gener-
 279 ate 3D indoor building models through a simple primitive and interactive
 280 grammar rules [52]. Although the method can reconstruct building elements
 281 for various data sets through a visual evaluation, the method still requires
 282 manual adjustment for the locations of histogram peaks and correction of
 283 the classification labels. Shi et al. combined region-based segmentation for
 284 wall with openings and predefined opening dimensions were used to elimi-
 285 nate false positives [23]. Although that method can detect the number of

286 openings, geometric accuracy was not been reported. Moreover, in an at-
 287 tempt to explore the backpack scanning data for indoor modelling, Wang et
 288 al. used an α -shape based method to extract the boundary points of each
 289 façade, and a k-mean clustering method was used to extract potential lines
 290 of doors and windows from internal lines of the wall [53]. Like many others,
 291 this algorithm is applicable only for rectangular openings. Finally, to over-
 292 come limited availability of laser scanning data for buildings, Neuhausen and
 293 König [54] detected windows from images through two main steps. First, a
 294 machine learning method extracted the windows but the detection accuracy
 295 was quite low, at about 69%. To improve the detection rate in the second
 296 step, the authors applied an image-based edge detection technique to extract
 297 the windows' boundary lines. Next, a knowledge base using window patterns
 298 in the façade and detected windows in a previous step were used to detect
 299 missing windows. This implementation improve the detected rate to 95.2%.
 300 However, the accuracy of the window size may be limited, because the au-
 301 thors defined the boundary lines of the window from the average of a set of
 302 edges detected from regions surrounding the window.

303 In current practice, building energy usage estimation usually differs from
 304 the actual energy performance [45]. This can occur for reasons that include
 305 comparisons using as-designed building models (as opposed to as-built build-
 306 ing models) or building models with a low level of detail (LoD), thus resulting
 307 in significant geometric discrepancies between the input model and the actual
 308 operational building. For example, when an LoD2 building model is used,
 309 openings (i.e. doors and windows) are not explicitly modelled. When a LoD3
 310 model with the major external features such as doors, windows, and a correct
 311 roof shape is used, it must be transformed for use in BEPS software; see [55]
 312 for a more detailed set of definitions for various LoDs. However, achieving
 313 this at scale has not been realisable to date.

314 Although significant complementary information can be added to geomet-
 315 ric models of buildings, the initial creation of a building geometry is most
 316 commonly done through a manual process that is both time consuming and
 317 expensive. Recent advances in laser scanning and photogrammetry based
 318 techniques for building reconstruction offer more opportunities for develop-
 319 ing as-built building models for the purposes of BEPS. Nonetheless, a robust,
 320 efficient, automatic workflow for accurate 3D building model reconstruction
 321 has remained a significant challenge; this is particularly true in the case of a
 322 detailed BEPS model requiring the geometry of the building including a cross
 323 section and coordinate information for floors, walls, ceilings, roofs, columns,

324 and doors.

325 **3. Method**

326 The goal of the proposed method is to automatically process point cloud
327 data for building façades into a format usable by all BEPS tools with minimal
328 manual intervention; the method was validated by testing on one BEPS
329 engine (EnergyPlus). The process also includes validation of simulation input
330 and a mechanism to check geometric configurations through visualisation of
331 DXF files, which are generated by the target BEPS tool. The proposed
332 method has two key sub-processes (Figure 1): (1) point cloud data capture
333 and processing to create 3D geometric building models from terrestrial laser
334 scanning data (Section 3.1) and (2) BEPS creation (Section 4.1).

Figure 1: Process Diagram representing a semi-automated workflow that converts point cloud information into a format compatible with BEPS tools

3.1. Point cloud processing

Processing the point cloud consists of three main steps, as illustrated in Figure 2: (1) extraction of a portion of a point cloud capturing the façade of the building from the scanned data points; (2) creation of boundary lines of the façade and its openings (i.e. doors and windows), which are based on points on their boundaries, and (3) creation of a 3D geometric model of the building façades. This work presumes that building features reside within vertical walls and that doors and windows are primarily glass-plated or are recessed. For the purpose of this work, architectural details were intentionally ignored.

Figure 2: Proposed method for façade reconstruction using laser scanning data

The workflow begins with the registration of multiple point clouds of the building, acquired from different point of views due to footpath limitations and obstacles. The registration process can be done through using artificial targets or objects' features (e.g. edges or corners of façade planes). In practice, the registration was done by using the scanner's proprietary software, for example, Leica Cyclone for the Leica scanners or RealWorkSurvey for Trimble scanners [56], which took less than 5 minutes to register 3 to 5 point clouds. Next, the point cloud of the building with x-, y-, and z- coordinates was exported as input data for the proposed method.

The resulting registration included a large amount of redundant points including those of interior components, terrain, vehicles, and adjacent façades. Since the 3D building model generally involves multiple surfaces and complicated shapes, reconstructing building models from the full set of data points

remains a large challenge. Step 1 uses a segmentation process to partition the 3D scene data points into multiple surfaces to create an input for Step 2. Although many segmentation methods can extract the point cloud of a building façade (e.g. [57, 58, 59]) significant user input or judgement is commonly needed to tune input parameters or filter spurious segments. In this work, a voxel-based region growing method [59] is employed to extract the data points on the same planar surface. This automatic approach relies heavily upon an octree indexing structure to separate the points into two groups: (1) building exterior and (2) other (e.g. internal building components, vehicles, or noise), which can be eliminated. For more details of the segmentation method, refer to Vo et al. [59]. Next, the point cloud of each façade or vertical plane is sequentially processed to identify boundary lines of a building and its features.

Since each vertical exterior surface of the building consists of a set of points, $\mathbf{P} = \{p_i, i = (1, \dots, n)\} \in \mathbb{R}^3$, boundary lines for its entirety and its features (doors and windows) are created from the data points $\mathbf{P}$ (Step 2). In this work, each exterior wall is assumed to be a vertical, largely flat surface, $\mathbf{S}$. Next, the data points of the surface ($\mathbf{P}$) are projected onto a plane parallel to the xy plane of the global coordinate system (GCS) by mapping principal directions of the fitting plane of the façade onto the unit vectors of the GCS, which are given in Equation 1. The principal directions or eigenvectors of each wall are determined by employing a principal component analysis (PCA) [60].

$$\begin{Bmatrix} x \\ y \\ z \end{Bmatrix} = R_z(\beta_3)R_y(\beta_2)R_x(\beta_1) \begin{Bmatrix} X \\ Y \\ Z \end{Bmatrix} \quad (1)$$

Herein, the data points of each wall are described as x-, y-, and z-coordinates in the GCS, where x and y axes are now, respectively, the horizontal and vertical directions of the wall, while the z coordinates of all points are the same (Figure 3a). The 3D model of each wall can be generated by extruding its 2D model in the x-y plane along the z-axis, with a predefined wall thickness.

In order to generate boundary lines of the façade and its openings (e.g. doors and windows), a 2D cell grid is employed to represent the decomposition of an entire façade into non-overlapping 2D regions, commonly referred to as cells (step 2.1). An initial bounding box of all projected points $\mathbf{P}$ can be defined by two pairs of coordinate values (x_{min}, y_{min}) and (x_{max}, y_{max}) .

Figure 3: a) Input data b) Initial 2D cell grid c) Full cells containing candidate points d) Boundary points e) Boundary lines of the wall

Subsequently, a cell grid divides a bounded, 2D region into a set of regular cells by grids along the x- and y-axes in a Cartesian coordinate system. Each cell is described by an index, a geometry, and a property. The index is defined as $c(i, j)$, where $i \in [1; N_x]$, $j \in [1; N_y]$, and N_x and N_y are defined in Equations (2) and (3). The geometry is stored as a centre $[x_{cen}, y_{cen}]$ and a cell size, while the property is either "full" or "empty" (described by 1 and 0, respectively). A cell is full, if it contains at least one data point. Otherwise, it is empty (Figure 3b).

In this method, the empty cells within the building wall are assumed to describe the inside of doors and windows or holes due to missing data. An opening is only detected, if one or more empty cells appear inside a candidate area for an opening. Thus, the predefined cell size plays an important role within the method proposed by this paper. If the cell size is too large, no empty cell may be available within the area of the door or window. On the other hand, if the cell size is too small, particularly if it is less than the sampling step of the data point, a number of empty cells may appear over the area of the building wall, which in turn lead to over-detection of openings. Truong-Hong and Laefer [61] proved that a predefined cell size of 0.2m is an appropriate value to generate at least one empty cell within doors and windows corresponding to a minimum opening size of 0.4m, as established from a survey of urban window sizes [62]. With a predefined cell size of 0.2m, the number of cells along the horizontal and vertical directions are given in

414 Equations 2 and 3.

$$N_x = \frac{x_{max} - x_{min}}{cell_size} + 1 \quad (2)$$

$$N_y = \frac{y_{max} - y_{min}}{cell_size} + 1 \quad (3)$$

415 Next, the process extracts points on boundaries of the façade and its
 416 openings. This is referred to as the boundary point extraction step. Although
 417 several other methods are potentially available (e.g half-disk criterion [63],
 418 triangulation mesh [64], multiple criteria [65]), in this work, an angle criterion
 419 [66] is employed to extract the boundary points from a set of candidate points.
 420 However, unlike previous work with an angle criterion (e.g. [66]), where all
 421 data points must be checked as to whether they are boundary points or not,
 422 the method herein only examines possible points (called candidate points),
 423 which are the data points in the vicinity of the boundaries of the wall and
 424 its openings. In the cell representation of the façade or wall (Figure 3b),
 425 the full cells containing the candidate boundary points of the doors/windows
 426 are connected to an empty cell group appearing as a hole inside the façade,
 427 while those of the façade boundary connect to the empty cell group along
 428 the outside of the façade and/or attach to the minimum bounding box of the
 429 data set. Extraction of the full cells possessing the candidate points is shown
 430 in (Figure 3c).

431 A candidate boundary point is a boundary point, if the maximum angle
 432 between two consecutive neighbour points exceeds an angle threshold by
 433 90°; for full details on the selection of the angle threshold and the process
 434 of extracting boundary points see Truong-Hong et al. [66]. Additionally,
 435 the selection of neighbour points differs from that proposed by Truong-Hong
 436 et al. [66], where a k-d tree was required for neighbour point searching.
 437 In this work, the process starts with a full cell ($c(i, j)$) containing a given
 438 point. Other full cells ($c(k, l)$) connected to $c(i, j)$ are then extracted. The
 439 neighbour points of the given point are the rest of the data points within $c(i,$
 440 $j)$ and all of the points within $c(k, l)$.

441 Notably, due to occlusions, unrealistic holes may appear on the wall.
 442 In order to eliminate those holes, the height (H_0) and width (L_0) of each
 443 hole is computed from its boundary points and compared to dimensions of
 444 common openings in the building. A hole is considered a real opening, if its
 445 height and length satisfy the conditions expressed in Equation 4, where the
 446 minimum opening size is 0.4m [64, 38], and its height-to-width ratio varies

447 from 0.25 to 5.0 [62]. Figure 3d highlights the process of distinguishing real
 448 from unrealistic openings and infilling the unrealistic openings.

$$f(H_0, L_0, \frac{H_0}{L_0}) = \begin{cases} H_0 \geq 0.4; L_0 \geq 0.4; 0.25 \leq H_0/L_0 \leq 5 : \textit{Opening} \\ \textit{Otherwise} : \textit{Non - opening} \end{cases} \quad (4)$$

449 Next, a boundary line for a building component is created from the bound-
 450 ary points (Figure 4a). In the method proposed herein, boundaries of the
 451 building wall and associated openings are assumed to consist of a set of ver-
 452 tical, horizontal, and/or inclined lines. A region growing method is then
 453 adopted to separate the boundary points of the wall and its openings, in
 454 which the data points of each group are distributed on the same pattern
 455 (either vertical, horizontal, or inclined) (Figure 4b). As part of this, the tan-
 456 gent vector of each boundary point is computed from its k-nearest neighbour
 457 (kNN) points using PCA, for which a neighbourhood of 10 kNN points is rec-
 458 ommended. The initial seeding point is selected from the boundary points;
 459 this point is chosen based on the smallest deviation angle between its tangent
 460 vector and a unit vector ($n_y = [0,1,0]$). If the angles between the seeding
 461 point and boundary points in the kNN point(s) are smaller than an angle
 462 threshold (recommended as 5° based on empirical trials), the kNN points are
 463 added iteratively into the seeding point group. This process is applied, until
 464 all boundary points are checked.

Figure 4: Illustration of boundary line generation for a building feature

465 Subsequently, each resulting group is classified as vertical, horizontal,
 466 and/or inclined for the purpose of creating the boundary lines of the build-
 467 ing features. A tangent vector of the group is computed from the group's
 468 boundary points. The classification of the group's pattern is determined
 469 based on the deviation angle between the tangent vector of the group and

the unit vector. If the deviation is less than the angle threshold, for example 5° , the unit vector is $(n_y = [0,1,0])$ for vertical and is $(n_x = [1,0,0])$ for horizontal. Otherwise, the group's pattern is classified as inclined. Notably, the group is only considered for further processing, if the length of its pattern is greater than or equal to half of the minimum opening size (0.4m) [61]. Then, boundary lines are generated from the boundary points of each group ($L = \{p_i = (x_i, y_i, z_i) | 1 \leq i \leq k\}$) and the group's pattern classification. Vertical and horizontal patterns are expressed in Equations 5 and 6, respectively, while a least mean squares fitting [67] is applied to the inclined pattern (Figure 4c). Next, the boundary lines of each feature are adjusted to ensure continuity by extending or trimming the boundary lines at their intersection points (Figure 4d). The final boundary lines of the wall and its openings are shown back in Figure 3e. Geometric information for those boundary lines is in the plane parallel to the xy plane within the GCS, which is not the original orientation of the surface. Equation 1 is used to re-map the boundary lines into the original plane of the vertical surface or the façade.

For vertical patterns:

$$x_0 = \frac{\sum_{i=1}^k x_i}{k}; y_{min} = \min(y_i); y_{max} = \max(y_i) \quad (5)$$

For horizontal patterns:

$$y_0 = \frac{\sum_{i=1}^k y_i}{k}; x_{min} = \min(x_i); x_{max} = \max(x_i) \quad (6)$$

In reality, a building often contains multiple vertical surfaces or façades. After extracting the point cloud of each vertical wall (Step 1), the boundary lines of the wall and its openings are separately reconstructed using the procedure in Step 2. For example, with a point cloud of the building in Figure 5a, the segmentation process automatically extracted the point clouds of 2 vertical walls. The proposed method was applied to reconstruct boundary lines for each vertical wall shown in Figure 5c. However, there is a gap between two adjacent vertical walls because of (1) missing edge points during data acquisition [68], (2) the fact that segmentation methods do not allow edge points to belong to multiple segments (or walls), and (3) a procedure in which boundary line reconstruction takes the data points along boundaries of building components, which leads and shrinks them to the bounding box of the vertical wall (Figure 5c). Therefore, to reconstruct a 3D water-tight

501 building model for BEPS, a new boundary line between two adjacent vertical
 502 surfaces must be created, instead of having two boundary lines (one for each
 503 vertical surface) (Figure 5b and c). The process starts by determining the
 504 parametric equation of the surface in the form of the centre and normal of
 505 the surface. From a set of vertices P_i ($i = 1, \dots, N$, where N is the number
 506 of vertices) of the boundary lines, the surface centre is a centroid of P_i , while
 507 the surface normal is the eigenvector corresponding the smallest eigenvalue
 508 computed from a covariance matrix of P_i . Next, a pair of adjacent vertical
 509 walls (or façades), S_i and S_j , of the building can be determined based on the
 510 Euclidean distance $d(P_i, P'_i)$ horizontally, which is calculated from x and y
 511 coordinates of P_i and P'_i , where P_i and P'_i are respectively the boundary
 512 vertices of S_i and S_j (Figure 5c and d) [67]. In this implementation, if any
 513 $d(P_i, P'_i)$ is less than 1.4 times of the cell size, a pair of surfaces are judged
 514 to be adjoining vertical walls [69]. An intersection line is computed using
 515 the surface equations, in this example $S_1(q_1, n_1)$ and $S_2(q_2, n_2)$, where $(q_1,$
 516 $n_1)$ and (q_2, n_2) are the centres and normal vectors. The result is shown in
 517 Figure 5d. Subsequently, the vertical boundary lines of S_1 and S_2 closest to
 518 the intersection line are replaced by the intersection line. Finally, the set
 519 of boundary lines of each surface is extended or trimmed to ensure a set of
 520 closed boundary lines for each vertical surface. Through this procedure the
 521 final reconstructed building model can be achieved as shown in Figure 5e.
 522 In addition, vertical gaps ranging from millimeters to centimeters may be
 523 present at the top of the adjacent façades, which arise due to errors of data
 524 segmentation or boundary line reconstruction (Figure 5e). In order to over-
 525 come this issue, a possible solution is to retrieve boundary points used to
 526 generate boundary lines at the top of the façades. A new surface (S_{atop}) can
 527 be generated through these boundary points, and the new boundary lines on
 528 the top of the façade is an intersection line between the façade surface and
 529 S_{atop} . This step will be fully implemented in future work.

530 At this stage, the 3D exterior building model comprises multiple polylines
 531 to represent boundaries of the building and its openings (doors and windows).
 532 The vertices for each surface are determined using two iterations: (1) the first
 533 stores vertices of the exterior polylines that represent the boundary of each
 534 surface and (2) the second iteration stores vertices of the interior polylines
 535 describing the boundary of the windows or doors belonging to the surface. If
 536 the surface has no opening, the second iteration fails to return any vertices.
 537 Both iterations have an ID that can be easily used to retrieve the geometric
 538 information of the surface for further processing.

Figure 5: Process to overcome gaps between vertical surfaces of a building's exterior wall

539 Additional information including spatial and semantic information about
540 the building and environment variables is required in order to simulate build-
541 ing energy use based on the exterior geometry of the building.

542 The next step (Section 4) transforms the processed point cloud and man-
543 ually inserted data into the syntax required by the target BEPS engine, in
544 this case, EnergyPlus.

545 4. BEPS Creation and Model Validation

546 This section describes the process of creating and validating a BEPS
547 model. In line with the process illustrated in Figure 1, a number of se-
548 quential steps including defining a number of concise manual inputs that
549 enable automatic conversion of the processed building geometry into a for-
550 mat suitable for a valid simulation run (Section 4.1); generating neutral and
551 tool-specific BEPS files (Section 4.2); validating at the schema level (Section
552 4.3) and validating in the BEPS tools and post-processing (Section 4.4).

553 Most of the commonly available BEPS engines are based on one-dimensional
554 heat transfer. In the context of building façades, the information required by
555 these comparable BEPS tools is identical or almost identical. Notable consid-
556 erations are as follows: (1) the order of points when defining surfaces and (2)
557 the definition of zone-surface, surface-subsurface and surface to cross-section
558 relationships [70]. The key parameters are:

- 559 • Surface name
- 560 • Parent surface name (relevant for doors and windows);
- 561 • Location of vertices using a standard coordinate system and;
- 562 • References to the cross-section type and the surface thermal properties.

563 Other information such as surface normals, view factors, and surface areas
564 can be calculated from the information provided. An important difference
565 between BEPS tools is the syntax through which the information is rep-
566 resented. Therefore, the conversion process would be almost identical for
567 similar BEPS tools but with some minor syntax related variations. HVAC
568 system and component representations are beyond the scope of this paper,
569 if HVAC information was in a neutral file then very different conversion pro-
570 cesses would be required for each BEPS tool. Furthermore the transformation
571 process that uses the neutral file format must be consistent with the process
572 presented by [16, 71].

573 4.1. Manual Parameter Input

574 Following the generation of a 3D geometric model for a given building,
575 additional geometric and semantic information must be added to create input
576 data for the BEPS tool. Geometric parameters include floor elevation and
577 thickness of each floor slab and wall. While, these parameters could be
578 automatically obtained by integrating laser scanning data from the exterior
579 and interior of the building, such steps are out of the scope of this paper.

580 Semantic information includes the primary function of each floor (e.g.
581 retail or office), the age of the building or year of the most recent major
582 retrofit, and the materials of the windows, doors, walls, floors, and roof.
583 Similarly, environmental information including usage profiles and equipment
584 power densities must also be manually entered or can be selected from a
585 template such as in Lagüela et al. [72]. The combination of this additional
586 information with the processed point cloud is then used to create the wall,
587 window, door, roof, and floor entities, as well as to determine the location of
588 the windows and doors.

589 U-values are referenced by building element instances (wall, window or
590 door) where each building element references a cross-section definition; for
591 example Figure 6 contains a reference to a cross-section called “SinglePane-
592 GlassWindow”). Each cross-section comprises a number of material layers
593 that are stored in a defined order. The material properties for each material
594 layer are available from public and proprietary sources or could be extracted
595 from other scanning approaches such as that presented in Wang et al. [73].
596 U-values are calculated at run-time from the material layer properties of each
597 cross-section.

598 Thermal zones or volumes of the buildings that are at the same environ-
599 mental conditions are the fundamental building blocks of traditional BEPS
600 simulations and must also be defined. The process starts with $\mathbf{Z} = \{z_i, i =$
601 $(0, \dots, N)\}$, the series of floor elevations, where z_0 and z_N are respectively
602 the elevation of the ground and roof level. This implies that the number of
603 zones (or storeys) within the building is N , and the lower and upper bounds
604 of the zone i are z_i and $z'_i = z_i + 1 - s_i$, where s_i is the thickness of the floor
605 slab i -th. Next, exterior surfaces of each zone are the exterior surfaces of
606 the building vertical walls bounded by the lower and upper bounds of the
607 zone, while the interior surfaces can be created by offsetting the exterior sur-
608 face with the wall thickness. Thus, the geometric information for the zone
609 involves interior surfaces and additional floor and ceiling or roof surfaces, re-
610 spectively and openings (doors and windows) associated with the zone. The

611 openings belonging to the Zone can be determined by comparing the vertices
612 of the openings generated in a previous step to the lower and upper bounds
613 of the zone. The opening is classified as a door, if any of its vertices connect
614 to the ground level [39], otherwise, it is a window. Finally, for each zone,
615 geometric and semantic information associated with environmental parameters
616 are stored in the neutral file according the specification syntax required.
617 At this point the BEPS data are in a neutral representation, the syntax of
618 which must be adjusted to account for a specific BEPS tool (Section 4.2).

619 4.2. Neutral and Tool Specific BEPS File Generation

620 The file is created based on the outputs of the automated point cloud
621 mapping and the manually added data. Figure 6 illustrates the representation
622 of an example window in the standard ORACLE format for polygons,
623 the neutral file format and the EnergyPlus file format. A one-to-one mapping
624 of points and other properties is the key step in the conversion to the neutral
625 file format. Please note that while the neutral file format closely aligns with
626 the EnergyPlus file format, it is not identical.

Point Cloud Data Format	Neutral File Format	Comment	EnergyPlus IDF Format
INSERT INTO Surface_With_Window VALUES(10, 'polygon_with_hole', SDO_GEOMETRY(2003, -- two-dimensional polygon NULL, NULL, SDO_ELEM_INFO_ARRAY(1,1003,1, 19,2003,1), -- polygon with hole SDO_ORDINATE_ARRAY(2,0, 14,0, 14,5, 2,5, 2,0, 4,2, 7,2, 7,5, 4,5, 4,2)));	FenestrationSurface, Window 1, Window, SinglePaneGlassWindow, Wall 1, Outside, AutoCalculate, , 1, 1, 4, 4.0, 0.0, 2.0, 7.0., 0.0, 2.0, 7.0., 0.0, 5.0, 4.0, 0.0, 5.0,	!- Object Type !- Object Type !- Opening Type !- Cross-section Name !- Parent Object Name !- Outside Boundary Condition !- View Factor !- Shading Control Name !- Frame and Divider Name !- Multiplier !- Number of Vertices !- Vertex 1 Coordinates !- Vertex 2 Coordinates !- Vertex 3 Coordinates !- Vertex 4 Coordinates	FenestrationSurface:Detailed Window 1, Window, SinglePaneGlassWindow, Wall 1, Outside, AutoCalculate, , 1, 1, 4, 4.0, 0.0, 2.0, 7.0., 0.0, 2.0, 7.0., 0.0, 5.0, 4.0, 0.0, 5.0,

Legend

Data from Point Cloud Process	
Manually Added Data	

Figure 6: A window example for the three stages of data in the overall semi-automated process: point cloud format, the neutral file format and the EnergyPlus IDF format

627 The neutral file is of .txt format and does not adhere to the input format
628 of any given BEPS tool. This approach follows the conventions adopted by
629 seminal work in this area [16, 71].

630 The neutral file can be converted to a specific BEPS tool input format
631 using a script or conversion tool. The design of this format allows for a one-to-
632 one mapping of property instances between the neutral file (example provided
633 in Figure 6) and the BEPS input file. The neutral file stores geometric
634 information only and is not executable in any BEPS engine, the mandatory
635 properties include:

- 636 • Surface name;
- 637 • Surface Type (wall, floor, ceiling or roof)
- 638 • Parent surface name (relevant for doors and windows);
- 639 • Outside boundary condition for the surface (set to outside for all façade
- 640 surfaces) and;
- 641 • location of each vertex using a standard coordinate system;

642 This information is complemented by the manually inserted parameter
643 values that include:

- 644 • Cross section name
- 645 • Zone name for parent surfaces
- 646 • Outside boundary condition
- 647 • Outside boundary condition object
- 648 • Sun exposure (optional)
- 649 • Wind exposure (optional)

650 4.3. Schema Level Validation

651 Model validation is then performed at two levels. The first is a routine
652 validation against the target data model schema, while the second is within
653 the modelling tool for verification of model suitability for compilation. These
654 steps are discussed in detail below.

655 Validation of the BEPS inputs is specific to the targeted BEPS tool, for
656 example EnergyPlus. The first rudimentary check is a comparison of the
657 generated input file against the EnergyPlus schema file, called the Input
658 Data Dictionary (IDD). This check is performed in a basic input tool called
659 the IDF Editor, an interface for the EnergyPlus Input Data Format (IDF).

660 The schema level validation is a check that the input file is valid for a
661 specific BEPS run, for example the contents of an XML file should adhere to
662 the rules defined in a corresponding BEPS XSD schema. There are numer-
663 ous XML or JSON tools available for this type of check, and such a check
664 should be performed easily outside of a given simulation engine. Many of the

established BEPS tools, such as EnergyPlus and DOE-2, are based on legacy system architectures that predate modern day best practice approaches for data management and do not have a formal schema. As a result, EnergyPlus does not provide this type of schema level validation prior to execution of a model. However, Simergy, an interface to EnergyPlus does. EnergyPlus is in the process of moving to a JSON schema and input files ([74]). Each BEPS tool should have its own rigorously defined schema.

4.4. Validation in BEPS Tool and post-processing

EnergyPlus is one of the most popular BEPS tools that engineers, architects, and researchers use to model energy and water usage in buildings and includes the most popular features and capabilities of the software packages BLAST and DOE-2.1E. As a result of the legacy code-base, key compilation and validation checks are performed during run-time, rather than beforehand. Various errors may then be generated, for example:

- Order of vertices when defining a surface;
- Surface normals pointing outward from the zone, as opposed to inward;
- Zones not enclosed;
- View factors;
- Incomplete data defined for a simulation run.

After the files are successfully uploaded to EnergyPlus, the requested output is a DXF file that is generated by the energy simulation tool for a final visualisation check. This technique has been applied in other relevant works in this area [16, 15]. The DXF file also describes floor and ceiling positions within the building.

5. Test case evaluation

5.1. Experimental tests

The objectives of the experiment were to evaluate the accuracy of the models generated by the proposed method and to determine to what extent such discrepancies impact downstream energy performance predictions. This was achieved through a comparison of (1) geometric measurements and (2)

695 the annual energy consumption of BEPS models based on terrestrial laser
 696 scanning (TLS) data and independently measured CAD drawings. The pro-
 697 posed semi-automated process described in Sections 3 and 4 was applied to
 698 two buildings in Dublin, Ireland: No. 25 Westmoreland St. and No. 6
 699 D’Olier St. These two buildings represent geometrically complex cases with
 700 different opening sizes and shapes. Both buildings have corresponding CAD
 701 drawings, which were used as the benchmark models when validating the
 702 building façade models. Building suitability was based on the time-period of
 703 construction. Each building has a different configuration of floors, windows,
 704 and doors (Figure 7a and Figure 8a). Actual geometric information for each
 705 building was based on a traditional survey and derived from drawings sub-
 706 mitted to the Planning and Property Development Civic Offices of Dublin
 707 City Council (Table 2 and 3). Specific dimensions included length, height,
 708 and depth of the building, the dimensions of the building’s openings, thick-
 709 ness of floor slabs and walls, and the floor elevation. The façade for No. 25
 710 Westmoreland St. contains 5 floors, with 4 small doors (Door type I) and
 711 1 large door (Door type II) on the bottom floor, 6 windows (Window type
 712 I) on the first floor, 5 small windows (Window type II) and 1 large window
 713 (Window type III) on the second floor, and 6 windows (Window type IV and
 714 V) on the fourth and fifth floors. Similarly, No. 6 D’Olier St. contains 5
 715 floors, with a door (Door type I) and 3 large windows (Window type I and
 716 II) on the bottom floor, and 3 windows (Window type III, IV and V) on each
 717 subsequent floor.

Table 1: Size of the data sets for the two buildings

Building	Sampling data set		
	S20	S50	S75
No. 25 Westmoreland st.	353,848	71,155	35,468
No. 6 D’Olier st.	177,480	39,259	20,089

718 Point cloud data for these buildings were collected by a Trimble GS200
 719 terrestrial laser scanner. Each building façade was scanned from different
 720 views to avoid omissions due to occlusions, and the data points were regis-
 721 tered within RealWorkSurvey (RWS) V6.3. The source data set were mapped
 722 to the target based on pre-selected reference points, which are sharp features
 723 (e.g. a corner of the surface or window) although several methods could be

724 used, for example surface matching algorithms [75]. The point cloud for the
 725 building of interest had to be manually separated from adjacent buildings
 726 within RWS V6.3, a current limitation of automatic extraction [76]. Next,
 727 the voxel-based region growing approach was employed to segment the data
 728 points of the building of interest using the approach and input parameters
 729 proposed by Vo et al. [59]. The results of segmentation allowed for elimi-
 730 nation of irrelevant data points (e.g. internal objects such as furniture and
 731 ceilings). Thus, remaining data points describe vertical surfaces of the build-
 732 ing and serve as input data for the proposed method, as defined in Section
 733 3.1. For each building, three different sampling steps involving 20mm, 50mm
 734 and 75mm, were used to evaluate the influence of the sampling step on the
 735 geometric models. The TLS data of these buildings are shown in Table 1.
 736 Additionally, the selected terraced buildings are in an urban area, where it
 737 is extremely difficult to acquire data pertaining to side and back walls [39].
 738 Therefore, in the process of 3D building generation based on TLS data, two
 739 assumptions were proposed: (1) the back wall is parallel to the street façade
 740 but has no openings and can be generated by offsetting the the façade (not
 741 including openings) by the building depth, and (2) the side walls can be au-
 742 tomatically generated by sweeping the side edges of the façade to the back
 743 wall [39]. Results of the building façade models from the proposed method
 744 are illustrated in Figure 7b, Figure 8b, and Table 2 and 3. Notably, since
 745 dimensions of each reconstructed opening in each storey of the façade differ,
 746 average dimensions of the reconstructed openings are shown in Tables 2 and
 747 3.

748 The BEPS model for each building was automatically created by com-
 749 bining geometric building data generated from the proposed method in this
 750 paper with semantic information and environmental parameters. The geom-
 751 etry of the building was generated in a previous step, while the semantic
 752 information, such as the year of construction or the last major renovation
 753 for a given building was manually collected. The cross section types were
 754 assumed to be single course walls with interior plaster and single pane win-
 755 dows with wooden frames. Material layer properties of each cross-section
 756 were entered accordingly. The rudimentary modelling approach isolated the
 757 façade under investigation by representing these walls, windows and doors
 758 in details but all other surfaces as adiabatic, thus, focusing on the energy
 759 related impacts of the single façade alone. All zones used a constant temper-
 760 ature set point of 21°C for heating without a provision for cooling. Finally,
 761 Dublin, Ireland was set as the location, and both models used a simulation

762 period of one year, for which publicly available climate data were used in the
763 weather file.

764

765

Figure 7: (a) Westmoreland Street Façades and (b) Resulting building reconstruction from the proposed method

Figure 8: (a)D'Olier street façade and (b) Resulting building reconstruction from the proposed method, with evidence of occlusion

Table 2: Geometric information for No. 25 Westmoreland St.^(*) denotes a manual BEPS entry for building models reconstructed from TLS data

		CAD	S20	S50	S75
Façade	Length (m)	19.36	19.31	19.27	19.24
	Height (m)	17.00	16.90	16.88	16.87
	Opening area (m ²)	96.17	96.71	98.02	99.18
	Depth (m)	11.03*			
	Wall thickness (m)	0.60*			
	Slab thickness (m)	0.296*			
	Number of storeys	5			
S1	Height: Flr.-Flr. (m)	4.25	4.25	4.25	4.25
	Door I (WxH) (m)	2.00x3.60	2.00x3.56	2.02x3.56	2.03x3.56
	Door II (WxH) (m)	4.90x3.60	4.92x3.56	4.93x3.56	4.95x3.56
	Tot. opening area (m ²)	46.44	45.97	46.27	46.51
	Tot. floor area (m ²)	213.56	213.00	212.53	212.24
	Tot. zone volume (m ³)	844.42	842.21	840.35	839.20
S2	Height: Flr.-Flr. (m)	3.61	3.61	3.61	3.61
	Window I (WxH) (m)	1.25x2.06	1.25x2.04	1.27x2.05	1.28x2.06
	Tot. opening area (m ²)	15.42	15.28	15.61	15.78
	Tot. floor area (m ²)	213.56	213.00	212.53	212.24
	Tot. zone volume (m ³)	710.94	709.08	707.52	706.55
S3	Height: Flr.-Flr. (m)	3.10	3.10	3.10	3.10
	Window II (WxH) (m)	1.25x1.65	1.25x1.66	1.26x1.67	1.27x1.69
	Window III (WxH) (m)	4.20x1.65	4.17x1.72	4.18x1.74	4.21x1.75
	Tot. opening area (m ²)	15.17	15.45	15.71	15.95
	Tot. floor area (m ²)	213.56	213.00	212.53	212.24
	Tot. zone volume (m ³)	594.55	593.00	591.69	590.88
S4	Height: Flr.-Flr. (m)	3.03	3.03	3.03	3.03
	Window IV (WxH) (m)	1.25x1.65	1.26x1.63	1.27x1.65	1.28x1.67
	Tot. opening area (m ²)	12.36	12.32	12.56	12.83
	Tot. floor area (m ²)	213.56	213.00	212.53	212.24
	Tot. zone volume (m ³)	583.87	582.35	581.06	580.27
S5	Height: Flr.-Flr. (m)	3.02	2.92	2.90	2.89
	Window V (WxH) (m)	1.25x0.90	1.26x1.03	1.27x1.03	1.24x1.10
	Tot. opening area (m ²)	6.78	7.69	7.87	8.11
	Tot. floor area (m ²)	213.56	213.00	212.53	212.24
	Tot. zone volume (m ³)	580.67	558.40	552.81	549.45

Table 3: Geometric information for No. 6 D’Olier St. (*) denotes a manual BEPS entry for building models reconstructed from TLS data

		CAD	S20	S50	S75
Façade	Length (m)	10.50	10.53	10.52	10.14
	Height (m)	17.46	17.43	17.42	17.42
	Opening area (m ²)	52.76	53.53	53.84	54.02
	Depth (m)	10.85(*)			
	Wall thickness (m)	0.60(*)			
	Slab thickness (m)	0.296(*)			
	Number of storeys	5	5	5	5
S1	Height: Flr.-Flr. (m)	5.29	5.29	5.29	5.29
	Door (WxH) (m)	1.30x2.65	1.39x2.62	1.40x2.62	1.42x2.62
	Window I (WxH) (m)	2.58x3.50	2.61x3.54	2.61x3.55	2.62x3.56
	Window II (WxH) (m)	2.26x3.50	2.31x3.49	2.33x3.51	2.33x3.50
	Tot. opening area (m ²)	25.97	26.51	26.67	26.79
	Tot. floor area (m ²)	113.92	114.27	212.53	212.24
	Tot. zone volume (m ³)	568.94	570.67	569.97	569.68
S2	Height: Flr.-Flr. (m)	3.50	3.50	3.50	3.50
	Window III (WxH) (m)	1.20x2.34	1.25x2.29	1.25x2.30	1.24x2.31
	Tot. opening area (m ²)	8.43	8.55	8.59	8.61
	Tot. floor area (m ²)	113.92	114.27	114.13	114.07
	Tot. zone volume (m ³)	365.02	366.13	365.67	365.49
S3	Height: Flr.-Flr. (m)	3.50	3.50	3.50	3.50
	Window IV (WxH) (m)	1.20x2.15	1.22x2.15	1.23x2.15	1.23x2.15
	Tot. opening area (m ²)	7.74	7.89	7.92	7.92
	Tot. floor area (m ²)	113.92	114.27	365.67	114.07
	Tot. zone volume (m ³)	365.02	366.13	552.81	365.49
S4	Height: Flr.-Flr. (m)	3.00	3.00	3.00	3.00
	Window V (WxH) (m)	1.20x1.85	1.22x1.83	1.23x1.84	1.23x1.84
	Tot. opening area (m ²)	6.66	6.70	6.75	6.78
	Tot. floor area (m ²)	113.92	114.27	308.61	114.07
	Tot. zone volume (m ³)	308.05	308.99	552.81	308.46
S5	Height: Flr.-Flr. (m)	2.17	2.14	2.13	2.13
	Window VI (WxH) (m)	1.20x1.10	1.14x1.14	1.14x1.15	1.14x1.15
	Tot. opening area (m ²)	3.96	3.88	3.91	3.92
	Tot. floor area (m ²)	113.92	114.27	114.13	114.07
	Tot. zone volume (m ³)	568.94	210.26	209.76	209.07

766 5.2. Results

767 The proposed method successfully generated 3D building models com-
 768 patible with BEPS tools with only minimal manual intervention required.
 769 The models of the two buildings derived from the S50 data set are shown
 770 in Figure 9, while a summary of building geometries is presented in Table 2
 771 and 3. Reconstructed building façade models with height and width values
 772 generally differed by under 1%, in terms of a relative error when compared to
 773 equivalent values from the reference data, a maximum absolute difference of
 774 130 mm was found in the height of No. 25 Westmoreland St. Moreover, the
 775 proposed method also produced a building façade with an approximately 3%
 776 larger opening area than those in the reference data. The largest difference
 777 in terms of an opening area is 3.01 m² in No. 25 Westmoreland St. from
 778 the S75 data set. Similarly, dimensions of the reconstructed openings were
 779 overestimated by an maximum absolute average 57.2 mm [standard devia-
 780 tion (std) = 57.6 mm] for the height of No. 25 Westmoreland St.’s openings,
 781 and 46.9 mm (std = 28.9 mm) for the width of No. 6 D’Olier St.’s open-
 782 ings. Considering the discrepancy of openings’ dimensions for each zone, the
 783 largest of which was found in zone 5 (top of the building). This is the max-
 784 imum absolute difference of the window’s height, and is 191mm for No. 25
 785 Westmoreland St based on the S75 dataset. This in turn translates to an
 786 absolute difference of 1.33m² in terms of area. However, for other zones, in
 787 both buildings, differences in the opening area were mostly less than 0.5m².
 788 Finally, when considering the difference of the building models derived from
 789 CAD drawings and TLS data in term of a volume, the reconstructed building
 790 models differ around 1.4% (No. 25 Westmoreland st - CAD vs. S75) and
 791 3.6% (No. 6 D’Olier st. - CAD vs. S75). Note that this comparison is based
 792 on height, length and depth of the building assumed as a block.

$$Relative_{DifferenceEnergy} = -0.0354 + 1.8386 * Difference_{WallArea} + 1.0172 * Difference_{OpeningArea} \quad (7)$$

793 Results of annual energy consumption of the buildings based on CAD
 794 and reconstructed models from TLS data are shown in Table 4. For No. 25
 795 Westmoreland St., annual energy consumption from the CAD-based build-
 796 ing was 89,142 kWh, which was greater than those from all generated model
 797 results within 1% for this surface of this value. A similar observation was
 798 found in No. 6 D’Olier St. The measured energy consumption based the

Table 4: Annual building heating consumption (kWh)

Input data		CAD	S20	S50	S75
No. 25 Westmoreland st.					
Heating	Zone 1	20,966	20,886	20,861	20,847
	Zone 2	19,005	18,941	18,944	18,941
	Zone 3	16,800	16,786	16,783	16,791
	Zone 4	16,427	16,386	16,380	16,391
	Zone 5	15,944	15,505	15,389	15,333
	Total	89,142	88,504	88,357	88,303
No. 6 D'Olier st.					
Heating	Zone 1	16,086	16,219	16,225	16,230
	Zone 2	10,111	10,155	10,150	10,147
	Zone 3	10,261	10,308	10,297	10,294
	Zone 4	8,900	8,930	8,927	8,927
	Zone 5	6,291	6,211	6,200	6,183
	Total	51,649	51,823	51,799	51,781

816 implies that the differences in wall and opening areas significantly influenced
817 the change of the annual energy consumption.

Figure 10: Relationship between building geometry and energy consumptions

Table 5: Absolute difference of geometry and relative difference of energy consumption

		No. 25 Westmoreland st.			No. 6 D’Olier st.		
		CAD vs. S20	CAD vs. S50	CAD vs. S75	CAD vs. S20	CAD vs. S50	CAD vs. S75
Wall area (m ²)	Zone 1	-0.20	-0.37	-0.47	0.16	0.09	0.07
	Zone 2	-0.17	-0.31	-0.40	0.10	0.06	0.05
	Zone 3	-0.14	-0.26	-0.33	0.10	0.06	0.05
	Zone 4	-0.14	-0.25	-0.33	0.09	0.05	0.04
	Zone 5	-2.02	-2.53	-2.83	-0.24	-0.29	-0.35
Openings’ area (m ²)	Zone 1	-0.47	-0.17	0.07	0.54	0.70	0.82
	Zone 2	-0.14	0.19	0.36	0.12	0.16	0.18
	Zone 3	0.28	0.54	0.78	0.15	0.18	0.18
	Zone 4	-0.04	0.20	0.47	0.04	0.09	0.12
	Zone 5	0.91	1.09	1.33	-0.08	-0.05	-0.04
Heating (%)	Zone 1	-0.38	-0.50	-0.57	0.83	0.86	0.90
	Zone 2	-0.34	-0.32	-0.34	0.44	0.38	0.36
	Zone 3	-0.08	-0.10	-0.05	0.46	0.35	0.32
	Zone 4	-0.25	-0.29	-0.22	0.34	0.31	0.31
	Zone 5	-2.75	-3.48	-3.83	-1.28	-1.46	-1.72

818 5.3. Discussion

819 This paper introduces a semi-automated, seamless, scalable, and robust
820 method for reconstructing façades of 3D building models without require-
821 ments for any third party software or a priori information. The proposed
822 method is suitable for generating building models with features comprising
823 linear boundaries; it cannot yet account for building components with non-
824 linear (i.e. curve or circle) boundaries. Such functionality would require the
825 introduction of additional algorithms or unsupervised learning techniques to
826 classify component boundaries as linear and non-linear, with an accompany-
827 ing fitting procedure to determine the boundary line of best fit based on the
828 root mean square error of the fitting.

829 The proposed method was successful in the construction of 3D building
830 models for two building façades from different sampling steps. Relative errors
831 of the façades’ and openings’ dimensions are less than 1% and 3%, respec-
832 tively, while the maximum average relative errors of opening areas are 6.4%
833 for a data set of S75 from 25 Westmoreland St. and and 2.6% for 6 D’Olier
834 St. This implies that the quality of the building models derived from the pro-

posed method is better than a previous work done by Díaz-Vilariño et. al. [40], which generated the openings with an average relative error of 11.7%. Moreover, although the sampling step is up to 75 mm (Table 1), the RMSEs of the façade dimensions and its openings are respectively 125.1 mm and 61.5 mm for 25 Westmoreland st., and 27.3 mm and 46.1 mm for 6 D’Olier St. (Figure 11a & b). Those errors are generally less than the sampling step, which is consistent with the conclusions of Tang et al. [68]. In such cases, edge losses due to spatial discontinuities of a point cloud arise when the laser beam hits the edge of solid object and this can be up to several centimetres. Moreover, it also noted that the proposed method gave errors of the same order when compared to previous research: an average error for window size of 5.39cm (std = 5.70cm) [44], an RMSE of the walls’ vertices about 4.8cm [46] and 7.4cm [43], a maximum distance error for the walls’ vertices in a range from $4.29 \pm 0.53cm$ to $45.74 \pm 5.52cm$. Moreover, when considering an error in terms of an area, results from the proposed method are also comparable with a work of Tamke et al. [47] with an error about 9.8% or Quintana et al. [49] with an error of 1.7% regarding to detected openings.

Figure 11: Comparing performance of the proposed method vs. the façade method developed by [61]: a) RMSE of façade’s dimensions, b) RMSE of openings’ dimensions, c) Running time

In addition, performance of the proposed method in terms of quality of the building models and execution time was compared to that of the façade voxel (FV) method developed by Truong-Hong and Laefer [61], an automatic method for 3D building reconstruction. Both algorithms were implemented in Matlab 2016a environment and run on a HP EliteBook 2570p with Intel (R) Core i7-3520M, CPU @2.9GHz, 16Gb RAM, OS Window 10. The geometric building models from the algorithms were compared to the CAD models to identify geometric discrepancies. Results showed that the the proposed method can generate the building models with the same accuracy level to the building models from FV method. However, the proposed method

reconstructed successfully 25 Westmoreland St. - with 28 openings - with an input data size about 353k points in 35 seconds. This makes this method faster than the FV method by about 5.5 times for 25 Westmoreland St., and 14.8 times for 6 D'Olier St. (Figure 11c).

The method introduced in this paper is limited to reconstructing building façades and their openings, particularly the windows/doors covered by low reflectance materials and/or located with a slight recession from exterior surface of the wall. In the case of solid, wholly openings with no recessed portions, additional attributes of the point cloud, known as the laser backscatter intensity or red-green-blue colour of the point cloud could be used to establish the threshold that distinguishes between the points of the wall and those of the window/door areas.

Generated BEPS models from the proposed work flow contain accurate representations of such façades but do not yet include detailed interior representations of the building. A higher LoD for the building, e.g. LoD 4 offers significant potential for comprehensive representation of the building geometry which can be transformed into BEPS models. This still presents a significant challenge in terms of data acquisition and processing. A complete representation of the building could be achieved by integrating data from other sources, for example: aerial laser scanning or unmanned vehicle aerial based laser scanning/images, which can provide point clouds for building roofs and other parts that remain inaccessible to TLS from the ground.

Although the TLS has often been used to capture internal building elements [19], the method requires significant labour on site because the complexity of the building and obstructions from furniture necessitates a large number of scan stations. In addition, it requires huge effort to register data points from the large number of the scan stations and to clean irrelevant data points. To reduce such overheads, a possible solution is to use a robot with a scanner or a backpack scanner [23]. The advantage of these units is to reduce time for setting up scan stations, which takes a large portion of operating time for today's modern scanners, for target acquisition, and office labour for data registration.

In terms of data processing, the data set may contain up to a billion points and be gigabytes in size. Such data will require new, efficient indexing and management. Additionally, new, efficient and robust methods should be developed to extract and reconstruct internal walls, floors, ceilings and other building components. Existing methods are limited to extracting and reconstructing planar objects or separate rooms. For example, Okorn et al.

[77] succeeded in extracting flat floors and ceilings from a point cloud, while Turner et. al. (2015) generated models for separate rooms [78]. Further information (e.g. the thickness of the walls or floors, the types of building component material) should ideally also be automatically determined from sensing data, to improve the automation of the pipeline transforming sensed data into a BEPS model.

Although the sensitivity analysis performed in this paper shows that rudimentary geometric models derived from a point cloud differed by approximately 1% compared to equivalent models from reference data, it is clear that such discrepancies in geometry do not significantly impact estimations of building energy usage. Similar analysis needs to be performed and validated in a more comprehensive evaluation that considers a complete representation of building geometries. Such experimentation would help to remove uncertainty with respect to the contribution of building geometry to the overall "performance gap" issue in buildings.

6. Conclusions and Future Work

The generation of input data for energy simulation purposes has been a time-consuming and laborious task, particularly the collection and processing of building geometry information. Laser scanning data provides highly detailed topographic information for a building, which can provide an opportunity to generate 3D building models quickly and accurately in a automated fashion. The models can be used as a basis for BEPS model inputs by adding further manual inputs not just for one-off projects but as a scalable solution that is applicable to the majority of the existing urban building stock.

This paper describes a semi-automated process whereby point cloud data captured by TLS is automatically transformed into usable geometric format for a specific BEPS tool, EnergyPlus. The semi-automated process subsequently requires manual input of basic semantic information for a given building. The work demonstrates a worthwhile and potentially scalable solution applicable to existing buildings. In this process, utmost care must be taken when scanning multiple building storeys from a fixed horizontal plane as scanning inaccuracies can have a downstream impact on building energy performance prediction.

BEPS has an important role to play in the renovation of the existing building stock by enabling the rapid creation of simulation models in a

935 semi-automated manner. If the costs of creating these models are signifi-
936 cantly reduced, owners and operators will be better able to cost-effectively
937 use simulation tools as standard practice for retrofit scenario modelling.

938 Future work in this area includes the extension of this technique to cap-
939 ture an entire building (exterior and interior objects) and to generate more
940 complicated structures and building elements such as roofs, free-form sur-
941 faces, internal objects (e.g. ceiling, internal walls), shading elements, façades
942 of non-terraced buildings, and skyscrapers. The integration of terrestrial and
943 aerial point data clouds may also benefit 3D building models with LoD 4 by
944 facilitating the coupling of exterior and interior building models. Finally,
945 the transformation of point clouds to BIM and GIS based formats offers the
946 potential for simulation at neighbourhood, district, and city scales.

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