Highly Photoconductive InP Quantum Dots Films and Solar Cells

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ABSTRACT: InP and InZnP colloidal quantum dots (QDs) are promising materials for light-emitting devices, transistors, photovoltaics, and photocatalytic cells. In addition to possessing an appropriate bandgap, high absorption coefficient, and high bulk carrier mobilities, the intrinsic toxicity of InP and InZnP is much lower than for competing QDs that contain Cd or Pb—providing a potentially safer commercial product. However, compared to other colloidal QDs, InP QDs remain sparsely used in devices and their electronic transport properties are largely unexplored. Here, we use time-resolved microwave conductivity measurements to study charge transport in films of InP and InZnP colloidal quantum dots capped with a variety of short ligands. We find that transport in InP QDs is dominated by trapping effects, which are mitigated in InZnP QDs. We improve charge carrier mobilities with a range of ligand-exchange treatments and for the best treatments reach mobilities and lifetimes on par with those of PbS QD films used in efficient solar cells. To demonstrate the device-grade quality of these films, we construct solar cells based on InP & InZnP QDs with power conversion efficiencies of 0.65 and 1.2%, respectively. This represents a large step forward in developing Cd- and Pb-free next-generation optoelectronic devices.

KEYWORDS: indium phosphate, nanocrystals, quantum dots, photovoltaic, time-resolved microwave conductivity, ligand-exchange

InP and InZnP colloidal quantum dots (QDs) are promising materials as phosphors for lighting and displays, and have been researched for such applications in an effort to replace toxic Cd in CdSe QD displays. However, they could also be interesting for LEDs and solar cells given the high absorption coefficient, proper bandgap, and efficient transport properties of bulk InP. There are some papers on InP QD LEDs but characterization of charge transport and recombination dynamics within the emissive InP QD films is cursory, limiting the understanding of efficiency loss mechanisms in these devices. There have been a handful of reports using InP QDs in photoconductors and in transistors but with few additional reports specifically targeting ligand exchange for improving the transport properties in QD films. There are some reports of colloidal InP QDs being used in dye-sensitized solar cells but research into solid-state solar cells is lacking.

For QDs, transport of charge carriers depends strongly on the capping ligands that separate the QDs and other surface properties like traps and band positions. Understanding and controlling charge transport through these films is critical for optimal performance of devices. A high mobility is desired for transistors to have optimal operation as it affects the switching speed and on/off ratios. In prototypical transistors, InP QD films show electron mobilities ranging from 1 × 10⁻⁴ cm²/(V s) (with In₂Se₃⁻²⁻ ligands annealed at 250 °C) to 0.09 cm²/(V s) (with Sn₄S₆⁻²⁻ ligands QDs annealed at 350 °C). These mobilities are orders of magnitude lower than similar CdSe QD transistors.

To better understand the charge carrier dynamics in InP and InZnP QD films, we use time-resolved microwave conductivity (TRMC) to measure the mobility and lifetime of photogenerated charge carriers. TRMC is a contactless spectroscopic technique that can determine the yield of charge carrier generation, multiplied by the sum of electron and hole mobility, and the average carrier lifetime. With the assumptions that the yield of photogenerated carriers is near unity, as is commonly found in QD films at room temperature, and that within a period of the microwave field the charges probe a volume of material that is representative of the whole system, a lower limit on the diffusion length, LD, can be calculated. This method is very useful to quickly study materials for solar cells while avoiding troublesome contact optimization.

Here we make conductive films of InP and InZnP QDs. It has been shown that the addition of Zn to the InP QD synthesis increases the photoluminescence quantum yield (PL QY). There is some discussion in the literature about the atomistic role of the Zn and whether it is on the surface as...
Zn-carboxylate, in the lattice, or some combination of both. We assess whether it also affects the charge transfer properties of QD films.

RESULTS AND DISCUSSION

We prepare QD films with a range of surface treatment and show that the TRMC-derived mobility depends strongly on the ligand moiety and Zn content. For the best surface treatments (using (NH₄)₂S or Na₂S) we reach mobilities of \( \sim 0.04 \text{ cm}^2/(\text{V s}) \) with an average carrier lifetime of up to 60 ns. Comparing the carrier mobility from TRMC measurements of InP QDs to other QD materials indicates that it is lower than sintered Cd-chalcogenide QDs and necked (or epitaxially connected) PbSe QDs (\( \sim 0.1 \)−1 \( \text{cm}^2/(\text{V s}) \)) but is on par with (or higher than) unsintered Cd-chalcogenides and PbS QDs (\( \sim 10^{-3} \)−\( 10^{-2} \) \( \text{cm}^2/(\text{V s}) \)). We find clear evidence of trap filling in the fluence dependence of the photoconductivity for InP QDs, while this is absent for InZnP QDs. The latter QDs show a clear increase of the mobility with QD size, as expected in a simple random tunneling picture, whereas the mobility in InP QD films shows limited size dependence, perhaps because it is obscured by high trap densities. The lifetime-mobility product obtained with our best treatments is good enough (per ref 33) to produce efficient solar cells.

Films of ligand-exchanged InP QDs with or without Zn (hereafter termed In(Zn)P) were fabricated by dipcoating or dropcasting as discussed in the Experimental Methods. Figure 1A shows a TEM micrograph of the starting InP QDs used to determine the QD size along with a sizing curve from Xie et al. (see the Supporting Information for more details). Using these 3.6 nm diameter QDs, the ligands were exchanged from palmitate to ethanedithiol (EDT), Na₂S, or (NH₄)₂S. We note that the last method is a solution-phase ligand exchange; while all other exchanges were performed on QD films.

The absorption spectra of the resulting InP films are shown in Figure 1B and indicate that the QDs retain their quantum confinement, though the first exciton peak shows a red-shift and broadening after the ligand exchange and film-making procedure. We find clear evidence of trap filling in the fluence dependence of the photoconductivity for InP QDs, while this is absent for InZnP QDs. The latter QDs show a clear increase of the mobility with QD size, as expected in a simple random tunneling picture, whereas the mobility in InP QD films shows limited size dependence, perhaps because it is obscured by high trap densities. The lifetime-mobility product obtained with our best treatments is good enough (per ref 33) to produce efficient solar cells. To demonstrate the device-grade quality of these In(Zn)P QD films, we fabricated In(Zn)P solar cells with power conversion efficiencies (PCE) around 1%.
A point of note is that $\Phi(t) \sum \mu$ initially increases with increasing fluence for Na$_2$S and (NH$_4$)$_2$S ligand treatments, reaching a maximum around $10^{14}$ absorbed photons per cm$^2$ after which it decreases. If every absorbed photon contributes free carriers with the same mobility, a constant $\Phi(t) \sum \mu$ is expected. The decrease at high fluence is typical for QD films and is attributed higher order decay processes, i.e., Auger recombination.

The increase of $\Phi(t) \sum \mu$ with increasing fluence is not typically observed in films of Pb- or Cd-chalcogenide QDs, although it has been observed by Savenije et al. for TiO$_2$ nanoparticles and has been attributed to filling of deep traps. Related, Marino et al. have shown that for CdSe nanplates the PL, surface defects (deep traps), and TRMC mobility are correlated. Such deep traps quickly capture charge carriers within the 3 ns time resolution of the TRMC experiment and hence lower the maximum $\Phi(t) \sum \mu$ value that is measured. If the lifetime of trapped charges is $\gg$3 ns then upon increasing photon fluence traps get filled and the effect of the traps on the photoconductivity per absorbed photon starts to decrease. As shown below, the trap filling effect shows up, to varying extent, for all InP QD sizes and typically saturates around $1 \times 10^{14}$ absorbed photons per cm$^2$. Combined with a typical film thickness of $\sim$100 nm this corresponds to a very high estimated trap density of $1 \times 10^{19}$ cm$^{-3}$.

Similar trends are noted for InZnP QDs with their results summarized in Figure 2. The absorption spectra of the films of ligand exchanged QDs are given in Figure 2A. Here we can see all ligands show a red-shifted absorption onset but interestingly there is no trend in the magnitude of the shift with ligand moiety. A TEM micrograph of the as-synthesized InZnP QDs is shown in Figure 2B and has a similar faceted/trigonal shape as the InP QDs. Figure 2C plots the yield-mobility product as a function of absorbed photon fluence for films of InZnP QDs capped with palmitate ligands (PA) and treated with ethylene diamine (EDA), ethane dithiol (EDT), sodium sulfide (Na$_2$S), and ammonium sulfide ([NH$_4$]$_2$S). The yield-mobility product monotonically decreases with increasing fluence due to higher order recombination events (as discussed above). The time-resolved TRMC signal for the (NH$_4$)$_2$S-treated InZnP QDs is shown in Figure 2D. At the lowest fluence the half-life time is $\sim$60 ns, roughly double the lifetime of the InP QDs under similar conditions.

Comparing the carrier mobility in InP vs InZnP for a given ligand indicates a factor of 3 lower mobility for InZnP-(NH$_4$)$_2$S QDs compared to the InP-(NH$_4$)$_2$S QDs. Furthermore, for InZnP QDs the mobility does not depend on the cation for the S$^{2-}$ treatments. With EDT-capping the mobility is the same for both QD types within the error—estimated to be $\sim$20% (see the Supporting Information). Additionally, it is noted that ethylene diamine (EDA) treatment of InZnP QDs yields very similar mobility to the PA-capped QDs indicating
these ligand treatments are ineffective at electronically coupling the QDs contrary to the work done on PbSe and CdSe QDs where the mobility improved by orders of magnitude.31,32,43,44 This is possibly due to the inability of EDA to replace the PA ligands, in line with a slower film build-up than the Na2So EDT treatment and the complete absence of build-up for InP QDs further indicating the surface chemistry is different for InP and InZnP. This observation gives some indication that Zn could be on the surface as Zn(PA)2 which is potentially more easily removed with EDA than In(PA)3.27

Another interesting observation is that for InZnP QDs the yield-mobility product Φ(t)∑μ becomes constant at low fluence without any indication of trap filling even for the S2− treatments. This suggests that the presence of Zn leads to passivation of the traps that are responsible for the decrease in the photoconductivity in pure InP QDs. This is in line with the higher PL QY of InZnP QDs compared to InP QDs observed in literature and shown in the Supporting Information.28 Furthermore, varying the Zn/In ratio in the synthesis from 0 to 1 in steps of 0.25 shows lower mobility with increasing Zn but no evidence of trapping in the TRMC signal if Zn is added (see Supporting Information). It seems intuitive that the traps that are responsible for the decrease in photoconductivity at low fluence and PL could be the same and could be located at the surface. A proper identification of those traps is, however, not permitted by the current experiments.

Next, we explore the size dependence of the QDs using the (NH4)2S solution-phase ligand treatment which gives the highest Φ(t)∑μ. Figure 3 shows the absorption spectra of InP (panel A) and InZnP (panel C) QDs with various sizes both in solution (dotted lines) and after film formation (solid lines) both with S2− ligands (exchanged using (NH4)2S) as well as the TRMC results. There are clear spectral shifts to longer wavelengths after film formation, qualitatively indicating increased electronic coupling between the QDs, with a larger red-shift for smaller QDs.

As discussed above, for InP QDs the yield-mobility product in Figure 3B increases with increasing fluence due to trap filling, with a maximum at a fluence of around 10^{14} absorbed photons/cm² then decreases with further increase in fluence due to Auger recombination. The maximum value of Φ(t)∑μ is plotted in Figure 4 (red circles). As is evident from that figure, there is no clear size dependence of the mobility in

Figure 3. (A) Absorption spectra of different sizes of InP QDs capped with (NH4)2S both in films (solid lines) and solution (dotted lines), offset for clarity. There are pronounced redshifts from solution to film indicating increased coupling/relaxation of quantum confinement. (B) Fluence-dependence of the TRMC yield-mobility product for InP QDs capped with the ligands indicated and surprisingly showing little variation as a function of size in the peak mobility, with lower mobility at lower fluence indicating a high trap density. (C) Absorption spectra of different sizes of InZnP QDs capped with (NH4)2S in films (solid lines) and solution (dotted lines) and offset for clarity. (D) Fluence-dependence of the TRMC yield-mobility product for InZnP QDs showing a clear trend as a function of size with the largest QDs having the highest mobility. Lines are fits to the data as discussed in the text.
Figure 4. Mobility as a function of QD diameter for InP and InZnP showing a size-independent mobility for InP and increasing mobility for increasing QD size for InZnP. The lines are fits using eq 2 (see text).

these InP QD films. Potentially the stronger effect of trap filling obscures size dependence.

Figure 3D shows the fluence dependence of $\Phi(t) \sum \mu$ for various InZnP QD sizes. Here there is no indication of trap filling and (following refs 45,46) the data is well-described by the following expression:

$$\Phi(t) \sum \mu = \frac{A}{1 + \sqrt{B t F_A} + C t F_A}$$  \hspace{1cm} (1)

This allows us to more reliably extract the low fluence mobility value, $A$. The resulting size dependent mobility values are plotted as the blue solid square in Figure 4. For InZnP a clear size dependence of the mobility is observed with larger QDs resulting in higher mobilities.

To understand this size dependent mobility we consider a simple model of charge carriers hopping randomly between nanocrystals with a hopping rate that is independent of QD size (admittedly a strong assumption). In this case, the diffusion of the charge carriers will result in a distance $L$ traveled with time $t$ that is $L = \sqrt{6Dt}$ with $D$ the diffusion coefficient. For a single hop in time, $\tau_{\text{hop}}$, this can be written as $\Delta = \sqrt{6D \tau_{\text{hop}}}$, where $\Delta$ now represents the center-to-center distance between two QDs. Using the Einstein–Smoluchowski relation this can be expressed as

$$\mu = \frac{\Delta e}{6kT \tau_{\text{hop}}}$$  \hspace{1cm} (2)

where $e$ is the fundamental charge and $kT$ is the thermal energy. Because $\Delta = 2R + d$, where $R$ is the QD radius and $d$ is the interparticle spacing, the mobility is expected to increase with $R$ if the hopping time $\tau_{\text{hop}}$ is constant. The size dependent mobility is fitted with eq 2 with the result shown as the solid lines in Figure 4. Note that for InP QDs, the fit does not describe the data and makes it clear that there is no size dependence that can be modeled with a simple hopping description. For the InZnP QDs the fit matches the data reasonably well and we extract a hopping time of 35 ps.

The mobility and lifetime measured for these systems are comparable to those of PbS QDs\textsuperscript{47} that are used to make quite efficient solar cells. This suggests that it could also be possible to use these In(Zn)P QD films to produce solar cells, with the added benefit of a reduced toxicity. To test if this is indeed the case, we fabricated proof-of-concept solar cells with the largest size of both InP and InZnP QDs following identical filmformation procedures used for TRMC measurements. The device structure is shown in Figure 5 and consists of ITO-coated glass with 40 nm of ZnO as the n-type (or electron accepting) material and MoOx/Ag as the hole contact layers.\textsuperscript{48} Resulting $J$-$V$ curves and solar cell parameters are also shown in Figure 5. For InZnP QDs we achieved an appreciable efficiency of 1.2% while for InP QD solar cells an efficiency of 0.65% was obtained. Differences in the thickness of the cells cause the short circuit current ($J_{\text{sc}}$) to be higher for the InP QD cells as it is $\sim$180 nm thick compared to $\sim$125 nm for the InZnP QD device, measured using profilometry. We speculate that the larger $V_{\text{oc}}$ of the InZnP QD cells is due to increased quasi Fermi level splitting between the QDs and the ZnO that is a result of the different trap densities. We stress that these solar cells are clearly not optimized and use, for instance, QDs that are too small to have an optimal bandgap. Further optimization could realistically improve device performance and could represent a path forward to Cd- and Pb-free QD solar cells. On a side note, there are safety concerns about the use of tris(trimethylsilyl)phosphine (P-TMS) as it is pyrophoric; we therefore want to point out efforts toward removing this hazard by using amino phosphine-based precursors for InP QDs in commercial applications.\textsuperscript{49–53} We also foresee these QDs as potentially useful inks that could then be sintered into bulk thin films, similar to CdTe QDs.\textsuperscript{54–56} A more detailed study of the differences in device performance when incorporating Zn into the InP QDs is underway.

Figure 5. Current density–voltage ($J$-$V$) curves for 3.2 nm InP QDs (red) and 2.8 nm InZnP QDs (blue) and the accompanying performance parameters for champion cells with the structure shown in the illustration with an active area of 0.055 cm$^2$. DOI: 10.1021/acsaem.8b01453

CONCLUSION

To summarize, charge carrier mobilities and lifetimes for InP and InZnP QDs on par with PbS QDs have been achieved using an (NH₄)₂S solution-phase ligand-exchange procedure. There are strong indications of charge carrier traps and trap filling on a ~10 ns time scale in InP QDs that appear to be removed with the inclusion of Zn in the QD synthesis. We observe an increase in mobility with increasing QD size for InZnP, a trend that is obscured by trapping in InP QDs. Utilizing the results, we show proof-of-principle InP and InZnP QD solar cells, achieving PCEs of 0.65 and 1.2%, respectively.

EXPERIMENTAL METHODS

All chemicals were purchased from Sigma-Aldrich at the highest purity available and used without further modification excluding those described below.

QD Synthesis. InP and InZnP QDs were synthesized using stock solutions of indium palmitate (In(PA)₃), zinc palmitate (Zn(PA)₂), and P-TMS. A nitrogen-filled glovebox and standard Schlenk line techniques were followed to keep all reactions air-free. For In(PA)₃, 10 mmol of anhydrous indium acetate was added to 40 mmol of palmitic acid in a round-bottom flask. The solution was heated to 140 °C for 6 h. The In(PA)₃ was precipitated with acetone, filtered, and washed with acetone before being dried under vacuum overnight. The resulting dry powder was then treated with another 40 mmol of palmitic acid (to ensure complete removal of acetate) and washed following the same procedure and stored in a glovebox.

For Zn(PA)₂, 2 mmol of sodium palmitate (NaPA) was dissolved in 25 mL methanol in an ultrasonic water bath. 0.18835 g of zinc acetate dissolved in 15 mL methanol was added dropwise with vigorous stirring. A white precipitate of zinc palmitate immediately formed. After 1 h of stirring, the white precipitate was isolated by vacuum filtration, washed three times with methanol, and dried under a vacuum overnight before being stored in a glovebox.

From these stock solutions, 106 mg of In(PA)₃ (0.12 mmol) and 69 mg Zn(PA)₂ (0.12 mmol) for InZnP QDs were mixed together with 7 mL of 1-octadecene (ODE) in a three-neck flask, degassed under vacuum for 30 min at 100 °C, and flushed with nitrogen on a Schlenk line. The reaction mixture was heated to 300 °C under nitrogen flow and 17 μL of P-TMS (0.06 mmol) in 1 mL of ODE was rapidly injected. The temperature was lowered to 270 °C and held here for 5 min. After this time, the particles grow with additional precursors to the desired size. For the growth solution, 441 mg indium palmitate (0.5 mmol) and, additionally for InZnP QDs, 208 mg of zinc palmitate (0.5 mmol) were dissolved in 5 mL of ODE. Separately, 73 μL of P-TMS (0.25 mmol) was dissolved in 3 mL of ODE and loaded into a syringe. 1.67 mL of the In (and Zn) growth solution was injected into the solution, after which the P-TMS growth solution was added over 3 h at a rate of 1 mL/hour using a syringe pump. Every hour, another 1.67 mL of the In (and Zn) growth solution was added. When the desired size was reached, the QDs were cooled to 50 °C and 8 mL of toluene was added. The QDs were washed three times under inert atmosphere via precipitation with methyl acetate, centrifuged at 3800 rpm (1800 rcf), and dispersed in toluene. After the final wash, the QDs were dispersed in hexane for dip coating.

Ligand Exchange and Film Formation. The native palmitate ligands will result in an electrically insulating film, and, therefore, need to be replaced by shorter or more conductive ones for device applications. Ligand exchange and film formation were carried out by layer-by-layer deposition using a mechanical dipcoater (Nima DC Multi 5.3) in a nitrogen-filled glovebox. The quartz substrate was dipped into In(Zn)P quantum dots dispersed in 2.5 mL of hexane for 5 s. Subsequently, it was dipped into a 0.1 M solution in methanol for 120 s (for Na₂S and EDA) or 30 s (EDT), and rinsed in acetone for 20 s. The dipping speed was 100 mm/min, except when the substrate was raised from the sodium sulfide (Na₂S) ligand solution, it moved at 200 mm/min, and when lowered into the acetone solution it moved at 500 mm/min. These faster rates are required for the rinsing process, as acetoneitrile can only wash off excess Na₂S before the methanol evaporates. The ammonium sulfide ([NH₄]₂S) ligand exchange was carried out by phase transfer from hexane to formamide by adding 100 μL of 0.1 M (NH₄)₂S in formamide to bottom 1.5 mL of formamide and stirring vigorously until complete phase exchange from the top hexane phase occurred.7,54,55 The solution was rinsed 3 times with hexane, precipitated with acetoneitrile and centrifugation at 1800 rcf, and dispersed in N,N-dimethylformamide (DMF). Films of QDs exchanged with (NH₄)₂S were dropcast from DMF onto quartz substrates on a hot plate at 50 °C. Ligand exchange with metal halides was also attempted but did not result in high-quality QD films (see the Supporting Information).

Time-Resolved Microwave Conductivity (TRMC). TRMC measures the photoconductivity of a sample using microwaves after charge carriers are generated with a laser pulse. To briefly describe the setup, we placed a film of nanocrystals in a microwave waveguide and illuminated them with a pulsed nanosecond laser that has a tunable wavelength in order to excite carriers in the films with different energies. Simultaneously, microwaves are incident on the sample. Whenever free charge carriers are created, they absorb microwaves and we measure a change in the microwave power. Using $\frac{\Delta P}{P} = -k \Delta G(t)$ we can find the change in photoconductance ($\Delta G$) as a function of time. $\Delta G$ is then related to the yield $\Phi$ and the sum of the electron and hole mobility $\mu$ by $\Delta G(t) = e \beta \mu \Phi(t)$ where $e$ is the electronic charge, $\beta$ is a ratio of the dimensions of the waveguide, and $\mu_F$ is the absorbed laser fluence of the sample. The yield is the fraction of free charges produced per photon absorbed. Assuming unity yield, the yield-mobility products shown here represent a lower bound to the mobility and could be higher if exciton dissociation is not complete. Using the equations above we can then calculate how the yield-mobility product changes with the various materials and treatments.32,8

UV–Vis Absorption. Absorption measurements were performed on either a PerkinElmer Lambda 40 or Lambda 1050 (equipped with an integrating sphere for film measurements).

Transmission Electron Microscopy (TEM). TEM was performed with a JEOL-JEM 3200 FSC microscope operating at 300 kV. The sizes of the nanocrystals were determined using the first exciton absorption peak in conjunction with a sizing curve as discussed in the Supporting Information (see Supporting Note 1 and verified to be within 10% deviation for the largest sizes with the TEM micrographs shown).

Profilmometry, film thicknesses are found by scratching the film to make a step and measuring the step-height using a Dektak profilometer.

Device Fabrication. ZnO sol–gel was made by adding 8 mL of 2-methoxyethanol (MEA) (anhydrous) and subsequently 0.2 mL monoethanolamine (EA) (anhydrous) to 800 mg zinc acetate dihydrate in a 10 mL of vial and stirred overnight following modified literature procedures. The ZnO was spin coated onto ITO-coated glass substrates (MTI Corp, 7 Ohms/sq, 180 nm thick) in the fume hood. 70 μL of ZnO was pipetted into the center of the substrate completely filling it. After spinning at 3000 rpm for 45 s, the substrate was annealed on the hot plate in air for 5 min at 260 °C. InP-(NH₄)₂S and InZnP-(NH₄)₂S were deposited by drop-casting from DMF as described in the film formation section above. Thermal evaporation through a shadow mask of 10 nm of MoO₃, and 200 nm of Ag with active areas of 0.055, 0.087, and 0.11 cm² completes the devices in a similar architecture reported for PbS QD solar cells.48 Device performance was measured using an OAI TinSol class AAA solar simulator andKeithley 2604 source-measure unit in both the forward and reverse sweeps and show minimal hysteresis.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.aem.8b01453.
Reproducibility studies, photoluminescence spectra, additional QD size, ligand, and Zn-content dependence on the mobility, sizing curve discussion, and attempts at ligand exchange with metal halides (PDF)

[ACKNOWLEDGMENTS]

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Author Contributions
The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes
The authors declare no competing financial interest.

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