CONFORMING FINITE ELEMENTS WITH EMBEDDED STRONG DISCONTINUITIES

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Abstract. The possibility of embedding strong discontinuities into finite elements allowed the simulation of different problems, namely, brickwork masonry fracture, dynamic fracture, failure in finite strain problems and simulation of reinforcement concrete members. However, despite the significant contributions to this field, a general embedded formulation capable of dealing with strong discontinuities using conforming finite elements is still missing. Therefore a new conforming embedded formulation is herein proposed and compared with other relevant formulations, namely the Generalised Strong Discontinuity Approach (GSDA) [1] and the Generalised/Extended Finite Element Method (GFEM/XFEM) [2-5]. Academic and structural examples are given in order to illustrate the capabilities of the proposed approach in comparison with GSDA and GFEM/XFEM. In summary, the proposed formulation has the following properties: i) variational consistency; ii) no limitations on the choice of the parent finite element; iii) comprehensive kinematics of the discontinuity, including both rigid body motion and stretching; iv) fully compatible enhanced kinematic field; v) additional degrees of freedom located at the discontinuity; vi) continuity of both jumps and tractions across element boundaries; and vii) stress locking free.
1 INTRODUCTION

The embedment of discontinuities into finite elements is a powerful technique for the simulation of fracture in a wide variety of mechanical problems. The first formulations were developed within the Enhanced Assumed Strain method framework (EAS) [6]. Typically constant jumps are embedded using Constant Strain Triangles (CST) and full advantage of the static condensation of the additional degrees of freedom is adopted [6-14]. However: i) no interelement continuity requirement is imposed on the enhanced strain field; and, as a consequence, ii) no traction continuity across element boundaries is obtained.

Bolzon [15] presented an innovative formulation with conforming elements to capture the rigid body opening of the discontinuity. For that purpose the additional degrees of freedom are: i) placed at the edges of the enriched element; and ii) defined at global level to enforce traction continuity across elements. The major drawback remains the fact that only CST elements can be adopted. Moreover, only very simple structural examples have been presented.

Alfaiate et al. [16] introduced an approach for embedding interface elements into any parent element, capturing linear jumps along the discontinuity. This formulation was developed within the framework of the discrete crack approach and the additional degrees of freedom were introduced as global to ensure traction continuity across element edges. Dias-da-Costa et al. [17] provided a variationally consistent formulation handling rigid body jump transmission induced by the opening of the discontinuity. In the latter approach, the discontinuity is modelled as an internal interface of the element.

Linder and Armero [18] developed a general framework to embed both rigid and stretching opening modes of the discontinuity into any parent element. Since the authors took advantage of the static condensation, traction continuity is not obtained. A variationally consistent formulation with traction continuity was introduced by Dias-da-Costa et al. [1], called the Generalised Strong Discontinuity Approach (GSDA). The GSDA considers the rigid body motion and stretching of $\Omega^+$ over $\Omega^-$, the domains at the both sides of the discontinuity. However, although jumps and tractions remain continuous across element boundaries, no interelement continuity of the enhanced displacement field is achieved in the GSDA.

Despite the above mentioned relevant contributions to this field, a general embedded formulation capable of dealing with strong discontinuities using conforming finite elements is still missing. Figure 1 is used to illustrate what occurs with a typical deformed mesh where displacements are magnified 200 times:

i) in Figure 1a the usual representation is shown, where only the regular nodes of each element are represented. Therefore, the enriched elements remain unpartitioned and seem compatible, although distorted;

ii) Figure 1b corresponds to Figure 1a, but now each enriched element has the discontinuity truly represented inside the parent element and the corresponding domain becomes partitioned. Therefore, the non-conformity of the elements becomes evident;

iii) in Figure 1c the expected deformed mesh obtained with a fully conforming formulation is shown.

A a new general conforming embedded formulation is proposed here aiming to fulfil the following main objectives: i) variational consistency; ii) comprehensive kinematics of the discontinuity including both rigid body motion and stretching; iii) no limitation on the choice of the parent finite element; iv) additional degrees of freedom located at the discontinuity; v) continuity of both the jumps and the tractions across element boundaries by using global additional degrees of freedom; vi) fully compatible displacement field; and vii) stress locking free.
Figure 1: Deformed mesh obtained using embedded elements (displacements magnified 200 times): (a) classic representation of (apparently compatible) deformed elements; (b) representation of the true deformed mesh revealing non-conforming elements; (c) solution with conforming elements.

2 KINEMATICS AND VARIATIONAL FORMULATION OF A STRONG DISCONTINUITY

Consider an elastic domain $\Omega$ with an external boundary $\Gamma$ and an internal boundary, which is the discontinuity $\Gamma_d$, dividing the domain in two subregions: $\Omega^+$ and $\Omega^-$ (see Figure 2) subjected to: i) quasi-static loading body forces $\vec{b}$; ii) natural boundary conditions $\vec{t}$ distributed on the external boundary $\Gamma_i$; and iii) essential boundary conditions $\vec{u}$ prescribed at the boundary $\Gamma_u$. The vector $\vec{n}$ is orthogonal to the boundary surface, pointing outwards, whilst $\vec{n}^+$ is orthogonal to the discontinuity and pointing inwards $\Omega^+$.

The total displacement $\vec{u}$ is composed by the sum of two parts: i) the regular displacement field $\hat{\vec{u}}$; and ii) the enhanced displacement field $\vec{u}^\varepsilon$, induced by the jumps at the discontinuity:

$$\vec{u}(x) = \hat{\vec{u}}(x) + \mathcal{H}_{\Gamma_d} \vec{u}^\varepsilon(x),$$

(1)

where $\mathcal{H}_{\Gamma_d}$ is the standard Heaviside function.

The jump at the discontinuity is obtained by evaluating the enhanced displacement field along the discontinuity:

$$[\vec{u}] = ([\hat{\vec{u}} - \vec{u}^\varepsilon])_{\Gamma_d} = \vec{u}^\varepsilon_{\Gamma_d},$$

(2)

For small displacements, the strain field is:

$$\varepsilon = \nabla \vec{u} = \nabla \hat{\vec{u}} + \mathcal{H}_{\Gamma_d} \nabla \vec{u}^\varepsilon + \vec{\delta}_{\Gamma_d} \left([\vec{u}] \otimes \vec{n}^\varepsilon\right)$$

in $\Omega$.

Figure 2: Domain $\Omega$ crossed by a strong discontinuity $\Gamma_d$ and 1-D representation of displacement.
where $(\cdot)^T$ is the symmetric part of $(\cdot)$ and $\otimes$ is the dyadic product.

For the problem under consideration, the principle of virtual work can be written as [1, 19]:

$$-\int_{\Omega_e} (\nabla \cdot \delta u) : \sigma(\varepsilon) \, d\Omega - \int_{\Gamma_d} \delta \left[ \begin{array}{c} \mathbf{u} \\ \mathbf{t} \end{array} \right] d\Gamma + \int_{\Omega_e} \delta \mathbf{u} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_d} \delta \mathbf{u} \cdot \mathbf{t} d\Gamma = 0 .$$

(4)

3 ELEMENT TECHNOLOGY

In this section the general framework for obtaining conforming enriched elements, namely the jump transmission technique, the discretised equations and the crack propagation issues are presented.

3.1 Element interpolation

Consider a finite element partition of a 2D domain. Each enriched finite element $\Omega^e$, with $n$ nodes, is crossed by a straight discontinuity $\Gamma^d$ dividing it in two subdomains. The adopted conventions are represented in Figure 3.

![Figure 3: Domain $\Omega^e$ crossed by a strong discontinuity $\Gamma^d$: (a) definitions; and (b) general opening.](image)

The following equation provide the approximation of the displacement field for each enriched finite element:

$$\mathbf{u}^e = \mathbf{N}(\mathbf{x}) \left[ \mathbf{a}^e + \left( \mathbf{H}^e_{\Gamma^d} \mathbf{I} - \mathbf{H}_{\Gamma^d}^e \right) \bar{\mathbf{a}}^e \right] \quad \text{if } \mathbf{x} \in \Omega^e \setminus \Gamma^d,$$

(5)

$$\left[ \begin{array}{c} \mathbf{u} \\ \mathbf{t} \end{array} \right] = \mathbf{u}^{e^+} - \mathbf{u}^{e^-} = \mathbf{N}^e(\mathbf{x}) \bar{\mathbf{a}}^e \quad \text{at } \Gamma^d,$$

(6)

where $\mathbf{N}^e$ contains the element shape functions, $\mathbf{a}^e$ are the total nodal degrees of freedom related to $\mathbf{u}^e$, $\bar{\mathbf{a}}^e$ are the enhanced nodal degrees of freedom related to $\bar{\mathbf{u}}^e$, $\mathbf{H}^e_{\Gamma^d}$ is a $(2n \times 2n)$ diagonal matrix with components equal to ‘1’ for nodal degrees of freedom in $\Omega^{e^+}$ and ‘0’ otherwise.

In order to capture the kinematics of the discontinuity regarding both rigid body motion and stretching of $\Omega^+$ over $\Omega^-$, two additional nodes are placed at the edges of each enriched element (see Figure 3). Therefore, the enhanced nodal degrees of freedom become:

$$\bar{\mathbf{a}}^e = \mathbf{M}_w^{\mathbf{a}^e} \mathbf{w}^e,$$

(7)

where $\mathbf{w}^e$ is a vector formed by juxtaposing by rows the additional degrees of freedom resulting from the contribution of the following $n_d$ enriched elements: i) element ’ $e$’; and
ii) all remaining enriched elements sharing at least one node with element ‘\(e\)’. Matrix \(M_{e}^{e}\) has also the contribution of all these enriched elements, such that each row, \(M_{w}^{e}\), is in direct correspondence to the \(i\)-node of the element ‘\(e\)’ and can be computed by:

\[
M_{w}^{e} = M_{w}^{0} + \sum_{j=1,j \neq e}^{\infty} \left\{ M_{w}^{j} - M_{w}^{e} \right\} \beta^{j},
\]

where \(M_{w}^{e}\) is:

\[
M_{w}^{e} = M_{R_w}^{e} + M_{nR_w}^{e},
\]

with

\[
M_{R_w}^{e} = \begin{bmatrix}
1 & -\frac{(x_{2} - x'_{2}) \sin \alpha^{e}}{l_{d}} & \frac{(x_{2} - x'_{2}) \cos \alpha^{e}}{l_{d}} & \frac{(x_{2} - x'_{2}) \sin \alpha^{e}}{l_{d}} & -\frac{(x_{2} - x'_{2}) \cos \alpha^{e}}{l_{d}} \\
\frac{(x_{1} - x'_{1}) \sin \alpha^{e}}{l_{d}} & 1 & -\frac{(x_{1} - x'_{1}) \cos \alpha^{e}}{l_{d}} & \frac{(x_{1} - x'_{1}) \sin \alpha^{e}}{l_{d}} & -\frac{(x_{1} - x'_{1}) \cos \alpha^{e}}{l_{d}} \\
\end{bmatrix},
\]

\[
M_{nR_w}^{e} = \begin{bmatrix}
\frac{s_{1}^{e}}{2} \left[ 1 + \cos(2 \alpha^{e}) \right] & \frac{s_{2}^{e}}{2} \left[ \sin(2 \alpha^{e}) \right] & \frac{s_{3}^{e}}{2} \left[ 1 + \cos(2 \alpha^{e}) \right] & \frac{s_{4}^{e}}{2} \left[ \sin(2 \alpha^{e}) \right] \\
\frac{s_{1}^{e}}{2} \left[ \sin(2 \alpha^{e}) \right] & \frac{s_{2}^{e}}{2} \left[ 1 - \cos(2 \alpha^{e}) \right] & \frac{s_{3}^{e}}{2} \left[ \sin(2 \alpha^{e}) \right] & \frac{s_{4}^{e}}{2} \left[ 1 - \cos(2 \alpha^{e}) \right] \\
\end{bmatrix},
\]

and

\[
s_{n}^{e} = \frac{s(x_{n})}{l_{d}} = \left( x_{1} - x'_{1} \right) \frac{\cos(\alpha^{e})}{l_{d}} + \left( x_{2} - x'_{2} \right) \frac{\sin(\alpha^{e})}{l_{d}},
\]

where \(x = (x_{1}, x_{2})\) is the global position of any material point inside the finite element, \(x' = (x'_{1}, x'_{2})\) is the global position of the tip ‘\(i\)’ (Figure 3a), \(l_{d}^{e}\) is the length of the discontinuity \(\Gamma_{d}^{e}\) measured along the local frame \(\vec{s}\) and ‘\(\alpha^{e}\)’ is the discontinuity angle defined in Figure 3a.

It is stressed that \(M_{R_w}^{e}\) is the rigid-body part, which includes both normal and constant shear jump components, and \(M_{nR_w}^{e}\) is the non-rigid stretching part along the discontinuity \(\Gamma_{d}^{e}\) (see [1]).

\(\beta^{j}\) is a diagonal matrix computed at each node ‘\(j\)’, containing \(\beta_{i}^{j}\) terms for both directions \((x_{1}, x_{2})\), representing a measure of the relative stiffness contribution of each enriched element for the enhanced displacement field:

\[
\beta_{i}^{j} = \frac{K_{i,i}^{j}}{\sum_{k=1}^{n_{d}} K_{i,i}^{k}},
\]

\(5\)
where $K_{ij}^{x}$ is the stiffness matrix component of the bulk for element $j$ for direction $i$ (see Figure 3a). Therefore, a mutual dependence between jumps and bulk deformation is built, leading to a fully compatible formulation.

The strain field is approximated using the standard strain-displacement matrix, $B^e$:

$$\varepsilon^e = B^e(x)\left[ a^e + \left( \mathcal{H}_{x}^{e} I - H_{x}^{e} \right) M_{w}^{e} w^e \right] \quad \text{in} \quad \Omega^e \setminus \Gamma^e_d. \quad (14)$$

The incremental stress field and incremental traction at the discontinuity are given by:

$$d\sigma^e = D^e B^e(x)\left[ da^e + \left( \mathcal{H}_{x}^{e} I - H_{x}^{e} \right) M_{w}^{e} d w^e \right] \quad \text{in} \quad \Omega^e \setminus \Gamma^e_d, \quad (15)$$

and

$$dt^e = T^e d\left[ u^e \right] = T^e N^e(x) M_{w}^{e} w^e \quad \text{at} \quad \Gamma^e_d, \quad (16)$$

where $D^e$ and $T^e$ are, respectively, the bulk and the discontinuity constitutive matrices.

### 3.2 Discretised equations

Equation (4) is discretised using Equations (5) to (16) and by progressively taking:

i) $\delta d\varepsilon = 0$; and ii) $\delta da^e = 0$, the following system of equations is obtained:

$$K_{mu}^e da^e + K_{wu}^e d w^e = d\hat{f}^e, \quad (17)$$

$$K_{we}^e da^e + \left( K_{wu}^e + K_{dw}^e \right) d w^e = df_w^e \quad (18)$$

where:

$$K_{mu}^e = \int_{\Omega^e \setminus \Gamma^e_d} B^e x D^e B^e d\Omega^e, \quad K_{wu}^e = \int_{\Omega^e \setminus \Gamma^e_d} B^e x D^e B^e d\Omega^e, \quad K_{dw}^e = \int_{\Omega^e \setminus \Gamma^e_d} B^e x D^e B^e d\Omega^e,$$

$$K_{we}^e = \int_{\Omega^e \setminus \Gamma^e_d} B^e x D^e B^e d\Omega^e, \quad K_{we}^f = \int_{\Gamma^e_d} N^e x T^e N^e d\Gamma^e, \quad B_w^e = B^e \left( \mathcal{H}_{x}^{e} I - H_{x}^{e} \right) M_{w}^{e},$$

$$N_w^e = N^e \left( \mathcal{H}_{x}^{e} I - H_{x}^{e} \right) M_{w}^{e}, \quad d\hat{f}^e = \int_{\Omega^e \setminus \Gamma^e_d} N^e x T^e d\Omega^e + \int_{\Gamma^e_d} N^e x T^e d\Gamma$$

and

$$df_w^e = \int_{\Omega^e \setminus \Gamma^e_d} N^e x T^e d\Omega^e + \int_{\Gamma^e_d} N^e x T^e d\Gamma.$$
4 EXAMPLES

In this section both element and structural examples of the presented formulation are presented. All examples are computed using bilinear plane stress elements. Both GSDA [1] and GFEM/XFEM [5, 21] are adopted for comparison purposes.

The element examples of Sections 4.1 and 4.2 have been chosen to illustrate the kinematics of the proposed embedded formulation. In Section 4.1 two neighbouring elements with different stiffness are enriched, whereas in Section 4.2 a small example is used to illustrate the compatibility issues at the tip of a crack front.

Finally, in Section 4.3 a double-edged-notched specimen subjected to mixed-mode fracture [22] is presented.

4.1 Two enriched elements

Consider two enriched elements, each one with dimensions $2 \times 2 \times 1$ mm$^3$, according to the models represented in Figure 4. In the first model, see Figure 4a, the left element is softer than the right element, whereas the opposite is assumed for the second model (see Figure 4b). In both cases the right discontinuity is stiffer than the left discontinuity.

Linear elastic relationships are adopted for both the bulk and the discontinuity. The material parameters for the bulk are the following: Young's modulus $E = 10$ N/mm$^2$ and Poisson ratio $\nu = 0$ for the softer bulk element; Young's modulus $E = \infty$ and Poisson ratio $\nu = 0$ for the stiffer bulk element. The discontinuity constitutive matrix (see Equation (16)) has the diagonal components related to the normal and shear stiffness equal to: $k_n = k_s = 1$ N/mm$^2$ for the left discontinuity; and $k_n = k_s = \infty$ for the right discontinuity.

![Figure 4: Mesh and loading conditions (dashed line indicates the prescribed discontinuity): (a) first element soft and second element stiff; (b) first element stiff and second element soft.](image)

The resulting deformed mesh for $P = (1;1)$ N is represented in Figures 5 and 6 for both cases. It can be concluded that: i) although the GSDA is able to enforce continuous jumps and tractions across elements (see the closed tip between the elements in Figures 5a and 6a), a ‘gap’ appears between the elements due to the non-conforming enrichment; ii) a conforming enrichment is obtained with the new embedded formulation which is able to adequately reproduce the kinematics of the discontinuity (similar conclusion regarding GFEM/XFEM); and iii) less degrees of freedom are required in the new formulation when compared with GFEM/XFEM; consequently, the bulk is discretised with a smaller number of degrees of freedom and this is noticed in particular for the stiff/softer case where the softer element is loaded (compare displacements obtained with both formulations in Figures 5b and 6b).
Figure 5: Deformed mesh for soft/stiffer case obtained with: (a) the GSDA; (b) the new formulation (continuous) and GFEM/XFEM (dashed).

Figure 6: Deformed mesh for stiff/softer case obtained with: (a) the GSDA; (b) the new formulation (continuous) and GFEM/XFEM (dashed).

4.2 Element in front of the tip

The example presented in this section was selected to show the conforming issues appearing due to crack propagation. Three $2 \times 2 \times 1$ mm$^3$ finite elements are considered, where the two elements crossed by a discontinuity are enriched (see Figure 7).

Figure 7: Mesh and loading conditions (dashed line indicates the prescribed discontinuity).

Linear elastic properties are considered for both bulk and discontinuity with the following values: Young's modulus $E = 10$ N/mm$^2$; Poisson ratio $\nu = 0$; normal and shear stiffness $k_n = k_s = 1$ N/mm$^3$. The resulting deformed mesh is represented in Figure 8, for $P = (1;1)$ N, from which it can be concluded that: i) although with the GSDA both the jumps and the tractions are continuous across element boundaries, incompatible displacements between elements and at the tip are obtained (see Figure 8a); ii) the deformed mesh obtained with the new formulation and GFEM/XFEM are qualitatively better; iii) the new embedded approach is fully compatible (see Figure 8b); iv) the displacements obtained with both the new formulation and GFEM/XFEM are similar, although the former leads to a slightly stiffer solution than the latter (see Figure 8b).
Figure 8: Deformed mesh (displacements magnified 2 times) obtained with: (a) the GSDA; (b) the new formulation (continuous) and GFEM/XFEM (dashed).

4.3 Nooru Mohamed’s Test

This example consists of a double-edged-notched specimen subjected to mixed-mode fracture, experimentally tested by [22]. The 200×200×50 mm³ specimen has two 25×5 mm² horizontal notches located at half height. The specimen is loaded by means of two L-shaped steel frames glued to the specimen. One of the experimental load paths is numerically simulated: i) a horizontal force $P$ is applied and increased to 410 N, after which it is kept constant; and ii) a vertical displacement $u_v$ is gradually enforced into the top steel frame (Figure 9a).

The material parameters are taken from [22]: Poisson ratio $\nu = 0.2$; Young’s modulus $E = 30000$ N/mm²; compressive strength $f_c = 38$ N/mm², tensile strength $f_t = 3.0$ N/mm²; and fracture energy $G_F = 0.11$ N/mm². The initial normal and shear stiffness adopted for the discontinuity is $k_n = k_s = 10^4$ N/mm³. Upon crack opening, the constitutive law by [20] is adopted, with $\beta = f_n / c_0 = 0.6$, where $c_0$ is the cohesion estimated using Mohr’s rupture theory.

The adopted mesh with 435 bilinear finite elements is represented in Figure 9b. The arc-length method is used to enforce a monotonic increase of the vertical displacement of the top steel frame ($u_v$). The discontinuities are inserted from the notch.
All results are shown in Figures 10 to 12, including the vertical displacement vs. load curves, the crack path, the deformed mesh and the map of the first principal stress. It must be stressed that the experimental peak load is smaller than the corresponding numerical values, which is also verified by other authors [23-25].

![Figure 10: Nooru Mohamed's test: (a) vertical displacement vs. load curves; and (b) crack path computed at $u_v = 0.2$ mm.](image)

From the numerical results it can be observed that the conforming formulations (GFEM/XFEM and the new embedded approach), provide similar displacement vs. load curves, crack paths and deformed meshes. Furthermore, the stress map represented in Figure 12 reveals that the new embedded formulation adequately reproduces the stress field in the bulk, with stresses gradually approaching zero in the vicinity of the crack.

![Figure 11: Nooru Mohamed's test - deformed mesh (displacements magnified 150 times) for $u_v = 0.2$ mm obtained with: (a) the GSDA; and (b) the new formulation.](image)

5 CONCLUSIONS

A new formulation using conforming finite elements with embedded strong discontinuities was presented. Compared to previous embedded approaches, namely [1, 9, 10, 16-18, 26, 27]: i) no additional degrees of freedom are required; and ii) the continuity of both tractions and enhanced kinematical field across elements is automatically ensured. The proposed formulation is variationally consistent and built upon the framework of the discrete crack approach. Therefore, mesh objectivity is automatically inherited.

From the presented examples it is concluded that the new embedded formulation is capable of providing results which are practically indistinguishable from the results obtained with GFEM/XFEM.
Figure 12: Nooru Mohamed's test - principal stress $\sigma_1$ (displacements magnified 150 times) for $u_c = 0.2$ mm obtained with: (a) the GSDA; and (b) the new formulation.

However, in spite of the common variational framework [21] and similar results, the two formulations are built in a significantly different manner. The following main differences can be advanced:

- the GFEM/XFEM is nodal based whereas the present formulation is built at element level;
- crack propagation is simpler to implement in the embedded approach, since only the crossed finite elements are enriched, instead of all nodes surrounding the discontinuity, as typically performed in GFEM/XFEM;
- with the embedded formulation, only one additional node is required at each new enriched finite element due to crack propagation, whereas with GFEM/XFEM all nodes supporting the discontinuity must be enriched;
- with the present formulation, all additional degrees of freedom are located at the discontinuity, where the quantities of interest are measured.

Finally, although the observed computational cost was similar for the bi-dimensional structural problems above presented, the embedded formulation is expected to gain advantage in three-dimensional problems since significantly fewer degrees of freedom are required for each enriched finite element.

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